



# Congested scene classification via efficient unsupervised feature learning and density estimation

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## ABSTRACT

An unsupervised learning algorithm with density information considered is proposed for congested scene classification. Though many works have been proposed to address general scene classification during the past years, congested scene classification is not adequately studied yet. In this paper, an efficient unsupervised feature learning approach with density information encoded is proposed to solve this problem. Based on spherical *k*-means, a feature selection process is proposed to eliminate the learned noisy features. Then, local density information which better reflects the crowdedness of a scene is encoded by a novel feature pooling strategy. The proposed method is evaluated on the assembled congested scene data set and UIUC-sports data set, and intensive comparative experiments justify the effectiveness of the proposed approach.

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## 1. Introduction

Public traffic has become a serious problem for the development of modern cities. In order to make the transport well organized, the primary task is to know the traffic status precisely. Among various techniques enabling this function, congested scene classification is a critical one. But unfortunately, the ambiguity, variability and scale diversity in scenes make it a challenging task. In order to recognize different scenes, various methods have been proposed over the years. Most of them concentrate on the critical step of feature representation, which can be roughly divided into two categories: hand-craft features and learned features. Hand-craft features are widely used in scene classification since it is effective and interpretable [1–3]. Learned features (i.e. a transformation from raw image patches to more efficient representations) are generated by feature learning (FL) methods [4–6], which are thought to be adaptive to various situations. Because of the usefulness of FL, scene classification has been successfully used in applications such as aerial scene categorization [7], content-based image retrieval [8] and object detection [9], and has achieved notable performance in all these fields.

Congested scene classification is a specific problem of scene classification. Compared to traditional scene classification, the paper mainly focuses on the crowdedness of pedestrians and vehicles in the traffic environment, and aims to label the scene image with a level of crowdedness category. The increasing attention to this problem derives from the fact of congested traffic status. If we can get an understanding of the traffic scene by automatic analysis, the traffic management will be possible and easier [10]. Therefore, the monitoring of the transport status becomes essential. Under this circumstance, how to classify congested scenes correctly and effectively becomes a critical task.

Unfortunately, congested traffic classification is not adequately studied yet. Only a few works concentrate on crowd density measurement [11,12], traffic congestion classification [13] or crowd analysis [14]. In these tasks, the background subtraction and density estimation are the most important components. For background subtraction, optical flow is the most direct and effective method to be applied with static backgrounds. For density measurement, the local key points or individual detection are aggregated to estimate the number of objects. Nevertheless, the achieved performance is very limited.

Although many algorithms have been proposed to improve scene classification accuracy, they still have limitations when applied to congested scene classification task. On one hand, conventional approaches still have deficits. Hand-craft feature based approaches are convenient, but they are not able to generalize to

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new environment. Unsupervised Feature Learning (UFL) based approaches achieve better performance, but most UFL algorithms in scene classification have many parameters to tune [15]. Moreover, the training stage is time-consuming which makes it inefficient in practical usage. On the other hand, prior information towards this particular application is not well considered. Since the paper mainly focuses on the crowdedness of pedestrians and vehicles in a scene, the representation should encode density information, which is an efficient indication of congested scenes. Unfortunately, conventional approaches do not pay attention to this important information. For example, [16] utilizes density information by simply calculating the ratio between pedestrian and road area. At the same time, most approaches separately take pedestrians and vehicles into consideration. But in the real world, pedestrians and vehicles always appear simultaneously which makes the classification results less accurate.

To address congested scene classification and alleviate problems mentioned above, a new data set which contains three different levels of congested scenes is first assembled. Subsequently, an efficient unsupervised feature learning method is exploited for low-level features extraction. Based on the obtained feature prototypes, density information is added in the image representation to help discover the congested appearances in scenes. The major contributions of this paper are summarized as follows:

1. Since there is no available data set for congested scene classification, we assemble a new data set including three types of traffic scenes: crowded scene, normal scene and open scene. This data set will provide a platform for the community and promote the research of congested scene classification with dramatically changed backgrounds. Typical exemplar images of this data set are shown in Fig. 1.
2. A more efficient approach based on spherical  $k$ -means and feature selection is proposed for congested scene classification. Recently, the most widely used UFL algorithm in scene classification is sparse auto-encoder (SAE) [7,17,8,5]. However, the training of SAE is time-consuming, which makes it inefficient in practical usage. Motivated by this point, this paper proposes a more efficient UFL algorithm—spherical  $k$ -means. It does not have any parameters and the training is faster than other UFL algorithms. Besides, instead of taking all the learned features completely like traditional treatment, we refine them to eliminate the noisy ones with a feature selection post-processing. This strategy can ensure a high-quality feature utilization.
3. Different from conventional scene classification approaches, a density estimation method is proposed to encode density



Fig. 1. Typical images in the congested scene data set. From left to right, the three columns represent crowded scene, normal scene and open scene.

information. Without detecting all pedestrians and vehicles, the proposed algorithm directly models scenes by exploiting the variations in local spatial arrangements of structural patterns captured by the learning procedure. Then a pooling operation is conducted to estimate the density according to the feature response. Therefore, our processing is more robust and reliable.

The remainder of this paper is organized as follows. Related work is elaborated in Section 2. The details of the proposed approach are followed in Section 3. The performance of the proposed method is reported in Section 4. Finally, conclusion and future work are presented in Section 5.

## 2. Related work

In this section, the relevant works which have made great progress for decades in scene classification are reviewed. As aforementioned, literatures mainly concentrate on feature representation.

We start from low-level features [18–20], such as histogram of color/texture and power spectrum [21]. It is simple and effective for binary classification (e.g., indoor versus outdoor, man-made versus natural). However, the so called “semantic gap” between low-level features and high-level semantic labels becomes the bottleneck for further improvement. Moreover, the accuracy drops significantly when category’s size becomes large.

To overcome the limitation of low-level features, bag-of-feature (BOF) based models [22–24] are proposed. Basic BOF model [25] consists of feature learning and feature encoding. For the first step, clustering algorithm, typically  $k$ -means, is used to generate the codebook. After that, the input image is represented by histogram of unordered codewords. This model can encode the prior information and reduce the “semantic gap”, which is significantly superior to low-level features. But the spatial clue is lost as the histogram is orderless. Besides, only a small amount of information is utilized since BOF uses hard-assignment for feature coding.

