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Approximation and Parametrized Algorithms for Segment Set Cover

6

Master's thesis

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in COMPUTER SCIENCE

8

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9

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10 **Supervisor's statement**

11 Hereby I confirm that the presented thesis was prepared under my supervision and
12 that it fulfils the requirements for the degree of Master of Computer Science.

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Abstract

23 The work presents a study of different geometric set cover problems. It mostly focuses on
24 segment set cover and its connection to the polygon set cover.

25

Keywords

26 set cover, geometric set cover, FPT, $W[1]$ -completeness, APX-completeness, PCP theorem,
27 NP-completeness

28

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Tytuł pracy w języku polskim

36 Algorytmy parametryzowania i trudność aproksymacji problemu pokrywania zbiorów
37 odcinkami na płaszczyźnie

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Chapter 1

Introduction

The Set Cover problem is one of the most common NP-complete problems. [tutaj referencja]
We are given a family of sets and have to choose the smallest subfamily of these sets that cover
all their elements. This problem naturally extends to settings where we put different weights
on the sets and look for the subfamily of the minimal weight. This problem is NP-complete
even without weights and if we put restrictions on what the sets can be. One of such variants
is Vertex Cover problem, where sets have size 2 (they are edges in a graph).

In this work we focus on another such variant where the sets correspond to some geometric
shapes and only some points of the plane have to be covered. When these shapes are rectangles
with edges parallel to the axis, the problem can be proven to be W[1]-complete (solution of
size k cannot be found in $n^o(k)$ time), APX-complete (for sufficiently small $\epsilon > 0$, the problem
does not admit $1 + \epsilon$ -approximation scheme) [referencje].

Some of these settings are very easy. Set cover with lines parallel to one of the axis can
be solved in polynomial time.

There is a notion of δ -expansions, which loosen the restrictions on geometric set cover. We
allow the objects to cover the points after δ -expansion and compare the result to the original
setting. This way we can produce both FPT and EPTAS for the rectangle set cover with
 δ -extensions [referencje].

Our contribution. In this work, we prove that unweighted geometric set cover with segments is fixed parameter tractable (FPT).

Moreover, we show that geometric set cover with segments is APX-complete for unweighted
axis-parallel segments, even with $1/2$ -extensions. So the problem for very thin rectangles
also can't admit PTAS. Therefore, in the efficient polynomial-time approximation scheme
(EPTAS) for *fat polygons* by [Har-Peled and Lee, 2009], the assumption about polygons
being fat is necessary.

Finally, we show that geometric set cover with weighted segments in 3 directions is
W[1]-complete. However, geometric set cover with weighted segments is FPT if we allow
 δ -extension.

This result is especially interesting, since it's counter-intuitive that the unweighted setting
is FPT and the weighted setting is W[1]-complete. Most of such problems (like vertex cover
or [wiecej przykladow]) are equally hard in both weighted and unweighted settings.

101 Chapter 2

102 Definitions

103 Some definitions what geometric set cover is. \mathcal{P} – set of objects, \mathcal{C} – set of points. Choose
104 $\mathcal{R} \subset \mathcal{P}$ such that every point in \mathcal{C} is inside some element from \mathcal{R} and $|\mathcal{R}|$ is minimal.

105 In parametrized setting we only look among $|\mathcal{R}| \leq k$. In weighted settings there is some
106 $f : \mathcal{P} \rightarrow \mathbb{R}$ and we minimize $\sum_{R \in \mathcal{R}} f(R)$.

107 Chapter 3

108 Geometric Set Cover with segments

109 3.1. FPT for segments

110 3.1.1. Segments parallel to one of the axis

111 You can find this in Platypus book.

112 We'll show $\mathcal{O}(2^k)$ branching algorithm. Let's take point K that hasn't been covered yet
113 with the smallest coordinate in lexicographical order. We need to cover K with some of the
114 remaining segments.

115 We choose one of the 2 directions on which we will cover this point. In this direction
116 we take greedily the segment that will cover the most points (there are points in \mathcal{C} only on
117 one side of K in this direction, so all segments covering K in this direction create monotone
118 sequence of sets – zbiory zstępujące).

119 3.1.2. Segments in d directions

120 The same algorithm as before but in complexity $\mathcal{O}(d^k)$.

121 3.1.3. Segments in arbitrary direction

122 **Theorem 3.1.1 (FPT for segment cover).** *There exists an algorithm that given a family*
123 *\mathcal{P} of n segments (in any direction), a set of m points \mathcal{C} and a parameter k , runs in time*
124 *$f(k) \cdot (nm)^c$ for some computable function f and constant c , and outputs a subfamily $\mathcal{R} \subseteq \mathcal{P}$*
125 *such that $|\mathcal{R}| \leq k$ and \mathcal{R} covers all points in \mathcal{C} .*

126 **Proof.** We will show such algorithm in FPT.

127 If there exist two segments a and b in \mathcal{P} , such that any point covered by a is also covered
128 by b , then without loss of generality we can remove segment a from \mathcal{P} . We repeat this process
129 until no such (a, b) pair exists.

130 Let us first assume that we reduced our instance to a kernel, where *any line* contains no
131 more than k points.

132 Since any segment covers a set of colinear points, for such a kernel k segments can cover
133 only at most k^2 points. Therefore, for the answer to be positive, the number of points has
134 to be at most k^2 . The number of segments is now bounded by k^4 , since if we consider two
135 *extreme* points covered by a given segment, then these pairs must be distinct, otherwise two
136 segments would contain the same set of points. Since both the number of points and the

number of segments is bounded by a function of k , this instance can be easily solved in time $O(f(k))$.

It remains to show how to construct the kernel.

Assume there exists a line l containing points x_1, \dots, x_t , where $t \geq k + 1$. Note that a segment that does not lie on l can cover only at most one of the points x_i . Therefore, out of points x_1, \dots, x_{k+1} , at least one has to be covered by a segment that lies on l , let us fix x_i to be the first such point. Then, we can greedily choose a segment that lies on l , covers x_i , and also covers the largest number of points x_j for $j > i$.

Since we have at most $k + 1$ choices to branch over and each choice adds a segment to the constructed solution, we obtain an algorithm with complexity $O(k^k)$.

