

Reading information from files is a common and important operation in Python. In this lecture we will discuss various options for reading files, and characterize them based on simplicity and efficiency (mostly with regards to efficiency in the use of space/memory, which is important when reading very large files).

In a nutshell, you should avoid the use of the `.read()` and `.readlines()` methods and instead iterate over files (using a standard `for` loop or a `for` loop in a comprehension).

The last section defines the `parse_line` function (available in the `goody` module) and how to use it, making reading files that contain multi-type records (fields of values) easy. One parameter binds to a tuple/list of function objects, each specifying how to convert the field in its position (a substring of each line) into a value of the appropriate type.

## Simple and Space Efficient Code

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To start, let's suppose we are reading a file where each line in the file is just a string of text. The simplest and most efficient way to read such a file is iterating over an "open" (file) object.

```
for line in open(file_name): # where file_name is a string naming a file
    process(line)           # where process is a function or some code
block
```

Typically, we need to strip off the newline character(s) at the end of each line as it is read from the file, before it is processed further. We can strip off this information by calling `.rstrip('\n')`. The code would become

```
for line in open(file_name):
    process(line.rstrip('\n'))
```

or

```
for line in open(file_name):
    line = line.rstrip('\n')
    process(line)
```

Technically the `os` module binds the name `linesep` to the character(s) forming a newline on that operating system. For PCs `os.linesep` is `'\r\n'`; on Macs it is `'\n'`. So, we could call `.rstrip(os.linesep)` on the line. But when we read a line from a text file in Python, it converts any newline character(s) to

just the single character `'\n'`, regardless of the operating system. This makes it easier to write Python code that works on all operating systems.

Note that if we call `.rstrip()` with no arguments, all white-space characters (including the newline character(s), spaces, and tabs) are stripped. We can use this simpler alternative if we don't meaningfully process whitespace at the ends of lines, but if we need to preserve it, we must call `rstrip('\n')`.

Recall that there are NO MUTATOR methods on strings: so, when we call the `line.rstrip(...)` method, it does not mutate the object associated with `line`, but instead it produces a new string that has the same contents except with the requested character(s) stripped off its right end; we pass this new string as an argument to the process function, or rebind `line` to that new string.

So, the left code is correctly by the right code is INCORRECT

<pre>for line in open(file_name):     line = line.rstrip('\n')     process(line)</pre>	<pre>for line in open(file_name):     line.rstrip('\n') # does     process(line)</pre>
--	--

NOTHING!

The for loops in the code fragments above are all space efficient, because at any time Python stores only one line of the file in memory (although the file itself is likely cached/stored in a memory buffer). Note that if we wanted to create a list of lines read from the file (where the newline character(s) are removed from each string), we can write the following simple comprehension.

```
line_list = [line.rstrip('\n') for line in open(file_name)]
```

Note that in this example, `line_list` occupies about the same amount of space as the file: all lines are stored in memory (one per list entry) at the same time.

If we can process lines independently of each other (process each one without know the previous or subsequent lines) we should NOT write code to store a list of all the lines, because it is not space efficient.

we can use `open` as a context manager in a `with` statement, which handles file exceptions and automatically closes the file when the context manager finishes (which is often useful/important, but not always). With the `open` context manager, we would write the above code fragments as

```
with open(file_name) as open_file:  
    for line in open_file:  
        process(line.rstrip('\n'))
```

or

```
with open(file_name) as open_file:  
    line_list = [line.rstrip('\n') for line in open(open_file)]
```

Using context managers does not change the space efficiency of the file reading.

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## The `.readlines()` and `.read()` methods

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We can apply the `.readlines()` method to an open file: it produces a list of all the lines in the file, where each line still ends in the newline character `'\n'`.

So, if `open_file` refers to the following open file,

```
Line 1
Line 2
Line 3
```

calling `open_file.readlines()` returns the list

```
['Line 1\n', 'Line 2\n', 'Line 3\n']
```

If we wanted to call `.readlines()` and process every string in the file (without the `'\n'` characters at the end) we would write

```
for line in open(file_name).readlines():
    process(line.rstrip('\n'))
```

Notice that this code is longer than the loop given in the previous section, and is less space efficient, because it first computes a list of all the lines in the file, storing it in memory at one time, and then it iterates over the strings in that list; the loop in the previous section stores in memory only one line at a time of the file, while it process that line.

If we wanted to create a list of lines without the `'\n'` characters at the end, we could write

```
line_list = [line.rstrip('\n') for line in open(file_name).readlines()]
```

which is similar to but also more complicated than the code in the previous section.

Finally, if we wanted to compute a list of lines WITH the `'\n'` characters at the end, then calling

```
line_list = open(file_name).readlines()
```

which is simpler than the comprehension code below

```
line_list = [line for line in open(file_name)]
```

and does occupy the equivalent amount of storage. So this is one of the few places (and not really common) where calling the `.readlines()` method is useful.

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We can apply the `.read()` method to an open file: it produces one giant string that contains all the lines in the file, each ended by the newline character `'\n'`.

So, if `open_file` refers to the following open file,

```
Line 1
Line 2
Line 3
```

calling `open_file.read()` returns the string

```
'Line 1\nLine 2\nLine 3\n'
```

We can split this string into a list of strings by calling the `.split` method.

```
line_list = open(file_name).read().split('\n')
```

and this code is simpler than what we have seen before, which is equivalent to

```
line_list = [line.rstrip('\n') for line in open(file_name)]
```

The `.read()` code above is a bit less space efficient than the comprehension, because it stores both the entire file string and a list of all the lines in the file at the same time; the comprehension stores the list of all the lines in the file, but not a string whose contents is the entire file itself.

Likewise, if we wanted to process every string in the file (without the `'\n'` characters at the ends) we can write

```
for line in open(file_name).read().split('\n'):
    process(line)
```

The for loop code is more complicated than the loop in the previous section, and

it uses space much less efficiently: this code stores the entire file (and a list of lines in the file) in memory at one time; the loop in the previous section stores in memory only one line at a time of the file. Not that because

of the call to `split` after `read`, there is no need for a call to `split` inside the loop.

Bottom Line:

There is little to be gained by reading files by calling the `.readline()` and the `.read()` method. Iterate directly over the "open" file with a standard for loop or a for loop in a comprehension.

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## Reading Files and Parsing

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Some text files contain lines that store other types or mixed-types of information. Suppose that we wanted to read a text file that stored strings representing numbers (one number per line). We can easily rewrite our original code to the following, calling the `int` conversion function on each `rstrip`ed line.

```
for line in open(file_name):  
    process( int(line.rstrip('\n')) )
```

Here we are assuming `process` takes an integer value as an argument.

In some files each line is a "record": a fixed number of fields of values, with possibly different types, separated by some special character (often a space or punctuation character like a comma or colon). To process each record in a file, we must

- (1) read its line
- (2) separate its fields of values (still each value is a string)
- (3) call a conversion function for each string to get its value

The `goody` module contains the `parse_lines` function that easily supports reading records from files (similarly to how lines are read from "open" files).

```
def parse_lines(open_file, sep, conversions):  
    for line in open_file:  
        yield [conv(item) for conv,item in  
                zip(conversions,line.strip('\n').split(sep))]
```

Here `sep` is a special character used to separate the fields in the record; `conversions` is a tuple (or list) of function objects: they are applied in sequence to the string values of the separated fields. When we iterate over a call to `parse_lines` (similar to iterating over a call to "open"), the index variable is bound to a list of the values of the fields in the record.

For example, the following file contains fields of a name (str) followed by two test scores (ints) all separated by commas.

```
Bob Smith,75,80  
Mary Jones,85,90
```

We could read this file and print out the names of each student and their average test score by

```
for fields in parse_lines( open(file_name), ',' , (str,int,int) ):
```

```
print(fields[0], (fields[1]+fields[2])/2)
```

Here `fields` is repeatedly bound to a 3-list containing a name (str) followed by two test scores (ints). `fields` is first bound to `['Bob Smith', 75, 80]` and then to `['Mary Jones', 85, 90]`.

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1) Note that if we specified conversions as (str,int) it would return the 2-lists `['Bob Smith', 75]` followed by `['Mary Jones', 85]` (because looping over a zip stops when one of its arguments runs out of values: here each line contains more field values than conversion functions). Accessing `fields[2]` in the code above would raise an `IndexError` exception.

2) Likewise (because looping over a zip stops when one of its arguments runs out of values), if the a line contains a name and 3 integer values, only the name and first two integers would be returned in the 3-list: the line

```
Paul White,80,75,85
```

returns only the 3-list `['Paul White', 80, 75]`

So `parse_lines` would not raise any exceptions in the code above.

We could define a more complicated `parse_lines` function that checked and immediately raised an exception if the number of separated field values in a record was not equal to the length of the tuple of conversion functions.

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A simpler way to write such code is to use multiple index variables and unpacking (as we do when we write: `for k,v in adict.items()`).

```
for name,test1,test2 in parse_lines(open(file_name),',',(str,int,int)):  
    print(name, (test1+test2)/2)
```

With this for loop, the first error noted above would also raise an exception because there would not be three values to unpack into `name`, `test1`, and `test2`;  
the second error would again go unnoticed.

