A Tutorial for the R Package SNPRelate

Xiuwen Zheng GENEVA Coordinating Center Department of Biostatistics University of Washington

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1 Overview

Genome-wide association studies (GWAS) are widely used to help determine the genetic basis of diseases and traits, but they pose many computational challenges. We developed

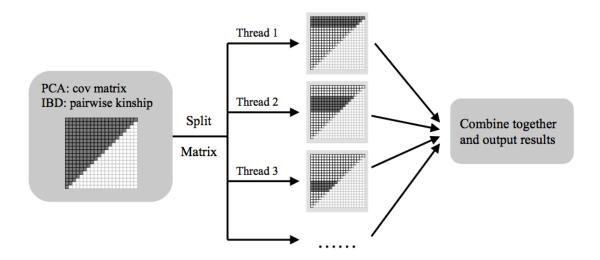


Figure 1: Flowchart of parallel computing for principal component analysis and identity-by-descent analysis.

gdsfmt and SNPRelate (high-performance computing R packages for multi-core symmetric multiprocessing computer architectures) to accelerate two key computations in GWAS: principal component analysis (PCA) and relatedness analysis using identity-by-descent (IBD) measures ¹. The kernels of our algorithms are written in C/C++ and have been highly optimized. The calculations of the genetic covariance matrix in PCA and pairwise IBD coefficients are split into non-overlapping parts and assigned to multiple cores for performance acceleration, as shown in Figure 1. Benchmarks show the uniprocessor implementations of PCA and IBD are ~8 to 50 times faster than the implementations provided in the popular EIGENSTRAT (v3.0) and PLINK (v1.07) programs respectively, and can be sped up to 30~300 folds by utilizing multiple cores.

GDS is also used by an R/Bioconductor package GWASTools as one of its data storage formats ^{2,3}. GWASTools provides many functions for quality control and analysis of GWAS, including statistics by SNP or scan, batch quality, chromosome anomalies, association tests, etc.

R is the most popular statistical programming environment, but one not typically optimized for high performance or parallel computing which would ease the burden of large-scale GWAS calculations. To overcome these limitations we have developed a project named CoreArray (http://corearray.sourceforge.net/) that includes two R packages: gdsfmt to provide efficient, platform independent memory and file management for genome-wide numerical data, and SNPRelate to solve large-scale, numerically intensive GWAS calculations (i.e., PCA and IBD) on multi-core symmetric multiprocessing (SMP) computer architectures.

This vignette takes the user through the relatedness and principal component analysis

¹A High-performance Computing Toolset for Relatedness and Principal Component Analysis of SNP Data. Xiuwen Zheng; David Levine; Jess Shen; Stephanie M. Gogarten; Cathy Laurie; Bruce S. Weir. Bioinformatics 2012; doi: 10.1093/bioinformatics/bts606

used for genome wide association data. The methods in these vignettes have been introduced in the paper of Zheng et al. (2012)¹. For replication purposes the data used here are taken from the HapMap Phase II project. These data were kindly provided by the Center for Inherited Disease Research (CIDR) at Johns Hopkins University and the Broad Institute of MIT and Harvard University (Broad). The data supplied here should not be used for any purpose other than this tutorial.

2 Preparing Data

2.1 Data formats used in SNPRelate

To support efficient memory management for genome-wide numerical data, the gdsfmt package provides the genomic data structure (GDS) file format for array-oriented bioinformatic data, which is a container for storing annotation data and SNP genotypes. In this format each byte encodes up to four SNP genotypes thereby reducing file size and access time. The GDS format supports data blocking so that only the subset of data that is being processed needs to reside in memory. GDS formatted data is also designed for efficient random access to large data sets.

```
> # load the R packages: gdsfmt and SNPRelate
> library(gdsfmt)
> library(SNPRelate)

Here is a typical GDS file:
> snpgdsSummary(snpgdsExampleFileName())
```

```
The file name: /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst The total number of samples: 279
```

The total number of SNPs: 9088

SNP genotypes are stored in individual-major mode.

