

Chapter 4 : LISTS

Data Structures Lecture Note

Prof. Sungwon Jung
Big Data Processing Laboratory
Dept. of Computer Science and Engineering
Sogang University

4.1 POINTERS

■ Sequential representation

- storing successive elements of the data object a fixed distance apart.
- adequate for many operations.

But difficulties occurs when

- insertion and deletion of an arbitrary element (time-consuming)
- storing several lists of varying sizes in different arrays of maximum size (waste of storage)
- maintaining the lists in a single array (frequent data movements)

■ Linked representation

- A node, associated with an element in the list, contains a *data component* and a *pointer* to the next item in the list. The pointers are often called *links*.

- C provides extensive support for pointers
 - actual value of a pointer type is an address of memory.
 - operators
 - & : the address operator
 - * : the dereferencing (or indirection) operator.

```
int i, *pi;  
pi = &i;
```

- To assign a value to i,
i = 10; or *pi = 10;
- C allows us to perform arithmetic operations and relational operations on pointers. Also we can convert pointers explicitly to integers.

- The null pointer points to no object.
- Typically the null pointer is represented by the integer 0.
- There is a macro called NULL which is defined to be this constant.
- The macro is defined either
in *stddef.h* for ANSI C or in *stdio.h* for K&R C.
- To test for the null pointer on C
if (pi == NULL) or if (!pi)

4.1.1 Pointers Can Be Dangerous

- By using pointers we can attain a high degree of flexibility and efficiency.
- But pointer can be dangerous: accessing unexpected memory locations
 - Set all pointers to NULL when they are not actually pointing to an object.
 - Explicit *type casts* when converting between pointer types.

```
pi = malloc(sizeof(int)); /* assign to pi a pointer to int */
pf = (float *) pi; /* casts an int pointer to a float pointer */
```
 - Define explicit return types for functions.

4.1.2 Using Dynamically Allocated Storage

- **malloc**
- **free**

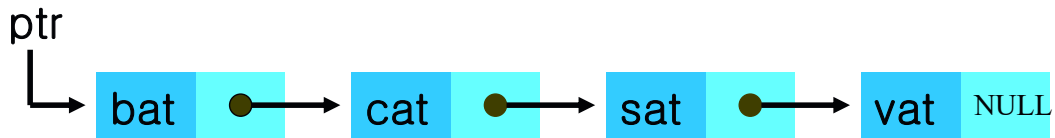
[Program 4.1]

```
int i, *pi;
float f, *pf;
pi = (int *) malloc(sizeof(int));
pf = (float *) malloc(sizeof(float));
*pi = 1024;
*pf = 3.14;
printf("an integer = %d, a float = %f\n", *pi, *pf);
free(pi);
free(pf);
```

inserting `pf = (float *) malloc(sizeof(float));`
Creates *Garbage, Dangling reference*

4.2 SINGLY LINKED LISTS

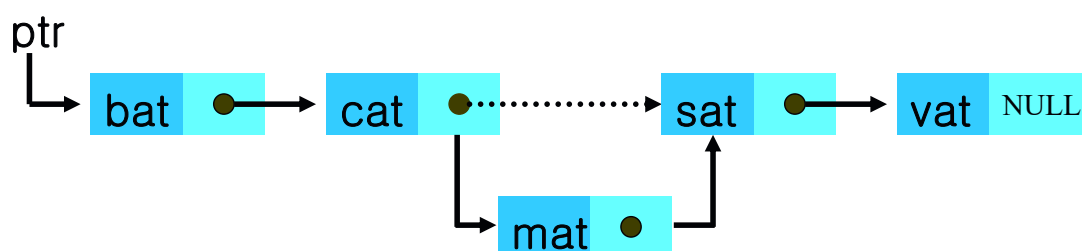
[Figure 4.1]



- The name of the pointer to the first node in the list is the name of the list.
- **Note that**
 - (1) the nodes do not reside in sequential locations
 - (2) the locations of the nodes may change on the different runs.
- When we write a program that works with lists, we almost never look for a specific address except when we test for the end of the list.

- **To insert the word *mat* between *cat* and *sat*, we must :**
 - (1) Get a node that is currently unused; let its address be *paddr*.
 - (2) Set the data field of this node to *mat*.
 - (3) Set *paddr*'s link field to point to the address found in the link field of the node containing *cat*.
 - (4) Set the link field of the node containing *cat* to pointer to *paddr*.

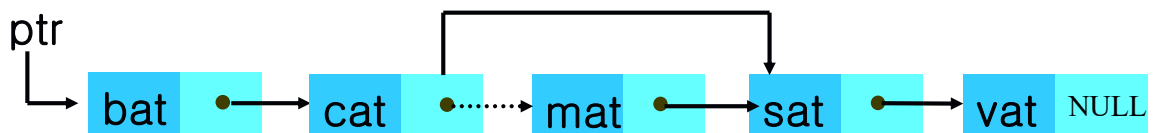
[Figure 4.2]



- **To delete *mat* from the list.**

- (1) Find the element (node) that immediately precedes *mat*, which is *cat*.
- (2) Set *cat*'s link field to point to *mat*'s link field.

[Figure 4.3]



- **Necessary capabilities to make linked list possible :**

- (1) A mechanism for defining a node's structure, *self-referential structures*.
- (2) A way to create new nodes when we need them, *malloc*.
- (3) A way to remove nodes that we no longer need, *free*.

