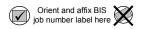


TR1: Technical Report Statement of Responsibility



This form must be typewritten

1	Location information	Required for all application	is.			
	House No(s)	Street Name				
	Work on Floor(s)					
2	Applicant Informati	on Required for all applicatio	ns.			
	Choose all that apply:	Design Applicant 3A, 4A, 5	Special Inspections Ap	pplicant 3B-D, 6-8	Progress Inspections Ap	plicant <i>4B-D, 6-8</i>
	Last Name		First Name		Middle Initial	
	Business Name				Business Telephone	
	Business Address				Business Fax	
	City	State	Zip		Mobile Telephone	
	License Type	choose one: P.E.	R.A. Other:		License Number	
					Special Inspection Agency Number	
3	Special Inspection	Items Required for all applic	ations, continued on page 2	2; indicates report	required.	
3A	← Identification of Requir	rement		3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Υ	N Special Inspections		Code/Section	Initial & Date	Initial & Date	Initial & Date
	Flood Zone Compliance		BC G105			
	☐ Fire Alarm Test		BC 907, BC 1704.13			
	☐ Photoluminescent Exit Pa	th Markings	' BC 1026.11			
_	☐ Emergency Power System	_	BC 1704.13, BC 2702			
_	☐ Structural Steel - Welding		BC 1704.3.1			
_	Structural Steel - Erection		BC 1704.3.2, BC 1704.3.3			
-	Structural Cold-Formed Si	teei	BC 1704.3.4			
ш	Concrete - Cast-In-Place		BC 1704.4			
ЦΙ	Concrete - Precast		BC 1704.4			
= -	Concrete - Prestressed		BC 1704.4			
	Masonry BC 1704.5					
	Wood - Off-Site fabrication		BC 1704.6			
	Wood - Installation of High		BC 1704.6.1			
	■ Wood - Installation of Net ■ Wood - Installation of Pref	al-Plate-Connected Trusses	BC 1704.6.3 BC 1704.6.4			
	Soils - Site Preparation	abricated i-Joists	BC 1704.0.4 BC 1704.7.1			
	Soils - Fill placement & In-	-Place Density	BC 1704 .7.2, BC 1704.7.3			
	Soils - Investigations (Bori	<u> </u>	<u> </u>			
	☐ Pile Foundations & Drilled					
	☐ Pier Foundations	-	BC 1704.9			
	Underpinning		BC 1704.9.1			
	Wall Panels, Curtain Walls	s, and Veneers	BC 1704.10			
	☐ Sprayed Fire-Resistant Ma	aterials	BC 1704.11			
	☐ Exterior Insulation Finish S	Systems (EIFS)	BC 1704.12			
_	Alternative Materials - OT	CR Buildings Bulletin #	BC 1704.13			
	☐ Smoke Control Systems		BC 1704.14			
	Mechanical Systems		BC 1704.15			
	Fuel-Oil Storage and Fuel		BC 1704.16			
	High-Pressure Steam Pipi	G ()	BC 1704.17			
_	Fuel-Gas Piping (Welding		BC 1704.18			
_	Structural Safety - Structu	ii ai Stadiiity	BC 1704.19			
⊔ L	Mechanical Demolition		BC 1704.19, BC 3306.6			

