

# The Huxley Agent: Verifiable Self-Modification for Autonomous System Sovereignty

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## Abstract

Developing agents capable of architectural self-improvement while guaranteeing verifiable stability is a central problem in Artificial General Intelligence (AGI). We present the **Huxley Agent**, a recursive cognitive architecture whose Meta-Controller performs constrained, introspective code-level self-modifications governed by a formal Modification Operator ( $\mathcal{M}$ ) and automated stability checks (shadow execution and invariant verification). We evaluate the approach across diverse environments to show improved meta-learning efficiency, reduced sample complexity, and measurable stability of modification episodes, providing a reproducible blueprint for controlled, adaptive life-long learners.

### Core Contributions:

1. A formal recursive architecture that separates object-level learning from meta-level architectural adaptation.
2. The  **$\mathcal{M}$ -Operation**: A constrained self-modification algorithm specifying edit primitives, pre-edit invariants, shadow-execution verification, and safe application with guaranteed rollback.
3. An empirical evaluation framework, including baselines and ablations, tailored to quantify self-modification’s effect on sample complexity, transfer, and operational safety.

## 1 Introduction

Enabling agents to safely modify their own architecture while guaranteeing verifiable stability is an open problem in Artificial General Intelligence (AGI). Most contemporary systems optimize parameters within a fixed architecture; by contrast, many real-world learning demands require changes to the learning algorithm, memory layout, or policy topology itself as new tasks, constraints, or failure modes appear. The Huxley Agent addresses this gap: we propose a recursive cognitive architecture in which a **Meta-Controller** proposes constrained, code-level architectural edits and a formally defined Modification Operator ( $\mathcal{M}$ ) evaluates, verifies, and—when safe—applies those edits with rollback guarantees.

## 2 Related Work

This section situates the Huxley Agent relative to several literatures that inform its design: meta-learning, automated architecture search, self-modifying systems, continual learning, and safety/verification.

### 2.1 Meta-Learning and Meta-Controllers

Meta-learning (learning to learn) techniques seek rapid adaptation by optimizing for fast learning across tasks (e.g., Finn et al., 2017). Huxley elevates meta-control from hyperparameter or optimizer selection to proposing structural edits of the agent itself. Unlike gradient-based meta-learning, Huxley’s Meta-Controller outputs constrained edit proposals validated through formal invariants and shadow execution before being committed.

## 2.2 Neural Architecture Search (NAS)

NAS and AutoML automate design choices (Zoph & Le, 2017). Huxley addresses two limitations of typical NAS: (1) deployment is **online and continuous** during an agent’s life, and (2) online application demands rapid verification and rollback mechanisms to avoid catastrophic performance regressions.

## 2.3 Self-Modifying Systems and Verification

The idea of self-improving programs traces back to theoretical constructions (e.g., Gödel machines; Schmidhuber, 2006). Huxley operationalizes a constrained, verifiable variant of the idea: edits are limited by an explicit constraint set  $\mathcal{C}$  and an invariant set  $\mathcal{I}$ , are tested via shadow execution, and are subject to automatic rollback.

# 3 The Huxley Architecture and The $\mathcal{M}$ -Operation

The Huxley Agent is defined by a two-tiered, recursive architecture: an **Object-Level Agent (OLA)** that performs object-level control and learning, and a **Meta-Controller (MC)** that monitors OLA performance and proposes architectural self-modifications.

## 3.1 Formal Definition of the Modification Operator ( $\mathcal{M}$ )

We define the agent’s complete structural and parametric state as  $S$ . The set of operational constraints, safety policies, and resources is  $\mathcal{C}$ . The  $\mathcal{M}$ -Operation attempts to transition the agent state from  $S$  to a proposed new state  $S'$ :

$$\mathcal{M} : S \times \mathcal{C} \rightarrow S' \cup \{\text{FAIL}\}$$

The pseudocode for the  $\mathcal{M}$ -Operation is detailed in Algorithm 1 (Section 6).

# 4 Safety, Stability, and Alignment

Given the agents ability to modify its core operational code, safety is the overriding concern. The  $\mathcal{M}$ -Operation is explicitly designed as a safety layer to prevent catastrophic self-edits.

## 4.1 Invariant Guardrails ( $\mathcal{I}$ )

The Invariant Set  $\mathcal{I}$  is a lightweight set of formally verifiable, immutable properties that must be satisfied by every proposed state  $S'$ . These include: **Interface Invariants** (e.g., fixed I/O dimensionality), **Resource Constraints**, and **Control Flow Integrity** (core sandboxing hooks must remain unmodified).