- **Example 4.1 [List of words ending in *at*]**

Necessary declarations are :

```
typedef struct list_node *list_pointer;
typedef struct list_node {
    char data[4];
    list_pointer link;
};
list_pointer ptr = NULL; /* creating a new empty list */
```

- **A macro to test for an empty list :**

```
#define IS_EMPTY(ptr) (!(ptr))
```

- **Creating new nodes :**

use the *malloc* function provided in *<stdio.h>*.

```
ptr = (list_pointer) malloc (sizeof(list_node));
```

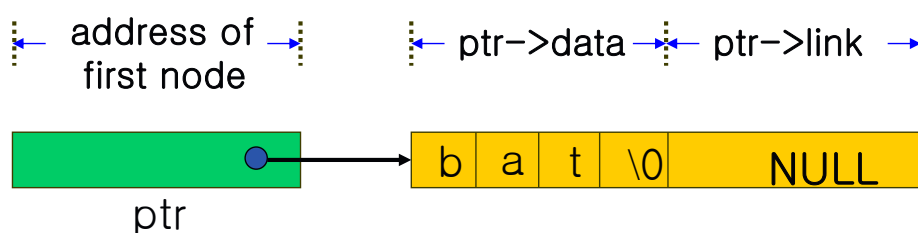
- **Assigning the values to the fields of the node:**

- If *e* is a pointer to a structure that contains the field *name*,
e->name is a shorthand way of writing the expression *(*e).name*.

- **To place the word bat into the list :**

```
strcpy (ptr->data, "bat");
```

```
ptr->link = NULL;
```



- **Example 4.2 [Two-node linked list] :**

```
typedef struct list_node *list_pointer;
```

```
typedef struct list_node {
```

```
    int data;
```

```
    list_pointer link;
```

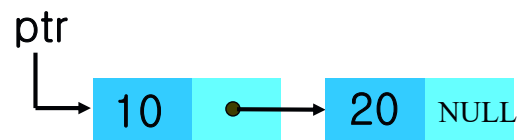
```
};
```

```
list_pointer ptr = NULL;
```

■ [Program 4.2]

```
list_pointer create2()
{
    /* create a linked list with two nodes */
    list_pointer first, second;
    first = (list_pointer) malloc(sizeof(list_node));
    second = (list_pointer) malloc(sizeof(list_node));
    second->link = NULL;
    second->data = 20;
    first->data = 10;
    first->link = second;
    return first;
}
```

[Figure 4.5]



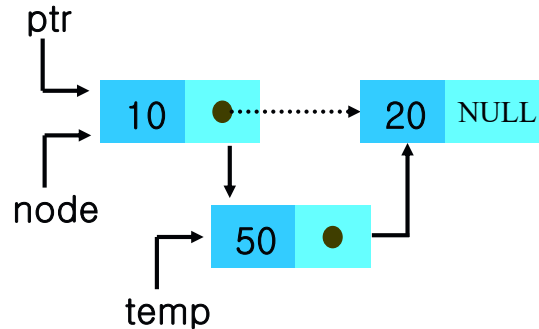
■ Example 4.3 [List insertion] :

- To insert a node with data field of 50 after some arbitrary node. Note that we use the parameter declaration *list_pointer *ptr*.
- We use a new macro, IS_FULL, that allows us to determine if we have used all available memory.

```
#define IS_FULL (ptr) (!(ptr))
```

■ [Program 4.3]

```
void insert(list_pointer *ptr, list_pointer node)
{
    /* insert a new node with data=50 into the list ptr after node */
    list_pointer temp;
    temp = (list_pointer) malloc(sizeof(list_node));
    if (IS_FULL(temp)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    temp->data = 50;
    if (*ptr) {
        temp->link = node->link;
        node->link = temp;
    }
    else {
        temp->link = NULL;
        *ptr = temp;
    }
}
```

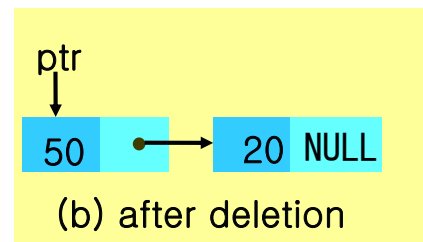
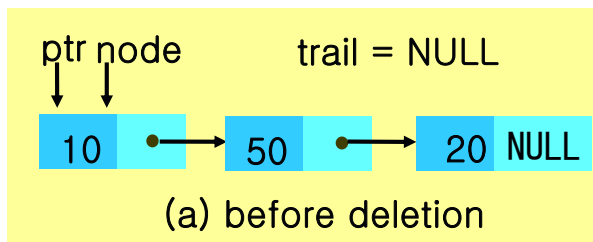


■ Example 4.4 [List deletion] :

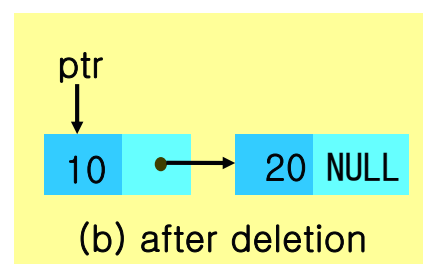
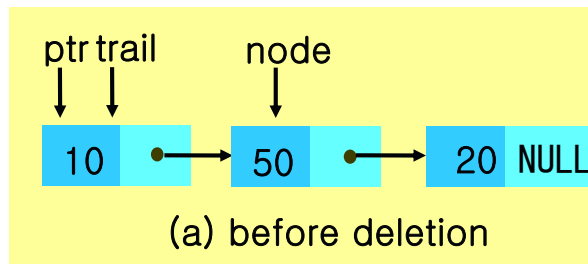
- Deletion depends on the location of the node to be deleted.
- Assume three pointers :
 - ptr* points to the start of the list.
 - node* points to the node that we wish to delete.
 - trail* points to the node that precedes the node to be deleted.

■ [Program 4.4]

```
void delete(list_pointer *ptr, list_pointer trail, list_pointer node)
{
    /* delete node from the list, trail is the preceding node
    ptr is the head of the list */
    if (trail)
        trail->link = node->link;
    else
        *ptr = (*ptr)->link;
    free(node);
}
```

[Figure 4.7] `delete(&ptr, NULL, ptr);`



[Figure 4.8] `delete(&ptr, ptr, ptr->link);`

■ **Example 4.5 [Printing out a list] :**

■ **[Program 4.5]**

```
void print_list(list_pointer ptr)
{
    printf("The list contains: ");
    for ( ; ptr; ptr = ptr->link)
        printf("%4d", ptr->data);
    printf("\n");
}

list_pointer search (list_pointer ptr, int num)
{
    for ( ; ptr; ptr = ptr->link)
        if (ptr->data == num) return ptr;
    return ptr;
}
```

