Using hydraulic geometry and the SWOT satellite to remotely sense river gas exchange velocity

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## Key Points

* The BIKER (Bayesian Inference of the Exchange Rate) algorithm predicts gas exchange velocity and fluxes solely from simulated SWOT data without calibration
* BIKER is robust to measurement errors implicit in SWOT river measurements
* BIKER will allow for novel study of gas exchange spatiotemporal dynamics after SWOT’s launch

## Keywords

gas exchange, fluvial geomorphology, remote sensing, open-channel flow, SWOT, biogeochemistry

## Abstract

Extensive research over the past two decades has shown that the global river network emits significant amounts of greenhouse gas via gas exchange. Despite much progress, there is still large uncertainty in the temporal dynamics of gas exchange and thus carbon emissions to the atmosphere. Much of this uncertainty stems from uncertainty in gas exchange velocity , the rate of this diffusion. We propose that the NASA/CNES/USKA/CSA SWOT satellite, set to usher in a new age of hydrology research at the global scale, can provide similarly transformative insights to fluvial gas exchange modeling upon launch in 2022. Here, we present work inferring from future SWOT observations without in situ calibration. We exploit the unique geomorphology of SWOT-observable rivers to develop a physical model of gas exchange that is nearly entirely remotely sensible and explains 70% of variation in We then couple this model with established Bayesian inference techniques to develop BIKER, the ‘Bayesian Inference of the Exchange Rate’ algorithm. We validate BIKER on 47 SWOT-simulated rivers (SWOT has not yet launched) and 166 discrete measurements of river gas exchange, yielding an algorithm that predicts the timeseries solely from SWOT observations with a by-river median Kling-Gupta Efficiency of 0.36. Like similar Bayesian remote sensing algorithms, BIKER is better at reproducing the temporal dynamics of gas exchange (median correlation coefficient of 0.91), than the absolute rates of exchange (median relative bias of 22%). Finally, we show BIKER robust to measurement errors implicit in the SWOT data. With SWOT set to launch in late 2022, we suggest that BIKER will be useful in mapping the global-scale spatiotemporal dynamics of fluvial gas exchange in large rivers.

## 1 Introduction

Natural systems play a critical role in the budgeting and accounting of the global carbon cycle under climate change. Following Cole et al. (2007), the global river network is recognized to emit substantial amounts of carbon to the atmosphere via evasion (gas exchange driven by a diffusion gradient and water turbulence), in addition to their long understood role in transporting carbon to the oceans via downstream advection. Current estimates of total carbon dioxide evasion () to the atmosphere from the global river network vary from 650-2000 Tg C/yr [*Liu accepted*; Lauerwald et al. (2015); Raymond et al. (2013)], with 167 Tg-C/yr coming from mountain streams alone (Horgby et al., 2019). Despite rivers’ incredibly small percentage of the global land surface [0.67%- *Liu accepted*], this C flux is on par with the total oceanic uptake rate (Gruber et al., 2019; Horgby et al., 2019) and the global forest carbon uptake rate (Pan et al., 2011).

River evasion is increasingly better constrained and is clearly a critical component of the global carbon cycle. Equation 1 represents this riverine flux given (the gas concentration gradient between the water and the air ) and the gas exchange velocity *k*. Consult Appendix A for variable nomenclature used throughout this study.

The structure of equation 1 necessitates that calculations of this flux are highly sensitive to measurements/estimates of *k*. However, *k* can only be directly calculated via a known gas concentration gradient, eddy-covariance measurements, or tracer additions to the stream (Hall & Ulseth, 2020). In trying to constrain the global fluvial flux across millions of rivers, this calculation is impossible, and necessitates the use of predictive models for *k* that are based on easily obtained river hydraulic properties. In that vein, there have been over 20 empirical models developed to predict *k* from river hydraulics, generally using some combination of mean velocity , shear velocity , width , depth , and slope as predictors (Wang et al., 2021). These models usually predict , or *k* normalized by a Schmidt number *Sc* of 600. This is to remove the effect of water temperature and gas type from predictive models, as warmer waters and lower *Sc* numbers both increase gas exchange rates (Hall & Ulseth, 2020). Specifically, reflects the at 20 degrees Celsius. Through this normalization, these models exclusively perturb the geophysical controls on gas exchange (Hall & Ulseth, 2020).

These empirical models enable estimating a global flux () from millions of rivers, but they also change the base parameters that ultimately control that aggregate estimate. That is, by making *k* a function of hydraulics, is now a direct function of river hydraulics. This functional relationship is described in equation 2. It suggests that estimates are not only at the mercy of the accuracy and spatiotemporal resolution of as discussed previously, but also the accuracy and resolution of our river hydraulics estimates.

There is a robust existing literature exploring spatiotemporal patterns in (e.g. Aho, Fair, et al., 2021; Aho & Raymond, 2019; Crawford et al., 2017; Liu & Raymond, 2018; Peter et al., 2014; Ran et al., 2017; Raymond et al., 2000; Rocher-Ros et al., 2019). This work has lead to recent river-reach explicit modeling of using global hydrography datasets at up to monthlytemporal resolutions [Horgby et al. (2019); Brinkerhoff et al. (2021); Saccardi & Winnick (2021); *Liu accepetd*], but an equivalently sophisticated representation of is still lacking. As equations 1 and 2 dictate, and share the burden of calculating and therefore these next-generation models will have to contend with this discrepancy, which is directly dictated by the lack of direct measurements of global river hydraulics.

For example, to upscale globally, Raymond et al. (2013), Lauerwald et al. (2015), and Horgby et al. (2019) all relied on values indirectly estimated using mean annual streamflow models and scaling equations to predict hydraulic terms, while Borges et al. (2015) used a combination of the above method and a constant in space and time to upscale over Africa. In all of these foundational studies, the temporal dynamics of the gas exchange velocity (and thus ) were ignored because of hydraulic data limitations. It has been shown at the field-scale that temporal dynamics of gas exchange can vary widely from site to site (Wallin et al., 2011), but it has remained impractical to obtain temporally explicit at continental-to-global scales. More recently, *Liu etal accepted* performed a first assessment of monthly temporal dynamics in the global river flux, though they relied on modeled streamflow and used the same model for as previous studies (Raymond et al., 2013) to achieve this.

Wang et al. (2021) recently attempted to address this global *k* problem by simulating in 35 rivers of many sizes (widths ranging from 0.23–349m) using a stream metabolism model (Appling et al., 2018) and in situ dissolved oxygen (DO) datasets to infer what *k* must have been to produce their observations. They then compared this simulated dataset against direct measurements of *k*, finding similar performance and parameter values for process-based models of gas evasion. However, they were still limited by a lack of direct hydraulic measurements and had to rely on scaling equations to estimate river depth and velocity. Even though approaches like this are incredibly useful for expanding our mechanistic understanding of gas exchange, it is less useful for global upscaling purposes as it relies on highly detailed in situ DO data for every river (Hall & Ulseth, 2020).

In theory, the discrepancy between the quality of our and estimates could be alleviated if direct hydraulics measurements (and in turn via equation 2) were available at the global scale at a sufficient temporal resolution. This is turn would also address the uncertainty regarding continental-to-global scale temporal dynamics of gas exchange noted earlier. Conveniently, these data will soon be available via the upcoming NASA/CNES/UKSA/CSA Surface Water and Ocean Topography (SWOT) satellite mission. SWOT is expected to launch in 2022 and provide the world’s first direct measurements of global water surface extent and elevation (and therefore water surface slope) at novel temporal resolutions. SWOT is a wide swath radar interferometer and will sample rivers every 1 to 7 days per 21 day repeat cycle, measuring rivers wider than 100m with a goal of expanding this to rivers at least 50m wide (Biancamaria et al., 2016). Via its direct hydraulic measurements, SWOT is expected to usher in a sea change in global-scale hydrology, and could similarly transform fluvial biogeochemsitry if techniques are developed to ingest SWOT data and infer *k*. In this context, we borrow tools from fluvial geomorphology and existing SWOT algorithms to answer the following two questions:

* Can we develop a physically-based hydraulic model for unique to SWOT-observed rivers?
* Can we exploit such a model to infer (and its uncertainty) solely from SWOT observations?

To answer this first question, we use hydraulic geometry (Gleason, 2015; the fundamental geomorphic relationships between streamflow and channel shape- Leopold & Maddock, 1953) to develop a process-based model for large-river (here defined a wider than 50m) gas exchange. We then take these findings and explore the second question by implementing this hydraulic model, which in turn defines , within an algorithm named BIKER (‘Bayesian Inference of the Evasion Rate’). The goal of BIKER is to require no in situ inputs of any kind (although in situ data could be ingested and should improve results) such that it is globally implementable on any SWOT-observable river. We validate BIKER on 47 SWOT-simulated rivers (as SWOT has not yet launched) under both a ‘no-measurement-error’ and a ‘measurement-error’ scenario to explore algorithm robustness to the error tolerances expected in the satellite’s measurements. Finally, we also couple BIKER’s estimates with to predict gas fluxes and compare these against established literature methods that are reliant on in situ hydraulic measurements.

This paper is split into two distinct parts: gas-exchange theory/model development (section 2) and BIKER algorithm development/validation (section 3). Section 3 is fundamentally dependent on the results presented in section 2, therefore section 2 presents both theory and results. Both sections detail the data used. We conclude with a discussion (section 4) that encompasses both gas exchange theory and remote sensing. We also provide a flowchart detailing the entire study as Figure 1.