The feature encoding strategy in basic BOF model is inefficient. Thus more sophisticated feature encoding methods [26,27] are proposed to reduce the information lost. These methods can be broadly divided into two steps: feature coding and feature pooling. In the coding step, instead of hard-assignment, sparse coding (SC) is included to achieve lower reconstruction error and make the representation specialized [28]. Locality-constraint coding (LLC) which is inspired by [29] is another coding method [30]. It is smoother than SC because the similar local descriptors have similar codes by sharing bases. More extensive studies of coding methods can be found in [31]. In the pooling step, motivated by [32], Lazebnik et al. [33] proposed a spatial pooling method, namely Spatial Pyramid Matching (SPM), to encode spatial information efficiently. SPM divides the input image into spatial bins at different scales and then all histograms of visual-word in these bins are concatenated to produce the final representation. A more flexible pooling method has been proposed in [34] recently which jointly learns appearance and important spatial pooling region (ISPR). This method improves the performance by reducing the influence of false responses as the representative objects of the scene appear at several important regions with high possibility. More variants to encode spatial information in BOF can be found in [35,36].

Compared to BOF based model, object filter based models utilize higher-level semantic features [2,37,38]. Intuitively, different scenes contain different typical objects. Thus, we can use the objects and quantities of them to characterize different scenes efficiently. Motivated by this, many off-the-shelf object filters are trained to detect objects in different scenes. The responses of these

filters are used for representation of the image. Usually, a spatial pyramid is employed to encode spatial information. These approaches often need long time to train, and the selection of typical objects is subjective.

Different from artificially designed features, feature learning is trying to automatically learn effective features from training data. Typical learning algorithms are  $k$ -means [15], sparse Restricted Boltzmann Machines (RBMs) [39], sparse auto-encoder [17,5], and multi-layer based deep learning [40]. Although feature learning is powerful to learn self-adaptive features and offers high classification performance, they usually have many hyper-parameters to tune without a criterion to follow, which makes it inconvenient to use. Besides, the nature of the representation formed by deep networks and why it works so well are still to be explored.

### 3. Our method

To remedy the problem associated with congested scene classification, this paper utilizes an efficient unsupervised feature learning method with density information encoded. As shown in Fig. 2, the proposed approach can be divided into two parts: efficient unsupervised feature learning and congested scene classification. In efficient unsupervised feature learning, raw image patches are first extracted. Then, we apply pre-processing on them to remove the correlations between nearby pixels. After that, spherical  $k$ -means is employed to learn features and a feature selection procedure is followed to remove bad features. In congested scene classification, patches are first extracted from images. Then LLC is used to map raw image patches to a new feature space based on the previously learned feature codebook. With these, the local features are aggregated together by density estimation. Finally, a SVM classifier is trained for the classification.

#### 3.1. Efficient unsupervised feature learning

An unsupervised feature learning method and a feature selection procedure are used to learn and select useful features. Spherical  $k$ -means is utilized as unsupervised feature learning as it is efficient to train and tune parameters. After feature learning, a simple and effective feature selection procedure is applied to reduce noises.

##### 3.1.1. Data augmentation

Due to the limitations of sample diversities and the availability of abundant training samples, it is necessary to augment the training data. The artificial enlargement of the training set is an easy and commonly used method to preclude over-fitting [41,42].

The images in the training set are first transformed to different scales and rotations. As a result, an augmented training set is generated. Then, image patches are randomly sampled from the augmented data set.

Specifically, the data augmentation consists of two distinct forms, scale and rotation transformations. Since the pedestrians and vehicles in congested scenes are relatively small, each training image is first enlarged by 1.5 and 2 times. Then each obtained image is rotated by  $-15$  and  $15^\circ$ . With this processing, there are a total of 9 times amount of training images compared to the original training set. Then, the raw patches are randomly sampled in the training set. This makes the learned features more diverse and comprehensive.

##### 3.1.2. Feature learning

Generally, a pre-processing on samples before feature learning is necessary. Therefore, all patches are normalized and then whitened to remove the correlations between nearby pixels [43]. With this processing, the input patches are normalized and decorrelated.

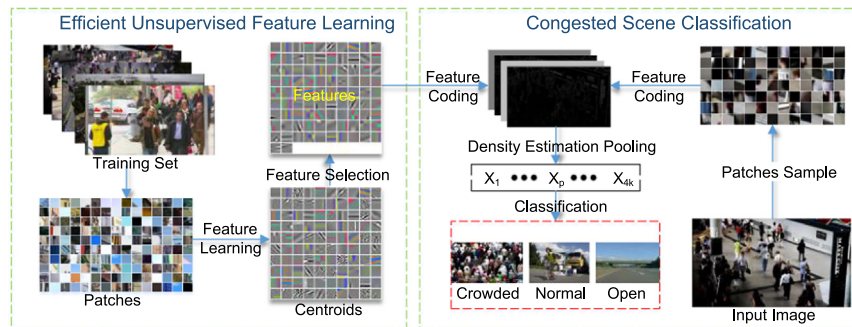
Feature learning is introduced to learn a transformation from raw image patches to representations that can be exploited more efficiently. Traditional feature descriptors are simple and partial, while the learned features, especially deep features, can obtain more intrinsic and discriminative characteristics. However, the foundation of deep learning is large training set. Since obtaining a large amount of data in real situation is not an easy task, it is impractical for deep network to learn useful parameters on existing data set. In this paper, a modified version of  $k$ -means which is called spherical  $k$ -means is utilized for unsupervised feature learning. It is a simple and efficient single-layered method in which less parameters are needed to tune and the training is faster than deep learning.

$k$ -means clustering is widely used in computer vision aiming to partition  $n$  observations into  $k$  clusters. Given  $n$  samples  $X = \{x_1, x_2, \dots, x_n\}$  and  $k$  clusters  $C = \{c_1, c_2, \dots, c_k\}$ , it is solved by minimizing the within-clustering sum of squares. The objective function is:

$$\arg \min_C \sum_{i=1}^k \sum_{x \in c_i} |x - c_i|^2 \quad (1)$$

where  $c_i$  denotes the  $i$ th cluster.

