

# A Dynamic Zoom ADC With 109-dB DR for Audio Applications

Burak Gönen, *Student Member, IEEE*, Fabio Sebastiano, *Senior Member, IEEE*, Rui Quan, Robert van Veldhoven, *Senior Member, IEEE*, and Kofi A. A. Makinwa, *Fellow, IEEE*

**Abstract**—This paper presents the first dynamic zoom ADC. Intended for audio applications, it achieves 109-dB DR, 106-dB signal-to-noise ratio, and 103-dB SNDR in a 20-kHz bandwidth, while dissipating only 1.12 mW. This translates into the state-of-the-art energy efficiency as expressed by a Schreier FoM of 181.5 dB. It also achieves the state-of-the-art area efficiency, occupying only 0.16 mm<sup>2</sup> in the 0.16- $\mu$ m CMOS. These advances are enabled by the use of concurrent fine and coarse conversions, dynamic error-correction techniques, and a dynamically biased inverter-based operational transconductance amplifier.

**Index Terms**—Audio, compact ADC, delta sigma, discrete time, dynamic, dynamic range (DR), hybrid ADC, precision, zoom ADC.

## I. INTRODUCTION

TO ACHIEVE effective noise and echo cancellation, audio codecs for automotive applications often require up to eight input channels [1], [2]. In order to detect the expected low-level disturbances, i.e., acoustic noise and echoes, in the presence of the wanted acoustic signals, i.e., voice or music, energy efficient audio ADCs with high dynamic range (DR > 100 dB) are needed. Moreover, they must occupy a minimal die area to enable the low-cost realization of multi-channel audio codecs.  $\Delta\Sigma$  ADCs have become the most popular choice for audio applications, since they provide high resolution at a high energy efficiency [3]–[20]. ADCs based on single-bit  $\Delta\Sigma$  modulators ( $\Delta\Sigma$ Ms) are preferred for their inherent linearity, but achieving high resolution usually requires a high-order loop-filter and a high oversampling ratio (OSR). Furthermore, their high level of out-of-band quantization noise tightens the requirements on their decimation filters, consequently increasing its complexity and compromising energy efficiency. As an alternative, multi-bit  $\Delta\Sigma$ Ms facilitate the use of lower order loop filters and lower OSR, while also ensuring low out-of-band quantization noise. However, they require fast multi-bit quantizers to avoid introducing excessive loop delay and, hence, loop instability. These are often implemented as flash ADCs at the expense of

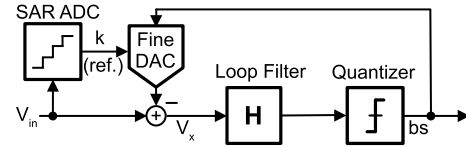


Fig. 1. Block diagram of the dynamic zoom-ADC.

exponentially increasing circuit complexity, area, and power consumption.

Recently, zoom ADCs have been shown to be well suited for high-resolution and high-linearity applications; simultaneously achieving high energy efficiency and small die area [21]–[23]. A zoom ADC employs a two-step architecture: the result of a coarse ADC is used to adapt the references of a fine ADC, allowing it to “zoom in” on the signal level. The accuracy of the conversion thus depends exclusively on the accuracy of the fine ADC and its references [22], [24]. Consequently, the accuracy and resolution of the coarse ADC can be low, facilitating its implementation as an ultra-low-power low-area successive approximation register (SAR) converter. Furthermore, zooming relaxes the DR of the fine converter, facilitating its implementation as a compact and energy-efficient single-bit  $\Delta\Sigma$ M. In prior implementations of the zoom ADCs, however, the coarse and fine converters were operated sequentially, with a coarse SAR conversion followed by a much slower fine  $\Delta\Sigma$  conversion, thus limiting their application to the conversion of quasi-static signals, such as temperature or capacitance [21]–[23].

In order to extend the use of zoom ADCs to audio applications, this paper presents the first *dynamic* zoom ADC, in which the coarse and fine ADCs are operated concurrently, i.e., in parallel [25]. It achieves 109-dB DR, 106-dB signal-to-noise ratio (SNR), and 103-dB SNDR in a 20-kHz bandwidth while dissipating 1.12 mW and occupying 0.16 mm<sup>2</sup>. In terms of bandwidth, this represents a 1000-fold improvement on previous zoom ADCs [21]–[23], while maintaining their state-of-the-art energy efficiency.

This paper is organized as follows. Section II describes the architecture of the dynamic zoom ADC. In Section III, the system level design is presented. Section IV focuses the circuit level implementation. Measurement results are given in Section V, and conclusions are drawn in Section VI.

## II. DYNAMIC ZOOM ADC

The block diagram of the proposed dynamic zoom ADC is shown in Fig. 1. It consists of a coarse SAR ADC and a fine  $\Delta\Sigma$ M working *concurrently*. A schematic of the SAR

Manuscript received September 30, 2016; revised January 4, 2017; accepted January 31, 2017. Date of publication March 27, 2017; date of current version May 23, 2017. This paper was approved by Associate Editor Aaron Buchwald.

B. Gönen, F. Sebastiano, and K. A. A. Makinwa are with the Delft University of Technology, 2628CD Delft, The Netherlands (e-mails: b.gonen@tudelft.nl; f.sebastiano@tudelft.nl; k.a.a.makinwa@tudelft.nl).

R. Quan and R. van Veldhoven are with NXP Semiconductors, 565AE Eindhoven, The Netherlands (e-mails: rui.quan@nxp.com; robert.van.veldhoven@nxp.com).

Color versions of one or more of the figures in this paper are available online at <http://ieeexplore.ieee.org>.

Digital Object Identifier 10.1109/JSSC.2017.2669022

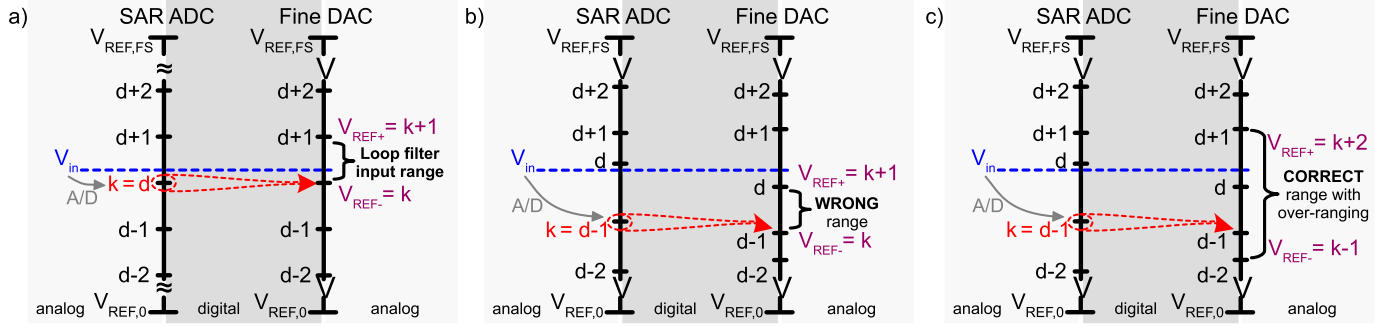


Fig. 2. (a) SAR ADC's quantization levels and references chosen by the fine DAC for an example input. (b) Same as (a), but with mismatch on both the SAR and fine DAC levels yielding an error in the chosen references. (c) Same as (b) with over-ranging ( $M = 2$ ) resulting the input value to be within range of the chosen references.