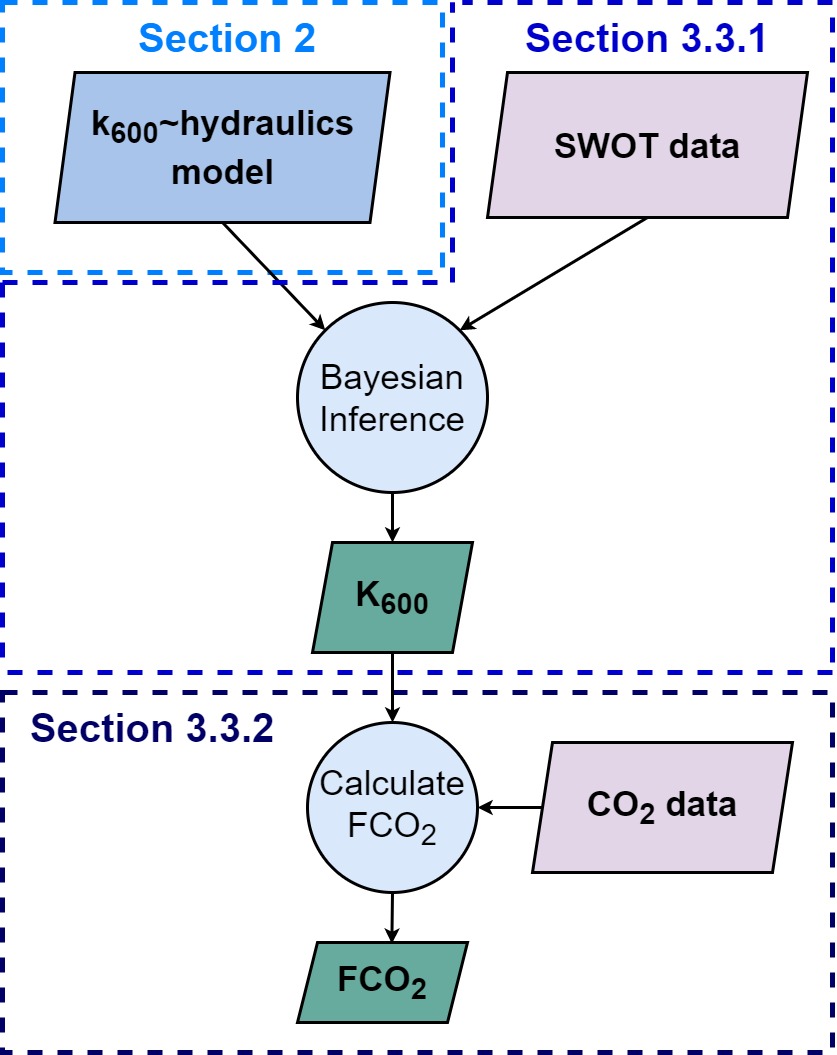


Figure 1: Flowchart detailing the BIKER algorithm. Dashed lines indicate workflow to calculate the observed data that we validate against. See Appendix A for variable nomenclature used in this study.

## 2 Prediciting from large-river hydraulic geometry

To predict in the large rivers that SWOT will observe, we start from established process-based models for *k*, impose hydraulic assumptions that are valid in SWOT-observable rivers, and obtain a suite of models we empirically test. Following a description of the data (section 2.1), we outline established models (section 2.2) and then step through our hydraulic assumptions to arrive at equations that are compatible with SWOT measurements (section 2.2). We then validate the model (section 2.3)

### 2.1 Data

We develop our hydraulic model for using multiple datasets of measured and stream hydraulics collected from the literature. In total, there are 763 measurements of , with 701 of these measurements previously gathered by Raymond et al. (2012) and Ulseth et al. (2019). The remaining measurements come from Churchill et al. (1964) and Owens et al. (1964). See Table S1 for a complete list of the studies that collected these measurements. All measurements come from tracer studies and thus define and the rivers’ mean hydraulic properties at the reach scale.

In addition to hydraulics measured alongside and reported above, we expand our dataset of stream hydraulics using a previously published compilation of in situ hydraulic measurements (Brinkerhoff et al., 2019). That dataset contains over 530,000 unique measurements of river channel velocity, width, and discharge from across the continental United States, originally made to calibrate United States Geological Survey (USGS) streamgauge rating curves and made public by the USGS.

### 2.2 Process-based hydraulic modeling of river *k*

*k* scales with near-surface turbulence in turbulent streamflows (Hall & Ulseth, 2020), and extensive field and laboratory experiments have converged on the ‘small-eddy model’ as derived by Lamont & Scott (1970) and empirically anticipated by Calderbank & Moo-Young (1961). This model scales *k* via the smallest-scale turbulent eddies and has been repeatedly empirically validated in freshwater systems (e.g. Katul et al., 2018; Lorke & Peeters, 2006; Moog & Jirka, 1999b; Tokoro et al., 2008; Vachon et al., 2010; Wang et al., 2021; Zappa et al., 2003, 2007). The small-eddy model is provided as equation 3, where is the dissipation rate of near-surface turbulence, is the kinematic viscosity, and *Sc* is the Schmidt number.

Some laboratory and field observations additionally suggest that open channel flows with small bed roughness do not exhibit homogeneous surface dissipation at their air-water interface (Moog & Jirka, 1999a; Talke et al., 2013). Given this observation, Moog & Jirka (1999a) proposed an extension to the small-eddy model, additionally scaling using a shear Reynold’s number formulation. This is equation 4 and is referred to here as the ‘Reynolds extension’ model. The Reynolds model is hypothetically useful in low-turbulence flows where a relative lack of large-scale eddies effectively ‘filter out’ the number of small-eddies that actually reach the interface and initiate gas exchange (Talke et al., 2013). While scaling *k* via a shear Reynold’s formulation is sometimes done to parameterize wave-breaking gas exchange models in the open ocean (Brumer et al., 2017; D. Zhao et al., 2003; Dongliang Zhao & Toba, 2001), it is infrequently done in rivers. In the context of BIKER, we chose to test this model because large, SWOT-observable rivers are generally the smoothest, least-turbulent flows along the stream-to-ocean continuum where small eddies might not reach the surface. Further, to our knowledge this Reynolds extension model has never been empirically tested in predicting river *k*, aside from confirming that large-scale eddies differentially move turbulence to the surface in a large river (Talke et al., 2013).

Equations 3 and 4 both rely on , which is non-trivial to measure. When working at large scales, a commonly used model assumes that all turbulence is generated at the bed and transported to the air-water interface via the log-law-of-the-wall via equation 5 (Lorke & Peeters, 2006; Nezu & Nakagawa, 1993). Another approach models *k* via ‘form-drag dissipation’ (equation 6) which is equivalently the total stream power per unit mass water. This normalized stream power captures the bulk frictional resistance (and thus energy dissipation) via channel banks, meanders, bars, etc. that is unique to fluvial systems (Moog & Jirka, 1999b). Authors have since shown that equation 6l can reasonably predict *k* in rivers and streams (Raymond et al., 2012; Ulseth et al., 2019; Wang et al., 2021).

### 2.3 Deriving a large-river model

Given the theoretical context provided in Section 2.2, we now turn to SWOT-observable systems specifically. Rivers and streams change predictably along their longitudinal profile from headwater to ocean, and we can exploit the hydraulic geometry of large rivers at the end of this continumn to estimate *k* in SWOT-observable systems. In general, as river size increases, channels become more rectangular, their shapes elongate (becoming wider quicker than they become deeper) and their hydraulic radii begin to approximate their mean flow depth, i.e. . This is a common assumption in hydraulic and geomorphic modeling of large rivers, and the average flow in a SWOT-observable river flow has a ratio of 0.98 (n = 22452; see Text S1 for how we built this dataset). We refer to these rivers as ‘hydraulically-wide.’

We therefore assume that all SWOT-observable rivers are hydraulically-wide. We then test the performance of four models for predicting *k* in hydraulically-wide channels: the four unique combinations of equations 3-4 and equations 5-6 outlined above. The overall goal was to reduce the equations down to their fundamental parameters, identifying which terms are SWOT observable and limiting the number of terms not directly measurable via SWOT. While the complete model derivations and results for the four models are provided in Text S2 and Figure S1, the final and best-performing model is presented below.

We impose and on the Reynolds extension model and arrive at equation 7 (with statistical coefficient ). Equation 7 thus define gas exchange velocity solely as a function of slope, mean flow depth, and mean flow velocity. This is theoretically valid only in a hydraulically-wide channel.

### 2.4 Model validation With equation 7 derived, we now empirically its strength of fit for hydraulically-wide river flows. We validate on the dataset of in situ measurements of , after filtering for measurements made in hydraulically-wide channels, which was operationally defined as flows whose hydraulic radius was within 1% of their mean flow depth. All told, this amounts to 166 direct measurements of hydraulically-wide and stream hydraulics to test with. The model is assessed via the coefficient of determination () and plotted in Figure 2. Note that Figure 2 axes are plotted in logarithmic space only for visualization: model fit and validation (via ) were calculated in linear space as their models dictate.