snpgdsExampleFileName() returns the file name of a GDS file used as an example in SNPRelate, and it is a subset of data from the HapMap project and the samples were genotyped by the Center for Inherited Disease Research (CIDR) at Johns Hopkins University and the Broad Institute of MIT and Harvard University (Broad). snpgdsSummary() summarizes the genotypes stored in the GDS file. "Individual-major mode" indicates listing all SNPs for an individual before listing the SNPs for the next individual, etc. Conversely, "SNP-major mode" indicates listing all individuals for the first SNP before listing all individuals for the second SNP, etc. Sometimes "SNP-major mode" is more computationally efficient than "individual-major model". For example, the calculation of genetic covariance matrix deals with genotypic data SNP by SNP, and then "SNP-major mode" should be more efficient.

```
> # open a GDS file
> (genofile <- openfn.gds(snpgdsExampleFileName()))</pre>
```

file name: /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst2e86

```
]
          Γ
|--+ sample.id
                      [ FStr8 279 ZIP(23.10%) ]
|--+ snp.id
                   [ Int32 9088 ZIP(34.76%) ]
|--+ snp.rs.id
                      [ FStr8 9088 ZIP(42.66%) ]
|--+ snp.position
                          [ Float64 9088 ZIP(51.77%) ]
|--+ snp.chromosome
                            [ Int32 9088 ZIP(0.33%) ]
|--+ snp.allele
                       [ FStr8 9088 ZIP(14.45%) ]
|--+ genotype
                     [ Bit2 9088x279 ] *
|--+ sample.annot
                         ] *
  |--+ sample.id
                         [ FStr8 279 ZIP(23.10%) ]
  |--+ family.id
                          [ FStr8 279 ZIP(28.37%) ]
  |--+ geneva.id
                         [ Int32 279 ZIP(80.29%) ]
  |--+ father.id
                         [ FStr8 279 ZIP(12.98%) ]
  |--+ mother.id
                         [ FStr8 279 ZIP(12.86%) ]
                         [ FStr8 279 ZIP(1.29%) ]
  |--+ plate.id
  |--+ sex
                   [ FStr8 279 ZIP(28.32%) ]
   |--+ pop.group
                          [ FStr8 279 ZIP(7.89%) ]
```

The output lists all variables stored in the GDS file. At the first level, it saves variables **sample.id**, **snp.id**, etc. The second-level variables **sex** and **pop.group** are both stored in the directory of **sample.annot**. All of the functions in SNPRelate require a minimum set of variables in the SNP annotation data. The minimum required variables are

- **snp.id**, a unique identifier for each SNP.
- snp.chromosome, an integer mapping for each chromosome, with values 1-26, mapped in order from 1-22, 23=X,24=XY (the pseudoautosomal region), 25=Y, 26=M (the mitochondrial probes), and 0 for probes with unknown positions; it does not allow NA.
- **snp.position**, the base position of each SNP on the chromosome, and 0 for unknown position; it does not allow NA.

```
> # Take out snp.id
> head(read.gdsn(index.gdsn(genofile, "snp.id")))
[1] 1 2 3 4 5 6
> # Take out snp.rs.id
> head(read.gdsn(index.gdsn(genofile, "snp.rs.id")))
```

```
[1] "rs1695824" "rs13328662" "rs4654497" "rs10915489" "rs12132314" [6] "rs12042555"
```

There are two additional variables:

- snp.rs.id, a character string for reference SNP ID that may not be unique.
- snp.allele, it is not necessary for the analysis, but it is necessary when merging genotypes from different platforms. The format of snp.allele is "A allele/B allele", like "T/G" where T is A allele and G is B allele.

There are four possible values stored in the variable **genotype**: 0, 1, 2 and 3. For biallelic SNP sites, "0" indicates two B alleles, "1" indicates one A allele and one B allele, "2" indicates two A alleles, and "3" is a missing genotype. For multi-allelic sites, it is a count of the reference allele (3 meaning no call). "Bit2" indicates that each byte encodes up to four SNP genotypes since one byte consists of eight bits.

```
> # Take out genotype data for the first 3 samples and the first 5 SNPs
> (g <- read.gdsn(index.gdsn(genofile, "genotype"), start=c(1,1), count=c(5,3)))
     [,1] [,2] [,3]
[1,]
        2
             1
                   2
[2,]
             1
        1
                   1
[3,]
        0
             0
                   1
[4,]
             1
                   2
        1
        2
             2
                   2
[5,]
> # read population information
> pop <- read.gdsn(index.gdsn(genofile, c("sample.annot", "pop.group")))</pre>
> table(pop)
pop
CEU HCB JPT YRI
    47
         47 93
> # close the GDS file
> closefn.gds(genofile)
```

