Analyzing dose-volume histograms using DVHmetrics for R

Daniel Wollschlaeger wollschlaeger@uni-mainz.de

Heiko Karle karle@uni-mainz.de

University Medical Center Mainz, Germany October 13, 2015

Contents

1	Introduction					
2	Interfaces 2.1 R command line interface					
3	Read DVH text data	3				
4	DVH metrics 4.1 Calculate DVH metrics	9				
5	DVH diagrams 5.1 Plot DVH diagrams					
6	Quality assurance constraints on the dose-volume relationship 6.1 Define constraints	16				
7	BED, EQD2, Isoeffective Dose					
D.	oforeness	20				

1 Introduction

DVHmetrics is an add-on package for the free statistical environment R¹ (R Development Core Team, 2015) with applications in radiation oncology. It provides functionality to read dose-volume-histogram

¹Free short introductions to R can be found at

http://www.splusbook.com/RIntro/RCourse.pdf and http://www.statmethods.net/.

(DVH) text files, to calculate DVH metrics, and to plot DVHs. In addition, it checks and visualizes quality assurance constraints for the DVH.²

To install DVHmetrics, you need a current version of R and be online. Preferably, a free development environment like RStudio (RStudio Inc, 2015) or Architect (OpenAnalytics BVBA, 2015) should be used.

```
# install DVHmetrics from the CRAN online package repository
install.packages("DVHmetrics")
```

2 Interfaces

DVHmetrics provides two interfaces geared towards users with different levels of familiarity with R: The regular command line functions and a built-in web application.

2.1 R command line interface

Users familiar with R can use the DVHmetrics package functions from the R command line. This facilitates statistical post-processing of results with the full capabilities of R. After installing DVHmetrics, you should be able to run (function getMetric() is explained in section 4.1):

```
## load DVHmetrics package - required for all following tasks
library(DVHmetrics, verbose=FALSE)
## calculate a DVH metric for built-in data
getMetric(dataMZ, metric="DMEAN", structure="HEART")
 observed metric structure patID
1
   18.110 DMEAN
                      HEART
                             P123
2
    0.995 DMEAN
                      HF.AR.T
                             P234
  10.389 DMEAN
                      HEART
                             P345
```

2.2 Web-based graphical user interface

For users who are unfamiliar with R, DVHmetrics includes a shiny-based web application (RStudio Inc., 2015) running locally that eliminates the need to use R syntax. For information on how to use this app, see the documentation by running this from the command line:

```
vignette("DVHshiny")
```

²For a solution that also reads files in DICOM-RT format, see the RadOnc package for R (Thompson, 2015).

3 Read DVH text data

To import DVH data into R, it should be exported as a plain text file from Varian EclipseTM (Versions 8–13), CadPlanTM, Pinnacle^{3 TM} (version 9³), Oncentra MasterPlanTM (version 4.3), Elekta MonacoTM, TomoTheray HiArtTM, or from RaySearch Labs RayStationTM. DVH files from different TPSs can be combined into one set of DVHs. Cumulative and differential DVHs are supported, as are sum plans. The measurement unit for absolute dose can be Gy or cGy, the measurement unit for volume has to be cm³. Multiple DVH text files can be read with readDVH() in one step.

Example: Read one Eclipse file dvhFile.txt from folder "c:/folder" and save the result in object res.⁴

```
res <- readDVH("c:/folder/dvhFile.txt", type="Eclipse")
```

Basic information about the files can be displayed with print(), or just by entering the name of a DVH object at the prompt – here used with built-in DVHs from three patients with radiotherapy, each with seven heart structures.⁵

```
DVH list of 3 lists - 1 for each patient:

DVH list:
Patient 'John Doe' (ID P123, prescription dose 60GY) with 7 Structures:
HEART, AOVALVE, AMYOCR, PULMVALVE, MYOCARD, AMYOCL, AVNODE

DVH list:
Patient 'Jane Doe' (ID P234, prescription dose 60GY) with 7 Structures:
AMYOCR, AVNODE, HEART, AOVALVE, PULMVALVE, MYOCARD, AMYOCL

DVH list:
Patient 'Jane Smith' (ID P345, prescription dose 60GY) with 7 Structures:
HEART, AOVALVE, PULMVALVE, MYOCARD, AMYOCL, AMYOCR, AVNODE
```

Display more information on structures with verbose=TRUE.

```
print(dataMZ, verbose=TRUE)

DVH list of 3 lists - 1 for each patient:

DVH list:
Patient 'John Doe' (ID P123, prescription dose 60GY) with 7 Structures:
```

³Pinnacle³ files have to be exported such that information from one patient is contained in one directory. The directory layout and required files are explained in help(readDVH).

⁴Note that the way to indicate the path to these files is different from the usual Windows style path: Instead of writing the backslash "\" as folder separator, the forward slash "/" must be used.

