CloneSeeker

Mark Zucker

Kevin R. Coombes

November, 2018

Contents

1	Introduction	1				
2	Simulated Tumor Containing Multiple Clones 2.1 Simulating Tumor Data	1				
3 Seeking Clones						
	3.1 Seeking Clones from Copy Number Data	7				
	3.2 Sequencing Data					
	3.3 Both Sequencing and SNP Array Data	8				

1 Introduction

Tumors often consist of multiple distinct subpopulations or clones. Information about the number of clones present in a tumor can be inferred using either mutation allele frequency data, from sequencing studies, or from copy number varants (CNVs), derived either from sequencing or from SNP array data. The CloneSeeker package can be applied to SNP array data, sequencing data, or both, from tumor cells from a cancer patient. CloneSeeker can determine the number of clones, the distribution of cells among clones, and the copy number variations and mutations (depending on the available data sources) that occur in each clone. The presence of multiple detectable clones is called "clonal heterogeneity" in the literature.

Clonal heterogeneity likely plays an important role in the clinical course of a cancer. It is possible, for example, that the tumor cells that will eventually become the refractory cancer after treatment are present as a minor subclone in the tumor early on.

First, we load the CloneSeeker package:

> library(CloneSeeker)

2 Simulated Tumor Containing Multiple Clones

In order to illustrate the algorithms, we are going to simulate data where we know the true structure. Specifically, we will simulate copy number and mutation data for a tumor with three clones. We start with an object that represents the Tumor at a somewhat abstract level.

The first argument to the Tumor constructor is a vector that specifies the relative proportions of cells belonging to each clone; the length of the vector determines the number of clones. These values are automatically converted to fractions that add up to one:

> simTumor@psi

```
An object of class "WeightVector" Slot "psi":
[1] 0.5 0.3 0.2
```

The second argument, rounds, specifies the number of generations through which the tumor clones are evolved. The idea is that new abnormalities, either in the form of mutations or copy number variants (CNVs), are acquired at each evolutionary step from some parent cell. The parameter nu is the expected number of new mutations and the parameter pcnv is the probability of a new CNV at each step. The final parameter, norm.contam, is a logical indicator of whether the tumor sample is assumed to include a subset of cells that represent non-cancerous "normal contamination".

The resulting simulated tumor contains descriptions of each individual clone. In the current implementation, these are stored as a list of clones.

```
> class(simTumor@clones)
[1] "list"
> length(simTumor@clones)
[1] 3
Individual clones contain desc
```

Individual clones contain descriptions of both CNVs and mutations.

```
> oneClone <- simTumor@clones[[1]]
> class(oneClone)

[1] "list"
> length(oneClone)

[1] 2
> names(oneClone)

[1] "cn" "seq"
```

The copy number data includes the chromosome, with start and end positions, the number of copies of the A and B alleles, an arbitrary "segment" identifier, and (as a residual from the simulated evolutionary history), a "parent" identifier.

> dim(oneClone\$cn)

[1] 320 7

> summary(oneClone\$cn)

chr	start	end	A
Min. : 1.000	Min. :	1 Min. : 512228	Min. :0.0000
1st Qu.: 4.000	1st Qu.: 4180679	95 1st Qu.: 58350286	1st Qu.:1.0000
Median : 9.000	Median :11763994	46 Median :139678132	Median :1.0000
Mean : 9.756	Mean :11460310	07 Mean :133296903	Mean :0.9969
3rd Qu.:15.000	3rd Qu.:18119778	30 3rd Qu.:208210950	3rd Qu.:1.0000
Max. :24.000	Max. :24889116	68 Max. :249250621	Max. :1.0000
В	seg	parent.index	
Min. :1.000	Min. : 1.00	Min. :2	
1st Qu.:1.000	1st Qu.: 80.75	1st Qu.:2	
Median :1.000	Median :160.50	Median :2	
Mean :1.003	Mean :160.50	Mean :2	
3rd Qu.:1.000	3rd Qu.:240.25	3rd Qu.:2	
Max. :2.000	Max. :320.00	Max. :2	

The mutation data has a chromosomal location, arbitrary segment and mutation identifiers, the number of mutated and wild type copies for each mutation, and the affected allele.