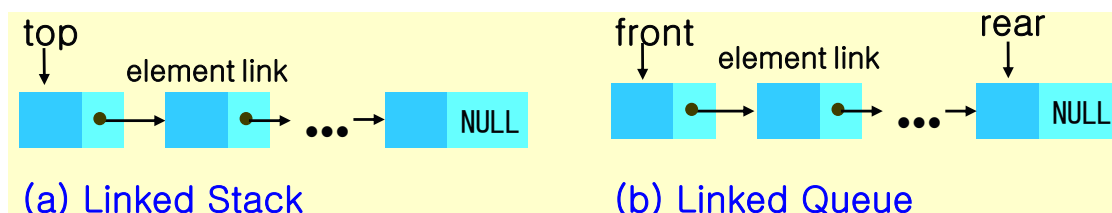
```

void merge (list_pointer x, list_pointer y, list_pointer *z)
{
    list_pointer last;
    last = (list_pointer) malloc(sizeof(list_node));
    *z = last;
    while (x && y) {
        if (x->data <= y->data) {
            last->link = x;
            last = x;
            x = x->link;
        }
        else {
            last->link = y;
            last = y;
            y = y->link;
        }
    }
    if (x) last->link = x;
    if (y) last->link = y;
    last = *z; *z = last->link; free(last);
}

```

4.3 DYNAMICALLY LINKED STACKS AND QUEUES

- Sequential representation is proved efficient if we had only one stack or one queue.
- When several stacks and queues coexisted, there was no efficient way to represent them sequentially.
- Linked stacks and linked queues.



Notice that the direction of links for both the stack and the queue facilitate easy insertion and deletion of nodes.

```
#define MAX_STACKS 10 /* maximum number of stacks */
typedef struct {
    int key;
    /* other fields */
} element;
typedef struct stack *stack_pointer;
typedef struct stack {
    element item;
    stack_pointer link;
};
stack_pointer top[MAX_STACKS];
```

- **initialize empty stacks :**

$top[i] = \text{NULL}, 0 \leq i < \text{MAX_STACKS}$

- **the boundary conditions :**

$top[i] == \text{NULL}$ iff the i th stack is empty

and

$\text{IS_FULL}(\text{temp})$ iff the memory is full

■ [Program 4.6]

```
void add(stack_pointer *top, element item)
{
    /* add an element to the top of the stack */
    stack_pointer temp = (stack_pointer) malloc(sizeof(stack));
    if (IS_FULL(temp)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    temp->item = item;
    temp->link = *top;
    *top = temp;
}
call : add(&top[stack_no], item);
```

■ [Program 4.7]

```
element delete(stack_pointer *top)
{
    /* delete an element from the stack */
    stack_pointer temp = *top;
    element item;
    if (IS_EMPTY(temp)) {
        fprintf(stderr, "The stack is empty\n");
        exit(1);
    }
    item = temp->item;
    *top = temp->link;
    free(temp);
    return item;
}
call : item = delete(&top[stack_no]);
```

```
#define MAX_QUEUES 10 /* maximum number of queues */
typedef struct {
    int key;
    /* other fields */
} element;
typedef struct queue *queue_pointer;
typedef struct queue {
    element item;
    queue_pointer link;
};
queue_pointer front[MAX_QUEUES], rear[MAX_QUEUES];
```

- **initialize empty queues :**

front[i] = NULL, 0 ≤ i < MAX_QUEUES

- **the boundary conditions :**

front[i] == NULL *iff* the ith queue is empty

and

IS_FULL(temp) *iff* the memory is full

■ **[Program 4.8] call : *addq(&front[queue_no], &rear[queue_no], item);***

```
void addq(queue_pointer *front, queue_pointer *rear, element item)
{
    /* add an element to the rear of the queue */
    queue_pointer temp = (queue_pointer) malloc(sizeof(queue));
    if (IS_FULL(temp)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    temp->item = item;
    temp->link = NULL;
    if (*front) (*rear)->link = temp;
    else *front = temp;
    *rear = temp;
}
```

■ **[Program 4.9] call : *item = deleteq(&front[queue_no]);***

```
element deleteq(queue_pointer *front)
{
    /* delete an element from the queue */
    queue_pointer temp = *front;
    element item;
    if (IS_EMPTY(*front)) {
        fprintf(stderr, "The queue is empty\n");
        exit(1);
    }
    item = temp->item;
    *front = temp->link;
    free(temp);
    return item;
}
```

4.4 POLYNOMIALS

4.4.1 Representing Polynomials As Singly Linked Lists

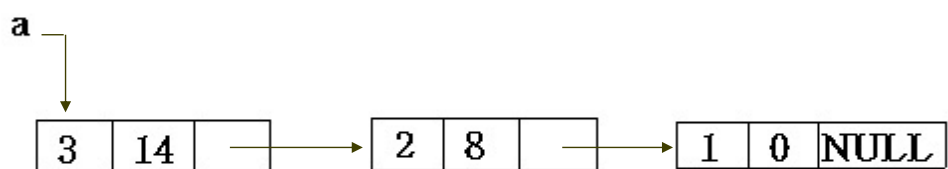
- We want $A(x) = a_{m-1} x^{e_{m-1}} + \dots + a_0 x^{e_0}$
 - where a_i 's are nonzero coefficients and e_i 's are nonnegative integer exponents such that $e_{m-1} > e_{m-2} > \dots > e_1 > e_0 \geq 0$.

```
typedef struct poly_node *poly_pointer;  
typedef struct poly_node {  
    float coef;  
    int expon;  
    poly_pointer link;  
};  
poly_pointer a, b, d;
```

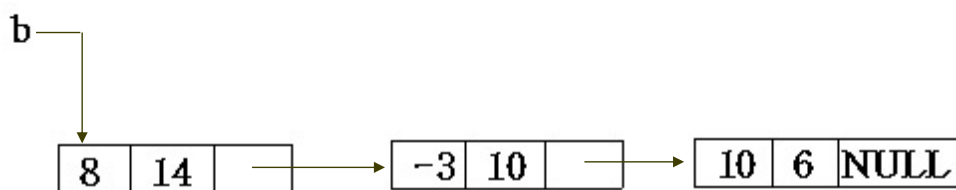
coef	expon	link
------	-------	------

■ [Figure 4.11]

$$a = 3x^{14} + 2x^8 + 1$$



$$b = 8x^{14} - 3x^{10} + 10x^6$$



4.4.2 Adding Polynomials

- Compare Program 4.10 and Program 4.11 with Program 2.5 and Program 2.6.