Finally, note that besides using the standard conversion function(s) like `str` and `int`, we can define our own conversion function(s). For example, suppose that each record in the file specified a string name, some number of int quiz results separated by colons, and an int final exam, with these three fields (`name`, `quizzes`, `final`) separated by commas. Such a file might look like

```
Bob Smith,75:80,90  
Mary Jones,85:90:77,85
```

Here Bob took two quizzes but Mary took three. We could define

```
def quiz_list(scores):  
    return [int(q) for q in scores.split(':')]
```

and then write

```
for name, quizzes, final in
    parse_lines(open(file_name), ',', (str, quiz_list, int)):
    print(name, sum(quizzes)/len(quizzes), final)
```

which would print

```
Bob Smith 77.5 90
Mary Jones 84.0 85
```

Note that 77.5 is  $(75+90)/2$  and 84 is  $(85+90+77)/3$ . If we instead wrote

```
for fields in parse_lines(open(file_name), ',', (str, quiz_list, int)):
    print(fields)
```

it would print the 3-lists

```
['Bob Smith', [75, 80], 90]
['Mary Jones', [85, 90, 77], 85]
```

Of course, we can also use lambdas instead of named functions; below we have substituted a lambda for the quiz\_list function.

```
for fields in parse_lines(open(file_name), ',',
                           (str,
                            lambda scores : [int(q) for q in
scores.split(':')],
                           int)):
    print(fields)
```

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# Problems

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1) Suppose that we want to process the lower case version of every word on every line (where the words on a line are separated by colons) in a file named file.txt. Which of the following code fragments correctly does so? For those that don't, explain why they fail. For example, if the file contained the three lines:

```
See spot
See spot run
Run spot run
```

it should process the following words in the following order:

```
'see', 'spot', 'see', 'spot', 'run', 'run', 'spot', 'run'.
```

```
for line in open('file.txt'):
    for word in line.rstrip().lower().split():
        process(word)
```

```
for line in open('file.txt'):
```

```
    for word in line.rstrip().split().lower():  
        process(word)
```

```
for line in open('file.txt'):  
    for word in line.lower().rstrip().split():  
        process(word)
```

```
for line in open('file.txt'):  
    for word in line.lower().split().rstrip():  
        process(word)
```

```
for line in open('file.txt'):  
    for word in line.split().rstrip().lower():  
        process(word)
```

```
for line in open('file.txt'):  
    for word in line.split().lower().rstrip():  
        process(word)
```

```
for line in open('file.txt').read().lower().split('\n'):  
    process(word)
```

```
for line in open('file.txt').read().split('\n').lower():  
    process(word)
```



# Overview

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This lecture reviews basic material that you should know about defining and using classes, although it also presents some new (hopefully easy to understand) material that you may have not seen. Primarily this lecture discusses how to use the namespaces in class objects and their instance objects to store data as well as functions/methods.

## Defining Class

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When we define a class in a Python, we are binding the class name to an object representing the entire class. We call the class object to create a new object that is an instance of the class: Python constructs an empty instance object and then calls the `__init__` method defined in the class, (passing the new/empty instance object to the `self` parameter) to initialize the state of the instance object. Recall that all names in Python refer/are-bound to objects; so defining

```
class C:  
    ...
```

creates the name `C` and binds it to an object representing the class `C`.

What names are attributes defined in a class object's namespace? I'm not talking about the instance objects that will be constructed from the class `C`, but the names in the namespace of the class object itself. Mostly, a class defines names that are bound to its methods (`__init__`, etc.), but later in this lecture we will discuss names representing class variables as well as class methods.

When we want to construct an object that is an instance of a class, we refer to a class object (typically by a name bound to it) followed by `()` and possibly arguments in these parentheses. Python does three things when constructing new instance objects:

- (1) It calls a special function that creates an object that is a new instance of the class. Note that this object automatically has a dictionary associated with it, with the name `__dict__`, but that dictionary starts empty.
- (2) It calls the `__init__` method for the class, passing the object created in (1) to the first/`self` parameter of `__init__`, and following this with all

the other argument values in the parentheses used in the call to construct the state of this instance. Typically it assigns values to self/instance variables, storing the name/binding in `__dict__` for the self object.

(3) A reference to the object that was created in (1) and initialized in (2) is returned as the result of constructing the object: most likely this reference will be bound to a name: e.g., `c = C(...)` which means `c` refers to a newly constructed/initialized object of class `C`.

So if we call `c = C(1,2)` Python calls `C.__init__(reference to new object,1,2)` and binds `c` to refer to the newly constructed object somehow initialized by 1 and 2.

Note that we can define other names that can bind to/share the same class object. For example:

```
class C:
    def __init__(self):
        print('instance of C object initialized')
        self.m = 'starting' # initialize an instance/attribute name
```

```
D = C # C and D share the same class object
x = C() # Use C to construct an instance of a class C object
y = D() # Use D to construct an instance of a class C object
```

```
print(C,D,x,y)
print(type(x), type(y), type(C), type(type(x)))
```

Running this script produces the following: the first two lines from calling `__init__` twice, the next two from calling the two print statements above

```
instance of C object initialized
instance of C object initialized
<__main__.C object at 0x027B0E10> <__main__.C object at 0x02889C50>
```

Finally, if we print `(x.m)` or print `(y.m)` it prints 'starting'

We can use the `type` function to determine the type of any object. The objects `x` and `y` refer to are instances of the class `C`, defined in the main script. `C` (and all classes that we define) are instances of a special class called 'type'. So `x` is an instance of `C`, and `C` is an instance of 'type'.

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# Manipulating an object's namespace (and `__init__`):

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All objects have namespaces, which are dictionaries in which names defined in that object are bound-to/associated-with values. Typically we write something like:

```
self.name = value
```

in the `__init__` or any other method, to defined a name in the dictionary of the object self refers to, and bind that name (or update an exsting binding) to refer to value.

Now we illustrate a cruder way to add names to the namespace of an object. This way is not recommended now, but it furthers our understanding of objects and their namespaces in Python, and we will find concrete uses for this understanding later. Given class C defined above, we can write

```
c = C()
print(c.__dict__)
c.x = 1
c.y = 'two'
c.__dict__['z'] = 3
print(c.__dict__)
print(c.m, c.x, c.y, c.z)
```

Running this script produces

```
instance of C object initialized
{'m': 'starting'}
{'x': 1, 'y': 'two', 'm': 'starting', 'z': 3}
starting 1 two 3
```

So, we have used two different forms for adding three names to the namespace/dictionary for the object that c refers to (which initially stores the name m, initialized by `self.m = 'starting'`). Adding names updates the object's `__dict__`. Writing `object.name = value` is equivalent to writing `object.__dict__['name'] = value`.

The object c has a `__dict__` attribute that stores its namespace bindings. Each identifier for which we define an attribute for the object appears as a string keyword in `__dict__`.

Generally we don't initialize the namespace of an object this way; instead we use the automatically-called `__init__` method and its self parameter to do the initialization. But really, the same thing is happening in `__init__` below as was shown above.

```
class C:
    def __init__(self,init_x,init_y):
        print('instance of C object initialized')
```

```

        self.x = init_x                # value depends on the argument
matching init_x
        self.y = init_y                # value depends on the argument
matching init_y
        self.z = 3                     # always the same object: 3

c = C(1, 'two')
print(c.__dict__)

```

Running this script produces the same results.

```

instance of C object initialized
{'z': 3, 'y': 'two', 'x': 1}

```

The purpose of the `__init__` method is to create all the attribute names needed by the object's methods and initializes them appropriately. Typically, once `__init__` is called, no new names are added to the object's namespace (although Python allows additions, as illustrated in the prior section (`c.x = 1`) and some useful examples are illustrated later in this lecture as well). Every object constructed is likely to need exactly the same names: all the names used in the methods defined in the class; methods that process instance objects of the class. The `__init__` method, which is automatically called by Python when an object is constructed, is a convenient place to localize the creation and initialization of all these attribute names.

So, for every assignment statement

```
self.name = value
```

Python puts an entry into the object's namespace (`self.__dict__`) with the key 'name' (keys are strings) associated with value. We can do this in the `__init__` method or after the object is constructed: both ways are shown above. When `self.name` appears in an expression (e.g., `a = self.name`), Python substitutes the expression `self.__dict__['name']` for the right hand side of the `=`, to retrieve the value of that name from the self object's namespace/dictionary.

If we try to access a non-existent attribute name (`c.mumble` in the class C above, which is translated into `c.__dict__['mumble']`), Python raises an exception. `print(c.mumble)` prints the exception as

```
AttributeError: 'C' object has no attribute 'mumble'
```

Note that some names defined in `__init__` (`z` above) always receive the same initialization, so we don't need a parameter to initialize them. But often names need to be initialized to different values when different objects are constructed, so typically we add just enough parameters to the `__init__` method to allow us to specify how those names with different values should be initialized.

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Interlude: assert in initialization

Sometimes `__init__` will ensure that a parameter is matched to an argument that stores a legal and reasonable value for it; if not, Python will raise an exception to indicate that the object being constructed cannot be properly initialized. Sometimes it raises an exception explicitly, using an if statement that tests for an illegal value. Sometimes it uses an assert statement for this purpose. Remember that the form of assert is:

```
assert boolean-test, string
```

which is equivalent to the slightly more verbose

```
if not boolean-test:
    raise AssertionError(string)
```

I suggest that the string argument to `AssertionError` should always contain 4 parts:

(1) The name of the class (if the problem occurs in the method of a class) or the name of the module (if the problem occurs in a function in a module)

(2) The name of the method/function that detects the problem (here `__init__`)

(3) A short description of the problem...

