

Artificial Intelligence

Evolutionary Algorithms

Lesson 6: Genetic Programming

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Motivation

Genetic Programming (GP) creates programs to solve a given application problem.

It is a universal way of learning computer programs

GP is based on:

- Describing a solution by connecting certain inputs with a certain output
- Searching for a matching computer program
- Representing programs by parse trees

Genetic Programming (1)

Representation of candidate solutions by function expressions or programs

i.e. complex chromosomes of variable length

Genetic Programming (2)

Grammar for describing a language

- F : set of function symbols and operators
- T : set of terminal symbols (constants and variables)

The sets F and T are specific for each problem

Genetic Programming (3)

Examples for symbol sets

- Learning a boolean function

- $\mathcal{F} = \{\text{and, or, not, if } \dots \text{ then } \dots \text{ else } \dots, \dots\}$
- $\mathcal{T} = \{x_1, \dots, x_m, 1, 0\}$ or $\mathcal{T} = \{x_1, \dots, x_m, t, f\}$

- Symbolical regression

- finding an approximating function for given data while minimizing the sum of squared errors
- $\mathcal{F} = \{+, -, *, /, \sqrt{}, \sin, \cos, \log, \exp, \dots\}$
- $\mathcal{T} = \{x_1, \dots, x_m\} \cup \mathbb{R}$

Genetic Programming (4)

Completeness

- Genetic Programming can only solve problems efficiently and effectively, if function and terminal symbol sets are **sufficient/complete** to ensure an appropriate program can be found
- Finding the smallest sufficient set is (often) NP-hard

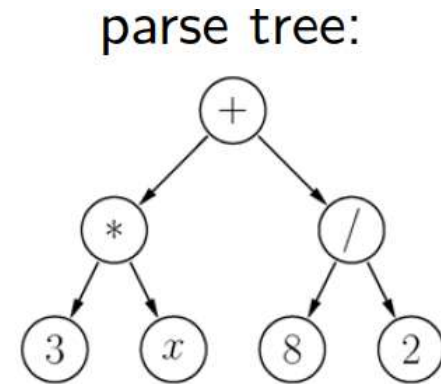
Symbolic Expressions (1)

- **Chromosomes** = expressions (composition of elements from $C = F \cup T$ and brackets)
 - Symbols for constants and variables are symbolic expressions
 - If t_1, \dots, t_n are symbolic expressions, and $f \in F$ is an (n -ary) operator symbol, then $f(t_1, \dots, t_n)$ is a symbolic expression
 - „ $(+ (* 3 x) (/ 8 2))$ “ is a symbolic expression
Lisp- or Scheme-like notation, means: $3 \cdot x + \frac{8}{2}$
 - „ $2 7 * (3 /$ “ is not a symbolic expression

Symbolic Expressions (2)

- Represent symbolic expressions by parse trees

symbolic expression:
 $(+ (* 3 x) (/ 8 2))$



Genetic Programming Algorithm

- Generate an **initialization population** of random expressions
- **Evaluate** these expressions by calculating their fitness
 - e.g., boolean functions: ratio of correct output for all inputs given to a sample
 - e.g., symbolic regression: sum of squared errors of the given measurement points
- **Selection** with one of the Evolutionary Algorithms strategies
- Application of **genetic operators**, usually only crossover

Initialization (1)

- Parameters for the creation process:
 - Maximal nesting (maximal tree height) d_{max}
 - Maximal number of tree nodes n_{max}
- Three different ways for initialization
 - Grow
 - Full
 - Ramped-half-and-half

Initialization (2)

- Grow

Input: node n , depth d , maximumDepth d_{\max}

```
1: if  $d = 0$  {  
2:    $n \leftarrow$  draw a node from  $\mathcal{F}$  uniformly distributed  
3: } else { if  $d = d_{\max}$  {  
4:    $n \leftarrow$  draw a node from  $\mathcal{T}$  uniformly distributed  
5: } else {  
6:    $n \leftarrow$  draw a node from  $\mathcal{F} \cup \mathcal{T}$  uniformly distributed  
7: }  
8: if  $n \in \mathcal{F}$  {  
9:   for each  $c \in$  arguments of  $n$  {  
10:    initialize-grow( $c, d + 1, d_{\max}$ )  
11:   }  
12: } else {  
13:   return  
14: }
```

