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Pressure-controlled versus volume-controlled ventilation for acute

respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS) (Review)
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Cochrane Database of Systematic Reviews 2015, Issue 1. Art. No.: CD008807.

DOI: 10.1002/14651858.CD008807.pub2.

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[Intervention Review]

Pressure-controlled versus volume-controlled ventilation for acute respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS)

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Editorial group: Cochrane Emergency and Critical Care Group.

Publication status and date: Edited (no change to conclusions), published in Issue 12, 2018.

Citation: Chacko B, Peter JV, Tharyan P, John G, Jeyaseelan L. Pressure-controlled versus volume-controlled ventilation for acute respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS). *Cochrane Database of Systematic Reviews* 2015, Issue 1. Art. No.: CD008807. DOI: 10.1002/14651858.CD008807.pub2.

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ABSTRACT

Background

Acute lung injury (ALI) and acute respiratory distress syndrome (ARDS) account for one-quarter of cases of acute respiratory failure in intensive care units (ICUs). A third to half of patients will die in the ICU, in hospital or during follow-up. Mechanical ventilation of people with ALI/ARDS allows time for the lungs to heal, but ventilation is invasive and can result in lung injury. It is uncertain whether ventilator-related injury would be reduced if pressure delivered by the ventilator with each breath is controlled, or whether the volume of air delivered by each breath is limited.

Objectives

To compare pressure-controlled ventilation (PCV) versus volume-controlled ventilation (VCV) in adults with ALI/ARDS to determine whether PCV reduces in-hospital mortality and morbidity in intubated and ventilated adults.

Search methods

In October 2014, we searched the Cochrane Central Register of Controlled Trials (CENTRAL) (2014, Isssue 9), MEDLINE (1950 to 1 October 2014), EMBASE (1980 to 1 October 2014), the Latin American Caribbean Health Sciences Literature (LILACS) (1994 to 1 October 2014) and Science Citation Index-Expanded (SCI-EXPANDED) at the Institute for Scientific Information (ISI) Web of Science (1990 to 1 October 2014), as well as regional databases, clinical trials registries, conference proceedings and reference lists.

Selection criteria

Randomized controlled trials (RCTs) and quasi-RCTs (irrespective of language or publication status) of adults with a diagnosis of acute respiratory failure or acute on chronic respiratory failure and fulfilling the criteria for ALI/ARDS as defined by the American-European Consensus Conference who were admitted to an ICU for invasive mechanical ventilation, comparing pressure-controlled or pressure-controlled inverse-ratio ventilation, or an equivalent pressure-controlled mode (PCV), versus volume-controlled ventilation, or an equivalent volume-controlled mode (VCV).



Data collection and analysis

Two review authors independently screened and selected trials, assessed risk of bias and extracted data. We sought clarification from trial authors when needed. We pooled risk ratios (RRs) for dichotomous data and mean differences (MDs) for continuous data with their 95% confidence intervals (CIs) using a random-effects model. We assessed overall evidence quality using the GRADE (Grades of Recommendation, Assessment, Development and Evaluation) approach.

Main results

We included three RCTs that randomly assigned a total of 1089 participants recruited from 43 ICUs in Australia, Canada, Saudi Arabia, Spain and the USA. Risk of bias of the included studies was low. Only data for mortality and barotrauma could be combined in the meta-analysis. We downgraded the quality of evidence for the three mortality outcomes on the basis of serious imprecision around the effect estimates. For mortality in hospital, the RR with PCV compared with VCV was 0.83 (95% CI 0.67 to 1.02; three trials, 1089 participants; moderate-quality evidence), and for mortality in the ICU, the RR with PCV compared with VCV was 0.84 (95% CI 0.71 to 0.99; two trials, 1062 participants; moderate-quality evidence). One study provided no evidence of clear benefit with the ventilatory mode for mortality at 28 days (RR 0.88, 95% CI 0.73 to 1.06; 983 participants; moderate-quality evidence). The difference in effect on barotrauma between PCV and VCV was uncertain as the result of imprecision and different co-interventions used in the studies (RR 1.24, 95% CI 0.87 to 1.77; two trials, 1062 participants; low-quality evidence). Data from one trial with 983 participants for the mean duration of ventilation, and from another trial with 78 participants for the mean number of extrapulmonary organ failures that developed with PCV or VCV, were skewed. None of the trials reported on infection during ventilation or quality of life after discharge.

Authors' conclusions

Currently available data from RCTs are insufficient to confirm or refute whether pressure-controlled or volume-controlled ventilation offers any advantage for people with acute respiratory failure due to acute lung injury or acute respiratory distress syndrome. More studies including a larger number of people given PCV and VCV may provide reliable evidence on which more firm conclusions can be based.

PLAIN LANGUAGE SUMMARY

Different methods of ventilation (controlling pressure vs volume) for people with acute respiratory failure due to lung injury

Review question

We reviewed available evidence for the safety and efficacy of controlling pressure versus controlling volume of air delivered during mechanical ventilation in critically ill adults with acute respiratory failure due to lung injury. We found three relevant studies.

Background

Acute respiratory failure due to acute lung injury (ALI) and acute respiratory distress syndrome (ARDS) is a common reason for admission to intensive care units (ICUs) worldwide. A third to half of people with ALI/ARDS die in the ICU, in hospital or during follow-up. People with ALI/ARDS are put on ventilator machines to give the lungs time to recover. However, lung damage can worsen if the volume of air delivered by these machines is too large, or if the pressure reached in the lungs during ventilation is too high.

We wanted to know whether controlling pressure in the lung during ventilation by varying the volume of air delivered (pressure-controlled ventilation, or PCV) was better than allowing varying lung pressures when a fixed volume of air is delivered (volume-controlled ventilation, or VCV).

Study characteristics

The three randomized trials compared PCV versus VCV in a total of 1089 adults with ALI/ARDS from 43 ICUs in five high-income countries. None of the trials were industry-funded. The evidence is current to October 2014.

Key results

We could not be sure whether the proportions of patients who died in hospital were very different between those treated with PCV and with VCV. For every 1000 persons treated with VCV, 636 deaths were reported. On the basis of our results, we could expect to see between 210 fewer deaths and 13 more deaths with PCV. We found that effects on mortality in the ICU and on mortality at 28 days were similarly uncertain. Our results include the possibility that VCV or PCV could be better for reducing the duration of ventilation or the development of traumatic lung damage caused by ventilation (barotrauma). None of the studies provided reliable information regarding to what extent failure of other organs would be impacted by the type of ventilation, nor did they provide information on differences in infection risk or quality of life following discharge from intensive care.

Quality of the evidence



The overall evidence for mortality was of moderate quality. For outcomes such as duration of ventilation, barotrauma and organ failure, evidence was limited by the small numbers studied, the different methods used in the studies or differences in reporting of results, which made interpretation difficult.

Conclusions

Available evidence is insufficient to confirm whether PCV offers any advantage over VCV in improving outcomes for people with acute lung injury on ventilator machines. More studies including a larger number of people given PCV and VCV may provide reliable evidence on which more firm conclusions can be based.

Summary of findings for the main comparison. Pressure-controlled ventilation vs volume-controlled ventilation for acute respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS)

What are the effects of pressure-controlled ventilation vs volume-controlled ventilation for acute respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS)?

Patient or population: patients with acute respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS)

Settings: intensive care units in high-income countries^a

Intervention: pressure-controlled ventilation vs volume-controlled ventilation

Outcomes	Illustrative comp	arative risks* (95% CI)	Relative effect (95% CI)	Number of par- ticipants	Quality of the evidence	Comments
	Assumed risk Corresponding risk		(33 % Ci)	(studies)	(GRADE)	
	Volume-con- trolled ventila- tion	Pressure-controlled venti- lation				
Mortality in hospi- tal	636 per 1000	528 per 1000 (426 to 649)	RR 0.83 (0.67 to 1.02)	1089 (3 studies) ^a	⊕⊕⊕⊝ Moderate ^{b,c}	
Mortality in ICU	376 per 1000	316 per 1000 (267 to 373)	RR 0.84 (0.71 to 0.99)	1062 (2 studies) ^d	⊕⊕⊕⊝ Moderate ^{b,e}	
Mortality on follow-up Follow-up: 28 days	323 per 1000	284 per 1000 (236 to 342)	RR 0.88 (0.73 to 1.06)	983 (1 study) ^f	⊕⊕⊕⊝ Moderate ^{b,c}	
Duration of me- chanical ventilation	See comment	See comment	Not estimable	983 (1 study) ^f	See comment	Skewed data presented as median (10 days) and in- terquartile ranges (6 days to 16 and 17 days) did not differ
Barotrauma	94 per 1000	117 per 1000 (82 to 166)	RR 1.24 (0.87 to 1.77)	1062 (2 studies) ^d	⊕⊕⊝⊝ Low g,h	
Organ failure	Not estimable	Not estimable	Not estimable	79 (1 study) ^{<i>i</i>}	See comment	Skewed data for mean number of organ failures in survivors favoured PCV (P value 0.003)

Quality of life Not estimable No trials measured this out-See comment See comment 0 See comment (0)come

*The basis for the assumed risk is the median control group risk across studies, or the average risk, for pooled data; and the control group risk for single studies. The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). CI: Confidence interval; RR: Risk ratio.

GRADE Working Group grades of evidence.

High quality: Further research is very unlikely to change our confidence in the estimate of effect.

Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

Very low quality: We are very uncertain about the estimate.

aEsteban 2000 (Spain); Meade 2008 (Australia, Canada, Saudi Arabia), Rappaport 1994 (USA).

bNo serious study limitations: Esteban 2000 was judged at high risk of selection bias because of imbalances in proportions with renal failure at baseline; renal failure was independently associated with increased mortality. However removal of this trial did not alter the results significantly. Not downgraded.

^cSerious imprecision: 95% CIs of pooled estimates indicated appreciable benefit with PCV and non-appreciable benefit with VCV, with no significant differences between ventilatory modes. Downgraded by 1.

dEsteban 2000: Meade 2008.

eSerious imprecision: 95% CIs of risk ratios indicated appreciable and non-appreciable benefit for PCV. Downgraded by 1.

fMeade 2008.

gSerious inconsistency: Although no statistical heterogeneity was noted (12 = 0%), the 2 trials differed in co-interventions offered, with Meade 2008 permitting rescue interventions in the PCV arm that could have contributed to increased barotrauma. Downgraded by 1.

hSerious imprecision: 95% CIs of effect estimates indicated appreciable benefit for VCV and non-appreciable benefit for PCV with no significant difference between ventilatory modes. Downgraded by 1.

Esteban 2000.

due to

acute lung injury (ALI) or acute respiratory



BACKGROUND

Acute respiratory failure is the most common form of organ failure in critically ill patients. Acute lung injury (ALI) and acute respiratory distress syndrome (ARDS) account for one-quarter of cases of acute respiratory failure in intensive care units (ICUs) (Rubenfield 2005). Earlier mortality rates among adults with ARDS were reported to range from 40% to 70% (Brun-Bruisson 2004; Krafft 1996). More recent publications pertaining to ARDS have reported lower mortality rates of 17.7% and 23% (Caser 2014; Gates 2013; Matthay 2011). In the paediatric population, mortality due to ALI was even lower (18%) (Zimmerman 2009). Given the projected doubling of the annual incidence of ARDS over the next two decades (Rubenfield 2005), determining cost-effective modalities that can decrease the mortality associated with ARDS is important.

The main principles behind mechanical ventilatory support in people with acute respiratory failure consist of preventing or reducing ongoing lung injury and supporting organ function until recovery. Despite several trials on different aspects of this disease, management of this complex clinical condition appears to be largely supportive and directed toward limiting or reversing the triggering insult. It is also increasingly evident that ventilatory therapy can aggravate the underlying lung injury—a condition described as ventilator-induced or ventilator-associated lung injury (VILI/VALI) (Ranieri 1999; Slutsky 2013).

Recommendations posit that, under conditions in which lung overdistension is likely to occur, tidal volume (the volume of air moved into or out of the lungs during quiet breathing) and airway pressure should be limited and the attendant increase in arterial carbon dioxide levels considered as an acceptable trade-off to prevent lung damage (Slutsky 1993; Slutsky 2013). Whilst evidence is sufficient to suggest that lung protective ventilation, which includes low tidal volume (approximately 6 mL/kg) and a plateau airway pressure restricted to approximately 28 to 30 cm H₂O (ARDSNet 2000), translates to better outcomes when compared with traditional ventilatory strategies for ALI/ARDS (Gattinoni 2008), it is unclear whether the mode of ventilation can influence the outcome. Although pressure-targeted modes deliver tidal volumes that are determined by preset airway pressures, volumecontrolled modes deliver a preset volume with a provision to limit high airway pressures by using pressure-limited alarm settings. We assessed whether pressure-targeted ventilatory modes provide an advantage over volume-targeted modes in patients with acute respiratory failure due to ALI/ARDS.

