

# Chapter 2 - Application Layer

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Network applications are the reason for the existence of computer networks

70s-80s - email, remote access, file transfer  
90s - WWW, search, instant messaging, P2P  
modern - Voice-over-IP, skype, online gaming, Netflix

- run on different end systems
- communicate over network (ex. browser ↔ server)

## Application Architectures

### CLIENT-SERVER

server → always on  
→ permanent IP  
→ data centers for scaling

clients → communicate with server  
→ intermittently connected  
→ dynamic IP  
→ do not communicate directly with e/o

### PEER-TO-PEER (ex. bittorrent)

- no always-on server
- end systems directly communicate
- peers request and provide each other with services
- self-scalability
- complex
  - peers intermittently connected & change IP addresses
- not great for security because don't really know who you're dealing with
- downloads generally much faster

### process

- program running within a host
- must be uniquely identified on network, ip:port

device (unique 32-bit IP)

## Processes communicating

- within same host, two processes communicate
- exchange messages
  - server process - waits to be contacted
  - client process - initiates communication

process or device

(default 80 on HTTP, default 25 on SMTP)

## Sockets

- INTERFACE BETWEEN APPLICATION & TRANSPORT LAYER
- how a process sends/receives messages
  - analogous to a door
    - ↳ sending process puts message out door & relies on transport infrastructure on the other side of door to deliver message to receiving process' socket
  - supported by all major programming languages

## TRANSPORT LAYER SERVICES FOR APPLICATIONS

1. DATA INTEGRITY/RELIABILITY
2. THROUGHPUT (bandwidth guarantees)
3. TIMING (delay limits)
4. SECURITY (encryption & decryption, point-to-point authentication)

## Transport layer protocols

- TCP → reliable/guaranteed transport (promises all data delivered without error & in order)  
→ flow control (sender won't overwhelm receiver)  
→ congestion control (throttle sender when network congested)  
→ connection oriented (transport layer handshake before sending application messages)

- UDP → lightweight, "minimal", "no-frills"  
→ unreliable data transfer (no guarantee message will be received, also might be out-of-order)  
→ connectionless (no handshaking before sending messages)  
→ no congestion-control (sender will send at whatever rate it wants)

OOTB, neither TCP or UDP provide

- Timing
- Throughput
- Security

↳ although SSL (Secure Sockets Layer) is an application-layer

enhancement of TCP that provides some encryption for passwords  
HTTPS is HTTP w/ SSL

### APPLICATION & TRANSPORT PROTOCOL USES

application	application layer protocol	underlying transport protocol
email	SMTP	TCP
remote terminal access	Telnet	TCP
web	HTTP	TCP
file transfer	FTP	TCP
streaming-media	HTTP, RTP	TCP, UDP
internet telephony	SIP, RTP, Proprietary	TCP, UDP

### APPLICATION LAYER PROTOCOLS (just some examples)

defines types of messages exchanged, syntax of messages, semantics of the fields, and when/how actions are taken.

#### HTTP The Web

- client-server model
  - has a client program and a server program
  - "pull" protocol
  - defines how clients request web-pages & how servers transfer web-pages
- stateless protocol
  - server maintains no history of past requests
  - if you request the same file 10 times, it will be sent 10 times
    - ↳ allows many simultaneous connections, we'll see that FTP isn't stateless and therefore has a limited # of connections
    - ↳ we get around this with the use of cookies & caches
- uses TCP
  - HTTP doesn't have to worry about lost data or details of reordering data

#### NON-PERSISTENT CONNECTIONS

- ↳ for every TCP connection, only send one object is sent, 2RTT per object
- ↳ one request message, one response message, then connection closed
- ↳ can be configured with parallelism
  - (5 to 10 parallel TCP connections, each non-persistent)
  - ↳ places significant burden on web server & causes delay

#### PERSISTENT CONNECTIONS

- ↳ TCP establishes handshake then keeps connection open
- ↳ requests can be sent back-to-back without waiting for a response (pipelining)

↳ connection closes if not used for set interval (timeout)

Default HTTP uses persistent connections with pipelining

#### Message format

↳ two types of messages, requests & responses

##### REQUEST

Request line →

method URL version

GET /index.html HTTP/1.1

Host: www-het.cs.umass.edu ↳ used for proxy caches

User-Agent: Firefox/3.6.10

Accept: text/html

Accept-Language: en-us

Keep-Alive: 115

Connection: keep-alive

Header lines

Blank line →

Body data if needed

Body

↳ many possible content negotiation headers

↳ creating persistent HTTP

↳ empty for GET method, but used with POST method

for checking if cache should be updated  
for uploading files

##### METHODS

GET, POST, HEAD, PUT, DELETE

↳ for when user fills out a form

↳ for deleting files

↳ alternatively, could use GET with URL like  
somesite.com/search?fruit=kiwi

##### RESPONSE

Status line →

HTTP/1.1 200 OK

Date: Sun, 26 Sep 2010 20:09:20 GMT

Server: Apache/2.0.52 (CentOS)

