## GESE package vignette

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### 1 Introduction

This tutorial briefly introduces the functions provided by the GESE package, using the example data included in the package. The paper describing this method is Qiao, D, Lange C, Laird NH, Won, S, Hobbs B, Sharon, JL, Crapo, JD, Beaty, TH, Silverman EK, and Cho MH. Gene-based Segregation Method for Identifying Rare Variants in Family-based Sequencing Studies. We have also implemented a simple pipeline to compute the GESE p-values using this R library, which is described in detail at http://scholar.harvard.edu/dqiao/gese.

We can load the library using:

### library(GESE)

```
## Loading required package: kinship2
## Loading required package: Matrix
## Loading required package: quadprog
```

### 2 Example data

A randomly simulated example data is included in the package. This data includes 198 sequenced subjects in 50 families. Only 2 genes with 10 variants each are included for these subjects. With real sequencing data, the first step is to filter down to the rare and functionally important variants since we hypothesize that there are rare causal variants of large effects for Mendelian diseases, or Mendelian-subtypes of complex diseases. One way is to filter the study data and the reference data using MAF < 0.1% and CADD score<sup>1</sup> > 15 on

<sup>&</sup>lt;sup>1</sup>Kircher, M, Witten, DM, Jain, P, O'Roak, BJ, Cooper, GM, and Shendure, J. A general framework for estimating the relative pathogenicity of human genetic variants. Nature genetics 2014; 46(3):310–315.

LoF (and missense) variants. Other annotation tools such as SIFT and Polyphen2 could also be helpful in filtering down to the functional variants.

After the filtering step, we can load the variant data of the sequenced subjects. This data frame is in the PLINK raw format (with the default header generated by PLINK). We need to make sure that the genotype is the number of the minor alleles in the corresponding population.

```
data(dataRaw)
dim(dataRaw)
## [1] 198 16
dataRaw[1:10,]
                         MAT SEX PHENOTYPE X1 X2 X3 X4 X5 X6 X7 X8 X9 X10
##
      FID
             IID
                  PAT
                               2
## 1
      267 13456
                 534
                         533
                                                0
                                                    0
                                                       0
                                                              0
                                                                    0
                                                                            0
                                                          1
## 2
      267 13466 3067 13463
                               2
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                    0
                                                                       0
                                                                            0
## 3
      267 13468 3067 13463
                               2
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                    0
                                                                       0
                                                                            0
## 4
      267 13470
                  534
                         533
                               2
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                    0
                                                                       0
                                                                            0
                               2
## 5
      267 13475 3068 13470
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                            0
## 6
      145
          2578
                    0
                           0
                               1
                                          1
                                             0
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                    0
                                                                            0
      145 10036 2577 10033
                               2
## 7
                                          0
                                             0
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                       0
                                                                            0
## 8
      145 10045 2578 10040
                               2
                                             0
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                    0
                                                                       0
                                                                            0
      763 27340 5049 27337
                               2
                                                    0
                                                       0
                                                              0
                                                                            0
## 10 763 27343 5049 27337
                                             0
                                                0
                                                    0
                                                       0
                                                          0
                                                              0
                                                                 0
                                                                    0
                                                                            0
                                                                       0
length(unique(dataRaw$FID))
```

```
## [1] 50
```

The corresponding map file with variant information can be loaded next. The order of the variants needs to match the order in the dataRaw file above.

The complete pedigree information for the 50 families can be loaded next:

```
data(pednew)
dim(pednew)
```

```
## [1] 1700 5
```

### pednew[1:5,]

```
##
     FID IID faID moID sex
## 1
      16
           31
                 NA
                       NA
## 2
           32
                 NA
                             1
      16
                       NA
       33
           65
                 NA
                       NA
## 4
       33
           66
                 NA
                       NA
                             1
## 5
       34
           67
                 NA
                       NA
                             2
```

The complete variant information for the corresponding population can be loaded next. This reference database is used to compute the background variation in the corresponding population.

```
data(database)
dim(database)

## [1] 10 3

database[1:5,]

## SNP GENE MAF
## 1 1:1 GENE 1 1 2.386785e-05
```

```
## 1 1:1 GENE_1_1 2.386785e-05
## 2 1:2 GENE_1_1 7.634928e-05
## 3 1:3 GENE_1_1 7.546418e-05
## 4 1:4 GENE_1_1 7.826542e-05
## 5 1:5 GENE_1_1 4.406916e-05
```

### 3 Functions

The main function for obtaining gene-based segregation information and (unweighted and weighted) segregation tests is **GESE**. Other functions that may be helpful in analyzing family-based sequencing data will be discussed too.

