CRAN GMD: User's Guide (0.3.3)

Generic histogram construction, generic distance measure, cluster analysis with evaluation and visualization

Xiaobei Zhao *1 and Albin Sandelin $^{\dagger 1}$

¹Bioinformatics Centre, University of Copenhagen

Modified: 2014-08-26 Compiled: 2014-8-27

You may find the latest version of GMD and this documentation at, http://CRAN.R-project.org/package=GMD

Keywords: histogram, distance, metric, non-parametric, cluster analysis, hierarchical clustering, sum-of-squares, heatmap.3

Abstract

The purpose of this *GMD* vignette is to show how to get started with the R package *GMD*. *GMD* denotes **Generalized Minimum Distance between distributions**, which is a true metric that measures the distance between the shapes of any two discrete numerical distributions (*e.g.* histograms).

The vignette includes a brief introduction, an example to illustrate the concepts and the implementation of GMD and case studies that were carried out using classical data sets $(e.g.\ iris)$ and high-throughput sequencing data $(e.g.\ ChIP-seq)$ from biology experiments. The appendix on page 14 contains an overview of package functionality, and examples using primary functions in histogram construction (the ghist function), how to measure distance between distributions (the gdist function), cluster analysis with evaluation (the "elbow" method in the css function) and visualization (the heatmap.3 function).

Contents

1 Introduction 2

2 Minimal Example: "Hello, GMD!"

3

^{*}Lineberger Comprehensive Cancer Center, University of North Carolina at Chapel Hill, 450 West Dr, Chapel Hill, NC 27599, USA. xiaobei@binf.ku.dk

[†]Bioinformatics Centre, University of Copenhagen, Department of Biology and Biotech Research and Innovation Centre, Ole Maaløes Vej 5, DK-2200, Copenhagen, Denmark. albin@binf.ku.dk

| 3 | $\mathbf{A}\mathbf{n}$ | example to understand GMD | 4 |
|--------------|------------------------|--|----|
| | 3.1 | Histogram: construction and visualization | 4 |
| | | 3.1.1 Load library and simulate data | 4 |
| | | 3.1.2 Construct histograms | 4 |
| | | 3.1.3 Save histograms as multiple-histogram ('mhist') object | 4 |
| | | 3.1.4 Visualize an 'mhist' object | 5 |
| | 3.2 | Histogram: distance measure and alignment | 7 |
| | | 3.2.1 Measure the pairwise distance between two histograms by GMD | 7 |
| | | 3.2.2 Show alignment | 8 |
| 4 | Cas | se study | 9 |
| | 4.1 | CAGE: measuring the dissimilarities among TSSDs | 9 |
| | 4.2 | ChIP-seq: measuring the similarities among histone modification patterns | 12 |
| \mathbf{A} | Fun | nctionality | 14 |
| | A.1 | An overview | 14 |
| | A.2 | ghist: Generic construction and visualization of histograms | 15 |
| | | A.2.1 Examples using simulated data | 15 |
| | | A.2.2 Examples using iris data | 17 |
| | | A.2.3 Examples using nottem data | 19 |
| | A.3 | gdist: Generic construction and visualization of distances | 21 |
| | A.4 | css: Clustering Sum-of-Squares and the "elbow" plot | 23 |
| | A.5 | heatmap.3: Visualization in cluster analysis, with evaluation | 25 |
| | | A.5.1 Examples using mtcars data | 25 |
| | | A.5.2 Examples using ruspini data | 28 |
| В | Dat | ta | 30 |
| | B.1 | GMD dataset overview | 30 |
| | B.2 | CAGE data: cage and cagel | 30 |
| | B.3 | ChIP-seq data: chipseq_mES and chipseq_hCD4T | 32 |

1 Introduction

Similar to the Earth Mover's distance, Generalized Minimum distance of Distributions (GMD) (based on MDPA - Minimum Difference of Pair Assignment [3]) is a true metric of the similarity between the shapes of two histograms¹. Considering two normalized histograms A and B, GMD measures their similarity by counting the necessary "shifts" of elements between the bins that have to be performed to transform distribution A into distribution B.

¹In statistics (and many other fields), histogram refers to a graphical representation of category frequencies in the data. Here, we use this term in a more mathematical sense, defined as a function that counts categorical data or a result returned by such a function.

The GMD package provides classes and methods for computing GMD in R [5]. The algorithm has been implemented in C to interface with R for efficient computation. The package also includes downstream cluster analysis in function css (A.4 on page 23) that use a pairwise distance matrix to make partitions given variant criteria, including the "elbow" rule as discussed in [7] or desired number of clusters. In addition, the function heatmap.3 (A.5 on page 25) integrates the visualization of the hierarchical clustering in dendrogram, the distance measure in heatmap and graphical representations of summary statistics of the resulting clusters or the overall partition. For more flexibility, the function heatmap.3 can also accept plug-in functions defined by end-users for custom summary statistics.

The motivation to write this package was born with the project [7] on characterizing Transcription Start Site (TSS) landscapes using high-throughput sequencing data, where a non-parametric distance measure was developed to assess the similarity among distributions of high-throughput sequencing reads from biological experiments. However, it is possible to use the method for any empirical distributions of categorical data.

The package is available on CRAN. The source code is available at http://CRAN.R-project.org/package=GMD under GPL license.

2 Minimal Example: "Hello, GMD!"

```
hello-GMD.R
          Check GMD's sanity and start up
1
2
      ##' @name hello-GMD
3
4
      ## GMD at CRAN, for source code download and installation
5
      ## http://cran.r-project.org/web/packages/GMD/index.html
6
      ## load GMD
      library(GMD)
8
9
      ## version of GMD and description
10
      packageVersion("GMD")
11
      packageDescription("GMD")
12
13
      ## view GMD vignette
14
      vignette("GMD-vignette",package="GMD")
15
16
17
      ## list the available data sets in GMD
      data(package="GMD")
18
19
      ## list all the objects in the GMD
20
21
      ls("package:GMD")
22
23
      ## help info on GMD
      help(package="GMD")
24
25
26
      ## run a demo
      demo("GMD-demo")
27
28
      ## cite GMD in publications
29
      citation(package="GMD")
30
```

hello-GMD.R (Source Code 1) is a minimal example to load and check of that your *GMD* installation works. It also includes code for viewing the package information and this "vignette", checking data sets provided by *GMD*, starting a demo and listing the citation of *GMD*.

3 An example to understand GMD

This example, based on simulated data, is designed to illustrate the concepts and the implementation of *GMD* by stepping through the computations in detail.