Description of the condition

The report of the American-European Consensus Conference (AECC) (Bernard 1994) recommended that ALI /ARDS should be defined as a syndrome of inflammation and increased permeability associated with a constellation of clinical, radiological and physiological abnormalities that could not be explained by left atrial or pulmonary capillary hypertension. Acute respiratory distress syndrome, in very precise terms, is a subset of ALI representing a more severe form of ALI. However in practice, ARDS and ALI are considered as part of a continuum: ARDS represents severe lung injury with a ratio of partial pressure of arterial oxygen to fraction of inspired oxygen (PaO₂/FiO₂) less than 200, regardless of the level of positive end-expiratory pressure (PEEP), while ALI represents any lung injury that results in a PaO₂/FiO₂ between 201

and 300. Partial pressure of arterial oxygen (PaO_2) is measured in mmHg, and FiO_2 is expressed as a decimal between 0.21 and 1.00. Both of these conditions have bilateral infiltrates on frontal chest radiographs with pulmonary artery wedge pressure less than 18 mmHg when measured, or with no clinical evidence of left atrial hypertension.

The AECC definition has been criticized for several reasons—lack of explicit criteria to define acuity of this condition, high interobserver variability in interpreting chest radiographs and difficulty in ruling out cardiogenic pulmonary oedema given the declining use of pulmonary artery catheters. However this remained the standard for defining ARDS until the more recent Berlin definition was published (Ranieri 2012). This latest definition has addressed the limitations mentioned above and classifies ARDS as mild, moderate or severe on the basis of PaO₂/FiO₂. Conflicting views, based on prospective observational data, surround the validity of the Berlin definition and its ability to predict 28-day mortality compared with the AECC definition (Caser 2014; Hernu 2013). We used the AECC definition for this review, as we anticipated that the included trials would have been completed before the time of publication of the Berlin definition.

Description of the intervention

Ventilation in patients with ALI/ARDS allows time for the lungs to heal. However the process of ventilation is not without complications (ARDSNet 2000). One major complication is ventilator-associated/ventilator-induced lung injury (VALI/VILI), which is clinically indistinguishable from ALI/ARDS. This may occur as a result of excessive pressure (barotrauma), alveolar overdistension (volutrauma), alveolar collapse and shearing due to repeated opening and closing of alveoli (atelectrauma) and alveolar inflammation or nosocomial infection (biotrauma) (Halter 2007), which may substantially contribute to mortality and morbidity in this group of patients.

In pressure-controlled ventilation (PCV), the limiting pressure is set as the target pressure, and the delivered volume is determined by lung compliance and airway resistance. In this time-cycled mode, the target pressure is set within safe pressure limits. The distinguishing characteristic of this mode is that constant pressure is delivered, resulting in a decelerating flow and a more even distribution of ventilation in patients with poor lung compliance (Al-Sady 1985). In addition to the classic pressure-controlled mode, different types of PCV are available, for example, pressure-controlled inverse ratio ventilation, which has a higher inspiratory time when compared with expiratory time, resulting in inverse ratio ventilation; this mode has been proposed to improve arterial oxygenation through an increase in mean alveolar pressure and lower peak airway pressure seen as a low end-inspiratory flow rate (Tharrat 1998).

In contrast, in the volume-targeted mode (volume-controlled ventilation, or VCV), the primary goal is to deliver a set volume. When pressure exceeds a preset pressure alarm limit during VCV, either the inward gas flow is stopped in disease processes with increased airway resistance, or the non-delivered volume when the preset pressure is reached is dumped (e.g. if the set volume is 400 mL and the peak pressure alarm limit is reached when the administered volume reaches 300 mL, the remaining volume is dumped).



How the intervention might work

The importance of limiting tidal volume to decrease alveolar overdistension and VILI is well established (ARDSNet 2000). No other ventilatory strategy has clearly been shown to affect outcome. It is unclear at this stage whether VALI/VILI is caused/ perpetuated by higher volumes (which may be achieved with pressure-targeted modes at the same set pressures when lung compliance improves) or higher pressures (which may be reached when an attempt is made to deliver the set tidal volume in volumetargeted modes). However, conflicting reports from studies have looked at respiratory mechanics and gas exchange parameters when comparing the two modes of ventilation mentioned above. Several studies (Cadi 2008; Davis 1996; Rappaport 1994) have shown improvement in lung compliance and oxygenation among patients placed on pressure-controlled modes, whereas others (Lessard 1994) have shown no differences in these outcomes. Whilst these surrogate outcomes are important, it is crucial to determine whether clinically meaningful outcomes (e.g. mortality) are affected.

Why it is important to do this review

No consensus (MacIntyre 2011; Marini 2011) has yet been reached regarding whether PCV provides an advantage over VCV in the management of acute respiratory distress syndrome. Even within ICUs in a single institution, both modes of ventilation are sometimes used. This review systematically examined available evidence to assess whether the mode of ventilation impacts clinically important outcomes (or mortality as the primary outcome) in critically ill adults with ALI and ARDS.

See Appendix 1 for the list of acronyms used.

OBJECTIVES

To compare pressure-controlled ventilation (PCV) versus volume-controlled ventilation (VCV) in adults with ALI/ARDS to determine whether PCV reduces in-hospital mortality and morbidity in intubated and ventilated adults.

METHODS

Criteria for considering studies for this review

Types of studies

We included parallel-group randomized controlled trials (RCTs) and quasi-RCTs irrespective of their language or publication status (published, unpublished or available only in abstract form). We excluded cross-over trials.

Types of participants

We looked at adult patients admitted to an ICU for invasive mechanical ventilation with a diagnosis of acute respiratory failure or acute on chronic respiratory failure and fulfilling the criteria for ALI/ARDS as defined by the American-European Consensus Conference (Bernard 1994). We also evaluated studies published before 1994 to establish consistency with this definition.

We excluded trials with the following participants.

 Paediatric population as defined by study authors (unless children constituted less than 10% of the sample in each arm).

- Ventilation for participants with chronic respiratory failure (obstructive sleep apnoea, chronic neuromuscular disorders, etc.).
- 3. Participants treated only with non-invasive respiratory support (use of non-invasive ventilatory support before invasive ventilatory support did not preclude inclusion).
- Participants with acute respiratory failure comprising a mixed population of participants with and without ALI/ARDS (unless those without ALI/ARDS constituted less than 10% of the population).

Types of interventions

Pressure-controlled, or pressure-controlled inverse ratio, ventilation or an equivalent pressure-controlled model compared with volume-controlled ventilation or an equivalent volume-controlled mode.

Types of outcome measures

Primary outcomes

- 1. In-hospital mortality, including ICU mortality.
- 2. Mortality at 28 days.

Secondary outcomes

- Total duration of ventilation (including duration of ventilation prerandomization, as studies may not report both total duration of ventilation and duration of ventilation following randomization).
- Barotrauma (as evidenced by new-onset pneumothorax, pneumomediastinum, pneumoperitoneum, pneumopericardium or subcutaneous emphysema following institution of mechanical ventilation, or as otherwise defined by study authors).
- ${\it 3. \ \, Development of other organ failure/dysfunction during ICU stay.}$
- 4. Length of stay in ICU and in hospital.
- 5. Number of participants with infective complications (ventilator-associated pneumonia, sepsis) as defined by study authors.
- 6. Quality of life after discharge from hospital.

Search methods for identification of studies

Electronic searches

Electronic databases

On 1 October 2014, we searched the Cochrane Central Register of Controlled Trials (CENTRAL) (2014, Isssue 9), MEDLINE (1950 to 1 October 2014), EMBASE (1980 to 1 October 2014) and Science Citation Index-Expanded (SCI-EXPANDED) at the Institute for Scientific Information (ISI) Web of Science (1990 to 1 October 2014). The search strategy developed specifically for MEDLINE is detailed in Appendix 2; for EMBASE in Appendix 3; for CENTRAL in Appendix 4; and for ISI Web of Science in Appendix 5.

We searched additional databases such as the Latin American Caribbean Health Sciences Literature (LILACS) (1994 to 1 October 2014); the Indian Medlars Centre (indmed.nic.in/imcwebij.html) (1994 to 1 October 2014); and the South Asian Database of Controlled Clinical Trials (www.cochrane-sadcct.org) (to 1 October 2014).



Clinical trials registries

We searched the following clinical trials registries to look for ongoing and completed trials.

- 1. www.controlled-trials.com.
- 2. http://clinicaltrials.gov/.

In addition, we searched the World Health Organization International Clinical Trials Registry Platform Search Portal (www.who.int/trialsearch/) for prospectively registered trials across trials registers of the WHO ICTRP stable of contributory registers. The last search of these databases was conducted on 1 October 2014.

Searching other resources

Reference lists

One review author (BC) searched reference lists of all articles retrieved by the search, as well as the systematic reviews and meta-analyses listed in the background, to identify relevant RCTs. We included all RCTs irrespective of their language or publication status (published article or abstract). In addition we searched conference proceedings for relevant abstracts; contacted individual researchers working in this field as well as organizations and pharmaceutical companies to identify unpublished and ongoing trials; and provided this information and the relevant dates in a table.

Conference proceedings

We searched electronically the following journals for abstracts of conference proceedings.

- American Thoracic Society Conference proceedings (published in American Journal of Respiratory and Critical Care Medicine) from year 2009 to 2013.
- Australian and New Zealand Intensive Care Society Annual Scientific Meeting proceedings (published in *Anaesthesia and Intensive Care*) from 2009 to 2012.
- Annual Congress of the European Society of Intensive Care Medicine proceedings (published in *Intensive Care Medicine*) from 2007 to 2013.

Data collection and analysis

Selection of studies

Two review authors (BC, JVP) independently scanned all titles and abstracts identified by the search. We evaluated the full text of potentially relevant articles to determine whether they met the eligibility criteria for inclusion in the review. We considered trials as meeting the criteria for inclusion (randomized trials comparing pressure- vs volume-controlled ventilation) provided one of the stated outcomes described in the protocol was presented. In cases of ambiguity or insufficient data, we contacted study authors to request clarification and additional information. We resolved disagreements by consensus or by consultation with the senior review author (GJ). We scrutinized each trial report to ensure that multiple publications from the same trial were included only once, and we linked all such reports to the original trial or study report in the reference list of included studies. We excluded studies that did not meet eligibility criteria and documented the reasons for exclusion.

Data extraction and management

BC and JVP independently extracted data using pretested data extraction forms (see Appendix 6); PT independently validated the extracted data. We extracted the following information from each included study.

- General information: title, authors, source, contact address, country, published or unpublished and language and year of publication.
- 2. Trial characteristics: design and quality assessment criteria as detailed below.
- 3. Participants: inclusion and exclusion criteria, sample size, baseline characteristics and number allocated to each group. We also recorded Acute Physiology and Chronic Health Evaluation (APACHE II) scores, Simplified Acute Physiology Scores (SAPS II) and details of lung compliance at ICU admission.
- 4. Interventions: whether pressure- or volume-controlled ventilation was provided; baseline plateau pressure and tidal volume set at admission; inspiratory time (Ti/T total) during which tidal volume was delivered.
- 5. Co-interventions: concurrent interventions that might have influenced outcomes in this subset of participants (e.g. steroids, inhaled nitric oxide) if the data were provided. If heterogeneity was significant in the primary analysis pertaining to the intervention, sensitivity analysis was considered to assess the effects of co-interventions.
- 6. Outcomes: in-ICU mortality, in-hospital mortality and mortality at longest follow-up; extrapulmonary organ failure; ICU length of stay (mean ± standard deviation (SD)); hospital length of stay (mean ± SD); duration of ventilation; quality of life post discharge; infective complications; barotrauma; numbers experiencing each outcome; time to onset of each outcome; and numbers of dropouts and withdrawals with reasons.

For every outcome, we extracted the number analysed and the number randomly assigned to each treatment group to allow assessment of losses to follow-up. We resolved disagreements about data extraction by careful review of the trial report and by discussion. A third review author (PT) adjudicated when disagreements were to be resolved through discussion. When data were insufficient or missing, we attempted to contact the trial authors.

For continuous outcomes, we extracted arithmetic mean values, standard deviations and the number of participants in each trial arm for whom the outcome was assessed. We noted whether numbers assessed in the trial referred to the numbers of participants who completed the trial or the numbers randomly assigned. When median values had been reported, we attempted to extract ranges, or interquartile ranges. Details on how this was done are provided in the Data synthesis section of the review.