Initialization (3)

- Full

Input: nodes n , depth d , maximum depth d_{\max}

```
1: if  $d \leq d_{\max}$  {  
2:    $n \leftarrow$  draw nodes from  $\mathcal{F}$  uniformly distributed  
3:   for each  $c \in$  arguments of  $n$  {  
4:     initialize-full( $c, d + 1, d_{\max}$ )  
5:   }  
6: } else {  
7:    $n \leftarrow$  draw nodes from  $\mathcal{T}$  uniformly distributed  
8: }  
9: return
```

Initialization (4)

- Ramped-half-and-half
 - Combination of grow and full methods

Input: maximum depth d_{\max} , population size μ (even multiple of d_{\max})

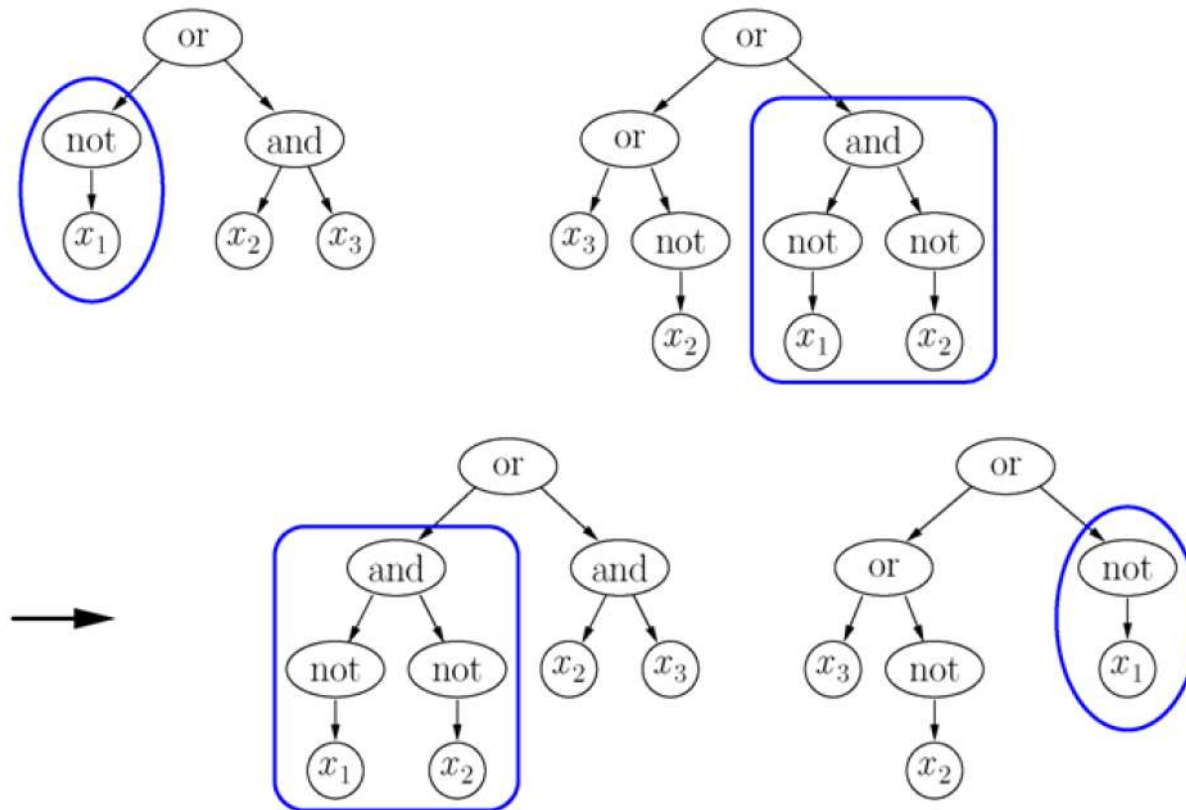
```
1:  $P \leftarrow \emptyset$ 
2: for  $i \leftarrow 1 \dots d_{\max}$  {
3:   for  $j \leftarrow 1 \dots \mu / (2 \cdot d_{\max})$  {
4:      $P \leftarrow P \cup \text{initialize-full}(\text{root}, 0, i)$ 
5:      $P \leftarrow P \cup \text{initialize-grow}(\text{root}, 0, i)$ 
6:   }
7: }
```