Spherical  $k$ -means is different from  $k$ -means as the cosine similarity is used instead of Euclidean distance to measure the similarity from observations to centroid. This is because cosine similarity has been shown to be superior to Euclidean distance for high-dimensional data [44]. Specifically, given patches  $x_i \in \mathfrak{R}^N$  sampled from the training set, a set of centroids  $C \in \mathfrak{R}^{N \times k}$  which is



**Fig. 2.** The pipeline of the proposed method. (1) *Feature learning*. Raw image patches are firstly extracted and a pre-processing is applied to remove the correlations between nearby pixels. After that, spherical  $k$ -means is employed to learn  $k$  centroids (i.e. features) and a feature selection procedure is followed to eliminate features which will misguide the classification result. (2) *Congested scene classification*. We first extract patches from an input image and LLC coding is used to map raw image patches to feature space (i.e. feature coding) and form  $k$  feature channels. Then, the ROI is detected since we only focus on pedestrians and vehicles in congested scenes. Subsequently, the local features are aggregated together by density estimation. Finally, a SVM classifier is trained for classification decision.



used to map  $x_i$  to  $s_i \in \mathcal{R}^k$  is produced, where  $s_i$  denotes the learned feature of  $x_i$ .  $C$  is termed as *centroids* in this paper since the algorithm is derived from a clustering method.

After pre-processing, the spherical  $k$ -means can be formally expressed as:

$$\begin{aligned} \min_{C,s} \quad & \sum_i |Cs_i - x_i|_2^2 \\ \text{subject to} \quad & |s_i|_0 \leq 1, \forall i \\ & \text{and } |C_j|_2 = 1, \forall j, \end{aligned} \quad (2)$$

where  $|s_i|_0 \leq 1$  denotes that each  $x_i$  is assigned to only one of the centroids and  $|C_j|_2 = 1$  means that each codeword is unit length to prevent  $C_j$  from becoming too large or small. Given an initial  $C$ , this object function is solved by the following iterative steps:

1. Find maximum cosine similarity of each  $x_i$  and calculate the mapped code  $s_i$  by:

$$s_i = \begin{cases} C_j^\top x_i, & \text{if } j = \arg \max_l |C_l^\top x_i| \\ 0, & \text{otherwise,} \end{cases} \quad \forall j, i, \quad (3)$$

2. Update  $C$  by:

$$C = XS^\top + C. \quad (4)$$

3. Normalize  $C_j$  by:

$$C_j = \frac{C_j}{|C_j|_2} \quad \forall j, \quad (5)$$

until convergence. More details can be found in [43].

### 3.1.3. Feature selection

Feature selection is utilized to choose useful features after feature learning. Usually, given a set of features, not all of them are useful. Noises often appear especially when the training set is small or parameters are not fine-tuned as shown in Fig. 3(a). In this case, some features may be redundant, and others may misguide the classification results. Thus an efficient and simple solution is proposed to select the most effective features.

Different from traditional feature selection [45], a simple feature extractor and a binary classifier are utilized to fulfill this task. As shown in Fig. 3, the appearances of useful features and noisy features are different. Motivated by this point, the proposed

method can separate them effectively. In particular, each centroid is treated as a picture and an off-the-shelf classifier is trained to classify the learned centroids which take the LBP [46] as the appearance feature. Negative centroids are generated when the training set is small while positive centroids are generated when the training images are enough and the parameters are fine-tuned. A SVM classifier is used as classifier. Noises are significantly reduced after feature selection as demonstrated in Fig. 3(b).

The pipeline of the full efficient unsupervised feature learning method is summarized in Algorithm 1.

### Algorithm 1. Unsupervised feature learning.

**Input:** The set of training images.

- 1: Training patches generation.

- (1) Enlarge the training images by 1.5 and 2 times scaling.
- (2) Rotate the training images by  $-15$  and  $15^\circ$ .
- (3) Randomly sampling local patches from training images.
- (4) Normalize and whiten the obtained patches.

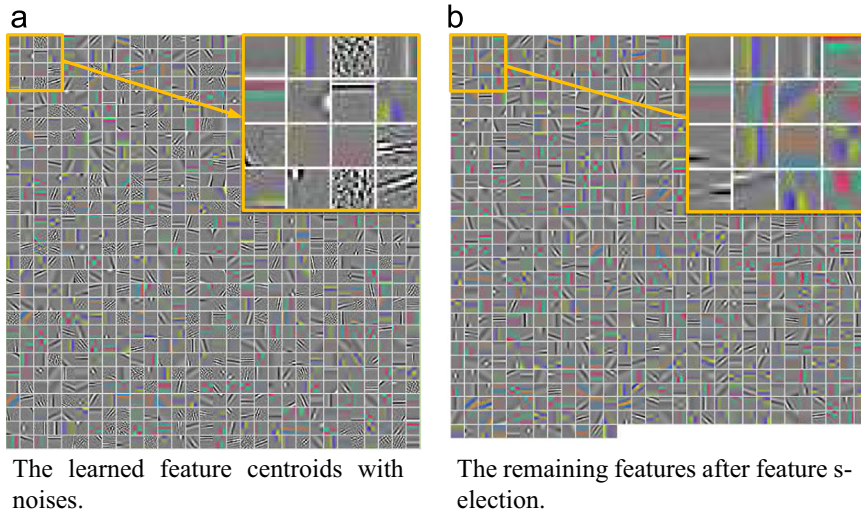
- 2: Apply Spherical  $k$ -means to learn feature mapping by Eq. (2). It can be solved by iterative Eqs. (3)–(5).

- 3: Reduce the learned centroid noise by feature selection.

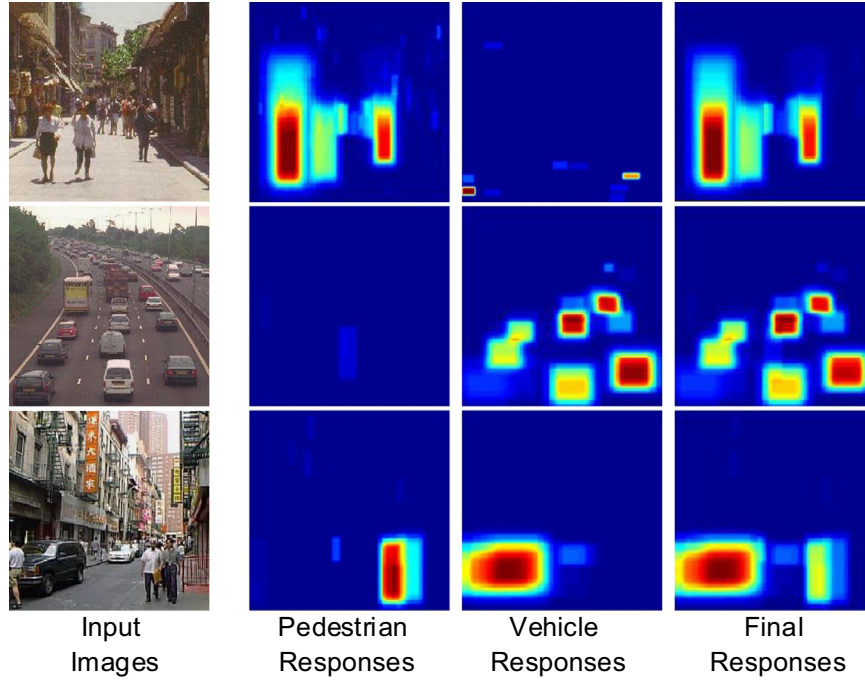
- (1) Generate the negative and positive centroids under different training conditions.
- (2) Extract the LBP features of the centroids.
- (3) Train a SVM classifier using the obtained LBP features.
- (4) Select the positively classified centroids as the desired ones.