ADC's quantization levels and their relation to the zoomed-in references of the  $\Delta\Sigma$ 's DAC is shown in Fig. 2(a). The SAR ADC's output ( $k$ ) is found such that  $k \cdot V_{LSB,C} < V_{in} < (k+1) \cdot V_{LSB,C}$  where  $V_{LSB,C}$  is its quantization step or least significant bit (LSB). The digital value  $k$  is then processed to dynamically adjust the references of the fine DAC such that  $V_{REF-} = k \cdot V_{LSB,C}$  and  $V_{REF+} = (k+1) \cdot V_{LSB,C}$ . In the example given in Fig. 2(a), the SAR ADC's output  $k$  corresponds to the quantization level  $d$  so that  $V_{REF-} = d \cdot V_{LSB,C}$  and  $V_{REF+} = (d+1) \cdot V_{LSB,C}$ . These reference voltages straddle the input signal  $V_{in}$ , thus ensuring that it lies in the input range of the fine  $\Delta\Sigma$ . Thanks to zooming, the signal ( $V_x$  in Fig. 1) processed by the loop filter is much smaller than  $V_{in}$ , i.e.,  $|V_x| \leq LSB_C \ll V_{in}$ , and so the  $\Delta\Sigma$ 's quantization step can be considerably reduced. In the example shown in Fig. 2(a), however,  $V_{in}$  might be very close to one of the chosen references, i.e.,  $V_{in} \approx V_{REF+}$  or  $V_{in} \approx V_{REF-}$ , resulting in modulator overload (especially in the case of higher order modulators). Moreover, mismatch between the quantization levels of the coarse and fine DACs, as shown in Fig. 2(b), could also cause  $V_{in}$  to fall outside the  $\Delta\Sigma$ 's input range.

To address this issue, the  $\Delta\Sigma$ 's input range can be widened by using *over-ranging*, i.e., by choosing its DAC references as  $V_{REF+} = (k+1 + M/2) \cdot LSB_C$  and  $V_{REF-} = (k-M/2) \cdot LSB_C$  where  $M$  is the over-ranging factor. Fig. 2(c) gives an example of over-ranging with  $M = 2$  in the presence of the same mismatch as in Fig. 2(b). The chosen references  $V_{REF+} = (k+2) \cdot LSB_C$  and  $V_{REF-} = (k-1) \cdot LSB_C$  now correctly straddle  $V_{in}$  even in the presence of a coarse conversion error. In other words, over-ranging leaves more room for coarse errors, and thus dramatically relaxing the accuracy requirements of the SAR ADC while ensuring that the  $\Delta\Sigma$  is always stable. Moreover, over-ranging can be easily accommodated in the digital backend by just adding and subtracting an integer value, i.e.,  $\pm M/2$ , while adjusting the fine ADC's references.

Since over-ranging increases the  $\Delta\Sigma$ 's input range, it also increases its quantization noise, resulting in a tradeoff between resolution, linearity, and the offset requirements of the SAR ADC. Because the input signal  $V_{in}$  is directly fed to the fine  $\Delta\Sigma$ , the linearity of the overall zoom ADC is only determined by the fine DAC and the loop filter, as long as the SAR ADC's INL error is small enough to keep the

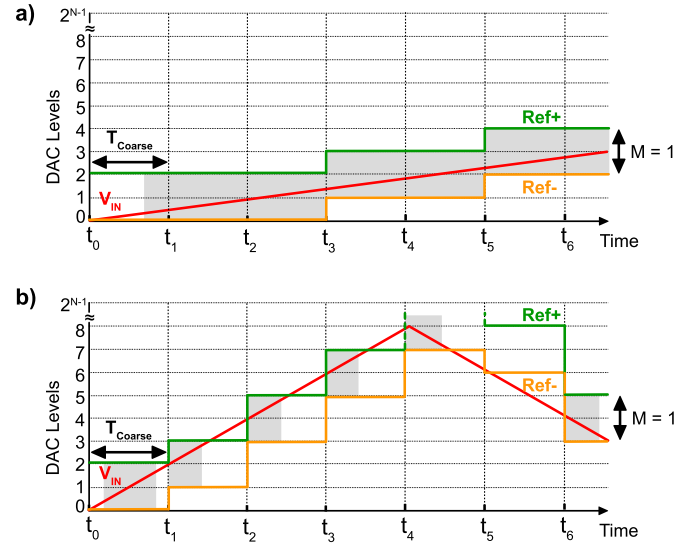


Fig. 3. Time-domain operation of zooming for (a) slow changing input and (b) fast changing input for  $M = 1$ .

$\Delta\Sigma$  stable. Achieving the required loop filter linearity is greatly eased by zooming, since it ensures a low swing at the input of the loop filter ( $|V_x| \leq M \cdot LSB_C \ll V_{in}$ ). At the same time, Dynamic element matching (DEM) can be used to meet the high linearity requirements in the fine DAC. In this way, zooming enables an energy-efficient two-step conversion without stringent linearity requirements on the coarse ADC, which can then be simply realized as an ultra-low-power low-area converter.

The final output of the zoom ADC is simply acquired by combining the coarse and fine digital outputs as they are used in the fine DAC, i.e.,  $(k+1 + M/2)$  for  $bs = 1$ , and  $(k - M/2)$  for  $bs = 0$ .

### III. SYSTEM LEVEL DESIGN

#### A. SAR Resolution, BW, and Over-ranging

As explained in Section II, it is desirable to increase the resolution of the coarse ADC to reduce the  $\Delta\Sigma$ 's input range and hence its resolution. However, due to the limited conversion speed of the coarse ADC, the update of the  $\Delta\Sigma$ 's references is subject to a delay, during which the input signal can move out of the  $\Delta\Sigma$ 's stable input range. This issue is more pronounced for faster input signals and for smaller  $\Delta\Sigma$

input ranges, i.e., for higher resolution of the coarse ADC. As shown in Fig. 3(a), when the input signal changes slowly enough to always allow the dynamically adjusted references straddle the signal, the conversion is always valid. However, the  $\Delta\Sigma M$  can overload when the input signal is changing too fast to be tracked by the coarse SAR ADC, as shown in Fig. 3(b).

The stable input range of  $\Delta\Sigma M$  can be expressed as

$$V_{\Delta\Sigma, \max} = \alpha \cdot (V_{\text{REF},+} - V_{\text{REF},-}) = \alpha \cdot (M+1) \cdot \frac{V_{\text{REF},FS}}{2^N} \quad (1)$$

where  $V_{\text{REF},FS}$  is the full-scale of the zoom ADC,  $\alpha \leq 1$  defines the topology-dependent stable input range of the  $\Delta\Sigma M$ , and  $N$  is the coarse ADC resolution. If the conversion rate of the coarse ADC is  $f_{S,\text{coarse}}$ , the delay in reference update is  $1/f_{S,\text{coarse}}$  and no overload occurs if the signal variation ( $\Delta V_{\text{in}}$ ) does not exceed the stable input range of the  $\Delta\Sigma M$  during this interval, that is

$$\Delta V_{\text{in}} \leq \alpha \cdot \frac{V_{\text{REF},FS} \cdot (M+1)}{2^N} \quad (2)$$

The largest signal variation occurs for a full-scale input sinusoid at maximum frequency  $f_{\text{in},\max}$  at its zero-crossings, for which

$$\begin{aligned} \Delta V_{\text{in}} &\cong \frac{1}{f_{S,\text{coarse}}} \cdot \frac{dV_{\text{in}}}{dt} \\ &= \frac{1}{f_{S,\text{coarse}}} \cdot \frac{d}{dt} V_{\text{REF},FS} \cdot \sin(2\pi \cdot f_{\text{in},\max} \cdot t) \Big|_{t=0} \\ &= V_{\text{REF},FS} \cdot 2\pi \cdot \frac{1}{f_{S,\text{coarse}}} f_{\text{in},\max} \end{aligned} \quad (3)$$

combining (2) with (3) results in

$$f_{\text{in},\max} < \frac{\alpha \cdot (M+1) \cdot f_{S,\text{coarse}}}{\pi \cdot 2^{N+1}} \quad (4)$$