- **[Program 4.10]**

```
poly_pointer padd(poly_pointer a, poly_pointer b)
{
    /* return a polynomial which is the sum of a and b */
    poly_pointer front, rear, temp;
    float sum;
    rear = (poly_pointer) malloc(sizeof(poly_node));
    if (IS_FULL(rear)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    front = rear;
```

```
while (a && b)
    switch (COMPARE(a->expon, b->expon)){
        case -1 : /* a->expon < b->expon */
            attach (b->coef, b->expon, &rear);
            b = b->link; break;
        case 0 : /* a->expon = b->expon */
            sum = a->coef + b->coef;
            if (sum) attach(sum, a->expon, &rear);
            a = a->link; b = b->link; break;
        case 1 : /* a->expon > b->expon */
            attach (a->coef, a->expon, &rear);
            a = a->link;
    }
    /* copy rest of list a then list b */
    for ( ; a = a->link) attach (a->coef, a->expon, &rear);
    for ( ; b = b->link) attach (b->coef, b->expon, &rear);
    rear->link = NULL;
    /* delete extra initial node */
    temp = front; front = front->link; free(temp);
    return front;
}
```


■ [Program 4.11]

```
void attach(float coefficient, int exponent, poly_pointer *ptr)
{
    /* create a new node with coef = coefficient and
    expon = exponent, attach it to the node pointed to
    by ptr. ptr is updated to point to this new node */
    poly_pointer temp;
    temp = (poly_pointer)malloc(sizeof(poly_node));
    if (IS_FULL(temp)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    temp->coef = coefficient;
    temp->expon = exponent;
    (*ptr)->link = temp;
    *ptr = temp;
}
```

■ Analysis of *padd*:

- Similar to the analysis of Program 2.5.

Three cost measures :

- (1) coefficient additions
- (2) exponent comparisons
- (3) creation of new nodes for d

- Clearly, $0 \leq \text{number of coefficient additions} \leq \min\{m, n\}$, number of exponent comparisons and creation of new nodes is at most $m+n$.
- Therefore, its time complexity is $O(m + n)$.

4.4.3 Erasing Polynomials

- Let's assume that we are writing a collection of functions for input, addition, subtraction, and multiplication of polynomials using linked lists as the means of representation.
- Suppose we wish to compute $e(x) = a(x) * b(x) + d(x)$:

```
poly_pointer a, b, d, e;  
:  
:  
a = read_poly();  
b = read_poly();  
d = read_poly();  
temp = pmult(a, b);  
e = padd(temp, d);  
print_poly(e);
```

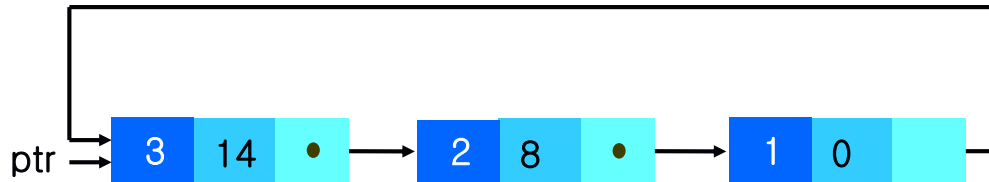
- Note that we create polynomial $temp(x)$ only to hold a partial result for $d(x)$.
- By returning the nodes of $temp(x)$, we may use them to hold other polynomials.

- **[Program 4.12]**

```
void erase(poly_pointer *ptr)  
{  
    /* erase the polynomial pointed by ptr */  
    poly_pointer temp;  
    while (*ptr) {  
        temp = *ptr;  
        *ptr = (*ptr) -> link;  
        free(temp);  
    }  
}
```

4.4.4 Representing Polynomials As Circularly Linked Lists

- To free all the nodes of a polynomial more efficiently, we modify our list structure so that the link field of the last node points to the first node in the list.



- We call this a *circular list*.
- A *chain* : a singly linked list in which the last node has a null link.

■ [Program 4.13]

```
poly_pointer get_node(void) {
    /* provide a node for use */
    poly_pointer node;
    if (avail) {
        node = avail;
        avail = avail->link;
    }
    else {
        node = (poly_pointer) malloc(sizeof(poly_node));
        if (IS_FULL(node)) {
            fprintf(stderr, "The memory is full\n");
            exit(1);
        }
    }
    return node;
}
```

- We want to free nodes that are no longer in use so that we may reuse these nodes later.
- We can obtain an efficient erase algorithm for circular lists, by maintaining our own list (as a chain) of nodes that have been "freed".
- When we need a new node, we examine this list.
If the list is not empty, then we may use one of its nodes.
Only when the list is empty, use *malloc* to create a new node.
- Let *avail* be a variable of type *poly_pointer* that points to the first node in the list of freed nodes.

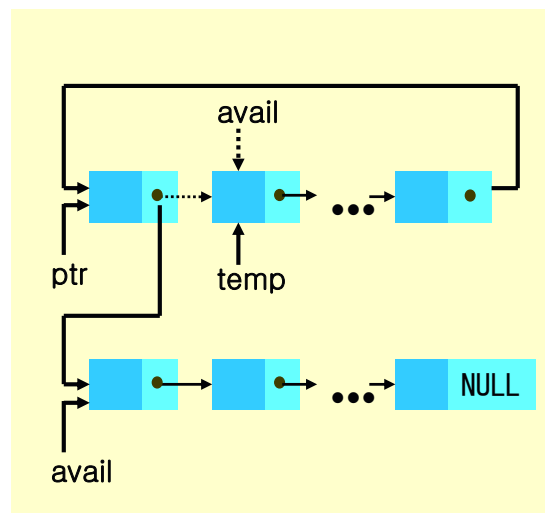
■ [Program 4.14]

```
void ret_node(poly_pointer ptr) {
    /* return a node to the available list */
    ptr->link = avail;
    avail = ptr;
}
```