**Output:** Centroids matrix  $C$  mapping the raw image patches to a new feature space.

Although the proposed work utilizes a SVM classifier to remove noisy features, that does not make it a semi-supervised or supervised method. This is because the positive and negative samples for the SVM classifier are self-generated according to different settings described in Section 3.1.3, instead of employing the manually labeled ground truth. Therefore, no labeled data is used for training in the proposed learning process, including feature learning and selection. As a result, the proposed method is unsupervised considering the ways of utilizing data.



**Fig. 3.** Illustration before and after feature selection. (a) Before feature selection, many noisy features exist. For example, the third feature of the first line in the magnified block contains meaningless dotted structures. (b) After feature selection, the noisy features are removed. All remaining features are edge detectors which can be clearly seen in the magnified block.



**Fig. 4.** The typical response maps of congested scenes. The response map of pedestrians and vehicles are generated respectively and aggregated together to form the final response map.

### 3.2. Congested scene classification

Now given an image as input, local patches are densely sampled from it. Subsequently, these patches are transferred to feature space (i.e., feature coding). Then the local features are dynamically pooled together steered by the region of interest (ROI) and the density is accordingly estimated. In the end, a linear SVM is adopted for the final decision.

#### 3.2.1. Feature coding

Feature coding aims to transfer local patches to learned feature space and generates more effective representations. LLC coding is utilized here as the representations generated by it are sparse and smooth, which are two preferable characteristics. The sparsity is ensured by assigning the small projection coefficients to 0 and the smoothness is constrained by a regularization term which assumes similar patches share similar bases. With the regularization, the correlations between local patches are captured. Formally, supposing we have  $n$  patches and  $k$  centroids, the LLC coding can be expressed as:

$$\min_S \sum_{i=1}^n |Cx_i - s_i|^2 + \lambda |d_i \odot s_i|^2$$

subject to  $1^\top s_i = 1, \forall i,$  (6)

where  $S = \{s_1, s_2, \dots, s_n\}$  refers to the codes of the local patches,  $\odot$  denotes the element-wise multiplication,

$$d_i = \exp\left(\frac{\text{dist}(x_i, C)}{\sigma}\right), \quad (7)$$

where  $\text{dist}(x_i, C) = [\text{dist}(x_i, c_1), \text{dist}(x_i, c_2), \dots, \text{dist}(x_i, c_k)]$ , and  $\text{dist}(x_i, c_j)$  is the Euclidean distance between  $x_i$  and  $c_j$ . Note that  $d_i$  is usually normalized to (0, 1]. In practice, the approximate LLC is utilized to speed up the coding process. Several nearest neighbors of  $x_i$  are selected to construct a local coordinate  $\tilde{C}$ . Then, a linear function is solved to get the code. It can be expressed as:

$$\min_S \sum_{i=1}^n |\tilde{C}x_i - s_i|^2$$

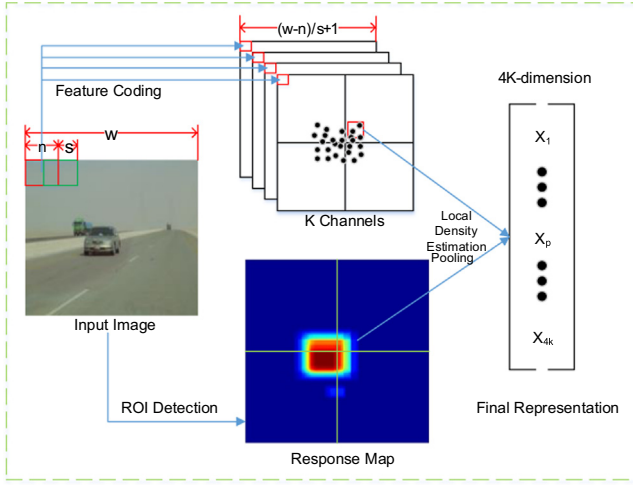
$$\text{subject to } 1^\top s_i = 1, \forall i. \quad (8)$$

Since  $\tilde{C}$  is usually smaller than  $C$ , the computation is very efficient. LLC tends to encode a local patch with the centroids that are similar to it. To speed up the encoding process, an approximation is presented in [30]. Specifically,  $\tilde{k}$  nearest centroids of a local patch are first chosen and form a local coordinate system. Then, Eq. (6) can be approximated through Eq. (8). Thus the computation cost is reduced from  $O(k^2)$  to  $O(k + \tilde{k}^2)$  where  $\tilde{k} \ll k$ . Through the approximation, the encoding process becomes more efficient and the locality in features is well preserved. More details can be found in [30].

#### 3.2.2. Density estimation for pooling

After the feature coding, a density estimation pooling method is followed to pool local features together and to estimate the crowdedness of pedestrians and vehicles at the same time. Since the local features generated by feature coding are high-dimensional, we pool these features together to reduce the over-fitting problem and computational cost. After transferring raw patches to learned feature space,  $k$  (remember  $k$  is the centroid numbers), feature channels are constructed. Each channel can be seen as an individual response map of the input image. Since the density clue should be considered, we estimate the density of these feature channels through the maximum local sum response. The proposed method consists of ROI detection and estimation pooling.

Different from conventional approaches for density estimation, the ROI is detected at first as we only focus on pedestrians and vehicles in scenes. As a result, the effect of background can be eliminated and this makes the results more robust. Specifically, two filters based on Deformable Part-based Model (DPM) [47] are trained beforehand, one for pedestrians and the other for vehicles. The results of two filters are summed together to form the final response map. The two filters are just rough estimations of the ROI because finer judgement will be made subsequently. Then, the response map, namely heat-map, is produced by sliding filters across the input image. Typical heat-maps are shown in Fig. 4.