Assuming that the SAR ADC and the  $\Delta\Sigma M$  are clocked at the same frequency  $f_S$  and that the SAR ADC requires  $N$  clock periods to complete its  $N$ -bit conversion, i.e.,  $f_{S,\text{coarse}} = f_S/N$ , the maximum input signal frequency of the zoom ADC is

$$f_{\text{in},\max} < \frac{\alpha \cdot (M+1) \cdot f_S}{\pi \cdot N \cdot 2^{N+1}} \quad (5)$$

It is clear that the signal bandwidth of the zoom ADC is limited by the coarse ADC's resolution, but that it can be improved by increasing  $f_S$  or  $M$ . Since the energy efficiency of a  $\Delta\Sigma M$  is, to first order, independent of its sampling frequency, a higher  $f_S$  is preferred over a higher  $M$ . Moreover, as will be shown in Section IV, increasing  $f_S$  decreases the area of the  $\Delta\Sigma M$ . In the chosen technology, i.e., 0.16- $\mu\text{m}$  CMOS,  $f_S = 11.29 \text{ MHz}$  is chosen, as a compromise between bandwidth optimization and consumption in the digital circuits (DEM controller, SAR controller, and decimation filter). Fig. 4 shows  $f_{\text{in},\max}$  as a function of the over-ranging  $M$  for  $f_S = 11.29 \text{ MHz}$ ,  $\alpha = 0.5$ , and for different SAR ADC resolutions. Both a 4-bit SAR ADC with  $M = 2$ , and a 5-bit SAR ADC and  $M = 4$  are suitable. The latter is chosen, since its quantization error will be lower, resulting in more accurate predictions of the fine ADC's input range.

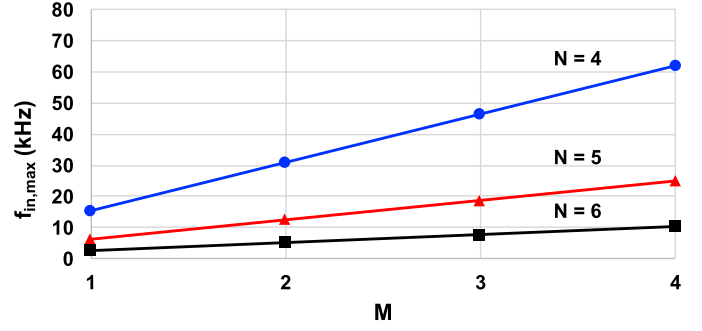


Fig. 4.  $f_{\text{in},\max}$  versus  $M$  for 4–6-b SAR ADCs clocked at 11.29-MHz  $f_S$ .

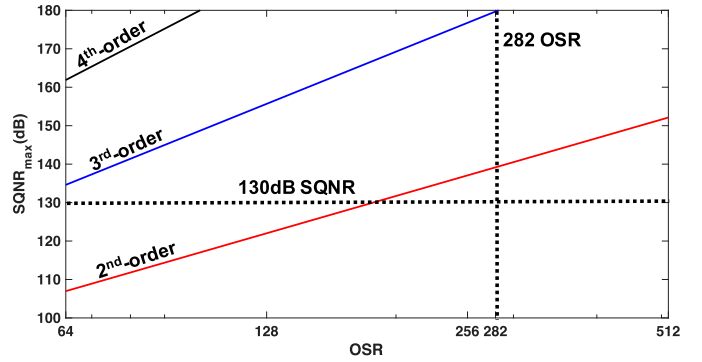


Fig. 5.  $\text{SQNR}_{\max}$  of a zoom-ADC with 5-bit SAR ADCs, and a first-, second-, and third-order 1-b  $\Delta\Sigma M$  versus OSR.

### B. Loop Filter and Quantizer

The signal-to-quantization-noise ratio (SQNR) of the zoom ADC depends on the  $\Delta\Sigma M$ 's SQNR, the SAR ADC's resolution, and the over-ranging factor  $M$ . In order to have a thermal-noise-limited SNR, the quantization noise should be much less than the thermal noise, i.e.,  $\text{SQNR} = 130 \text{ dB}$  for  $\text{SNR} = 110 \text{ dB}$ . The  $\Delta\Sigma M$ 's SQNR is determined by the loop-filter order, the quantizer resolution, and the OSR. Since the out-of-band quantization noise is already low enough thanks to the zoom-induced reduction in  $\Delta\Sigma M$  input range, multi-bit quantization is not required.

The theoretical  $\text{SQNR}_{\max}$  for a zoom ADC with 1-bit quantizer, 5-bit SAR ADC, and  $M = 4$  for different loop-filter orders is shown in Fig. 5 [26]. This simplified model indicates that, for the chosen  $\text{OSR} = 11.29 \text{ MHz}/40 \text{ kHz} = 282$ , a second-order loop filter would be sufficient. However, a real second-order loop filter will not have enough margin. For a robust design, the third-order  $\Delta\Sigma M$  shown in Fig. 6 is chosen, taking into account an expected 15% (simulated) power penalty for the loop-filter's third stage. A switched-capacitor (SC) loop filter is chosen for its robustness to clock jitter. It is implemented as a cascade of integrators with feedforward compensation for its superior linearity and energy efficiency.

System level simulations revealed that the required SQNR performance is met for a dc gain of 65 dB in the first integrator, and a 40-dB dc gain for both the second and the third integrators. The first integrator's gain coefficient  $a_1$  in conventional  $\Delta\Sigma M$ s is usually less than 1 to realize a large stable input range. However, since zooming allows for

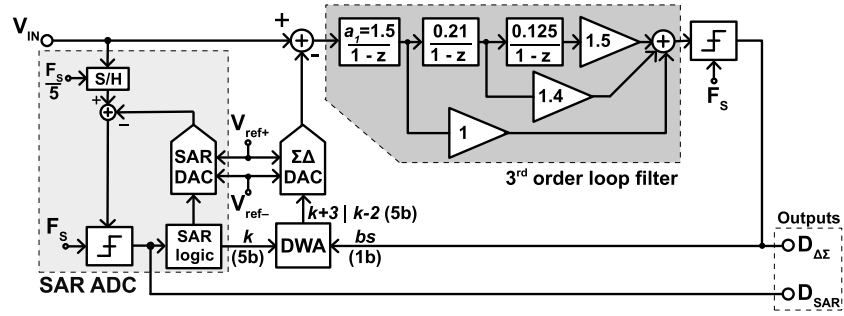


Fig. 6. System level block diagram of the implemented dynamic zoom-ADC.