Genetic Operators (1)

- Usually initialized population has no good fitness
- The evolutionary progress changes population via genetic operators
- The 3 most important operators are:
 - Crossover
 - Mutation
 - Clonale reproduction (duplication of an individual)

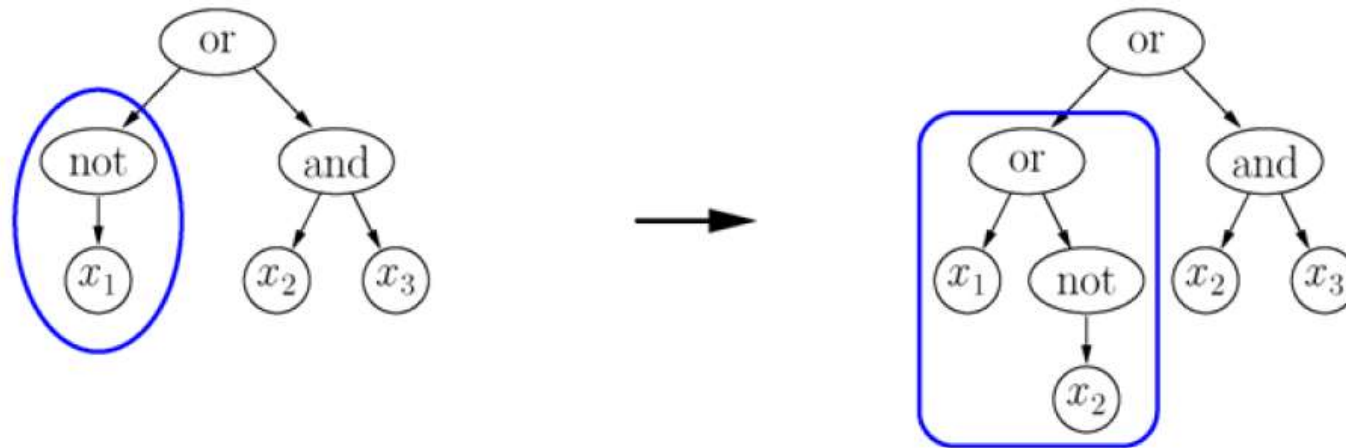
Genetic Operators (2)

- Crossover
 - Exchanging two subexpressions (subtrees)



Genetic Operators (2)

- Mutation
 - Exchanging a subexpression (subtree) by randomly generated one



Introns (1)

- Individuals are growing with progressing generation count
- Biology: **Introns** - some strips of DNA carry no information
 - Inactive (maybe outdated) strips within one gene that serves no function (*junk DNA*)
 - e.g. arithmetical expressions $a + (1 - 1)$ is easy to simplify

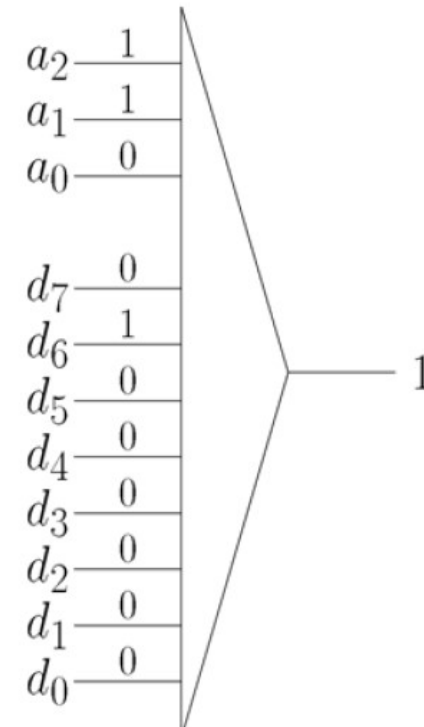
Introns (2)