Last-Modified: Tue, 30 Oct 2007 17:00:02 GMT

Content-Length: 6821

Content-Type: text/html

Header lines

<html><title> ...

entity body, data

Some example codes usually never see

200 OK

301 Moved Permanently

400 Bad request

404 Not Found

505 HTTP Version Not Supported

↳ request not understood

403 Forbidden  
451 Unavailable for Legal Reasons ↴ censorship

## COOKIES

- HTTP is stateless ∵ no memory
  - ↳ means can handle thousands of simultaneous connections
- cookies are used to allow sites to identify users
- have timeout lifetime

### Components:

- 1) initial Set-cookie: header line in HTTP response
- 2) cookie header line in subsequent HTTP requests
- 3) cookie file kept and managed by browser on user end-system
- 4) a cookie back-end database at the web site

cookies can be used to create user sessions on top of stateless HTTP

## WEB CACHING

- satisfies client request without involving origin server
- keeps copies of recently requested objects in storage of proxy server
- faster, uses less bandwidth on access links → don't have to install new infrastructure
- typically purchased & installed by ISP (campus, company, residential)

must ensure cache contains an up-to-date copy of object

- ↳ can use Date-modified header in HTTP request
- ↳ can also use the conditional GET

makes a small request asking for object  
if-modified-since the date it was cached

if not, sends small response w/o object (304 Not Modified)

## FTP File transfer

- ↳ basically obsolete, very insecure (better to use HTTPS or FTPS)
- ↳ user provides hostname to establish TCP connection with FTP server
- ↳ then user provides password & username

## OUT-OF-BAND

- two different parallel TCP connections, one for data, one for control

### control band

- used to send username / password / commands (in plain-text)
- open for entirety of communication session

### data band

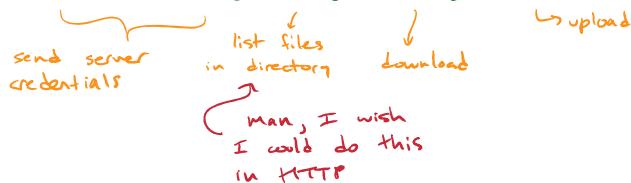
- used to transmit files

- new data connection opened & closed for each file transferred

### **STATEFUL**

- unlike HTTP, maintains a state about the user
- associates a control connection with a specific user and keeps track of user's current directory
  - ↳ constrains # of simultaneous connections

Some commands: USER, PASS, LIST, RETR, STOR



- Some Errors
- |     |   |
|-----|---|
| 331 | Username Ok, password required                  |
| 125 | Data connection already open; transfer starting |
| 425 | Can't open data connection                      |
| 452 | Error writing file                              |

### SMTP Electronic mail

- asynchronous communication medium, can send/read when convenient for you
- unlike HTTP, SMTP is a push protocol → connection initiated by machine that wants to send file
- uses TCP, reliable but no promise it is immediate, port 25 unless added security
- persistent connection, handshakes

Some commands HELO, MAIL FROM, REPT TO, DATA, QUIT, CRLF.CRLF

### Components

- User-agents → outlook, phone app, browser
- mail servers
- SMTP
  - mailbox contains incoming messages
  - message queue contains outgoing messages

### MAIL ACCESS PROTOCOL

- used to transfer mail from recipient's mail server to recipient's user agent
- POP3

- ↳ "download and delete" vs "download and keep"

### IMAP

- ↳ allows mail folder hierarchy in IMAP server
- ↳ keeps everything in server, keeps user state

### DNS

↳ keeps everything in server; keeps user state

### DNS

- translates hostname to IP address
- adds additional delay
- uses UDP
- application-layer → not interacted with directly by user
- provides host aliasing & mail server aliasing