⁵Sample data courtesy of Department of Radiation Oncology (Prof. Dr. Schmidberger), University Medical Center Mainz, Germany.

```
DVH: Structure HEART (600CC), Dose: 0-62GY
DVH: Structure AOVALVE (12CC), Dose: 0-62GY
DVH: Structure AMYOCR (57CC), Dose: 0-62GY
DVH: Structure PULMVALVE (3.8CC), Dose: 0-62GY
DVH: Structure MYOCARD (310CC), Dose: 0-62GY
DVH: Structure AMYOCL (60CC), Dose: 0-62GY
DVH: Structure AVNODE (38CC), Dose: 0-62GY
DVH list:
Patient 'Jane Doe' (ID P234, prescription dose 60GY) with 7 Structures:
DVH: Structure AMYOCR (53CC), Dose: 0-63GY
DVH: Structure AVNODE (43CC), Dose: 0-63GY
DVH: Structure HEART (730CC), Dose: 0-63GY
DVH: Structure AOVALVE (15CC), Dose: 0-63GY
DVH: Structure PULMVALVE (6.7CC), Dose: 0-63GY
DVH: Structure MYOCARD (330CC), Dose: 0-63GY
DVH: Structure AMYOCL (81CC), Dose: 0-63GY
DVH list:
Patient 'Jane Smith' (ID P345, prescription dose 60GY) with 7 Structures:
DVH: Structure HEART (550CC), Dose: 0-62GY
DVH: Structure AOVALVE (11CC), Dose: 0-62GY
DVH: Structure PULMVALVE (5.7CC), Dose: 0-62GY
DVH: Structure MYOCARD (270CC), Dose: 0-62GY
DVH: Structure AMYOCL (43CC), Dose: 0-62GY
DVH: Structure AMYOCR (52CC), Dose: 0-62GY
DVH: Structure AVNODE (24CC), Dose: 0-62GY
```

Multiple files with the same name pattern can be specified using wildcards like *. Example: Read all CadPlan files with the file name pattern dvhFile*.txt from folder "c:/folder" and save the result in object res.

```
res <- readDVH("c:/folder/dvhFile*.txt", type="Cadplan")</pre>
```

When no file pattern is specified, multiple files can be selected using the standard Windows file picker dialogue. On MacOS and Linux, only a single file can be selected interactively.

```
res <- readDVH(type="Eclipse")  # opens interactive file picker
```

For DVH files from a sum plan, prescribed dose can be encoded in the plan name like name_70Gy_etc. It will then be assumed that "% for dose" is 100.

```
res <- readDVH("c:/folder/*", type="Eclipse", planInfo="doseRx")
```

4 DVH metrics

4.1 Calculate DVH metrics

Function getMetric() calculates freely-defined DVH metrics based on data that has been read in as demonstrated in section 3. getMetric() has the following options:

- Option x: The DVH data.
- Option metric one or many of the following:
 - A pre-specified DVH metric is one of the following character strings:
 - * "DMEAN": The volume-weighted mean dose of the structure.
 - * "DMIN": The minimum dose of the non-zero-dose voxels in the structure.
 - * "DMAX": The maximum dose of the non-zero-dose voxels in the structure.
 - * "DSD": The standard deviation of the dose in the structure.
 - * "DRX": The prescription dose.
 - * "DEUD": The generalized equivalent uniform dose (gEUD, Niemierko, 1999; Wu, Mohan, Niemierko, & Schmidt-Ullrich, 2002). This can be based on EQD₂ values if information on the fractionation is provided as well (IAEA & ICRU, 2008).
 - * "DNTCP": The normal tissue complication probability (NTCP) according to the Lyman (1985) probit model, the Niemierko (1999) logit model, or the Källman (1992) Poisson (relative seriality) model. This can be based on EQD₂ values if information on the fractionation is provided as well.
 - * "DTCP": The tumor control probability (TCP) according to the same models as NTCP.
 - A free DVH metric is a character string which has three mandatory elements and one optional element in the following order:
 - * 1^{st} letter "D" or "V": "D" If the requested value is a dose, "V" if it is a volume.
 - * 2nd element (number): If the first letter is "D", this gives the volume for which the dose value of the cumulative DVH should be reported. If the first letter is "V", this gives the dose for which the volume value of the cumulative DVH should be reported.
 - * 3rd element (measurement unit): The measurement unit for the 2nd element of the metric. Absolute volumes are indicated by "CC" for cm³, relative volumes by "%". Absolute doses are indicated by "Gy" for Gray or "cGy" for Centigray, relative doses by "%".
 - * Optional 4th element _(measurement unit): The measurement unit of the output value. Possible units are the same as for the 3rd element. If missing, dose is reported as absolute dose in the measurement unit used in the DVH. If the measurement unit is missing, volume is reported as relative volume in %.