- Preventing introns
 - **Breeding recombination** generates many children using different parameters, with only the best one going on into the next generation
 - **Intelligent recombination** chooses crossover points selectively
 - **Continuous slight changes** of the evaluation function can change constraints thus inactive subprograms (introns) might become active again

Examples (1)

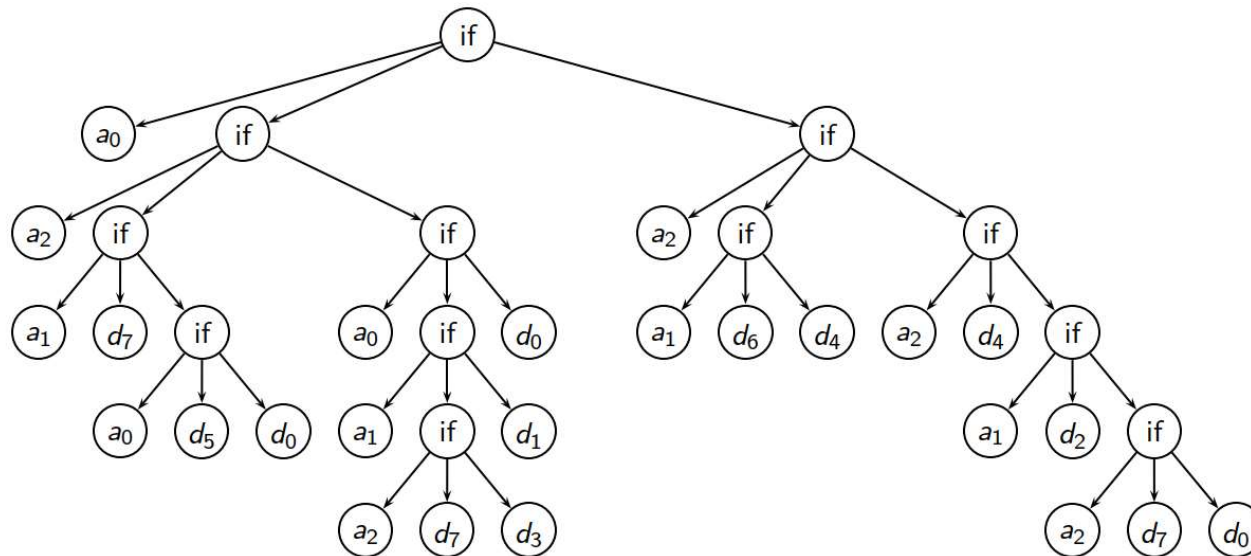
- 11-Multiplexer

- Multiplexer with 8 data and 3 address lines
- $2^{11} = 2048$ possible inputs with 1 corresponding address each
- Fitness function:
$$f(s) = 2048 - \sum_{i=1}^{2048} e_i$$
with e_i being the error for the i -th input