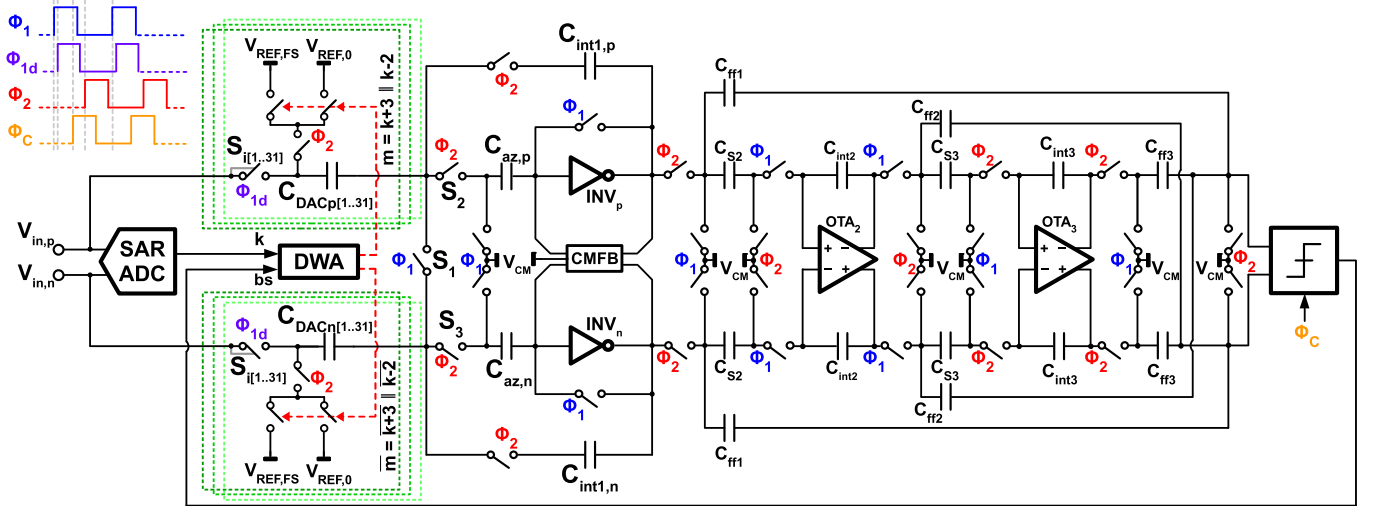


Fig. 7. Simplified schematic of the implemented dynamic zoom-ADC.

an input range much smaller than the zoom ADC's full-scale input,  $a_1 = 1.5$  is chosen. This corresponds to a first-integrator output swing of up to 27% of the full-scale. The area of an SC  $\Delta \Sigma M$  is mostly dominated by the sampling and the integration capacitors of the first integrator due to the noise requirements. Thanks to the increased  $a_1$ , the integration capacitor of the first integrator can be much smaller.

### C. Fine DAC

Since linearity is a critical specification for audio applications, the total harmonic distortion (THD) of the zoom ADC should be less than  $-100$  dB. As linearity is mainly limited by the fine DAC, even after the application of DEM, its unit elements should be designed for low mismatch. In the chosen process, thermometric capacitive DACs using lateral metal-metal capacitors have been shown to achieve 0.025% mismatch [22], and so a 5-bit version of this DAC is used. A data weighted averaging (DWA) scheme is used to further enhance the DAC linearity. The worst case residual error after DWA can be estimated as

$$E < \frac{1}{OSR} \cdot \sqrt{2^N - 1} \cdot \delta_{\max} \quad (6)$$

where  $\delta_{\max}$  is the worst case mismatch [22], [27]. For the assumed  $\delta_{\max} = 0.025\%$  with  $N = 5$  and  $OSR = 282$ , the expected worst case THD is  $-106$  dB, as was also verified by system level simulations.

## IV. CIRCUIT DESIGN

### A. $\Delta\Sigma$ Modulator

A simplified circuit schematic of the proposed dynamic zoom ADC is shown in Fig. 7. The fine DAC is implemented by using 160-fF metal fringe-capacitor units  $C_{\text{DACp},n[1..31]}$  resulting in a total 5-pF sampling capacitor that meets the thermal-noise requirements. The reduced swing of the  $\Delta\Sigma\text{M}$  enables the use of low-gain amplifiers for the realization of the integrators, such as simple energy-efficient CMOS inverters [22]. However, pseudo-differential inverter-based amplifiers exhibit a poor common-mode rejection ratio (CMRR) [13]. This is improved by an input sampling circuit that utilizes switches  $S_{1-3}$  to reject input common mode signals. At the end of the sampling phase  $\Phi_1$ , switch  $S_1$  opens and the differential input signal  $V_{\text{in}}$  is sampled on all 31 fine DAC capacitors  $C_{\text{DACp},n[1..31]}$ , while the input common-mode is cancelled. The CMRR is limited by the matching of the two sampling capacitors, and is simulated to be higher than 60 dB. Because they see rail-to-rail signals, the input switches  $S_{i[1..31]}$  are bootstrapped to improve their linearity [9]. In the integration phase  $\Phi_2$ ,  $m$  DAC elements ( $m = k - 2$  or  $m = k + 3$ ) are connected to  $V_{\text{ref},p}$  in the positive branch (to  $V_{\text{ref},n}$  in the negative branch), while the others are connected to  $V_{\text{ref},n}$  ( $V_{\text{ref},p}$ ). Thus, a differential charge equal to  $31 \cdot C_{\text{DACp}} \cdot V_{\text{in}} - C_{\text{DACp}} \cdot m \cdot (V_{\text{ref},p} - V_{\text{ref},n})$

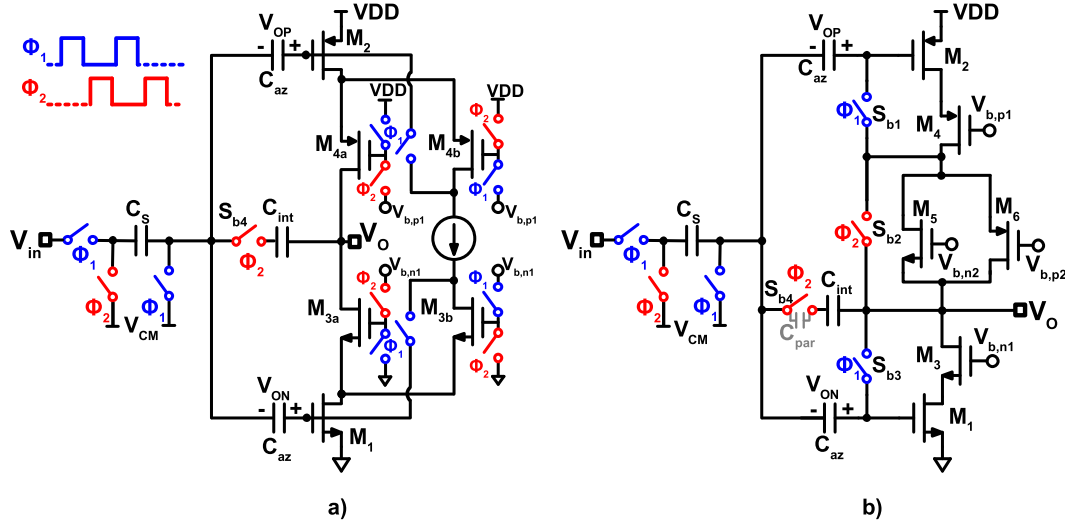


Fig. 8. (a) Inverter-based integrator used in [22]. (b) Inverter-based integrator proposed in this paper.

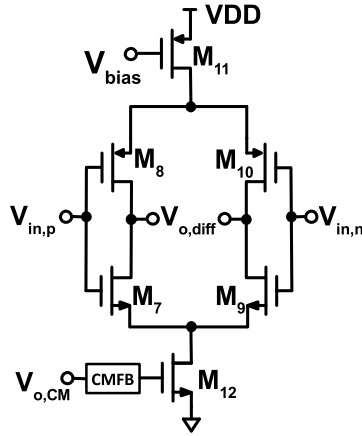


Fig. 9. Simplified schematic of OTA<sub>2</sub> and OTA<sub>3</sub>.

is transferred to the integration capacitors  $C_{intlp-n}$ , effectively performing reference zooming in charge domain. Since the units used in each period are scrambled by the DWA algorithm, a high-accuracy reference zooming is achieved. The quantizer is implemented as a dynamic latch preceded by a single stage preamplifier.