(4) ...including the values of the argument(s) that is causing the problem

For example, if class `C` included an `__init__` method that required `x`'s argument to be a positive integer, we could write `__init__` as follows. We typically check all the arguments FIRST in this method, before binding any `self`/instance names.

```
def __init__(self,x):
    assert type(x) is int and x > 0, 'C.__init__: x('+str(x)+') must be an int and positive'
    ...
```

or

```
def __init__(self,x):
    assert type(x) is int and x > 0, 'C.__init__: x({v}) must be an int and positive'.format(v=x)
    ...
```

If so, calling `C(-1)` would result in the error message

```
C.__init__: x(-1) must be an int and positive
```

and calling `C('abc')` would result in the error message

```
C.__init__: x(abc) must be an int and positive
```

Such a message provides useful information to whoever is writing/debugging the

program. In a well-written program, someone just using the program (possible not a programmer) should not have to read/interpret such a message.

Question: if we wrote the assert as just `x > 0`, what exception would be raised

by calling `C('abc')` and why? Why is the given assertion "better", even though it

is less efficient to check?

We could be even more descriptive and write

```
def __init__(self,x):
    assert type(x) is int, 'C.__init__: x('{v}')) must be an
int'.format(v=x)
    assert x > 0, 'C.__init__: x({v}) must be positive'.format(v=x)
```

Note that `int` refers to the `int` class (not an instance of an `int` class: e.g., not 1), so writing "`type(x) is int`" is checking whether the type of the object

`x` refers to is the same as the object `int` refers to: meaning `x` is bound to an object constructed from the `int` class.

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Once an object is constructed and initialized, typically we use it by calling methods (or possibly passing it to another function/method that calls its methods). We call an object's method using the syntax

```
object.method(arguments);
```

recall the Fundamental Equation of Object-Oriented Programming.

```
o.m(...) is translated into type(o).m(o,...)
```

This means, the method `m` in the class specifying the type of object `o` is called,

and the object `o` used to call the method is passed to the method as the first argument (matching the `self` parameter). For example, `'a;b;c'.split(';')` is translated into `type('a;b;c').split('a;b;c',';')` which is equivalent to `str.split('a;b;c',';')`. It calls the `split` method defined in the `str` class with

the arguments `'a;b;c'` (matching the `self` parameter) and `';`' (matching the second parameter).

Before finishing the discussion of objects and their dictionaries, recall that

`C` refers to a class object. As an object, it also has a `__dict__` that stores its

namespace. Here is some code that shows what names are defined in the `C` object.

```
for (k,v) in C.__dict__.items():
    print(k,'->',v)
```

And here is what it prints.

```
__weakref__ ->
__dict__ ->
__doc__ -> None
__init__ ->
__qualname__ -> C
__module__ -> __main__
```

Note that `__init__` is the only function that we defined, and it is there (on the 4th line). Because I ran this code as a script (the main module), its `__module__` variable is bound to `'__main__'`, which you should know something about, because you should have written (and understand) code like

```
if __module__ == '__main__':
    ...
```

If this module were imported, the `__module__` key would be associated with the file/module name it was written in, when imported. Only the module corresponding to the script that started execution has its `__module__` key bound to `'__main__'`. We can test this name to run the script only when its module is run, not when it is imported by another module. The if accomplishes this behavior.

If I had defined `C` with a docstring it would appear as an attribute of `__doc__`. Don't worry about `__weakref__`, the internal `__dict__` or `__qualname__`.

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# Different kinds of names: definition and use

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Let's discuss four different kinds of names in relation to classes. We will call all these names variables.

- (1) local variables: defined and used inside functions/methods to help with the computation; parameter variables are considered local variables too.
- (2) instance variables of an object: typically defined inside `__init__` and used inside class methods (we saw other ways to define them above too). These are referred to as `self.name`.
- (3) class variables: typically defined in classes (at same level as methods, not inside methods) and typically used in methods; we use a class variable for information COMMON to all objects of a class (rather than putting the same local variable in each object constructed from the

class). Methods are actually class variables, bound to function objects.

All class variables are defined in the class object and they are found by

the Fundamental Equation of Object-Oriented Programming through instances

of that class. That is, if class C defines an attribute a (method or class variable) and x refers to an object constructed from class C, then

x.a will find attribute a in class C, but only if it is not stored directly in x (in x's `__dict__`). For class variables, that is typically what we want.

(4) global variables: typically defined in modules (outside of functions and

classes) and used inside functions and/or class methods; we typically avoid using them (don't use global variables), and when we do use them, we do so in a cautious and limited manner.

You should know how to use all these kinds of variables (and their semantics).

Use local variables and instance variables as needed (most function/methods have the former, and most classes define the latter in `__init__` and use them in

methods). Class variables are sometimes useful to solve certain kinds of problems where common information is stored among all the instances, by storing

them just once in their common class object. Global variables are fine to use in

scripts, but are often frowned upon when declared in modules that are imported

(although they too have their uses there, but in more advanced settings).

The following script uses each kind of variable, appropriately named. Ensure that you understand how each use of these variables works. The use of the command named 'global' (see the two lines with #comments) is explained in more detail below.

```
global_var = 0
```

```
class C:
```

```
    class_var = 0
```

```
    def __init__(self, init_instance_var):
        self.instance_var = init_instance_var
```

```
    def bump(self, name):
        print(name, 'bumped')
        #global_var = 100      # comment out this line or the next
        global global_var      # comment out this line or the previous
        global_var += 1
        C.class_var += 1       # self.class_var get translated to C.class_var
        self.instance_var += 1
```



```

    def report(self, var_name):
        print('instance referred to by', var_name,
              ': global_var =', global_var,
              '/class_var =', self.class_var,      # can write as
self._class_var
              '/instance_var=', self.instance_var)

x=C(10)
x.report('x')
x.bump('x')
x.report('x')
print()

prints
instance referred to by x : global_var = 0 /class_var = 0 /instance_var= 10
x bumped
instance referred to by x : global_var = 1 /class_var = 1 /instance_var= 11

print('y = x')
y = x
y.bump('y')
x.report('x')
y.report('y')
print()

prints
y = x
y bumped
instance referred to by x : global_var = 2 /class_var = 2 /instance_var= 12
instance referred to by y : global_var = 2 /class_var = 2 /instance_var= 12

print('y = C(20)')
y=C(20)
y.bump('y')
y.report('y')
print()

prints
y = C(20)
y bumped
instance referred to by y : global_var = 3 /class_var = 3 /instance_var= 21

C.report(x, 'x')      # same as x.report('x') by the Fundamental Equation of
OOP
type(x).report(x, 'x') # ditto: the meaning of the Fundamental Equation of OOP
print()

prints
instance referred to by x : global_var = 3 /class_var = 3 /instance_var= 12
instance referred to by x : global_var = 3 /class_var = 3 /instance_var= 12

print(C.class_var, x.class_var) # discussed below
print(x.instance_var)

prints
3 3

```

So, the global variable is changing every time, as is the class variable, because there is just one of each. But each object that is an instance of C has its own instance variable, which changes only when bump is called on that instance.

If we instead commented as follows

```
global_var = 100      # comment out this line or the next
#global global_var    # comment out this line or the previous
```

running the script would have the following result: By removing the statement `global global_var`, then the statement `global_var = 100` actually defines a local variable in the bump method -despite its name- so its increment does not affect the true `global_var`, which stays at zero.

Recall, if a variable defined in a function/method has not been declared `global`, it is created as a local variable inside the function/procedure.

Note that one can REFER to the value of a `global_var` inside methods of class C WITHOUT a global declaration (see the report method), but if a method wants to CHANGE `global_var` it must declare it `global` (then all reference and changes are to the real global variable). With no `global global_var`, the assignment `global_var = 100` creates a new name local to the bump method and always binds it to 100.

```
instance referred to by x : global_var = 0 /class_var = 0 /instance_var= 10
x bumped
instance referred to by x : global_var = 0 /class_var = 1 /instance_var= 11

y = x
y bumped
instance referred to by x : global_var = 0 /class_var = 2 /instance_var= 12
instance referred to by y : global_var = 0 /class_var = 2 /instance_var= 12

y = C(20)
y bumped
instance referred to by y : global_var = 0 /class_var = 3 /instance_var= 21
instance referred to by x : global_var = 0 /class_var = 3 /instance_var= 12

1 1
11
```

Finally, it is clear what `C.class_var` and `x.instance_var` refer to, but what about `x.class_var`? As shown above this prints 1 just as `C.class_var` does. This meaning is a result of the Fundamental Equation of Object Oriented Programming (but applied to variable attributes, not method attributes).

-----  
Technically, when specifying `o.attr`, any access to an attribute name in object `o` (whether a variable or method) Python first tries to find `attr` in the object `o`;  
if it is not defined in `o`'s namespace/`__dict__` Python uses the FEOP to try to find it by checking `type(o).attr`, which attempts to find `attr` in `type(o)`'s namespace/`__dict__`. So when trying to find `x.class_var` if fails to find `class_var` in `o`'s namespace/`__dict__`, so it tries `type(o).class_var` or `C.class_var` and finds the `class_var` attribute in `C`'s namespace/`__dict__`.

When we study inheritance, we will learn more about how Python searches for all attributes by generalizing this rule: if it is not in an instance, then it tries in the class that instance was constructed from, and if not in that class, it tries in its super classes, and if not in its superclass....