3.2. APX-completeness for segments parallel to axis

Let's analyze approximation of set cover with rectangles.

Definition 3.2.1 A *polynomial-time approximation scheme (PTAS)* is an algorithm that takes an instance I of parametrization problem and a parameter $\epsilon > 0$ and, in polynomial time, finds a solution that is within a factor $1 + \epsilon$ of being optimal. That means it has weight at most $(1 + \epsilon)\text{opt}(I)$, where $\text{opt}(I)$ is a weight of the optimal solution for I .

Definition 3.2.2 Problem is *APX-hard* iff assuming $P \neq NP$ there doesn't exist a PTAS for this problem.

We would like to analyze if there exists $(1 + \epsilon)$ -approximation scheme for set cover with rectangles.

Let's restrict this problem to some very easy setting: segments parallel to axes and allow $(1/2)$ -extension. Segments are basically degenerated rectangles with one side very narrow.

Theorem 3.2.1 (*axis-parallel segment set cover with $1/2$ -extension is APX-hard*). Unweighted geometric set cover with axis-parallel segments in 2D (even with $1/2$ -extension) is APX-hard.

Theorem 3.2.2 (*rectangle set cover is APX-hard*). Unweighted geometric set cover with rectangles (even with $1/2$ -extension) is APX-hard.

Proof of Theorem 3.2.2. It follows from Theorem 3.2.1. This is a special case of that theorem.

We will prove Theorem 3.2.1 by taking a problem that is APX-hard and reduction. Such problem for the proof is MAX-(3,3)-SAT that we define in detail below.

Given an instance I of MAX-(3,3)-SAT, we will construct an instance J of axis-parallel segment set cover problem, such that $(1 + c \cdot \epsilon)$ -approximation of J will approximate an I with $(1 + \epsilon)$ scheme for some constant $c > 1$. Therefore if there would exist PTAS of the axis-parallel segment set cover problem, we would produce a PTAS for MAX-(3,3)-SAT (that doesn't exist assuming $P \neq NP$).

3.2.1. Definition of MAX-(3,3)-SAT problem

MAXSAT problem is an optimization problem. We are given 3-CNF formula and we find assignment that satisfies the most clauses in a formula.

176 MAX-(3,3)-SAT problem is a MAXSAT problem with additional restriction, that every
 177 variable appears in exactly 3 clauses, so the number of clauses is equal to number of variables.

178 In the lemmas above we use a property of this problem proved in [Håstad, 2001] and
 179 desribed in Theorem 3.2.3.

180 **Theorem 3.2.3 [Håstad, 2001]**

181 *For any $\epsilon > 0$, it is NP-hard to distinguish satisfiable (3,3)-SAT formulas from*
 182 *$(7/8 + \epsilon)$ -satisfiable (3,3)-SAT formulas. Said equivalently, MAX-(3,3)-SAT is nonapprox-*
 183 *imable beyond the random assignment threshold on satisfiable instances.*

184 **Lemma 3.2.1** *(TODO: This needs to be rewritten to two implications, not done yet). Given*
 185 *an instance of MAX-(3,3)-SAT with n variables and optimal result k , we can construct an*
 186 *instance of axis-parallel segments in 2D, which optimal result (even with $1/2$ -extension) is*
 187 *exactly $15n - k$.*

188 We prove Lemma in subsequent sections, but meanwhile let's prove Theorem 3.2.1 using
 189 Lemma 3.2.1 and Theorem 3.2.3.

190 **Proof of Theorem 3.2.1** Take any $0 < \epsilon < 1/(15 \cdot 8)$.

191 Let's assume that there exists an $(1 + \epsilon)$ -approximation scheme for unweighted geometric
 192 set cover with axis-pararell segments in 2D. We will construct an algorithm distinguishing
 193 instances of MAX-(3,3)-SAT in Theorem 3.2.3. Take an instance S of (3,3)-SAT to be distin-
 194 guished and construct an instance of geometric set cover using Lemma 3.2.1, name it I .

195 Use $(1 + \epsilon)$ -approximation scheme for instances of geometric set cover, let's name the result
 196 of this approximation for an instance of problem I as $approx(I)$.

197 We will prove that if $approx(I) \geq 15n - (\frac{7}{8} + \epsilon)n$ then S satisfied at most $(\frac{7}{8} + \epsilon)n$ clauses
 198 and if $approx(I) < 15n - (\frac{7}{8} + \epsilon)n$ then S was satisfiable.

Assume S satisfiable From defintion of satisfiable S we have:

$$OPT(S) = n$$

199 From Lemmma 3.2.1 we have:

$$OPT(I) = 14n$$

$$approx(I) \leq OPT(I)(1 + \epsilon) = 14n(1 + \epsilon) = 14n + 14\epsilon \cdot n = 14n + (15\epsilon - \epsilon)n <$$

$$< 14n + (\frac{1}{8} - \epsilon)n > 14n + (\frac{1}{8} - \epsilon)n = 15n - (\frac{7}{8} + \epsilon)n$$

Assume S at most $(\frac{7}{8} + \epsilon)n$ satisfiable From defintion of satisfiable S we have:

$$OPT(S) \leq (\frac{7}{8} + \epsilon)n$$

From Lemmma 3.2.1 we have:

$$OPT(I) = 15n - (\frac{7}{8} + \epsilon)n$$

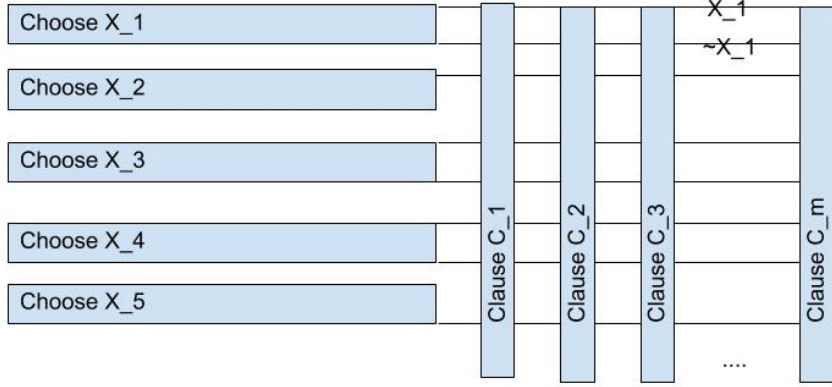


Figure 3.1: **General schema.**

General layout of VARIABLE-gadget and CLAUSE-gadget and how they interact with each other.