## 4.2 Shadow Execution and Guaranteed Rollback

For probabilistic stability checking, a proposed state  $S'$  is executed in a low-resource "shadow" environment for a bounded  $\mathcal{C}.\text{VerificationBudget}$ . If the predicted stability probability ( $P_{\text{stable}}$ ) falls below a  $\mathcal{C}.\text{SafetyThreshold}$ , the edit is rejected. Every successful application is preceded by a state checkpoint ( $S_{\text{rollback}}$ ), guaranteeing a recovery path if a post-edit failure is detected.

# 5 Empirical Evaluation Framework

We validate the claims of efficiency and stability by comparing the full Huxley Agent against defined control conditions. All experiments are run across five random seeds and reported as mean  $\pm$  standard deviation.

## 5.1 Baselines and Ablations

- **B1 (Static):** Fixed architecture; parameter updates only.
- **B2 (Unconstrained):** Modification enabled; all safety checks disabled (Invariant Check, Shadow Execution, Rollback).

- **A1 (No Shadow):**  $\mathcal{M}$  performs invariant checking but bypasses probabilistic shadow execution.
- **A2 (No Rollback):**  $\mathcal{M}$  checkpointing disabled; failure results in catastrophic termination.

## 5.2 Key Metrics

- **Stability Metrics:** Edit Success Rate, Rollback Incidence, and Post-edit Performance Delta.
- **Learning Metrics:** Sample complexity to reach fixed performance threshold, and transfer performance on held-out tasks.
- **Operational Metrics:** Compute cost of verification and resource overhead.

## 6 The $\mathcal{M}$ -Operation Pseudocode

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**Algorithm 1** The  $\mathcal{M}$ -Operation: Constrained Self-Modification

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1: function M_OPERATION( $S, \mathcal{C}, \mathcal{I}$ )
2:   // Phase 1: Proposal (Driven by Meta-Controller)
3:   PROPOSED_EDITS  $\leftarrow$  MC.analyze( $S, \mathcal{C}$ .PerformanceTraces)
4:   if PROPOSED_EDITS is EMPTY then
5:     return  $S$ 
6:   end if
7:    $S_{\text{proposed}} \leftarrow S.$ apply_edits(PROPOSED_EDITS)
8:   // Phase 2: Verification (Invariant Check & Shadow Execution)
9:   // 2.1 INVARIANT CHECK
10:  if  $S_{\text{proposed}}$ .violates_any( $\mathcal{I}$ ) then
11:    LOG_FAILURE("Invariant violation detected.")
12:    return FAIL
13:  end if
14:  // 2.2 SHADOW EXECUTION
15:   $S_{\text{test}} \leftarrow S_{\text{proposed}}$ .create_shadow_copy()
16:   $P_{\text{stable}} \leftarrow S_{\text{test}}$ .run_simulation( $\mathcal{C}$ .VerificationBudget)
17:  // 2.3 STABILITY THRESHOLD CHECK
18:  if  $P_{\text{stable}} < \mathcal{C}$ .SafetyThreshold then
19:    LOG_FAILURE("Predicted instability too high. Aborting.")
20:    return FAIL
21:  end if
22:  // Phase 3: Application (Rollback-Guaranteed Deployment)
23:  // 3.1 COMMIT PRE-EDIT STATE
24:   $S_{\text{rollback}} \leftarrow S.$ save_checkpoint()
25:  // 3.2 APPLY EDITS
26:   $S_{\text{final}} \leftarrow S.$ apply_edits(PROPOSED_EDITS)
27:  // 3.3 POST-EDIT VALIDATION
28:  if  $S_{\text{final}}$ .is_corrupt() or  $S_{\text{final}}$ .perf_drop  $> 2\sigma$  then
29:    LOG_FAILURE("Post-edit failure detected. Initiating rollback.")
30:     $S \leftarrow S_{\text{rollback}}$ .restore()
31:    return FAIL
32:  end if
33:  LOG_SUCCESS("Modification successful.")
34:  return  $S_{\text{final}}$ 
35: end function

```

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## 7 Appendix A: Reproducibility and Configuration

### 7.1 Computational Environment

- Operating System: Ubuntu 22.04 LTS; Python: 3.10.x.

- Core Libraries: PyTorch 2.1.0, NumPy 1.25.x, Gymnasium / MuJoCo 2.3.x.
- Hardware:  $1 \times$  NVIDIA A100 (80 GB VRAM) or equivalent.
- Environment setup is managed via the provided `Dockerfile` and `requirements.txt`.

## 7.2 Key Hyperparameters

- Verification budget (`C.VerificationBudget`):  $2.5 \times 10^5$  environment steps.
- Safety threshold (`C.SafetyThreshold`): 0.90.
- Random seeds: [1337, 42, 707, 86, 999].

## 7.3 Source Code and Execution

The primary execution script is `run_experiment.py`, which allows for command-line selection of baselines and ablations, ensuring full reproducibility of the reported figures and data.