But for now remember: to find an attribute, look first in the namespace of the object; if it isn't there, then look in the namespace of the class that the object was constructed from.

-----

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# Strange Python (but now understandable)

---

- 1) Defining/using a method for a class, AFTER the class has been declared:
- 2) Defining a method for an instance (but not the whole class) after the instance has been constructed:

We will now discuss one more interesting thing a dynamic language like Python can do (but languages like Java and C++ cannot). We can change the meaning of a class as a program is running. Let's go back to a very simple class `C`, that stores one instance variable, but has no methods that change it. The report method prints the value of this instance variable.

```
class C:
    def __init__(self,init_instance_var):
        self.instance_var = init_instance_var

    def report(self,var_name):
        print('instance referred to by', var_name,
              '/instance_var=', self.instance_var)
```

Now look at the following code. It defines `x` to refer to an object constructed from the class `C`, which defines only a report method (and then it calls that method to report). Next it defines the bump function with a first parameter

named self: its body increments the instance\_var in self's namespace dictionary. We call bump with x and it updates x's instance\_var (as seen by the report).

Then we do something strange. We add the bump function into the namespace of C's class object with the name cbump (that is just the same as writing C.\_\_dict\_\_['cbump'] = bump, creating the cbump method). We could have used just bump, but instead used a slightly different name. Then, we call x.cbump('x') which by the Fundamental Equation of OOP is the same as calling C.cbump(x, 'x') and because we just made the cbump attribute of the object representing class C refer to the bump function, its meaning is to call the same function object that bump refers to.

```
x=C(10)
x.report('x') # By FEOOP, exactly the same as calling C.report(x,'x')
print()
```

```
prints
    instance referred to by x /instance_var= 10
```

```
def bump(self,name):
    print(name,'bumped')
    self.instance_var += 1
```

```
bump(x,'x')
x.report('x')
print()
```

```
prints
    x bumped
    instance referred to by x /instance_var= 11
```

```
C.cbump = bump; # put bump in the namespace of C, with the name cbump
x.cbump('x')    # By FEOOP, exactly the same as calling C.cbump(x,'x')
x.report('x')
print()
```

```
prints
    x bumped
    instance referred to by x /instance_var= 12
```

```
y=C(20)
y.cbump('y')
y.report('y')
```

```
prints
    y bumped
    instance referred to by y /instance_var= 21
```

So, even after the class C has been defined, we can still add a method to its namespace and then can call it using any object that has already been (or will

be) constructed from the class C. That is, we can change the meaning of a class C, and all the objects constructed from the class will respond to the change through the FEOOP.

Recall that the del command gets rid of an association in a dict. If we wrote del C.cbump, then if we tried to call that method by x.cbump('x') the result would be that Python raises

```
AttributeError: 'C' object has no attribute 'cbump'
```

So we can both ADD and REMOVE names from a class object's namespace!

Note that we could have defined/written bump as follows (note use of p not self as the first parameter of bump)

```
def bump(p,name):
    print(name,'bumped')
    p.instance_var += 1
```

and nothing changes, so long as every occurrence of self is changed to p inside the bump function (as is done). We could likewise write

```
def report(p,var):
    ...
```

inside the C class itself. The parameter name self is just the standard name used in Python code; but there is nothing magical about this name, and we can substitute whatever name we want for the first parameter. This parameter will be matched with the object used to call the method. Although any name is allowed, I strongly recommend always following Python's convention and using the name self. Eclipse always supplies the name self automatically as the first parameter to any methods we define.

-----

Defining a method for an instance (but not the whole class) after the instance has been constructed:

In fact, Python also allows us to add a reference to the bump method to a single instance of an object constructed from class C, not the class itself. Therefore unlike the example above, bump is callable only on that one object it was added to, not on all the other instances of that class. We can also use this technique to add a method to an object that is different than the one defined in the object's class.

Start with the class C as defined above, defining just \_\_init\_\_ and report. Then

```
def bump(self,name):
    print(name,'bumped')
    self.instance_var += 1
```

```

x = C(0)
y = C(100)

x.bump = bump;

x.bump(x, 'x')
x.bump(x, 'x')
x.report('x')

y.bump(y, 'y')      # fails because there is no bump attribute in the object y
y.report('y')       #      refers to, either directly in y or in y's class C

```

Note that calling `x.bump(..)` finds the bump method in `x`'s namespace, without needing to translate it using the Fundamental Equation of Object-Oriented Programming. Without the translation, we explicitly need to pass the `x` argument which becomes `bump`'s `self` parameter.

Generally when looking up the attribute `x.attr` (whether `attr` is a variable or method name), Python first looks in the namespace of the object `x` refers to, and if it doesn't find `attr`, it next looks in the namespace of the object `type(x)` refers to.

When run, this script produces:

```

x bumped
x bumped
instance referred to by  x /instance_var= 2
Traceback (most recent call last):
  File "C:\Users\USERNAME\Desktop\python32\test\script.py", line 21, in
    y.bump(y, 'y')      # fails because there is no bump attribute in the
object y
AttributeError: 'C' object has no attribute 'bump'

```

The error message mentions `C` because after failing to find the `bump` attribute in the object `y` refers to, it looks in the object `C` refers to; when it fails there too, the raised exception reports the error.

So, we can add methods to

- (a) classes: methods that all the instances of that class can refer to, via FEEOP
- (b) an instance of the class, such that only that instance can call the method  
(and their `self` parameter must also be passed as an explicit argument), since FEOOP is not used.

-----

Combining both worlds using delegation

Suppose that we wanted to be able to call methods attached to objects, but do so using the standard `object.method(...)` syntax, as we did in the first part of this section. In the second part of this section, we had to duplicate the

object, e.g., calling `x.bump(x,'x')` instead of just `x.bump('x')`. We will see how to return to this simpler behavior using the concept of delegation in Python.

We start by defining `C` as follows, adding the `bump` function below

```
class C:
    def __init__(self,init_instance_var):
        self.instance_var = init_instance_var

    def report(self,var):
        print('instance referred to by', var,
              '/instance_var=', self.instance_var)

    def bump(self,name):
        try:
            self.object_bump(self,name)
        except AttributeError:
            print('could not bump',name) # or just pass to handle the
exception;                               # or omit try/except altogether
```

In this definition of `C`, there is a `bump` method defined in the class `C`, for all instances constructed from this class to execute, findable by FEEOP.

When `bump` is called here, it tries to call a method named `object_bump` on the instance it was supplied, passing the object itself to `object_bump` (doing explicitly what FEEOP does implicitly/automatically). If that instance defines

an `object_bump` function, it is executed; if not, Python raises an attribute exception, which at present prints a message, but if replaced by `pass` would just silently fail. Of course, we could also remove the entire `try/except` so an attribute failure would raise an exception and stop execution.

Note that in the call `x.bump(...)` Python uses the Fundamental Equation of OOP to translate this call into `C.bump(x,'x')`, which calls the equivalent of `x.object_bump(x,'x')`.

In the world of programming, this is called delegation (which we will see more

of): the `bump` method delegates to the `object_bump` method (if present) to get its work done.

Here is a script, using this class. It attaches different `object_bump` methods to the instance `x` refers to, and the instance `y` refers to, but not to the instance `z` refers to (nor to the class `C`). It calls this `object_bump` method not directly, but through delegation by calling `bump` in the `C` class.

```
x = C(10)
y = C(20)
z = C(30)

def bump1(self,name):
    print('bump1',name)
```

```

        self.instance_var += 1

def bump2(self,name):
    print('bump2',name)
    self.instance_var += 2

x.object_bump = bump1
y.object_bump = bump2
# No binding of z.object_bump

x.report('x')
x.bump('x')
x.report('x')
print()

prints
instance referred to by x /instance_var= 10
bump1 x
instance referred to by x /instance_var= 11

y.report('y')
y.bump('y')
y.report('y')
print()

prints
instance referred to by y /instance_var= 20
bump2 y
instance referred to by y /instance_var= 22

z.report('z')
z.bump('z')
z.report('z')

prints
instance referred to by z /instance_var= 30
could not bump z
instance referred to by z /instance_var= 30

```

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## Redefinition of Function Names

---

Note that we can redefine a function or class. For example, we can write

```

def f(): return 0
def f(): return 1
print(f())

```

Calling `f()` would return 1. Eclipse gets upset about this, and marks the second definition as an error (duplicate signature), but there is nothing technically wrong with this code (although the first definition is useless, and there may be a mistake in the spelling of one of these functions). Python will run the script. We can also write the following script, which Eclipse



won't complain about, and runs the same.

```
def f(): return 0
def g(): return 1
f = g
print(f())
```

Calling `f()` returns 1. Again, `def` just makes a name refer to a function object; if, as in the case of the two definitions of the `f` name above, the name already refers to an object, the binding of `f` is just changed to refer to the function object `g` refers to.

Conceptually, it is no different than writing `x = 1` and then `x = 2` (changing what `x` refers to from the int object 1 to the int object 2).

We can do the same thing for classes, as we saw with the names `C` and `D` in the first example in these notes.

In summary, `def f` or `class C` just define a name and binds it to a function/class object. We can call the function or the class's constructor. We can rebind that name later to any other object. We can even write

```
def f(): return 0
f = 0
```

Now `f` is bound to an int instance object, not a function object.