2.2 Create a GDS File of Your Own

The function **snpgdsCreateGeno** helps to create a GDS file of you own. There are possible values stored in the input genotype matrix: 0, 1, 2 and other values. "0" indicates two B alleles, "1" indicates one A allele and one B allele, "2" indicates two A alleles, and other values indicate a missing genotype. For example,

```
> # load data
> data(hapmap.geno)
> # create a gds file
> with(hapmap.geno, snpgdsCreateGeno("test.gds", genmat=genotype,
      sample.id=sample.id, snp.id=snp.id, snp.chromosome=snp.chromosome,
      snp.position=snp.position, snp.allele=snp.allele, snpfirstorder=TRUE))
> # open the gds file
> (genofile <- openfn.gds("test.gds"))</pre>
file name: test.gds
              ٦
|--+ sample.id
                      [ FStr8 279 ZIP(23.10%) ]
|--+ snp.id
                   [ FStr8 1000 ZIP(45.02%) ]
|--+ snp.position
                         [ Float64 1000 ZIP(55.97%) ]
|--+ snp.chromosome
                           [ Int32 1000 ZIP(2.00%) ]
|--+ snp.allele
                       [ FStr8 1000 ZIP(17.37%) ]
|--+ genotype
                     [ Bit2 1000x279 ] *
> # close the genotype file
> closefn.gds(genofile)
```

2.3 Format conversion from PLINK binary files

The SNPRelate package provides a function **snpgdsBED2GDS** for converting a PLINK binary file to a GDS file:

```
> # the PLINK BED file
> bed.fn <- system.file("extdata", "plinkhapmap.bed", package="SNPRelate")
> bim.fn <- system.file("extdata", "plinkhapmap.bim", package="SNPRelate")
> fam.fn <- system.file("extdata", "plinkhapmap.fam", package="SNPRelate")
> # convert
> snpgdsBED2GDS(bed.fn, fam.fn, bim.fn, "test.gds")
Start snpgdsBED2GDS ...
        open /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst2e
        open /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst2e
        open /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst2e
                                 store sample id, snp id, position, and chromosome.
Sat Jan 5 02:49:38 2013
        start writing: 279 samples, 5000 SNPs ...
         Sat Jan 5 02:49:38 2013
                                         0%
         Sat Jan 5 02:49:38 2013
                                         100%
Sat Jan 5 02:49:38 2013
                                 Done.
```

```
> # summary
> snpgdsSummary("test.gds")
The file name: test.gds
The total number of samples: 279
The total number of SNPs: 5000
SNP genotypes are stored in individual-major mode.
```

2.4 Format conversion from VCF files

The SNPRelate package provides a function **snpgdsVCF2GDS** to reformat a VCF file. There are two options for extracting markers from a VCF file for downstream analyses: (1) to extract and store dosage of the reference allele only for biallelic SNPs and (2) to extract and store dosage of the reference allele for all variant sites, including bi-allelic SNPs, multi-allelic SNPs, indels and structural variants.

```
> # the VCF file
> vcf.fn <- system.file("extdata", "sequence.vcf", package="SNPRelate")
> # reformat
> snpgdsVCF2GDS(vcf.fn, "test.gds", method="biallelic.only")
Start snpgdsVCF2GDS ...
        Extracting bi-allelic and polymorhpic SNPs.
        Scanning ...
        file: /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst2
        content: 5 rows x 12 columns
Sat Jan 5 02:49:39 2013
                                 store sample id, snp id, position, and chromosome.
        start writing: 3 samples, 2 SNPs ...
        file: /private/var/folders/m3/hrfnm36n27x3ppdl3hk4czfr0000gn/T/RtmpBPCYcJ/Rinst2
Sat Jan 5 02:49:39 2013
                                 Done
> # summary
> snpgdsSummary("test.gds")
The file name: test.gds
The total number of samples: 3
```

3 Data Analysis

The total number of SNPs: 2

SNP genotypes are stored in SNP-major mode.