Assessment of risk of bias in included studies

Two review authors (BC, JVP) assessed the methodological quality of each trial on the basis of the following six components: sequence generation, allocation concealment, blinding or masking, incomplete outcome data, selective outcome reporting and other biases. For each of these components, we assigned a judgement regarding risk of bias as 'low,' 'unclear' or 'high' (Higgins 2011a). We attempted to contact trial authors for clarification when methodological details were unclear. Blinding of clinicians



or research personnel would not be feasible in this study. If statisticians were blinded to the results, this was recorded. We recorded follow-up as adequate when more than 90% of randomly assigned participants were included in the final analysis, inadequate when ≤ 90% were included, and unclear when this information was not provided by the report or by trial authors. We recorded these assessments in 'Risk of bias' tables in RevMan 5.3, and summarized them in 'Risk of bias' graphs and summary figures. We used these assessments to perform a sensitivity analysis based on methodological quality when appropriate. We resolved conflicts in assessment through discussion; PT independently validated these assessments.

Measures of treatment effect

When outcomes were dichotomous, we used risk ratios; when outcomes were continuous, we used mean differences; each was provided with 95% confidence intervals (95% CIs).

Unit of analysis issues

No unit of analysis issues were encountered in the included trials. The methods that we intended to use to deal with cluster-randomized trials, change data, count data and time-to-event data are described under Differences between protocol and review.

Dealing with missing data

We attempted to obtain missing data from trial authors. When possible, we extracted data to allow an intention-to-treat analysis in which all randomly assigned participants were analysed in the groups to which they were originally assigned. If a discrepancy was noted between the number randomly assigned and the number analysed for each treatment group, we calculated the percentage of loss to follow-up in each group and planned to report this information. If dropouts exceeded 10% for any trial, we would have assigned the worse outcome to those lost to follow-up for dichotomous outcomes and would have assessed the impact of this in sensitivity analyses including the results of completers.

If continuous data were reported with missing standard deviations, we would have calculated these, if possible, from other available data such as standard errors, or we would have imputed them using methods suggested in Higgins 2011b. We made no assumptions about loss to follow-up for continuous data and analysed results for those who had completed the trials.

Assessment of heterogeneity

We assessed heterogeneity between trials by visually examining the forest plot to check for overlapping confidence intervals, by using the Chi² test to evaluate homogeneity with a 10% level of significance and by determining the I² statistic to assess inconsistency (percentage of variability in effect estimates that is due to heterogeneity rather than to sampling error). We acknowledge that thresholds for interpreting I² can be misleading, as interpretation depends on several factors such as the magnitude and direction of effects and the strength of evidence for heterogeneity (e.g. P value for the Chi² test, confidence interval for I²). When interpreting I², we used the following recommendations as stated in Deeks 2011.

- 1. 0% to 40%: might not be important.
- 2. 30% to 60%: may represent moderate heterogeneity.

- 3. 50% to 90%: may represent substantial heterogeneity.
- 4. 75% to 100%: represents considerable heterogeneity.

As this statistical assessment of heterogeneity using the above guidelines posed problems in terms of overlapping ranges of I² (e.g. 35% and 55%), in general we interpreted an I² value ≥ 50% to denote substantial heterogeneity. We have detailed below measures used to deal with substantial heterogeneity.

In addition to assessing for statistical heterogeneity, we carefully scrutinized the included studies to look for significant variations in study methodology or in clinical factors that could contribute to methodological or clinical heterogeneity.

Assessment of reporting biases

We assessed the adequacy of all included studies in reporting data for prestated outcomes and in selectively reporting outcomes. We noted in the Characteristics of included studies section judgements based on risk of selective reporting as provided in the 'Risk of bias' tables that followed each study. We also reported risk of selective outcome reporting in the results under Assessment of risk of bias in included studies.

We attempted to identify published, unpublished and ongoing trials applying our search methods. We planned to assess the likelihood of potential publication bias by using funnel plots, provided that at least 10 trials were identified. We would have used a funnel plot to visually assess whether treatment estimates for the primary outcome were associated with study size. We intended to use a test based on arcsine transformation of observed risks, with explicit modelling of between-study heterogeneity (Rücker 2008), and would have sought statistical advice on using the version of the arcsine test that includes random-effects modelling (AS + RE) when Tau^2 is greater than 1.0.

Data synthesis

We synthesized dichotomous data by using pooled and weighted risk ratios. We combined continuous data summarized by arithmetic means and standard deviations using mean differences. If continuous data were summarized using geometric means, we would have combined them on the log scale using the generic inverse variance method and reported them on the natural scale.

We planned to compare count data by using rate ratios when the total number of events in each group and the total amount of person-time at risk in each group were provided, or by using risk ratios or mean differences when data were presented in a dichotomous or continuous form, respectively. We would have combined hazard ratios from survival data on the log scale using the inverse variance method and presented them on the natural scale.

One review author (BC) entered the data using RevMan 5.3. JVP and PT independently checked the accuracy of the data entered. If data could be meaningfully combined, we pooled risk ratios of the comparisons for dichotomous outcomes in Mantel-Haenszel random-effects meta-analyses. We pooled mean differences between comparisons for continuous outcomes by using the inverse variance method; we have presented pooled estimates for both along with their 95% CIs. We would have estimated pooled effects for rare events (frequency of complications or adverse events) using the Peto odds ratio (Higgins 2011b).



Continuous data for outcomes such as duration of ventilation may be skewed when the mean is not at the centre of the distribution. If data from trials were skewed and this was not considerable, we used these data in the meta-analysis. If the skew was considerable (ratio of observed mean minus lowest possible value divided by SD is less than one), we attempted to obtain appropriate data summaries or log-transformed the data from all included studies to use the generic inverse variance method to pool data. If this was not possible, we presented these data in additional tables.

Subgroup analysis and investigation of heterogeneity

We uniformly used the random-effects model (DerSimionian 1986) for assessment of treatment effects because this approach provides a more conservative estimate of treatment effects than is obtained by the fixed-effect model (DeMets 1987).

If significant heterogeneity was detected and if data were sufficient, we would have undertaken the following subgroup analyses for each comparison.

- 1. ARDS versus ALI at entry to study.
- Mean tidal volume delivered by pressure-limited and volume-limited modes (we would have adopted a threshold of 6 mL/kg as the desired target tidal volume and would have compared it with data from studies in which this target was not met).
- 3. Events of barotrauma between ventilatory supports with and without pressure limitation.
- 4. Mortality in hospital versus mortality in ICU and at longest follow-up.
- 5. Trials using American European Consensus Conference criteria to define ARDS/ALI versus trials using other definitions.

We planned to formally compare effects between subgroups using the methods described in Deeks 2001.

Sensitivity analysis

We performed a sensitivity analysis to investigate the effects of interventions reported in trials at low risk of bias.

We planned to undertake sensitivity analyses if trials reported dropout rates of 10% or greater, to ascertain differences in outcomes of intention-to-treat (ITT) analyses (all dropouts would be assigned to the worst outcome for dichotomous outcomes) and analyses of completers. If results of these analyses differed significantly in terms of direction of effect, we planned to perform two additional analyses: a best-case scenario favouring pressure-controlled ventilation (i.e. no dropouts in this group had the unfavourable outcome, but all dropouts from the volume-controlled group had the worst outcome) and a worst-case scenario favouring control (i.e. all dropouts from the pressure-controlled group had the unfavourable outcome, but no dropouts from the volume-controlled group had this poor outcome).

Summarizing and interpreting results

We used the GRADE (Grades of Recommendation, Assessment, Development and Evaluation) approach to interpret findings

(Schünemann 2008) and used GRADE profiler (GRADE 2004) to import data from RevMan 5.3 to create 'Summary of findings' tables using information on quality of evidence, magnitude of effects of the interventions examined and sums of available data on all important outcomes from each study included in the comparison. The GRADE approach (Schunemann 2008) considers 'quality' to be a judgement of the extent to which we can be confident that the estimates of effect are correct. Evidence from randomized controlled studies initially was graded as high and was downgraded by one or two levels on each of five domains after full consideration of any limitations in the design of studies, directness (or applicability) of the evidence, consistency and precision of results and the possibility of publication bias. A GRADE quality level of 'high' reflects confidence that the true effect lies close to the estimate of the effect for a given outcome. A judgement of 'moderate' quality indicates that the true effect is likely to be close to the estimate of the effect, but acknowledges that it could be substantially different. Evidence of 'low' and 'very low' quality limits our confidence in the effect estimate (Balshem 2011).

Outcomes selected for the 'Summary of findings' tables include the following.

- 1. In-hospital mortality.
- 2. Mortality in ICU.
- 3. Mortality on follow-up (at 28 days).
- 4. Duration of mechanical ventilation.
- 5. Barotrauma.
- 6. Organ failure/dysfunction during ICU stay.
- 7. Quality of life after discharge from hospital.

RESULTS

Description of studies

See Characteristics of included studies, Characteristics of excluded studies and Characteristics of studies awaiting classification.

Results of the search

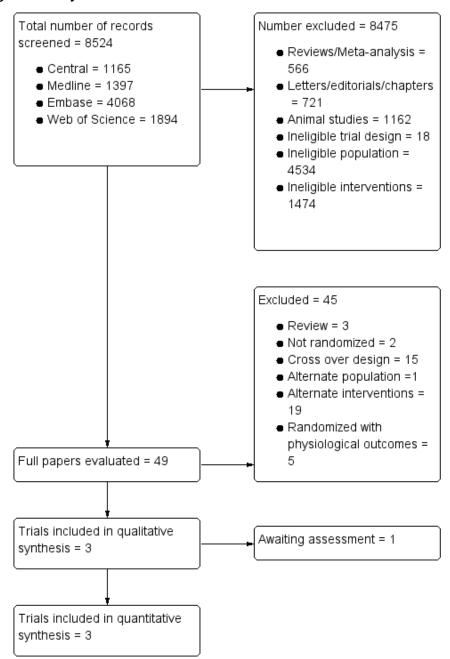
Electronic searches retrieved a total of 8524 records, of which 8475 were excluded during abstract review for several reasons, which included alternate population, retrospective or cohort design, letter or case report format, use of alternate interventions, animal studies, physiological studies and reviews.

We identified 49 potentially relevant articles. Of these, 45 did not meet our inclusion criteria for reasons detailed in Characteristics of excluded studies. We included three trials in this review. Another trial (Keddissi 2000), which was reported only as a conference abstract, awaits assessment and is described in Characteristics of studies awaiting classification. We were unsuccessful in making contact with this study author to obtain more information regarding study design, quality and results.

Figure 1 details the selection process.



Figure 1. Flow diagram of study selection.



Included studies

The three included RCTs used a parallel-group design. Two were multi-centre trials (Esteban 2000; Meade 2008), and the latter was a multi-country trial. These trials included a total of 1089 participants recruited from 43 ICUs in Australia, Canada, Saudi Arabia (Meade 2008), Spain (Esteban 2000) and USA (Esteban 2000). Sample sizes in the individual trials were 27 (Rappaport 1994), 62 (Esteban 2000) and 983 (Meade 2008).

The more recent trials (Esteban 2000; Meade 2008) used the AECC ARDS/ALI definition for inclusion, and Rappaport 1994 was conducted before this definition was published. However, criteria for inclusion in this study were consistent with the AECC ARDS/ALI

definition of PaO_2/FiO_2 ratio < 150 with no clinical evidence of fluid overload.

Participants

The three included trials recruited adults of both genders with a mean age of 54 to 59 years in Esteban 2000 and Meade 2008; participants were younger in Rappaport 1994 (mean age 43 to 51 years). Esteban 2000 excluded pregnant women and people with head injury and burns and barotrauma. Meade 2008 also excluded pregnant women and those with underlying conditions that would prolong life support. Rappaport 1994 reported very few exclusion criteria. Baseline characteristics, including causes of lung injury (most often sepsis or pneumonia), APACHE II scores and other ventilatory and respiratory parameters, were balanced across PPV



and VCV arms in Meade 2008 and in Rappaport 1994. In Esteban 2000, although randomization and allocation concealment were adequate, baseline imbalances were noted for proportions with renal failure (VCV 28% vs PCV 13%; P value 0.06); renal failure was an independent risk factor on logistic regression for increased mortality.

Interventions and co-interventions

The three trials compared VCV with PCV. In Meade 2008, the PCV mode was referred to as the lung open ventilation group. Unique to this trial was the use of additional recruitment manoeuvres in the PCV arm. Meade 2008 allowed for plateau pressures up to 40 cm H₂O in the PCV arm, in contrast to Esteban 2000, which targeted lower plateau pressures of < 36 cm H₂O. Further in Meade 2008, plateau pressure in the control arm (VCV arm) was restricted to 30 cm H₂O. Rappaport 1994 did not report ventilatory targets. In Esteban 2000, plateau inspiratory pressure was limited to ≤ 35 cm H₂O. For both groups, adjustments to the inspiratory-to-expiratory time (I/E) ratio were made at the discretion of the attending physician, and 3:1 was the maximal I/E ratio allowed. Also, for both groups, IV sodium bicarbonate infusions were permitted if arterial pH was < 7.20. If pH remained < 7.20, despite this infusion, the tidal volume in the VCV group or the inspiratory pressure in the PCV group was increased until pH reached 7.20 or higher.