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# Accessor/Mutator Methods (or query/command methods) and Instance Variables...single/double underscore prefix

---

If calling `o.method(...)` returns information about an `o`'s state but does not change `o`'s state, it is called an accessor (or query). If `o.method(...)` changes `o`'s state and returns `None` (all functions/methods must return some value), it is called a mutator (or command). Some method calls do both: they change `o`'s state but also return a non-`None` value.

A design question arises. Suppose that we know that an object `o` of a class `C` has an instance variable name `iv`: should we directly refer to `o.iv`? Should we use its value by writing `o.iv` or change it by writing `o.iv = ....`? The high

road says, no: a class should hide the actual instance variables from the clients/users of the class; they should provide methods to manipulate the objects under control of the class. What instance variables we need to implement a class might change over time, but the methods that define the behavior of objects created from that class should stay the same and always work correctly with whatever instance variables we are using.

Python is a bit at odds with this philosophy. Some languages (Java/C++) have a mechanism whereby instance variables can be tagged PRIVATE, so that they are accessible only from methods defined in the class itself; these languages do not allow new instance variables nor methods to be added dynamically to objects as Python does (as we showed above).

Python's philosophy is a bit more open to accessing instance variables outside of the class methods. But there is danger in doing so, and beginners often use this convenience and end up taking longer to get their code to work correctly, and also make it harder to understand and change the code, because they are accessing information that is not guaranteed to be there in future changes to the code.

In fact, Python does have a weaker form of tagging PRIVATE names, which we can use for names that should not be referred to outside the methods in the object's class. Below we explain the meaning of instance variable names that begin with one or two underscores (but don't have two trailing underscores, so are unlike `__init__`).

-----  
Single Underscore:

When a programmer uses a single underscore in a name in a class (for data or a method), he/she is indicating that the name should NOT BE ACCESSED outside of the methods in the class. But, there is nothing in the Python interpreter that stops anyone from accessing that name. We can use this convention for private data and helper methods.

```
class C:
    def __init__(self):
        self._mv = 1

    def _f(self):
        return self._mv == 1

x = C()
print(x._mv, x._f())
```

When run, this script produces: 1 True

Still, if a class is written with names prefixed by a single underscore, it indicates that objects constructed from that class should not access those names outside of the methods defined inside the class.

-----

Double Underscore:

If a Python name in a class begins with two underscores, it can be referred to by that name in the class, but not easily outside the class: but it can still be referred to outside the class, but with a "mangled" name that includes the name of the class. If a class C defines a name `__mv` then the name outside the class can be referred to as `_C__mv`. This is called a "mangled" name.

So, if we changed the code in the class C above by writing `_mv` as `__mv` and `_f` as `__f`, and tried to execute

```
x = C()
print(x.__mv, x.__f())
```

Python would complain by raising an `AttributeError` exception for the first value in the print statement

```
AttributeError: 'C' object has no attribute '__mv'
```

If `x.__mv` was removed, Python would complain about `x.__f()`, indicating the object has no attribute `'__f'`.

But given class C defines `__mv`, and `__f` we could execute the following code

```
x = C()
print(x._C__mv, x._C__f())
```

by writing the mangled names. When we run this script it again produces: 1  
True

In fact, if we printed the dictionary for `x`, it would show `'_C__mv'` as a key, which is the true name of these functions outside of the module.

```
print(x.__dict__) would print: {'_C__mv': 1}
```

So, Python does contain two conventions for hiding names: the first (one underscore) is purely suggestive; the second (two underscores) actually makes it harder to refer to such names outside of a class.

But neither truly prohibits accessing the information by referring to the name.

When we discuss operator overloading (later this week) and inheritance (later in the quarter) we will learn more about controlling access to names defined in objects and classes.

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# Defining classes in unconventional places

---

We normally define classes in modules, and often a module does nothing but define just one class (although some define multiple, related classes). Other modules define lots of functions. All such modules are called library modules (not scripts) because they typically don't run code themselves, but we import them to gain access to the names (of classes and functions) that they define. (If they do run code, it is inside the code if `__module__ == '__main__'`: and often the code there allows us to test the class or module).

We can declare a class in a function and call the function to return an object constructed from the class (and even use the returned object if we know its defined instance variables or methods).

```
def f(x):
    class C:
        def __init__(self,x):  self.val = x
        def double(self)      :  return 2*self.val
    return C(x)

y = f(1)
print(y, y.val, y.double())
```

When run, this script prints the following.

```
<__main__.f..C object at 0x02829170> 1 2
```

The first value indicates (reading the first word after the left angle-bracket, from back to front) that class C is defined local to function f, which is in the script we ran (named by Python to be `__main__`).

We can also declare a class in a class, and call some method in the class to return an object constructed from the inner class (and even use the object if we know its defined instance variables or methods).

```
class C:
    def __init__(self,x,y):
        self.x = x
        self.y = y
    class Cinner:
        def __init__(self,x):  self.val = x
        def double(self)      :  return 2*self.val
    def identity(self)         :  return (self.x, self.y)
    def x_construct(self):     return C.Cinner(self.x)
    def y_construct(self):     return C.Cinner(self.y)

z = C(1,2)
```

```

a = z.x_construct()
b = z.y_construct()
print( z, a, b, sep='\n')
print(z.identity(), a.double(), b.double())

```

When run this script prints:

```

<__main__.C object at 0x02A36310>
<__main__.C.Cinner object at 0x02A36290>
<__main__.C.Cinner object at 0x02A36E70>
(1, 2) 2 4

```

`z.x_construct()` returns a reference to an instance of class `C.Cinner`, that was initialized by the `x` parameter in the `__init__` method. When we call `a.double()`, the `double` method defined in `Cinner()` returns twice the value it was initialized with.

In fact, instead of the `x_construct` and `y_construct` functions, we could define a more general `construct` function that takes either `'x'` or `'y'` as arguments and constructs an object for `self.x` or `self.y`. The first way to do this uses the parameter (matching `'x'` or `'y'`) as a key to access `__dict__`

```
def construct(self, which): return C.Cinner(self.__dict__[which])
```

The second way to do this uses the `eval` function, whose argument is either `'self.x'` or `'self.y'` depending on the value of `which`.

```
def construct(self, which): return C.Cinner(eval('self.'+which))
```

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# Defining/Using Static Methods in Classes

---

A method defined in a class is considered "static" if it does not have a `self` parameter. Sometimes it is useful to write methods in a class that have this property.

For example, suppose that we wanted to declare a `Point2d` class for storing the `x, y` coordinates for Points in 2-d space. The `__init__` method would take two such arguments. But suppose that we also wanted to create `Point2d` objects by specifying polar coordinates (i.e, using a distance and angle in radians). We could write such a class (with this static method) as follows.

```
import math
```

```

Class Point2d:
    def __init__(self, x, y):
        self.x = x
        self.y = y

```

```

@staticmethod
def from_polar(dist,angle):
    return Point2d( dist*math.cos(angle), dist*math.sin(angle) )

...more code

```

This method is preceded by `@staticmethod` (a decorator: we will discuss decorators later). It is meant to be called from outside the class, to create `Point2d` objects from polar coordinates. We can write calls like

```

a = Point2d(0., 1.)
b = Point2d.from_polar(1.0, math.pi/4)

```

Notice that we call `Point2d.from_polar` outside of the class by using the class name `Point2d` and the static method name `from_polar` defined in that class. It has no `self` parameter.

Likewise, suppose that we wanted to write a helper function for computing the distance between two `Point2d` objects as a static method in this class. We could write it as

```

@staticmethod
def _distance(x1,y1,x2,y2):
    return math.sqrt( (x1-x2)**2 + (y1-y2)**2 )

def dist(self,another):
    return Point2d._distance(self.x, self.y, another.x, another.y)

```

Here this helper function is meant to be called only by the `dist` method in this class, so we write its name with a leading underscore. Note that again we call it using `Point2d`. Because of FEOOP, we could also call this helper as `self._distance(self.x, self.y, another.x, another.y)` because `type(self)` is `Point2d`. Because it is static, the call does not put `self` as the first argument.

Finally, we could also write this helper function as a global function defined outside `Point2d`, in the module that `Point2d` is defined in. But it is better to minimize any kinds of global names; so, it is better to define this name inside the class. In this way it won't conflict with any other name the importing module has defined.

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# Problems

---

1) What does the following script print?

```

class C:
    def __init__(self):
        print('C object created')
D = C
def C():
    print('C function called')

x = C()
y = D()

```

2) What does the following script print? Draw a picture of the object `x` refers to using the graphical form for representing objects we learned during Week #1.

```

class C:
    def __init__(self,a):
        self.a = a

x = C(1)
C.__init__(x,2)
print(x.a)

```

3) Write a class `C` whose `__init__` method has a `self` parameter, followed by `low` and `high`. `__init__` should store these values in `self`'s dictionary using the same names, but do so only if `low` is strictly less than `high` (otherwise it should raise the `AssertionError` exception with an appropriate string).

4) Explain why each of the following code fragments does what it does: two execute (with different results) and one raises an exception.