### B. Loop-Filter Integrators

Inverter-based integrators have been used in  $\Delta\Sigma$ Ms for their excellent energy efficiency [13], [21], [22], [28], [29]. However, a simple CMOS inverter's quiescent current is strongly dependent on its input voltage, and is prone to process, supply voltage, and temperature (PVT) variations. An energy-efficient pseudo-differential inverter-based operational transconductance amplifier (OTA), shown in Fig. 8(a), employing a dynamic biasing scheme addressing PVT sensitivity is proposed in [22]. The proposed topology, however, is not suitable for high sampling frequencies, as explained in the following. During the sampling phase  $\Phi_1$ , the input is sampled on  $C_s$  and the input transistors  $M_1$  and  $M_2$  are diode-connected and biased by a floating current source via cascode transistors  $M_{3b}$  and  $M_{4b}$ , while  $M_{3a}$  and  $M_{4a}$  are in OFF state. The bias voltages  $V_{OP}$  and  $V_{ON}$  are sampled on the

auto-zeroing capacitors  $C_{az}$  while simultaneously sampling the offset and  $1/f$  noise of the OTA to implement auto-zeroing. Since the floating current source needs to be removed from the circuit in the following integration phase  $\Phi_2$ ,  $M_{3b}$  and  $M_{4b}$  are driven OFF and  $M_{3a}$  and  $M_{4a}$  are turned ON by biasing their gates with  $V_{b,n1}$  and  $V_{b,p1}$ , respectively. Because of the large current flowing in the OTA, the switching gates of cascode transistors  $M_{3a,b}$  and  $M_{4a,b}$  are large enough to significantly load the biasing circuit generating  $V_{b,n1}$  and  $V_{b,p1}$ . For  $f_s = 11.29$  MHz, settling to the correct biasing voltages within each period would require the biasing circuit to consume about the same amount of power as the OTA itself, which would significantly degrade energy efficiency.

A dynamic biasing scheme for inverter-based OTAs is proposed in this paper and shown in Fig. 8(b). Instead of switching the floating current source by means of cascode transistors, switches  $S_{b1-3}$  are introduced. During the sampling phase  $\Phi_1$ , diode connections are established around the input transistors ( $M_{1-2}$ ) via  $S_{b1}$  and  $S_{b3}$ , and the floating current source ( $M_{5-6}$ ) forces the same bias current (125  $\mu$ A) through the input and cascode ( $M_{3-4}$ ) transistors. At the same time, the bias voltages as well as the offset and the  $1/f$  noise are sampled on the auto-zeroing capacitors  $C_{az}$  (2 pF each). In the integration phase  $\Phi_2$ , diode connections are broken by opening the switches  $S_{b1}$  and  $S_{b3}$ , and the floating current source consisting of  $M_5$  and  $M_6$  is simply bypassed by  $S_{b2}$ . Since there is no switching capacitive load to the biasing circuit, its power consumption can be minimized. Furthermore, the proposed biasing scheme results a much more compact design by eliminating two large cascode transistors. A simple SC common-mode feedback (CMFB) circuit as in [28] is adequate to avoid output common-mode drift in the pseudo-differential implementation.

Integration capacitors  $C_{int} = 3.3$  pF are realized by using metal fringe capacitors. The parasitic capacitance across  $S_{b4}$  ( $C_{par}$ ) may limit the integrator's dc gain if particular care is not taken. During the integration phase  $\Phi_2$ , the sampled charge is transferred to the integration capacitor  $C_{int}$ . In the following sampling phase  $\Phi_1$ ,  $S_{b4}$  is OFF and  $C_{par}$  is in series



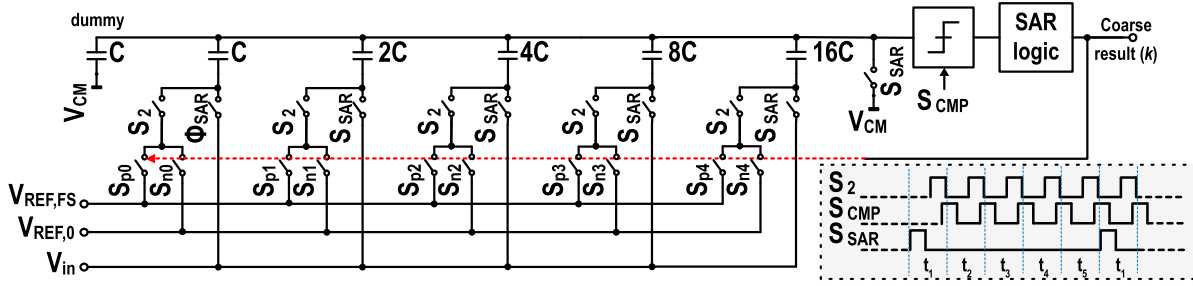


Fig. 10. Simplified schematic SAR ADC.

TABLE I  
PERFORMANCE SUMMARY AND COMPARISON WITH PREVIOUS WORK

	Unit	This work	[3]	[4]	[20]	[5]	[6]	[7]	[8]	[9]	[10]	[11]	[12]
Year	-	2016	2016	2016	2016	2014	2014	2012	2011	2009	2008	2005	2003
Technology	nm	160	160	180	65	180	28	180	40	180	350	350	350
Die Area	mm <sup>2</sup>	0.16	0.21	1.33	0.256	1.25	0.022	0.38	0.05	2.16	7.2	0.82	5.62
Power consumption	mW	1.12	0.39	0.28	0.8	0.28	1.13	1.1	0.5	0.87	165	18	68
Sampling frequency	MHz	11.29	3	6.144	6.4	6.144	24	2.56	6.5	5	6.144	5.12	6.144
Signal bandwidth	kHz	20	20	24	25	24	24	20	24	25	20	20	20
Peak SNR	dB	106	93.4	99.3	100.1	98.9	100.6	99.5	-	100	-	106 <sup>†</sup>	-
Peak SNDR	dB	103	91.3	98.5	95.2	98.2	98.5	99.3	90	95	111	99	105 <sup>†</sup>
DR	dB	109	103.1	103.6	103	103	100.6	101.3	102	100	124 <sup>†</sup>	106 <sup>†</sup>	114 <sup>†</sup>
FOMs <sup>††</sup>	dB	181.5	180.2	182.9	177.9	182.3	173.8	173.9	179	174.6	174.8 <sup>†</sup>	166 <sup>†</sup>	168.7 <sup>†</sup>

<sup>†</sup> A-weighted

<sup>††</sup> FOMs=DR+10log(Signal bandwidth/Power)

with  $C_{int}$ . Thus, some of the integrated charge leaks into  $C_{par}$ , and is discharged in the following  $\Phi_2$  phase, thus limiting the integrator dc gain. Hence, the ratio between  $C_{int}$  and  $C_{par}$  should be much higher than the intended dc gain of 65 dB, meaning less than  $C_{par} < 1$  fF for the  $C_{int} = 3.3$  pF, which is achieved by an optimized switch layout and proper shielding.

Due to their more relaxed requirements, fully differential current-starved inverter-based OTAs with SC CMFB are used to implement OTA<sub>2</sub> and OTA<sub>3</sub>, as shown in Fig. 9. Because of the relaxed noise and linearity requirements due to the first-integrator gain, they were biased at five times lower current levels compared with the first OTA (50  $\mu$ A each) and their capacitors were also scaled accordingly.

### C. SAR ADC

The simplified schematic of the SAR ADC with its timings is shown in Fig. 10. The 11-fF unit capacitors are sized to ensure that coarse conversion errors due to noise and mismatch are less than 1 LSB<sub>C</sub>. As shown in Fig. 10, the SAR ADC samples the input once every five clock cycles. At the end of each five-cycle conversion, the result  $k$  is given to the fine DAC. The same quantizer as in the  $\Delta\Sigma$  is used.