# 3.1 Compute variant-based and gene-based segregation information for different mode of inheritance.

One major function in this package for computing segregation information for different mode of inheritance is getSegInfo. This function returns the variant-based and gene-based segregation information for each family and the total number of segregating families for three different mode of inheritance: dominant, recessive, and compound heterozygous.

For example, segregation in the family with dominant mode of inheritance means the variant is present in all the cases in the family, and absent in all the controls in the family. Therefore variants that are segregating in multiple families are more likely to be causal.

Since it is likely that different rare variants are influencing the disease susceptibility in different families, collapsing the variants into genes may give us more power to detect the causal genes. Therefore we also need to compute the total number of families that are segregating in each gene.

For recessive mode of inheritance, segregation means two copies of the alternative alleles are present in all the cases in the family, and less than two copies in all the controls of the family (varSeg). This information can also be collapsed for each gene (geneSeg).

For compound heterozygous (CH) mode of inheritance, segregation at two variants means the alternative alleles are present at both loci for all the cases in the family, and absent in at least one locus in all the controls in the family (varSeg). This information can be collapsed for each gene, where only pairs of variants in the same gene are considered (geneSeg). This can also be collapsed for each pair of genes, where pairs of variants from each of the two genes are considered (genePairSeg).

The data frame varSeg is a matrix containing logical value (TRUE or FALSE) for each variant (each row) and each family (each column). The first column is the variant ID. The last column numSegFam is the total number of families the variant is segregating in. The data frame geneSeg is also a matrix containing logical values, for each gene and each family. TRUE value means that at least one variant in this gene is segregating in the family.

We demonstrate the use of this function below.

To compute segregation information for domiant mode of inheritance:

```
results <- getSegInfo(pednew, dataRaw, mapInfo, mode="dominant")
results</pre>
```

```
##
  $geneSeg
##
        GENE
               267
                     145
                           763
                                686
                                      828
                                            612
                                                 93
                                                       34
                                                            606
                                                                  252
##
  1 GENE_1_1 FALSE FALSE FALSE FALSE FALSE
                                               TRUE FALSE FALSE FALSE
##
       96
            960
                  414
                            228
                                  986
                                        554
                                              660
                                                     16
                                                         849
                                                               101
                                                                     150
                       566
##
  1 FALSE FALSE FALSE TRUE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
      336
            889
                  398
                                         210
                                               664
                                                     684
                                                          393
##
                        230
                             711
                                   401
                                                                867
                                                                      332
  1 FALSE FALSE FALSE
                           FALSE FALSE FALSE
                                            FALSE
                                                  FALSE FALSE FALSE
       99
            658
                  137
                        571
                              87
                                   477
                                         570
                                               412
                                                     557
                                                          266
                                                                845
                                                                      534
##
  1 FALSE FALSE
##
                  216
##
      746
            236
                         33
                           numSegFam
  1 FALSE FALSE FALSE
##
                                   2
##
## $varSeg
##
                    267
                          145
                                     686
                                           828
                                                       93
                                                             34
                                                                  606
         GENE SNP
                               763
                                                 612
                2 FALSE FALSE FALSE FALSE FALSE
                                                     TRUE FALSE FALSE
##
  2
     GENE_1_1
                8 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
  8
     GENE_1_1
##
  1
     GENE 1 1
                1 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
                3 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 3
     GENE_1_1
                4 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 4
     GENE_1_1
## 5
     GENE_1_1
                5 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE_1_1
                6 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 6
##
  7
     GENE 1 1
                7 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
     GENE 1 1
                9 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
  9
##
  10
     GENE 1 1
               10 FALSE
                       FALSE FALSE FALSE FALSE FALSE FALSE
##
       252
              96
                   960
                         414
                              566
                                    228
                                          986
                                                554
                                                      660
                                                            16
                                                                 849
                                                                       101
     FALSE FALSE
##
## 8
     FALSE FALSE FALSE
                             TRUE FALSE FALSE FALSE FALSE FALSE FALSE
## 1
     FALSE FALSE
## 3
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 5
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
  6
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
##
       150
             336
                   889
                         398
                              230
                                    711
                                          401
                                                210
                                                      664
                                                           684
                                                                 393
                                                                       867
```

```
## 2 FALSE F
## 8 FALSE FALSE
## 1 FALSE FALSE
## 3 FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
         FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
         FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
           FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
##
               332
                             99
                                       658
                                                  137
                                                              571
                                                                            87
                                                                                      477
                                                                                                 570
                                                                                                             412
                                                                                                                         557
                                                                                                                                     266
## 2
          FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
         FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 3 FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
         FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
          FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
                           746
                                       236
                                                   216
                                                                33 numSegFam
## 2 FALSE FALSE FALSE FALSE
          FALSE FALSE FALSE FALSE
## 1 FALSE FALSE FALSE FALSE
## 3 FALSE FALSE FALSE FALSE
## 4 FALSE FALSE FALSE FALSE
          FALSE FALSE FALSE FALSE
## 6 FALSE FALSE FALSE FALSE
## 7 FALSE FALSE FALSE FALSE
## 9 FALSE FALSE FALSE FALSE
## 10 FALSE FALSE FALSE FALSE
```