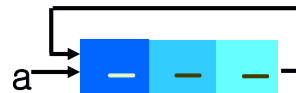
[Figure 4.14]

■ [Program 4.15]

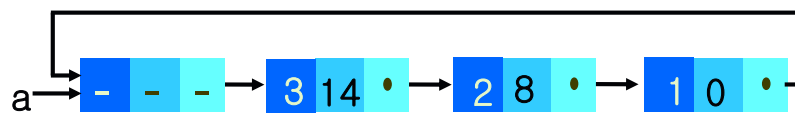
```
void cerase(poly_pointer *ptr) {
    /* erase the circular list ptr */
    poly_pointer temp;
    if (*ptr) {
        temp = (*ptr)->link;
        (*ptr)->link = avail;
        avail = temp;
        *ptr = NULL;
    }
}
```



- A direct changeover to the structure of Figure 4.13 creates problems when we implement the other polynomial operations since we must handle the zero polynomial as a special case.
- We introduce a *head node* into each polynomial.
[Figure 4.15]



(a) zero polynomial



(b) $3x^{14} + 2x^8 + 1$

- For the circular list with head node representation, we may remove the test for *(*ptr)* from *cerase*.
- The only changes that we need to make to *padd* are :
 - (1) Add two variables, *starta* = *a* and *startb* = *b*.
 - (2) Prior to the *while* loop, assign *a* = *a*->*link* and *b* = *b*->*link*.
 - (3) Change the *while* loop to *while* (*a* != *starta* && *b* != *startb*).
 - (4) Change the first *for* loop to *for* (; *a* != *starta*; *a* = *a*->*link*).
 - (5) Change the second *for* loop to *for* (; *b* != *startb*; *b* = *b*->*link*).
 - (6) Delete the lines :


```
rear -> link = NULL;
/* delete extra initial node */
```
 - (7) Change the lines :


```
temp = front;
front = front -> link;
free(temp);
```

 to


```
rear -> link = front;
```

- We may further simplify the addition algorithm if we set the *expon* field of **each head nodes** of polynomial **a** and **b** to **-1**.

■ [Program 4.16]

```
poly_pointer cpadd(poly_pointer a, poly_pointer b)
{
    /* polynomials a and b are singly linked circular lists with a head
    node. Return a polynomial which is the sum of a and b */
    poly_pointer starta, d, lastd;
    int sum, done = FALSE;
    starta = a;          /* record start of a */
    a = a->link;          /* skip head node for a and b */
    b = b->link;
    d = get_node();       /* get a head node for sum */
    d->expon = -1;        lastd = d;
```

```
do {
    switch (COMPARE(a->expon, b->expon)){
        case -1 : /* a->expon < b->expon */
            attach (b->coef, b->expon, &lastd);
            b = b->link; break;
        case 0 : /* a->expon = b->expon */
            if (starta == a) done = TRUE; /* a == starta && b == startb */
            else {
                sum = a->coef + b->coef;
                if (sum) attach(sum, a->expon, &lastd);
                a = a->link; b = b->link;
            }
            break;
        case 1 : /* a->expon > b->expon */
            attach (a->coef, a->expon, &lastd);
            a = a->link;
    }
} while (!done)
lastd->link = d;
return d;
}
```

4.5 ADDITIONAL LIST OPERATIONS

4.5.1 Operations For Chains

- It is often necessary, and desirable to build a variety of functions for manipulating singly linked lists. We have seen *get_node* and *ret_node*.

- We use the following declarations :

```
typedef struct list_node *list_pointer;  
typedef struct list_node {  
    char data;  
    list_pointer link;  
};
```

- ***Inverting a chain :***

- we can do it "in place" if we use three pointers.

- **[Program 4.17]**

```
list_pointer invert(list_pointer lead)  
{  
    /* invert the list pointed to by lead */  
    list_pointer middle, trail;  
    middle = NULL;  
    while (lead) {  
        trail = middle;  
        middle = lead;  
        lead = lead->link;  
        middle->link = trail;  
    }  
    return middle;  
}
```

■ **Concatenating two chains :**

■ **[Program 4.18]**

```
list_pointer concatenate(list_pointer ptr1, list_pointer ptr2)
{
    /* produce a new list that contains the list ptr1 followed
    by the list ptr2. The list pointed to by ptr1 is changed
    permanently */
    list_pointer temp;
    if (IS_EMPTY(ptr1)) return ptr2;
    else {
        if (!IS_EMPTY(ptr2)) {
            for (temp = ptr1; temp->link; temp = temp->link)
                ;
            temp->link = ptr2;
        }
        return ptr1;
    }
}
```

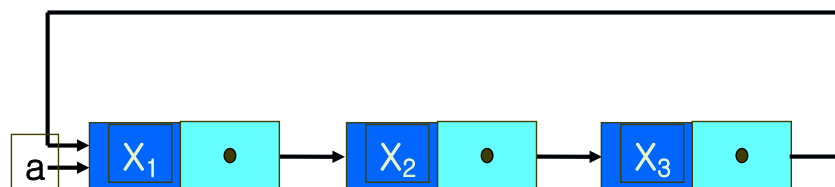
47

Big Data Processing Laboratory

4.5.2 Operations For Circularly Linked Lists

■ **Inserting a new node at the front of a circular list :**

- Since we have to change the link field of the last node, we must move down the list until we find the last node.
- It is more convenient if the name of the circular list points to the last node rather than the first.



48

Big Data Processing Laboratory

■ [Program 4.19]

```
void insert_front(list_pointer *ptr, list_pointer node)
/* insert node at the front of the circular list ptr,
where ptr is the last node in the list. */
{
    if (IS_EMPTY(*ptr)) {
        /* list is empty, change ptr to point to new entry */
        *ptr = node;
        node->link = node;
    }
    else {
        /* list is not empty, add new entry at front */
        node->link = (*ptr)->link;
        (*ptr)->link = node;
    }
}
```

■ ***Inserting a new node at the rear of a circular list :***

We only need to add the additional statement **ptr = node* to the *else* clause of *insert_front*.

