



Onevest Wealth cc

Independent Financial Advisors

Debra Coltman

Disclosures & Section 13 Certificate

(in terms of the Financial Advisory and Intermediary Services Act 37 of 2002 (FICA))

1. Status of Financial Services Provider in terms of the FAIS Act

Onevest Wealth cc is an authorised Financial Service Provider. Registration number 1996/056346/23. FAIS License number 18930. Vat number 4220181939. Debra Coltman is an employee in terms of a written agreement. Debra meets the fit and proper requirements in terms of the FAIS Act.

2. Personal Contact Particulars:

Cell No: **082 456-8976**, Tel No: **010 591-8180**

e-mail: debra@onevest.co.za

3. Remuneration

Debra Coltman is a salaried staff member of the FSP, she also receives commission and incentive remuneration based on individual and company performance.

4. FSP Office Contact Particulars

Onevest Wealth cc, 17th floor Sinosteel Plaza, 159 Rivonia Rd, Morningside, Sandton, 2196. PO Box 652002 Benmore 2010

Tel No: 010 591-8180 **Email:** admin@onevest.co.za **Web:** www.onevest.co.za

5. Responsible Key Individual Contact Particulars

Mark A Feldman (ID 5503275038089) is the key individual of FSP, and as such participates in the decision making and management of the organisation. Cell No: **083 231-6004**, Tel No: **010 591-8180**, e-mail: mark@onevest.co.za

6. Qualifications and Memberships

Debra Coltman has a Higher Certificate in Financial Planning and has completed the RE1 and RE5 regulatory examinations.

7. Independent Status of (FSP) and Professional Indemnity Insurance

The FSP has agreements with financial institutions and our representatives are authorised in terms of written agreements, to place business with them. In the past 12 months this business earned more than 30% of its income from Allan Gray. The FSP has no financial interest in any product supplier. The FSP carries professional indemnity insurance. The FSP will not be held liable in terms of any prejudice in respect of services or advice provided by the representative which falls outside the scope of this authorisation. Any complaint in respect of a product which falls outside the definition of a financial product within the FAIS Act cannot be forwarded to the FAIS Ombud.

8. Authorisation

As the responsible licence holder, the FSP accepts responsibility for the functions performed by the representative in the ordinary course and scope of the representative's duties in respect of advice given and the rendering intermediary services on the following products and services: Long term insurance category A, B and C. Pension Benefits. Retail Pension Benefits. Participatory Interest in Collective Investment Schemes. Health Services Benefits. The representative meets the fit and proper requirements in terms of the FAIS Act. The FSP or its representatives may not request or induce a client to waive any right or benefit conferred on the client under the General Code of Conduct.



Debra Coltman – Financial Adviser

Mark Feldman BCom CFP® managing member

Sinosteel Plaza 159 Rivonia Rd (off Grayston Dve) Morningside Sandton 2196

PO Box 652002 Benmore 2010

Reg No 1996/056346/23 FSB Licence 18930 VAT 4220181939

tel: 010 591 8180 email: debra@onevest.co.za web: www.onevest.co.za



Onevest Wealth cc

Independent Financial Advisors

9. Complaints Procedures

If you have a complaint, please contact the FSP. The complaints officer, Mark Feldman will assist you to address the concerns you have. Please note that in terms of the FAIS act, all complaints must be addressed to us in writing. Should we not be able to address the concerns to your satisfaction, you may wish to lodge a complaint with any of the Ombudsmen whose detail appear below. If you wish to learn more about our complaints policy and procedure, please contact Mark Feldman at the office number above.

10. FSP Compliance Officer's Details

Compliance Practice: Eas-e Comply (Pty) Limited
Practice No: CO28
Tel No: 0861 266 759
Email: info@easecomply.com

PO Box 74571, Lynnwood Ridge, 0040. Kasteel Park Office Park, Orange Building, 2nd floor, c/o Nossob & Jochemus Street, Erasmus Kloof, Pretoria, 0048. Tel 012 762 5000 Email: info@faisombud.co.za

12. Long term Ombud details for all Long term insurance complaints

3rd Floor Sunclare Building. 21 Dreyer Street Claremont 7700. Private Bag X 45, Claremont 7735

Tel No: (021) 657 5000 Email: info@ombud.co.za

Rev 23-07-2019



Debra Coltman – Financial Adviser
Mark Feldman BCom CFP® managing member
Sinosteel Plaza 159 Rivonia Rd (off Grayston Dve) Morningside Sandton 2196
PO Box 652002 Benmore 2010
Reg No 1996/056346/23 FSB Licence 18930 VAT 4220181939
tel: 010 591 8180 email: debra@onevest.co.za web: www.onevest.co.za