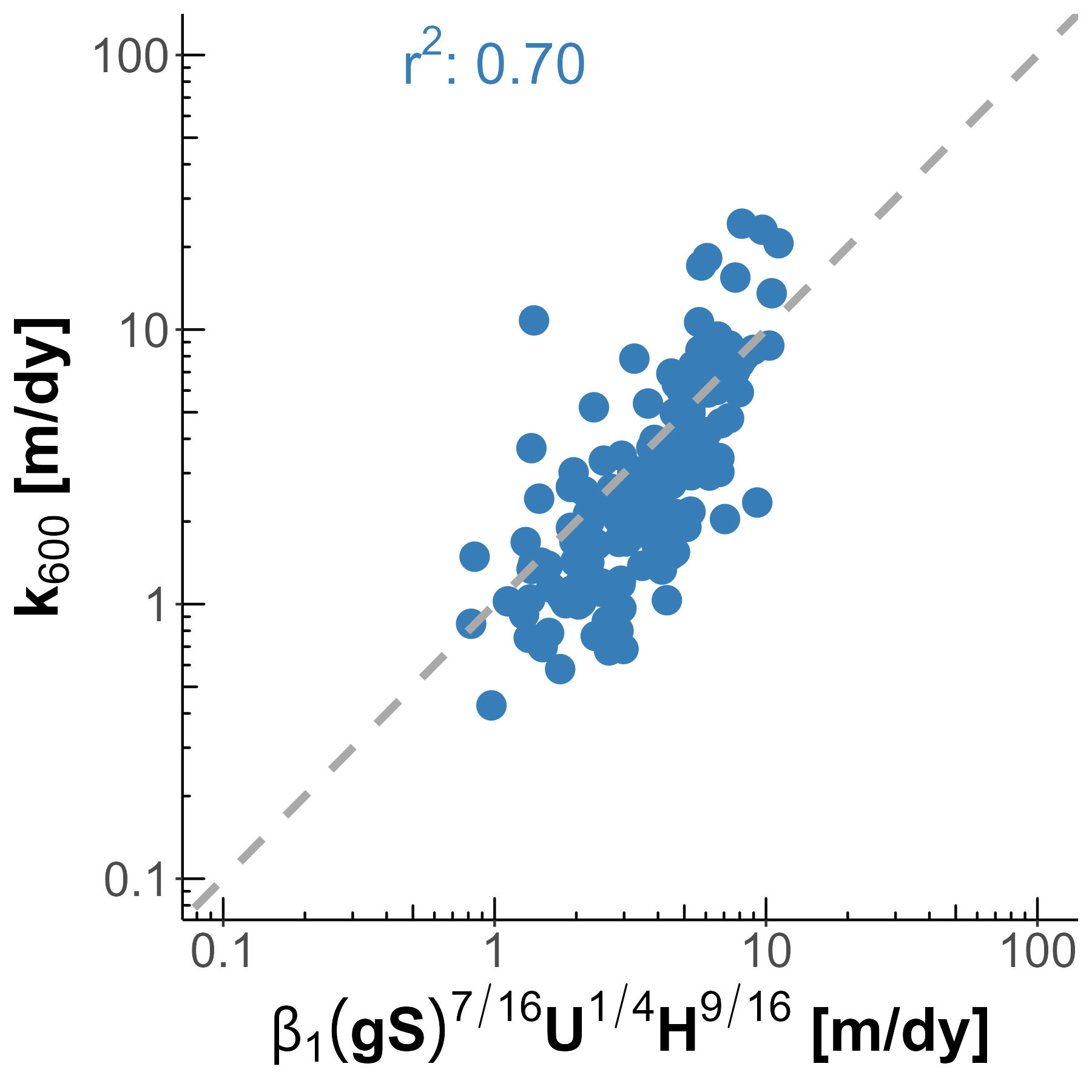


Figure 2: Empirical testing of our large-river model on 166 measurements made in hydraulically-wide rivers. Note that axes are plotted in logarithmic space just for visualization: model fit and validation (via the coefficient of determination ) were calculated in linear space.

Our model explains 70% of variation in observed in hydraulically-wide rivers and accurately captures the scaling dynamics of observed as well. While the individual residuals can be quite large, the overall scaling of with river hydraulics is strongly captured. Compared to the other models tested (Figure S1), there is also less bias in the estimates thanks to the addition of the Reynold’s number scaling for low-turbulent flows. The success of this model in hydraulically-wide channels provides us with a strong physical-model for gas evasion. The river hydraulics terms in equation 7 can either be directly measured or reasonably inferred from SWOT measurements, effectively opening the door for remotely sensing the gas exchange velocity. This is explored next.

## 3 Exploiting equation 8 to remotely sense gas exchange velocity

We have shown that scaling via equation 8 explains 70% of the variation in in hydraulically-wide rivers, which includes nearly all SWOT-observable rivers. Further, equation 8 has only three non-remotely-sensible terms: , mean flow depth, and mean flow velocity. Conveniently, techniques to simultaneously infer mean flow depth and velocity from SWOT data (among other parameters) have been established over the last decade to infer streamflow from SWOT’s measurements (e.g. Andreadis et al., 2020; Brinkerhoff et al., 2020; Brisset et al., 2018; Durand et al., 2014; Garambois et al., 2020; Garambois & Monnier, 2015; Gleason et al., 2014; Hagemann et al., 2017; Larnier et al., 2020; Oubanas et al., 2018). For BIKER, we follow the work developed by Hagemann et al. (2017), Brinkerhoff et al. (2020), and Durand et al. (2014) to infer , chanel depth and velocity from SWOT observations using a modified form of Manning’s equation. Following a description of the data used (section 3.1), we detail algorithm development and experimental design (section 3.2) and then we present the validation results (section 3.3).

### 3.1 Data

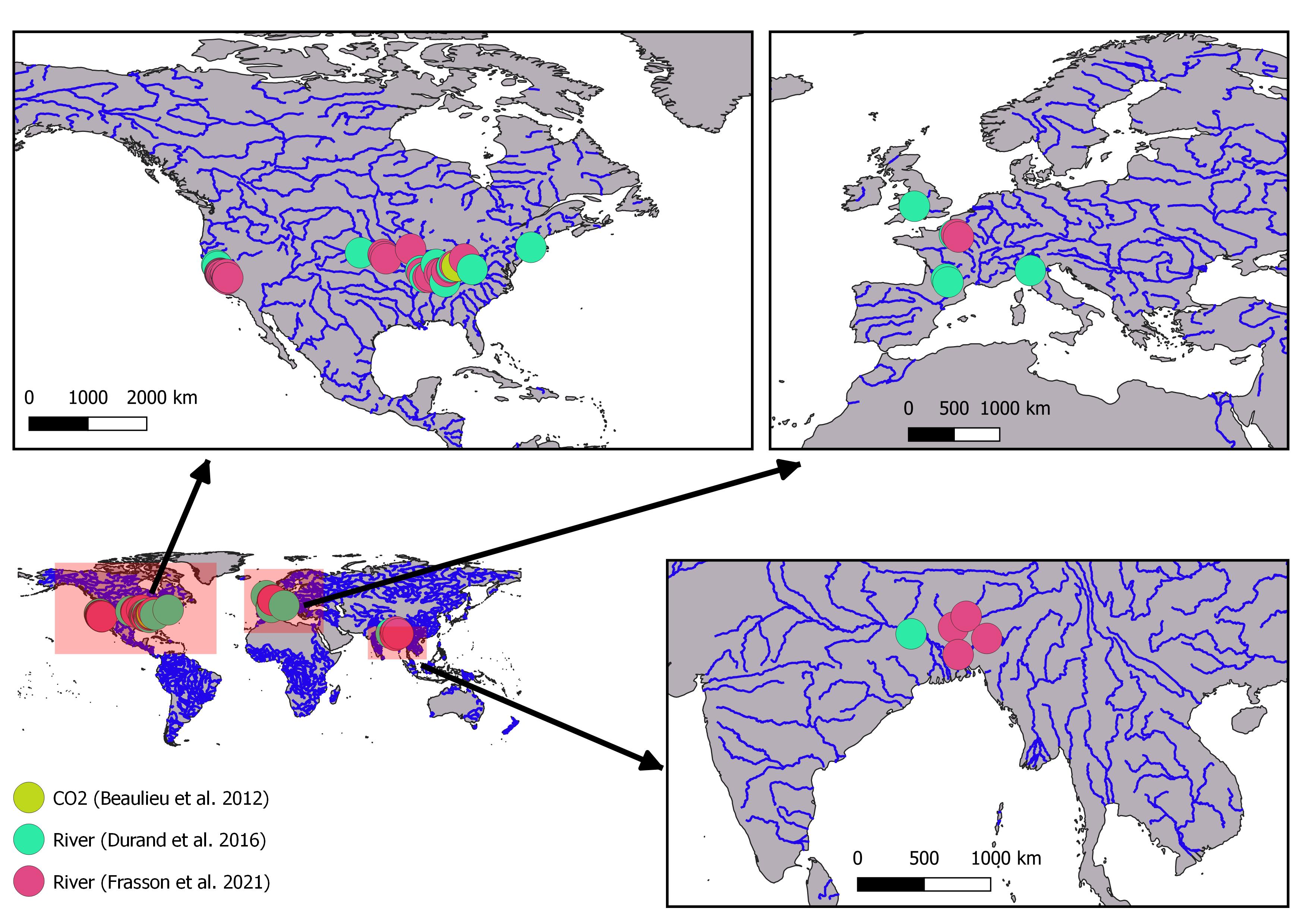


Figure 3: Map of the 47 hydraulic models and 1 timeseries of CO2 samples used in this study. Note that hydraulic model locations are approximate as some of the models are not geo-referenced.