■ [Program 4.20]

```
int length(list_pointer ptr)
{
    /* find the length of the circular list ptr */
    list_pointer temp;
    int count = 0;
    if (ptr) {
        temp = ptr;
        do {
            count++;
            temp = temp->link;
        } while (temp != ptr);
    }
    return count;
}
```

4.6 EQUIVALENCE RELATIONS

- R is a *binary relation* on a set S if $R \subseteq S \times S$.
If $(a, b) \in R$ then we may write aRb .
- R is *reflexive* if aRa for all $a \in S$.
- R is *symmetric* if aRb implies bRa .
- R is *transitive* if aRb and bRc implies aRc .
- R is an *equivalence relation* over S
if R is reflexive, symmetric and transitive over S.

■ [Example]

- One of the steps in the manufacture of a VLSI circuit involves exposing a silicon wafer using a series of masks. Each mask consists of several polygons. Polygons that overlap electrically are equivalent and electrical equivalence specifies an equivalence relation \equiv over the set of mask polygons.
- (1) For any polygon x , $x \equiv x$, that is, x is electrically equivalent to itself. Thus, \equiv is reflexive.
 - (2) For any two polygons, x and y , if $x \equiv y$ then $y \equiv x$. Thus, the relation \equiv is symmetric.
 - (3) For any three polygons, x , y , and z , if $x \equiv y$ and $y \equiv z$ then $x \equiv z$. For example, if x and y are electrically equivalent and y and z are also equivalent, then x and z are also electrically equivalent. Thus the relation \equiv is transitive.

- Any equivalence relation R over S can partition the set S into disjoint subsets called *equivalence classes*.
- An *equivalence class* E is a subset of S such that if x is in E then E contains every element which is related to x by R . That is, for any $x \in S$, $[x] = \{y \mid y \in S \text{ and } x \equiv y\}$.
- For any x and y in S , either $[x] = [y]$ or $[x] \cap [y] = \emptyset$.

- **Example :**
 - If we have 12 polygons numbered 0 through 11 and the following pairs overlap :
 $0 \equiv 4, 3 \equiv 1, 6 \equiv 10, 8 \equiv 9, 7 \equiv 4, 6 \equiv 8, 3 \equiv 5, 2 \equiv 11, 11 \equiv 0$
 - as a result of the reflexivity, symmetry, and transitivity of the relation \equiv , we can obtain the following equivalence classes :
 $\{0, 2, 4, 7, 11\}; \{1, 3, 5\}; \{6, 8, 9, 10\}$

■ The algorithm to determine equivalence works in two phases :

- *First phase* : read in and store the equivalence pairs.
- *Second phase* : determining equivalence class as follows
we begin at 0 find all pairs of the form $\langle 0, j \rangle$.
By transitivity, find all pairs of the form $\langle j, k \rangle$.
/* $\langle 0, j \rangle$ and $\langle j, k \rangle \Rightarrow \langle 0, k \rangle$ i.e, $0 \equiv j$ and $j \equiv k \Rightarrow 0 \equiv k$ */

We continue in this way until we have found, marked,
and printed the entire equivalence class containing 0.

Then we continue on.

■ Our first design attempt :

■ [Program 4.21]

```
void equivalence()
{
    initialize;
    while (there are more pairs) {
        read the next pair  $\langle i, j \rangle$ ;
        process this pair;
    }
    initialize the output;
    do
        output a new equivalence class;
    while (not done);
}
```

- Let m and n represent the number of related pairs and the number of objects, respectively.
- We must first figure out which data structure we should use to hold these pairs.
- The pair $\langle i, j \rangle$ is essentially two random integers in the range 0 to $n-1$.
- Use an array, $pairs[n][m]$, for easy random access.
this could waste a lot of space and require considerable time
or use more storage to insert a new pair.

- These considerations lead us to a linked representation for each row.
- Since we still need random access to the i -th row, we use a one-dimensional array, $seq[n]$, to hold the head nodes of the n lists.
- In the second phase of the algorithm, we need to check whether or not the object, i , has been printed.
- We use the array $out[n]$.

■ [Program 4.22]

```
void equivalence()
{
    initialize seq to NULL and out to TRUE;;
    while (there are more pairs) {
        read the next pair <i, j>;
        put j on the seq[i] list;
        put i on the seq[j] list;
    }
    for (i=0; i<n; i++)
        if (out[i]) {
            out[i] = FALSE;
            output this equivalence class;
        }
}
```

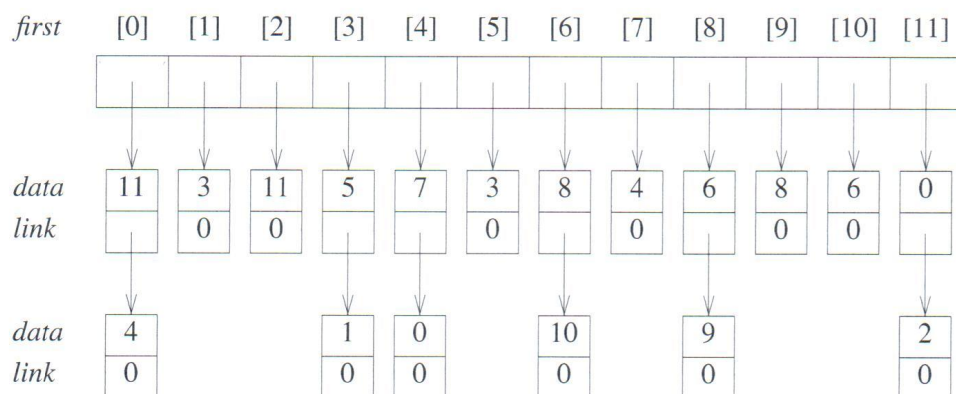


Figure 4.16: Lists after pairs have been input

- In phase two :
 - We scan the *seq* array for the first *i*, $0 \leq i < n$, such that *out*[*i*] = TRUE.
 - Each element in the list *seq*[*i*] is printed.
- To process the remaining lists which, by transitivity, belong in the same class as *i*, we create a stack of their nodes.
- For the complete equivalence algorithm, see the following declaration and Program 4.22.

```
#include <stdio.h>
#include <alloc.h>
#define MAX_SIZE 24
#define IS_FULL (ptr) (!(ptr))
#define FALSE 0
#define TRUE 1

typedef struct node *node_pointer;
typedef struct node {
    int data;
    node_pointer link;
};
```

```

void main(void)
{
    short int out[MAX_SIZE];
    node_pointer seq[MAX_SIZE];
    node_pointer x, y, top;
    int i, j, n;

    printf("Enter the size (<= %d) ", MAX_SIZE);
    scanf("%d", &n);
    for (i = 0; i < n; i++) {
        /* initialize seq and out */
        out[i] = TRUE;    seq[i] = NULL;
    }
}