- Example metrics are listed in table 1. By default, metrics are calculated using linear interpolation between adjacent supporting points of the cumulative DVH without extrapolating beyond the observed volume or dose. The interpolation method can be changed to use monotone Hermite splines (Fritsch & Carlson, 1980), or to local polynomial regression with a Gaussian kernel (Wand & Jones, 1995). The kernel bandwidth is then determined by the direct plug-in method (Ruppert, Sheather, & Wand, 1995).
- Option patID: Which patient IDs should be analyzed. IDs are interpreted as regular expressions matched against those found in the DVH files. Also supply fixed=TRUE for exact matching. If missing, the metrics are calculated for all patients.
- Option structure: Which structure should be analyzed. Structure names are interpreted as regular expressions matched against those found in the DVH files. Also supply fixed=TRUE for exact matching. If missing, the metrics are calculated for all structures.
- Option sortBy: Results can be sorted according to these variables:
 - "observed": observed value of the metric
 - "structure": structure for which the metric is calculated
 - "metric": type of calculated metric
 - "patID": patient ID
- Option splitBy: Results can be divided into different tables according to these variables:
 - "structure": structure for which the metric is calculated
 - "metric": type of calculated metric
 - "patID": patient ID

Table 1: Examples of possible DVH metrics

Metric	Reference	Unit reference	Result	Unit result
"V10Gy"	absolute dose	Gy	relative volume	%
"V10cGy_CC"	absolute dose	cGy	absolute volume	${ m cm^3}$
"V10%"	relative dose	%	relative volume	%
"V10%_CC"	relative dose	%	absolute volume	${ m cm^3}$
"D10CC"	absolute volume	cm^3	absolute dose	as in DVH
"D10%_cGy"	relative volume	%	absolute dose	cGy
"DMEAN"	_	_	absolute dose	as in DVH
"DEUD"	_	_	absolute dose	as in DVH
"DSD"		_	absolute dose	as in DVH
"DMIN"		_	absolute dose	as in DVH
"DMAX"			absolute dose	as in DVH
"DNTCP"	_	_	probability	
"DTCP"			probability	

If volume or dose values outside the range of possible values for a structure are requested, it may be that metrics cannot be calculated, and the result will be NA (missing value) with a warning.

In the following examples, we use object dataMZ that is built into the DVHmetrics package. dataMZ was the result from reading three Eclipse DVH files, each with seven structures – as demonstrated in section 3.

Calculate metric DMEAN for all structures for all patients in dataMZ.

```
getMetric(dataMZ, metric="DMEAN")
  observed metric structure patID
    18.110 DMEAN
                     HEART P123
1
2
    21.454 DMEAN
                   AOVALVE P123
3
    24.046 DMEAN
                    AMYOCR P123
4
    23.015 DMEAN PULMVALVE P123
5
    17.492 DMEAN
                  MYOCARD P123
6
    18.496 DMEAN
                  AMYOCL P123
7
    19.200 DMEAN
                    AVNODE P123
8
     2.421 DMEAN AMYOCR P234
9
     0.968 DMEAN
                   AVNODE P234
10
     0.995 DMEAN
                    HEART P234
     1.959 DMEAN AOVALVE P234
11
12
     1.198 DMEAN PULMVALVE P234
13
     0.990 DMEAN
                  MYOCARD P234
     0.775 DMEAN
                    AMYOCL P234
14
15
    10.389 DMEAN
                     HEART P345
16
    11.283 DMEAN
                   AOVALVE P345
17
    10.019 DMEAN PULMVALVE P345
18
    10.639 DMEAN
                  MYOCARD P345
19
     2.549 DMEAN
                    AMYOCL P345
20
    30.009 DMEAN
                    AMYOCR P345
21
     6.676 DMEAN AVNODE P345
```

Calculate metric D5cc just for structure HEART for all patients in dataMZ.

Calculate metric D5cc just for structure HEART for all patients in dataMZ, and sort result by the observed value of the metric.

```
getMetric(dataMZ, metric="D5cc", structure="HEART", sortBy="observed")

observed metric structure patID
2  3.474  D5CC    HEART  P234
1  31.482  D5CC    HEART  P123
3  41.618  D5CC    HEART  P345
```

Calculate metrics D10% and V5Gy for all structures containing the text AMYOC or VALVE, for patient IDs in dataMZ containing the text 23, and sort result by metric and observed value.

```
getMetric(dataMZ, metric=c("D10%", "V5Gy"),
          structure=c("AMYOC", "VALVE"),
          patID="23",
          sortBy=c("metric", "observed"))
   observed metric structure patID
15
      1.312
            D10%
                      AMYOCL P234
13
      1.404
              D10% PULMVALVE P234
             D10%
                     AOVALVE P234
11
      2.556
9
              D10%
      3.263
                      AMYOCR P234
7
             D10%
     23.208
                      AMYOCL P123
5
     24.391
              D10% PULMVALVE P123
1
     25.056
             D10%
                     AOVALVE P123
3
     30.710
              D10%
                      AMYOCR P123
10
      0.000
              V5GY
                      AMYOCR P234
12
      0.000
              V5GY
                     AOVALVE P234
14
      0.000
              V5GY PULMVALVE P234
16
                      AMYOCL P234
      0.000
              V5GY
2
    100.000
              V5GY
                     AOVALVE P123
4
    100.000
              V5GY
                      AMYOCR P123
6
    100.000
              V5GY PULMVALVE P123
    100.000
              V5GY
                      AMYOCL P123
```