Outcomes

All trials reported in-hospital mortality. In Rappaport 1994 this was the only outcome reported that was relevant to this review. Esteban 2000 and Meade 2008 also reported data for mortality in the ICU, and Meade 2008 additionally provided 28-day mortality

rates. Duration of ventilation, mean number of extrapulmonary organ failures after hospitalization and length of stay in hospital and in the ICU were reported in Meade 2008 as medians and interquartile ranges, hence these values could not be pooled. However, dichotomous data from these two trials (Esteban 2000; Meade 2008) for proportions developing barotrauma could be pooled in the meta-analysis.

None of the included trials reported the numbers of participants with infective complications (ventilator-associated pneumonia, sepsis) or measures of quality of life after hospital discharge.

Excluded studies

Of the 45 excluded studies (see Characteristics of excluded studies), 15 were cross-over trials intended to establish physiological effects; two were non-randomized trials; five were RCTs that assessed only physiological outcomes without addressing this review's objectives; 19 evaluated interventions not relevant to this review; and three (Koh 2007; McCallion 2005; Vines 2001) were reviews. Maxwell 2010 included trauma patients intubated for respiratory failure; only about 50% of study participants in the two arms had ARDS, and data for these participants were not reported separately.

Risk of bias in included studies

Full details of judgements regarding risk of bias and explanations for these for each study can be found in the 'Risk of bias' tables following each study in Characteristics of included studies. Although none of these trials was judged as entirely free of the risk of bias, the overall risk of bias in the included trials was low (see Figure 2 and Figure 3).

Figure 2. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies.

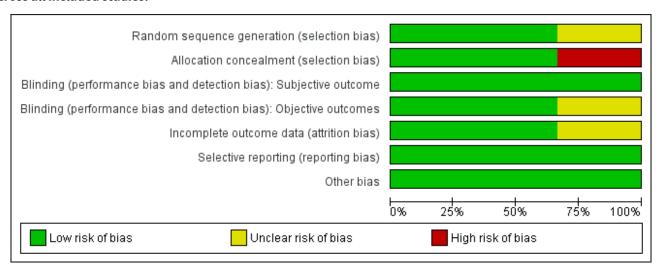
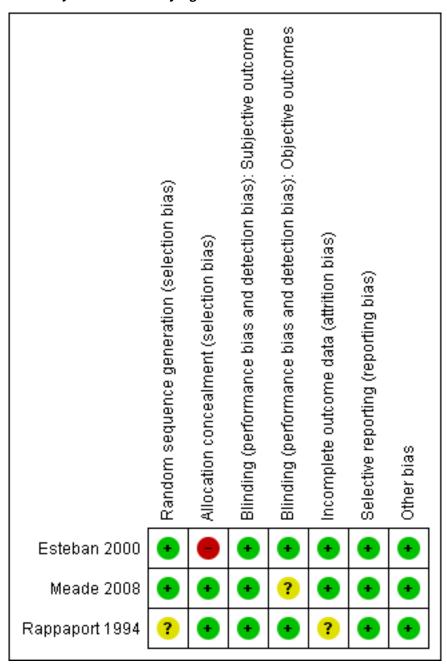




Figure 3. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.



Allocation

Esteban 2000 and Meade 2008 were judged to be at low risk of bias for random sequence generation; Rappaport 1994 was assessed as having unclear risk, as the method of generating the random sequence was not reported.

Esteban 2000 and Rappaport 1994 used opaque sealed envelopes to conceal allocation. Rappaport 1994 was judged to be at low risk of bias for allocation concealment. However in Esteban 2000, as randomization was not stratified by risk factors for increased mortality, baseline imbalances for proportions with renal failure fell short of statistical significance; renal failure was independently associated with increased mortality and this could have biased

mortality outcomes against those allocated to VCV, among whom more participants had renal failure at recruitment than among those allocated to PCV. This trial was judged as having high risk of selection bias.

Meade 2008 concealed randomization using a central computerized telephone system and stratified enrolment by site using variable permuted blocks. However at the end of the trial, a programming error that had disrupted the randomization blocks was picked up. Study authors reported that sensitivity analysis did not suggest that randomization was undermined. This trial was therefore judged as having low risk of selection bias.



Blinding

None of the trials reported subjective outcomes (e.g. quality of life after discharge from hospital). Rappaport 1994 and Esteban 2000 were judged as having low risk of performance and detection bias for the objective outcomes reported. The data analyst in the trial reported by Meade 2008 was blinded to analyses, hence we judged this trial to be at low risk of detection bias; however Meade 2008 used additional recruitment manoeuvres in the PCV arm that could have increased the risk of barotrauma in this arm. Hence, this trial was judged as having unclear risk of performance bias.

Incomplete outcome data

Meade 2008 and Esteban 2000 were judged as having low risk of attrition bias because no withdrawals or dropouts were reported in Esteban 2000, and because primary outcome data were available for all participants in Meade 2008, with only seven withdrawn and with intention-to-treat analyses performed. We judged Rappaport 1994 as having unclear risk of attrition bias, as participants recruited were few; three of 14 randomly assigned to VCV were withdrawn from the trial (found ineligible), and no outcome data were reported for them.

Selective reporting

Meade 2008 was prospectively registered, and no reporting biases were detected. Although the trial protocols for Esteban 2000 and Rappaport 1994 were not available, all prestated outcomes were reported.

Other potential sources of bias

The source of funding was unclear for Esteban 2000 and for Rappaport 1994. The funders of Meade 2008 had no other role in the trial. No other sources of bias were detected in the three trials.

Effects of interventions

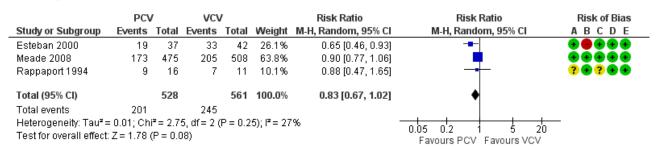
See: Summary of findings for the main comparison Pressurecontrolled ventilation vs volume-controlled ventilation for acute respiratory failure due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS)

Primary outcomes

Mortality in hospital and in ICU

The three included trials provided data for all-cause mortality in hospital, and although the pooled estimate favoured PCV over VCV (53% vs 64%), the 95% CI for this estimate did not rule out random error (RR 0.83, 95% CI 0.67 to 1.02; 1089 participants; Analysis 1.1; Figure 4).

Figure 4. Forest plot of comparison: 1 Pressure-controlled ventilation vs volume-controlled ventilation, outcome: 1.1 Mortality in hospital.



Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Incomplete outcome data (attrition bias)
- (D) Selective reporting (reporting bias)
- (E) Other bias

Two trials (Esteban 2000; Meade 2008) also provided data for mortality in the ICU. PCV reduced risk of death in the ICU compared with VCV (32% vs 38%), but the upper limit of the 95% CI for the effect estimate indicated that this benefit may not always be

clinically appreciable (RR 0.84, 95% CI 0.71 to 0.99; for the following reasons: the analysis involved only two trials (1062 participants). Further, in practice, hospital mortality has greater relevance than ICU mortality. (Analysis 1.2; Figure 5).



Figure 5. Forest plot of comparison: 1 Pressure-controlled ventilation vs volume-controlled ventilation, outcome: 1.2 Mortality in ICU.

	PC\	/	VC۱	/		Risk Ratio	Risk Ratio	Risk of Bias
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI	ABCDE
Esteban 2000	18	37	29	42	17.7%	0.70 [0.48, 1.04]		
Meade 2008	145	475	178	508	82.3%	0.87 [0.73, 1.04]		$\bullet \bullet \bullet \bullet \bullet$
Total (95% CI)		512		550	100.0%	0.84 [0.71, 0.99]	•	
Total events	163		207					
Heterogeneity: Tau ² = 0.00; Chi ² = 0.96, df = 1 (P = 0.33); I ² = 0%					3); $I^2 = 09$	%	0.01 0.1 1 10 1	
Test for overall effect: Z = 2.11 (P = 0.04)							Favours PCV Favours VCV	100

Risk of bias legend

- (A) Random sequence generation (selection bias)
- (B) Allocation concealment (selection bias)
- (C) Incomplete outcome data (attrition bias)
- (D) Selective reporting (reporting bias)
- (E) Other bias

Mortality at 28 days

Meade 2008 was the sole trial that reported this outcome. Although 28-day mortality was lower in the PCV arm (28%) than in the VCV arm (32%), this difference was not significant (RR 0.88, 95% CI 0.73 to 1.06; 983 participants; Table 1).

Secondary outcomes

Total duration of ventilation

Meade 2008 reported the duration of mechanical ventilation as median values with interquartile ranges among survivors of mechanical ventilation, and reported no significant differences between the two arms (Table 2).

Barotrauma

Two studies (Esteban 2000; Meade 2008) reported on barotrauma. The pooled estimate of the risk of barotrauma favoured VCV, but this difference between PCV and VCV was not statistically significant (12% vs 9%; RR 1.24, 95% CI 0.87 to 1.77; 1062 participants; Analysis 1.3). This estimate was largely influenced by Meade 2008, which contributed 91% weight to the estimate. It is possible that use of additional recruitment manoeuvres in the PCV arm of this study contributed to increased barotrauma in this arm.

Development of other organ failure/dysfunction during ICU stay

Esteban 2000 reported that the difference in the mean number of extrapulmonary organ failures significantly favoured PCV versus VCV, but data were skewed and the 95% CI for the mean difference in the number of extrapulmonary organ failures (MD 1.10, 95% CI -1.83 to 0.37; 79 participants; Table 3) does not support this interpretation.

Length of stay in ICU and in hospital

Esteban 2000 and Meade 2008 reported length of ICU and hospital stays. Data from Esteban 2000 reported as means with standard deviations were skewed. Meade 2008 reported this outcome as medians with interquartile ranges. The results could not be pooled but are presented in Table 4 and in Table 5. The primary analyses in both trials did not reveal statistically or clinically important differences in the duration of in-hospital or ICU stay.

Other secondary outcomes

None of the included studies reported on infective complications, and none described quality of life after hospital discharge.

Subgroup and sensitivity analyses

Data were available for meta-analyses only for mortality and for barotrauma. As heterogeneity was not significant in the pooled estimates for these outcomes, subgroup analyses were not indicated.

Excluding data from Esteban 2000, which was at risk of bias because of confounding in sensitivity analyses for mortality outcomes, did not significantly alter pooled estimates. The pooled estimate for barotrauma from two trials (Esteban 2000; Meade 2008) was largely influenced by Meade 2008, which contributed 91% weight to the estimate. It was thought possible that use of additional recruitment manoeuvres in the PCV arm of this study contributed to increased barotrauma. However, removal of Meade 2008 from sensitivity analyses did not alter the results significantly. No trials were at high risk of attrition bias, and sensitivity analyses excluding data from these trials were not indicated.

DISCUSSION

Summary of main results

See Summary of findings for the main comparison.

This review included three trials that compared pressure-controlled versus volume-controlled ventilation in 1089 people admitted to 43 intensive care units (ICUs) in high-income countries with acute respiratory failure due to acute lung injury/acute respiratory distress syndrome (ALI/ARDS). Data for the outcomes sought in this review were available from the three trials only for mortality in hospital, and from two trials for mortality in the ICU and for barotrauma. All other outcomes could not be pooled because they were reported only in a single study, or because they were presented in a manner that precluded data synthesis.

1. Pressure-controlled ventilation (PCV) probably reduces ICU mortality (32%, 95% CI 27% to 37%) compared with volume-controlled ventilation (VCV) (38%; moderate-quality evidence), and probably does not differ from VCV in terms of mortality in



hospital or at 28 days (moderate-quality evidence); however, the numbers evaluated were small, and further research may change these estimates.

- 2. It is uncertain whether the duration of mechanical ventilation differs with these ventilatory modes, given that this outcome was reported in only one trial with skewed data.
- 3. Risk of barotrauma may not differ between PCV and VCV (low-quality evidence), but our confidence in this result was limited by differences in co-administered interventions in the two trials that provided data for this outcome, and the small numbers were evaluated
- We are unsure whether development of organ failure is influenced by mode of ventilation. Limited data from one trial were skewed.
- Pressure-controlled ventilation (PCV) and VCV may not differ in terms of length of stay in hospital and in ICU, but we are unsure of this, as data from the two trials were skewed and could not be pooled.
- We found no trials that evaluated infective complications or quality of life after discharge among those treated with PCV or VCV.