```

```

/* Phase 1: Input the equivalence pairs : */
printf("Enter a pair of numbers (-1 -1 to quit): ");
scanf("%d%d", &i, &j);
while (i >=0) {
    x = (node_pointer)malloc(sizeof(node));
    if (IS_FULL(x)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    x->data = j; x->link = seq[i]; seq[i] = x;
    x = (node_pointer)malloc(sizeof(node));
    if (IS_FULL(x)) {
        fprintf(stderr, "The memory is full\n");
        exit(1);
    }
    x->data = i; x->link = seq[j]; seq[j] = x;
    printf("Enter a pair of numbers (-1 -1 to quit): ");
    scanf("%d%d", &i, &j);
}

```



```

/* Phase 2 : output the equivalence classes */
for (i = 0; i < n; i++) {
    if (out[i]) {
        printf("\nNew Class : %5d", i); // 새로운 클래스 출력 시작
        out[i] = FALSE; // i 를 출력하였음
        x = seq[i]; top = NULL; /* initialize stack */
        for ( ; ; ) { /* 나머지 클래스 원소를 찾음 */
            while (x) { /* 리스트를 스캔 */
                j = x->data;
                if (out[j]) { // j 가 아직 출력 되지 않았다면
                    printf("%5d", j); out[j] = FALSE; // j 를 출력한후
                    y = x->link; x->link = top; top = x; x = y; //push
                }
                else x = x->link; // j 가 출력 이미 출력되었으므로 리스트의 다음 원소 확인
            }
            if (!top) break; //현재 클래스의 모든 원소를 출력하였음.
            x = seq[top->data]; top = top->link; /* pop */
        }
    }
}

```

65

Big Data Processing Laboratory

- Analysis of the equivalence program :
 - Initialization of *seq* and *out* takes $O(n)$ time.
 - Each of Phase 1 and 2 takes $O(m + n)$ time.
 - Time complexity is $O(m+n)$ and space complexity is also $O(m+n)$.
 - In Chapter 5, we will look at an alternate solution that requires only $O(n)$ space.

66

Big Data Processing Laboratory

4.7 SPARSE MATRIX

- In Chapter 2, we considered a sequential representation of sparse matrices and implemented matrix operations.
- However we found that the sequential representation of sparse matrices suffered from the same inadequacies as the similar representation of polynomials.
- As we have seen previously, linked lists allow us to efficiently represent structures that vary in size, a benefit that also applies to sparse matrices.
- In our data representation, we represent each column of a sparse matrix as a circularly linked list with a head node. We use a similar representation for each row of a sparse matrix.

[Figure 4.19]

down	head	right
next		

(a) head node
[Figure 4.20]

down	entry	row	col	right
value				

(b) entry node

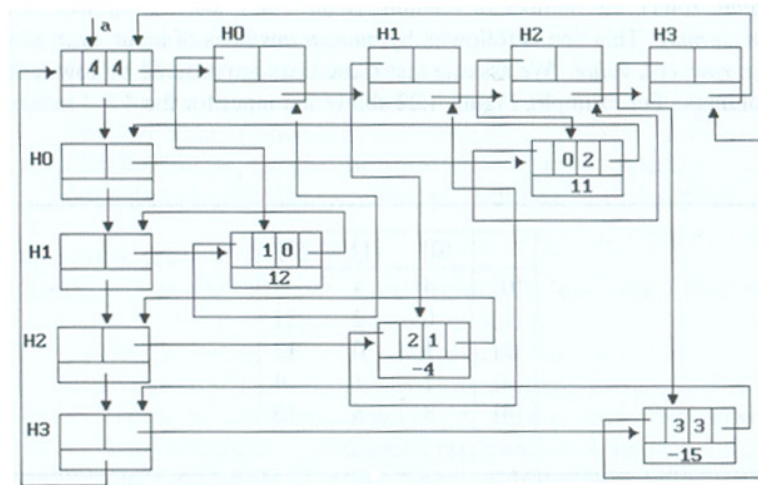
entry	i	j
a_{ij}		

(c) set up for a_{ij}

$$\begin{bmatrix} 0 & 0 & 11 & 0 \\ 12 & 0 & 0 & 0 \\ 0 & -4 & 0 & 0 \\ 0 & 0 & 0 & -15 \end{bmatrix}$$

■ [Figure 4.21]

- Each head node is in three lists:
a list of rows, a list of columns, and a list of head nodes.
The list of head nodes also has a head node
that has the same structure as an entry node.



NOTE: The tag field of a node is not shown; its value for each node should be clear from the node structure.