## V. EXPERIMENTAL RESULTS

The dynamic zoom ADC has been realized in a 0.16- $\mu$ m CMOS technology. The prototype ADC<sup>1</sup> occupies an area

<sup>1</sup>The ADC presented in this paper is an improved version of the one presented in [25].

of 0.16 mm<sup>2</sup>, as shown in Fig. 11. It draws 0.62 mA from a 1.8 V supply, with the digital circuitry consuming 29% of the power (DWA, SAR logic, and the non-overlapping clock generator). The first integrator with its 56% share dominates the analog power consumption. In contrast, the SAR ADC's analog section draws only 7  $\mu$ W (measured).

The test chip's digital outputs are the  $\Delta\Sigma$  bit-stream, the SAR ADC's comparator output, and a clock at  $f_s$  synchronized to the data. Initially, PCB-coupled interference from these outputs to the ADC's references limited the measured SNDR to 98.3 dB, in a 20-kHz bandwidth, and with a 1-kHz, 1.25 V(rms) input signal [25]. Lowering The supply of the digital output drivers is lowered from 1.8 to 0.9 V to reduce the interference (Fig 12). The ADC's peak SNR, SNDR, and DR were then measured to be 106, 103, and 109 dB, respectively, with DWA ON (Fig. 13). With DWA OFF,  $\Sigma\Delta$  DAC mismatch limits the peak SNDR to 72 dB. The ADC's measured CMRR is greater than 62 dB from dc up to 1 MHz for full-scale common-mode inputs, demonstrating the effectiveness of the common-mode cancellation scheme. Also its 1/f corner is below 20 Hz, which demonstrates the effectiveness of the auto-zeroing scheme used in the first integrator.

As discussed before, full-scale out-of-band signals may overload the  $\Delta\Sigma$ . This will typically degrade its in-band DR and noise floor. To test this, Fig. 14 shows the measured DR in 20-kHz bandwidth in the presence of full-scale in- and out-of-band differential signals. A full-scale sine wave

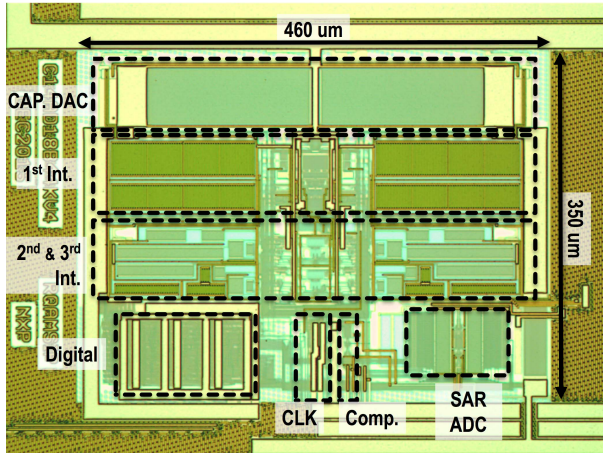


Fig. 11. Chip micrograph.

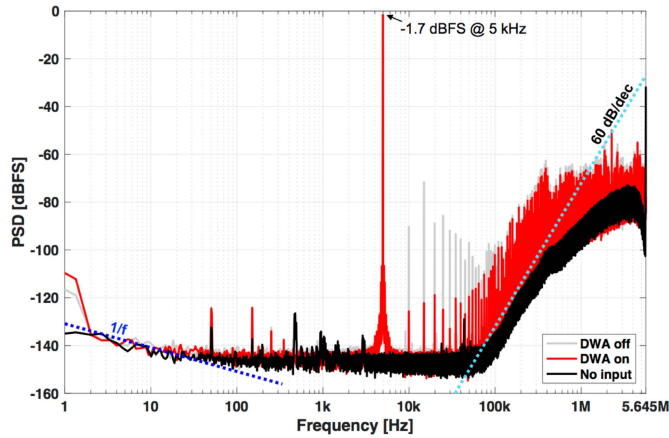
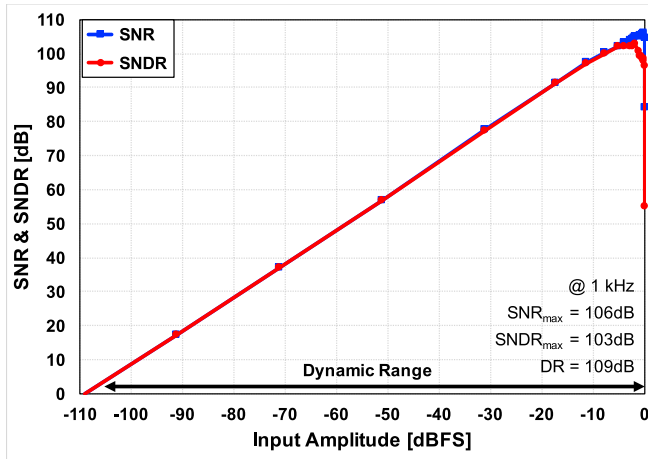
Fig. 12. Measured output spectra for DWA OFF, DWA ON, and no input. Inputs are connected to  $V_{CM}$  for no input case, with DWA ON.

Fig. 13. Measured SNR/SNDR versus input amplitude (DWA ON).

is applied to the prototype ADC's input and its frequency is swept from 10 to 100 kHz. In-band noise is measured to predict the achievable DR. The ADC's DR starts to degrade with full-scale inputs above 27 kHz, which is in line with the results of system-level simulations. Inserting a first-order

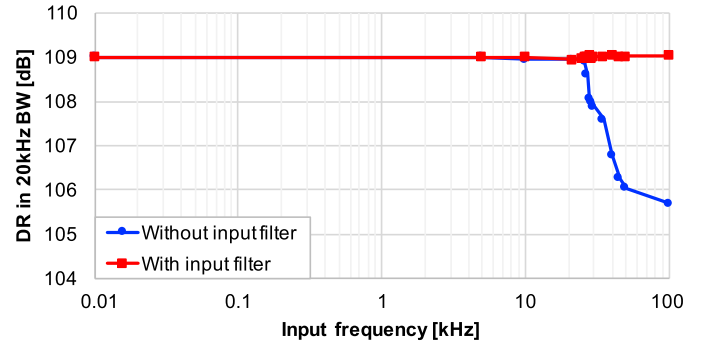


Fig. 14. DR in 20-kHz BW in the presence of in- and out-of-band full-scale inputs with and without an LPF at the input with 30-kHz corner frequency (DWA ON).

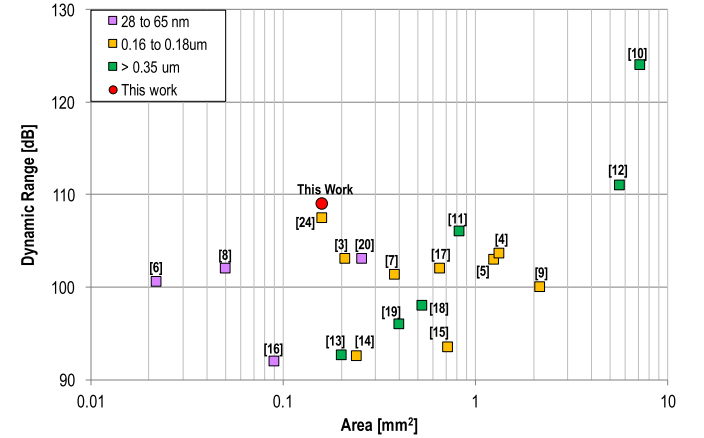


Fig. 15. DR versus silicon area comparison of the state-of-the-art audio ADCs (20–24-kHz BW) with higher than 90-dB DR.