TODO: Rename Choose X to VARIABLE-gadget and Clause C to CLAUSE-gadget.

$$approx(I) \geq OPT(I) = 15n - (\frac{7}{8} + \epsilon)n$$

Therefore, by using assumed to exist $(1 + \epsilon)$ approximation for segment set cover, it's possible to distinguish S from being satisfiable and at most $(\frac{7}{8} + \epsilon)n$ satisfiable, since there exists result threshold distinguishing approximation result in segment set cover for these two cases. This is a contradiction, hence the approximation scheme cannot exist.

3.2.2. Reduction construction

We will show reduction from MAX-(3,3)-SAT problem to geometric set cover with segments parallel to axis. Moreover the instance of geometric set cover will be robust to 1/2-extensions (have the same optimal solution after 1/2-extension).

The construction will be composed of 2 types of gadgets: **VARIABLE-gadgets** and **CLAUSE-gadgets**. CLAUSE-gadgets would be constructed using two **OR-gadgets** connected together.

VARIABLE-gadget is responsible for choosing a value of variable in CNF formula. It allows two minimal solution and every minimal solution must use exactly one of the a and b segments, so you can assign a binary value to the variable.

214 CLAUSE-gadget is responsible for calculating if choice of the variable values meets the
 215 clause in formula. It has minimal solution of weight w if at least one variable in the clause has
 216 a correct value. Otherwise it has minimal solution $w + 1$. This way by the minimal solution
 217 for the whole problem, we can tell how many clauses were satisfiable.

218 The CLAUSE-gadgets consist of smaller OR-gadgets that have similar property as CLAUSE-
 219 gadget, but for 2 variables. OR-gadget has 3 important segments – $x, y, result$. x and y don't
 220 count to the weight of solution of OR-gadget (they are part of different gadgets). It has a
 221 minimal solution of weight w and $result$ can be chosen only if x or y are also chosen for the
 222 solution. If none of them are chosen, then solution choosing $result$ segment has weight at
 223 least $w + 1$. Therefore the following formula holds for a solution R assuming that R uses only
 224 w from this OR-gadget:

$$(x \in R) \vee (y \in R) \iff result \in R$$

225 We also don't want the CLAUSE-gadgets to be crammed somewhere inbetween the very
 226 long variable segments. That's why we have a simple gadget to *pass* the value of the segment,
 227 ie. segments $(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1})$. Two segments and one of them is chosen if x was
 228 chosen in the solution and the other one if x wasn't.

229 3.2.2.1. Construction

We define:

$$\mathcal{C} := \bigcup_{1 \leq i \leq n} C_variable_i \cup C_clause_i$$

$$\mathcal{P} := \bigcup_{1 \leq i \leq n} P_variable_i \cup P_clause_i$$

230 The subsequent sections define these sets.

231 We will prove some properties of different gadgets. Every segment fOR-gadget will only
 232 cover points in this gadget (won't interact with any diferent gadget), so we can prove lemmas
 233 *locally*.

234 TODO: y axis is increasing values downward on figures (not upwards like in normal).

235 3.2.2.2. VARIABLE-gadget

Points. Define points:

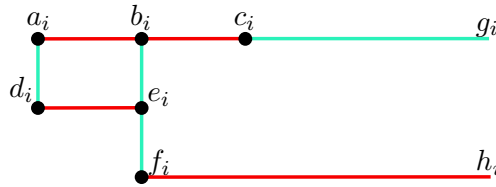


Figure 3.2: **Choose variable value gadget.** We denote set of points marked with black circle as $C_variable_i$ and need to be covered (are part of set \mathcal{C}). We denote set of red segments as x_i^{false} and set of blue segments as x_i^{true} .

236

237 TODO: inline $L = 12n$ after finishing these formulas

$$\begin{array}{llll} a_i = (-L, 4i) & b_i = (-\frac{2}{3}L, 4i) & c_i = (-\frac{1}{3}L, 4i) & d_i = (-L, 4i + 1) \\ e_i = (-\frac{2}{3}L, 4i + 1) & f_i = (-\frac{2}{3}L, 4i + 2) & g_i = (L, 4i) & h_i = (L, 4i + 2) \end{array}$$

238

Let's define

$$C_variable_i = \{a_i, b_i, c_i, d_i, e_i, f_i\}$$

239 **Segments.** Let's define

$$x_i^{true} = \{(a_i, d_i), (b_i, f_i), (c_i, g_i)\}$$

$$x_i^{false} = \{(a_i, c_i), (d_i, e_i), (f_i, h_i)\}$$

$$P_variable_i = x_i^{true} \cup x_i^{false}$$

240 **Lemma 3.2.2** For any $1 \leq i \leq n$, points $C_variable_i$ can be covered using 3 segments from
241 $P_variable_i$.

242 **Proof.** We can use either set x_i^{true} or x_i^{false} .

243 **Lemma 3.2.3** For any $1 \leq i \leq n$, points $C_variable_i$ can not be covered with less than 3
244 segments from $P_variable_i$.

245 **Proof.** There is independent set $\{d_i, f_i, c_i\}$ of size 3, therefore it can not be covered with
246 less than 3 sets (segments).

247 **Lemma 3.2.4** If both segments (c_i, g_i) and (f_i, h_i) are chosen, then the covering the remain-
248 ing points from $C_variable_i$ requires at least 2 different segments from $P_variable_i$.

249 **Proof.** There is an independent set $\{a_i, e_i\}$ of size 2 in $C_variable_i - \{c, f, g, h\}$, therefore
250 it can not be covered with less than 2 sets (segments).