To validate BIKER, we cannot use actual SWOT measurements as SWOT has yet to launch. In the hydrology literature, it is therefore standard practice to benchmark SWOT-related algorithms on “SWOT-like” data (Durand et al., 2016). We use 47 SWOT-simulated rivers for validation, where these simulated rivers are simply reach-averaged hydraulic model outputs where the water surface heights, slopes, and widths are labelled as RS observations and are used as the sole inputs to BIKER. These data were published by Frasson et al. (2021) and Durand et al. (2016) as benchmarking datasets to explore remote sensing of discharge (RSQ) algorithm performance for the SWOT mission. These datasets are created using standard hydraulic models forced with known inflows and measured bathymetry to model the hydraulic response of the rivers. These 47 rivers represent the ‘no-measurement-error’ scenario that reflects a best-case scenario that is used here as a first validation of BIKER. 16 of these rivers are then further prepared by Frasson et al. (2021) to more closely mimic SWOT by adding realistic radar errors and sampling along the satellite’s future ground track. Specifically, they account for 1) the SWOT error budget itself [CITE}], 2) thermal noise, and 3) radar layover error, witht the latter two being modeled by (Durand et al., 2020).

*COLIN: Frasson et al. (2021) also developed a sophisticated error model that mimics the effects of the atmosphere, topography, and water surface geometry on SWOT measurements (16/47 rivers) to add realistic radar layover errors that depend on the time and place of observation. The resulting data are the most realistic synthetic SWOT river data in the literature. The approximate locations of these rivers are plotted in Figure 1.*

**According to Durand 2016 and Frasson, there is no measurement error added to the non-error scenario rivers. Mike had a small bit of Gaussian noise to pepsi 1 but he doesn’t seem to count it has true measurement error.**

For the evasion and carbon emissions calculations (section 3.1.3), we use 26 bi-weekly dissolved samples collected by Beaulieu et al. (2012) at one location in the Ohio River for one calender year from 2008-2009 (Figure S2). Note that this data is for the Ohio River only but was applied to all rivers (which includes multiple sections of the Ohio River). Therefore, the raw carbon emissions estimates presented in this paper are meaningless in the context of actually measured carbon emissions from these rivers. However, we are interested in the effect of BIKER errors on eventual fluxes, and therefore applying these ‘unit’ values allows such a compariosn by providing a realtistic timeseries.

### 3.2 Section 3 methods

#### 3.2.1 BIKER

BIKER, and Bayesian inference in general, starts from Bayes rule (equation 8), where is some set of non-remotely-sensible parameters we want to solve for (including ), *x* is the observed data, is the sampling model where data are conditional on the parameters, and is the joint prior distribution of the parameters. Therefore, we are interested in solving for , or the ‘posterior’ distribution. Note that is usually computationally intractable to integrate exactly, but Bayesian inference tools require only the proportionality to be specified: . Sampling algorithms are then used to approximate the posterior distribution, as is done in BIKER.

The heart of BIKER is its reformulation of the model (equation 9) as a Bayesian sampling model that is conditional on the non-remotely-sensible parameters (i.e. ). This is similar to the ‘McFLI’ (‘Mass-Conserved Flow Law Inversion’) logic used in some SWOT RSQ algorithms (Gleason et al., 2017). To start, we write as a function of SWOT-observables and . This algebra is carried out using equation 7, the fitted value for from Figure 2 (62.82), and Manning’s equation for mean flow velocity (). Following section 2.3, we continue to assume that the channel is hydraulically-wide (). To leverage additional SWOT data, the wetted channel area *A* is further split into the the SWOT-unobservable portion and SWOT-observable portion following Durand et al. (2014) and Hagemann et al. (2017) where for cross-section *i* and timestep *t*.

All of this algebra simplifies to equation 9. Conveniently, as measured by tracer additions to a stream is inherently a reach-scale quantity (in a mass-conserved reach). Therefore, equations 7 and 9 both yield a reach-scale (i.e. ). This lowers the number of parameters BIKER must infer and makes the problem much better constrained.

Next, equation 9 is written as a Bayesian sampling model, in which all of the SWOT observations are sampled from the unknown model parameters (, , and ). This is equation 10 after describing everything as normal distributions of the log-transformed terms. refers to the total uncertainty implicit in equation 9 This uncertainty arises from parameter uncertainty in equation 9, Manning’s equation, and the rectangular channel assumption.

Equations 8 and 10 also necessitate that we specify prior distributions for the parameters , , and . Prior distributions, described by their hyperparameters, formalize the a priori estimates and uncertainties for the non-remotely-sensed terms. More intuitively, BIKER priors represent our ‘prior river knowledge’ of what , , and probably are for some river since they cannot be directly remotely sensed. This is equivalent to the ‘empirical Bayes approach’ to Bayesian inference (Hoff, 2009). Our goal in prior specification was to rely on absolutely no in situ information such that we could run this method on any river on Earth solely using SWOT observations. In theory, more informed priors via various a priori information about a specific river would improve BIKER performance, but here we chose to test the fully generalized algorithm. Therefore, the validation presented here is a ‘worst-case scenario,’ wherein BIKER performance should improve with better prior information on the river. In that context, we used a variation of the prior specification method developed by Brinkerhoff et al. (2020), who developed geomorphic ‘river types’ with distinct prior sets for and . These priors are assigned to a river solely using SWOT data *W* and *S*, therefore meeting our needs for complete global implementability. Prior assignment for was developed similarly (all prior specifications are elaborated on in Texts S3 and S4).

With the sampling model described ( = equation 10) and priors ) specified (Texts S3 and S4), a joint posterior distribution conditional on the SWOT observations () is therefore also specified. To approximate this distribution, we use a Markov Chain Monte Carlo (MCMC) algorithm implemented using the Stan probabilistic programming language. Specifically, Stan uses a Hamiltonian Monte Carlo sampler which reduces computation time relative to other sampling algorithms (Hagemann et al., 2017).

#### 3.2.2 BIKER validation

We validate BIKER on 47 SWOT-simulated rivers (section 3.1) using daily simulated hydraulics. We also re-validate BIKER on the 16 rivers with (Frasson et al., 2021)’s SWOT error model to corrupt the hydraulics to mimic realistic SWOT measurements (widths, heights, and slopes). BIKER is unique in that it can provide a timeseries of - for each SWOT observation, it yields a unique . There are, to our knowledge, no datasets of over time approaching the temporal density of our simulated SWOT rivers. We therefore apply equation 7 as validated in Figure 2 to specify given the true hydraulics of each case. Remember that SWOT cannot observe below the water surface and therefore cannot measure or *H* (hence the need for equation 9), and that all SWOT observations contain errors in both space and time (hence equation 10). We acknowledge that there is error in equation 7 as shown in Figure 2, but this error can be explicitly parameterized in our Bayesian system. Therefore, the BIKER validation presented here is an exercise to see how well the imperfect and partial SWOT observations can infer given hydraulic assumptions in equation 9 and uncertainty in the data itself.

We validate BIKER as a timeseries of for each river using the BIKER posterior means. Our error metrics consider the timeseries nature of the problem, are defined in Table S2, and consist of the following:

* Correlation coefficient *r* to quantify accuracy of BIKER’s temporal dynamics
* Root mean square error normalized by the observed mean (NRMSE) and prediction bias normalized by the observed mean (rBIAS) to assess bias
* Kling-Gupta Efficiency (KGE). KGE is frequently used to assess streamflow prediction and simultaneously assesses accuracy in both bias and dynamics. While a value greater than -0.41 means the model outperforms a uniform prediction of the mean (Knoben et al., 2019), generally KGE scores are interpreted as being meaningful in ungauged settings if > 0.

#### 3.2.3 Carbon emissions validation

It is one thing to accurately model the temporal dynamics of as above, but researchers are often most interested in the actual carbon emitted from river to atmosphere. Per equation 2, this is done using river hydraulic models to estimate and in turn . However, streamflow data and/or model outputs are more readily modeled at the global scale than river channel geometry, and so upscaling models usually predict and *H* as functions of streamflow (*Q*) using hydraulic geometry (HG) scaling relationships. This effectively reduces equation 2 down to equation 11. It is worth stressing that these upscaling workflows rely on in situ streamflow records and/or high-quality streamflow routing outputs (unlike BIKER, which only requires a river be SWOT-observable).

In that context, we sought to compare BIKER against established upscaling methods from the literature for upscaling carbon emissions from our 47 rivers. So, we pair the 26 biweekly and water temperature samples from Beaulieu et al. (2012) (section 3.1, figure S2) with every 14th set of daily SWOT observations (as the data is bi-weekly). We then calculate using equation 1, an atmospheric of 390 uatm, and four different models for , which are explained in full in Table S3. In brief, the four methods are : 1) BIKER-inferred , 2-3) calculated using equation 7 and HG models for depth and velocity from Raymond et al. (2013) and Raymond et al. (2012), and 4) HG models fit to our hydraulics data from section 2.2.1. These models are referred to as ‘BIKER,’ ‘Raymond 2013,’ ‘Raymond 2012,’ and ‘Brinkerhoff 2019,’ respectively. These latter three approaches use the in situ streamflow record as their input, while BIKER uses only SWOT observations. This experiment lets us perturb two things: 1) how sensitive upscaled emissions estimates are to the HG models that predict river hydraulics from streamflow (as everything else is held constant) and 2) how a fully-remote technique like BIKER compares to in situ techniques like the other three.