> dim(oneClone\$seq)

[1] 13 7

> oneClone\$seq

	chr	start	seg	mut.id	mutated.copies	allele	normal.copies
1	1	31289374	7	180	1	В	1
2	2	138387098	38	181	1	Α	1
3	3	47508817	57	1	1	В	1
4	5	49397981	100	2	1	Α	1
5	5	107243459	102	3	1	A	1
6	5	141225198	105	4	1	В	1
7	6	165607219	122	5	1	В	1
8	7	123361439	135	6	1	В	1
9	12	27339877	201	182	1	В	1
10	15	60248345	241	7	1	В	1
11	18	9110856	267	183	1	A	1
12	22	15038326	295	8	1	В	1
13	24	40007975	317	184	1	Α	1

2.1 Simulating Tumor Data

Now that we have the tumor in place, we can simulate data arising from a sudy of that tumor.

For a description of the many parameters to the <code>generateTumorData</code> function, see the man page. The first two arguments are size parameters. The first, <code>snp.seq</code>, determines the number of <code>germline</code> variants to simulate; in the absence of separate copy number data, these are used to provide a crude estimate. The second, <code>snps.cgh</code>, represents the number of SNP locations on the simulated SNP chip from which copy number segments are derived. The remaining parameters control the simulated read depth and variabilty.

As with individual clones, the simulated data is structured as a list with separate data frames for the CNVs and mutations.

```
> class(simData)
[1] "list"
> length(simData)
[1] 2
> names(simData)
[1] "cn.data" "seq.data"
```

The simulated copy number data includes chromosomal locations along with estimated log R ratios (LRR), B allele frequencies (BAF), separate intensity values for the two parental alleles (X and Y), and the number of SNPs in each segment (markers).

```
> cnDat <- simData$cn.data
> dim(cnDat)
[1] 320 7
```

> summary(cnDat)

```
LRR
                                                                BAF
     chr
                       seg
Min.
       : 1.000
                         : 1.00
                                            :-0.1268032
                                                           Min.
                                                                  :0.4355
                  Min.
                                    Min.
1st Qu.: 4.000
                  1st Qu.: 80.75
                                    1st Qu.:-0.0024181
                                                           1st Qu.:0.4996
Median : 9.000
                  Median :160.50
                                    Median :-0.0003218
                                                           Median :0.5000
                         :160.50
                                           : 0.0003409
Mean
       : 9.756
                  Mean
                                    Mean
                                                           Mean
                                                                  :0.5010
                                    3rd Qu.: 0.0027016
3rd Qu.:15.000
                  3rd Qu.:240.25
                                                           3rd Qu.:0.5005
Max.
       :24.000
                  Max.
                         :320.00
                                    Max.
                                            : 0.1739851
                                                           Max.
                                                                  :0.6678
      Х
                        Y
                                       markers
```

```
Min.
        :0.9716
                          :0.4962
                                             : 843
                  Min.
                                     Min.
                  1st Qu.:0.9942
1st Qu.:0.9948
                                     1st Qu.:1611
Median: 0.9991
                  Median :0.9997
                                     Median:1876
Mean
        :1.0035
                  Mean
                          :0.9990
                                             :1875
                                     Mean
3rd Qu.:1.0061
                  3rd Qu.:1.0059
                                     3rd Qu.:2136
                                             :3006
        :1.9881
                          :1.2891
Max.
                  Max.
                                     Max.
```