**Fig. 5.** Illustration of density estimation pooling. After the feature channels and heat-map are generated, the local features are dynamically pooled together steered by ROI to form the final representation. The density is estimated at the same time.

With the obtained heat-map, we encode the density clue to efficiently represent the congested scene. We suppose that a scene is congested if some local patches are full of pedestrians and vehicles. Thus, we focus on the local maximum density of the feature channels. Particularly, we first divide each channel of image representation by 4 quadrants. In each region  $R$ , the relative heat-map is  $h$ . The maximum local density  $D(R)$  is estimated to pool local features together. Formally,  $D(R)$  can be calculated as follows:

$$D(R) = \max_W \sum_{x,y \in W} h(x,y) \cdot F_c(x,y) \quad (9)$$

where  $W$  denotes a sliding window in the subregion  $R$  and  $F_c$  is the examined feature channel. This equation implies that the maximum response within a window  $W$  is treated as the local density estimation of  $R$ . Since we have  $4k$  regions in total, the final representation is  $4k$  dimension. The illustration of density estimation pooling is shown in Fig. 5.

A straightforward method for congested scene classification is by detecting the pedestrians and vehicles present in the scene. Note that the proposed method is different from that. The scheme based on object detection will make the framework to highly depend on the accurate target detection preprocessing. At the same time, the computational complexity will increase dramatically. Motivated by this drawback, we choose an alternative that takes the image patches into consideration and predicts the density clue directly by analyzing the patches.

### 3.2.3. Classification

After the local features are pooled together, the final representation of the input image is generated. Then, a SVM classifier is trained for final decision. Note that we adopt a linear kernel with the training costs on the order of  $O(n)$  and the one-versus-rest strategy for multi-class classification.

The full routine of congested scene classification is summarized in Algorithm 2.

**Algorithm 2.** Congested scene classification.

**Input:** The input image; the learned centroids matrix  $C$ .

1: Feature coding.

(1) Densely sample local patches from the input image.

(2) Transfer these local patches to feature space according to Eq. (6) and get  $k$  feature response channels.

2: Density estimation pooling.

(1) Detect ROI roughly by off-the-shelf filters.

(2) Divide the input image into four quadrants and respectively pool the features in each subregion steered by ROI according to Eq. (9).

(3) Concatenate the four maximum responses for each channel to obtain a  $4k$  dimension representation.

3: Classification.

(1) Train a linear SVM classifier.

(2) Classify the final representation to the semantic label.

**Output:** The semantic label.

## 4. Experiment

In this section, the data sets and parameters used for evaluating the proposed method will be presented. Then, the experimental results are introduced and discussed.

### 4.1. Data sets

We evaluate the proposed approach on two data sets: congested scene data set and UIUC-Sports. The first data set is used to evaluate the performance of the proposed method for congested scene classification. The other data set is widely used in scene classification, and it is employed to evaluate the performance of the efficient unsupervised feature learning.

- Since there exist no data sets for congested scene classification, a new data set NWPU-CS is assembled from [48,18] and the Internet. This data set consists of 1131 images divided into three categories. The first category is crowded scene which contains extremely congested pedestrians or vehicles. The second category is the open scene that has very few pedestrians or vehicles. The third one that has moderate amount of pedestrians and vehicles are called normal scenes. The average image size is  $600 \times 400$  and the typical examples of this data set are shown in Fig. 1.

We randomly select 80 images per class for training and the rest for testing in our experiments.

- The UIUC-sports [49] is a challenging data set containing 8 sporting scenes with 1579 RGB images. Most of the images are high-quality with the highest resolution up to several thousands pixels in one dimension. In addition, the backgrounds of images in this data set are much cluttered and the objects are diverse. Following the experimental settings in [49], for each class, 70 images are used for training and 60 images are used for testing.

### 4.2. Experimental setup

The experiments are divided into two parts. The first part is used to evaluate the proposed system and the second part is used to evaluate the components of the proposed framework. During these experiments, there are several parameters to set. The patch size is set as  $16 \times 16$  pixels. Thus, gray patches will form  $16 \times 16 = 256$  dimensional vectors and RGB patches will form  $16 \times 16 \times 3 = 768$  dimensional vectors. The patch sampling stride is set as 1 pixel which is proven to be efficient by Coates and Ng [43]. The window size  $w$  in density estimation is set as 4 by our experiment. This can be verified by experiments shown in Fig. 6. To select the size of the learned feature centroids, an experiment is conducted to evaluate its influence as shown in Fig. 7. We set the selected feature centroids to different sizes and then compare their classification performance. The results show that the best performance is obtained at the feature size close to 4000 for the

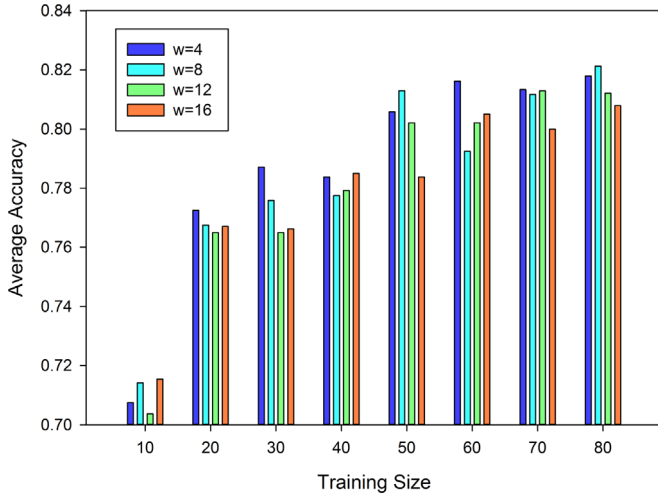


Fig. 6. Classification results with different window sizes  $w$ . The horizontal axis is the training size. The vertical axis is the average classification accuracy.