<pre> g = 0 def f():     print(g) </pre>	<pre> g = 0 def f():     print(g)     global g     g += 1 </pre>	<pre> g = 0 def f():     print(g)     g += 1 </pre>
<pre> f() g += 1 f() </pre>	<pre> f() g += 1 f() </pre>	<pre> f() g += 1 f() </pre>

5) Write a class `C` that uses a class variable to keep track of how many objects are created from `C` (remember that each object creation calls `__init__`)

That is, for a class `C`

```

a = C(...)
b = C(...)
print(C.instance_count) prints 2
c = C(...)
print(C.instance_count) prints 3

```

6) What would the following script print; explain why. Also, explain why the call `self.object_bump(name)` in `bump` is not `self.object_bump(self,name)` as it was in the notes.

```
class C:
    def __init__(self,init_instance_var):
        self.instance_var = init_instance_var

    def report(self,var):
        print('instance referred to by', var,
              '/instance_var=', self.instance_var)

    def bump(self,name):
        try:
            self.object_bump(name)
        except AttributeError:
            print('could not bump',name) # or just pass to handle the
exception

x = C(10)
y = C(20)

def bump(self,name):
    print('bumped',name)
    self.instance_var += 1

C.object_bump = bump

x.report('x')
x.bump('x')
x.report('x')

y.report('y')
y.bump('y')
y.report('y')
```

7) The function `print` is bound to a function object. What is printed by the following script (and why)?

```
print = 1
print(print)
```



Iterators are one of the most useful (and used) features in Python. Besides being used explicitly in loops (including for loops in comprehensions), constructors often include a parameter that is iterable, whose values are used to initialize the state of the objects they are building: e.g., we write `set(alist)` to create a set with all the values from `alist` (obviously removing duplicates in the process). Also, calls to functions/classes like `sorted/reversed` are used in iterators: e.g., `for i in sorted(aset): ...` takes an iterable as an argument and returns a list.

In the next three lectures we will explore iterators in more detail. The first focuses on the underlying mechanics of iterators; the second focuses on examples of iterators written in/for classes; the third introduces a new kind of function, called a generator (in computer science it is also known as a coroutine) that returns a value, but when called again remembers where it "left off" to return another value: this feature makes writing all sorts of iterators as functions (not classes) much easier.

Throughout these lectures we will also discuss iterator efficiency issues (both time and space): because iterators are used so often, their running times can dominate a program's running time; they often use little space because they produce their values one at a time, rather than storing them all in a data structure which is iterated over (although with constructors and comprehensions we can easily create such a data structure from a iterator if we need to).

In this lecture we will learn about the `__iter__` and `__next__` methods (which a class must implement to be iterated over), how a for loop for iteration is equivalent to a while loop (which needs a try/except block to catch the `StopIteration` exception that is raised by "exhausted" iterators), and how sharing and mutation affects iterators: sometimes they can cause problems, and we will learn how to mitigate such problems, although often at the cost of using more space.

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## Semantics of the For Loop

---

For a class to be iterable (i.e., used in a for loop) it must implement the iterator protocol, which means the `__iter__` and `__next__` methods. A protocol is just a group of methods that work together to accomplish something useful. We have already seen the `__enter__` and `__exit__` methods that work together to

implement the context manager protocol. Included in the iterator protocol is the StopIteration class, which is an exception raised by `__next__` to signal there are no more values to iterate over (terminating the for loop). The builtins module defines the StopIteration class.

Before writing some classes that act as iterators, we will explore the semantics of Python's for loop, by showing how to build it from an equivalent -but more primitive- while loop. We can think of Python translating the former into the latter; the while loop is more primitive than the for loop, so we can see more details of how for loop works by analyzing the while loop. In this process we will better understand for loops, and also see how to write loops that process iterators in a more intricate way than the straightforward (but simple and very useful) way that for loops process them.

-----  
else Interlude

Before discussing this translation, we should first understand how the else keyword is used in for/while loops. Since I'm not sure how familiar you are with these statements, I'll start at the beginning (but move quickly).

1) The break statement in Python (just the keyword break as a statement) can be put in any for/while loop; semantically, when executed it terminates the loop.  
I often write loops like

```
while True:
    statements
    if test:
        break
    statements
```

In fact, when the if test: break statement appears first in the the loop, as shown below,

```
while True:
    if test:
        break
    statements
```

we can write an equivalent while loop that incorporates the test directly

```
while not (test):    # not is high precedence, so I put () around test
    statements
```

Some programmers/educators banish using breaks in loops, but I think that edict is too extreme. I could give a long lecture on how programmers (and educators who teach programming ) feel about break statements. Instead I'll just say the following.

a) When I teach indefinite looping I teach the while True/break form first. It decouples deciding to loop from how the loop terminates. When students need to write a loop, they write an infinite loop first, and

later decide where to test the "termination" condition, and what this condition should be; this test can be stated in the "positive" form: terminate when the test is True.

b) If that test is the first statement in the loop (as shown above; sometimes we need to re-arrange code to get equivalent code with that test first) then I will SOMETIMES convert it into a while loop whose test is a "continuation" condition; the test must be stated in the "negative" form: terminate when the test is False (equivalent to continue when the test is True).

c) I think it is much easier to think about termination (stop when some specific state occurs) than about continuation (continue in lots of other states). Think about terminating when a value reaches 0 versus continuing when the value is any positive number.

d) Students sometimes go crazy and write too many different conditional breaks inside loops: there is no limit. Programmers need to work hard to reduce the number of breaks to simplify their code, but sometimes the simplest to understand code has one or a few breaks. In fact, we can also have conditional breaks in for loops, because they can terminate that kind of loop too (since for loops, as we'll see below, are translated into while loops): and sample use might be searching over a range of values with a for loop, but terminating when a special value is reached.

e) Often difficulties with breaks get resolved if we take the loop code we are writing and put it in a function, replacing multiple breaks by multiple returns. Of course some programmers/educators don't like to write functions with multiple returns.

Here is a canonical example of a while loop that is more easily understood when written as a while True loop with a conditional break. This is a "sentinel" loop, which sums the values read until a sentinel (-1) is read. It is a "middle exit" loop, because termination is computed in the middle of the body of the loop.

```
sum = 0
while True:
    value = prompt.for_int('Enter test score (-1 to terminate)')
    if value == -1:
        break
    sum += value
print(Sum =',sum) # we know here the loop exited, so value == -1 is True
```

To use a while test loop (and no conditional break), we would need to write

```
sum = 0
value = prompt.for_int('Enter test score (-1 to terminate)')
while value != -1:
    sum += value
    value = prompt.for_int('Enter test score (-1 to terminate)')
print(Sum =',sum) # we know here the loop exited, so value != -1 is False
```

What I object to in the code above is the duplicate prompt; in other code there might be more even statements duplicated.

2) The actual syntax of a for/while loops are

for index(es) in iterable:	while boolean-expression:
block-body	block-body
[else:	[else:
block-else]	block-else]

Semantically, when each loop terminates (it may terminate "normally" or by executing a break inside the first block), if the else keyword is present and the loop terminated normally, then Python executes block-else. So else means: execute block-else if the loop didn't execute a break to terminate. In the case of the for loop, it means the iterator stopped; in the case of the while loop it means the boolean-expression finally evaluated to False.

As a simple example that illustrates the use of else, we could write the following code (notice the else is indented at the level of the for, not the if)

```
for i in range(100):
    if special_property(i):
        print(i, 'is the first with the special property')
        break
else:
    print('No value had the special property')
```

I don't find myself writing else in loops much, but that might be because I am new to a language that allows such a feature. As I continue to use Python, I'll come to a more concrete conclusion about the usefulness of this language feature.

-----

OK, now back to the main event: iterators. Python translates for loops like

```
for index(es) in iterable: # indexes for unpacked assignment
    block-body
[else:
    block-else]
```

into the following code.

```
_hidden = iter(iterable) # ultimately calls iterable.__iter__()
try:
    while True:
```

```

        index(es) = next(_hidden)    # ultimatelyl calls _hidden.__next__()
        block-body
    except StopIteration:
        pass                          # A place-holder in case [] discarded;
        [block-else]                  # the except block cannot be empty

```

Note that like Python's len function, its iter and next functions translate into method calls of `__iter__` and `__next__` on their argument: e.g.,

```

def iter(i):
    return i.__iter__()

```

```

def next(i):
    return i.__next__()

```

Study this equivalence carefully. Note that `_hidden` is a special name used by Python to translate the for loop (it is not really "`_hidden`"; I'm just trying to indicate it is a hidden name); it is initialized by calling `iter(iterable)` but we cannot use this name in our code; it can be used only in the Python translation of the for loop. Then Python executes a while True loop INSIDE a try/except statement. Each iteration of the loop binds the next value(s) to be iterated over to `index(es)` and then executes `block-body`. This rebinding/block-body execution continues until either

(1) `next` raises the `StopIteration` exception, which is handled by causing the loop to terminate (the except is OUTSIDE the loop, so handling the exception causes Python to exit the loop, terminating it) and executing `block-else` (and if there is not `block-else`, just executing `pass`)

(2) `block-body` executes a `break` statement, which causes the loop to terminate. Because there was no exception in the body of the try, the try/except terminates without having to handle an exception, and therefore does not execute `block-else`.