To compute segregation information for recessive mode of inheritance:

```
results <- getSegInfo(pednew, dataRaw, mapInfo)
results</pre>
```

```
## $geneSeg
        GENE
                     145
                           763
                                 686
                                       828
                                             612
                                                    93
                                                          34
                                                               606
                                                                     252
               267
## 1 GENE_1_1 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
       96
            960
                  414
                        566
                              228
                                    986
                                          554
                                                660
                                                       16
                                                            849
                                                                  101
## 1 FALSE FALSE
##
      336
            889
                  398
                        230
                              711
                                    401
                                          210
                                                664
                                                      684
                                                            393
                                                                  867
                                                                        332
## 1 FALSE FALSE
                  137
                        571
                               87
                                    477
                                          570
       99
            658
                                                412
                                                      557
                                                            266
## 1 FALSE FALSE
##
      746
            236
                  216
                         33 numSegFam
## 1 FALSE FALSE FALSE FALSE
##
## $varSeg
##
         GENE SNP
                    267
                          145
                                763
                                      686
                                            828
                                                  612
                                                         93
                                                               34
                                                                    606
                1 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 1 GENE 1 1
## 2 GENE_1_1
                2 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
```

```
3 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE 1 1
## 4
               4 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE_1_1
               5 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE 1 1
               6 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 6
     GENE_1_1
## 7
     GENE_1_1
               7 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 8
     GENE 1 1
               8 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE_1_1
               9 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 GENE 1 1 10 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
       252
             96
                  960
                        414
                             566
                                   228
                                        986
                                              554
                                                   660
                                                          16
                                                              849
                                                                    101
     FALSE FALSE
## 1
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
##
       150
            336
                  889
                        398
                             230
                                  711
                                        401
                                              210
                                                   664
                                                         684
                                                              393
## 1 FALSE FALSE
    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
##
       332
             99
                  658
                        137
                             571
                                   87
                                        477
                                              570
                                                   412
                                                         557
                                                              266
## 1
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
##
       534
            746
                  236
                        216
                              33 numSegFam
## 1
     FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE
                                        0
     FALSE FALSE FALSE FALSE
## 4
     FALSE FALSE FALSE FALSE
## 5
     FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE
                                        0
     FALSE FALSE FALSE FALSE
                                        0
     FALSE FALSE FALSE FALSE
                                        0
## 10 FALSE FALSE FALSE FALSE
```

