# Diffusion Model

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January 19, 2025

## 1 Introduction and motivation

Given a dataset of vectors  $\mathcal{X}$ , the goal of diffusion is to have an algorithm that allows sampling  $x \sim p(x)$  such that p is near the distribution of  $\mathcal{X}$ . As the distribution of  $\mathcal{X}$  is unknown, it is a hard problem (can you give the distribution of a set of human faces?). This problem is interesting but can also be crucial in some applications. For example, in Data generation [Tra+23], which is needed in medical Deep Learning because of the cost to produce a small amount of data [Tor+24].

# 2 Diffusion Probabilistic Model

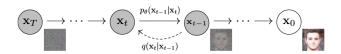


Figure 1: Illustration of the diffusion process

DDPM, presented in [HJA20], is a solution to the problem presented above. The main idea, presented on Fig. 1, is to build for any  $x_0 \in \mathcal{X}$  a sequence  $(x_t)_{0 \le t \le T}$  such that for any  $t \in [\![2;T]\!]$ ,  $x_t$  is obtained from  $x_{t-1}$  by adding a Gaussian noice to it:  $\mathcal{N}(x_{t+1}; \sqrt{1-\beta_t}x_t, \beta_t I)$ , where  $(\beta_t)_{1 \le t \le T}$  is a fixed sequences to be fixed. Using this process, rather than learning to generate an element of  $\mathcal{X}$  from a white noise, they learn a model  $p_\theta$  that given  $(x_t, t)$  learns to predict  $x_{t-1}$ . They parametrisze  $p_\theta$  to be  $\mathcal{N}(x_{t-1}; \mu_\theta(x_t, t), \Sigma_\theta(x_t, t))$  and fixed  $\Sigma_\theta$  to a constant to ease the computations. Using maths and  $\Sigma_\theta$  constant, they found that they can just learn  $\epsilon$  (the noise added from  $x_{t-1}$  to  $x_t$ ) and optimize the loss (where  $\alpha_t = 1 - \beta_t$ ,  $\bar{\alpha}_t = \prod_{i=0}^t \alpha_i, \beta_t = \prod_{i=0}^t \beta_i$ ):

$$E_{q} \left[ \frac{\beta_{t}^{2}}{2\sigma_{t}^{2} \alpha_{t} (1 - \bar{\alpha}_{t})} \| \epsilon - \epsilon_{\theta} (\sqrt{\bar{\alpha}_{t}} x_{0} + \sqrt{1 - \bar{\alpha}_{t}} \epsilon, t) \| \right]$$
 (1)

# Generated Images Step 10 Step

Figure 2: Our generation of hand-written digits

Figure 3: Diffusion visualization on Spirale

We have implemented (https://github.com/crdevio/DiffusionModel) this model and tested it on three distributions: Gaussian, Spirale, and MNIST. One can see on Fig. 2 our generation of hand-written digits and on Fig. 3 a visualization of our diffusion implementation.

### 3 Ameliorations

Some datasets need a T >> 1000 to perform well, but you may want to have a trade-off between quality and cost of generation. An easy trick is to see that our model is learning to generate  $\epsilon_t$  such that  $x_t = x_0 + \sigma_{t,0} \epsilon_t$  (reparametrization trick in [HJA20]). From this equation, one can get an approximation of  $x_0$ ,  $\tilde{x_0} = x_t - \sigma_{t,0} \epsilon_t$  and generate  $\tilde{x}_{t-k} = \tilde{x_0} + \epsilon_{t-k}$ . Hence, by fixing  $k \geq 1$  one can generate an image in T/k steps.

In [ND21] and [DN21], OpenAI explored many upgrades to the standard DDPM, one of the main ideas is to let  $\Sigma_{\theta}$  be learned between  $\beta_t$  and  $\tilde{\beta}_t$  (the two limits found by [HJA20]).

Diffusion was proposed as a replacement for GANs (though GANs are still used, as witnessed by the Test of Time award from NeurIps) and GANs have access to labeled data to train. Hence, in [DN21], labels were used to train Diffusion models. The idea is to sample according to a Gaussian that now also depends on  $\nabla \log p(y \mid x_t)$  where y is the desired label. The intuition behind that comes from Langevin Dynamics, which allows one to do a Markov process depending on  $\nabla \log p(x)$  to sample from p(x) without knowing p(x). Here, a pre-trained classifier can estimate  $\nabla \log p(x)$ . However, for some data it is too hard to train a classifier, hence [HS22] introduces a classifier-free guidance that can perform the same results without needing a classifier, it relies on training simultaneously, two diffusion models, one that knows the label of data and one that does not.

Using the building block of classifier-free guidance, OpenAI introduced CLIP [Rad+21] that don't take labels as input but prompts. The main idea is to replace the label with a vector of representation (it is called Representation Learning, [BCV14]) and to also have a model that takes an image andreturns a vector of the same dimension. Then they train a model to give the distance between two learned

vectors, and they use its gradient to direct the image generation to a prompt.

State-of-the-art models, such as ControlNet [ZRA23] accept more inputs, such as sketch, or canye edges to draw from.

## References

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