Calculate metrics DMEAN and D5cc for structure HEART for all patients in dataMZ, sort by the observed value of the metric, and split the output such that one table is generated for each metric.

```
getMetric(dataMZ, metric=c("DMEAN", "D5cc"), structure="HEART",
          sortBy="observed", splitBy="metric")
$D5CC
 observed metric structure patID
    3.474
           D5CC
                     HEART P234
   31.482
           D5CC
                      HEART
                            P123
   41.618
           D5CC
                      HEART P345
$DMEAN
 observed metric structure patID
3
    0.995 DMEAN
                      HEART
                            P234
5
   10.389
                             P345
           DMEAN
                      HEART
   18.110 DMEAN
                     HEART P123
```

Calculate metrics DMEAN and D5cc for structures HEART and AOVALVE for all patients in dataMZ, sort by observed value, and split the output such that one table is generated for each combination of structure and metric. Also store the result in object met that can be saved later.

```
splitBy=c("structure", "metric"))
met
                               # print the calculated results
$AOVALVE.D5CC
  observed metric structure patID
8
     2.064 D5CC AOVALVE P234
     8.337 D5CC AOVALVE P345
12
    21.752 D5CC AOVALVE P123
$HEART.D5CC
  observed metric structure patID
6
     3.474 D5CC HEART P234
2
    31.482 D5CC
                     HEART P123
    41.618 D5CC
                    HEART P345
$AOVALVE.DMEAN
  observed metric structure patID
7
    1.959 DMEAN AOVALVE P234
11 11.283 DMEAN AOVALVE P345
    21.454 DMEAN AOVALVE P123
$HEART.DMEAN
 observed metric structure patID
5 0.995 DMEAN HEART P234
   10.389 DMEAN
9
                    HEART P345
1 18.110 DMEAN HEART P123
```

4.2 Save DVH metrics to file

The calculated DVH metrics can be saved to tab-delimited text files with saveMetric(). These files are easy to import, e.g., into spreadsheets like Excel or into other statistics programs.

Assume object met has been calculated before as demonstrated in section 4.1. If met is not split into different tables, the following command saves met to the file metrics.txt. If met is divided into multiple tables, this saves met into different files that all have the name pattern metrics_NAME.txt, where NAME stands, e.g., for the names of different structures.

```
saveMetric(met, file="c:/folder/metrics.txt")
```

Per default, numbers use the . as decimal separator. This can be changed with option dec=",".

```
saveMetric(met, file="c:/folder/metrics.txt", dec=",")
```

If text should be set in quotes in the output file, use quote=TRUE.

```
saveMetric(met, file="c:/folder/metrics.txt", quote=TRUE)
```

4.3 Convenience functions for DMEAN, gEUD, NTCP, TCP

DMEAN, gEUD, NTCP and TCP may be calculated together with other metrics using getMetric(), but there are specialized convenience functions for this task as well. In particular, getDMEAN() calculates the dose mean, median, mode, minimum, and maximum based on the (interpolated) differential DVH instead of relying on the values exported by the TPS.

```
dmean <- getDMEAN(dataMZ[[1]])</pre>
subset(dmean, select=c(doseAvg, doseMed, doseMin, doseMax))
 doseAvg doseMed doseMin doseMax
1
   18.11
          17.70
                  3.55
                          35.15
2
   21.45
           21.08
                  16.25
                          30.65
3 24.05
           24.96
                 7.45
                          35.15
4
   23.01 23.00
                 20.45
                          25.65
5 17.49 16.19
                 3.95
                          35.15
6 18.50
          18.21
                  13.05
                          26.85
7 19.20 19.11 12.55 29.75
```

gEUD is calculated by getEUD().

```
# note that different tissues should have different parameter values,
# this is just for demonstration purposes
getEUD(dataMZ[[1]], EUDa=2)
   EUD patID structure
1 156.0 P123
                 HEART
2 185.4 P123
               AOVALVE
3 229.9 P123
              AMYOCR
4 202.2 P123 PULMVALVE
5 153.0 P123
              MYOCARD
6 151.7 P123
                AMYOCL
7 157.3 P123 AVNODE
```

NTCP and TCP are calculated by getNTCP() and getTCP(), respectively.