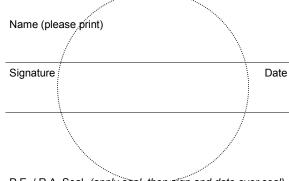
TR1 PAGE 2

	1 1 1				PAGE 2			
;	3 Special Inspection Items (continued) R	equired for all applications;	dicates report require	d.				
3	3A ← Identification of Requirement		3B Identification of	3C Certificate of Complete	3D Withdraw			
			Responsibilities	Inspections / Tests	Responsibilities			
<u>Y</u>	N Special Inspections	Code/Section	Initial & Date	Initial & Date	Initial & Date			
	Excavation - Sheeting, Shoring, and Bracing	BC 1704.19, BC 3304.4.1						
		BC 1704.20.1						
<u> </u>	Raising and Moving of a Building	BC 1704.19, BC 1704.27						
Ш	☐ Soil Percolation Test - Septic ☐ BC 1704.3							
	Site Storm Drainage Disposal and Detention System In							
	☐ Septic System Installation	BC 1704.20						
	☐ Sprinkler Systems	BC 1704.21						
	Standpipe Systems	BC 1704.22						
	☐ Heating Systems	BC 1704.23						
	☐ Chimneys	BC 1704.24						
	☐ Firestop, Draftstop, and Fireblock systems	BC 1704.25						
	Aluminum Welding	BC 1704.26						
	☐ Seismic Isolation Systems	BC 1707.8						
	Concrete Test Cylinders	TR2 BC 1905.6	Submit TR2 to complete these items					
	☐ Concrete Design Mix ■ TR3 BC 1905.3		Submit TR3 to complete these items					
4 Progress Inspection Items Required for all applications.								
4	4A ← Identification of Requirement		4B Identification of	4C Certificate of Complete	4D Withdraw			
		0 1 10 11	Responsibilities	Inspections / Tests	Responsibilities			
Y	N Progress Inspections	Code/Section	Initial & Date	Initial & Date	Initial & Date			
	Preliminary	28-116.2.1, BC 109.2						
	Footing and Foundation	BC 109.3.1						
	Lowest Floor Elevation (attach FEMA form)	BC 109.3.2						
	Frame Inspection	BC 109.3.3						
	☐ Energy Code Compliance Inspections ☐ TR8 BC 109.3.5		Subm	it TR8 to complete this i	tem			
	☐ Fire-Resistance Rated Construction	BC 109.3.4						
	☐ Public Assembly Emergency Lighting	28-116.2.2						
	☐ Final* Direct	28-116.2.4.2, BC 109.5, tive 14 of 1975, and 1 RCNY §101-10						
* For column 4C, indicate date when the actual final inspection was performed								

5 Design Applicant's Statements and Signatures P.E./R.A. responsible for plans, choose both below and sign/seal.

I have identified all of the special inspections, progress inspections and tests required for compliance.

I certify that the Special Inspection and Approved Agencies engaged by the owner to supervise the work specified above are acceptable. (BC 1704.1)



P.E. / R.A. Seal (apply seal, then sign and date over seal)

Owner's Statement and Signature for Progress/Special Inspector Required when inspection applicant identifies responsibilities.

I have reviewed the information provided herein and, to the best of my knowledge and belief, attest to its accuracy. I approve the identification of the responsible progress inspector. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by a fine or imprisonment, or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly

or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

Name (print)	Title
Signature	Date

TR1 PAGE 3 Inspection Applicant's Identification of Responsibilities Check all that apply below: ☐ For the special inspections indicated above in section 3, I certify that I am the principal/director of the special inspection agency accepting responsibility for conducting the inspections. I further certify that I have read the applicable sections of the New York City Construction Codes in connection with special inspections as well as 1 RCNY 101-06 Rule, which specifies the qualifications required for each inspection and that this agency meets those qualifications for each and every special inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rule. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code. ☐ I certify that I am conducting **Small Building Inspections** and assume the responsibility for the special inspections specified in section 3 above. I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests. All inspection and test reports shall be signed and made available to the Department. I understand that a qualified special inspection agency is required for Soils Investigation, Pier and Pile installation, Underpinning of structures and Protection of the sides of excavations greater than 10 feet in depth. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code. ☐ For the progress inspections indicated above in section 4, except energy code inspections on the TR1EN form, and/or concrete test items indicated in section 3, I assume the responsibility and I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests on such forms and in such matter as the Department requires or requests. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code. **Final Inspection:** ☐ I will make final inspection of the construction work, including those inspections during its progress necessary to my certification upon final inspection that all work substantially conforms to approved construction documents and applicable laws and rules. I will confirm that the performance of progress inspections and other inspections has been documented before I report the work complete. As prescribed by 1 RCNY 101-10. I will perform the final inspection within 1 year from the expiration of the last valid permit of the work. Upon completion of the work and within 30 days of my final inspection, I will file a certification attesting to the fact that all work was performed and completed in accordance with the approved construction documents, laws and rules, except as reported otherwise. I understand that my failure to file a certification of completion or to notify the Department of my withdrawal of responsibilities within one year from expiration of the last valid permit may result in the loss of my privileges to file under Directives 2 and 14 of 1975 or issuance of a violation, or both. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative ☐ Change of Applicant: I am a newly designated individual responsible for the items specified herein and I hereby state that: ☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual. ☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code. Name (please print) Signature Date P.E. / R.A. Seal (apply seal, then sign and date over seal) Inspection Applicant's Certification of Completion ☐ I have completed the items specified herein and certify the following (check one only): ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations. ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations, except as indicated in the attached report. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code. ☐ Withdrawal of Applicant: I am withdrawing responsibility for the items of special/progress inspections and/or tests indicated herein and herewith

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

Name (please print)

Signature

submit the results or status of the work performed to date.