*RC* low-pass filter (LPF) with a 30-kHz corner frequency in series with the ADC ensures that its DR remains constant for full-scale inputs up to at least 100 kHz (the maximum output frequency of our low-noise signal generator).

Table I presents a summary of the ADC's performance in comparison with the state-of-the-art ADCs with similar resolution ( $> 100$ -dB DR) and bandwidth. A key observation is that it is significantly more area-efficient than previous designs in similar technology nodes. A large part of the ADC's area consists of capacitors, which, in turn, is defined by the  $kT/C$  noise required to obtain a given DR. Fig. 15 compares the DR and active area of the state-of-the-art audio ADCs ( $> 90$ -dB DR). The proposed zoom ADC occupies the least area, independent of the technology node used.

## VI. CONCLUSION

This paper describes a dynamic zoom ADC, which can digitize audio signals with the state-of-the-art energy and area efficiency. Its coarse ADC consists of an efficient 5-bit SAR ADC, while its fine ADC consists of a  $\Delta\Sigma$  that employs DWA to achieve high linearity. The ADC's overall energy efficiency is improved by reducing the swing of the loop filter, thus relaxing its non-thermal noise-limited power consumption and allowing the use of simple inverter-based amplifiers. The  $1/f$  noise of the ADC is suppressed by the inherent auto-zeroing scheme of the proposed inverter-based amplifiers. A test chip implemented in a  $0.16\text{-}\mu\text{m}$  CMOS process achieves

a 109-dB DR, 106-dB SNR, and 103-dB SNDR while having a very competitive Schreier FoM of 181.5 dB with a state-of-the-art area efficiency.

## REFERENCES

- [1] S. M. Kuo and D. R. Morgan, "Active noise control: A tutorial review," *Proc. IEEE*, vol. 87, no. 6, pp. 943–973, Jun. 1999.
- [2] S. J. Elliott, A. R. D. Curtis, A. J. Bullmore, and P. A. Nelson, "The active minimization of harmonic enclosed sound fields, part III: Experimental verification," *J. Sound Vibrat.*, vol. 117, no. 1, pp. 35–58, 1987.
- [3] C. de Berti, P. Malcovati, L. Crespi, and A. Baschiroto, "A 106 dB a-weighted DR low-power continuous-time  $\Sigma\Delta$  modulator for MEMS microphones," *IEEE J. Solid-State Circuits*, vol. 51, no. 7, pp. 1607–1618, Jul. 2016.
- [4] S. Billa, A. Sukumaran, and S. Pavan, "A 280- $\mu$ W 24-kHz-BW 98.5 dB-SNDR chopped single-bit CT M achieving <10-Hz 1/f noise corner without chopping artifacts," in *Proc. IEEE Int. Solid-State Circuits Conf. (ISSCC)*, San Francisco, CA, USA, 2016, pp. 276–277.
- [5] A. Sukumaran and S. Pavan, "Low power design techniques for single-bit audio continuous-time delta sigma ADCs using FIR feedback," *IEEE J. Solid-State Circuits*, vol. 49, no. 11, pp. 2515–2525, Nov. 2014.
- [6] T. C. Wang, Y. H. Lin and C. C. Liu, "A 0.022 mm<sup>2</sup> 98.5 dB SNDR Hybrid Audio  $\Delta\Sigma$  modulator with digital ELD compensation in 28 nm CMOS," *IEEE J. Solid-State Circuits*, vol. 50, no. 11, pp. 2655–2664, Nov. 2015.
- [7] T. Wang, W. Li, H. Yoshizawa, M. Aslan, and G. C. Temes, "A 101 dB DR 1.1 mW audio delta-sigma modulator with direct-charge-transfer adder and noise shaping enhancement," in *Proc. IEEE Asian Solid State Circuits Conf. (A-SSCC)*, Kobe, Japan, Nov. 2012, pp. 249–252.
- [8] T. Y. Lo, "A 102 dB dynamic range audio sigma-delta modulator in 40 nm CMOS," in *Proc. IEEE Asian Solid State Circuits Conf. (A-SSCC)*, Nov. 2011, pp. 257–260.
- [9] H. Park, K. Nam, D. K. Su, K. Vleugels, and B. A. Wooley, "A 0.7-V 870- $\mu$ W digital-audio CMOS sigma-delta modulator," *IEEE J. Solid-State Circuits*, vol. 44, no. 4, pp. 1078–1087, Apr. 2009.
- [10] Y. Yang, T. Sculley, and J. Abraham, "A single-die 124 dB stereo audio delta-sigma ADC with 111 dB THD," *IEEE J. Solid-State Circuits*, vol. 43, no. 7, pp. 1657–1665, Jul. 2008.
- [11] K. Nguyen, R. Adams, K. Sweetland, and H. Chen, "A 106-dB SNR hybrid oversampling analog-to-digital converter for digital audio," *IEEE J. Solid-State Circuits*, vol. 40, no. 12, pp. 2408–2415, Dec. 2005.
- [12] Y. Yang, A. Chokhawala, M. Alexander, J. Melanson, and D. Hester, "A 114-dB 68-mW Chopper-stabilized stereo multibit audio ADC in 5.62 mm<sup>2</sup>," *IEEE J. Solid-State Circuits*, vol. 38, no. 12, pp. 2061–2068, Dec. 2003.
- [13] T. Christen, "A 15-bit 140- $\mu$ W scalable-bandwidth inverter-based  $\Delta\Sigma$  modulator for a MEMS microphone with digital output," *IEEE J. Solid-State Circuits*, vol. 48, no. 7, pp. 1605–1614, Jul. 2013.
- [14] S. Pavan and P. Sankar, "Power reduction in continuous-time delta-sigma modulators using the assisted opamp technique," *IEEE J. Solid-State Circuits*, vol. 45, no. 7, pp. 1365–1379, Jul. 2010.
- [15] S. Pavan, N. Krishnapura, R. Pandarinathan, and P. Sankar, "A power optimized continuous-time  $\Delta\Sigma$  ADC for audio applications," *IEEE J. Solid-State Circuits*, vol. 43, no. 2, pp. 351–360, Feb. 2008.
- [16] L. Dorrer *et al.*, "A continuous time  $\Delta\Sigma$  ADC for voice coding with 92 dB DR in 45 nm CMOS," in *IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, San Francisco, CA, USA, Feb. 2008, pp. 502–631.
- [17] P. Morrow *et al.*, "A 0.18  $\mu$ m 102 dB-SNR mixed CT SC audio-band  $\Delta\Sigma$  ADC," in *IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, vol. 1, San Francisco, CA, USA, Feb. 2005, pp. 178–592.
- [18] A. L. Coban and P. E. Allen, "A 1.5 V 1.0 mW audio  $\Delta\Sigma$  modulator with 98 dB dynamic range," in *IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, San Francisco, CA, USA, Feb. 1999, pp. 50–51.
- [19] E. J. van der Zwan, "A 2.3 mW CMOS  $\Sigma\Delta$  modulator for audio applications," in *IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, San Francisco, CA, USA, Feb. 1997, pp. 220–221.
- [20] Y. H. Leow, H. Tang, Z. C. Sun, and L. Siek, "A 1 V 103 dB 3rd-order audio continuous-time  $\Delta\Sigma$  ADC with enhanced noise shaping in 65 nm CMOS," *IEEE J. Solid-State Circuits*, vol. 51, no. 11, pp. 2625–2638, Nov. 2016.
- [21] K. Souri and K. A. A. Makinwa, "A 0.12 mm<sup>2</sup> 7.4  $\mu$ W micropower temperature sensor with an inaccuracy of  $\pm 0.2^\circ\text{C}$  ( $3\sigma$ ) from  $-30^\circ\text{C}$  to  $125^\circ\text{C}$ ," *IEEE J. Solid-State Circuits*, vol. 46, no. 7, pp. 1693–1700, Jul. 2011.
- [22] Y. Chae, K. Souri, and K. A. A. Makinwa, "A 6.3  $\mu$ W 20 bit incremental zoom ADC with 6 ppm INL and 1  $\mu$ V offset," *IEEE J. Solid-State Circuits*, vol. 48, no. 12, pp. 3019–3027, Dec. 2013.
- [23] S. Oh, W. Jung, K. Yang, D. Blaauw, and D. Sylvester, "15.4 b incremental sigma-delta capacitance-to-digital converter with zoom-in 9 b asynchronous SAR," in *IEEE Symp. VLSI Circuits Dig.*, Jun. 2014, pp. 1–2.
- [24] H. W. Chen, I. C. Chen, H. C. Tseng, and H. S. Chen, "A 1-GS/s 6-bit two-channel two-step ADC in 0.13- $\mu$ m CMOS," *IEEE J. Solid-State Circuits*, vol. 44, no. 11, pp. 3051–3059, Nov. 2009.
- [25] B. Gönen, F. Sebastiano, R. van Veldhoven, and K. A. A. Makinwa, "A 1.65 mW 0.16 mm<sup>2</sup> dynamic zoom ADC with 107.5 dB DR in 20 kHz BW," in *Proc. IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, San Francisco, CA, USA, Feb. 2016, pp. 282–283.
- [26] R. Schreier and G. Temes, *Understanding Delta-Sigma Data Converters*. Piscataway, NJ, USA: Wiley, 2005.
- [27] I. Galton, "Why dynamic-element-matching DACs work," *IEEE Trans. Circuits Syst. II, Express Briefs*, vol. 57, no. 2, pp. 69–74, Feb. 2010.
- [28] Y. Chae and G. Han, "Low voltage, low power, inverter-based switched-capacitor delta-sigma modulator," *IEEE J. Solid-State Circuits*, vol. 44, no. 2, pp. 458–472, Feb. 2009.
- [29] R. H. M. van Veldhoven, R. Rutten, and L. J. Breems, "An inverter-based hybrid  $\Delta\Sigma$  modulator," in *IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, San Francisco, CA, USA, Feb. 2008, pp. 492–630.
- [30] A. Jain and S. Pavan, "Improved characterization of high speed continuous-time  $\Delta\Sigma$  modulators using a duobinary test interface," in *Proc. IEEE Int. Symp. Circuits Syst. (ISCAS)*, Beijing, China, May 2013, pp. 1252–1255.