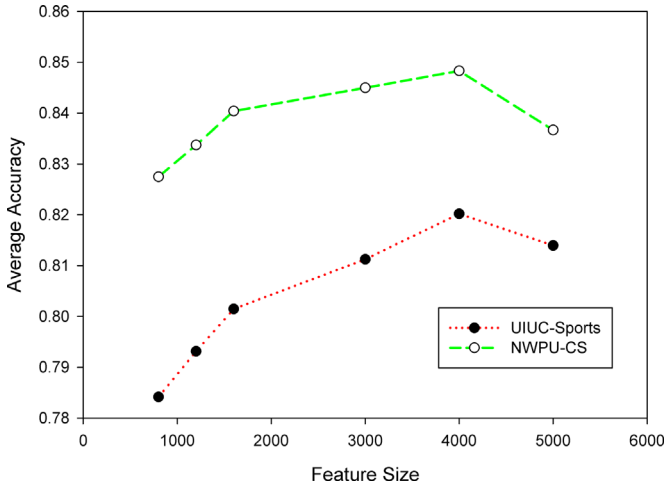


Fig. 7. The effect of feature sizes on different data sets. The horizontal axis is the learned feature size. The vertical axis is the average accuracy for the classification results.

feature learning process. Based on this, we set the learned feature size as 4000 in the following experiments.

#### 4.3. Results

To evaluate the performance of the proposed method for congested scene classification, a simple test is performed on NWPU-CS data set and the final performance is compared with some conventional scene classification approaches—BOF, ScSPM and LLC. The BOF utilizes dense SIFT [50] as low-level features, hard-assignment for feature coding and Sum Pooling (SP) to aggregate local features together. Similarly, the ScSPM also utilizes dense SIFT as low-level features, but Sparse Coding (SC) and Spatial Pyramid Pooling (SPM) are used for feature encoding. As for LLC, it quite resembles ScSPM with the only difference that the local constraint is additionally utilized for feature coding in ScSPM. In all experiments, the same codebook is utilized for the three algorithms. It is constructed by  $k$ -means and the size is set as 1024. Linear SVM is finally adopted as the discriminative classifier. Like most previous works, we repeat experiments 10 times and report the average accuracies. The final classification results are shown in Table 1. The proposed algorithm achieves 85.50% average accuracy and outperforms the traditional approaches.

Table 1

Comparison of different methods on NWPU-CS data set.

Method	BOF	ScSPM	LLC	Ours
Accuracy (%)	78.09	80.58	80.92	85.50

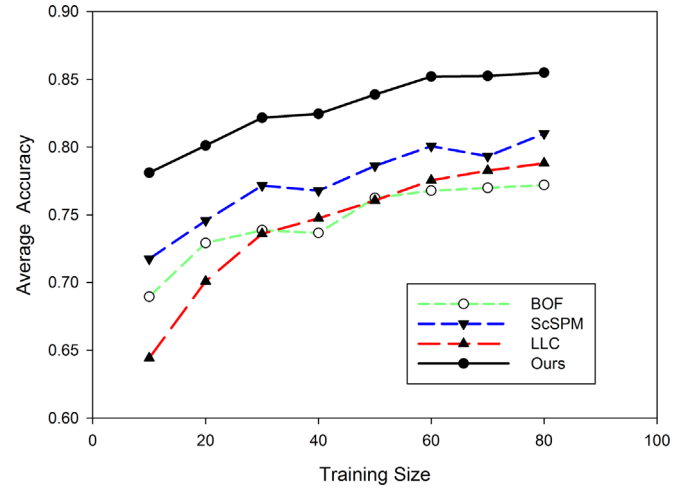


Fig. 8. The classification results with different methods. The horizontal axis is the training size. The vertical axis is the average accuracy.

The performance of these methods under different training sizes are shown in Fig. 8. The results confirm that the proposed method yields better performance than conventional competitors. Compared to BOF, the proposed method not only learns more sophisticated features with lower-reconstruction error, but also characterizes the spatial arrangement of the features. Though ScSPM has better spatial information included, it does not involve a sophisticated encoding method. LLC tackles this problem well but it fails to consider the density information.

We further expand the proposed method to the general purpose scene classification, namely Efficient Unsupervised Feature Learning (EUFL). EUFL still employs the proposed feature learning and encoding step but with a more general sum pooling method. Similarly, we compare EUFL with BOF, ScSPM and LLC but this experiment is performed on a widely used scene data set: UIUC-Sports. It is a challenging data set containing high-resolution RGB images. The overall accuracies are shown in Table 2 and Fig. 9. The results prove that EUFL is superior to the conventional approaches. For a detailed understanding of EUFL performance, the confusion matrix is shown in Fig. 10. From the figure, it is manifested that most of the time, EUFL can demonstrate a good classification result. But the accurate classification is difficult. The most confused classes are Croquet and Bocce which are very similar to each other. Another pair of confusion classes is Rowing and Sailing which have similar backgrounds. These cases are hard for not only the proposed method but also the other competitors.

These results confirm the fact that EUFL is efficient to learn useful features by minimizing the reconstruction error. Besides, after feature selection, the noise is significantly reduced. That makes the proposed approach effective and outperforms some hand-craft features.

Table 2

Comparison of different methods on UIUC-Sports data set.

Method	BOF	ScSPM	LLC	EUFL
Accuracy (%)	79.79	83.44	83.96	86.44



#### 4.4. Components evaluation of the proposed system

In this subsection, we investigate each component of the proposed system including feature learning, feature selection, feature coding and density estimation pooling.

##### 4.4.1. Evaluation of feature learning

Feature learning is the most important component for the proposed system. In this experiment, to illustrate the efficiency of spherical  $k$ -means, we compare its training time with Independent Component Analysis (ICA) and Sparse Auto-encoder which are the most frequently taken methods in scene classification. The experiment is performed on 10,000 RGB image patches of the size  $32 \times 32$  ( $32 \times 32 \times 3 = 3702$  dimensional inputs).

The training time is evaluated by the convergence of each algorithm, stopping when the change of the function value drops

below a specific threshold. The results are shown in Fig. 11, from which the curves show that the proposed feature learning algorithm is more efficient than Sparse Auto-encoder and ICA. Note that Sparse Auto-encoder is not convergent in reasonable time (3 h) for large feature sizes, which is intuitive that it takes significantly long time especially with the feature size grows since the Sparse Auto-encoder requires more time to solve the  $l_1$ -regularized least squares problem. The ICA is faster than Sparse Auto-encoder but the orthogonalization in each iteration is time-consuming, which makes it slower than Spherical  $k$ -means. Since the number of independent components to be estimated range from 1 to 3701, the maximum feature size of ICA in Fig. 11 is 3000 because the feature size of ICA must be less than the dimension of input. Recall that the spherical  $k$ -means can be solved by Eqs. (3)–(5), each step of which can be done quickly. Thus, the proposed algorithm is more efficient for feature learning.