3.1 Histogram: construction and visualization

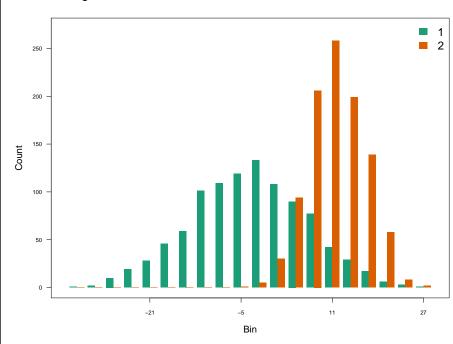
3.1.1 Load library and simulate data

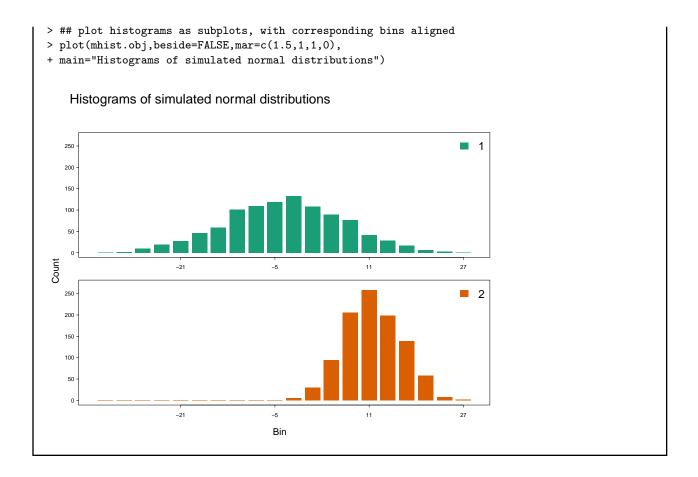
```
> require("GMD") # load library
> ## create two normally-distributed samples
> ## with unequal means and unequal variances
> set.seed(2012)
> x1 <- rnorm(1000,mean=-5, sd=10)
> x2 <- rnorm(1000,mean=10, sd=5)
3.1.2 Construct histograms
> ## create common bins
> n <- 20 # desired number of bins
> breaks <- gbreaks(c(x1,x2),n) # bin boundaries
> ## make two histograms
> v1 <- ghist(x1,breaks=breaks,digits=0)
> v2 <- ghist(x2,breaks=breaks,digits=0)</pre>
3.1.3 Save histograms as multiple-histogram ('mhist') object
> x <- list(v1,v2)
> mhist.obj <- as.mhist(x)</pre>
```

3.1.4 Visualize an 'mhist' object

- > ## plot histograms side-by-side
- > plot(mhist.obj,mar=c(1.5,1,1,0),main="Histograms of simulated normal distributions")

Histograms of simulated normal distributions





3.2 Histogram: distance measure and alignment

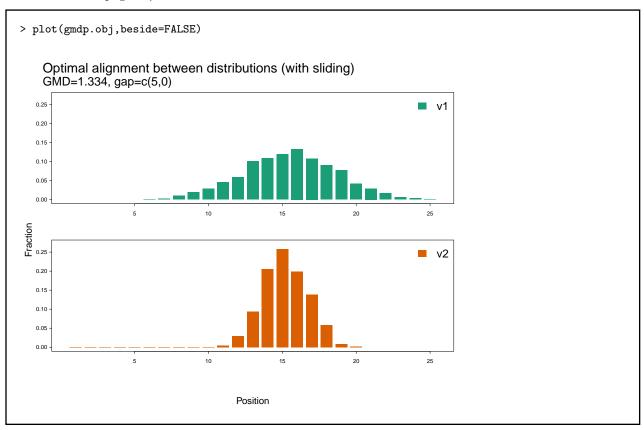
Here we measure the GMD distance between shapes of two histograms with option sliding on.

3.2.1 Measure the pairwise distance between two histograms by GMD

```
> gmdp.obj <- gmdp(v1,v2,sliding=TRUE)</pre>
> print(gmdp.obj)
                                        # print a brief version by default
[1] 1.334
> print(gmdp.obj,mode="detailed") # print a detailed version
GM-Distance: 1.334
Sliding: TRUE
Number of hits: 1
Gap:
        v1
                  v2
Hit1
            5
Resolution: 1
> print(gmdp.obj,mode="full")  # print a full version
Distribution of v1:
1 2 10 19 28 46 59 101 109 119 133 108 90 77 42 29 17 6 3 1
(After normalization)
0.001 0.002 0.01 0.019 0.028 0.046 0.059 0.101 0.109 0.119 0.133 0.108 0.09 0.077 0.042 0.029 0.01 0.006 0.003
Distribution of v2:
0 0 0 0 0 0 0 0 1 5 30 94 206 258 199 139 58 8 2
(After normalization)
0 0 0 0 0 0 0 0 0 0 0.001 0.005 0.03 0.094 0.206 0.258 0.199 0.139 0.058 0.008 0.002
GM-Distance: 1.334
Sliding: TRUE
Number of hits: 1
Gap:
        v1
                  v2
Hit1
           5
Resolution: 1
```

3.2.2 Show alignment

Now, let's have a look at the alignment by GMD, with a distance 1.334 and a "shift" of 5 in the 1^{st} distribution. It is important to note that the specific features (the values in this case) of the original bins in the histograms are ignored with *sliding* on. To keep original bin-to-bin correspondence, please set *sliding* to FALSE (see examples in section 4.2 on page 12).



4 Case study

4.1 CAGE: measuring the dissimilarities among TSSDs

Studies have demonstrated that the spatial distributions of read-based sequencing data from different platforms often indicate functional properties and expression profiles (reviewed in [6] and [8]). Analyzing the distributions of DNA reads is therefore often meaningful. To do this systematically, a measure of similarity between distributions is necessary. Such measures should ideally be true metrics, have few parameters as possible, be computationally efficient and also make biological sense to end-users. Case studies were made in section 4.1 and 4.2 to demonstrate the applications of GMD using distributions of CAGE and ChIP-seq reads.

