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## 1 Example 1

This example is a fully-annotated program. We can check it using rules from the fully-annotated system.

```

1 //  $\Gamma_0 = \{FileIO : \{FileIO\}\}$ 
2 let logger1 = new
3   def log(entry : String) : Unit with FileIO.append
4     FileIO.append('/logs/mylog.txt', entry)
5
6 //  $\Gamma_1 = \{FileIO : \{FileIO\}, \text{logger1} : \{log : String \rightarrow Unit\}\}$ 
7 in new
8   def main() : Unit with FileIO.append
9     logger1.log('Hello, World!')
```

Start with  $\Gamma_0$ . After execution of line 2, we obtain  $\Gamma_1$ . Line 7 declares an unannotated object type so we want to match it with the consequent in  $\varepsilon$ -NEWOBJ.

$$\frac{\Gamma, x : \{\bar{\sigma}\} \vdash \bar{\sigma} = e \text{ OK}}{\Gamma \vdash \text{new}_{\sigma} x \Rightarrow \bar{\sigma} = e : \{\bar{\sigma}\} \text{ with } \emptyset} (\varepsilon\text{-NEWOBJ})$$

To prove  $\bar{\sigma} = e \text{ OK}$  we need the following rules.

$$\frac{\Gamma, x : \tau \vdash e : \tau' \text{ with } \varepsilon \quad \sigma = \text{def } m(x : \tau) : \tau' \text{ with } \varepsilon}{\Gamma \vdash \sigma = e \text{ OK}} (\varepsilon\text{-VALIDIMPL}_{\sigma})$$

$$\frac{\Gamma \vdash e_1 : \{\bar{\sigma}\} \text{ with } \varepsilon_1 \quad \Gamma \vdash e_2 : \tau_2 \text{ with } \varepsilon_2 \quad \sigma_i = \text{def } m_i(y : \tau_2) : \tau \text{ with } \varepsilon}{\Gamma \vdash e_1.m_i(e_2) : \tau \text{ with } \varepsilon_1 \cup \varepsilon_2 \cup \varepsilon} (\varepsilon\text{-METHCALLOBJ})$$

`logger1.log("Hello, world!")` can be checked with  $\varepsilon$ -METHCALLRESOURCE. In this case,  $\text{logger1} : \{\dots\} \text{ with } \emptyset$  by  $\varepsilon$ -VAR and `"Hello, world!" : String with  $\emptyset$`  (but there's no rule for constants). The definition of `log` says that it has the effect `FileIO.append`, so the effect set for `logger1.log("Hello, world!")` is the singleton `{FileIO.append}`.

With the body of `main` typechecked we can apply  $\varepsilon$ -VALIDIMPL $_{\sigma}$ , because the annotation for `main` matches the effect we computed for its body. Then we know that the method implementations for the new object are well-formed.

Finally we may apply  $\varepsilon$ -NEWOBJ. We conclude that the type is `{main : Unit  $\rightarrow$  Unit with {FileIO.append}}`.

## 2 Example 2

This example is like the previous one but the main object is not annotated. So we need to use the capture-rules from the partially-annotated system.

```

1 //  $\Gamma_0 = \{FileIO : \{FileIO\}\}$ 
2 let logger1 = new
3   def log(entry : string) : Unit with FileIO.append
4     FileIO.append('/logs/mylog.txt', entry)
5
6 //  $\Gamma_1 = \{FileIO : \{FileIO\}, \text{logger1} : \{log : String \rightarrow Unit\}\}$ 
7 in new
8   def main() : Unit
9     logger1.log('Hello, World!')
```

Start with  $\Gamma_0$ . After execution of line 2, we obtain  $\Gamma_1$ . Line 7 declares an unannotated object type so we want to match that with the consequent of C-NEWOBJ.

$$\frac{\varepsilon = effects(\Gamma') \quad \Gamma' \subseteq \Gamma \quad \Gamma', x : \{\bar{d} \text{ captures } \varepsilon\} \vdash \overline{d = e} \text{ OK}}{\Gamma \vdash \text{new}_d x \Rightarrow \overline{d = e} : \{\bar{d} \text{ captures } \varepsilon\}} \quad (\text{C-NEWOBJ})$$

### Typechecking

We must type the body of the `main` method. First we type `logger1`. As `logger1`  $\in \Gamma$  we can apply T-VAR.