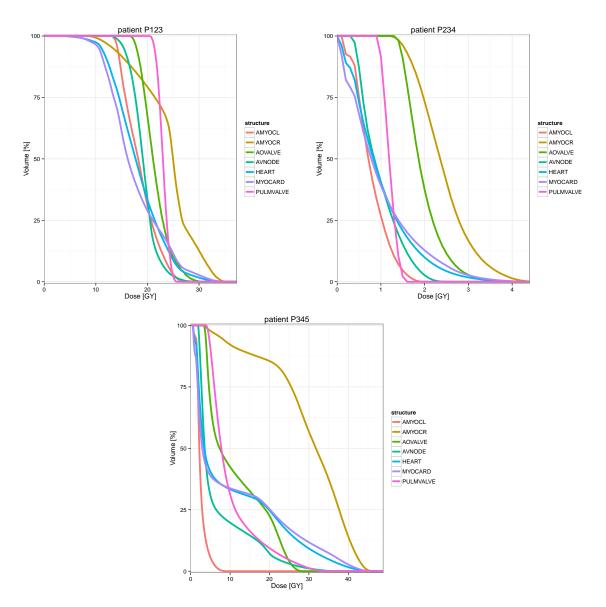
```
# note that different tissues should have different parameter values,
# this is just for demonstration purposes
getNTCP(dataMZ[[1]], NTCPtd50=40, NTCPm=0.6, NTCPn=0.5, NTCPtype="probit")
  NTCP patID structure
1
    1 P123
                HEART
2
    1 P123
              AOVALVE
3
    1 P123
              AMYOCR
    1 P123 PULMVALVE
4
5
    1 P123 MYOCARD
6
    1 P123
              AMYOCL
7 1 P123 AVNODE
```

5 DVH diagrams

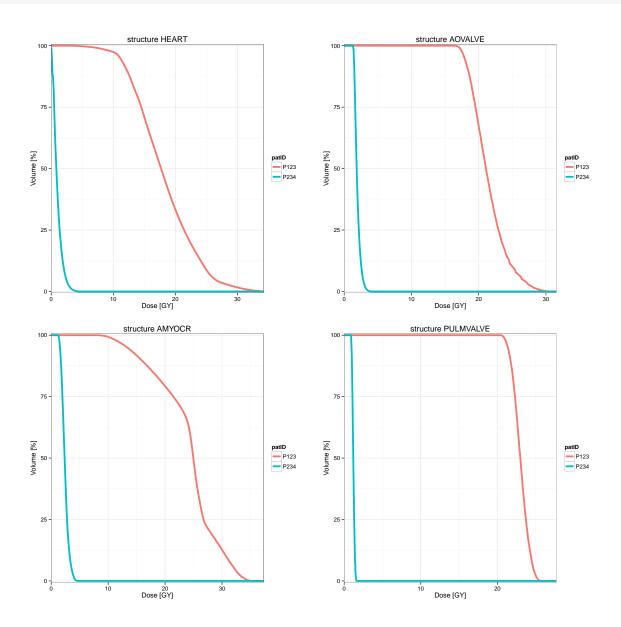
5.1 Plot DVH diagrams

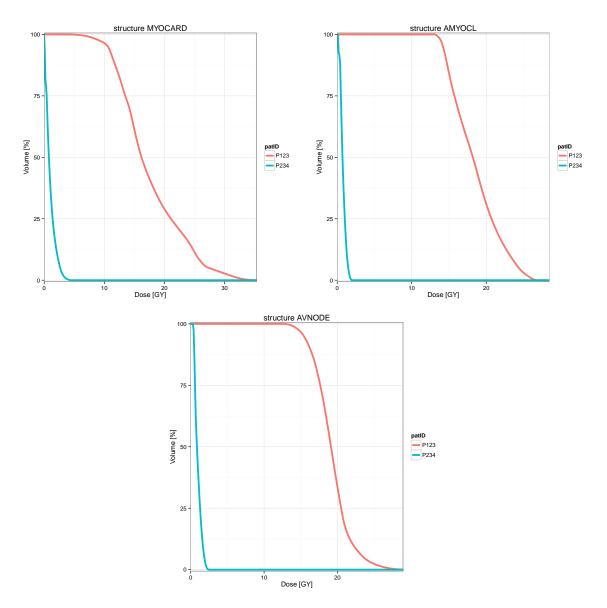
Cumulative as well as differential DVH diagrams can be generated with showDVH(). If you are using RStudio or Architect, all produced diagrams are accessible in the plots tab by clicking on the left and right arrows. Depending on the option byPat, each DVH diagram either shows one patient with multiple structures (byPat=TRUE) or one structure with multiple patients (byPat=FALSE).

showDVH(dataMZ, byPat=TRUE)