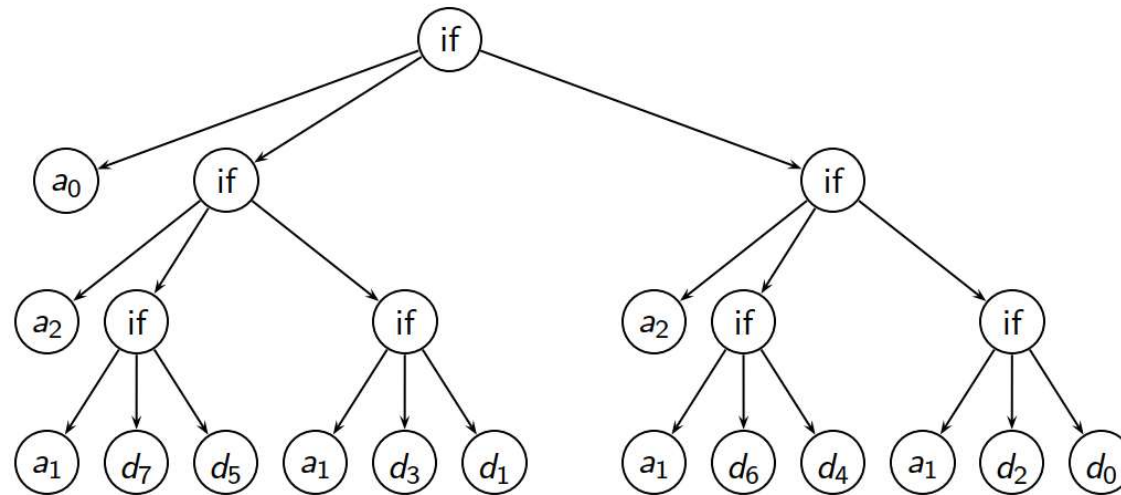


Examples (2)

- After 9 generations: solution with fitness of 2048
 - Complicated for humans to understand
 - Can be simplified by editing



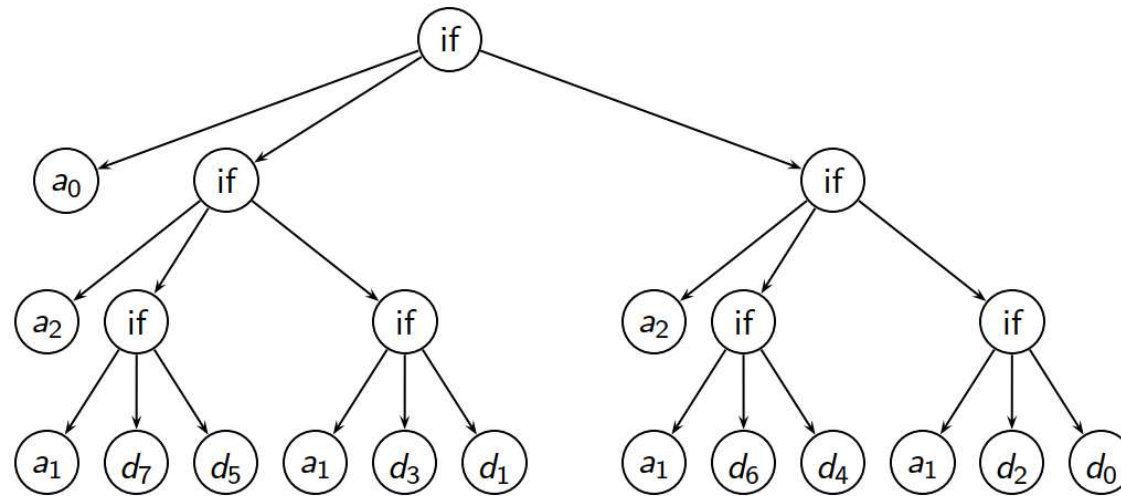
Examples (3)



- Editing

- Asexual operations on one individual
- Serves simplification through general and specific rules
- If function without side effects on constant arguments occurs within the tree, then evaluate this function and replace the subtree with the result

Examples (4)




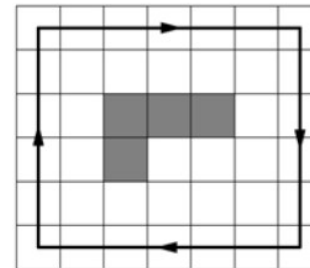
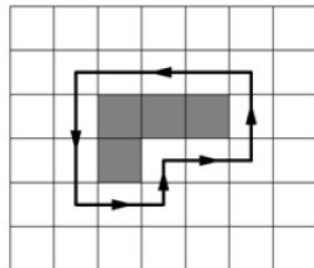
- Best solution truncated by editing

Examples (5)