251 3.2.2.3. OR-gadget

252 **Points.**

$$\begin{array}{llll} l_0 = (0, 0) & m_0 = (0, 1) & n_0 = (0, 2) & o_0 = (0, 3) \\ p_0 = (0, 4) & q_0 = (1, 1) & r_0 = (1, 3) & s_0 = (2, 1) \\ t_0 = (2, 2) & u_0 = (2, 3) & v_0 = (3, 2) & \end{array}$$

$$vec_{i,j} = (10i + 3 + 3j, 4n + 2j)$$

254 Define $\{l_{i,j}, m_{i,j} \dots v_{i,j}\}$ as $\{l_0, m_0 \dots v_0\}$ shifted by $vec_{i,j}$

255 Note that $v_{i,0} = l_{i,1}$ (see Figure 3.4)

$$C_or_gadget_{i,j} = \{l_{i,j}, m_{i,j}, n_{i,j}, o_{i,j}, p_{i,j}, q_{i,j}, r_{i,j}, s_{i,j}, t_{i,j}, u_{i,j}\}$$



Figure 3.3: **OR-gadget.** We denote these point as $or_gadget_{i,j}$. We denote set of red segments as $or_{i,j}^{false}$, set of blue segments as $or_{i,j}^{true}$, green and yellow segments as $or_move_variable_{i,j}$.

256 **Segments.** We define names subsets of segments, to refer to them in lemmas.

$$or_{i,j}^{false} = \{(q_{i,j}, r_{i,j}), (s_{i,j}, u_{i,j})\}$$

$$or_{i,j}^{true} = \{(m_{i,j}, s_{i,j}), (o_{i,j}, u_{i,j}), (t_{i,j}, v_{i,j})\}$$

$$or_move_variable_{i,j} = \{(l_{i,j}, n_{i,j}), (n_{i,j}, p_{i,j})\}$$

257 Segments in OR-gadget:

$$P_or_gadget_{i,j} = or_{i,j}^{false} \cup or_{i,j}^{true} \cup or_move_variable_{i,j}$$

258 **Lemma 3.2.5** For any $1 \leq i \leq n, j \in \{0, 1\}$ and $x \in \{l_{i,j}, p_{i,j}\}$ we can cover points in
 259 $C_or_gadget_{i,j} - \{x\} \cup \{v_{i,j}\}$ with 4 segments.

260 **Proof.** We can do that using one segment from $or_move_variable_{i,j}$ (chosen depending on
 261 the value of x) and all segments from $or_{i,j}^{true}$.

262 **Lemma 3.2.6** For any $1 \leq i \leq n, j \in \{0, 1\}$, we can cover points in $C_or_gadget_{i,j}$ with 4
 263 segments from $P_or_gadget_{i,j}$.

264 **Proof.** We can do that using $or_move_variable_{i,j}$ and $or_{i,j}^{false}$.

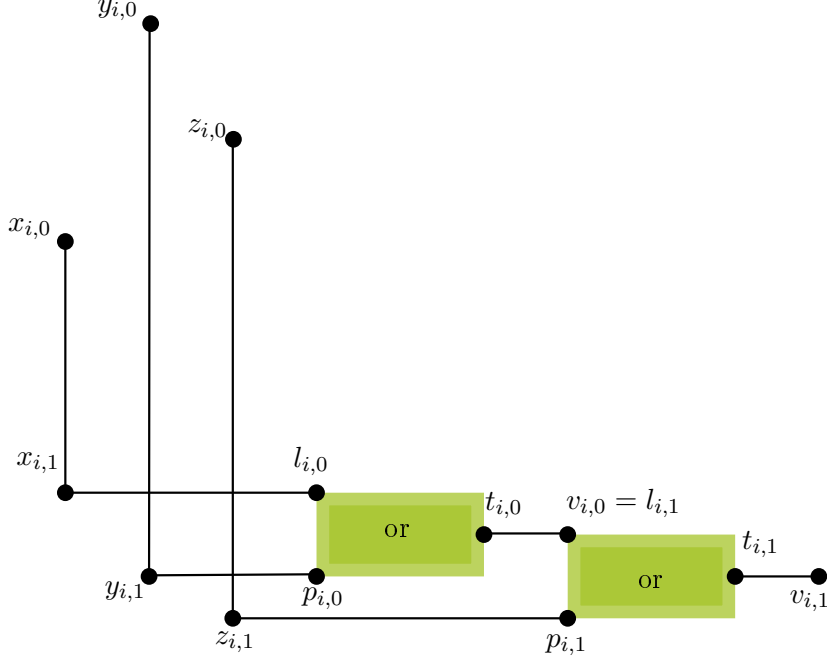


Figure 3.4: **CLAUSE-gadget**. We denote set of these points as C_clause_i . Every green rectangle is an OR-gadget. y -coordinates of $x_{i,0}$, $y_{i,0}$ and $z_{i,0}$ depend on the values of variables in the i -th clause.

3.2.2.4. CLAUSE-gadget

Points. TODO: Rephrase it

Assuming clause $C_i = x_i \vee y_i \vee z_i$, function $idx(w)$ is returning index of the variable w , function $neg(w)$ is returning whether variable w is negated in a clause.

$$\begin{aligned} x_{i,0} &= (10i + 1, 4 \cdot idx(x_i) + 2 \cdot neg(x_i)) & x_{i,1} &= (10i + 1, 4n) \\ y_{i,0} &= (10i + 2, 4 \cdot idx(y_i) + 2 \cdot neg(y_i)) & y_{i,1} &= (10i + 2, 4n + 4) \\ z_{i,0} &= (10i + 3, 4 \cdot idx(z_i) + 2 \cdot neg(z_i)) & z_{i,1} &= (10i + 3, 4n + 6) \end{aligned}$$

$$move_variable_i = \{x_{i,j} : j \in \{0, 1\}\} \cup \{y_{i,j} : j \in \{0, 1\}\} \cup \{z_{i,j} : j \in \{0, 1\}\}$$

$$C_clause_i = move_variable_i \cup C_or_gadget_{i,0} \cup C_or_gadget_{i,1} \cup \{v_{i,1}\}$$

Segments.

$$\begin{aligned} P_clause_i &= \{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1}), (x_{i,1}, l_{i,0}), (y_{i,1}, p_{i,0}), (z_{i,1}, p_{i,1}), \} \cup \\ &\cup P_or_gadget_{i,0} \cup P_or_gadget_{i,1} \end{aligned}$$

Lemma 3.2.7 For any $1 \leq i \leq n$ and $a \in \{x_{i,0}, y_{i,0}, z_{i,0}\}$, points $C_clause_i - \{a\}$ can be covered using 11 segments from P_clause_i .