There is no rule for typechecking string constants but it should typecheck to `String`.

Then we can typecheck `logger1.log("Hello, world")` with T-METHCALL $_{\sigma}$ . All the types match up, so this expression types to `Unit`. The body of `main` matches the signature, so we're good.

### Effect-Checking

We need the *effects* function and a choice of  $\Gamma'$ . We choose  $\Gamma' = \{\text{logger1} : \{\text{log} : \text{Str} \rightarrow \text{Unit}\}\}$ , because `logger1` is the only free-variable appearing in the body of `main`.

- $effects(d \text{ with } \varepsilon) = \varepsilon$
- $effects(\{\bar{\sigma}\}) = \bigcup_{\sigma \in \bar{\sigma}} effects(\sigma)$

By applying the above cases of the *effects* function we see that:  
 $effects(\Gamma') = effects(\text{logger1}) = effects(\text{logger1.log})$ .

$escapes(\text{def log(entry : String) : Unit} = escapes(\text{Unit}))$ . `Unit` is equivalent to the structural type  $\emptyset$ , so the *escapes* function evaluates to  $\emptyset$  by a degenerate case of the rule ESCAPES-COMPOSITE.

### Conclusion

Now we've satisfied the antecedents of C-NEWOBJ. We label the new object with the following type:  
`main : Unit  $\rightarrow$  Unit captures {FileIO.append}`.

## 3 Example 3

In this example the logger exposes the `FileIO` resource through a method, so anyone who calls that resource will capture every effect on `FileIO`.

```

1 //  $\Gamma_0 = \{\text{FileIO} : \{\text{FileIO}\}\}$ 
2 let logger2 = new
3   def log(entry : String) : Unit with FileIO.append
4     FileIO.append('/logs/mylog.txt', entry)
5   def expose() : { FileIO } with  $\emptyset$ 
6     FileIO
7
8 //  $\Gamma_1 = \{\text{FileIO} : \{\text{FileIO}\}, \text{logger2} : \{\text{log} : \text{String} \rightarrow \text{Unit}, \text{expose} : \text{Unit} \rightarrow \text{FileIO}\}\}$ 
9 in new
10  def main() : Unit
11    logger2.expose().read('/etc/passwd') // has a read effect that is not captured

```

Similar to example 2. Again we want to apply C-NEWOBJ.

$$\frac{\varepsilon = effects(\Gamma') \quad \Gamma' \subseteq \Gamma \quad \Gamma', x : \{\bar{d} \text{ captures } \varepsilon\} \vdash \overline{d = e} \text{ OK}}{\Gamma \vdash \text{new}_d x \Rightarrow \overline{d = e} : \{\bar{d} \text{ captures } \varepsilon\}} \quad (\text{C-NEWOBJ})$$

## Type-Checking

To type the body of `main` we apply  $T\text{-METHCALL}_\sigma$  to `logger2.expose()`, which types to  $\{\text{FileIO}\}$ . Then we can type `logger2.expose().read("/etc/passwd")` by applying  $T\text{-METHCALL}_r$ , which says that it types to  $\{r\}$  (because  $\{r\} <: \emptyset = \text{Unit}$ ).

## Effect-Checking

Our choice of  $\Gamma'$  will be `logger2`, as this is the set of free variables in the body of `main`. We use the following cases of the `effects` function.

- $\text{effects}(d \text{ with } \varepsilon) = \varepsilon \cup \text{escapes}(d)$
- $\text{effects}(\{\bar{\sigma}\}) = \bigcup_{\sigma \in \bar{\sigma}} \text{effects}(\sigma)$

$\text{effects}(\text{logger2.log}) = \text{effects}(\text{def log(entry : String) : Unit with FileIO.append}).$

Which is  $\{\text{FileIO.append}\} \cup \text{escapes}(\text{def log(entry : String) : Unit})$ . Because `Unit` is equivalent to the composite type with no declarations  $\emptyset$ , the `escapes` function returns  $\emptyset$  (a degenerate case of the rule `ESCAPES-COMPOSITE`).