The simulated sequencing data, in addition to chromosomal locations, has read counts for the number of reference alleles, alternate (meaning varianms or mutated) alleles, total counts, the variant allele frequency (VAF), and a status indicator of whether the variant is believed to be germline or somatic.

```
> dim(simData$seq.data)
[1] 10026
               8
> seqDat <- simData$seq.data
> somatic <- seqDat[seqDat$status=='somatic',]</pre>
> dim(seqDat)
[1] 10026
> summary(seqDat)
      chr
                                         mut.id
                                                         refCounts
                        seg
Min.
        : 1.000
                           : 1.0
                                            : 1.00
                                                               : 24.00
                   Min.
                                    Min.
                                                       Min.
1st Qu.: 4.000
                   1st Qu.: 80.0
                                    1st Qu.: 7.25
                                                       1st Qu.: 60.00
Median: 9.000
                   Median :160.0
                                    Median : 20.50
                                                       Median : 70.00
Mean
        : 9.681
                   Mean
                           :159.6
                                    Mean
                                            :260.38
                                                       Mean
                                                               : 69.94
3rd Qu.:14.000
                   3rd Qu.:237.0
                                    3rd Qu.:183.75
                                                       3rd Qu.: 79.00
Max.
        :24.000
                   Max.
                           :320.0
                                    Max.
                                            :951.00
                                                       Max.
                                                               :166.00
                                    NA's
                                            :10000
   varCounts
                       VAF
                                       totalCounts
                                                          status
Min.
        : 13.0
                  Min.
                          :0.09783
                                     Min.
                                             : 53.0
                                                       Length: 10026
1st Qu.: 60.0
                  1st Qu.:0.46988
                                     1st Qu.:123.0
                                                       Class : character
Median: 70.0
                  Median :0.50000
                                     Median :140.0
                                                       Mode
                                                             :character
        : 69.8
                          :0.49972
Mean
                  Mean
                                     Mean
                                             :139.7
3rd Qu.: 79.0
                  3rd Qu.:0.52991
                                     3rd Qu.:156.0
        :125.0
Max.
                          :0.74336
                                             :238.0
                  Max.
                                     Max.
> table(seqDat$status)
germline
          somatic
   10000
                26
```

3 Seeking Clones

To run CloneSeeker, we will need a starting set of ψ vectors as inputs, where ψ records the fraction of cells belonging to each clone. For each ψ vector, the algorithm will compute the most

probable copy number state for each clone at each segment. The maximum posterior probability is computed for each input ψ vector, and these probabilities are used to resample new potential ψ vectors. We usually start by considering every possible decomposition of the tumor into five clones, where the fraction assigned to each clone is a multiple of 1/20=0.05. We can generate this initial matrix of ψ vectors as follows:

```
> psis <- generateSimplex(20, 5)
> dim(psis)
[1] 192
> head(psis)
     [,1] [,2] [,3] [,4] [,5]
[1,] 1.00 0.00 0.00
[2,] 0.95 0.05 0.00
                            0
[3,] 0.90 0.10 0.00
                            0
[4,] 0.90 0.05 0.05
                            0
[5,] 0.85 0.15 0.00
                            0
                       0
[6,] 0.85 0.10 0.05
                            0
> tail(psis)
       [,1] [,2] [,3] [,4] [,5]
[187,] 0.25 0.25 0.25 0.20 0.05
[188,] 0.25 0.25 0.25 0.15 0.10
[189,] 0.25 0.25 0.20 0.20 0.10
[190,] 0.25 0.25 0.20 0.15 0.15
[191,] 0.25 0.20 0.20 0.20 0.15
[192,] 0.20 0.20 0.20 0.20 0.20
```

For SNP array data, we also need, as input, a set of possible clonal segment copy number states. If none exists the function will automatically generate one. The version used here considers all possible copy number states from 0 to 5 copies, but it imposes a strong prior belief that two different clones cannot both gain and lose the same segment.

```
> cnmodels <- expand.grid(rep(list(0:5),5))
> include <- sapply(1:nrow(cnmodels), function(i) {
+ length(which(cnmodels[i,] >= 1))==5 | length(which(cnmodels[i,] <= 1)) == 5
+ })
> cnmodels <- cnmodels[include,]</pre>
```