Proof. For $a = x_{i,0}$ (analogous proof for $y_{i,0}$): First we use Lemma 3.2.5 twice with excluded $x = l_{i,0}$ and $x = l_{i,1} = v_{i,0}$, resulting with 8 segments $or_{i,0}^{true} \cup or_{i,1}^{true}$ which cover all required points apart from $x_{i,1}, y_{i,0}, y_{i,1}, z_{i,0}, z_{i,1}, l_{i,0}$. We cover those using additional 3 segments: $\{(x_{i,1}, l_{i,0}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1})\}$

For $a = z_{0,i}$: Using Lemma 3.2.6 and Lemma 3.2.5 with $x = p_{i,1}$, resulting with 8 segments $or_{i,0}^{false} \cup or_{i,1}^{true}$ which cover all required points apart from $x_{i,0}, x_{i,1}, y_{i,0}, y_{i,1}, z_{i,1}, p_{i,1}$. We cover those using additional 3 segments: $\{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,1}, p_{i,1})\}$.

Lemma 3.2.8 *Points C_clause_i can be covered with 12 segments from P_clause_i .*

Proof. Using Lemma 3.2.6 twice we can cover $or_gadget_{i,0}$ and $or_gadget_{i,1}$ with 8 segments.

To cover the remaining points we additionally use: $\{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1}), (t_{i,1}, v_{i,1})\}$

Lemma 3.2.9 *For any $1 \leq i \leq n$, points $C_clause_i - \{x_{i,0}, y_{i,0}, z_{i,0}\}$ can not be covered using less than 11 segments from P_clause_i .*

All points C_clause_i can not be covered with less than 12 segments from P_clause_i .

Proof of no cover with less than 12 segments. There is independent set of 12 points in $C_clause_i \supseteq \{x_{i,0}, y_{i,0}, z_{i,0}, l_{i,0}, p_{i,0}, q_{i,0}, u_{i,0}, v_{i,0} = l_{i,1}, p_{i,1}, q_{i,1}, u_{i,1}, v_{i,1}\}$.

Proof of no cover with less than 11 segments. We can choose disjoint sets X, Y, Z such that $X \cup Y \cup Z \subseteq C_clause_i - \{x_{i,0}, y_{i,0}, z_{i,0}\}$ and there are no segments covering points from different sets. And we will prove lower bounds for each of these sets.

$$X = \{x_{i,1}, y_{i,1}, z_{i,1}\}$$

Set X is an independent set, so it must be covered with 3 segments.

$$Y = or_gadget_{i,0} - \{l_{i,0}, p_{i,0}\}$$

$$Z = or_gadget_{i,1} - \{l_{i,1}, p_{i,1}\}$$

For both Y and Z we can check all of the subsets of 3 segments with brutforce that none of them cover, so they have to be covered with 4 segments.

TODO: Funny fact, neither Y nor Z doesn't have independent set of size 4.

Therefore C_clause_i must be covered with at least $3 + 4 + 4 = 11$ segments.

3.2.2.5. Summary

Add some smart lemmas that sets will be exclusive to each other.

Lemma 3.2.10 Robustness to 1/2-extensions. *For every segment $s \in \mathcal{P}$, s and $s^{+1/2}$ cover the same points from \mathcal{C} .*

3.2.3. Proofs of construction Lemma 3.2.1

Lemma 3.2.11 *Given an instance of MAX-(3,3)-SAT of size n with optimal solution k . For instance of geometric cover, constructed according to Lemma 3.2.1, there exists a solution of weight $15n - k$.*

Proof. Let's name the assignments of the variables in MAX-(3,3)-SAT instance, that achieve the optimal solution, $y_1, y_2 \dots y_n$, Let's cover every VARIABLE-gadget with solution described in Lemma 3.2.2, in the i -th gadget choosing the set of segments responsible for the value of y_i (true – x_i^{true} or false – x_i^{false}).

Cover every satisfied CLAUSE-gadget with solution described in Lemma 3.2.7 and unsatisfied CLAUSE-gadget with solution from Lemma 3.2.8.

This solution uses $3n + (11m + (m - k)) = 15n - k$ segments.

Lemma 3.2.12 *Given an instance of MAX-(3,3)-SAT of size n , and solution of size w to the instance of geometric cover, constructed according to Lemma 3.2.1, there exists a solution to MAX-(3,3)-SAT of size at least $15n - w$.*

Proof. Among $x_i^{true} \cup x_i^{false}$, we need to use at least 3 segments (Lemma 3.2.3). If we have chosen both segments (c_i, g_i) and (f_i, h_i) , then we have used at least 4 segments (Lemma 3.2.4).

If we chose at most one of the segments (c_i, g_i) and (f_i, h_i) , choose the corresponding variable value to the solution. If we chose both segments, choose the value that appears in most (at least 2) clauses. If we have chosen none of the segments, choose any value.

To cover $\bigcup_{1 \leq i \leq n} C_variable_i$ we have used at least $3n + a$ segments, where a is the number of i such that we have chosen both values (c_i, g_i) and (f_i, h_i) .

Among the segments responsible for the clause $C_i = x \vee y \vee z$ we need to use at least 11 segments (Lemma 3.2.9) and if we can cover it with 11 segments, then we have earlier chosen segment responsible for the value of variable x, y or z that satisfies C_i .

So we have at least 11 segments for satisfied clauses and at least 12 segments for unsatisfied clauses, so we cover it with at least $11n + b$ segments, where b is number of clauses where none of the variables x, y, z were chosen. If the segment responsible for value of x was taken, but this variable is set to have different value, then we have chosen segments for both x and $\neg x$ for this variable, so "we cheated" and this maybe clause is not met, but we assigned the value for this x_i that meets the most clauses, so for each of such "cheated" variables, at most one of the clauses isn't met.

So there are at most $a + b$ unsatisfied clauses in this instance, so we have shown the assignment with at least $n - (a + b)$ satisfied clauses.

$$\begin{aligned} w &\geq 3n + a + 11n + b = 14n + a + b \\ 15n - w &\leq 15n - 14n - a - b = n - (a + b) \end{aligned}$$

3.2.3.1. Proof of Lemma 3.2.1

Given an instance of MAX-(3,3)-SAT of size n with optimal result k . Let's construct an instance of geometric cover, constructed in aforementioned manner.