Now for  $\text{effects}(\text{logger2.expose})$ . This is the same as  $\text{effects}(\text{def expose() : \{\text{FileIO}\} with } \emptyset)$ . This is  $\emptyset \cup \text{escapes}\{\text{FileIO}\}$ . By the rule `ESCAPES-RESOURCE`, this is the set of all possible effects on *FileIO*.

## Conclusion

Finally we can apply `C-NEWOBJ`. The object we created types to the following.

$\{\text{main} : \text{Unit} \rightarrow \text{Unit captures } \{\text{FileIO.append}, \text{FileIO.read}, \text{FileIO.write}\} \text{ with } \emptyset\}$

## 4 Example 4

In this example the resource is exposed by returning an object which has an authority for it.

```

1 type SigFoo
2   def getIO() : { FileIO } with ∅
3
4 let logger3 = new
5   def log(entry : String) : Unit with FileIO.append
6     FileIO.append('/logs/mylog.txt', entry)
7   def expose() : SigFoo with ∅
8     new
9       def getIO() : { FileIO } with ∅
10        FileIO
11
12 in new
13   def main() : Unit
14     logger3.expose().getIO().read('/etc/passwd')
```

As in previous examples we want to apply `C-NEWOBJ`.

$$\frac{\varepsilon = \text{effects}(\Gamma') \quad \Gamma' \subseteq \Gamma \quad \Gamma', x : \{\bar{d} \text{ captures } \varepsilon\} \vdash \overline{d = e} \text{ OK}}{\Gamma \vdash \text{new}_d x \Rightarrow \overline{d = e} : \{\bar{d} \text{ captures } \varepsilon\}} \quad (\text{C-NEWOBJ})$$

## Typechecking

First we'll typecheck the body of `main`.  $\text{logger3} : \{\text{log} : \text{String} \rightarrow \text{Unit}, \dots, \text{expose} : \text{Unit} \rightarrow \text{SigFoo}, \dots\}$  by the rule `T-VAR`, as  $\text{logger3} \in \Gamma$ .

We apply  $\text{T-METHCALL}_\sigma$  to `logger3.expose()`. The argument is of type `Unit` (need a rule for this?). The return type of `expose` is `SigFoo`, so `logger3.expose() : SigFoo`.

We apply  $\text{T-METHCALL}_\sigma$  to `logger3.expose().getIO()`. This typechecks to `{FileIO}`.

We apply  $\text{T-METHCALL}_r$  to `logger3.expose().getIO().read("/etc/passwd")`. This typechecks to `{FileIO}`, and `{FileIO} <: Unit`, so the body matches the signature.

## Effect-Checking

The free variables of `main` is `logger3`, so we choose  $\Gamma'$  containing only `logger3`. Here are the relevant cases for the `effects` function.

- $\text{effects}(d \text{ with } \varepsilon) = \varepsilon \cup \text{escapes}(d)$
- $\text{effects}(\{\bar{\sigma}\}) = \bigcup_{\sigma \in \bar{\sigma}} \text{effects}(\sigma)$

$\text{effects}(\text{logger3}) = \text{effects}(\text{logger3.log}) \cup \text{effects}(\text{logger3.expose})$

First,  $\text{effects}(\text{logger3.log}) = \{\text{FileIO.append}\} \cup \text{escapes}(\text{def log(entry : String) : Unit})$ . By `ESCAPES-COMPOSITE`, `escapes` returns  $\emptyset$  here.

Second,  $\text{effects}(\text{logger3.expose}) = \emptyset \cup \text{escapes}(\text{def expose() : SigFoo})$ . `SigFoo` is a structural type so we apply `ESCAPES-STRUCTURAL`. Then  $\text{escapes}(\text{logger3.expose}) = \text{escapes}(\text{SigFoo})$ .

`SigFoo` has the form `{ $\bar{\sigma}$ }`, so we apply `ESCAPES_ $\bar{\sigma}$` . The only declaration is `getIO`, which has the form  $\sigma$ , so we apply `ESCAPES_ $\sigma$` . This gives us  $\text{escapes}(\text{SigFoo}) = \text{escapes}(\text{def getIO() : {FileIO}})$ .