As a concrete example, the simple for loop

```

for i in range(1,6):    # for the values 1-5
    print(i)
    #break # uncomment to see what break does
else:
    print('executing else')

```

is translated into

```

_hidden = iter(range(1,6))
try:
    while True:
        i = next(_hidden)
        print(i)
        #break # uncomment to see what break does
except StopIteration:
    pass
    print('executing else')

```

Try executing both scripts in Eclipse, including uncommenting each break statement to observe the effect of executing this statement: it terminates the loop and doesn't print 'executing else'

Now that we understand the use of the iter/next functions, we can write more interesting loops (while loops) that process iterables. For example, suppose that we wanted to write a function that returned a list of the absolute values of the differences between each adjacent pair of values in an iterable: e.g., for the 5-list [5, 2, 8, 3, 5] it would return the 4-list [3, 6, 5, 2], where  $3 = \text{abs}(5-2)$ ,  $6 = \text{abs}(2-8)$ ,  $5 = \text{abs}(8-3)$ , and  $2 = \text{abs}(3-5)$ : there will always be one fewer values in the returned list because in a list of N values there are N-1 adjacent pairs to subtract.

The following simple code works for arguments that can be sliced (like lists)

```
def abs_dif(alist):
    return [abs(a-b) for a,b in zip(alist,alist[1:])]
```

But it is not easy to write this function efficiently using a for loop operating on an arbitrary iterable (since arbitrary iterables don't support slicing). We could turn the iterable into a list but that would waste space.

```
def abs_dif(iterable):
    alist = list(iterable)
    return [abs(a-b) for a,b in zip(alist,alist[1:])]
```

We can write abs\_dif without ever turning the iterable into a list as follows, using the kind of code we explored above.

```
def abs_dif(iterable):
    answer = []
    i = iter(iterable)
    v2 = next(i)
    try:
        while True:
            v1, v2 = v2, next(i)      # first time, v1 is 1st value, v2 is
2nd                                # 2nd value
            answer.append(abs(v1-v2))
    except StopIteration:
        pass
    return answer
```

```
print(abs_dif(range(1,10,2)), abs_dif([5, 2, 8, 3, 5]))
```

Now, I wouldn't call this function simple/beautiful, but it is pretty easy to write and understand. I don't think this function can be written with a for loop or comprehension (and not waste space), and I'm unsure if there are any other Python features that we could use to write it more simply and efficiently. But maybe I just haven't yet seen the right Python idiom.

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# Classes implementing the iterator protocol: how range really works in Python

---

In this section we will first write a very simple Countdown class and then a more complex prange class (pseudo-range) that acts like the real range class from Python's builtins module. Then we will generalize it by overloading some simple operators for it (as the real range class does). I'm not sure how range is really implemented, but this implementation seems straight-forward.

I would like the following iterator behavior for Countdown objects. The loop

```
for i in Countdown(10):
    print(str(i)+' ', end='')
print('blastoff')
```

Should print: 10, 9, 8, 7, 6, 5, 4, 3, 2, 1, 0, blastoff

Here is the class implementing these semantics.

```
class Countdown:
    def __init__(self, last):
        self.last = last        # self.last never changes

    # __iter__ must return an object on which __next__ can be called; it
    returns
    # self, which is an object of the Countdown class, which defines __next__
    # Later we will see a problem with returning self: when multiple
    Countdown
    # objects must be iterated over simultaneously.

    def __iter__(self):
        self.n = self.last      # self.n changes in __next__
        return self

    def __next__(self):
        if self.n < 0:
            raise StopIteration
        else:
            answer = self.n      # or, self.n -= 1
            self.n -= 1          # return self.n+1
            return answer
```

In this class, when `__iter__` is called it (re)sets `self.n` (the value `__next__` will return first) to `self.last` (which is set in `__init__` and never changes for a constructed object). The `__iter__` method has a requirement that it must return an object that defines a `__next__` method. Here it returns `self`, which is an object constructed from `Countdown`, which defines `__next__` (right below

`__iter__`).

When `__next__` is called it checks whether `self.n` has been decremented past 0, and if it has, throws `StopIteration`; otherwise it returns the current value of `self.n` but before doing so it decrements `self.n` by 1 (by saving it, decrementing it, then returning the saved value).

Note that if we substituted `Countdown(-1)` in the loop above, its body would be executed 0 times and nothing would be printed before "blastoff".

Also, the following code counts-down twice; in each for loop `__iter__` is called, which initializes `self.n` to 10 before calling `__next__` multiple times.

```
cd = Countdown(10)
for i in cd:
    print(str(i)+' ', end='')
print ('blastoff')

for i in cd:
    print(str(i)+' ', end='')
print ('blastoff')
```

It should print:

```
10, 9, 8, 7, 6, 5, 4, 3, 2, 1, 0, blastoff
10, 9, 8, 7, 6, 5, 4, 3, 2, 1, 0, blastoff
```

-----

Quick interlude: I originally said Python defines `iter` like `len`:

```
def iter(i):
    return i.__iter__()
```

But the truth is closer to the following (not completely true yet, but correct until we discuss inheritance).

```
def iter(i):
    x = i.__iter__()
    if '__next__' not in x.__dict__ and '__next__' not in type(x).__dict__:
        raise TypeError('iter() returned non-iterator of type '+type_as_str(x))
    return x
```

If neither the object returned by `i.__iter__()` or the class (type) it was constructed from define a `__next__` method, then Python raises an exception immediately: not waiting for a call of `__next__` on the iterator to raise a `NameError` exception.

-----

`prange`: initialization (and the meaning of its arguments)

Now let's aim higher and write a `prange` class that operates like the `range` class. Recall there are 3 possible parameters for `range`: start, stop, and step.



Start has a default value of 0 and step has a default value of 1, but we cannot write the following parameter structure for the `__init__` in `prange`.

```
def __init__(self, start=0, stop, step=1):
    ....
```

because `prange(5)` would be an illegal call: it would bind `start` to 5 but have no value to bind for `stop`; but in this case `start` should be 0 and `stop` should be bound to 5. More generally `prange(5)` should bind the first/only argument to `stop`; `prange(1,5)` should bind the first argument to `start` and the second to `stop`; and `prange(1,5,2)` should bind the first argument to `start` and the second to `stop`, and the third to `step`. How can we write such arguments for such an `__init__` method?

Here is the start of the `prange` class, using `*args` in `__init__` to solve this parameter problem. The `__init__` methods raises exceptions like `range`: there must be 1-3 int arguments and the value of `step` cannot be 0; the `__repr__` method returns a string just like `range`: it always shows `start` and `stop` values, but shows the `step` value only if it is not 1.

To run this code, I need to import the `type_as_str` function from `goody`.

```
class prange:
    def __init__(self, *args):
        for a in args:
            if not type(a) is int:
                raise TypeError('\''+type_as_str(a)+'\' object cannot be
interpreted as an integer')

        self.start, self.step = 0,1 # defaults
        if len(args) == 1:
            self.stop = args[0]
        elif len(args) == 2:
            self.start, self.stop = args[0], args[1]
        elif len(args) == 3:
            self.start, self.stop, self.step = args[0], args[1], args[2]
            if self.step == 0:
                raise ValueError('3rd argument must not be 0')
        else:
            raise TypeError('range expected at most 3 arguments, got
'+str(len(args)))

    def __repr__(self):
        return 'prange('+str(self.start)+','+str(self.stop)+(' if
self.step==1 else ','+str(self.step))+')'
```

-----

`prange`: implementing the iteration protocol

Now let's add the main functionality: the iterator protocol methods. They are similar to but generalize what we wrote in the `Countdown` class.

```

    def __iter__(self):
        self.n = self.start # first value to return
        return self         # must return object on which __next__ is
callable

```

```

    def __next__(self):
        if self.step > 0 and self.n >= self.stop or\
            self.step < 0 and self.n <= self.stop:
            raise StopIteration
        answer = self.n
        self.n += self.step
        return answer

```

In this class, when `__iter__` is called it (re)sets `self.n` (the value `__next__` will return) to `self.start` (which never changes). The `__iter__` method has a requirement that it must return an object that defines a `__next__` method. Here it returns `self`, which is an object constructed from `prange`, which defines `__next__` (right below `__iter__`).

When `__next__` is called it checks whether `self.n` has reached or exceeded `self.stop` (different tests, depending on whether `self.step` is positive or negative: `self.step` cannot be 0) and throws `StopIteration`; otherwise it returns the current value of `self.n` but before returning it increments `self.n` by `self.step` (by saving it, incrementing it, returning the saved value). We could avoid the temporary name `answer` by writing the following code, but it seems clumsy to me.

```

        if self.step > 0 and self.n >= self.stop or\
            self.step < 0 and self.n <= self.stop:
            raise StopIteration
        self.n += self.step
        return self.n - self.step

```

We discussed similar code in `Countdown`.

Try running various loops or comprehensions using `prange` objects to ensure that this code perform like Python's built-in `ranges`.

-----

`prange`: overloading operators

Now we move `prange` closer to Python's real `range` class by writing methods that implement `__len__`, `__getitem__`, `__contains__`, and `__reversed__`. All of these methods use some fancy mathematics to compute their results, so I won't discuss here how these method work in detail (but I encourage you to examine them and calculate examples). Note that `__reversed__` just returns a new `prange` object, but with different start/stop values and a step that is the opposite.