Given the Lemma 3.2.11, we know the optimal solution for the constructed geometric cover is at most $15n - k$ and since the k is optimal solution for MAX-(3,3)-SAT, then according to Lemma 3.2.12 there doesn't exist a solution with cost less than $15n - k$.

3.3. Weighted segments

3.3.1. FPT for weighted segments with δ -extensions

Theorem 3.3.1 (FPT for weighted segment cover with δ -extensions). *There exists an algorithm that given a family \mathcal{P} of n weighted segments (in any direction), a set of m*

points \mathcal{C} and a parameter k , runs in time $f(k) \cdot (nm)^c$ for some computable function f and constant c , and outputs a subfamily $\mathcal{R} \subseteq \mathcal{P}$ such that $|\mathcal{R}| \leq k$ and $\mathcal{R}^{+\delta}$ covers all points in \mathcal{C} .

To solve this problem we will introduce kernel for slightly different problem: Weighted segment cover of points and segments. In shortcut: WSCPS.

Lemma 3.3.1 (Algorithm for kernel of WSCPS). *There exists an algorithm that given a family \mathcal{P} of n weighted segments (in any direction), a set of m_1 points \mathcal{C}_1 and m_2 segments \mathcal{C}_2 and a parameter k , runs in time $f(k) \cdot g(m_1, m_2) \cdot n^c$ for some computable functions f, g and constant c , and outputs a subfamily $\text{sol} \subseteq \mathcal{P}$ such that $|\text{sol}| \leq k$ and sol covers all points in \mathcal{C}_1 and all segments in \mathcal{C}_2 .*

Proof Only sketch for now.

We can compute dynamic programming $dp(A, B, z)$ – the best cost to cover at least whole segment A, B using at most z segments. A, B are all interesting points – ends of any segment given on the input or points given on the input. We can compute it in polynomial time.

Then we can create a new double weighted set (original weight, number of used segments from \mathcal{P}) – \mathcal{P}_2 that has only segments which never cover partially any segment from \mathcal{C}_2 (covers the whole segment or doesn't cover at all). In such \mathcal{P}_2 we can find solution \mathcal{R} where any 2 segments have empty intersection (don't cover each other and don't meet at the ends). Because if we had such solution, we can merge these two segments and such segment there's also in \mathcal{P}_2 .

In that case we can find kernel of \mathcal{P}_2 of size $k \cdot (m_1 + 2m_2)^2$, because we only need to take the best weight covering some subset of $\mathcal{C}_1 \cup \mathcal{C}_2$.

Lemma 3.3.2 Kernel in WSCPS. *TODO: formulate it properly*

For segment cover, there is a kernel of size $f(k)$ in WSCPS.

Claim 3.3.1 *If there are more than k lines with at least $k+1$ points on them, then they can't be covered with k segments.*

Claim 3.3.2 *If there is more than k^2 points that don't lie on any line with more than k points on it, then they can't be covered with k segments.*

Claim 3.3.3 *For every long line L (with more than k points on them) we can choose $f(k)$ points on them, that if we cover all of these points with at most k segments, then the rest of the points with δ -extensions will be covered by segments in the direction of line L .*

Proof of Lemma 3.3.2. After applying the previous lemmas, we have at most $k^2 + k \cdot f(k)$ points that can be covered in any direction and for the rest of the points we can draw at most $k \cdot f(k)$ segments along their respective long lines that have to be covered by segments after δ -extensions.

Then we extend every available segment by δ -extension and we achieve the kernel in WSCPS for this instance of problem.

Lemma 3.3.3 *If all the points are covered with k segments and the biggest $2(1 + 1/\delta)^{k+1}$ spaces between points are filled, the whole segment is filled after δ -extensions of these segments.*

Proof. Let's name the $2(1+1/\delta)^{k+1}$ -st biggest space between points as y . We have guarantee that all segments of length $x > y$ are covered without δ -extensions.

Let's take one space between points that is not covered before δ -extension and we will prove it will be covered after δ -extensions. Let's assume it isn't.

This space has length x . Since it's uncovered, $x \leq y$.

Let's take side where the sum of lengths of segments covering the points is greater (left or right). Without loss of generality, let us assume it's right.

There are at most k segments to the right of this space between points. Name their lengths $l_1, l_2 \dots l_k$. If the point is covered in the other direction, the segment is degenerated to the point and $l_i = 0$. Name the space between endpoints of l_i and $l_{i+1} - x_i$. Of course, x_i is uncovered space between two points, therefore $x_i \leq y$.

TUTAJ BEDZIE PEWNIE RYSUNEK Z TYMI SUPER RZECZAMI DO PRZERW

Let's write equations meaning that i -th segment doesn't cover space x after δ -expansion.

$$l_1\delta < x \leq y \Rightarrow l_1 < y/\delta$$

$$l_2\delta < x + l_1 + x_1 < 2y + y/\delta \Rightarrow l_2 < 2y/\delta + y/\delta^2$$

$$l_3\delta < x + l_1 + x_1 + l_2 + x_2 < 3y + 3y/\delta + y/\delta^2 \Rightarrow l_3 < 3y/\delta + 3y/\delta^2 + y/\delta^3$$

From this we can "guess" induction $l_i < y((1 + 1/\delta)^i - 1)$

Trivially for $l_1 < y/\delta$.

Assume that for all $j < i$:

$$l_j < y((1 + 1/\delta)^j - 1)$$

$$\begin{aligned} l_i\delta &< x + \sum_{j=1}^{i-1} (l_j + x_j) < iy \sum_{j=1}^{i-1} l_j < iy + \sum j = 1^{i-1} y((1 + 1/\delta)^j - 1) = iy - (i - 1)y + \sum j = 1^{i-1} y(1 + 1/\delta)^j = y(1 + \sum_{j=1}^{i-1} (1 + 1/\delta)^j) = y(2 + \sum_{j=1}^{i-1} (1 + 1/\delta)^j - 1) = \\ &y(\sum_{j=0}^{i-1} (1 + 1/\delta)^j - 1) = y((1 + 1/\delta)^i / (1 - (1 + 1/\delta)) - 1) = y((1 + 1/\delta)^i \delta - 1) < y((1 + 1/\delta)^i \delta - \delta) \end{aligned}$$

Of course we also know that (since we have chosen the side with greater sum of the width of segments):

$$\sum_{i=1}^k l_i \geq 1/2 \cdot y \cdot 2(1 + 1/\delta)^{k+1} = y \cdot (1 + 1/\delta)^{k+1}$$

$$\text{But } \sum_{i=1}^k l_i < \sum_{i=1}^k y((1 + 1/\delta)^i - 1) = y((1 + 1/\delta)^{k+1} / (1 - (1 + 1/\delta)) - k) = y((1 + 1/\delta)^{k+1} \delta - k) < y(1 + 1/\delta)^{k+1}$$

Therefore the space must have been covered after δ -expansions.