Finally we can apply `ESCAPES-RESOURCE`. We have  $\text{escapes}(\text{SigFoo})$  is the set of all effects on `FileIO`. Then  $\text{effects}(\text{logger3})$  is the set of all effects on `FileIO`.

## Conclusion

Now we know  $\text{effects}(\Gamma')$  to be the set of all effects on `FileIO`, we may finally apply `C-NEWOBJ`. We conclude that the new object types to:

$\{\text{main} : \text{Unit} \rightarrow \text{Unit} \text{ captures } \{\text{FileIO.read}, \text{FileIO.write}, \text{FileIO.append}\} \text{ with } \emptyset\}$

## 5 Example 5

This is an example with parametricity.

```

1 //  $\Gamma_0 = \{\{\text{FileIO}\}\}$ 
2 type SigPasswordReader
3   def readPasswords(fileio : { FileIO }) : String with FileIO.read
4 let passwordReader = new
5   def readPasswords(fileio : { FileIO }) : String with FileIO.read
6     fileio.read('/etc/passwd')
7 in
8   let logger4 = new
9     def log(entry : String) : Unit with FileIO.append
10       FileIO.append('/log/mylog.txt', entry)
11     def enablePasswordReading(pr : SigPasswordReader) : Unit
12       pr.readPasswords(FileIO)
13   in new
14     def main() : Unit
15       logger4.enablePasswordReading(passwordReader)
16 /* This example also illustrates parametricity: passwordReader accepts any resources of type { FileIO } */
```

Want to apply C-NEWOBJ.

$$\frac{\varepsilon = \text{effects}(\Gamma') \quad \Gamma' \subseteq \Gamma \quad \Gamma', x : \{\bar{d} \text{ captures } \varepsilon\} \vdash \overline{d = e} \text{ OK}}{\Gamma \vdash \text{new}_d x \Rightarrow \overline{d = e} : \{\bar{d} \text{ captures } \varepsilon\}} \quad (\text{C-NEWOBJ})$$

## Type-Checking

Same as previous sections.

## Effect-Checking

The body of the new expression contains the free variables `logger4` and `passwordReader`, so we choose  $\Gamma'$  containing those objects.

Then  $\text{effects}(\Gamma') = \text{effects}(\text{logger3}) \cup \text{effects}(\text{passwordReader})$ .

First,  $\text{effects}(\text{logger4}) = \text{effects}(\text{logger4.log}) \cup \text{effects}(\text{logger4.enablePasswordReading})$ .

Then  $\text{effects}(\text{logger4.log} = \{\text{FileIO.append}\}) \cup \text{escapes}(\text{def log(entry : String) : Unit})$ .

This expands to  $\{\text{FileIO.append}\} \cup \emptyset = \{\text{FileIO.append}\}$  by applying ESCAPES-STRUCTURAL.

Second,  $\text{effects}(\text{passwordReader}) = \text{effects}(\text{readPasswords})$ .

Which expands to  $\{\text{FileIO.read}\} \cup \text{escapes}(\text{def readPasswords(fileio : \{\text{FileIO}\}})$ .

We apply ESCAPES-RESOURCE. The set of effects escaping is everything on `FileIO`. Therefore  $\text{effects}(\Gamma')$  is everything on `FileIO`.

## Conclusion

We apply C-NEWOBJ. The object created has the following type.

$\{\text{main} : \text{Unit} \rightarrow \text{Unit} \text{ captures } \{\text{FileIO.read}, \text{FileIO.append}, \text{FileIO.write}\}\}$  with  $\emptyset$

## 6 Example 6

This has partially-labeled declarations.

```

1 //  $\Gamma_0 = \{\{\text{FileIO}\}\}$ 
2 let logger2 = new
3   def log(entry : String) : Unit with FileIO.append
4     FileIO.append('/logs/mylog.txt', entry)
5   def expose() : { FileIO }
6     FileIO
7
8 //  $\Gamma_1 = \{\{\text{FileIO}\}, \text{logger2}\}$ 
9 in new
10   def main() : Unit
11     logger2.expose().read('/etc/passwd') // has a read effect that is not captured

```