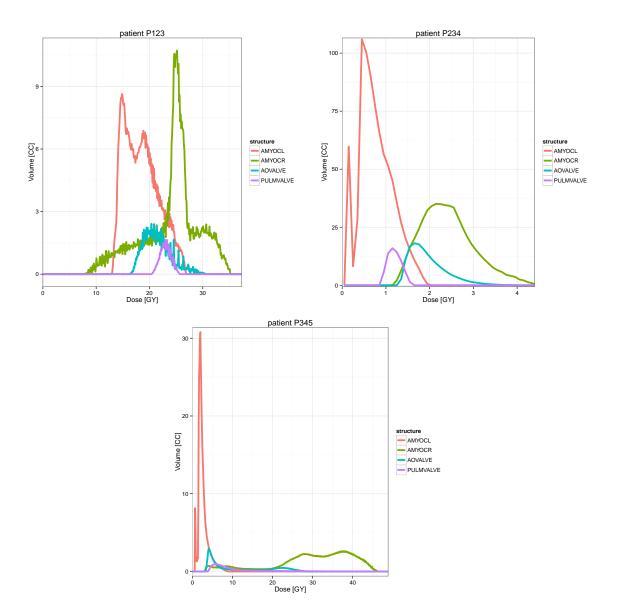


Patient IDs and structures can be selected with the patID="\lambda ID\" option and the structure="\lambda NAME\" option. Both accept regular expressions. For exact matching, also supply fixed=TRUE. By default, all patients/structures are shown.





By default, the relative DVH is shown. Absolute volume can be plotted with the rel=FALSE option. For differential DVH, set cumul=FALSE.



Option thresh allows to restrict the range of the x-axis such that only relative volumes larger than thresh appear. Use option show=FALSE to prevent the diagrams from being shown if you just need the returned object (here: dvhPlot) to later save the diagrams to file.

5.2 Save cumulative DVH diagrams to file

DVH diagrams can be saved to file using saveDVH(). A file name pattern can then be supplied to option file. By using different file extensions like .pdf, .jpg, .png, different graphics formats can be automatically selected. In addition, the width and height of the diagram can be specified in inch.

```
saveDVH(dvhPlot, file="c:/folder/dvh.pdf", width=7, height=5)
```

6 Quality assurance constraints on the dose-volume relationship

For quality assurance, it is possible to define, check, and visualize constraints on the dose-volume relationship for DVHs.

6.1 Define constraints

A DVH constraint is a character string that consists of three parts: The DVH metric (see section 4.1), a comparison operator among <, >, <=, >=, and the reference value together with the measurement unit – one among among Gy, cGy, cc, %. For constraints involving the relative dose, the DVH must contain the prescription dose.

Some example constraints are "V10Gy > 80%" (more than 80% of the structure should have received $10\mathrm{Gy}$), "V20% < $10\mathrm{CC}$ " (less than $10\mathrm{cm}^3$ of the structure should have received 20% of the prescription dose), or "D10CC > $500\mathrm{cGy}$ " (The "hottest" $10\mathrm{cm}^3$ of the structure should have received more than $500\mathrm{cGy}$). Constraints can also apply to the dose mean, median, and standard deviation as well as to the gEUD and to the (N)TCP.

A DVH constraint can apply to a specific patient or to all patients, and to a specific structure or to all structures.

- If constraints apply to all patients/structures, the constraint can be a character vector with elements like the examples above.
- If constraints apply only to some patients/structures, the constraint must be a data frame with variables constraint, patID and structure. Each row then defines one constraint and its scope: constraint must be a character string with one constraint definition as in the examples above. patID must be either a character string with a valid patient ID, or "*" if the the constraint applies to all patients. structure must be either a character string with a valid structure name, or "*" if the the constraint applies to all structures. If variable patID is missing from the data frame, the constraints apply to all available patients. If variable structure is missing from the data frame, the constraints apply to all available structures.

Alternatively, it is possible to specify a set of constraints as a table in a text file with one row per constraint and one column for the constraint expression, structure, and patient ID. A table like this can be created in a spreadsheet program like Excel (fig. 1), be exported to a tab-delimited text-file, and be read in by function readConstraints(). Table 2 shows some examples.

```
dataConstr <- readConstraints("constraints.txt", dec=".", sep="\t")</pre>
```

The constraint data frame dataConstr is built into DVHmetrics and applies to the dataMZ DVH data.

```
dataConstr # show defined constraints and their scope
```

Table 2: Example for pasted constraints.

Constraints that apply to all patients and to all structures

```
"D10cc < 20%"

"V5cGy > 100cc"

"DMEAN < 10Gy"
```

Constraints that apply to some patients and to all structures

```
"constraint" "patID"

"D10cc < 20%" "P123"

"V5cGy > 100cc" "*"

"DMEAN < 10Gy" "P234"
```

Constraints that apply to some patients and to some structures

```
"constraint" "patID" "structure"

"D10cc < 20%" "P123" "*"

"V5cGy > 100cc" "*" "HEART"

"DMEAN < 10Gy" "P234" "AOVALVE"
```

```
constraint structure patID
1
        V1.2Gy> 60%
                       AVNODE P123
2 V10Gy_cc < 18 cc
                       AMOYCL
                                P123
3
       D80% < 1.8Gy
                      AOVALVE
                                P234
4
         D200cc >2%
                         HEART
                                P234
5
         V4% > 25%
                         HEART
                                P345
6
        V7.5Gy< 10%
                         HEART
                                P345
```

For checking constraints, and for calculating the difference between the observed DVH and the constraint, the DVH is linearly interpolated, by using monotone Hermite splines, or by local polynomial kernel regression.