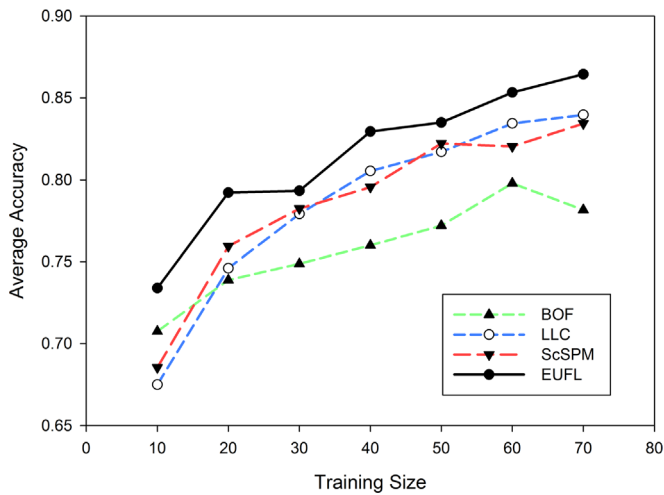


Fig. 9. The effect of feature learning. Experimental results with different feature sizes on UIUC-Sports data set.

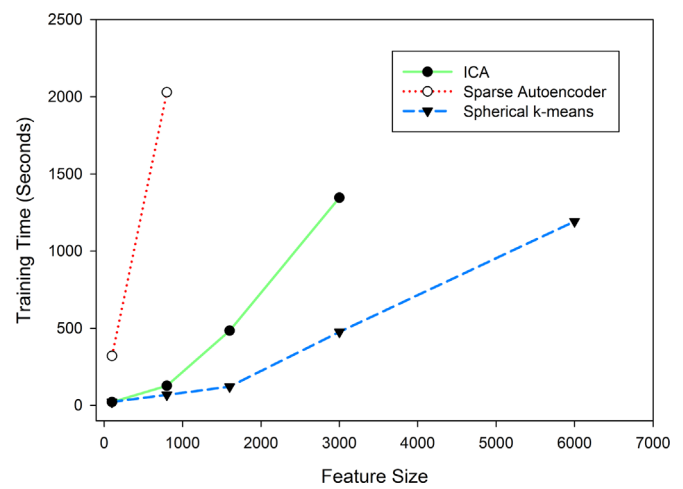


Fig. 11. Comparison the proposed feature learning on NWPU-SC data set. The horizontal axis is the learned feature size. The vertical axis is the training time.

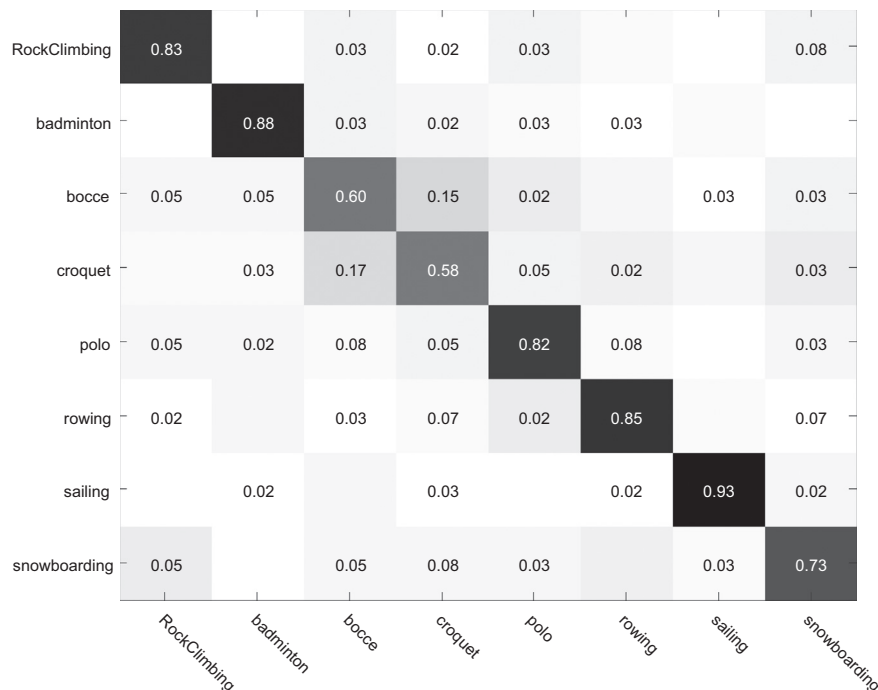
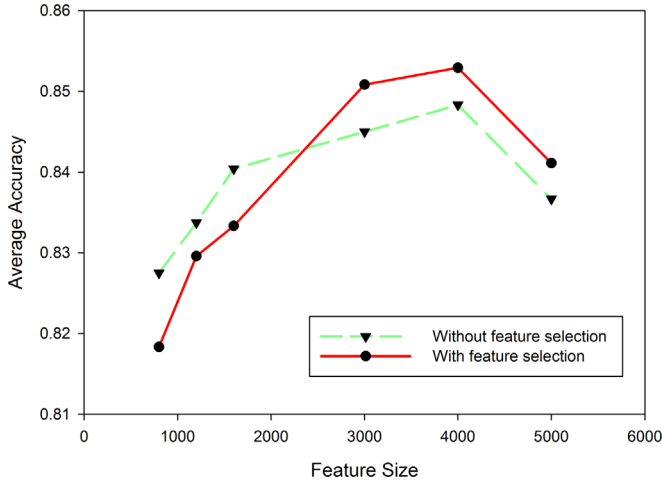
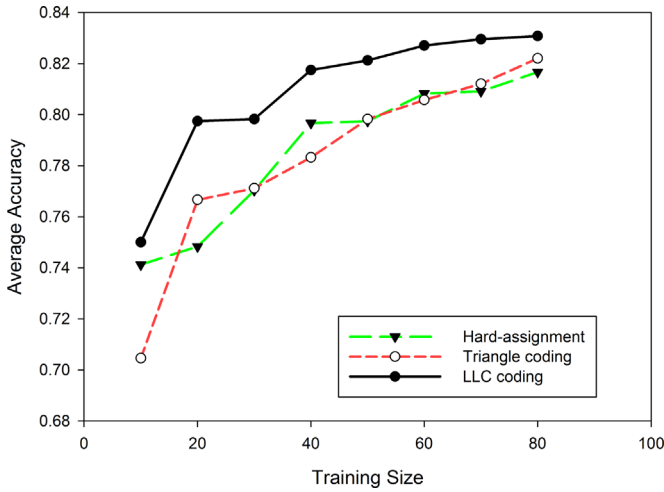


Fig. 10. Confusion matrix on UIUC-sports data set by the EUFL method.