We developed gdsfmt and SNPRelate (high-performance computing R packages for multicore symmetric multiprocessing computer architectures) to accelerate two key computations in GWAS: principal component analysis (PCA) and relatedness analysis using identity-bydescent (IBD) measures.

```
> # open the GDS file
> genofile <- openfn.gds(snpgdsExampleFileName())</pre>
```

3.1 LD-based SNP pruning

It is important to use a pruned set of SNPs which are in approximate linkage equilibrium with each other to avoid the strong influence of SNP clusters in principal component analysis and relatedness analysis.

```
> set.seed(1000)
> # try different LD thresholds for sensitivity analysis
> snpset <- snpgdsLDpruning(genofile, ld.threshold=0.2)
SNP pruning based on LD:
        Sliding window: 500000 basepairs, Inf SNPs
        |LD| threshold: 0.2
Removing 365 non-autosomal SNPs
Removing 1 SNPs (monomorphic, < MAF, or > missing rate)
Working space: 279 samples, 8722 SNPs
Chromosome 1: 75.70%, 542/716
Chromosome 2: 72.24%, 536/742
Chromosome 3: 74.55%, 454/609
Chromosome 4: 73.49%, 413/562
Chromosome 5: 77.03%, 436/566
Chromosome 6: 75.04%, 424/565
Chromosome 7: 75.21%, 355/472
Chromosome 8: 71.52%, 349/488
Chromosome 9: 77.64%, 323/416
Chromosome 10: 73.71%, 356/483
Chromosome 11: 77.40%, 346/447
Chromosome 12: 76.35%, 326/427
Chromosome 13: 75.87%, 261/344
Chromosome 14: 76.60%, 216/282
Chromosome 15: 76.72%, 201/262
Chromosome 16: 73.02%, 203/278
Chromosome 17: 74.88%, 155/207
Chromosome 18: 74.06%, 197/266
Chromosome 19: 85.00%, 102/120
Chromosome 20: 71.62%, 164/229
Chromosome 21: 76.19%, 96/126
Chromosome 22: 75.86%, 88/116
6543 SNPs are selected in total.
> names(snpset)
```

```
[1] "chr1" "chr2" "chr3" "chr4" "chr5" "chr6" "chr7" "chr8" "chr9" [10] "chr10" "chr11" "chr12" "chr13" "chr14" "chr15" "chr16" "chr16" "chr17" "chr18" [19] "chr19" "chr20" "chr21" "chr22" 

> head(snpset$chr1) # snp.id

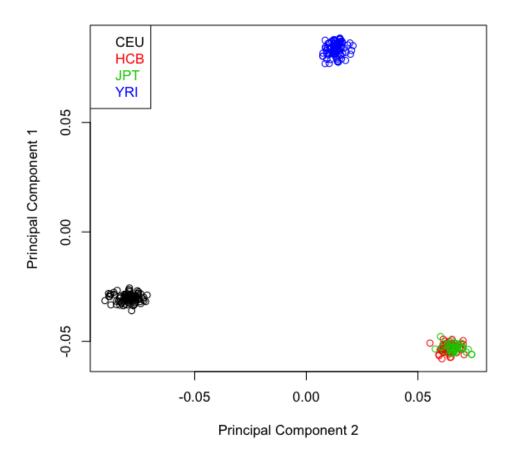
[1] 1 2 4 5 7 10

> # get all selected snp id 
> snpset.id <- unlist(snpset)
```

3.2 Principal Component Analysis

The functions in SNPRelate for PCA include calculating the genetic covariance matrix from genotypes, computing the correlation coefficients between sample loadings and genotypes for each SNP, calculating SNP eigenvectors (loadings), and estimating the sample loadings of a new dataset from specified SNP eigenvectors.

```
> pca <- snpgdsPCA(genofile, maf=0.05, missing.rate=0.05,
          snp.id=snpset.id, num.thread=2)
Principal Component Analysis (PCA) on SNP genotypes:
Removing 1109 SNPs (monomorphic, < MAF, or > missing rate)
Working space: 279 samples, 5434 SNPs
        Using 2 CPU cores.
PCA:
            the sum of all working genotypes (0, 1 and 2) = 1520268
PCA:
            Sat Jan 5 02:49:39 2013
                                            0%
PCA:
            Sat Jan 5 02:49:39 2013
                                            100%
PCA:
            Sat Jan 5 02:49:39 2013
                                            Begin (eigenvalues and eigenvectors)
PCA:
            Sat Jan 5 02:49:39 2013
                                            End (eigenvalues and eigenvectors)
> plot(pca$eigenvect[,2], pca$eigenvect[,1], xlab="Principal Component 2",
          ylab="Principal Component 1", type="n")
> # uses different colors with respect to ethnicities
> race <- as.factor(read.gdsn(index.gdsn(genofile,</pre>
          c("sample.annot", "pop.group"))))
> points(pca$eigenvect[,2], pca$eigenvect[,1], col=race)
> legend("topleft", legend=levels(race), text.col=1:nlevels(race))
```