To compute segregation information for compound heterozygous mode of inheritance:

```
results <- getSegInfo(pednew, dataRaw, mapInfo, mode="CH")
results
## $geneSeg
   [1] NA
##
##
## $genePairSeg
## [1] NA
##
## $varSeg
                               GENE.y
##
    [1] ID
                   GENE.x
                                          267
                                                     145
                                                                763
                                                                           686
##
    [8] 828
                    612
                               93
                                          34
                                                     606
                                                                252
                                                                           96
## [15] 960
                    414
                               566
                                          228
                                                     986
                                                                           660
                                                                554
## [22] 16
                    849
                               101
                                          150
                                                     336
                                                                889
                                                                           398
  [29] 230
                    711
                               401
                                          210
                                                     664
                                                                684
                                                                           393
##
##
   [36] 867
                    332
                               99
                                          658
                                                     137
                                                                571
                                                                           87
  [43] 477
                    570
                                                     266
                                                                           534
##
                               412
                                          557
                                                                845
                    236
                               216
## [50] 746
                                          33
                                                     numSegFam
```

## <0 rows>

(or 0-length row.names)

The geneSeg or genePairSeg return NA values, because there is no pair of variant in any gene, or gene pair that is segregating in any family, with compound heterozygous mode of inheritance.

Alternatively, we can compute segregation with dominant mode of inheritance without computing any probabilities using the GESE function and specify onlySeg to be TRUE:

```
$segregation
                                             612
##
        GENE
                           763
                                 686
                                       828
                                                  93
                                                        34
                                                             606
                                                                   252
               267
                     145
##
  1 GENE_1_1 FALSE FALSE FALSE FALSE FALSE FALSE TRUE FALSE FALSE FALSE
                             228
##
       96
            960
                  414
                       566
                                   986
                                         554
                                               660
                                                     16
                                                          849
                                                                101
                                                                      150
  1 FALSE FALSE FALSE TRUE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
      336
            889
                  398
                        230
                              711
                                    401
                                          210
                                                664
                                                     684
                                                           393
                                                                 867
                                                                       332
  1 FALSE FALSE
                               87
##
       99
            658
                  137
                        571
                                    477
                                          570
                                                412
                                                     557
                                                           266
                                                                 845
                                                                       534
  1 FALSE FALSE
##
##
      746
            236
                  216
                         33 numSegFam
## 1 FALSE FALSE FALSE FALSE
##
## $varSeg
##
         GENE SNP
                    267
                          145
                                763
                                      686
                                            828
                                                  612
                                                        93
                                                              34
                                                                   606
## 2
     GENE 1 1
                2 FALSE FALSE FALSE FALSE FALSE
                                                      TRUE FALSE FALSE
## 8
     GENE_1_1
                8 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 1
     GENE_1_1
                1 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 3
                3 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE 1 1
## 4
     GENE_1_1
                4 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 5
     GENE_1_1
                5 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 6
                6 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE_1_1
## 7
     GENE_1_1
                7 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 9
                9 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     GENE_1_1
```

```
10 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
       252
             96
                  960
                        414
                             566
                                   228
                                        986
                                              554
                                                    660
                                                               849
                                                          16
                                                                    101
## 2
     FALSE FALSE
     FALSE FALSE FALSE
                            TRUE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
  3
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 5
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
  10 FALSE FALSE
##
##
       150
             336
                  889
                        398
                             230
                                   711
                                        401
                                              210
                                                    664
                                                         684
                                                               393
                                                                    867
     FALSE FALSE
##
  2
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
##
  3
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 10 FALSE FALSE
                                    87
                                                    412
##
       332
              99
                  658
                        137
                             571
                                        477
                                              570
                                                         557
                                                               266
                                                                    845
## 2
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 6
     FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
  10 FALSE FALSE
##
##
       534
             746
                  236
                        216
                              33
                                 numSegFam
## 2
     FALSE FALSE FALSE FALSE
                                        1
     FALSE FALSE FALSE FALSE
                                        1
     FALSE FALSE FALSE FALSE
                                        0
     FALSE FALSE FALSE FALSE
                                        0
     FALSE FALSE FALSE FALSE
                                        0
##
     FALSE FALSE FALSE FALSE
                                        0
     FALSE FALSE FALSE FALSE
                                        0
  6
     FALSE FALSE FALSE FALSE
                                        0
                                        0
     FALSE FALSE FALSE FALSE
## 10 FALSE FALSE FALSE FALSE
```

Similarly, the segregation value returned is a data matrix, where a logical value (TRUE or FALSE) is returned for each gene(each row) and each family (column names). The last column numSegFam sums each row, which gives the total number of pedigrees each gene segregates in. The families were first trimmed to satisfy the assumption of GESE, so that only one founder case is present for each pedigree.

