



Onevest Wealth cc

Independent Financial Advisors

Mauro Morson RFP®

Disclosures and Section 13 Certificate in terms of the Financial Advisory and Intermediary Services Act 37 of 2002 (FICA)

1. Status of Financial Services Provider in terms of the FAIS Act	
<p>Onevest Wealth CC is an authorised Financial Service Provider. Registration number 1996/056346/23. FAIS License number 18930. Vat number 4220181939. Mark A Feldman (ID 5503275038089) is the key individual of FSP, and as such participates in the decision making and management of the organisation. Mauro Morson is employed as a representative of the FSP by virtue of a written contract of employment. Mauro Morson meets the fit and proper requirements in terms of the FAIS Act.</p>	
2. Personal Contact Particulars:	3. Remuneration
Cell No: 082 901 9292 , Tel No: 010 591-8180 Facsimile No: 086 574 1021 , e-mail: mauro@onevest.co.za	Mauro Morson is a commissioned staff member of the FSP, and receives incentive remuneration based on individual and company performance.
4. FSP Office Contact Particulars	
Onevest Wealth. CC Sinosteel Plaza, 159 Rivonia Rd, Morningside, Sandton, 2196. PO Box 652002 Benmore 2010 Tel No: 010 591-8180 Fax No: 086 560-4998 Email: admin@onevest.co.za Web: www.onevest.co.za	
5. Responsible Key Individual Contact Particulars	
Mark Feldman – contact details as above	
6. Qualifications and Memberships	
Total Experience in the Financial Services Industry: 23 years. Mauro Morson is an RFP® and has a National Certificate in Financial Services, Wealth Management. He has written and passed the RE1 and RE5 regulatory examinations.	
7. Independent Status of (FSP) and Professional Indemnity Insurance	
The FSP has agreements with a number of financial institutions and our representatives are authorised in terms of written agreements, to place business with them. In the past 12 months this business earned more than 30% of its income from Allan Gray. The FSP has no financial interest in any product supplier. The FSP carries professional indemnity insurance. The FSP will not be held liable in terms of any prejudice in respect of services or advice provided by the representative which falls outside the scope of this authorisation. Any complaint in respect of a product which falls outside the definition of a financial product within the FAIS Act cannot be forwarded to the FAIS Ombud.	
8. Authorisation	
As the responsible licence holder, the FSP accepts responsibility for the functions performed by the representative in the ordinary course and scope of the representative's duties in respect of advice given and the rendering intermediary services on the following products and services: Long term insurance category A, B and C. Pension Benefits. Retail Pension Benefits. Participatory Interest in Collective Investment Schemes. Health Services Benefits. Foreign Currency Denominated Investment Instruments. The representative meets the fit and proper requirements in terms of the FAIS Act. . The FSP or its representatives may not request or induce a client to waive any right or benefit conferred on the client under the General Code of Conduct.	
9. Complaints Procedures	
If you have a complaint, please contact the FSP. The complaints officer, Mark Feldman will assist you to address the concerns you have. Please note that in terms of the FAIS act, all complaints must be addressed to us in writing. Should we not be able to address the concerns to your satisfaction, you may wish to lodge a complaint with any of the Ombudsmen whose detail appear below. If you wish to learn more about our complaints policy and procedure, please contact Mark Feldman at the office number above.	
10. FSP Compliance Officer's Details	
Compliance Practice: eas-e Comply (Pty) Limited Practice Number: CO28 Telephone number: 0861266759 email address: info@easecomply.com	
11. FAIS Ombud Details for all FAIS advice related complaints	
PO Box 74571, Lynnwood Ridge, 0040. Kasteel Park Office Park, Orange Building, 2nd Floor, c/o Nossob & Jochemus Street, Erasmus Kloof, Pretoria, 0048. Tel 012 762 5000 Email: info@faisombud.co.za	
12. Long term Ombud details for all Long term insurance complaints	
3 rd Floor Sunclare Building. 21 Dreyer Street Claremont 7700. Private Bag X 45, Claremont 7735 Tel No: (021) 657 5000 Fax No: (021) 674 0951 Email: info@ombud.co.za	



Mauro Morson RFP® Financial Advisor

Mark Feldman BCom CFP® managing member

Sinosteel Plaza 159 Rivonia Rd (off Grayston Dve) Morningside Sandton 2196

PO Box 652002 Benmore 2010

Reg No 1996/056346/23 FSB Licence 18930 VAT 4220181939

tel: 010 591 8180 email: admin@oneest.co.za web: www.onevestwealth.co.za