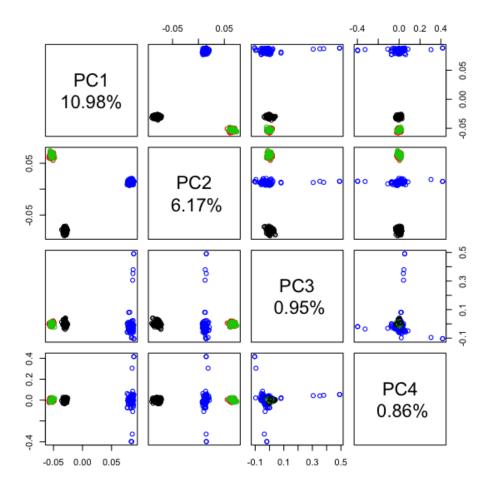


The code below shows how to calculate the percent of variation is accounted for by the principal component for the first 16 PCs. It is clear to see the first two eigenvectors hold the largest percentage of variance among the population, although the total variance accounted for is still less the one-quarter of the total.

```
> pc.percent <- 100 * pca$eigenval[1:16]/sum(pca$eigenval)</pre>
> pc.percent
 [1] 10.9751270
                  6.1747791
                              0.9482941
                                         0.8578218
                                                     0.7980118
                                                                 0.7767799
 [7]
      0.7558140
                  0.7147553
                              0.6763739
                                         0.6640410
                                                     0.6623270
                                                                 0.6568874
[13]
      0.6511425
                  0.6505608
                              0.6371916
                                         0.6301307
```

Plot the principal component pairs for the first four PCs:

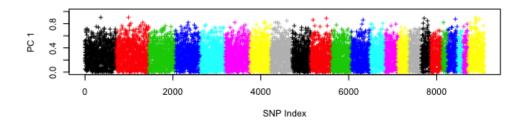
```
> lbls <- paste("PC", 1:4, "\n", format(pc.percent[1:4], digits=2), "%", sep="") > pairs(pcaeq) pairs(pcaeq) | pairs(pca
```

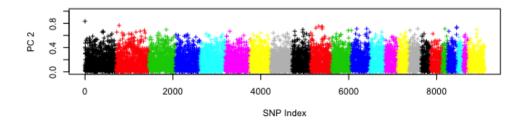


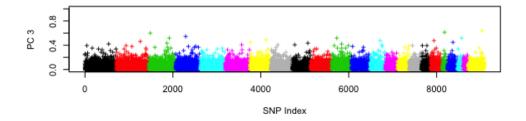
To calculate the SNP correlations between eigenvactors and SNP genotypes:

```
> # get chromosome index
> chr <- read.gdsn(index.gdsn(genofile, "snp.chromosome"))</pre>
> CORR <- snpgdsPCACorr(pca, genofile, eig.which=1:4)
SNP correlations:
Working space: 279 samples, 9088 SNPs
        Using 1 CPU core.
        Using the top 32 eigenvectors.
SNP Correlations:
                          the sum of all working genotypes (0, 1 \text{ and } 2) = 2553065
SNP Correlations:
                          Sat Jan 5 02:49:39 2013
                                                           0%
SNP Correlations:
                                                           100%
                          Sat Jan 5 02:49:40 2013
> par( mfrow=c(3,1))
> for (i in 1:3)
+ {
```

```
+ plot(abs(CORR$snpcorr[i,]), ylim=c(0,1), xlab="SNP Index",
+ ylab=paste("PC", i), col=chr, pch="+")
+ }
```







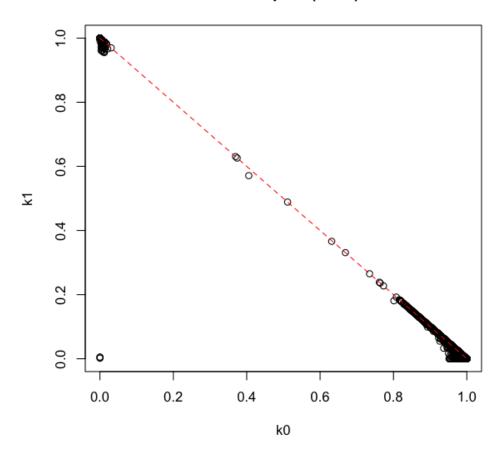
3.3 Relatedness Analysis

For relatedness analysis, identity-by-descent (IBD) estimation in SNPRelate can be done by either the method of moments (MoM) (Purcell et al., 2007) or maximum likelihood estimation (MLE) (Milligan, 2003; Choi et al., 2009). Although MLE estimates are more reliable than MoM, MLE is significantly more computationally intensive. For both of these methods it is preffered to use a LD pruned SNP set.