- Learning a Robot Control System

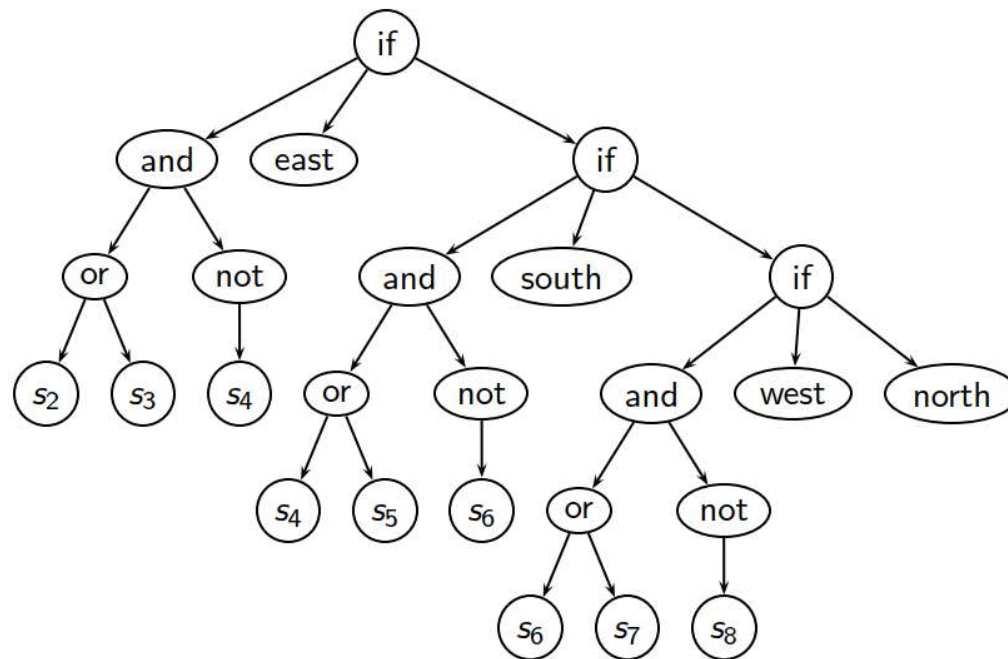
- 8 sensors s_1, \dots, s_8 yield state of the neighboring fields
- 4 actions: go east, go north, go west, go south
- direct deduction of the actions from s_1, \dots, s_8 , no memory
- task: circulate an object within a room, or follow the walls of the room

s_1	s_2	s_3
s_8		s_4
s_7	s_6	s_5



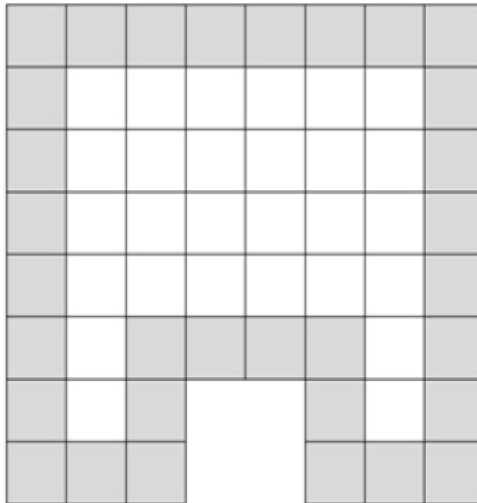
Examples (6)

- Optimal solution created by hand
 - Very unlikely to get exactly this solution



Examples (7)

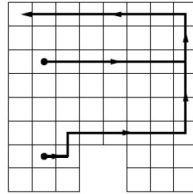
- Choose solution candidates by using a test space
 - Perfectly operating control unit would make the agent pass the grey labelled fields
 - Initial field is chosen randomly
 - If the action cannot be performed, the execution is quit
 - Total number of visited gray fields is fitness



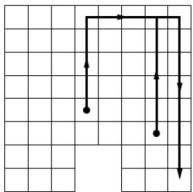
Examples (8)

- Generations

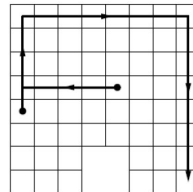
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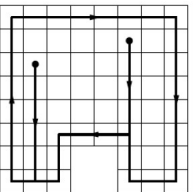
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10



Generation 10

```
(if (if (if s5 0 s3)
        (or s5 east)
        (if (or (and s4 0)
                s7)
            (or s7 0)
            (and (not (not (and s6 s5)))
                 s5)))
    (if s8
        (or north
            (not (not s6)))
        west)
    (not (not (not (and (if (not south)
                            s5
                            s8)
                        (not s2)))))))
```