6.2 Check constraints

Constraints are checked with <code>checkConstraint()</code>. The output returns information on the observed value of the tested metric, on the compliance with respect to this metric, and on the absolute/relative deviation in volume as well as in dose to the specified constraint value. The units for the absolute deviation are those used in the constraint expression. When the constraint defines a point in dose-volume space, <code>checkConstraint()</code> reports another quantitative measure for the degree of violation: The closest point on the DVH to the constraint as well as its Euclidean distance to the constraint point.

For calculating the minimal Euclidean distance between the constraint point and the DVH, the constraint point is orthogonally projected onto each DVH segment between (interpolated) DVH nodes. The relative Euclidean distance is the minimum of these distances divided by the distance of the constraint point to the closest axis (dose and volume) along the same direction. In doing so, the devation from the expected volume per dose and the devation from the expected dose per volume are condensed in a single metric.

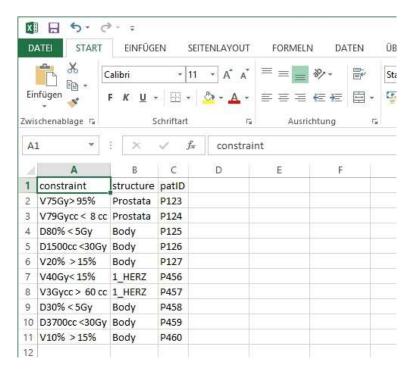


Figure 1: Defining constraints in a spreadsheet program like Excel

As an example, we use the DVHs and corresponding constraints that are built into the DVHmetrics package.

```
## store result in object cc to save to file later
cc <- checkConstraint(dataMZ, constr=dataConstr)</pre>
print(cc, digits=2)
                                 # show output with 2 decimal places
  patID structure
                        constraint observed compliance deltaV deltaVpc
1
  P123
           AVNODE V1.2GY_% > 60%
                                       100.0
                                                    TRUE
                                                             40
                                                                       67
2
  P234
          AOVALVE D80%_GY < 1.8GY
                                         1.6
                                                    TRUE
                                                             -23
                                                                      -29
3
 P234
            HEART
                     D200CC_% > 2%
                                         2.2
                                                    TRUE
                                                             27
                                                                       13
                       V4%_% > 25%
4
 P345
            HEART
                                        66.2
                                                    TRUE
                                                             41
                                                                      165
  P345
            HEART
                   V7.5GY_{\%} < 10\%
                                        35.6
                                                   FALSE
                                                             26
                                                                      256
 deltaD deltaDpc dstMin dstMinRel ptMinD ptMinV
1
  17.38
           1448.4
                   17.35
                             1443.7
                                       18.5
                                                 61
2
  -0.20
            -11.2
                     0.20
                                11.2
                                        1.6
                                                 80
3
   0.16
              7.8
                                 7.8
                                        2.2
                                                200
                     0.16
            727.8
4
  29.11
                   13.61
                              204.8
                                       12.2
                                                 36
  21.79
            290.5
                    17.93
                              209.3
                                       23.2
                                                 19
```

The result from a constraint check can be saved with function saveConstraint() that works like saveMetric() (see section 4.2).

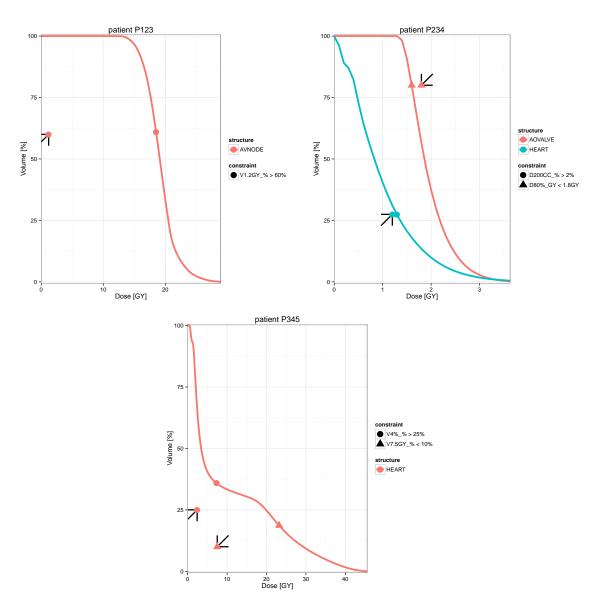
```
saveConstraint(cc, file="c:/folder/constrCheck.txt")
```

6.3 Visualize constraints

Constraints that define a point in dose-volume space can be visualized in a DVH with relative volume or absolute volume. The constraints will be converted to match the DVH plot. Only patients and structures within the scope of the defined constraints are shown. The diagram also shows the point on the DVH closest to the constraint. This can be verified visually only if the aspect ratio of the diagram is 1.