3.3.1 PLINK method of moments (MoM)

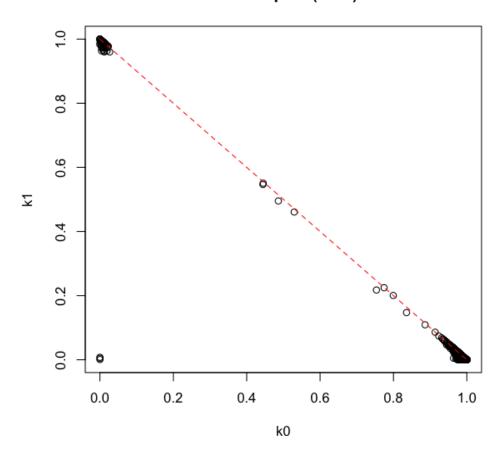
```
> # estimate IBD coefficients
> ibd <- snpgdsIBDMoM(genofile, sample.id=YRI.id, snp.id=snpset.id,
          maf=0.05, missing.rate=0.05)
Identity-By-Descent analysis (PLINK method of moment) on SNP genotypes:
Removing 1294 SNPs (monomorphic, < MAF, or > missing rate)
Working space: 93 samples, 5249 SNPs
       Using 1 CPU core.
                  the sum of all working genotypes (0, 1 \text{ and } 2) = 484063
PLINK IBD:
PLINK IBD:
                  Sat Jan 5 02:50:08 2013
                                                  0%
                                                  100%
                  Sat Jan 5 02:50:08 2013
PLINK IBD:
> ibd.coeff <- snpgdsIBDSelection(ibd)</pre>
> head(ibd.coeff)
 sample1 sample2
                         k0
                                    k1 kinshipcoeff
1 NA19152 NA19139 0.9237838 0.07621619
                                         0.01905405
2 NA19152 NA18912 1.0000000 0.00000000
                                         0.00000000
3 NA19152 NA19160 1.0000000 0.00000000
                                         0.0000000
4 NA19152 NA18515 0.9252310 0.07476900
                                         0.01869225
5 NA19152 NA19222 1.0000000 0.00000000
                                         0.00000000
6 NA19152 NA18508 0.9342476 0.06575239
                                         0.01643810
> plot(ibd.coeff$k0, ibd.coeff$k1, xlim=c(0,1), ylim=c(0,1),
          xlab="k0", ylab="k1", main="YRI samples (MoM)")
> lines(c(0,1), c(1,0), col="red", lty=2)
```

YRI samples (MoM)



3.3.2 IBD Using Maximum Likelihood Estimation (MLE)

YRI samples (MLE)



3.4 Identity-By-State Analysis

For the n individuals in a sample, **snpgdsIBS** can be used to create a $n \times n$ matrix of genome-wide average IBS pairwise identities:

```
> ibs <- snpgdsIBS(genofile, num.thread=2)
Identity-By-State (IBS) analysis on SNP genotypes:</pre>
```

Removing 365 non-autosomal SNPs

Removing 1 SNPs (monomorphic, < MAF, or > missing rate)

Working space: 279 samples, 8722 SNPs

Using 2 CPU cores.

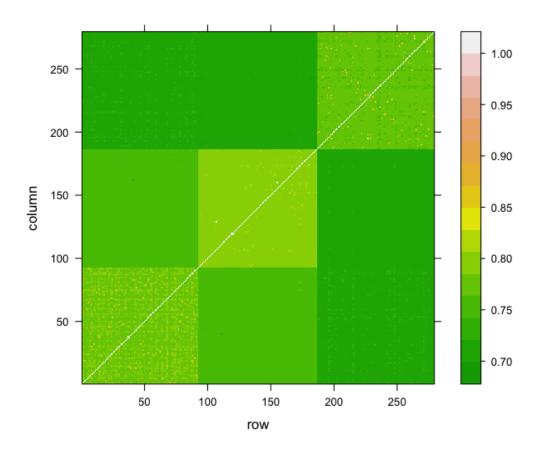
IBS: the sum of all working genotypes (0, 1 and 2) = 2446510