Overall completeness and applicability of evidence

Completeness

This review found only three trials that addressed the efficacy and safety of PCV versus VCV, and 28-day mortality data were available from only one trial. Two of the three trials recruited small numbers (Rappaport 1994 27 participants; Esteban 2000 79 participants) and were clearly underpowered. Given the recently reported mortality of ARDS in the range of 15% to 25% in the control groups in ARDSNet trials (Caser 2014; Gates 2013; Matthay 2011), a very large number of participants would be required to show reduction in mortality by any intervention. Lack of available data from Keddissi 2000, which currently awaits assessment, also could have impacted our results. Some outcomes were reported only in single trials, and we were unable to provide pooled estimates for length of stay in hospital and in the ICU from two trials (Esteban 2000; Meade 2008). No data were available for infective complications such as ventilator-associated pneumonia and sepsis, nor for quality of life after discharge, among those ventilated.

Because of these limitations, the evidence base is currently incomplete and is insufficient to provide robust evidence of the comparative effects of pressure-controlled over volume-controlled ventilation in ALI/ARDS.

Applicability

Despite the absence of statistical heterogeneity, some degree of clinical heterogeneity was present across the included studies. Meade 2008 employed additional recruitment manoeuvres in one treatment arm, which could have confounded the results. In Rappaport 1994, non-protective ventilation was used and no data on target volumes were reported. Although lung-protective ventilation strategies were not well understood at that time, it is possible that higher volumes in the VCV group may have contributed to greater mortality. Esteban 2000 used target volumes and pressures that were intermediate between protective and non-protective. Additionally, these trials were conducted in high-income settings, and the aetiology, disease progression and prognosis of ARDS may differ in low- and middle-income settings

(Agarwal 2006; George 2014), thereby affecting applicability of the results of these trials.

Quality of the evidence

The overall quality of the evidence for our primary outcomes of mortality in ICU, in hospital and at 28 days was moderate, and for barotrauma was low. For each of these outcomes, we downgraded the overall quality by one level for imprecision because the results of our analysis do not rule out either treatment as superior in reducing mortality. For the outcome of barotrauma, we also considered clinical heterogeneity to be a major issue despite the lack of statistical heterogeneity. The two studies contributing data to this outcome applied different co-interventions; therefore we downgraded the quality of evidence further by one level to low quality because of clinical rather than statistical heterogeneity. We could not rate the quality of evidence for other outcomes of interest because relevant outcome data were not provided by the included studies.

Potential biases in the review process

We used standard methods described in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011b) and ensured compliance with Cochrane standards for the conduct of new reviews of interventions (MECIR 2011).

Agreements and disagreements with other studies or reviews

A Cochrane systematic review (Wheeler 2010, which is an updated version of McCallion 2005) comparing volume-targeted ventilation versus pressure-limited ventilation in newborn infants concluded that volume-targeted ventilation reduced death and chronic lung disease in neonates. We are not aware of any published systematic review comparing these ventilatory modes in adults with ALI/ARDS.

AUTHORS' CONCLUSIONS

Implications for practice

The quality of evidence in this Cochrane review is insufficient to permit robust conclusions regarding the effects of volume- or pressure-controlled ventilation for ARDS.

Implications for research

In the first instance, published studies on respiratory mechanics and gas exchange may be systematically reviewed before new outcome-based studies are undertaken, to ascertain whether either of these strategies confers a physiological advantage over the other. If a potential advantage is identified, randomized controlled trials comparing PCV versus VCV, including participants from low- and middle-income countries, would be needed to provide evidence for more definitive guidance. Given the decreasing mortality of ARDS, care should be taken to adequately power these trials to answer this question definitively. These trials should conform to the CONSORT statement and should stratify randomization by risk factors for mortality (and by severity of ARDS). Such trials should also restrict co-interventions such as those described in the trials published so far. If randomization is stratified for baseline severity of ARDS and for prognostic risk factors, then mortality in ICU, in hospital or at 60 days is likely to provide meaningful data on the comparative efficacy of the two ventilatory modes. Reliable data on risk of barotrauma, infection and quality of life after discharge are



required before it can be fully evaluated whether these ventilatory modes offer any advantage for adults with ALI/ARDS placed on mechanical ventilation.

ACKNOWLEDGEMENTS

This review is an output of a protocol development workshop conducted by the South Asian Cochrane Network & Centre and hosted at the Prof. BV Moses & ICMR Centre for Advanced Research & Training, CMC Vellore, Tamil Nadu, India.

We are grateful to Nicola Petrucci (content editor), Nathan Pace (statistical editor) and Karen Burns and Arash Afshari (peer reviewers) for help and editorial advice provided during preparation of the protocol for this systematic review. We also thank Peter JD Andrews, Ross Freebairn, Karen Burns and Yuh-Chin T Huang (peer reviewers) and Robert Wylie (consumer referee) for help and editorial advice provided during preparation of this systematic review. We are grateful to Toby Lasserson (editor, Cochrane Central Editorial Unit) for helpful suggestions that were incorporated into the final version of this review. We thank Jane Cracknell, Managing Editor of the Cochrane Anaesthesia Group, for the patience, encouragement and support that she provided.



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CHARACTERISTICS OF STUDIES

Characteristics of included studies [ordered by study ID]

Esteban 2000

Methods	Multi-centre, parallel-group, randomized trial
Participants	Number of participants: 79
	Age: older than 18 years; mean age: PCV 56 (SD 17) years; VCV 59 (SD 16) years
	Gender: both; females: PCV 35%; VCV 29%
	Inclusion criteria



Esteban 2000 (Continued)

- 1. One or more disease processes associated with ARDS
- 2. Diagnostic criteria for ARDS met

Exclusion criteria

- 1. Age < 18 years
- 2. Pregnancy
- 3. Head injury
- 4. Coronary disease
- 5. Enrolment in another interventional study
- 6. Immunosuppression
- 7 Burns
- 8. Presence of barotrauma (pneumothorax, pneumomediastinum, pneumopericardium or subcutaneous emphysema)

Interventions

Intervention

Pressure-controlled ventilation (PCV) with respiratory rate, ratio of inspiration to expiration or inspiratory pressure adjusted in an attempt to maintain $PaCO_2$ at 35 to 45 mmHg, but hypercapnia accepted if this target could not be achieved with plateau pressure < 36 cm H_2O (N = 37).

Control

Volume-controlled ventilation (VCV) delivered with a square-wave inspiratory flow. Respiratory rate, ratio of inspiration to expiration or tidal volume adjusted in an attempt to maintain $PaCO_2$ at 35 to 45 mmHg, but hypercapnia accepted if this target could not be achieved with plateau pressure < 36 cm H_2O (N = 42).

For both groups, plateau inspiratory pressure was limited to \leq 35 cm H₂O. PEEP and FiO₂ were titrated to maintain oxygen saturation of 89% to 92%, with the least FiO₂ and PEEP level never < 5 cm H₂O.

Outcomes

Outcomes reported and used

- 1. In-hospital mortality rate
- 2. In-ICU mortality rate
- 3. Length of stay in ICU
- 4. Appearance of any form of barotrauma (pneumothorax, pneumomediastinum, pneumoperitoneum or subcutaneous emphysema)
- 5. Number of organ failures

Outcomes sought but not reported

- Number of participants with infective complications (ventilator-associated pneumonia, sepsis) as defined by study authors
- 2. Quality of life measures post discharge from hospital

Notes

Period of the study: February 1995 to January 1996

Country: Spain: 12 ICUs in 12 tertiary care hospitals

Co-interventions: For both groups, intravenous sodium bicarbonate was infused if arterial pH was < 7.20. If pH remained < 7.20 despite bicarbonate sodium infusion, tidal volume in the VCV group or inspiratory pressure in the PCV group was increased until pH reached ≥ 7.20

Source of funding: not reported

Notes

1. Trial protocol not available



Esteban 2000 (Continued)

2. Although randomization and allocation concealment were adequate, baseline imbalances were noted for proportions with renal failure (volume-controlled ventilation 28% vs pressure-controlled ventilation 13%; P value 0.06); renal failure was an independent risk factor on logistic regression for increased mortality

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quotes from report: "Randomization was performed by permuted blocks according to the study centre" and "Patients were randomly assigned with the use of a random number table to receive either VCV or PCV"
Allocation concealment (selection bias)	High risk	Quote from report: "Patients were allocated to the two groups in a blinded fashion with the use of opaque, sealed, numbered envelopes, which were opened only when the patient fulfilled the inclusion criteria"
		Comment: Randomization was not stratified by risk factors for increased mortality. Baseline imbalances were noted for proportions with renal failure that fell short of statistical significance but could have biased mortality outcomes against those allocated to VCV when more participants had renal failure at recruitment than those allocated to PCV. Renal failure was an independent risk factor for increased mortality
Blinding (performance bias and detection bias) Subjective outcome	Low risk	No subjective outcomes were reported
Blinding (performance bias and detection bias) Objective outcomes	Low risk	The study was open-label, but outcomes reported in this trial such as mortality, barotrauma and organ failure were objective and are at low risk of bias
Incomplete outcome data (attrition bias) All outcomes	Low risk	No withdrawals or dropouts were reported
Selective reporting (reporting bias)	Low risk	Although the trial protocol was not available, all prestated outcomes of interest were reported
Other bias	Low risk	No other sources of bias were detected

Meade 2008

Methods	Multi-centre, parallel-group, randomized controlled trial
Participants	Number of participants: 983
	Age: mean (SD): PCV 54.5 (16.5) years; VCV 56.9 (16.5) years
	Gender: both; female: PCV 41%; VCV 40%
	Inclusion criteria
	Patients with both acute lung injury and ARDS, defined by onset of new respiratory symptoms within 28 days and bilateral opacifications on chest radiograph, and requiring a ratio of arterial oxygen tension to inspired oxygen fraction (PaO_2/FiO_2) ≤ 250 during invasive mechanical ventilation



Meade 2008 (Continued)

- 1. Left atrial hypertension, as diagnosed by attending physician, as the primary cause of respiratory failure
- 2. Anticipated duration of mechanical ventilation < 48 hours
- 3. Inability to wean from experimental strategies (e.g. nitric oxide)
- 4. Severe chronic respiratory disease
- 5. Neuromuscular disease that would prolong mechanical ventilation
- 6. Intracranial hypertension
- 7. Morbid obesity
- 8. Pregnancy
- 9. Lack of commitment to life support
- 10. Premorbid conditions with expected 6-month mortality risk > 50%
- 11. Greater than 48 hours of eligibility
- 12. Participation in a confounding trial

Interventions

Intervention

Pressure-controlled ventilation (lung open ventilation strategy): included target tidal volumes of 6 mL/kg of predicted body weight, plateau pressures not exceeding 40 cm H_2O , recruitment manoeuvres and higher positive end-expiratory pressures (N = 475).

Control

Volume-controlled ventilation: included target tidal volumes of 6 mL/kg of predicted body weight, plateau airway pressures not exceeding 30 cm H_2O and conventional levels of positive end-expiratory pressure (N = 508).

Outcomes

Outcomes reported and used

- All-cause hospital mortality (Patients discharged to an alternative level of care facility were qualified
 as alive at discharge. Deaths that occurred during or following a period of refractory hypoxaemia were
 classified as deaths associated with refractory hypoxaemia)
- 2. Mortality during mechanical ventilation
- 3. Intensive care unit mortality
- 4. 28-Day mortality
- 5. Barotrauma such as pneumothorax, pneumomediastinum, pneumoperitoneum or subcutaneous emphysema on chest radiograph or chest tube insertions for known or suspected spontaneous pneumothorax
- 6. Eligible use and total use of rescue therapies in response to refractory hypoxaemia, refractory acidosis or refractory barotrauma (defined above)
- 7. Duration of mechanical ventilation (includes day of enrolment to day of (1) extubation that was successful for at least 24 hours or (2) passing a trial of unassisted breathing and ultimately continuing with unassisted breathing (including tracheostomy mask, T-piece or continuous positive airway pressure and pressure support 5 cm H₂O) for at least 48 hours
- 8. Duration of hospital stay (includes date of enrolment to date of discharge from study hospital)

Outcomes sought but not reported

- Number of participants with infective complications (ventilator-associated pneumonia, sepsis) as defined by study authors
- 2. Quality of life measures post discharge from hospital

Outcomes reported but not used

- 1. Refractory hypoxaemia and death due to refractory hypoxaemia
- 2. Refractory acidosis and death due to refractory acidosis

Notes

Period of the study: August 2000 to March 2006



Meade 2008 (Continued)

Country: 30 hospitals in Canada, Australia and Saudi Arabia

Co-interventions

- 1. At clinicians' discretion, deviation from assigned ventilation protocols or institution of "rescue therapies" (including prone ventilation, inhaled nitric oxide, high-frequency oscillation, jet ventilation or extracorporeal membrane oxygenation) was performed when participants met specific criteria denoting refractory hypoxaemia (PaO₂ 60 mmHg for at least 1 hour while receiving FiO₂ of 1.0), refractory acidosis (pH 7.10 for at least 1 hour) or refractory barotrauma (persistent pneumothorax with 2 chest tubes on the involved side or increasing subcutaneous or mediastinal emphysema with 2 chest tubes). Protocol called for recommencement of the assigned protocol as soon as possible
- 2. If participant discomfort was difficult to control, clinicians could institute pressure support mode, adhering to assigned targets for tidal volume and airway pressure until FiO₂ was titrated to \leq 0.40 and PEEP was \leq 10 cm H₂O
- 3. Use of sedation and neuromuscular blockade and timing of tracheostomy were determined at the discretion of intensive care unit clinicians
- 4. To ensure adherence to the protocol throughout the trial, educational in-service sessions, bedside prompts, daily assessments by research personnel and standardized real-time centre-specific audit and feedback were provided

Source of funding: grants from the Canadian Institutes for Health Research and Hamilton Health Sciences Foundation

Dr Meade was a Peter Lougheed Scholar of the Medical Research Council of Canada during the period of this study. Funders had no role in designing or reporting this study.