The varSeg value returned is a similar data matrix, but returns a logical value for each variant (each row) and each family (column names).

### 3.2 Compute gene-based segregation test.

The gene-based segregation information may be helpful, however, it does not take into account the different family structure among the families, nor the different genetic background of different genes. The gene-based segregation test combines this information by approximating the marginal probability of segregation events among the families.

To compute the p-value for this test, there are a few steps in the process.

• First, as we mentioned, we need to make sure that only variants that are rare and functionally important are included in the data, to satisfy the assumptions of the method. For example, we used MAF < 0.1%, CADD score > 15, LoF and missense variants as filtering criterion for the Boston Early-Onset COPD data, described in the paper. Since we are looking for extremely rare variant of large effect for the disease, such filtering is reasonable.

To ensure that only one founder case is present for each family, we will trim the pedigrees to keep only the founder case that is related to most other non-founder cases if necessary.

- Second, we need to compute the conditional probabilities that a variant segregates in the family conditional on that variant in present in one of the founders.
- Third, we need to compute the marginal probability that at least one variant in the gene segregates in the family.
- Fourth, we need to compute the final p-value using the marginal segregation probabilities computed
  above.

These steps can be done using the GESE function in one call:

```
results2 <- GESE(pednew, database, 1000000, dataRaw, mapInfo, threshold=1e-5)
results2</pre>
```

```
## $results
##
                  obs_prob pvalue numSim N_seg
## 1 GENE_1_1 2.561449e-09
                                    1e+05
##
## $condSegProb
      fam
               condP
      267 0.15625000
## 1
## 2
     145 0.50000000
## 3
     763 0.37500000
## 4
     686 0.21875000
      828 0.04687500
## 5
## 6
      612 0.06250000
## 7
       93 0.09375000
## 8
       34 0.18750000
      606 0.28125000
## 10 252 0.50000000
       96 0.31250000
## 12 960 0.18750000
## 13 414 0.25000000
## 14 566 0.04687500
## 15 228 0.43750000
## 16 986 0.06250000
```

```
## 17 554 0.09375000
## 18 660 0.09375000
## 19 16 0.09375000
## 20 849 0.43750000
## 21 101 0.11718750
## 22 150 0.50000000
## 23 336 0.50000000
## 24 889 0.12500000
## 25 398 0.09375000
## 26 230 0.21875000
## 27 711 0.37500000
## 28 401 0.04687500
## 29 210 0.06250000
## 30 664 0.25000000
## 31 684 0.03515625
## 32 393 0.06250000
## 33 867 0.18750000
## 34 332 0.25000000
## 35 99 0.12500000
## 36 658 0.03125000
## 37 137 0.12500000
## 38 571 0.04687500
## 39 87 0.12500000
## 40 477 0.25000000
## 41 570 0.43750000
## 42 412 0.06250000
## 43 557 0.09375000
## 44 266 0.37500000
## 45 845 0.43750000
## 46 534 0.06250000
## 47 746 0.18750000
## 48 236 0.02343750
## 49 216 0.25000000
## 50 33 0.15625000
## $segProbGene
                      267
                              145
## 1 GENE_1_1 0.0001197412 0.0003831293 0.0002873586 0.0001676343
              828
                           612
                                        93
                                                    34
## 1 3.592365e-05 4.789795e-05 7.18462e-05 0.000143688 0.0002155255
              252
                           96
                                       960
                                                    414
## 1 0.0003831293 0.0002394703 0.000143688 0.0001915802 3.592365e-05
              228
                           986
                                       554
                                                   660
                                                                16
## 1 0.0003352449 4.789795e-05 7.18462e-05 7.18462e-05 7.18462e-05
              849
                           101
                                        150
                                                     336
## 1 0.0003352449 8.980707e-05 0.0003831293 0.0003831293 9.579396e-05
             398
                          230
                                       711
                                                    401
                                                                 210
## 1 7.18462e-05 0.0001676343 0.0002873586 3.592365e-05 4.789795e-05
              664
                           684
                                        393
                                                    867
                                                                 332
## 1 0.0001915802 2.694284e-05 4.789795e-05 0.000143688 0.0001915802
               99
                           658
                                        137
                                                     571
## 1 9.579396e-05 2.394922e-05 9.579396e-05 3.592365e-05 9.579396e-05
              477
                           570
                                        412
                                                    557
## 1 0.0001915802 0.0003352449 4.789795e-05 7.18462e-05 0.0002873586
```

```
845
                         534
                                    746
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## 1 0.0003352449 4.789795e-05 0.000143688 1.796196e-05 0.0001915802
             33
## 1 0.0001197412
##
## $segregation
              267
                   145
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## 1 GENE 1 1 FALSE FALSE FALSE FALSE FALSE FALSE TRUE FALSE FALSE FALSE
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## 1 FALSE FALSE FALSE TRUE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
           889
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## 1 FALSE FALSE
       99
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                                                              845
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## 1 FALSE FALSE
      746
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                        33 numSegFam
## 1 FALSE FALSE FALSE
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## $varSeg
##
                   267
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         GENE SNP
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## 2
     GENE 1 1
               2 FALSE FALSE FALSE FALSE FALSE TRUE FALSE FALSE
## 8 GENE_1_1
               8 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 1 GENE 1 1
               1 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
               3 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 3 GENE_1_1
## 4 GENE 1 1
               4 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 5 GENE_1_1
               5 FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 6 GENE 1 1 6 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 7 GENE_1_1
              7 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
              9 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
## 9 GENE_1_1
## 10 GENE_1_1 10 FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
       252
             96
                  960
                        414
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                                                    660
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## 2
     FALSE FALSE
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## 2 FALSE FALSE
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## 10 FALSE FALSE
       332
             99
                  658
                        137
                             571
                                    87
                                        477
                                              570
                                                   412
                                                         557
## 2 FALSE FALSE
## 8 FALSE FALSE
```

```
FALSE FALSE
     FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
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    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
    FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE FALSE
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##
       534
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                              33 numSegFam
    FALSE FALSE FALSE FALSE
## 2
                                        1
## 8 FALSE FALSE FALSE FALSE
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    FALSE FALSE FALSE FALSE
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## 5 FALSE FALSE FALSE FALSE
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## 6
    FALSE FALSE FALSE FALSE
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## 7 FALSE FALSE FALSE FALSE
                                        0
                                        0
## 9 FALSE FALSE FALSE FALSE
## 10 FALSE FALSE FALSE FALSE
```