Figure 4.21: Linked representation of the sparse matrix a

```
#define MAX_SIZE 50

typedef enum {head, entry} tagfield;
typedef struct matrix_node *matrix_pointer;
typedef struct entry_node {
    int row;
    int col;
    int value;
};

typedef struct matrix_node {
    matrix_pointer down;
    matrix_pointer right;
    tagfield tag;
    union {
        matrix_pointer next;
        entry_node entry;
    } u;
    matrix_pointer hdnnode[MAX_SIZE];
};
```

```

matrix_pointer mread()
{
    int num_rows, num_cols, num_terms, num_heads, i;
    int row, col, value, current_row;
    matrix_pointer temp, last, node;

    scanf(&num_rows, &num_cols, &num_terms);
    num_heads = (num_cols > num_rows) ? num_cols : num_rows;
    node = new_node(); node_tag = entry;
    node->u.entry.row = num_rows;
    node->u.entry.col = num_cols;

```

```

if (!num_heads) node->right = node;
else {
    for (i=0; i<num_heads; i++) {
        temp = new_node();
        hdnode[i] = temp; hdnode[i]->tag = head;
        hdnode[i]->right = temp; hdnode[i]->u.next=temp;
    }
    current_row = 0; last = hdnode[0];
    for (i=0; i<num_terms; i++) {
        scanf(&row, &col, &value);
        if (row > current_row) {
            last->right = hdnode[current_row];
            current_row = row; last = hdnode[row];
        }
        temp = new_node(); temp->tag = entry;
        temp->u.entry.row = row; temp->u.entry.col = col;
        temp->u.entry.value = value; last->right = temp; last = temp;
        hdnode[col]->u.next->down = temp;
        hdnode[col]->u.next = temp;
    }

```

```

// close last row
last->right = hdnode[current_row];
// close all column lists
for (i=0; i<num_cols; i++)
    hdnode[i]->u.next->down = hdnode[i];
// link all head nodes together
for (i=0; i<num_heads-1; i++)
    hdnode[i]->u.next = hdnode[i+1];
hdnode[num_heads-1]->u.next = node;
node->right = hdnode[0];
}
return node;
}

```

```

// print out the matrix in row major form
void mwrite(matrix_pointer node)
{
    int i;
    matrix_pointer temp, head = node->right;

    for (i=0; i<node->u.entry.row; i++) {
        for (temp = head->right; temp != head;
             temp = temp->right)
            printf(temp->u.entry.row, temp->u.entry.col,
                  temp->u.entry.value);
        head = head->u.next;
    }
}

```

```

void merase(matrix_pointer *node)
{
    int i, num_heads;
    matrix_pointer x,y, head = (*node)->right;

    for (i=0; i<(*node)->u.entry.row; i++) {
        y = head->right;
        while (y != head) {
            x = y; y = y->right; free(x);
        }
        x = head; head = head->u.next; free(x);
    }
    // free remaining head nodes
    y = head;
    while (y != *node) {
        x = y; y = y->u.next; free(x);
    }
    free(*node); *node = NULL;
}

```

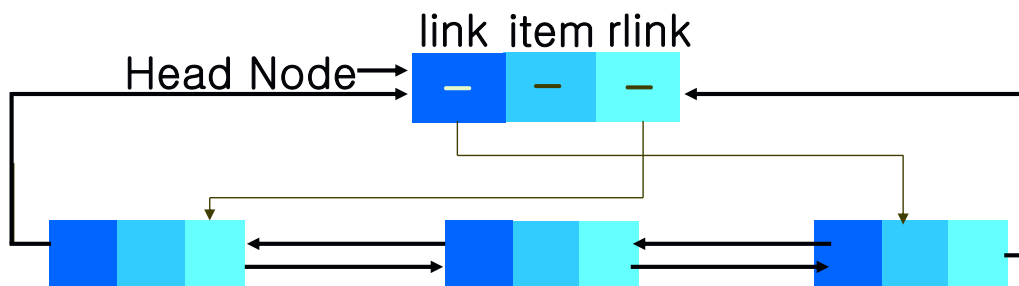
- **Analysis of *mread* : [Program 4.24]**
 $O(\max\{num_rows, num_cols\} + num_terms)$
 $= O(num_rows + num_cols + num_terms).$
- **Analysis of *mwrite* : [Program 4.26]**
 $O(num_rows + num_terms).$
- **Analysis of *merase* : [Program 4.27]**
 $O(num_rows + num_cols + num_terms).$

4.8 DOUBLY LINKED LISTS

- Singly linked lists pose problems because we can move easily only in the direction of the links.
- Whenever we have a problem that requires us to move in either direction, it is useful to have doubly linked lists.
- The necessary declarations are :

```
typedef struct node *node_pointer;  
typedef struct node {  
    node_pointer llink;  
    element item;  
    node_pointer rlink;  
};
```

- A doubly linked list may or may not be circular.
- **[Figure 4.23] Doubly linked circular list with head node**



- **[Figure 4.24] Empty doubly linked circular list with head node**



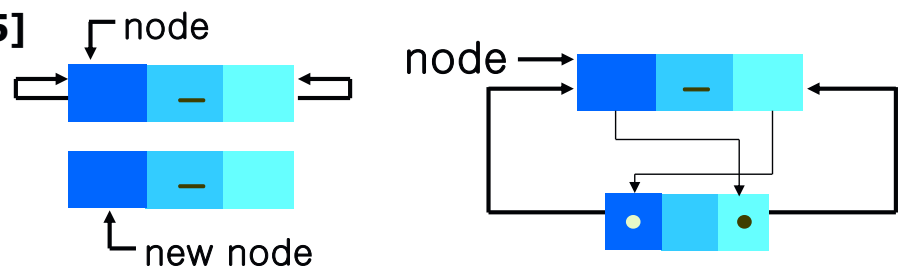
- Now suppose that *ptr* points to any node in a doubly linked list.
Then :
$$ptr == ptr->llink->rlink == ptr->rlink->llink$$

- **Insertion into a doubly linked circular list :**

- **[Program 4.28]**

```
void dinsert(node_pointer node, node_pointer newnode)
{
    /* insert newnode to the right of node */
    newnode->llink = node;
    newnode->rlink = node->rlink;
    node->rlink->llink = newnode;
    node->rlink = newnode;
}
```

[Figure 4.25]



- **Deletion from a doubly linked circular list :**

- **[Program 4.29]**

```
void ddelete(node_pointer node, node_pointer deleted) {
    /* delete from the doubly linked list */
    if (node == deleted)
        printf("Deletion of head node not permitted.\n");
    else {
        deleted->llink->rlink = deleted->rlink;
        deleted->rlink->llink = deleted->llink;
        free(deleted);
    }
}
```

[Figure 4.26]