Next, equation 12 is used to obtain a temperature and specific gas exchange velocity from the flour flavors of , where the Schmidt number *Sc* was estimated following Raymond et al. (2012). We then estimate a yearly total carbon emission rate (via evasion) by applying each river’s mean over the river’s surface area and summing all of these emission rates.

### 3.3 Section 3 results

#### 3.3.1 BIKER

Figure 4 plots a representative set of the 47 timeseries plots of predicted and observed , assuming no SWOT measurement error. Two rivers each rivers were randomly sampled from the three tertiles of river *KGE* scores (Table S2). Consult Figure S3 for all 47 timeseries plots and Figure S4 for the 16 rivers with the (Frasson et al., 2021) error model. Note that the y axis is normalized by maximum observed values to compare across rivers. In general, the temporal dynamics of are reproduced quite well by BIKER, with the highs and lows of evasion correctly inferred by BIKER. Notably, there is sometimes positive bias in the estimates (e.g. Seine River). Some rivers are inferred nearly perfectly (e.g. Kanawha, Ohio Section 2, Wabash rivers) while others perform more poorly (e.g. Ash Slough, Ohio), though again much of this error stems from errors in inferring the magnitude of rather than its temporal dynamics.

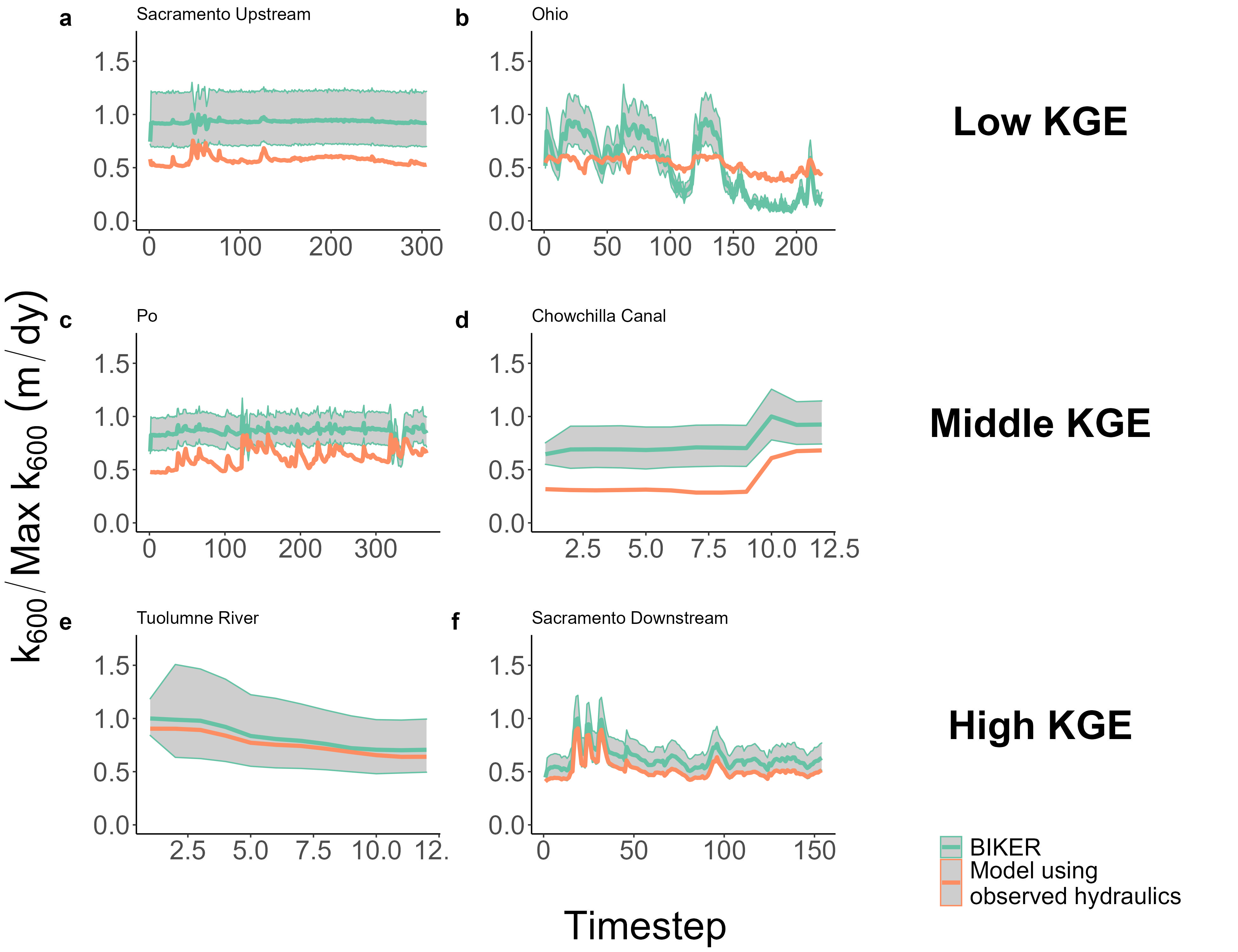


Figure 4. Representative river timeseries plots of . Orange is calculated via observed hydraulics, while green is BIKER and uses SWOT measurements as its sole input. The green ribbon indicates the 95% CIs for the BIKER predicted values. Rivers randomly sampled from the three tertiles of KGE scores. (a-b) are poorest performing rivers, (b-c) are in the middle, and (e-f) are the best performing rivers. Y axis is normalized by maximum observed values to compare visually.

We calculate performance metrics following section 3.2.2 and Table S2. These are presented in Figure 5, which plots the scores for the 47 rivers with no measurement errors as empirical cumulative density functions (eCDFs). Median river is 0.36 and median river *r* is 0.91. This indicates very strong inference of each river’s temporal dynamics given that absolutely no in situ information is being used to predict . NRMSE has a median score of 0.38 and median rBIAS is 0.22, highlighting that many rivers which have a significant positive bias (Figure 4 also confirms this). Taken in aggregate, Figures 4-5 indicate that BIKER is quite good at capturing temporal dynamics in , however there is sometimes positive bias in its estimates.

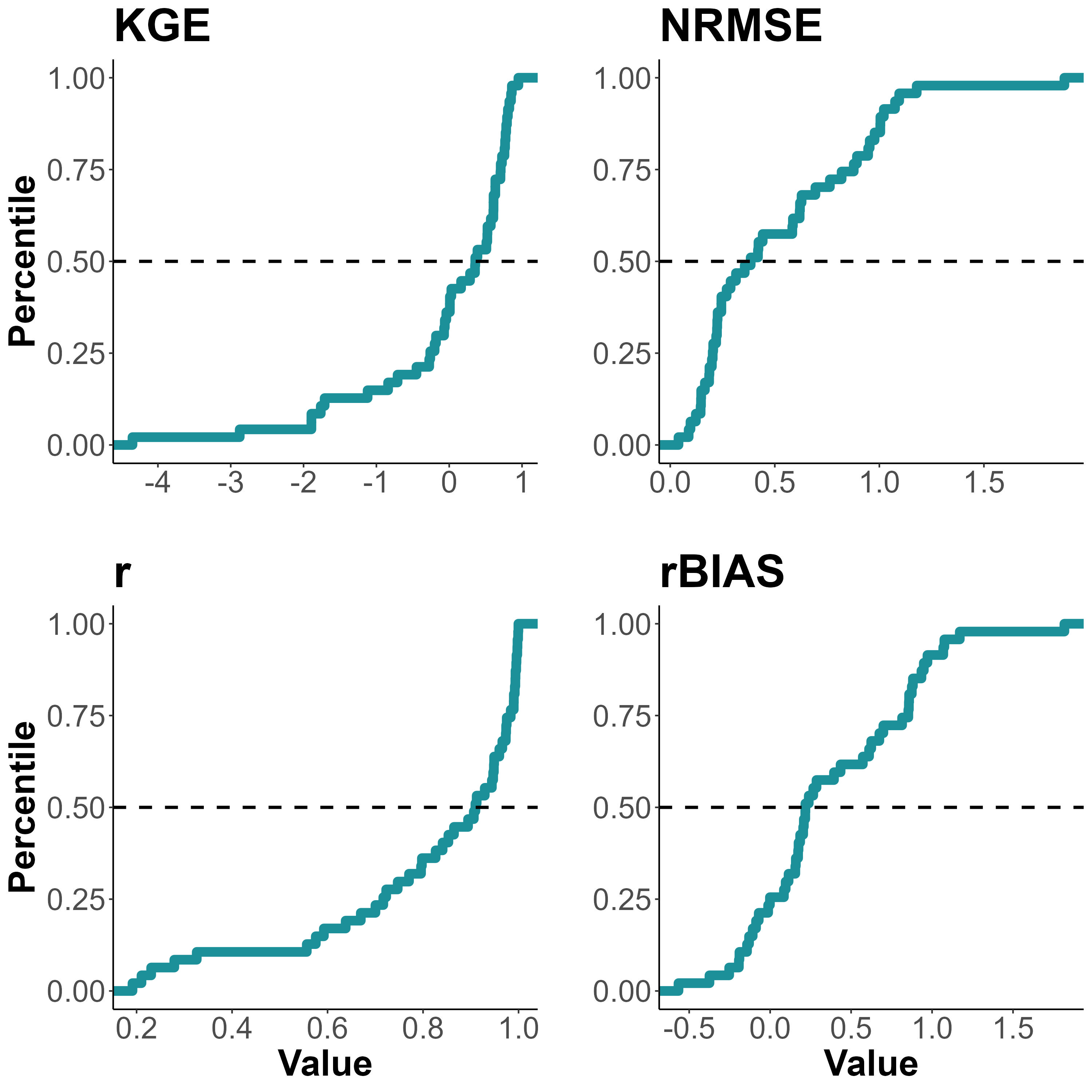


Figure 5. Performance metrics by river, plooted as empirical cummulative density functions (eCDFs). Each subpanel is labelled by its performance metric (Table S2). Dashed lines denote medians.