To run this code, I need to import `math` (to use the `math`'s ceiling function:

returns an integer  $\geq$  its float/int argument; ceiling(3.9) is 4).

```
def __len__(self):
    if self.step > 0 and self.start >= self.stop or \
        self.step < 0 and self.start <= self.stop:
        return 0
    else:
        return math.ceil((self.stop-self.start)/self.step)

def __getitem__(self,n):
    if n < 0 or n >= len(self) : # yes, could be n >= self.__len__()
        raise IndexError(str(self)+'['+str(n)+'] index out of range')
    return self.start+n*self.step

def __contains__(self,n):
    if self.step > 0:
        return self.start<=n< self.stop and (n-self.start)%self.step == 0
    else:
        return self.stop< n <= self.start and abs(n-
self.start)%abs(self.step) == 0

def __reversed__(self):
    if self.step > 0:
        return prange(self.start+(len(self)-1)*self.step,self.start-1,-
self.step)
    else:
        return prange(self.start+(len(self)-1)*self.step,self.start+1,-
self.step)
```

-----

prange: an alternative implementation (poor use of space and potentially time)

For my final topic in this section, I am going to rewrite an alternative implementation of this class: one that uses `__init__` to generate and store the complete list of values that are in a range. I will then discuss the complexity of the code and some time/space tradeoffs.

In this code, the `__init__` method computes and remembers start, stop, and step (but only for use in `__repr__`). The code at the end of `__init__` is a while loop that explicitly iterates through all the values in the range, storing each value in a list. (try to reverse the test and replace True by the continuation condition for this loop).

Once we have a list with all these values, all the other methods are much simpler to implement (they typically just delegate to the list methods to get their job done) and require no complicated mathematics to write correctly. The `__iter__` method just delegates to construct an iterator for the list (defined in the list class); The `__next__` method is not defined in this class, because

the object returned by `__iter__` is a list iterator, which has its own `__next__` method defined in the list class. `len`, `[]`, `in`, and `reversed` all work by delegating to the list.

```
from goody import type_as_str
import math
class prange:
    def __init__(self,*args):
        for a in args:
            if not type(a) is int:
                raise TypeError('\''+type_as_str(a)+'\' object cannot be
interpreted as an integer')
```

```
        self.start, self.step = 0,1    # defaults
        if len(args) == 1:
            self.stop = args[0]
        elif len(args) == 2:
            self.start, self.stop = args[0], args[1]
        elif len(args) == 3:
            self.start, self.stop, self.step = args[0], args[1], args[2]
            if self.step == 0:
                raise ValueError('3rd argument must not be 0')
        else:
            raise TypeError('range expected at most 3 arguments, got
'+str(len(args)))
```

```
        self.listof = []
        self.n = self.start
        while True:
            if self.step > 0 and self.n >= self.stop or \
               self.step < 0 and self.n <= self.stop:
                break
            self.listof.append(self.n)
            self.n += self.step
```

```
    def __repr__(self):
        return 'prange('+str(self.start)+','+str(self.stop)+','+('' if
self.step==1 else str(self.step))+')'
```

```
    def __iter__(self):
        return iter(self.listof)
```

```
# no need to define __next__: __iter__ returns iter(list) and list
defines __next__
```

```
    def __len__(self):
        return len(self.listof)
```

```
    def __getitem__(self,n):
        return self.listof[n]
```

```
    def __contains__(self,n):
        return n in self.listof
```

```
    def __reversed__(self):
        return reversed(self.listof)
```

So what are the tradeoffs between these two implementations? The list implementation of the prange class is much simpler (except for the loop in `__init__` to turn a the range into a real list is 8 extra lines: 23 vs 15); it requires no complex mathematics (but while such mathematics is hard for us, it is trivial for the computer). This class can require a huge amount of memory for storing a large range (e.g., `prange(1,1000000000)`), while the original pranges stores only 4 instance variables. Also, `__init__` takes a lot of time to construct such a list (and we might not even iterate over all the values in this prange). Finally, the `__contains__` method here takes an amount of time proportional to the range size (we will study why soon), to search for the value in the list; whereas the original implementation computes this value just by some quick arithmetic, independent of the size of the range.

As the quarter goes on, we will study efficiency more formally, but I thought this was a good first time to bring up the issue, dealing with alternative implementations for the prange class (and its iterator). Again, the main point here is that the original prange COMPUTED the values in the range, while the list version EXPLICITLY stored all the values in a list.

Generally, new Python students often create extra data structures that are not needed. For example, they read an entire open file into a list and then iterate over that list, when they could just iterate over the open file: if the file is huge, it might not fit in memory as a list; but iterating over an open file just needs enough space to store one line at a time.

Although I've promoted "write simple code" in this course, we should start thinking about some of the time/space issues of using Python. Often using Python "efficiently" doesn't make the code more complicated.

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# Sharing iterators and Mutating objects that are being iterated over

---

Examine the following code

```
l = [0, 1,2,3,4,5,6,7,8,9]
x = iter(l)
y = iter(l)

print(next(x))
print(next(y))

print(next(x))
```

```
print(next(y))
```

```
print(next(x))  
print(next(y))
```

It defines a list `l`, and constructs two iterator objects for the list: the first bound to `x`, the second bound to `y`. Each call of `next(x)` refers to one iterator object and each call of `next(y)` refers to another. Each call advances the state of one iterator object. So identical values come out of each iterator: the code prints 0, 0, 1, 1, 2, 2 (two 0s, 1s, and 2s)

```
Now change the code to  
l = [0,1,2,3,4,5,6,7,8,9]  
x = iter(l)  
y = x
```

Now `x` and `y` are bound to the same iterator object. Each call of `next` is on the same OBJECT (whether `next(x)` or `next(y)`), which advances the state of that one iterator object. So the print statements above print 0, 1, 2, 3, 4, and 5.

Finally, what do you think the following code will produce? The big question is, does Python iterate over the values in `l` when the iterator is CREATED, or does it iterate over the values of `l` at the time the iterator is USED (which might have been mutated since the iterator was created).

```
l = [0,1,2,3,4,5,6,7,8,9]  
x = iter(l)  
l.append(10)  
  
try:  
    while True:  
        print(next(x),end=' ')  
except StopIteration:  
    pass
```

The answer is that it, iterates over the values of `l` at the time the iterator is USED. In this case it prints the integers 0 - 10.

What if we change the loop to

```
try:  
    while True:  
        v = next(x)  
        print(v,end=' ')  
        if v == 4:  
            l[4:7] = ('a','b','c')  
except StopIteration:  
    pass
```

It prints: 0 1 2 3 4 b c 8 9, 10. Although 'a' is added to position 4, the value

in that position of the list has already been iterated over (returned), so when `__next__` is called again it prints the value in the next position, 'b'. So any mutation we make to a list while it is being iterated over can affect the results of the iteration.

Now look at the following code; it causes an infinite loop (printing higher and higher values) because for every iteration of the loop, a new value is added to the list, increasing the number of values to iterate over.

```
i = 0
l = [i]
for x in l:
    print(x)
    i += 1
    l.append(i)
```

So, the iterator object for a list is keeping track of what index it is on, but the list it indexes (from which to get these values) is also growing (its `len` is increasing). We can avoid all these problems by iterating over a COPY of the list. There are many ways to create copies of objects (see the `copy` module, for example) but the easiest way to make a copy of a list `l` is by using the idiom `list(l)` or even `l[:]`. If we replace `for x in l:` by `for x in l[:]`: in the example above, only the original list's value (just one 0) is printed. Of course doing so make a copy of the list (using more space).

It would be easy to write a variant of a list class whose `__iter__` method always makes a copy of the list to iterate over, so any changes subsequently made to the list will not change the result of the iteration. Of course, a drawback of that approach is that it requires extra space to "remember what the list contained when the iterator started". When we study lots of iterators in the next lecture, we will see examples of these kinds of classes. Of course, besides the extra space it takes extra time to make a copy, but sometimes it is worth it (to avoid the problems shown above).

## prange Class

---

```
import math
class prange:

    def __init__(self,*args):
        try:
            self.start, self.step = 0,1
            if len(args) == 1:
                self.stop = int(args[0])
```

```

        elif len(args) == 2:
            self.start, self.stop = int(args[0]), int(args[1])
        elif len(args) == 3:
            self.start, self.stop, self.step = int(args[0]),
int(args[1]), int(args[2])
            if self.step == 0:
                raise ValueError('3rd argument must not be 0')
            else:
                raise TypeError('range expected 1-3 arguments, got
'+str(len(args)))
        except ValueError:
            raise TypeError('some argument cannot be interpreted as
integer:'+str(args))
        except TypeError:
            raise

    def __repr__(self):
        return 'prange('+str(self.start)+', '+str(self.stop)+', '+(' ' if
self.step==1 else str(self.step))+') '

    def __iter__(self):
        self.n = self.start
        return self # must return an object on which __next__ can be called

    def __next__(self):
        if self.step > 0 and self.n >= self.stop or \
            self.step < 0 and self.n <= self.stop:
            raise StopIteration
        save = self.n
        self.n += self.step
        return save

    def __len__(self):
        if self.step > 0 and self.start >= self.stop or \
            self.step < 0 and self.start <= self.stop:
            return 0
        else:
            return math.ceil((self.stop-self.start)/self.step)

    def __getitem__(self, n):
        if n >= len(self) : # yes, could be n >= self.__len__()
            raise IndexError('range('+str(self)+') index('+str(n)+') out of
range')
        return self.start+n*self.step

    def __contains__(self, n):
        if self.step > 0:
            return self.start<= n < self.stop and (n-self.start)%self.step ==
0
        else:
            return self.stop< n <=self.start and abs(n-
self.start)%abs(self.step) == 0

    def __reversed__(self):
        if self.step > 0:
            return prange(self.start+(len(self)-1)*self.step, self.start-1, -
self.step)

```



```
        else:  
            return prange(self.start+(len(self)-1)*self.step,self.start+1,-  
self.step)
```