Notes

- The research ethics board of each hospital approved the trial, and legal substitute decision makers for each participant provided written or oral informed consent
- 2. Trial Registration:clinicaltrials.gov Identifier: NCT00182195

	-		
Risk	ΟŤ	b	ıas

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote from report: "stratified enrolment by site using variable permuted blocks"
		Comment: Method reported suggests that randomization list was computer generated
Allocation concealment (selection bias)	Low risk	Quotes from report: "We concealed randomization using a central computerized telephone system and stratified enrolment by site using variable permuted blocks"; "At the end of the trial, we noted an unexpected difference in the number of patients allocated to each group and found that in high-volume hospitals with rapid enrolment and in newly participating centers, a programming error occurring late in the study had disrupted the specified randomization blocks. Sensitivity analyses indicated that this error did not undermine randomization"
		Comment: Sensitivity analyses suggest that risk of selection bias was low
Blinding (performance bias and detection bias) Subjective outcome	Low risk	No subjective outcomes were reported
Blinding (performance bias and detection bias) Objective outcomes	Unclear risk	This trial was at low risk of detection bias, as all outcomes were objective outcomes and the data analyst was blinded to treatment allocation. However, this unblinded trial used additional recruitment manoeuvres in the PCV arm that could have contributed to increased barotrauma in this arm



Meade 2008 (Continued)		
Incomplete outcome data (attrition bias) All outcomes	Low risk	Primary outcome data were available for all participants; only 7 were withdrawn, and intention-to-treat analyses were used
Selective reporting (reporting bias)	Low risk	The trial was prospectively registered and all predefined outcomes were reported
Other bias	Low risk	No other sources of bias were detected

Rappaport 1994

Methods	Randomized, parallel-group, controlled trial					
Participants	Number of participants: 27					
	Age: mean (SD): PCV: 43.1 (4.3); VCV: 51.6 (6.3)					
	Gender: both; female: PCV 44%; VCV 36%					
	Eligible were all patients receiving care in a medical ICU for acute, severe hypoxic respiratory failure (PaO ₂ /FiO ₂ ratio < 150) during a 6-month period					
	Inclusion criteria					
	1. PaO ₂ /FiO ₂ ratio < 150					
	2. Endotracheal intubation for less than 24 hours before entry					
	3. No underlying obstructive lung disease					
	4. Expected duration of intubation based on clinical criterion of at least 72 hours					
	5. No clinical evidence of fluid overload					
	6. Informed consent from participant or representative					
	7. Consent from participant's attending physician					
Interventions	Intervention					
	Pressure-controlled ventilation (N = 16)					
	Control					
	Volume-controlled ventilation (N = 11)					
	Ventilation was initiated within 24 hours of endotracheal intubation in both arms					
Outcomes	Outcome reported and used					
	1. In-hospital mortality rate					
	Outcomes sought but not reported					
	1. In-ICU mortality rate					
	2. Length of stay in ICU					

3. Appearance of any form of barotrauma (pneumothorax, pneumomediastinum, pneumoperitoneum

5. Number of participants with infective complications (ventilator-associated pneumonia, sepsis) as de-

or subcutaneous emphysema)

4. Number of organ failures



Rappaport 1994 (Continued)

Outcomes reported but not used

1. PaO₂/FiO₂ data

2. Ventilatory data

Notes

Period of study: dates not reported; conducted over 6 months

Country: UCLA School of Medicine, Los Angeles, California, USA

Co-intervention: paralytic agents and sedatives (no difference between intervention arms)

Source of funding: unclear

Notes

1. 3 participants belonging to the volume-controlled arm were excluded when subsequent analysis showed they did not meet inclusion criteria appropriately

2. Trial protocol was not available

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Method of generating random numbers was not mentioned
Allocation concealment (selection bias)	Low risk	"Patients were randomized via a blind, "envelope pull" method, to receive either pressure limited or volume controlled ventilation"
Blinding (performance bias and detection bias) Subjective outcome	Low risk	No subjective outcomes were reported
Blinding (performance bias and detection bias) Objective outcomes	Low risk	Risk of performance bias was low, as intervention arms did not differ in proportions given co-interventions. Mortality was the sole outcome reported that was used in this review, and lack of blinding is unlikely to have introduced detection bias
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Sample was small; 3/14 randomly assigned to VCV were withdrawn from the trial (found ineligible), and no outcome data were reported
Selective reporting (reporting bias)	Low risk	Study protocol was not available but prestated outcomes were reported
Other bias	Low risk	We detected no other biases

ARDS: Acute respiratory distress syndrome.

FiO₂: Fraction of inspired oxygen.

ICU: Intensive care unit.

PaO₂: Partial pressure of arterial oxygen. PCV: Pressure-controlled ventilation. PEEP: Positive end-expiratory pressure.

SD: Standard deviation.

VCV: Volume-controlled ventilation.

Characteristics of excluded studies [ordered by study ID]



Study	Reason for exclusion
Alvarez 1998	Cross-over study design; participants were stabilized on volume-controlled ventilation (VCV) for 30 minutes before, between and at the end of PCV and PRVC to reach baseline conditions
Amato 1995	Alternate interventions; intervention arm employed open lung ventilation, and ventilator modes included both pressure-controlled modes and dual modes, that is, pressure- and volume-controlled ventilation, whilst control arm used volume-controlled ventilation
Betensley 2008	Cross-over design; alternate intervention (non-invasive ventilation)
Brower 2004	Alternate intervention; participants with acute lung injury and ARDS were randomly assigned to receive mechanical ventilation with lower or higher PEEP levels, which were set according to different tables of predetermined combinations of PEEP and fraction of inspired oxygen
Choi 2006	Alternate intervention with physiological outcomes; participants were randomly assigned to mechanical ventilation with higher tidal volumes of 12 mL/kg ideal body weight and no positive endexpiratory pressure (PEEP), or lower tidal volumes of 6 mL/kg and 10 cm H ₂ O PEEP
Davis 1996	Cross-over study design; participants were ventilated at a tidal volume of 10 mL/kg; then in random sequence, ventilator mode was changed from volume control with a square flow waveform, pressure-control ventilation with a decelerating flow waveform or volume-controlled ventilation with a decelerating flow waveform
de Durante 2002	Alternate intervention looking at effects of respiratory rate on PEEP in participants ventilated with limited ventilation strategy
Delgado 2009	Alternate intervention comparing the effects of 2 strategies of setting PEEP on mortality
Edibam 2001	Assessed only physiological outcomes in the short term
Edibam 2003	Assessed only physiological outcomes in the short term
Eisner 2001	Alternate intervention; looked at efficacy of low tidal volume strategy
Fang 2002	Cross-over study design
Ferguson 2002	Post hoc analysis of pulmonary artery wedge pressure in participants with or at high risk for ARDS, enrolled in a randomized controlled trial of pressure- and volume-limited ventilation
Forel 2006	Alternate intervention; participants were randomly assigned to receive conventional therapy plus placebo or conventional therapy plus neuromuscular blocking agents for 48 hours. Both groups were ventilated with a lung-protective strategy (tidal volume between 4 and 8 mL/kg ideal body weight, plateau pressure ≤ 30 cm H ₂ O)
Gainnier 2003	Alternate intervention; effects of PEEP and prone position in participants with ARDS
Gainnier 2004	Alternate intervention; after randomization, participants received conventional therapy without NMBA (control group) or conventional therapy plus NMBA for the next 48 hours. Initial ventilator mode was volume-assist/control
Ge 2004	Assessed only physiological outcomes in the short term
Grasso 2007	Cross-over study design with physiological outcomes
Huh 2009	Alternate intervention; decremental PEEP titration following alveolar recruitment manoeuvre or a table-based PEEP (control) group



Study	Reason for exclusion
Kallet 2000	Cross-over study design
Kallet 2005	Cross-over repeated-measures design
Kiehl 1996	Not randomized; volume-controlled vs biphasic positive airway pressure ventilation in leukopenic participants with severe respiratory failure
Knebel 1994	Study looked at different interventions for weaning
Koh 2007	Review
Lessard 1994	Cross-over study design; participants with moderate to severe ARDS had lungs ventilated with VC, PCV with a conventional ratio (I:E 1:2; PC 1/2) and PCIRV (I:E 2:1 and 3:1; PC 2/1 and PC 3/1, respectively)
Mancebo 1994	Physiological outcomes
Maxwell 2010	Population of participants with trauma, only 50% with ALI/ARDS
McCallion 2005	Review; volume-targeted vs pressure-limited ventilation in the neonate
Mercat 1993	Cross-over study design; pressure-controlled ventilation was compared with an inspiratory-to-expiratory time ratio (I/E) of $1/2$ (PCV) and of $2/1$ (PCIRV) versus volume-controlled ventilation (VCV) with an I/E of $1/2$ in participants with acute respiratory distress syndrome. Each ventilatory mode was applied for 1 hour in a randomly assigned order
Mercat 2008	Alternate intervention; tidal volume was set at 6 mL/kg of predicted body weight in both strategies and participants were randomly assigned to a moderate PEEP strategy (5 to 9 cm $\rm H_2O$) (minimal distension strategy) or to a level of PEEP set to reach a plateau pressure of 28 to 30 cm $\rm H_2O$ (increased recruitment strategy)
Parsons 2005	Alternate intervention; participants were randomly assigned to 6 mL/kg or 12 mL/kg tidal volume strategy
Prella 2002	Cross-over study design; sequential ventilation in PCV and VCV with constant inspiratory/expiratory ratio, tidal volume, respiratory rate and total positive end-expiratory pressure
Putensen 2001	Alternate intervention; airway pressure release ventilation vs PCV
Rasenan 1991	Cross-over study design, focused mainly on physiological outcomes
Riverso 1998	Cross-over study design with physiological outcomes; participants were first ventilated in volume-controlled mode and were then ventilated with pressure-regulated volume control
Sarmiento 1998	Cross-over study design; participants were initially ventilated with VCV + PEEP. All sequentially underwent 3 ventilatory modes
Stewart 1998	Alternate intervention; limited ventilation group vs conventional ventilation group
Talmor 2008	Alternate intervention; PEEP assigned according to measurements of oesophageal pressure
Tuğrul 1997	Cross-over study design
Varpula 2003	Outcomes physiological



Study	Reason for exclusion
Villar 2006	Alternate intervention
Vines 2001	Review
Wang 2002	Cross-over study design
Yang 2007	Cross-over physiological study
Zupancich 2005	Alternate intervention with physiological outcomes; participants undergoing elective coronary artery bypass were randomly assigned to be ventilated after cardiopulmonary bypass disconnection with high tidal volume/low positive end-expiratory pressure (10 to 12 mL/kg and 2 to 3 cm $\rm H_2O$, respectively) or low tidal volume/high positive end-expiratory pressure (8 mL/kg and 10 cm $\rm H_2O$, respectively)

ALI: Acute lung injury.

ARDS: Acute respiratory distress syndrome.

I:E: Inspiratory:Expiratory ratio.

NMBA: Neuromuscular blocking agent.

PCIRV: Pressure-controlled inverse ratio ventilation.

PCV: Pressure-controlled ventilation.
PEEP: Positive end-expiratory pressure.
PRVC: Pressure regulated volume control.
VCV: Volume-controlled ventilation.

Characteristics of studies awaiting assessment [ordered by study ID]

Keddissi 2000

Methods	Randomized controlled trial
Participants	Unclear
Interventions	Unclear
Outcomes	Unclear
Notes	Full-text article/contact with study authors was not possible. We shall attempt to obtain full text to assess this trial for inclusion in an update of this review

DATA AND ANALYSES

Comparison 1. Pressure-controlled ventilation vs volume-controlled ventilation

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Mortality in hospital	3	1089	Risk Ratio (M-H, Random, 95% CI)	0.83 [0.67, 1.02]
2 Mortality in ICU	2	1062	Risk Ratio (M-H, Random, 95% CI)	0.84 [0.71, 0.99]



Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
3 Barotrauma	2	1062	Risk Ratio (M-H, Random, 95% CI)	1.24 [0.87, 1.77]

Analysis 1.1. Comparison 1 Pressure-controlled ventilation vs volume-controlled ventilation, Outcome 1 Mortality in hospital.