IBS: Sat Jan 5 02:50:08 2013 0%
IBS: Sat Jan 5 02:50:08 2013 100%

> pop <- read.gdsn(index.gdsn(genofile, c("sample.annot", "pop.group")))</pre>

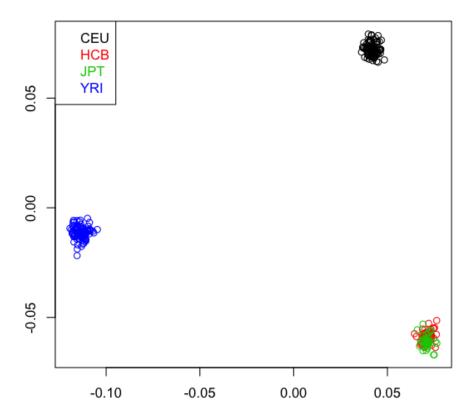
The heat map is shown:

```
> library(lattice)
> L <- order(pop)
> levelplot(ibs$ibs[L, L], col.regions = terrain.colors)
```



To perform multidimensional scaling analysis on the $n \times n$ matrix of genome-wide IBS pairwise distances:

Multidimensional Scaling Analysis (IBS Distance)



To perform cluster analysis on the $n \times n$ matrix of genome-wide IBS pairwise distances, and determine the groups by a permutation score:

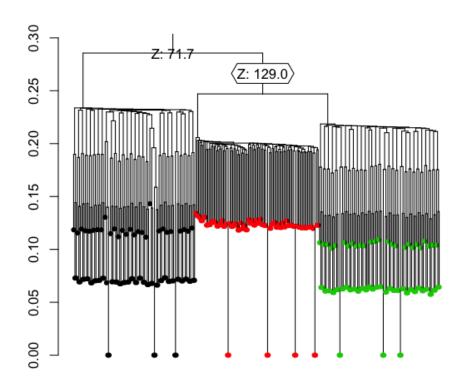
```
> set.seed(100)
> ibs.hc <- snpgdsHCluster(snpgdsIBS(genofile, num.thread=2))</pre>
Identity-By-State (IBS) analysis on SNP genotypes:
Removing 365 non-autosomal SNPs
Removing 1 SNPs (monomorphic, < MAF, or > missing rate)
Working space: 279 samples, 8722 SNPs
        Using 2 CPU cores.
IBS:
            the sum of all working genotypes (0, 1 \text{ and } 2) = 2446510
                      5 02:50:11 2013
IBS:
            Sat Jan
                                              0%
            Sat Jan
IBS:
                     5 02:50:11 2013
                                              100%
> rv <- snpgdsCutTree(ibs.hc)</pre>
```

Determine groups by permutation (Z threshold: 15, outlier threshold: 5): Create 3 groups.

- > plot(rv\$dendrogram, leaflab="none", main="HapMap Phase II")
- > table(rv\$samp.group)

G001 G002 G003 93 94 92

HapMap Phase II



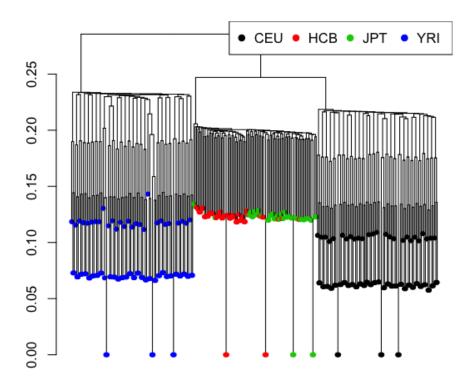
Here is the population information we have known:

> rv2 <- snpgdsCutTree(ibs.hc, samp.group=as.factor(pop))</pre>

Create 4 groups.

- > plot(rv2\$dendrogram, leaflab="none", main="HapMap Phase II")
- > legend("topright", legend=levels(race), col=1:nlevels(race), pch=19, ncol=4)

HapMap Phase II



- > # close the GDS file
- > closefn.gds(genofile)

4 Resources

- 1. CoreArray project: http://corearray.sourceforge.net/
- 2. gdsfmt R package: http://cran.r-project.org/web/packages/gdsfmt/index.html
- 4. GENEVA R package: https://www.genevastudy.org/Accomplishments/software
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