In this section we demonstrate how GMD is applied to measure the dissimilarities among TSSDs, histograms of transcription start site (TSS) that are made of CAGE tags, with option *sliding* on. The spatial properties of TSSDs vary widely between promoters and have biological implications in both regulation and function. The raw data were produced by CAGE and downloaded from FANTOM3 ([2]) and CAGE sequence reads were preprocessed as did in [7]. The following codes case-cage.R (Source Code 2) are sufficient to perform both pairwise GMD calculation by function gmdp and to construct a GMD distance matrix by function gmdm. A handful of options are available for control and flexibility, particularly, the option sliding is enabled by default to allow partial alignment.

```
case-cage.R .
      require("GMD") # load library
1
      data(cage)
                      # load data
2
3
      ## measure pairwise distance
4
5
      x <- gmdp(cage[["Pfkfb3 (T02R00AEC2D8)"]],cage[["Csf1 (T03R0672174D)"]])</pre>
      print(x)
                                      # print a brief version by default
6
      print(x, mode="full") # print a full version by default
9
      ## show alignment
      plot(x,labels=c("Pfkfb3","Csf1"),beside=FALSE)
10
11
12
      ## show another alignment
      plot(gmdp(cage[["Hig1 (T09R0743763C)"]],cage[["Cd72 (T04R028B8BC9)"]]),
13
            labels=c("Hig1 (T09R0743763C)", "Cd72 (T04R028B8BC9)"),
14
            beside=FALSE)
15
16
      ## construct a distance matrix and visualize it
17
      short.labels <- gsub("(.+) \setminus (.+","\setminus 1",names(cage)) \ \# \ get \ short \ labels
18
      x <- gmdm(cage[1:6],labels=short.labels[1:6])</pre>
19
      plot(x)
```

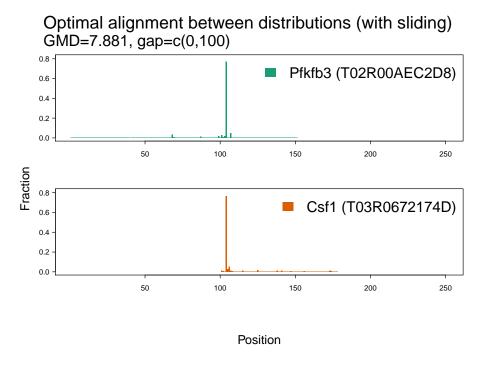


Figure 1: Graphical output of "case-cage.R".

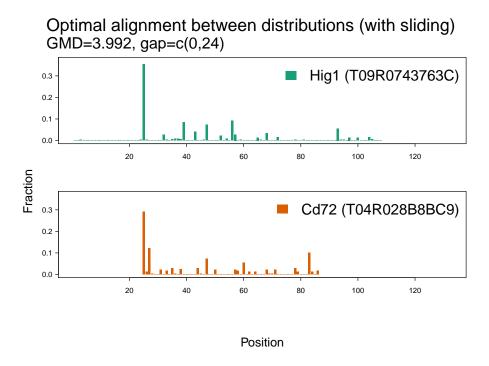


Figure 2: Graphical output of "case-cage.R".

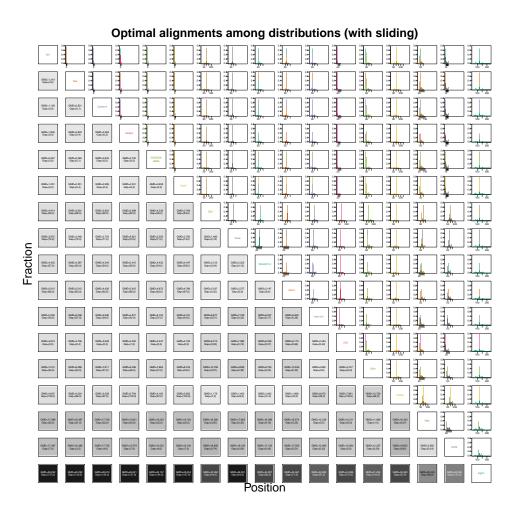


Figure 3: Graphical output of "case-cage.R".

4.2 ChIP-seq: measuring the similarities among histone modification patterns

In this section we demonstrate how GMD is applied to measure the dissimilarities between histone modifications represented by ChIP-seq reads. Distinctive patterns of chromatin modifications around the TSS are associated with transcription regulation and expression variation of genes. Comparing the chromatin modification profiles (originally produced by [1] and [4], and preprocessed by [7]), the sliding option is disabled for fixed alignments at the TSSs and the flanking regions. The GMD measure indicates how well profiles are co-related to each other. In addition, the downstream cluster analysis is visualized with function heatmap.3 that use GMD distance matrix to generate clustering dendrograms and make partitions given variant criteria, including the ''elbow' rule (discussed in [7]) or desired number of clusters.

```
require("GMD")
                           # load library
1
2
      data(chipseq_mES)
                           # load data
      data(chipseq_hCD4T) # load data
3
4
      ## pairwise distance and alignment based on GMD metric
      plot(gmdm(chipseq_mES,sliding=FALSE))
6
      ## clustering on spatial distributions of histone modifications
8
9
      x <- gmdm(chipseq_hCD4T,sliding=FALSE,resolution=10)
10
      heatmap.3(x,revC=TRUE)
11
      ## Determine the number of clusters by "Elbow" criterion
12
      main <- "Heatmap of ChIP-seq data (human CD4+ T cells)"
13
      dist.obj <- gmdm2dist(x)</pre>
14
      css.multi.obj <- css.hclust(dist.obj,hclust(dist.obj))</pre>
15
      elbow.obj <- elbow.batch(css.multi.obj,ev.thres=0.90,inc.thres=0.05)</pre>
16
17
      heatmap.3(dist.obj, main=main, revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k)
18
      ## more strict threshold
19
      elbow.obj <- elbow.batch(css.multi.obj,ev.thres=0.75,inc.thres=0.1)</pre>
20
      heatmap.3(dist.obj, main=main, revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k)
21
22
      ## side plots
23
24
      normalizeVector \leftarrow function(v)\{v/sum(v)\} \# a function to normalize a vector
      dev.new(width=12,height=8)
25
26
27
      ## summary of row clusters
      expr1 <- list(quote(op <- par(mar = par("mar")*2)),</pre>
28
                     quote(plot(mhist.summary(as.mhist(i.x)),if.plot.new=FALSE)),
29
30
                     quote(par(op))
31
32
      ## summary of row clustering
33
34
      expr2 <- list(quote(tmp.clusters <- cutree(hclust(dist.row),k=kr)),
                     quote(tmp.css <- css(dist.row,tmp.clusters)),</pre>
35
                     quote(print(tmp.css)),
36
                     quote(tmp.wev <- tmp.css$wss/tmp.css$tss),</pre>
37
                     quote(names(tmp.wev) <- as.character(unique(tmp.clusters))),</pre>
38
39
                     quote(tmp.wev <- tmp.wev[order(unique(tmp.clusters))]),</pre>
                     quote(barplot(tmp.wev,main="Cluster Explained Variance", xlab="Cluster",
40
                                    ylab="EV",col="white",border="black",
41
                                    ylim=c(0,max(tmp.wev)*1.1),cex.main=1)))
42
      expr3 <- list(quote(op <- par(mar = par("mar")*2)),
43
                     quote(plot.elbow(css.multi.obj,elbow.obj,if.plot.new=FALSE)),
44
                     quote(par(op))
45
46
47
      heatmap.3(dist.obj, main=main, cex.main=1.25, revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k,
48
49
                 keysize=1,mapsize=4.5,row.data=lapply(chipseq_hCD4T,normalizeVector),
                 plot.row.clusters=TRUE,plot.row.clusters.list=list(expr1),
50
51
                 plot.row.clustering=TRUE,plot.row.clustering.list=list(expr2,expr3))
52
```