Study or subgroup	PCV	vcv		1	Risk Ratio	,		Weight	Risk Ratio
	n/N	n/N		M-H, R	andom, 9	5% CI			M-H, Random, 95% CI
Esteban 2000	19/37	33/42						26.1%	0.65[0.46,0.93]
Meade 2008	173/475	205/508			<u> </u>			63.8%	0.9[0.77,1.06]
Rappaport 1994	9/16	7/11			+			10.1%	0.88[0.47,1.65]
Total (95% CI)	528	561			•			100%	0.83[0.67,1.02]
Total events: 201 (PCV), 245 (VCV)									
Heterogeneity: Tau ² =0.01; Chi ² =2.75	Heterogeneity: Tau ² =0.01; Chi ² =2.75, df=2(P=0.25); l ² =27.34%								
Test for overall effect: Z=1.78(P=0.08	3)								
		Favours PCV	0.05	0.2	1	5	20	Favours VCV	

Analysis 1.2. Comparison 1 Pressure-controlled ventilation vs volume-controlled ventilation, Outcome 2 Mortality in ICU.

Study or subgroup	PCV	vcv			Risk Ratio			Weight	Risk Ratio
	n/N	n/N		М-Н,	Random, 95%	CI			M-H, Random, 95% CI
Esteban 2000	18/37	29/42			+			17.72%	0.7[0.48,1.04]
Meade 2008	145/475	178/508			•			82.28%	0.87[0.73,1.04]
Total (95% CI)	512	550			•			100%	0.84[0.71,0.99]
Total events: 163 (PCV), 207 (VCV)									
Heterogeneity: Tau ² =0; Chi ² =0.96, df=	1(P=0.33); I ² =0%								
Test for overall effect: Z=2.11(P=0.04)									
		Favours PCV	0.01	0.1	1	10	100	Favours VCV	

Analysis 1.3. Comparison 1 Pressure-controlled ventilation vs volume-controlled ventilation, Outcome 3 Barotrauma.

Study or subgroup	PCV	PCV VCV			Risk Ratio			Weight	Risk Ratio
	n/N	n/N		М-Н,	Random, 95	% CI			M-H, Random, 95% CI
Esteban 2000	6/37	4/42			+	_		8.98%	1.7[0.52,5.57]
Meade 2008	53/475	47/508			-			91.02%	1.21[0.83,1.75]
Total (95% CI)	512	550			•			100%	1.24[0.87,1.77]
Total events: 59 (PCV), 51 (VCV)									
Heterogeneity: Tau ² =0; Chi ² =0.3	, df=1(P=0.59); I ² =0%								
		Favours PCV	0.01	0.1	1	10	100	Favours LCV	



Study or subgroup	PCV n/N	VCV n/N	Risk Ratio M-H, Random, 95% CI					Weight	Risk Ratio M-H, Random, 95% CI
Test for overall effect: Z=1.2(P=0.23)									
		Favours PCV	0.01	0.1	1	10	100	Favours LCV	

ADDITIONAL TABLES

Table 1. Mortality at 28 days

Comparison: pressure-controlled ventilation vs volume-controlled ventilation

Outcome: mortality at end of follow-up (28 days)

Study ID	PCV		vcv		Risk ratio (95% CI)
	Events	Total	Events	Total	_
Meade 2008	135	475	164	508	0.88 (0.73 to 1.06)

Total events = 299; total participants = 983.

Test for overall effect Z = 1.31 (P value 0.19).

CI = Confidence interval.

PCV = Pressure-controlled ventilation.

VCV = Volume-controlled ventilation.

Table 2. Duration of ventilation

Comparison: pressure-controlled ventilation vs volume-controlled ventilation

Outcome: duration of ventilation (days)

Study ID	Effect measure	PCV	LCV	P value	Comment
Meade 2008	Median (interquartile range)	10 (6-17)	10 (6-16)	0.92	Among survivors of mechanical ventilation

PCV = Pressure-controlled ventilation.

VCV = Volume-controlled ventilation.

Table 3. Extrapulmonary organ failures

Comparison: pressure-controlled ventilation vs volume-controlled ventilation

Outcome: number of extrapulmonary organ failures

Study ID	PCV	VCV	Mean difference (95% CI)	P value
	(N = 37)	(N = 42)		
	Mean (SD)	Mean (SD)	•	



Table 3. Extrapulmonary organ failures (Continued)

Esteban 2000 2.6 (1.5) 3.7 (1.8) 1.10 (-1.83 to 0.37) 0.005

CI = Confidence interval.

PCV = Pressure-controlled ventilation.

SD = Standard deviation.

VCV = Volume-controlled ventilation.

Table 4. Length of stay in ICU

Comparison: pressure-controlled ventilation vs volume-controlled ventilation

Outcome: length of stay in ICU (days)

Study ID	Effect measure	PCV	VCV	P value	Comment
Esteban 2000	Mean (SD)	21 (15)	25 (19)	0.46	Skewed data (unclear if only for
	Number in each arm	37	42		survivors)
Meade 2008	Median (interquartile range)	13 (8-23)	13 (9-23)	0.98	Skewed data among survivors

PCV = Pressure-controlled ventilation.

SD = Standard deviation.

VCV = Volume-controlled ventilation.

Table 5. Length of stay in hospital

Comparison: pressure-controlled ventilation vs volume-controlled ventilation

Outcome: length of stay in hospital (days)

Study ID	Effect measure	PCV	VCV	P value	Comment
Esteban 2000	Mean (SD)	27 (20)	30 (24)	0.84	Skewed data; unclear if only for
	Number in each arm	37	42		survivors
Meade 2008	Median (interquartile range)	29 (17-48)	29 (16-51)	0.96	Skewed data; among survivors

PCV = Pressure-controlled ventilation.

SD = Standard deviation.

VCV = Volume-controlled ventilation.

APPENDICES

Appendix 1. Acronyms

|--|--|



(Continued)		
PaO ₂ /FiO ₂		Ratio of partial pressure of arterial oxygen to fraction of inspired oxygen (PaO ₂ /FiO ₂). PaO ₂ is measured in mmHg and FiO ₂ is expressed as a decimal between 0.21 and 1.00
ALI	Acute lung injury	1. Represents any lung injury that results in a PaO ₂ /FiO ₂ of 201 to 300
		2. Bilateral infiltrates on frontal chest radiographs
		Pulmonary artery wedge pressure < 18 mmHg when measured or no clinical evidence of left atrial hypertension
ARDS	Acute respiratory distress syndrome	1. Ratio of partial pressure of arterial oxygen to fraction of inspired oxygen (PaO ₂ /FiO ₂) < 200, regardless of level of positive end-expiratory pressure (PEEP)
		2. Bilateral infiltrates on frontal chest radiographs
		3. Pulmonary artery wedge pressure < 18 mmHg when measured or no clinical evidence of left atrial hypertension
VILI	Ventilator-in- duced lung injury	Refers to aggravation of underlying lung injury by ventilatory therapy alone. This may occur as a result of excessive pressure (barotrauma), alveolar overdistension (volutrauma) trauma with repeated opening and closing of alveoli (atelectrauma) and alveolar inflammation or nosocomial infection (biotrauma)
VALI	Ventilator-associ- ated lung injury	Lung injury associated with ventilation, which is believed to occur as the result of repeated alveolar collapse and expansion (RACE)

Appendix 2. MEDLINE (Ovid SP) search strategy

- 1. Pulmonary Ventilation/ or Ventilation/ or Positive-Pressure Respiration/ or Respiration, Artificial/ or Ventilators, Mechanical/ or High-Frequency Ventilation/ or High-Frequency Jet Ventilation/
- $2. \ ((Pressure-controlled\ or\ volume-controlled)\ and\ ventilat^*). mp.\ or\ ventilat^*.ti. \\$
- 3.1 or 2
- 4. Respiratory Distress Syndrome, Adult/ or Respiratory Insufficiency/ or exp Severe Acute Respiratory Syndrome/ or Lung Injury/
- 5. (acute respiratory failure or acute lung injury or (acute adj3 distress syndrome)).mp. or (ALI or ARDS).ti,ab.
- 6.5 or 4
- 7. ((randomized controlled trial or controlled clinical trial).pt. or randomized.ab. or placebo.ab. or clinical trials as topic.sh. or randomly.ab. or trial.ti.) not (animals not (humans and animals)).sh.
- 8. 7 and 6 and 3

Appendix 3. EMBASE (Ovid SP)

- 1. lung ventilation/ or positive end expiratory pressure/ or artificial ventilation/ or ventilator/ or high frequency ventilation/ or high frequency ventilation/
- 2. ((Pressure-controlled or volume-controlled) and ventilat*.ti, ab. or ventilat*.ti.
- 3. 1 or 2
- 4. adult respiratory distress syndrome/ or respiratory failure/ or severe acute respiratory syndrome/ or lung injury/
- 5. (acute respiratory failure or acute lung injury or (acute adj3 distress syndrome) or (ALI or ARDS)).ti,ab.



- 6.4 or 5
- 7. (placebo.sh. or controlled study.ab. or random*.ti,ab. or trial*.ti,ab.) not (animals not (humans and animals)).sh.
- 8.3 and 6 and 7

Appendix 4. CENTRAL

- #1 MeSH descriptor Pulmonary Ventilation, this term only
- #2 MeSH descriptor Positive-Pressure Respiration explode all trees
- #3 MeSH descriptor Respiration, Artificial explode all trees
- #4 MeSH descriptor Ventilators, Mechanical explode all trees
- #5 MeSH descriptor High-Frequency Ventilation explode all trees
- #6 MeSH descriptor High-Frequency Jet Ventilation explode all trees
- #7 ((Pressure-controlled or volume-controlled) and ventilat*):ti,ab
- #8 (#1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7)
- #9 MeSH descriptor Respiratory Distress Syndrome, Adult explode all trees
- #10 MeSH descriptor Respiratory Insufficiency explode all trees
- #11 MeSH descriptor Severe Acute Respiratory Syndrome explode all trees
- #12 MeSH descriptor Lung Injury explode all trees
- #13 (acute respiratory failure or acute lung injury or (acute near distress syndrome)):ti,ab or (ALI or ARDS):ti,ab
- #14 (#9 OR #10 OR #11 OR #12 OR #13)
- #15 (#8 AND #14)

Appendix 5. ISI Web of Science

- #1 TS=((Pressure-controlled or volume-controlled) SAME ventilat*) or TS=(respirat* SAME (pressure or artificial))
- #2 TI=(distress syndrome) or TS=(acute respiratory SAME (distress or Insufficiency or failure)) or TS=(acute lung injury) or TS=(ALI or ARDS)
- # TS=(random* or trial* or placebo* or multicenter* or prospective*) or TS=((single or double or triple or treble) SAME (mask* or blind))
- # 4 #3 AND #2 AND #1

Appendix 6. Data abstraction form

Study selection, quality assessment and data extraction form

First author	Journal/Conference proceedings, etc.	Year

Study eligibility



RCT/Quasi/CCT (delete as appropriate)	Relevant participants	Relevant interventions	Relevant outcomes
Yes/No/Unclear	Yes/No/Unclear	Yes/No/Unclear	Yes/No ^a /Unclear
^a Issue relates to selective reporting when within the paper(s). Review authors should from publication. Study should be listed ir study should be excluded. CCT = Controlled clinical trial.	contact trialists for informati	on on possible non-reported ou	tcomes and reasons for exclusion
RCT = Randomized controlled trial.			
Do not proceed if any of the above answe the information to be inserted into Charac			of the review, record below

References to trial

Check other references identified in searches. If further references to this trial are found, link the papers now and list below. All references to a trial should be linked under one Study ID in RevMan.

Code each paper	Author(s)	Journal/Conference proceedings, etc.	Year
A	The paper listed above		
В	Further papers		



Participants and trial characteristics

	Participant characteristics		
	Volume controlled	Pressure con-	
	volume controlled	trolled	
Numbers of participants			
Age (mean, median, range, etc.), years			
Sex of participants (numbers/%, etc.)			
Duration of symptoms before recruitment			
APACHE II/III score			
SAPS score			
Lung injury score			
P/F ratio at admission			
pH at admission			
Static compliance at admission			
No extrapulmonary organ failure			
Extrapulmonary organ failure			
Primary ARDS			
Extrapulmonary ARDS			
Baseline plateau pressure			
Baseline tidal volume set/achieved			
Co-morbidities			
IHD			
COPD			
DM			
Smoking			
Others			
Cause of ARDS/ALI			
Sepsis			
Trauma			



(Continued) Pulmonary infection		
Gastric aspiration		
Transfusion		
Reperfusion injury		
Pancreatitis		
Others		

ALI: Acute lung injury.