Now we will define the other algorithm parameters:

```
alpha = 0.5,  # parameter for a symmetric Dirichlet prior on psi

thresh = 0.04,  # smallest possible detectble clone

cutoff = 100,  # filter out copy number segments supported by fewer SNPs

Q = 100,  # number of new psi vectors resamples at each iteration

iters = 4)  # number of iterations
```

3.1 Seeking Clones from Copy Number Data

The seekClones function can estimate the clonal architecture from copy number data, or from mutation and variant data, or jointly from both kinds of data. In this section, we will run the algorithm using only the copy number data. To do that, we set the varData argument to NULL.

```
An object of class "WeightVector" Slot "psi":
[1] 0.5 0.3 0.2
```

In this case, CloneSeeker accurately estimates not only the number of clones but also the clonal fractions. Let's look at the clonal copy number assignments as well:

```
> trueCN_Assignments <- t(sapply(1:nrow(resCN$filtered.data$cndata.filt),
+ function(i) {
    index <- rownames(simTumor@clones[[1]]$cn) ==</pre>
             rownames(resCN$filtered.data$cndata.filt)[i]
    sapply(1:length(simTumor@clones),function(j){
      simTumor@clones[[j]]$cn$A[index] + simTumor@clones[[j]]$cn$B[index]
    })
+ }))
> inferredCN_Assignments <- (resCN$A+resCN$B)[,1:length(simTumor@clones)]</pre>
> colnames(inferredCN_Assignments) <- colnames(trueCN_Assignments) <-
    paste("C", 1:3)
> data.frame(Truth = trueCN_Assignments,
             Infer = inferredCN_Assignments)
    Truth.C.1 Truth.C.2 Truth.C.3 Infer.C.1 Infer.C.2 Infer.C.3
22
            2
                      2
                                 2
                                           2
                                                                2
                                                      2
            2
                      2
                                 2
                                           2
                                                                2
24
                                                      3
```

128	2	2	2	2	2	2
170	2	2	2	1	2	2
226	2	2	2	2	1	2
244	2	2	2	3	2	2
248	2	2	2	1	2	2
297	2	2	2	2	3	2
315	2	2	2	2	1	2

Although not perfect, the algorithm managed to correctly estimate most of the segment-wise allelic copy numbers of different clones.

3.2 Sequencing Data

Now, let's illustrate the use of CloneSeeker in analyzing mutation data (by which we mean variant data such as one would find in a .vcf file) to seek clones. This time, we run the CloneSeeker algorithm with the cndata argument set to NULL.

```
> resMut <- seekClones(cndata = NULL, vardata = seqDat,
+ cnmodels = cnmodels, psiset = psis, pars = pars)</pre>
```

Here the results aren't as good; at least one of the actual clones has been split into separate pieces.

> resMut\$psi

```
[1] 0.53443247 0.19437358 0.10946443 0.10387835 0.05785117
```

> simTumor@psi

```
An object of class "WeightVector" Slot "psi":
[1] 0.5 0.3 0.2
```

3.3 Both Sequencing and SNP Array Data

Finally, we illustrate running CloneSeeker on a sample for which there is both SNP array and mutation data.

```
> resBoth <- seekClones(cndata = cnDat, vardata = somatic,
+ cnmodels = cnmodels, psiset = psis, pars = pars)</pre>
```

And we can look at the inferred allocation of tumor fraction to clones:

> resBoth\$psi

```
[1] 0.45 0.30 0.15 0.05 0.05
```

> simTumor@psi

```
An object of class "WeightVector"
Slot "psi":
[1] 0.5 0.3 0.2
```

Surprisingly, the results here are similar to the overaggressive results obtained using just the sequencing data rather than the simpler and correct results obtained when using just the copy number data.

In conclusion, CloneSeeker can be applied effectively to cases where one has SNP array data, (processed) sequencing data, or both.