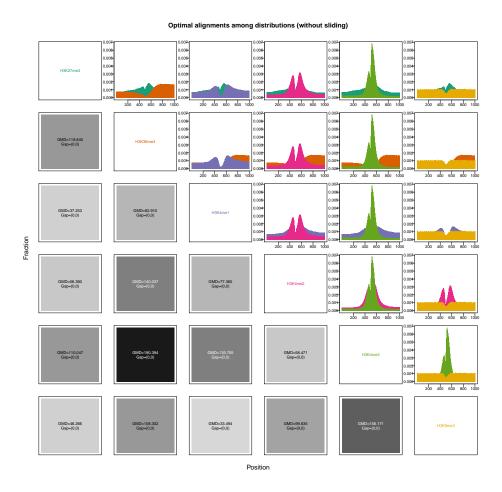


Figure 4: Graphical output of "case-chipseq.R".

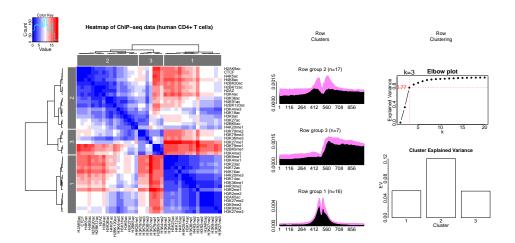


Figure 5: Graphical output of "case-chipseq.R".

A Functionality

A.1 An overview

 ${\bf Table~1.}$ Functions of the ${\tt GMD~R}$ package

| Function | Description |
|---------------------------|--|
| ghist gdist css | Generalized Histogram Computation and Visualization Generalized Distance Matrix Computation Computing Clustering Sum-of-Squares and evaluating the clustering by the "elbow" method |
| heatmap.3 gmdp gmdm | Enhanced Heatmap Representation with Dendrogram and Partition Computation of GMD on a pair of histograms Computation of GMD Matrix on a set of histograms |

A.2 ghist: Generic construction and visualization of histograms

A.2.1 Examples using simulated data

example-ghist.R (Source Code 4) is an example on how to construct a histogram object from raw data and make a visualization based on this.

```
- example-ghist.R -
      ## load library
      require("GMD")
2
3
      ## create two normally-distributed samples
4
      ## with unequal means and unequal variances
5
6
      set.seed(2012)
      v1 <- rnorm(1000,mean=-5, sd=10)
7
      v2 <- rnorm(1000,mean=10, sd=5)
8
9
      ## create common bins
10
      n <- 20 # desired number of bins
11
      breaks <- gbreaks(c(v1,v2),n) # bin boundaries</pre>
12
13
        list(ghist(v1,breaks=breaks,digits=0),
14
             ghist(v2,breaks=breaks,digits=0))
15
      mhist.obj <- as.mhist(x)</pre>
16
17
18
      ## plot histograms side-by-side
      plot(mhist.obj,mar=c(1.5,1,1,0),main="Histograms of simulated normal distributions")
19
20
21
      ## plot histograms as subplots,
      ## with corresponding bins aligned
22
23
      plot(mhist.obj,beside=FALSE,mar=c(1.5,1,1,0),
           main="Histograms of simulated normal distributions")
24
```

Histograms of simulated normal distributions

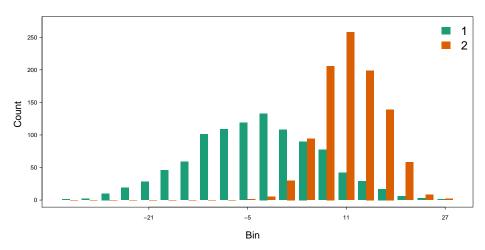


Figure 6: Graphical output of "example-ghist.R".

Histograms of simulated normal distributions

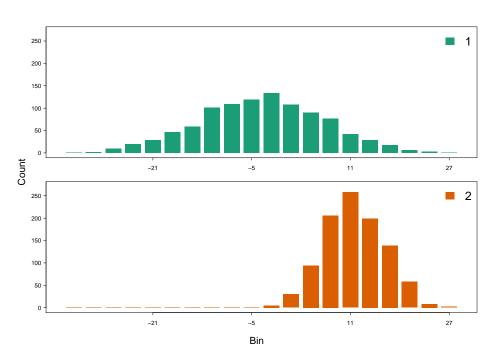


Figure 7: Graphical output of "example-ghist.R".

A.2.2 Examples using iris data

case-iris.R (Source Code 5) is a study on how to obtain and visualize histograms, using Fisher's *iris* data set.

```
_ case-iris.R _
      ## load library
1
      require("GMD")
2
3
4
      ## load data
      data(iris)
5
6
      ## create common bins
                                                  # the number of bins
8
      breaks <- gbreaks(iris[,"Sepal.Length"],n) # the boundary of bins</pre>
10
      ## create a list of histograms
11
      Sepal.Length <-
12
        list(setosa=ghist(iris[iris$Species=="setosa", "Sepal.Length"], breaks=breaks),
13
             versicolor=ghist(iris[iris$Species=="versicolor","Sepal.Length"],breaks=breaks),
             virginica=ghist(iris[iris$Species=="virginica","Sepal.Length"],breaks=breaks)
15
16
17
      ## convert to a `hist' object
18
      x <- as.mhist(Sepal.Length)
19
20
      ## get bin-wise summary statistics
21
      summary(x)
22
23
      ## visualize the histograms
24
      plot(x,beside=FALSE,
25
           main="Histogram of Sepal.Length of iris",xlab="Sepal.Length (cm)")
```

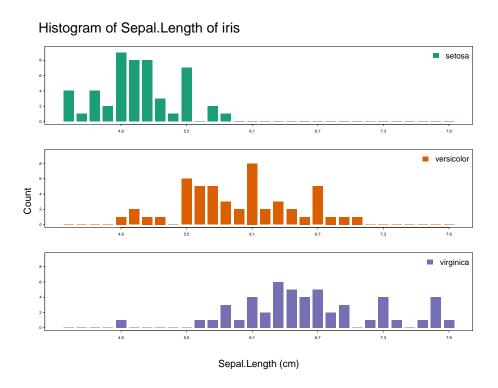


Figure 8: Graphical output of "case-iris.R".