APACHE: Acute Physiology and Chronic Health Evaluation.

ARDS: Acute respiratory distress syndrome.

COPD: Chronic obstructive pulmonary disease.

DM: Diabetes mellitus.

IHD: Ischaemic heart disease.

P/F: PaO₂/FiO₂ ratio.

SAPS: Simplified Acute Physiology Score.

Trial characteristics (usually completed by just one review author)

Trial characteristics	
	Further details
Single centre/Multi-centre	
Country/Countries	
How was participant eligibility defined?	
How many people were randomly assigned?	
Number of participants in each intervention group	
Number of participants who received intended treatment	
Number of participants who were analysed	
Intervention used	
Duration of treatment (state weeks/months, etc.; if cross-over trial, give length of time in each a	rm)



(Continued)		
Median (range) length of follow-up reported in this paper (state weeks, stated)	months or yea	rs or if not
Time points when measurements were <u>taken</u> during the study		
Time points <u>reported</u> in the study		
Time points <u>you</u> are using in RevMan		
Trial design (e.g. parallel/cross-over*)		
Other		
Methodological quality		
Allocation of intervention		
State here method used to generate allocation and reasons for grading	Grade (circle)	
	Adequate (ra	ndom)
	Inadequate (e.g. alternate)
	Unclear	
Concealment of allocation		
Process used to prevent foreknowledge of group assignment in an I	RCT, which sho	ould be seen as distinct from blinding
State here method used to conceal allocation and reasons for grading		Grade (circle)
		Adequate
		Inadequate
		Unclear
Blinding		
Person responsible for participants' care		Yes/No
Participant		Yes/No



(Continued)	
Outcome assessor	Yes/No
Other (please specify)	Yes/No
Intention-to-treat	
An intention-to-treat analysis is one in which all participants in a trial are analysed according to the allocated, whether or not they received it	e intervention to which they were
All participants entering trial	
≤ 15% excluded	
> 15% excluded	
Not analysed as 'intention-to-treat'	
Unclear	

Were withdrawals described? Yes? No? Not clear? Discuss if appropriate.

Data extraction

Outcomes relevant to your review

Copy and paste from Types of outcome measures

	Reported in paper (circle)
Mortality (in ICU)	Yes/No
Mortality (in hospital)	Yes/No
Mortality (90 day)	Yes/No
Physiological variables	Yes/No
Duration of mechanical ventilation	Yes/No
Ventilator-free days	Yes/No
Number of participants with infective complications	Yes/No
Duration of ICU stay	Yes/No
Duration of hospitalization	Yes/No
Events of barotrauma	Yes/No



Other organ failure/dysfunction during ICU stay

Quality of life measures post discharge from hospital

Yes/No

Cochrane
Library

Trusted evidence.
Informed decisions.
Better health.

For continuous data							
Code of paper	Outcomes (rename)	Unit of mea- surement	Intervent	ion group	Control gro	oup	Details if on ly outcome described in text
			N	Mean (SD)	N	Mean (SD)	
	Quality of life measures post discharge from hospital	Score					
	Duration of mechanical ventilation	Days					
	Ventilator-free days	Days					
	Duration of ICU stay	Days					
	Duration of hospitalization	Days			,		
	PaO ₂ /FiO ₂ (P/F) ratio at 24 hours						
	P/F ratio on day 4						
	P/F ratio on day 7						
	Compliance on day 1	mL/cm H ₂ O					
	Compliance on day 4						
	Compliance on day 7						
	Arterial pH on day 1						
	Arterial pH on day 4						
	Arterial pH on day 7						



For dichotomous data					
Code of pa-	Outcomes (rename)	Intervention group (N)	Control group (N)		
per		N = number of partici- pants, not number of events	N = number of partic- ipants, not number of events		
	Mortality (in ICU)				
	In-hospital mortality				
	Mortality at longest follow-up (90 days)				
	Events of barotrauma				
	Other organ failure/dysfunction during ICU stay				
	Number of participants with infective complications				
	VAP				
	CRBSI				

CRBSI: Catheter-related bloodstream infection.

ICU: Intensive care unit.

VAP: Ventilator-associated pneumonia.

Other information that you feel is relevant to the results

Indicate if any data were obtained from the primary author; if results were estimated from graphs, etc, or were calculated by you using a formula (this should be stated and the formula given). In general if results not reported in paper(s) are obtained, this should be made clear here to be cited in the review.

Freehand space for writing actions such as contact with study authors and changes

References to other trials



Did this report include any references to published reports of potentially eligible trials not already identified for this review?

First author

Journal/Conference

Year of publication

Did this report include any references to unpublished data from potentially eligible trials not already identified for this review? If yes, give list contact name and details

FEEDBACK

Error noted in mortality in the ICU

Summary

When you compared the mortality in the ICU, you stated that this benefit may not always be clinically appreciable because of the lower limit of the 95% confidence interval for the effect estimate. But I think the two groups have a difference because the test for overall effect was P = 0.04 < 0.05 (see Analysis 1.2). Can you please clarify this?

Reply

Thank you for alerting us to an error which appeared in the following statement in the Effects of interventions/ Mortality in hospital and in ICU section: "Two trials (Esteban 2000; Meade 2008) also provided data for mortality in the ICU. PCV reduced risk of death in the ICU compared with VCV (32% vs 38%), but the lower limit of the 95% CI for the effect estimate indicated that this benefit may not always be clinically appreciable (RR 0.84, 95% CI 0.71 to 0.99)".

We have made the following changes to this statement:

a. We have changed 'lower limit' in the statement to 'upper limit' (see below)

b. To further clarify that the benefit may not always be clinically appreciable, we have added the following: "The analysis involved only two trials (1062 participants). Further, in practice, hospital mortality has greater relevance than ICU mortality."

The statement now reads in full: "Two trials (Esteban 2000; Meade 2008) also provided data for mortality in the ICU. PCV reduced risk of death in the ICU compared with VCV (32% vs 38%), but the upper limit of the 95% CI for the effect estimate indicated that this benefit may not always be clinically appreciable (RR 0.84, 95% CI 0.71 to 0.99; for the following reasons: the analysis involved only two trials (1062 participants). Further, in practice, hospital mortality has greater relevance than ICU mortality. (Analysis 1.2; Figure 5)."

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I do not have any affiliation with or involvement in any organization with a financial interest in the subject matter of my comment

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WHAT'S NEW



Date	Event	Description
17 December 2018	Amended	Editorial team changed to Cochrane Emergency and Critical Care
13 December 2016	Amended	Feedback received, responded to and incorporated in the review; error corrected in Effects of interventions/ Mortality in hospital and in ICU

HISTORY

Protocol first published: Issue 11, 2010 Review first published: Issue 1, 2015

Date	Event	Description
15 September 2016	Amended	Analysis 1.2: label corrected to show risk of death as lower for PCV (previously reversed); Figure 5 (analysis 1.2) also corrected

CONTRIBUTIONS OF AUTHORS

Binila Chacko (BC) and John Victor Peter (JVP) conceived of the review, wrote the protocol, screened and selected studies, extracted data, assessed risk of bias, contacted study authors for additional data and helped write the review. In addition, BC co-ordinated the review, entered and analysed data and drafted the 'Summary of findings' table and the final review. JVP also assisted in designing the approach to the review and in interpreting data.

Prathap Tharyan (PT) helped write the protocol, checked the appropriateness of included and excluded studies, checked extracted data and performed additional extraction, checked risk of bias assessments and data entry, finalized the 'Summary of findings' table and helped write the review.

Lakshmanan Jeyaseelan (LJ) helped write the protocol and checked data analysis and interpretation of results.

George John (GJ) provided perspectives on background and methods and helped interpret and write the review.

All review authors approved the final version of this review. PT served as the guarantor of this review.

DECLARATIONS OF INTEREST

Binila Chacko: none known.

John V Peter: none known.

Prathap Tharyan is a programme partner with the Effective Health Care Research Consortium (funded by DFID) (www.evidence4health.org/) and is a recipient of a grant under this project. This is one of the portfolios of reviews that were mentored under the terms of this grant. PT is contracted by The Cochrane Collaboration to help build capacity among authors from India and the South-Asian region to undertake systematic reviews.

George John: none known.

Lakshmanan Jeyaseelan: none known.

SOURCES OF SUPPORT

Internal sources

• Christian Medical College, Vellore, India.

All authors are salaried employees of CMC Vellore



External sources

• Indian Council of Medical Research, India.

Funding for the Prof. BV Moses Centre at CMC Vellore during protocol development and for the initial period of review completion

• Effective Health Care Research Consortium, UK.

Funding for the South Asian Cochrane Centre via a programme grant to the Liverpool School of Tropical Medicine (Paul Garner) from UKaid (Depertment for International Development) in aid of developing countries. PT Is a programme partner

DIFFERENCES BETWEEN PROTOCOL AND REVIEW

We made the following changes to the protocol (Chacko 2010).

Background

We added the Berlin definition (Ranieri 2012) to the section on Description of the condition.

Methods

Criteria for selecting studies

- 1. In Types of studies, we clarified that only parallel-group RCTs and quasi-RCTs were included, and that cross-over trials were excluded. We had omitted to clearly state this intent in our protocol.
- 2. We added an exclusion criterion to the section Types of participants: "Trials of patients with acute respiratory failure comprising of a mixed population of patients with and without ALI/ARDS (unless those without ALI/ARDS constitute less than 10% of the population)." Again, we had omitted to clearly state this in our protocol.
- 3. In the section Types of outcome measures, we included data on 'Mortality in ICU' along with in-hospital mortality as a primary outcome, as these data were presumed to also include mortality In hospital. An additional endpoint for assessing mortality on follow-up at 28 days was included as a standard method to assess mortality across trials. We also added one relevant secondary outcome, 'Length of stay in ICU and in hospital.'

Data synthesis

In our protocol we had stated that data would be synthesized using random-effects, inverse variance meta-analysis. For the review we pooled data using the Mantel-Haenszel method. This was based on guidance provided in Deeks 2011 indicating that estimates of standard errors of effect estimates using inverse variance methods may be poor when event rates are low or when study size is small; Mantel-Haenszel methods have been shown to have better statistical properties in these situations.

Unit of analysis issues

If we had included trials randomized by clusters, and if results were adjusted for clustering, we would have combined adjusted measures of effects of these cluster-randomized trials. If results had not been adjusted for clustering, we would have attempted to make these adjustments by multiplying the standard errors of estimates by the square root of the design effect when the design effect was calculated as $D_{\text{Eff}} = 1 + (M - 1)$ ICC, where M was the average cluster size and ICC was the intracluster coefficient. If this was not possible, we would not have combined data in a meta-analysis but would have presented the results in an additional table.

If outcomes had been reported both at baseline and at follow-up or at trial endpoints, we would have extracted both the mean change from baseline and the standard deviation of this mean for each treatment group, as well as the same for endpoint data. We would have used endpoint data preferentially but would have combined endpoint and change scores for outcomes from trials for each comparison if endpoint data were not available.

Had count data been reported in trials, we would have extracted the total number of events in each group and the total amount of persontime at risk in each group. We also would have recorded the total number of participants in each group. If this information was not available, we would have attempted to extract alternative summary statistics such as risk ratios and confidence intervals, if available. Had count data been presented as dichotomous outcomes, we would have extracted the number of participants in each intervention group and the number of participants in each intervention group who experienced at least one event. Had count data been presented as continuous outcomes or as time-to-event outcomes, we would have attempted to extract the same information as outlined for continuous and time-to-event outcomes.

Had time-to-event outcomes been reported, we would have extracted estimates of the log hazard ratio and its standard error. If standard errors were not available, we would have extracted alternative statistics such as confidence intervals or P values.

Summarizing and interpreting results



Outcomes selected for the 'Summary of findings' tables were expanded to include mortality in ICU and on follow-up (at 28 days).

NOTES

December 2016

Feedback received, responded to and incorporated in the review; error corrected in Effects of interventions/ Mortality in hospital and in ICU

September 2016

Analysis 1.2: label corrected to show risk of death as lower for PCV (previously reversed); Figure 5 (analysis 1.2) also corrected.

INDEX TERMS

Medical Subject Headings (MeSH)

Acute Lung Injury [*complications] [mortality]; Critical Care; Hospital Mortality; Pressure; Randomized Controlled Trials as Topic; Respiration, Artificial [adverse effects] [*methods] [mortality]; Respiratory Insufficiency [etiology] [mortality]; Respiratory Insufficiency [etiology] [mortality]; Selection Bias

MeSH check words

Female; Humans; Male; Middle Aged