This call computes the GESE p-value using resampling with 1e5 times of simulations (so the smallest p-value is 1e-5). There are several other matrices returned by this call, such as the conditional segregation probability (condSegProb) - the conditional probability of segregation event in the family conditioning on that one of the (most recent common) founders introduced the variant to the family; the gene-based segregation probability (segProbGene) - the marginal probability that any variant in the gene is segregating in the family; and the variant-based and gene-based segregation matrices (varSeg and segregation).

The most useful results containing the GESE p-value here is:

```
results2$results
```

```
## GENE obs_prob pvalue numSim N_seg
## 1 GENE 1 1 2.561449e-09 0 1e+05 2
```

### 3.3 Compute the weighted gene-based segregation test.

We can also incorporate additional information, such as disease severity of the cases in the families, in the weighting of the families. We can also compute the p-value for such weighted test, using resampling.

Suppose we have a disease severity measure of the cases in the families, and we are using such weighting of families for all the genes.

## GENE obs\_prob pvalue obs\_weight\_stat pvalue\_weighted numSim

```
## 1 GENE_1_1 2.561449e-09 0 0.6998679 0.00013 1e+05
## N_seg
## 1 2
```

### 3.4. Other useful methods

There are a few public methods that were used in the GESE test and may also be useful in other contexts.

### 3.4.1. Trimming the pedigree file

This method trim\_oneLineage accepts the complete pedigree information and selects only one lineage per pedigree. The lineage is selected such that the maximum possible number of sequenced subects (cases) are included in the selected lineage. Other family-based methods, such as PVAAST, also requires one lineage in each pedigree only.

The method trim\_unrelated deals with families with multiple founder cases, which violates our assumption that only one founder introduced the causal variant. It removes the minimal number of founder cases so that the pedigree does not violate the assumption of the method.

### 3.4.2. Compute the conditional segregating probability

The method condSegProbF computes the probability that the variant is segregating in the family given that it is introducted by the most recent common ancestors of the cases in the family.