A.2.3 Examples using nottem data

case-nottem.R (Source Code 6) is a study on how to draw histograms side-by-side and to compute and visualize a bin-wise summary plot, using air temperature data at Nottingham Castle.

```
_ case-nottem.R .
      ## load library
      require("GMD")
2
3
      ## load data
4
      data(nottem)
5
7
      class(nottem)
                          # a time-series (ts) object
      x <- ts2df(nottem) # convert ts to data.frame
8
9
      mhist1 <- as.mhist(x[1:3,])</pre>
10
      ## plot multiple discrete distributions side-by-side
11
      plot(mhist1,xlab="Month",ylab="Degree Fahrenheit",
12
13
           main="Air temperatures at Nottingham Castle")
14
      ## make summary statistics for each bin
15
16
      mhist2 <- as.mhist(x)</pre>
      ms <- mhist.summary(mhist2)</pre>
17
18
      print(ms)
19
      ## plot bin-wise summary statistics with
20
      ## confidence intervals over the bars
21
      plot(ms, main="Mean air temperatures at Nottingham Castle (1920-1939)",
22
           xlab="Month", ylab="Degree Fahrenheit")
```

Air temperatures at Nottingham Castle

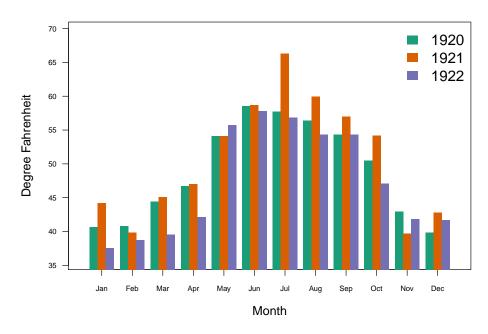


Figure 9: Graphical output of "case-nottem.R".

Mean air temperatures at Nottingham Castle (1920–1939)

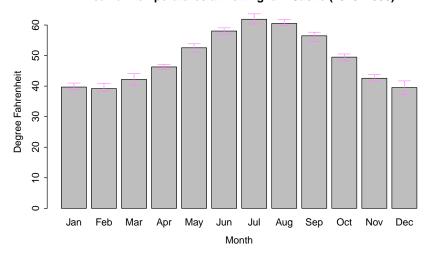


Figure 10: Graphical output of "case-nottem.R".

A.3 gdist: Generic construction and visualization of distances

example-gdist.R (Source Code 7) is an example on how to measure distances using a user-defined metric, such as *correlation distance* and *GMD*.

```
- example-gdist.R -
      ## load library
      require("GMD")
      require(cluster)
3
      ## compute distance using Euclidean metric (default)
5
6
      data(ruspini)
      x <- gdist(ruspini)
      ## see a dendrogram result by hierarchical clustering
      dev.new(width=12, height=6)
10
      plot(hclust(x),
11
           main="Cluster Dendrogram of Ruspini data",
12
           xlab="Observations")
13
      ## convert to a distance matrix
15
      m <- as.matrix(x)</pre>
16
17
      ## convert from a distance matrix
18
19
      d <- as.dist(m)</pre>
      stopifnot(d == x)
20
21
      ## Use correlations between variables "as distance"
22
      data(USJudgeRatings)
23
24
      dd <- gdist(x=USJudgeRatings,method="correlation.of.variables")</pre>
      dev.new(width=12, height=6)
25
26
      plot(hclust(dd),
           main="Cluster Dendrogram of USJudgeRatings data",
27
           xlab="Variables")
28
```

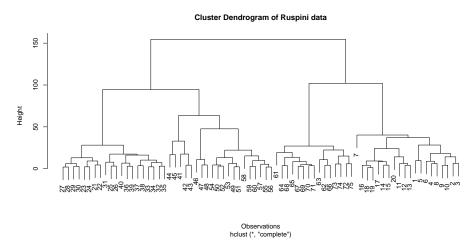


Figure 11: Graphical output of "example-gdist.R".

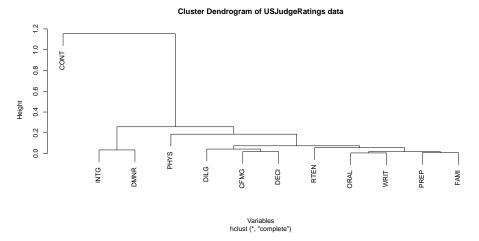


Figure 12: Graphical output of "example-gdist.R".

A.4 css: Clustering Sum-of-Squares and the "elbow" plot: determining the number of clusters in a data set

A good clustering yields clusters where the total within-cluster sum-of-squares (WSSs) is small (i.e. cluster cohesion, measuring how closely related are objects in a cluster) and the total between-cluster sum-of-squares (BSSs) is high (i.e. cluster separation, measuring how distinct or well-separated one cluster is from the other).

example-css.R (Source Code 8) is an example on how to make correct choice of k using "elbow criterion". A good k is selected according a) how much of the total variance in the whole data that the clusters can explain, and b) how large gain in explained variance we obtain by using these many clusters compared to one less or one more, the so-called "elbow" criterion.

The optimal choice of k will strike a balance between maximum compression of the data using a single cluster, and maximum accuracy by assigning each data point to its own cluster. More important, an ideal k should also be relevant in terms of what it reveals about the data, which typically cannot be measured by a metric but by a human expert. Here we present a way to measure such performance of a clustering model, using squared Euclidean distances. The evaluation is based on pairwise distance matrix and therefore more generic in a way that doesn't involve computating the "centers" of the clusters in the raw data, which are often not available or hard to obtain.

```
- example-css.R -
      ## load library
      require("GMD")
2
3
      ## simulate data around 12 points in Euclidean space
      pointv <- data.frame(x=c(1,2,2,4,4,5,5,6,7,8,9,9), y=c(1,2,8,2,4,4,5,9,9,8,1,9))
5
      set.seed(2012)
      mvdata <- c()
      for (i in 1:nrow(pointv)){
8
9
        mydata <- rbind(mydata,cbind(rnorm(10,pointv[i,1],0.1),rnorm(10,pointv[i,2],0.1)))</pre>
10
11
      mydata <- data.frame(mydata); colnames(mydata) <- c("x","y")</pre>
      plot(mydata,type="p",pch=21, main="Simulated data")
12
13
      ## determine a "good" k using elbow
14
      dist.obj <- dist(mydata[,1:2])</pre>
15
      hclust.obj <- hclust(dist.obj)</pre>
16
      css.obj <- css.hclust(dist.obj,hclust.obj)</pre>
17
      elbow.obj <- elbow.batch(css.obj)</pre>
18
19
      print(elbow.obj)
20
21
      ## make partition given the "good" k
      k <- elbow.obj$k; cutree.obj <- cutree(hclust.obj,k=k)</pre>
22
      mydata$cluster <- cutree.obj
23
24
      ## draw a elbow plot and label the data
25
26
      dev.new(width=12, height=6)
      par(mfcol=c(1,2),mar=c(4,5,3,3),omi=c(0.75,0,0,0))
27
      plot(mydata$x,mydata$y,pch=as.character(mydata$cluster),col=mydata$cluster,cex=0.75,
28
           main="Clusters of simulated data")
29
      plot.elbow(css.obj,elbow.obj,if.plot.new=FALSE)
30
31
32
      ## clustering with more relaxed thresholds (, resulting a smaller "good" k)
      elbow.obj2 <- elbow.batch(css.obj,ev.thres=0.90,inc.thres=0.05)</pre>
33
      mydata$cluster2 <- cutree(hclust.obj,k=elbow.obj2$k)</pre>
34
35
      dev.new(width=12, height=6)
36
      par(mfcol=c(1,2), mar=c(4,5,3,3), omi=c(0.75,0,0,0))
37
      plot(mydata$x,mydata$y,pch=as.character(mydata$cluster2),col=mydata$cluster2,cex=0.75,
38
           main="Clusters of simulated data")
39
      plot.elbow(css.obj,elbow.obj2,if.plot.new=FALSE)
```