**Burak Gönen** (S'09) received the B.Sc. degree in electronics from Istanbul Technical University, Istanbul, Turkey, in 2012, and the M.Sc. (*cum laude*) degree in microelectronics from the Delft University of Technology, Delft, The Netherlands, in 2014, where he is currently pursuing the Ph.D. degree in collaboration with NXP Semiconductors. His Ph.D. research is focusing on the design of energy- and area-efficient analog-to-digital converters for digital audio and sensor interfaces.

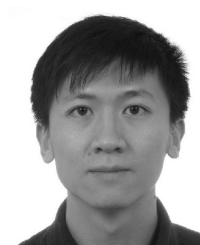
From 2011 to 2012, he was an Intern with Mikroelektronik Ar-Ge Ltd., Istanbul. From 2013 to 2014, he was with NXP Semiconductors Research, Eindhoven, The Netherlands, as an Intern. Since 2012, he has been with the Electronic Instrumentation Laboratory, Delft University of Technology. His current research interests include efficient data converters and precision analog circuits.



**Fabio Sebastiano** (S'09–M'10–SM'17) was born in Teramo, Italy, in 1981. He received the B.Sc. (*cum laude*) and M.Sc. (*cum laude*) degrees in electrical engineering from the University of Pisa, Pisa, Italy, in 2003 and 2005, respectively, the M.Sc. (*cum laude*) degree from the Sant'Anna School of Advanced Studies, Pisa, in 2006, and the Ph.D. degree from the Delft University of Technology, Delft, The Netherlands, in 2011.

From 2006 to 2013, he was with NXP Semiconductors Research, Eindhoven, The Netherlands, where he conducted research on fully integrated CMOS frequency references, deep-submicron temperature sensors and area-efficient interfaces for magnetic sensors. In 2013, he joined the Delft University of Technology, where he is currently an Assistant Professor. He has authored or co-authored one book, seven patents, and over 35 technical publications. His current research interests include sensor read-outs, fully-integrated frequency references, and cryogenic electronics for quantum applications.





**Rui Quan** was born in Gansu, China. He received the B.S. degree in electrical engineering from the Huazhong University of Science and Technology, Wuhan, China, in 2012, and the M.S. (*Cum Laude*) degree in electrical engineering from the Delft University of Technology, Delft, The Netherlands, in 2014.

In 2014, he joined NXP Semiconductors, Eindhoven, The Netherlands, as an Analog Design Engineer on high performance analog-to-digital converters. He holds one U.S. patent and several conference papers. His current research interest include data converters and sensor interface.



**Robert van Veldhoven** (SM'12) was born in Eindhoven, The Netherlands, in 1972. He received the Ph.D. degree in electrical engineering from the University of Eindhoven, Eindhoven.

In 1996, he joined Philips Research, Eindhoven, and moved to NXP Semiconductors Research, Eindhoven, in 2006. He holds over 25 U.S. patents and authored various papers at leading conferences and in leading journals. His current research interests include data converters and sensors.

Dr. van Veldhoven is a Reviewer of several professional journals and conferences. In 2004 and 2010, he was invited to give an ISSCC forum presentation on modulators for wireless and cellular receivers.



**Kofi A. A. Makinwa** (M'97–SM'05–F'11) received the B.Sc. and M.Sc. degrees from Obafemi Awolowo University, Ife, Nigeria, in 1985 and 1988, respectively, the M.E.E. degree from the Philips International Institute, Amsterdam, The Netherlands, in 1989, and the Ph.D. degree from the Delft University of Technology, Delft, The Netherlands, in 2004.

From 1989 to 1999, he was a Research Scientist with Philips Research Laboratories, Eindhoven, The Netherlands, where he was involved in interactive displays and digital recording systems. In 1999, he joined the Delft University of Technology, where he is currently an Antoni van Leeuwenhoek Professor and the Head of the Microelectronics Department. His current research interests include the design of precision mixed-signal circuits, sigma-delta modulators, smart sensors, and sensor interfaces. This has resulted in ten books, 25 patents, and over 200 technical papers.

Dr. Makinwa is an Alumnus of the Young Academy of the Royal Netherlands Academy of Arts and Sciences and an elected member of the IEEE Solid-State Circuits Society AdCom, the society's governing board. He received the 2005 Simon Stevin Gezel Award from the Dutch Technology Foundation, for his doctoral research. He was a co-recipient of several best paper awards, from the IEEE JOURNAL OF SOLID-STATE CIRCUITS (JSSC), the International Solid-State Circuits Conference (ISSCC), Transducers, and the European Solid-State Circuits Conference (ESSCIRC), among others. He is the Analog Subcommittee Chair of the ISSCC. He is also on the program committees of the VLSI Symposium, the ESSCIRC and the Advances in Analog Circuit Design Workshop. He has been a Guest Editor of the IEEE JSSC and a Distinguished Lecturer of the IEEE Solid-State Circuits Society.