3.3.2. W[1]-completeness for weighted segments in 3 directions

Theorem 3.3.2 *W[1]-completeness for weighted segments in 3 directions.* Consider the problem of covering a set \mathcal{C} of points by selecting k axis-parallel or right-diagonal weighted segments with weights from a set \mathcal{P} with minimal weight. Assuming ETH, there is no algorithm for this problem with running time $f(k) \cdot (|\mathcal{C}| + |\mathcal{P}|)^{o(\sqrt{k})}$ for any computable function f .

We will show reduction from grid tiling problem.

Let's have an instance of grid tiling problem – size of the grid k , number of elements available n and k^2 sets of available pairs in every tile $S_{i,j} \subseteq \{1, n\} \times \{1, n\}$.

411 **Construction.** We construct a set \mathcal{P} of segments and a set \mathcal{C} of points.

412 First let's choose any ordering of n^2 elements $\{1, n\} \times \{1, n\}$ and name this sequence

413 $a_1 \dots a_{n^2}$.

$$match_v(i, j) \iff a_i = \{x_i, y_i\} \wedge a_j = \{x_j, y_j\} \wedge x_i = x_j$$

$$match_h(i, j) \iff a_i = \{x_i, y_i\} \wedge a_j = \{x_j, y_j\} \wedge y_i = y_j$$

Points. Define points:

$$h_{i,j,t} = (j \cdot (n^2 + 1) + t, (n^2 + 1) \cdot i)$$

$$v_{i,j,t} = ((n^2 + 1) \cdot i, j \cdot (n^2 + 1) + t)$$

Let's define sets H and V as:

$$H = \{h_{i,j,t} : 1 \leq i, j, \leq k, 1 \leq t \leq n^2\}$$

$$V = \{v_{i,j,t} : 1 \leq i, j, \leq k, 1 \leq t \leq n^2\}$$

414 Let's define $\epsilon = 0.1$. For a point $\{x, y\} = p$ we define points $p^L = \{x - \epsilon, y\}$, $p^R = \{x + \epsilon, y\}$,

415 $p^U = \{x, y - \epsilon\}$, and $p^D = \{x, y + \epsilon\}$.

Then we define:

$$\mathcal{C} := H \cup \{p^L : p \in H\} \cup \{p^R : p \in H\} \cup V \cup \{p^U : p \in V\} \cup \{p^D : p \in V\}$$

416 **Segments.** Define horizontal segments.

$$hor_{i,j,t_1,t_2} = (h_{i,j,t_1}^R, h_{i,j+1,t_2}^L)$$

$$ver_{i,j,t_1,t_2} = (v_{i,j,t_1}^D, v_{i,j+1,t_2}^U)$$

$$horbeg_{i,t} = (h_{i,1,1}^L, h_{i,1,t}^L)$$

$$horend_{i,t} = (h_{i,n,t}^R, h_{i,n,n^2}^R)$$

$$verbeg_{i,t} = (v_{i,1,1}^U, v_{i,1,t}^U)$$

$$verend_{i,t} = (v_{i,n,t}^D, v_{i,n,n^2}^D)$$

$$\begin{aligned} HOR &= \{hor_{i,j,t_1,t_2} : 1 \leq i \leq k, 1 \leq j < k, 1 \leq t_1, t_2 \leq n^2, match_h(t_1, t_2)\} \\ &\cup \{horbeg_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2\} \\ &\cup \{horend_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2\} \end{aligned}$$

$$\begin{aligned} VER &= \{ver_{i,j,t_1,t_2} : 1 \leq i \leq k, 1 \leq j < k, 1 \leq t_1, t_2 \leq n^2, match_v(t_1, t_2)\} \\ &\cup \{verbeg_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2\} \\ &\cup \{verend_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2\} \end{aligned}$$

$$DIAG := \{(h_{i,j,t}, v_{j,i,t}) : 1 \leq i, j \leq k, 1 \leq t \leq n^2, a_t \in S_{i,j}\}$$

TODO: explain that these segments are in fact diagonal

$$\mathcal{P} := HOR \cup VER \cup DIAG$$

Lemma 3.3.4 *If there exists solution for grid tiling, then there exists solution for our construction using $2(k+1)k + k^2$ segments with weight exactly $2k \cdot (k(n^2 + 1) - 2 - 2\epsilon(k-1))$.*

Claim 3.3.4 *If there exists a solution to the grid tiling $c_1 \dots c_k$ and $r_1 \dots r_k$, then there exists a solution covering all points*

$$\{h_{i,j,t} : 1 \leq i, j \leq k, t = (c_i, r_j)\} \cup \{v_{i,j,t} : 1 \leq i, j \leq k, t = (c_j, r_i)\}$$

with segments in DIAG and the rest in VER or HOR and has weight $2k \cdot (k(n^2 + 1) - 2 - 2\epsilon(k-1))$.

Proof. TODO: jakiś prosty z definicji

Lemma 3.3.5 *If there exists solution for our construction using $2(k+1)k + k^2$ segments with weight exactly $2k \cdot (k(n^2 + 1) - 2 - 2\epsilon(k-1))$, then there exists a solution for grid tiling*

Proof. This follows from Lemma 3.3.6, because we just take which points are covered with DIAG.

Claim 3.3.5 *Points p^L, p^R, p^U, p^D cannot be covered with DIAG.*

Claim 3.3.6 *Points in $H \cup \{p^L : p \in H\} \cup \{p^R : p \in H\}$ cannot be covered with VER.*

Points in $V \cup \{p^U : p \in V\} \cup \{p^D : p \in V\}$ cannot be covered with HOR.