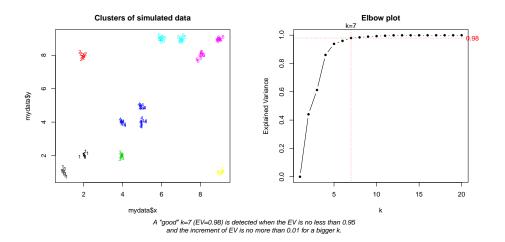


Figure 13: Graphical output of "example-css.R".

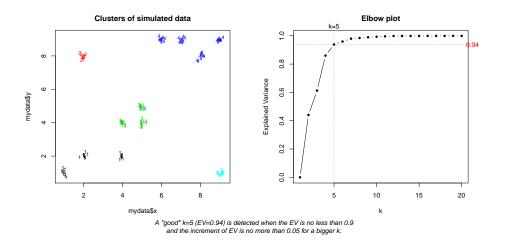


Figure 14: Graphical output of "example-css.R".

A.5 heatmap.3: Visualization in cluster analysis, with evaluation

A.5.1 Examples using mtcars data

example-heatmap3a.R (Source Code 9) is an example on how to make a heatmap with summary visualization of observations.

```
- example-heatmap3a.R -
      require("GMD")
                               # load library
      data(mtcars)
                               # load data
2
      x <- as.matrix(mtcars) # data as a matrix
3
4
      dev.new(width=10,height=8)
5
      heatmap.3(x)
                                                  # default, with reordering and dendrogram
      heatmap.3(x, Rowv=FALSE, Colv=FALSE)
                                                  # no reordering and no dendrogram
      heatmap.3(x, dendrogram="none")
                                                  # reordering without dendrogram
8
      heatmap.3(x, dendrogram="row")
                                                  # row dendrogram with row (and col) reordering
9
      heatmap.3(x, dendrogram="row", Colv=FALSE) # row dendrogram with only row reordering
10
      heatmap.3(x, dendrogram="col")
                                                  # col dendrogram
11
      heatmap.3(x, dendrogram="col", Rowv=FALSE) # col dendrogram with only col reordering
12
      heatmapOut <-
13
       heatmap.3(x, scale="column")
                                                  # sacled by column
14
      names(heatmapOut)
                                                  # view the list that is returned
15
      heatmap.3(x, scale="column", x.center=0) # colors centered around 0
16
      heatmap.3(x, scale="column",trace="column")# trun "trace" on
17
      ## coloring cars (row observations) by brand
19
      brands <- sapply(rownames(x), function(e) strsplit(e," ")[[1]][1])</pre>
20
21
      names(brands) <- c()
      brands.index <- as.numeric(as.factor(brands))</pre>
22
      RowIndividualColors <- rainbow(max(brands.index))[brands.index]</pre>
23
      heatmap.3(x, scale="column", RowIndividualColors=RowIndividualColors)
24
25
      ## coloring attributes (column features) randomly (just for a test :)
26
27
      heatmap.3(x, scale="column", ColIndividualColors=rainbow(ncol(x)))
28
      ## add a single plot for all row individuals
29
      dev.new(width=12,height=8)
30
      expr1 <- list(quote(plot(row.data[rowInd, "hp"], 1:nrow(row.data), xlab="hp", ylab="",
31
                                main="Gross horsepower",yaxt="n")),
32
                    quote(axis(2,1:nrow(row.data),rownames(row.data)[rowInd],las=2)))
33
      heatmap.3(x, scale="column", plot.row.individuals=TRUE, row.data=x,
34
35
                plot.row.individuals.list=list(expr1))
36
      ## add a single plot for all col individuals
37
      dev.new(width=12,height=8)
38
      expr2 <- list(quote(plot(colMeans(col.data)[colInd], xlab="", ylab="Mean",xaxt="n",
39
40
                                main="Mean features",cex=1,pch=19)),
                    quote(axis(1,1:ncol(col.data),colnames(col.data)[colInd],las=2)))
41
42
      heatmap.3(x, scale="column", plot.col.individuals=TRUE, col.data=x,
                plot.col.individuals.list=list(expr2))
43
44
      ## add another single plot for all col individuals
45
46
      dev.new(width=12,height=8)
      expr3 <- list(quote(op <- par(mar = par("mar")*1.5)),
47
                    quote(mytmp.data <- apply(col.data,2,function(e) e/sum(e))),</pre>
48
                     quote(boxplot(mytmp.data[,colInd], xlab="", ylab="Value",
49
                                main="Boxplot of normalized column features")),
50
                    quote(par(op)))
51
      heatmap.3(x, scale="column", plot.col.individuals=TRUE, col.data=x,
52
                plot.col.individuals.list=list(expr3))
53
```

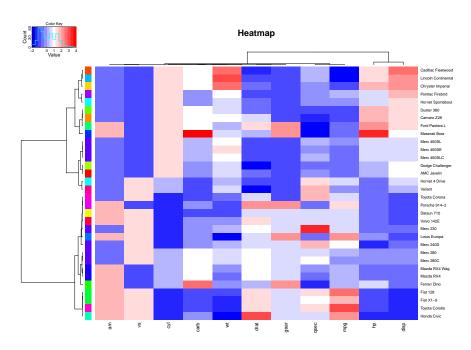


Figure 15: Graphical output of "example-heatmap3a.R".

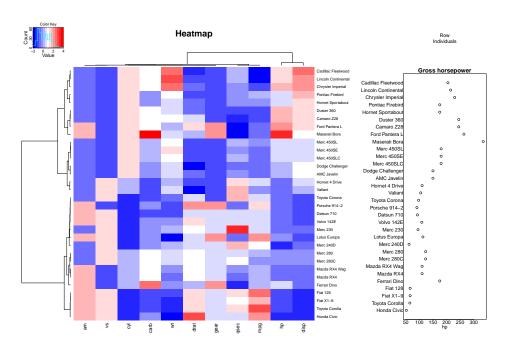


Figure 16: Graphical output of "example-heatmap3a.R".