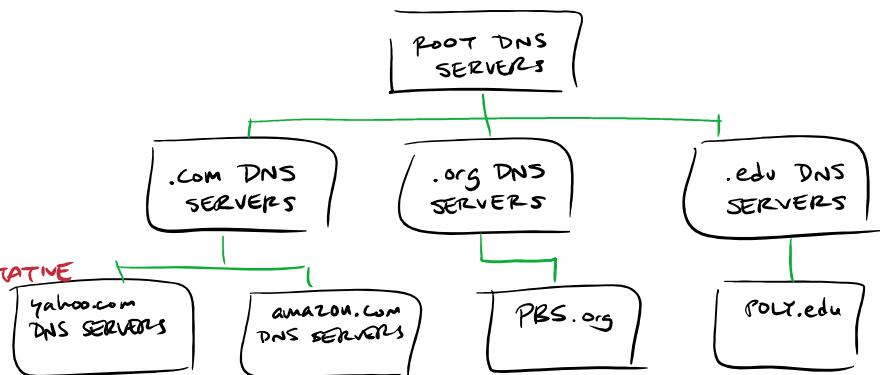
4 bytes separated by decimal  
0-255

### DISTRIBUTED HIERARCHICAL DATABASES

ROOT

TLD

AUTHORITATIVE



### LOCAL DNS SERVER

- each ISP has own local DNS server
- keeps cache of recent mappings

- queries sent to local DNS server
- local requests from Root, TLD, and Authoritative servers for you (if iterative)
- local request from root, which requests from TLD, which requests from Authoritative (if recursive)

### P2P APPLICATIONS ex. BitTorrent

→ self-scalability

### Distribution Time of Client-Server Model

↳ time for server to transmit one file of size F to N peers

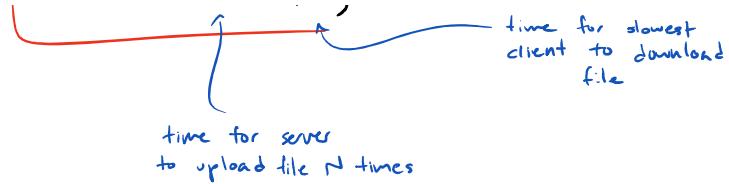
$$D_{cs} \geq \max \left\{ \frac{NF}{us} \cdot \frac{F}{d_{min}} \right\}$$

time for slowest client to download file

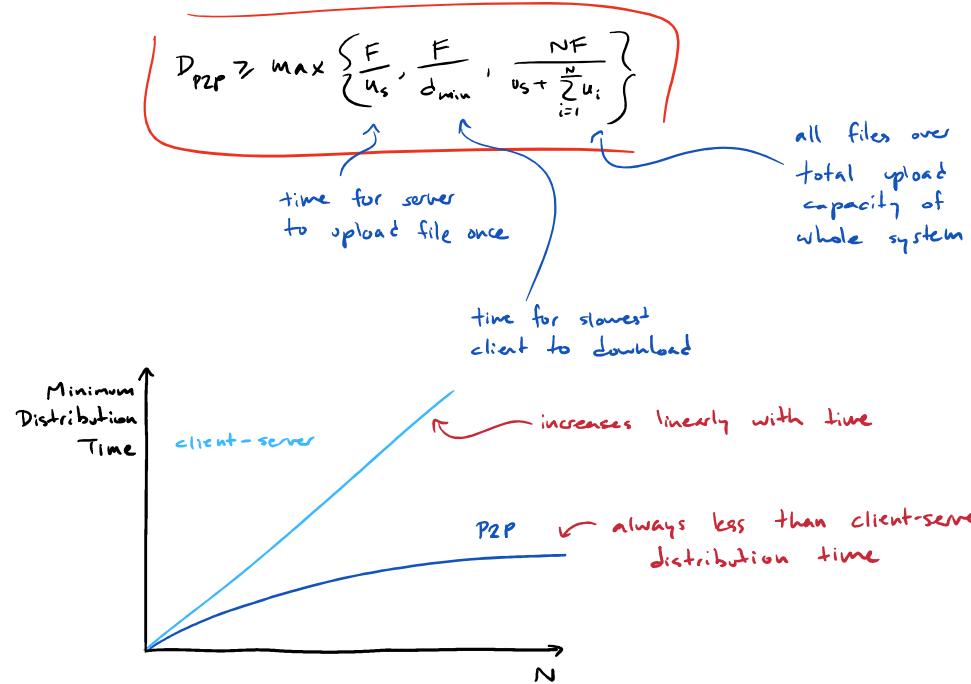
Root DNS servers, ~13 in the world  
contacted by client that cannot resolve host name  
returns IP address at TLD

TLD server (top-level domain) responsible for host extensions  
returns IP address of authoritative server

Authoritative DNS server, organization's own server, must provide publicly accessible DNS records



### Distribution Time for P2P Model



### BitTorrent

- file divided into 256kb chunks
- tracker monitors what peers have torrent
- the receiver ask neighboring peers to list chunks they have and request chunks it doesn't have
  - ↳ using leecher first technique, requests least common chunks first so they get distributed most quickly in order to equalize # chunks in each torrent
- incentivized request responses "tit-for-tat"
  - priority given to peers that seed to you at highest rate, "unchoke"
  - randomly select a peer to "optimistically unchoke"
    - ↳ helps find roughly equal trading partners

### Distributed Hash Table (DHT)

→ a distributed P2P database  
→ key-value pairs for peers to query to find torrents