Claim 3.3.7 *For given i, j if none of the points $h_{i,j,t}$ ($v_{i,j,t}$) for $1 \leq t \leq n^2$ are covered with DIAG, then some spaces between neighbouring points were covered twice.*

Claim 3.3.8 *For given i, j two points h_{i,j,t_1}, h_{i,j,t_2} (v_{i,j,t_1}, v_{i,j,t_2}) for $1 \leq t_1 < t_2 \leq n^2$ are covered with DIAG, then one of them had to be also covered with a segment from HOR (VER).*

Proof. Point v_{i,j,t_2}^L had to be covered with VER from Claims 3.3.5 and 3.3.6. And every segment in VER covering v_{i,j,t_2}^L , covers also v_{i,j,t_1}^L .

Lemma 3.3.6 *If there exists solution for our construction with weight at most (exactly) $2k \cdot (k(n^2 + 1) - 2 - 2\epsilon(k-1))$, then for every i, j there must be exactly one t such that $h_{i,j,t}$ ($v_{i,j,t}$) is covered with DIAG and moreover if h_{i,j,t_1} and $h_{i,j+1,t_2}$ are uncovered, then $\text{math}_h(t_1, t_2)$. Analogically for v .*

Proof. Only k^2 points can be covered only in DIAG, the rest has to be covered with $VER \cup HOR$. Therefore every result must be at least $ALL_LINES - 2k^2\epsilon$, because only $2k^2$ spaces of length ϵ can be uncovered in this axis.

Of course if h_{i,j,t_1} and $h_{i,j+1,t_2}$ are uncovered, then there must exist a segment in HOR between h_{i,j,t_1}^R and $h_{i,j+1,t_2}^L$, so $\text{math}_h(t_1, t_2)$ must be true.

3.3.3. What is missing

We don't know FPT for axis-pararell segments without δ -extensions.

448 Chapter 4

449 Geometric Set Cover with lines

450 4.1. Lines parallel to one of the axis

451 When \mathcal{R} consists only of lines parallel to one of the axis, the problem can be solved in
452 polynomial time.

453 We create bipartial graph G with node for every line on the input split into sets: H –
454 horizontal lines and V – vertical lines. If any two lines cover the same point from \mathcal{C} , then we
455 add edge between them.

456 Of course there will be no edges between nodes inside H , because all of them are pararell
457 and if they share one point, they are the same lines. Similar argument for V . So the graph is
458 bipartial.

459 Now Geometric Set Cover can be solved with Vertex Cover on graph G . Since Vertex
460 Cover (even in weighted setting) on bipartial graphs can be solved in polynomial time.

461 Short note for myself just to remember how to this in polynomial time:

462 Non-weighted setting - Konig theorem + max matching

463 Weighted setting - Min cut in graph of $\neg A$ or $\neg B$ (edges directed from V to H)

464 4.2. FPT for arbitrary lines

465 You can find this is Platypus book. We will show FPT kernel of size at most k^2 .

466 (Maybe we need to reduce lines with one point/points with one line).

467 For every line if there is more than k points on it, you have to take it. At the end, if there
468 is more than k^2 points, return NO. Otherwise there is no more than k^4 lines.

469 In weighted settings among the same lines with different weights you leave the cheapest
470 one and use the same algorithm.

471 4.3. APX-completeness for arbitrary lines

472 We will show a reduction from Vertex Cover problem. Let's take an instance of the Vertex
473 Cover problem for graph G . We will create a set of $|V(G)|$ pairwise non-pararell lines, such
474 that no three of them share a common point.

475 Then for every edge in $(v, w) \in E(G)$ we put a point on crossing of lines for vertices v
476 and w . They are not pararell, so there exists exactly one such point and any other line don't
477 cover this point (any three of them don't cross in the same point).

Solution of Geometric Set Cover for this instance would yield a sound solution of Vertex Cover for graph G . For every point (edge) we need to choose at least one of lines (vertices) v or w to cover this point.

Vertex Cover for arbitrary graph is APX-complete, so this problem is also APX-complete.

4.4. 2-approximation for arbitrary lines

Vertex Cover has an easy 2-approximation algorithm, but here very many lines can cross through the same point, so we can do d -approximation, where d is the biggest number of lines crossing through the same point. So for set where any 3 lines don't cross in the same point it yields 2-approximation.

The problematic cases are where through all points cross at least k points and all lines have at least k points on them. It can be created by casting k -grid in k -D space on 2D space.

Greedy algorithm yields $\log |\mathcal{R}|$ -approximation, but I have example for this for bipartial graph and reduction with taking all lines crossing through some point (if there are no more than k) would solve this case. So maybe it works.

Unfortunately I haven't done this :(

I can link some papers telling it's hard to do.

4.5. Connection with general set cover

Problem with finite set of lines with more dimensions is equivalent to problem in 2D, because we can project lines on the plane which is not perpendicular to any plane created by pairs of (point from \mathcal{C} , line from \mathcal{P}).

Of course every two lines have at most one common point, so is every family of sets that have at most one point in common equivalent to some geometric set cover with lines?

No, because of Desargues's theorem. Have to write down exactly what configuration is banned.

502 Chapter 5

503 Geometric Set Cover with polygons

504 5.1. State of the art

505 Covering points with weighted discs admits PTAS [Li and Jin, 2015] and with fat polygons
506 with δ -extensions with unit weights admits EPTAS [Har-Peled and Lee, 2009].

507 Although with thin objects, even if we allow δ -expansion, the Set Cover with rectangles is
508 APX-complete (for $\delta = 1/2$), it follows from APX-completeness for segments with δ -expansion
509 in Section 3.2.

510 Covering points with squares is W[1]-hard [Marx, 2005]. It can be proven that assuming
511 *SETH*, there is no $f(k) \cdot (|\mathcal{C}| + |\mathcal{P}|)^{k-\epsilon}$ time algorithm for any computable function f and
512 $\epsilon > 0$ that decides if there are k polygons in \mathcal{P} that together cover \mathcal{C} , *Theorem 1.9* in [Marx
513 and Pilipczuk, 2015].

⁵¹⁴ Chapter 6

⁵¹⁵ Conclusions

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