Figure 6 compares BIKER results under the ‘no-error’ and ‘error’ scenario for the 16 rivers that have the Frasson et al. (2021) imposed on their SWOT observations (section **something**). For *r*, *rBIAS*, and *NRMSE*, BIKER performs nearly identically, regardless of inherent measurement error in the SWOT observations: points are scattered along the 1:1 line and their regressions also mirror this 1:1 line. For *KGE*, performance is also largely similar except for one river which get significantly worse and skews the regression line (Seine Upstream river). Overall, these results strongly suggest that BIKER will be robust to the measurement errors that will be implicit in SWOT’s observations of river width and slope. Given these results, we deem that SWOT measurement error does not exert a significant influence on BIKER performance and so the results presented for the rest of the manuscript assume no measurement error in order to use all 47 rivers.

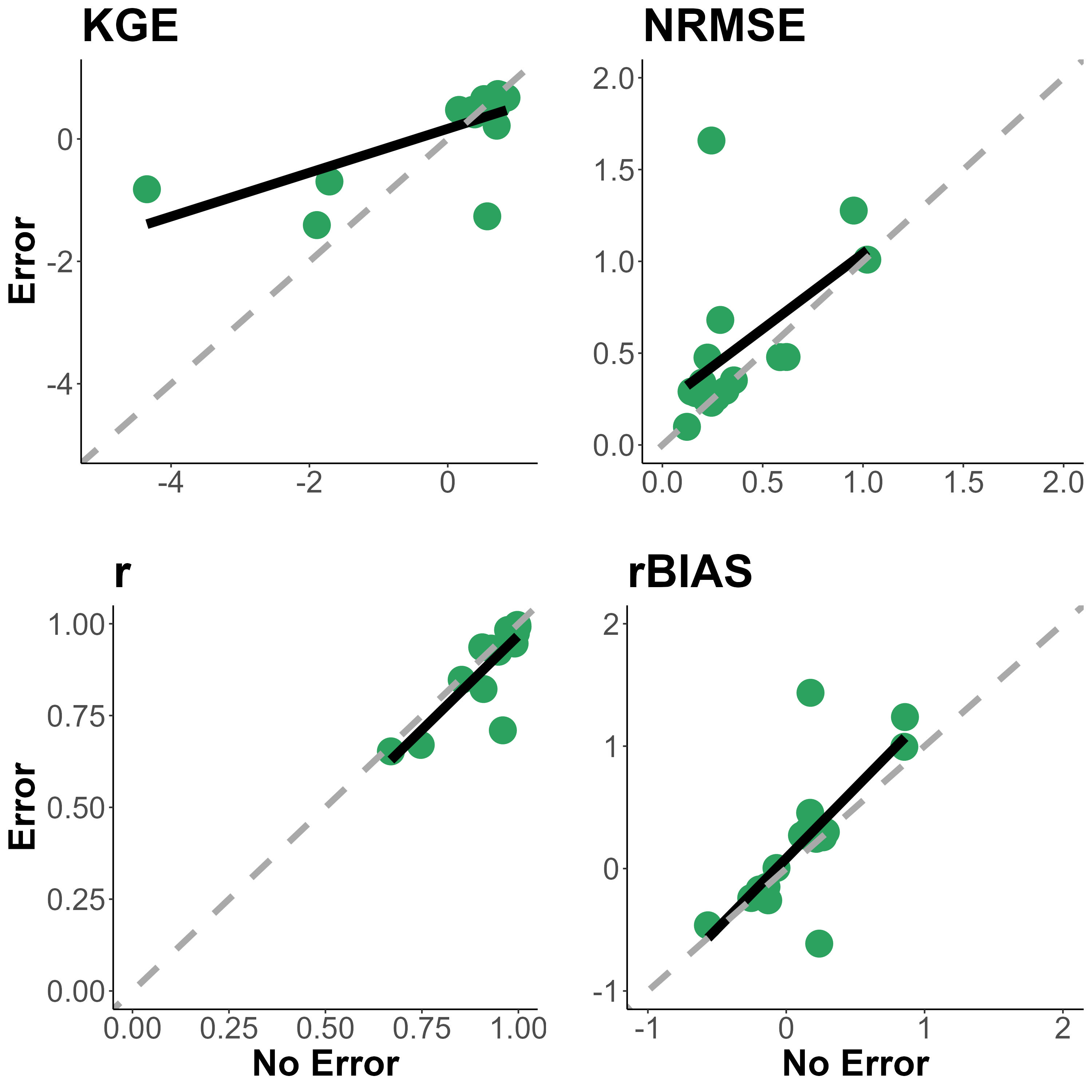


Figure 6: Comparison of BIKER performance when considering measurement error in the SWOT observations for 16 rivers. Each subpanel is labelled by its performance metric (Table S2). Black line denotes linear regression while dashed grey line is the 1:1 line.

Given the promising *r* scores across all 47 rivers in Figure 5, we further explore BIKER’s ability to infer temporal trends in . Figure 7a plots a histogram of the slopes of linear regressions between BIKER-predicted and observed . A slope of 1 indicates that BIKER correctly infers the daily flucuations in gas exchange (even if there is bias baked into the estimates), while a slope well above or below 1 indicates incorrect inference of the temporal dynamics of . Figure 6a shows that the majority of rivers’ slopes approximate 1 (median slope: 0.92), indicating strong inference of temporal gas exchange trends. A handful of rivers to have slopes well above or below 1, though the vast majority are at or just below 1.