As in showDVH() (see section 5.1), either one diagram per patient with multiple structures is shown (byPat=TRUE), or one diagram per structure with multiple patients (byPat=FALSE).

```
## plot relative volume
showConstraint(dataMZ, constr=dataConstr, byPat=TRUE)
```



The result can be saved using saveDVH() as demonstrated in section 5.2.

```
saveDVH(sc, file="c:/folder/dvhConstraint.pdf")
```

7 BED, EQD2, Isoeffective Dose

The linear-quadratic model for the proportion of surviving cells S after dose d is (IAEA & ICRU, 2008):

$$S = e^{-(\alpha d + \beta d^2)}$$

According to the model, the biologically effective dose (BED) with total dose D, fraction dose d and a tissue-dependent α/β ratio is:

$$BED = D\left[1 + \frac{d}{\alpha/\beta}\right]$$

Given two different fractionation schemes, the total dose D_2 for the new fraction dose d_2 that corresponds to the total dose D_1 from the reference fraction dose d_1 can be calculated from solving the following equation for the desired measure:

$$\frac{D_2}{D_1} = \frac{d_1 + (\alpha/\beta)}{d_2 + (\alpha/\beta)}$$

As a special case, the dose in 2Gy fractions biologically equivalent dose (EQD₂) is given by:

$$EQD_2 = D_1 \cdot \frac{d_1 + (\alpha/\beta)}{2 + (\alpha/\beta)} = \frac{BED}{1 + \frac{2}{\alpha/\beta}}$$

The following convenience functions allow for easy calculation of these measures:

```
getBED(D=50, fd=2.5, ab=c(2, 3, 4))
    BED fractDose ab
1 112.50
              2.5 2
2 91.67
              2.5 3
              2.5 4
3 81.25
getEQD2(D=50, fd=2.5, ab=c(2, 3, 4))
  EQD2 fractDose ab
1 56.25
             2.5 2
2 55.00
             2.5 3
3 54.17
             2.5 4
getIsoEffD(D1=70, fd1=2, fd2=3, ab=c(3.5, 10))
[1] 59.23 64.62
```

The same functions can be used to convert complete DVHs to BED, EQD2, or to the iso-effective dose corresponding to some other fraction dose.

```
getEQD2(D=dataMZ[[c(1, 1)]], fd=2.5, ab=3)
DVH: Patient 'John Doe' (ID P123), structure 'HEART' (600CC), Dose: 0.11-68GY
```

Acknowledgements

The authors thank Marcus Stockinger for ideas on checking quality assurance constraints as well as Sandra Bührdel, Hannes Rennau, Ulrich Wolf, Bjorne Riis, Nico Banz, and Michael R. Young for example DVH files exported from different treatment planning systems.

References

- Fritsch, F. N., & Carlson, R. E. (1980). Monotone piecewise cubic interpolation. SIAM Journal on Numerical Analysis, 17, 238–246.
- IAEA, & ICRU. (2008). Relative biological effectiveness in ion-beam therapy (Tech. Rep. No. IAEA-TR 461). Vienna, Austria: IAEA (International Atomic Energy Agency) and ICRU (International Commission on Radiation Units and Measurements).
- Källman, P., Ågren, A., & Brahme, A. (1992). Tumor and normal tissue responses to fractionated non-uniform dose delivery. *International Journal of Radiation Biology*, 62(2), 249–262.
- Lyman, J. T. (1985). Complication probability as assessed from dose volume histograms. Radiation Research, 104(2), S13–19.
- Niemierko, A. (1999). A generalized concept of equivalent uniform dose [abstract]. *Medical Physics*, 26(6), 1100.
- OpenAnalytics BVBA. (2015). Architect [Computer software]. URL http://www.openanalytics.eu/architect/ (Version 0.9.7)
- R Development Core Team. (2015). R: A Language and Environment for Statistical Computing [Computer software manual]. Vienna, Austria. URL http://www.r-project.org/
- RStudio Inc. (2015). RStudio: Integrated development environment for R [Computer software]. URL http://www.rstudio.org/ (Version 0.99.467)
- RStudio Inc. (2015). shiny: Web application framework for R [Computer software]. URL http://CRAN.R-project.org/package=shiny (R package version 0.12.1)
- Ruppert, D., Sheather, S. J., & Wand, M. P. (1995). An effective bandwidth selector for local least squares regression. *Journal of the American Statistical Association*, 90(1), 1257–1270.
- Thompson, R. F. (2015). RadOnc: Analytical tools for radiation oncology [Computer software]. URL http://CRAN.R-project.org/package=RadOnc (R package version 1.1.0)
- Wand, M. P., & Jones, M. C. (1995). Kernel smoothing. Boca Raton, FL: Chapman & Hall/CRC.
- Wu, Q., Mohan, R., Niemierko, A., & Schmidt-Ullrich, R. (2002). Optimization of intensity-modulated radiotherapy plans based on the equivalent uniform dose. *International Journal of Radiation Oncology* · *Biology* · *Physics*, 52(1), 224–235.