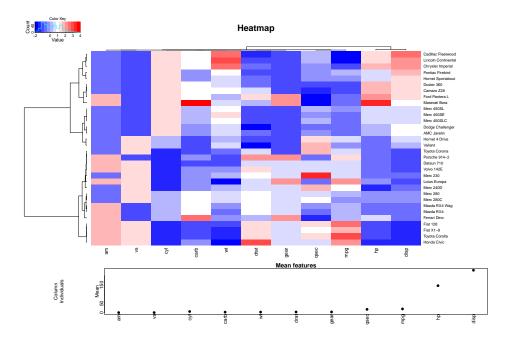


Figure 17: Graphical output of "example-heatmap3a.R".

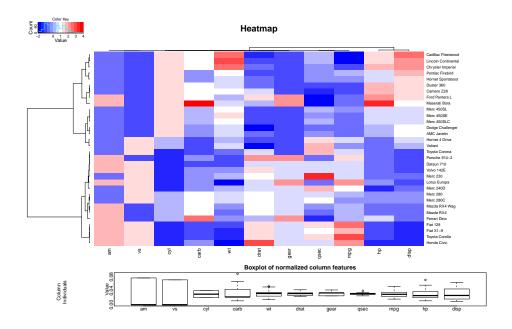


Figure 18: Graphical output of "example-heatmap3a.R".

A.5.2 Examples using ruspini data

example-heatmap3b.R (Source Code 10) is an example on how to make a heatmap with summary visualization of clusters.

```
🗕 example-heatmap3b.R 🗕
      ## load library
2
      require("GMD")
      require(cluster)
3
      ## load data
5
6
      data(ruspini)
      ## heatmap on a `dist' object
8
      x <- gdist(ruspini)</pre>
      main <- "Heatmap of Ruspini data"
10
      dev.new(width=10,height=10)
11
      heatmap.3(x, main=main, mapratio=1) # default with a title and a map in square!
12
      heatmap.3(x, main=main, revC=TRUE) # reverse column for a symmetric look
13
      heatmap.3(x, main=main, kr=2, kc=2) # show partition by predefined number of clusters
14
15
      ## show partition by elbow
16
      css.multi.obj <- css.hclust(x,hclust(x))</pre>
17
      elbow.obj <- elbow.batch(css.multi.obj,ev.thres=0.90,inc.thres=0.05)</pre>
18
      heatmap.3(x, main=main, revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k)
19
      heatmap.3(x, main=main, sub=sub("\n"," ",attr(elbow.obj,"description")), cex.sub=1.25,
20
21
                revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k) # show elbow info as subtitle
22
23
      ## side plot for every row clusters
      dev.new(width=10,height=10)
24
      expr1 <- list(quote(plot(do.call(rbind,i.x),xlab="x",ylab="y",</pre>
25
26
                                xlim=range(ruspini$x),ylim=range(ruspini$y),)))
      heatmap.3(x, main=main, revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k, trace="none",
27
                row.data=as.list(data.frame(t(ruspini))),
28
                plot.row.clusters=TRUE,plot.row.clusters.list=list(expr1))
29
30
31
      ## side plot for every col clusters
      dev.new(width=10,height=10)
32
      expr2 <- list(quote(plot(do.call(rbind,i.x),xlab="x",ylab="y",</pre>
33
                                xlim=range(ruspini$x),ylim=range(ruspini$y),)))
34
      heatmap.3(x, main=main, revC=TRUE, kr=elbow.obj$k, kc=elbow.obj$k, trace="none",
35
                col.data=as.list(data.frame(t(ruspini))),
36
                plot.col.clusters=TRUE,plot.col.clusters.list=list(expr2))
37
38
```

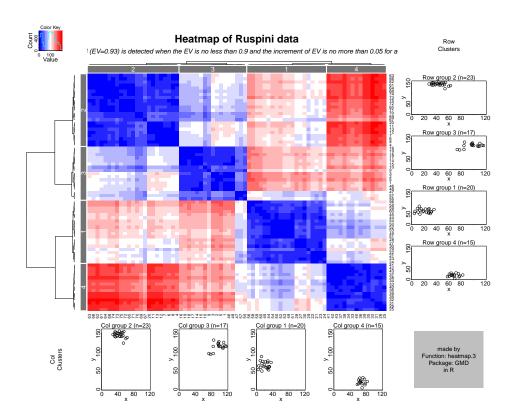


Figure 19: Graphical output of "example-heatmap3b.R".

B Data

B.1 GMD dataset overview

```
> data(package="GMD")
```

Data sets in package 'GMD':

B.2 CAGE data: cage and cagel

> help(cage)

```
> require(GMD)
> data(cage)
> class(cage)
[1] "list"
> length(cage)
[1] 20
> names(cage)
 [1] "NA (T01F029805F8)"
                                     "Glul (T01F092C2995)"
 [3] "Cyp4a14 (T04R06C91673)"
                                     "Stxbp4 (T11R05607FD4)"
 [5] "D230039L06Rik (T01F0AA465EB)" "Gas5 (T01F09995479)"
 [7] "Rab5c (T11R05FBC6C4)"
                                     "BC003940 (T11R072A6CB0)"
 [9] "Tpt1 (T14F04079189)"
                                     "Pcna (T02R07DE319B)"
[11] "D0H4S114 (T18R020553F0)"
                                     "Gsto1 (T19F02D03566)"
[13] "Hsd11b1 (T01R0B8305BD)"
                                     "Csf1 (T03R0672174D)"
[15] "B2m (T02F0743FF05)"
                                     "Alox5ap (T05F08BCF2C4)"
[17] "Pfkfb3 (T02R00AEC2D8)"
                                     "Hig1 (T09R0743763C)"
[19] "Cd72 (T04R028B8BC9)"
                                     "Egln1 (T08R0769239F)"
> data(cagel)
> names(cagel)
 [1] "NA (T17F05912B83)"
                                     "Tpt1 (T14F04079189)"
 [3] "B2m (T02F0743FF05)"
                                     "Grn (T11F0615F289)"
 [5] "2600001A11Rik (T12R043A2595)" "Rbbp7 (T0XF091A7ACA)"
 [7] "Rpl41 (T10R07AB7138)"
                                     "H2afy (T13R034ACF47)"
 [9] "Dscr1l1 (T17F02802885)"
                                     "Ckap4 (T10R0504CE97)"
[11] "Rab5c (T11R05FBC6C4)"
                                     "Pfkfb3 (TO2ROOAEC2D8)"
[13] "Csf1 (T03R0672174D)"
                                     "Ctsb (T14F0348EDBA)"
[15] "Crim1 (T17F04928998)"
                                     "3930401E15Rik (T18R02CDD141)"
[17] "Rai17 (T14F014BF473)"
                                     "Hig1 (T09R0743763C)"
[19] "Apbb2 (T05R03E329C8)"
                                     "Ptn (T06R0230806E)"
[21] "Tmeff2 (T01F030EC757)"
                                     "Mrps6 (T16F0583C906)"
[23] "Hsd11b1 (T01R0B8305BD)"
                                     "D0H4S114 (T18R020553F0)"
[25] "4930430J02Rik (T09F036E80C6)" "Phtf2 (T05R0125E896)"
[27] "Trpv2 (T11F03B4EBD8)"
                                     "Slco3a1 (T07R03AC06B9)"
[29] "Scd1 (T19R029B5186)"
                                     "Ctxn (T08R0040864A)"
[31] "5730596K20Rik (T19F006DFC1A)" "Arbp (T05F06BBE13B)"
[33] "Klh15 (T05F03CCA673)"
                                     "Gsto1 (T19F02D03566)"
[35] "NA (TO2RO7EF5EDA)"
                                     "Srpk1 (T17R019F4A41)"
[37] "Nudt7 (T08F06C3B651)"
                                     "Tnfrsf10b (T14F03AB1306)"
[39] "Egln1 (T08R0769239F)"
                                     "9630050M13Rik (T02F002EC972)"
[41] "BC003940 (T11R072A6CB0)"
                                     "Ppia (T11F00604AFF)"
[43] "Alox5ap (T05F08BCF2C4)"
                                     "Pcna (T02R07DE319B)"
[45] "Gch1 (T14R02602138)"
                                     "Yap1 (T09R0079F3FF)"
[47] "Vrk1 (T12F06010C9B)"
                                     "Cd72 (T04R028B8BC9)"
[49] "Wdtc1 (TO4RO7DAFEDC)"
                                     "Centg2 (T01F055392D1)"
```