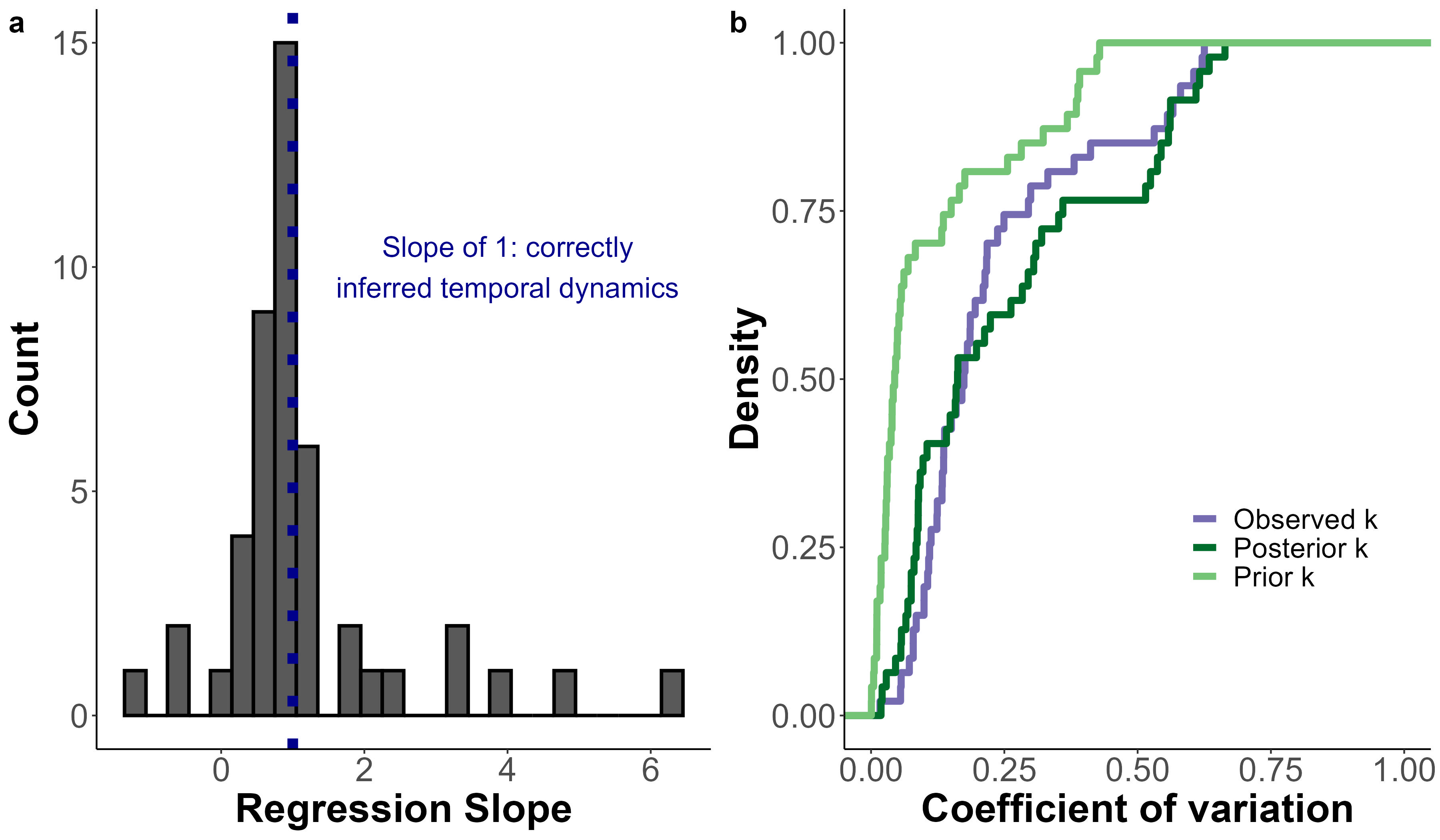


Figure 7: a) Histogram of the slopes of linear regressions between BIKER estimates and observed . Slopes equaling 1 indicate correct inference of the temporal trends in daily (regardless of bias in the estimates). b) Empirical cummulative density distributions of the coefficient of varation of prior, posterior, and observed per river. If BIKER is correctly inferring temporal variation in , the posterior eCDF will approximate the obersved eCDF (as it does).

Finally, we explore whether BIKER improves upon the prior with respect to temporal variation in , here defined using the coefficient of variation (). Figure 6b plots eCDFs of observed, prior, and posterior *CV*, strongly showing that BIKER improves upon the prior information available to us about . Posterior *CV* approximates the observed eCDF and sufficiently ‘closes the gap’ between our prior knowledge on temporal variation and the actually observed variation in . It must be stressed that this validation was performed using a relatively uninformed prior on (Text S3) and that this gap may not be as large in many settings with better prior knowledge. However, Figure 6b confirms that Bayesian techniques can be used to infer temporal variation in when good prior or in situ data are not available.

#### 3.3.2 Carbon Emissions

Finally, we carry these calculations all the way to annual carbon emissions rates and compare BIKER against established in situ techniques. It is important to remember that only BIKER is completely globally implementable, while the other three models necessarily rely on having a streamflow record ( or high-quality routed streamflow) readily available. Therefore, the HG methods reflect their ‘best-case scenarios’ while BIKER reflects its worst case scenario, where priors are set entirely from SWOT observations and are generally the least informative they will ever be. We also stress again that the raw emissions rates here are largely meaningless as they are calculated from an identical timeseries applied to every river. We are principally interested in relative differences between techniques employed.

Figures 8 compares the annual carbon emissions rate (via ) from the rivers using BIKER posterior means and the three streamgauge-based HG models. Surprisingly, BIKER outperforms the gauge-based approaches, nearly correctly inferring the annual carbon emissions rate (9.95 gG-C/yr for BIKER versus 9.46 gG-C/yr observed). The three HG models overestimate this emissions rate (14.96, 11.84, and 11.99) for ‘Raymond 2013,’ ‘Raymond 2012,’ and ‘Brinkerhoff 2019’ respectively. Both ‘Brinkerhoff 2019’ and ‘Raymond 2012’ fall within the BIKER CIs and are reasonably close to the observed value, while ‘Raymond 2013’ overestimates the emission rate. BIKER’s relatively stronger performance than the in situ HG models is elaborated on in section 4.3.

Thus, despite BIKER using absolutely no streamgauge or other in situ data like the other 3 methods do, it provides a strong upscaling estimate of the annual carbon emission rate across 47 rivers (Figure 8). The in situ models represent their best case scenarios (perfect in situ discharge records) while BIKER represents its worst-case scenario (relatively uninformed priors). This means that BIKER’s annual carbon emission estimate can only improve.

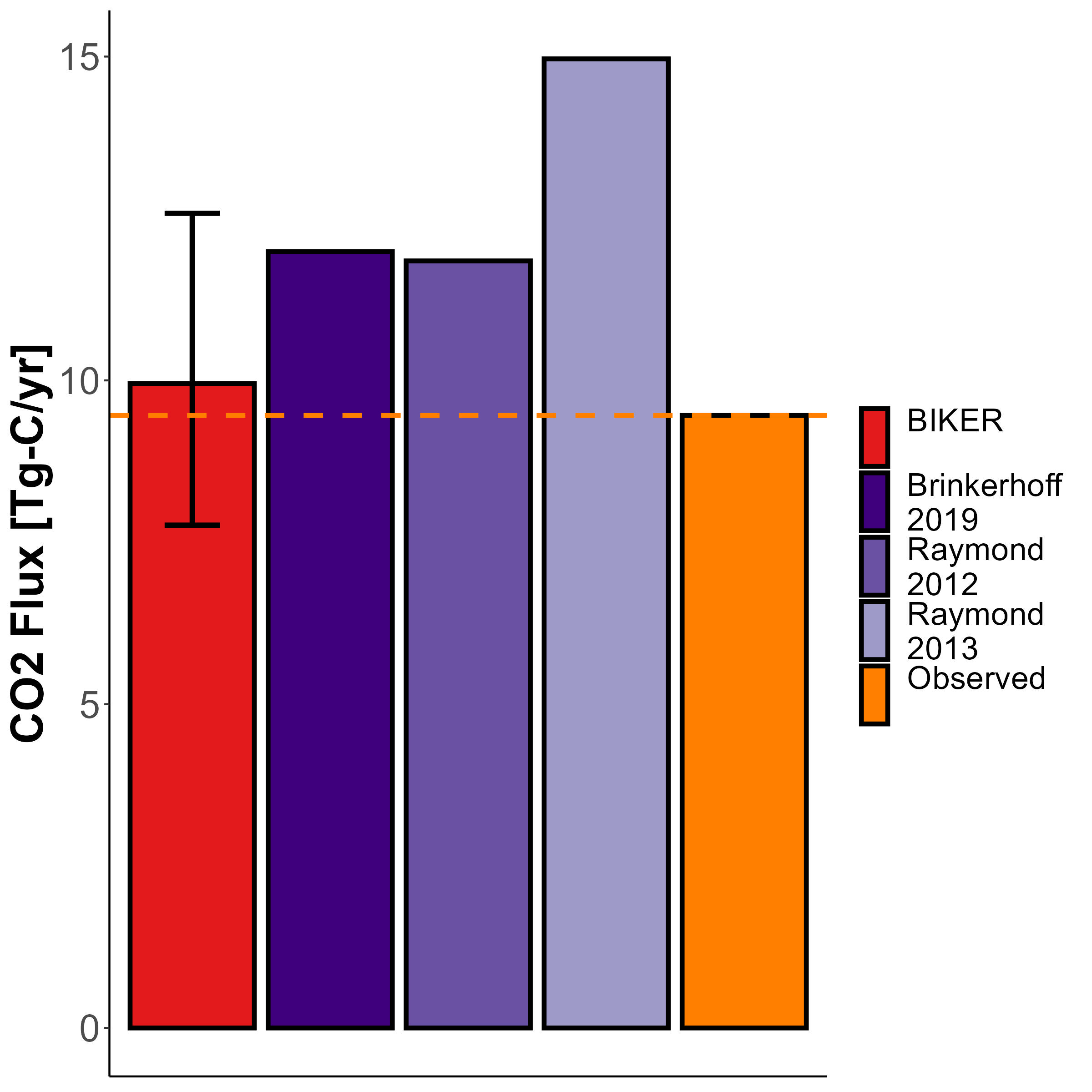


Figure 8: Yearly carbon emissions via CO2 evasion across all rivers and accounting for surface area. Completely remotely-sensed methods are colored in red, in situ methods in purple, and the observed in orange.

## 4 Discussion

### 4.1 Gas exchange in hydraulically-wide rivers

Field studies of gas exchange in wide rivers have suggested that behaves differently than in steeper and smaller rivers (Alin et al., 2011; Beaulieu et al., 2012; Ulseth et al., 2019; Wang et al., 2021). While much work has focused on the small-stream side of the stream-to-river continuum, comparatively less work has been done in large systems. Here, we focus on the ‘smooth-channel’ end of the continuum, using a model for gas exchange that scales by both and the Shear Reynold’s number that is specifically adapted for ‘hydraulically-wide’ rivers. This is empirically validated in Figure 3. Specifically, Figure S1 confirms that scaling with a combined small-eddy/Shear Reynold’s formulation (equation 7) reduces positive bias in the model’s predictions of the smallest values (where the relative decrease in turbulence reaching the surface is greater than the small-eddy model alone suggests, per equation 7’s theoretical basis- Moog & Jirka, 1999a). Scaling via a shear Reynold’s number alone is commonly done to parameterize wave-related gas exchange models in the open ocean (Brumer et al., 2017; D. Zhao et al., 2003; Dongliang Zhao & Toba, 2001). However to our knowledge, Moog & Jirka (1999a)’s specific model (imposing a differential surface turbulence theory on the small-eddy model) has never been empirically validated in rivers. Figure 3 provides this empirical verification for hydraulically wide channels, where it’s theoretical basis should generally hold. Using our full dataset of , we also observed this model breaks down when including non-hydraulically-wide rivers (as the theory dictates). Future tests should also explore other shear Reynold’s scaling relations for gas exchange in rivers.