**Fig. 12.** The effect of feature selection. The horizontal axis is the learned feature size. The vertical axis is the average accuracy for the classification results.



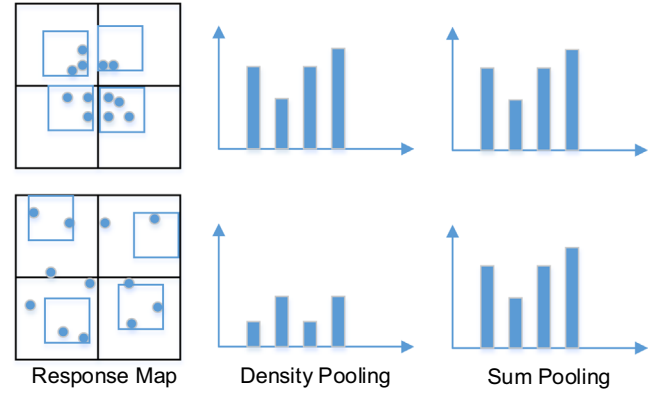
**Fig. 13.** Comparison of different feature coding methods. The horizontal axis is the training size. The vertical axis is the average accuracy.

#### 4.4.2. Evaluation of feature selection

Feature selection is proposed to eliminate the influence of noises since not all of the learned features are useful. Considering the training images are often insufficient, we exploit how to efficiently generate robust features under this circumstance by the effect of feature selection. The experiment is first performed on NWPU-CS data set. The result can be seen in Fig. 12. We notice that when the feature size is small, the average accuracy will drop after feature selection. But with the increase of the feature size, the improvement through the feature selection appears. This is because when the feature size increases, it is more difficult to learn effective features especially when the training images are not enough. Thus an effective feature selection is necessary. But the curve drops down when the feature size is more than 4000. This is unsurprising that at this time, the over-fitting occurs since the training set is limited compared to the feature size. Therefore, we set the feature size to 4000 in our experiments.

#### 4.4.3. Evaluation of feature coding

Feature coding is an essential component of image representation, whose intention is to map raw image patches to learned feature space. It considerably affects the representation effectiveness and computation cost. In order to justify the effectiveness of the employed LLC coding, it is compared with hard-assignment



**Fig. 14.** Illustration of the proposed density pooling and traditional sum pooling.

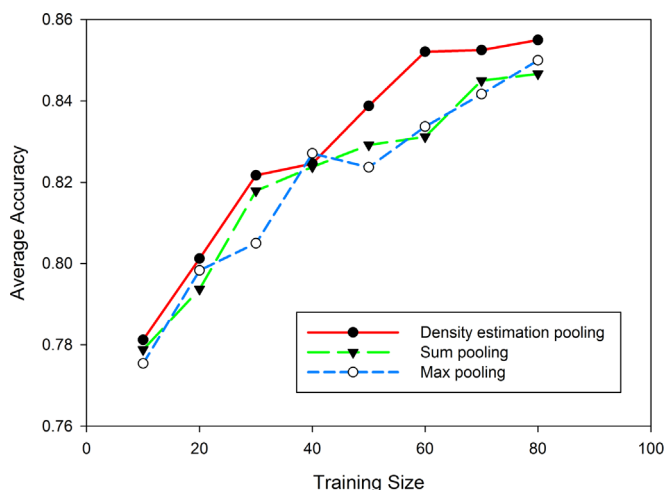
and triangle coding, keeping the other system components unchanged in the NWPU-CS data set. The classification results are shown in Fig. 13. From the curves, it is obvious that the best performance is obtained when LLC coding is utilized. Hard-assignment is the simplest coding method which assigns the patch to the nearest centroid. Obviously, this strategy is easy to compute but will lose profuse information. Triangle coding is much softer than hard-assignment and somewhat ensures the sparsity but the codes produced by it are not smooth. Fortunately, LLC coding is an efficient coding method which guarantees the sparsity and smoothness at the same time. Therefore, LLC coding achieves the best performance than the other competitors.

#### 4.4.4. Evaluation of density estimation pooling

The density estimation pooling is proposed to encode density clue and make the final representation more efficient for the specific problem. To evaluate the effect of density estimation pooling, it is compared with sum pooling and max pooling. Similarly, all the experimental setups are fixed except the pooling strategy and then compare their performance on the NWPU-CS data set. In the first place, an illustrative explanation of the density pooling is shown in Fig. 14 for a more straightforward understanding. From left to right, the three columns represent the feature channels of different congested scenes, the results of density estimation pooling and sum pooling. It is easy to notice that the first scene is more congested than the second scene. But the sum pooling will be confused about their densities because it generates same pooling results. Nevertheless, density estimation pooling can separate them effectively. From the figure it can be seen obviously that density pooling may generate different results from traditional pooling methods such as sum pooling. For example, the sum pooling cannot distinguish the situations in the first row and the second row because it produces the same results. On the contrary, the proposed density pooling can effectively characterize their difference. For a more objective evaluation, Fig. 15 shows the average accuracies of different pooling methods under different training sizes. Through all the experiments, density estimation pooling outperforms other pooling methods for the congested scene classification task.

## 5. Conclusion

Congested scene classification is a specific problem of scene classification in which we focus on the crowdedness of pedestrians and vehicles in the traffic environment. Though great progress has been made in general purpose tasks, no algorithms are designed towards this particular application. Based on the deficiency of existing research, an efficient unsupervised feature learning and



**Fig. 15.** Comparison of density estimation pooling and conventional pooling methods. The horizontal axis is the training size. The vertical axis is the average accuracy.

selection strategy is proposed to get the effective representation of the input image. The proposed feature selection method motivated by the different appearance of centroids can ensure high-quality feature utilization. Furthermore, a more sophisticated method based on local density estimation is proposed to pool features together. Our method shows its efficiency in congested scene classification task compared to some conventional scene classification algorithms.

Since the proposed approach is based on low-level features which are difficult to encode semantic information, a hierarchical model shall be exploited to learn high-level representations in the future. Besides, the temporal information shall be considered too.

## Conflict of interest

None declared.

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