B.3 ChIP-seq data: chipseq mES and chipseq hCD4T

```
> help(chipseq)
> data(chipseq_mES)
> class(chipseq_mES)
[1] "list"
> length(chipseq_mES)
[1] 6
> names(chipseq_mES)
[1] "H3K27me3" "H3K36me3" "H3K4me1" "H3K4me2" "H3K4me3" "H3K9me3"
> data(chipseq_hCD4T)
> names(chipseq_hCD4T)
 [1] "CTCF"
                 "H2AK5ac"
                             "H2AK9ac"
                                          "H2AZ"
                                                      "H2BK120ac" "H2BK12ac"
 [7] "H2BK20ac"
                 "H2BK5ac"
                             "H2BK5me1"
                                         "H3K14ac"
                                                      "H3K18ac"
                                                                  "H3K23ac"
[13] "H3K27ac"
                 "H3K27me1"
                             "H3K27me2"
                                         "H3K27me3"
                                                      "H3K36ac"
                                                                  "H3K36me1"
[19] "H3K36me3"
                                                      "H3K4me3"
                 "H3K4ac"
                             "H3K4me1"
                                          "H3K4me2"
                                                                  "H3K79me1"
                                                      "H3K9me2"
[25] "H3K79me2"
                 "H3K79me3"
                             "H3K9ac"
                                          "H3K9me1"
                                                                  "H3K9me3"
[31] "H3R2me1"
                 "H3R2me2"
                             "H4K12ac"
                                         "H4K16ac"
                                                      "H4K20me1"
                                                                  "H4K20me3"
[37] "H4K5ac"
                 "H4K8ac"
                             "H4K91ac"
                                         "H4R3me2"
```

References

[1] Artem Barski, Suresh Cuddapah, Kairong Cui, Tae-Young Roh, Dustin E Schones, Zhibin Wang, Gang Wei, Iouri Chepelev, and Keji Zhao. High-resolution profiling of histone methylations in the human genome. *Cell*, 129(4):823–837, May 2007.

- [2] Piero Carninci, Albin Sandelin, Boris Lenhard, Shintaro Katayama, Kazuro Shimokawa, Jasmina Ponjavic, Colin A M Semple, Martin S Taylor, PÂŁr G EngstrÂŽm, Martin C Frith, Alistair R R Forrest, Wynand B Alkema, Sin Lam Tan, Charles Plessy, Rimantas Kodzius, Timothy Ravasi, Takeya Kasukawa, Shiro Fukuda, Mutsumi Kanamori-Katayama, Yayoi Kitazume, Hideya Kawaji, Chikatoshi Kai, Mari Nakamura, Hideaki Konno, Kenji Nakano, Salim Mottagui-Tabar, Peter Arner, Alessandra Chesi, Stefano Gustincich, Francesca Persichetti, Harukazu Suzuki, Sean M Grimmond, Christine A Wells, Valerio Orlando, Claes Wahlestedt, Edison T Liu, Matthias Harbers, Jun Kawai, Vladimir B Bajic, David A Hume, and Yoshihide Hayashizaki. Genome-wide analysis of mammalian promoter architecture and evolution. Nat Genet, 38(6):626–635, Jun 2006.
- [3] Sung-Hyuk Cha and Sargur N. Srihari. On measuring the distance between histograms. *Pattern Recognition*, 35(6):1355–1370, 2002.
- [4] Tarjei S Mikkelsen, Manching Ku, David B Jaffe, Biju Issac, Erez Lieberman, Georgia Giannoukos, Pablo Alvarez, William Brockman, Tae-Kyung Kim, Richard P Koche, William Lee, Eric Mendenhall, Aisling O'Donovan, Aviva Presser, Carsten Russ, Xiaohui Xie, Alexander Meissner, Marius Wernig, Rudolf Jaenisch, Chad Nusbaum, Eric S Lander, and Bradley E Bernstein. Genome-wide maps of chromatin state in pluripotent and lineage-committed cells. Nature, 448(7153):553–560, Aug 2007.
- [5] R Development Core Team. R: A Language and Environment for Statistical Computing. R Foundation for Statistical Computing, Vienna, Austria, 2011. ISBN 3-900051-07-0.
- [6] Albin Sandelin, Piero Carninci, Boris Lenhard, Jasmina Ponjavic, Yoshihide Hayashizaki, and David A Hume. Mammalian rna polymerase ii core promoters: insights from genome-wide studies. Nat Rev Genet, 8(6):424-436, Jun 2007.
- [7] Xiaobei Zhao, Eivind Valen, Brian J Parker, and Albin Sandelin. Systematic clustering of transcription start site landscapes. *PLoS ONE*, 6(8):e23409, August 2011.
- [8] Vicky W Zhou, Alon Goren, and Bradley E Bernstein. Charting histone modifications and the functional organization of mammalian genomes. *Nat Rev Genet*, 12(1):7–18, Jan 2011.