Crucially, we are not accounting for wind-driven gas exchange, which can exerts a significant influence on lake and ocean gas exchange and has been suggested to play an important role in wide-river gas exchange (Beaulieu et al., 2012; Wang et al., 2021). Similarly, none of the existing hydraulics-driven gas exchange models account for wind-driven gas exchange either. In that vein, BIKER’s outputs can be interpreted as the ‘gas exchange velocity in low-wind conditions,’ when surface turbulence is dominated by hydraulics rather than wind. That said, BIKER’s flexible implementation is a good start towards eventually coupling hydraulics-driven gas exchange with wind-driven gas exchange. Such a model would likely take a form similar to the conceptual models proposed by Wang et al. (2021), chuWindStreamFlow2003, an/.or Plate & Friedrich (1984). All three generally propose calculating both a wind-driven *k* and a hydraulics-driven *k* and then weighting each term via parameters. In the case of BIKER, this would necessitate additional parameters that would need to be known a priori for specific rivers. This is left to future work.

### 4.2 Towards remote sensing of global spatiotemporal dynamics of in large rivers

To date, the studies exploring the spatiotemporal dynamics of riverine gas exchange have arguably been held back by a lack of data. A few studies have investigated these dynamics, but they have been limited to individual rivers and/or limited field seasons (Hall et al., 2012; Sand-Jensen & Staehr, 2012). For example, Wallin et al. (2011) performed a preliminary analysis in northern Sweden relating cross-section specific temporal variability in gas exchange with channel slope, but they were limited to a mean of only 8 measurements per river in a single watershed. While a good start, this is obviously not sufficient for developing process-level understandings of gas exchange at the global-scale.

Therefore, inferring from SWOT data is an attractive option to address this. While BIKER will not directly measure , it does robustly infer temporal trends in (Figures 4-7) which will no doubt be useful in large-scale analyses. **MOre go here**.

With that said, Figures 4, 5, S3, and S4 all highlight a substantial range of algorithm performances across rivers. These differences in performance are likely due to the representativeness of the priors used for that river. This makes sense as Section 2 has effectively reduced to a function of hydraulics that are nearly all directly measurable by SWOT. Any resulting bias in BIKER’s predictions is likely attributable to bias in the priors used for the non-remotely sensed terms (equations 9-10). For SWOT discharge algorithms, authors have repeatedly shown that the ‘quality’ of prior hyperparameters plays a large role in the success of discharge inversions (Andreadis et al., 2020; Brinkerhoff et al., 2020; Frasson et al., 2021; Tuozzolo et al., 2019) and our results here further corroborate this finding. It should be stressed that a substantial portion of rivers from (Frasson et al., 2021) are canal-shaped in nature with different hydraulic properties than a natural river channel. Nearly universally, these rivers underperformed (Figures 4, S3), however it is impossible to isolate whether that is due to channel geomorphology or the fact that these canals also usually had limited data for the Bayesian inference (only around 12 days).

### 4.3 Coupling BIKER with upscaling workflows

Figure 8 confirms that BIKER is quite successful, when coupled with data, at predicting annual upscaled carbon emissions from the river network. This encouraging result has two main implications for future work coupling remote sensing via SWOT with in situ data. First, figure 8 directly implies that BIKER will be useful when coupled with large-scale models [e.g. **Liu etal in review**; Saccardi & Winnick (2021)]. These two models robustly predict reach-scale dissolved concentrations using two different approaches: machine learning [**Liu et al in review**] and process-based reactive transport modeling (Saccardi & Winnick, 2021) but both models yield spatially and temporally consistent estimates. Our promising results suggest that BIKER could provide additional (and directly observed) measurements of to these models, thereby better informing model results through direct observations. This is likely accomplished via data assimilation which has proven useful for similar problems across geophysical modeling applications (Carrassi et al., 2018) and specifically for assimilating remotely sensed information into streamflow routing models (Ishitsuka et al., 2020), of which Saccardi & Winnick (2021) takes an approximate form of.

Figure 8 highlights a potentially large source of uncetainty on current river upscaling estimates: the HG models employed to scale river channel hydraulics with streamflow. As previously stressed, the only difference between the three in situ HG models and the observed estimate in Figure 8 is the specific HG model employed to predict river depth and velocity. This means that drammatically different carbon emission estimates are obtainable depending on the training data used for these HG models. While BIKER performs similarly to these methods, care should be taken to use the HG models most approriate for your specific river geomorphology.

Finally, at the field-scale, Fgure 8 also confirms that we can couple BIKER with in situ gas concentration loggers to produce estimates at novel temporal resolutions in SWOT-observable rivers. High temporal fidelity datasets of dissolved in SWOT-observable rivers now exist (e.g. Aho, Hosen, et al., 2021) but no such similar datasets for at equivalent temporal resolutions exist. For rivers unobservable by SWOT, we further suggest that BIKER could be run at the field scale (rather than via satellite-based altimeters like SWOT) using arrays of in situ pressure transducers to estimate water surface slope following recent similar work for estimating streamflow (Harlan et al., 2021). Regardless, both approaches would produce and datasets at equivalent temporal resolution and allow us to directly calculate daily to sub-daily fluxes from river reaches.

## 5 Conclusions

The flux from the global river network is a major natural component of the global carbon cycle, on par with total forest uptake of (Pan et al., 2011). Despite much interest and progress, there is still large uncertainty in the temporal dynamics of gas exchange and thus carbon emissions to the atmosphere. Much of this uncertainty stems from uncertainty in gas exchange velocity at the global scale. In this paper, we propose that the soon-to-launch SWOT satellite will provide enough hydraulic measurements to analyse the temporal dynamics of using SWOT measurements, and therefore allow for a global-scale analysis of spatiotemporal trends in large-river once SWOT launches. In that context, we develop 1) a hydraulic model for that is nearly SWOT observable and explains 70% of variation in , and 2) the BIKER algorithm to infer using no on-the-ground information. Validating on 47 SWOT-simulated rivers, we show show strong recovery of rivers’ temporal dynamics and the the total annual carbon emission rate across all 47 rivers. These results suggest BIKER can be used to infer global-scale, near daily estimates of fluvial gas exchange velocity once SWOT launches in 2022. This in turn will be useful in mapping the global-scale spatiotemporal dynamics of fluvial gas exchange in large rivers.

## 6 Acknowledgements

C.B. Brinkerhoff was funded on **FINESST**. The version of BIKER used in this study will be available upon acception. BIKER remains in active development and is available at <https://github.com/craigbrinkerhoff/BIKER>. All code to build and generate results, figures, and the manuscript is available at <https://github.com/craigbrinkerhoff/RSK600>. We thank Renato Frasson, Michael Durand, Amber Ulseth, and Jake Beaulieu for generously making their data available for this study. We also thank the entire SWOT discharge working group for their decade-plus body of work which inspired this study.

## 7 Apendix A

*Table A1: Variable description and notation for this study*

| **Notation** | **Description** | **Calculation (if not directly measured)** | **Units** |
| --- | --- | --- | --- |
|  | Channel cross-sectional area | NA |  |
|  | Non-SWOT-observable cross-sectional area | NA |  |
|  | Statistical parameter for equation 5 scaling relation | NA |  |
|  | Statistical parameter for equation 6 scaling relation | NA |  |
| *Bulk carbon efflux* | carbon mass transport rate from river to atmosphere | NA |  |
|  | Statistical parameter for equation 7 scaling relation | NA |  |
|  | Statistical parameter for equation 8 scaling relation | NA |  |
|  | Water-side concentration | NA |  |
|  | Atmospheric-side concentration | NA |  |
|  | change in cross-sectional area |  |  |
|  | Molecular diffusion coefficient | NA |  |
|  | Dissipation rate of near-surface turbulence | NA |  |
|  | log-law-of-the-wall model for |  |  |
|  | Form-drag model for |  |  |
|  | evasion flux from river to atmosphere | NA |  |
|  | Upscaling estimate of the global evasion flux from river to atmosphere | NA |  |
|  | gravitational acceleration | 9.8 |  |
|  | Mean flow depth |  |  |
|  | Water surface elevation | NA |  |
|  | Cross-section discretization within river reach | NA | NA |
|  | gas exchange velocity | NA |  |
|  | gas exchange velocity normalized to |  |  |
|  | “observed” gas exchange velocity normalized to |  |  |
|  | Manning’s roughness coefficient |  |  |
|  | Density of water | NA |  |
|  | River discharge | NA |  |
|  | Hydraulic radius |  |  |
|  | River slope | NA |  |
|  | Schmidt number |  | NA |
|  | BIKER uncertainty (posterior distribution standard deviation) | NA |  |
|  | timestep discretization within river reach | NA | NA |
|  | Bayesian parameter set | NA | NA |
|  | Cross-sectional average velocity |  |  |
|  | Shear velocity |  |  |
|  | Viscosity | NA |  |
|  | kinematic viscosity |  |  |
|  | Flow width | NA |  |
|  | Bayesian data set | NA | NA |

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