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T E S I S

**A GRAPH NEURAL NETWORK APPROACH FOR  
LARGE-SCALE GRAPH PARTITIONING**

QUE PARA OBTENER EL TÍTULO DE:

**MAESTRÍA EN CIENCIAS DE LA COMPUTACIÓN**

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## RESUMEN

El diseño de algoritmos para resolver problemas de Optimización Combinatoria es una tarea desafiante debido a la naturaleza de intratabilidad que poseen los mismos. Uno de los problemas fundamentales, y también uno de los más estudiados en el área, es el problema del *Particionado de Grafos*. En años recientes, se han desarrollado distintos algoritmos basados en Deep Learning para lidiar con éste y otros problemas de Optimización Combinatoria, los cuales han mostrado resultados satisfactorios. Uno de los algoritmos más famosos, entre los antes mencionados, es *Generalizable Approximate Partitioning (GAP) framework*. El objetivo de este trabajo es presentar una extensión de este *framework* que funcione para grafos mas generales, i.e. grafos sin atributos, y generalice bien para grafos grandes.

Una de las primeras observaciones hechas en esta investigación es que debido al hecho que el framework GAP usa una arquitectura basada en *Graph Convolutional Networks*, éste tiende a desempeñarse lentamente en grafos grandes. Por lo tanto, se llevaron a cabo algunas modificaciones significantes que mantienen las ventajas que ofrecen las Graph Convolutional Networks y al mismo tiempo reducen el tiempo de cómputo sin perder la potencia del framework original.

Además, se observó que el framework GAP funciona únicamente con grafos con características en sus nodos como consecuencia de la arquitectura seleccionada por sus autores. Esta dependencia fue eliminada a través de un enfoque basado en caminatas aleatorias para generar las características de los nodos. Esta se puede considerar como una modificación importante porque el algoritmo ahora depende únicamente de la estructura del grafo lo cuál es suficiente para el problema del Particionado de Grafos.

La última parte de esta investigación muestra cómo se adaptó una técnica de *negative sampling* al algoritmo propuesto para acelerar y hacer más efectivo el proceso de encontrar particiones balanceadas sin sacrificar la calidad de las particiones antes mencionadas. El algoritmo propuesto mostró resultados comparables con algoritmos ampliamente usados para el particionado de grafos como lo son METIS o SCOTCH.



## ABSTRACT

The design of algorithms that solve Combinatorial Optimization problems is a challenging task due to their intractable nature. One of the fundamental and most studied problems in the area is the Graph Partitioning problem. In recent years, several Deep Learning algorithms have been developed to deal with this and other Combinatorial Optimization problems, which have shown satisfactory results. One of the famous ones is the Generalizable Approximate Partitioning (GAP) framework. This work aims to present an extension of this framework that works for more general graphs and generalizes well to large ones.

One of the first observations made in this research is that the GAP framework uses an architecture based on Graph Convolutional Networks (GCN's) which tends to be slow for big graphs. Therefore, significant modifications were carried out that preserve the advantages that Graph Neural Networks offer and at the same time reduce the computation time without losing the power of the original framework.

In addition, it was noted that as a consequence of the model architecture chosen by its authors, GAP requires graphs with node features. That dependency was eliminated by using a random walk approach to generate node features. This was an important modification because the algorithm now relies purely on the graph's structure which is enough for the Graph Partitioning Problem.

The last part of this research shows how to use a negative sampling technique to accelerate and improve the process of finding balanced partitions without sacrificing the quality of said partitions. The proposed algorithm shows results comparable with widely used partitioning algorithms like METIS or SCOTCH.



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## INTRODUCTION

### 1.1 Presentation

Combinatorial Optimization is a prominent field that results from the intersection of mathematics and theoretical computer science, specifically from areas such as combinatorics, algorithm theory, and operations research. Combinatorial Optimization problems are characterized by their solution space that consists of a finite collection of objects. This collection of objects typically grows exponentially in size, so going through all the objects and selecting the optimal one is not feasible [23].

The computational complexity behind solving Combinatorial Optimization (CO) problems is a very well-known concern, but the main motivation to solving them is the number of real-life problems that can be modeled using this framework. Thus, developing new techniques that provide Combinatorial Optimization algorithms which perform well has been in the spotlight for more than 50 years [16], and it is the subject matter of this research.

Considering the aforementioned, it is important to note what the essence of a CO algorithm is. As explained eloquently by Maltby and Ross [17], a CO algorithm uses mathematical methods either to make the search of possible solutions faster or to reduce the size of the set of feasible solutions. Some of the state-of-the-art techniques that have been proposed to produce those algorithms can be summarized as:

- Heuristic methods: a series of strategies where experience-based techniques are followed to solve the problem. Generally used when classical methods are too slow and

when an approximate solution is enough for the implicit purposes.

- Branch and bound method: consists of a systematic division of the solution space where the core elements are called *branches*. Then, branches are recursively explored and compared against estimated bounds of the optimal solution. [19]
- Mixed/Integer Programming: some of the decision variables in the problem are constrained to be integer values at the optimal solution, and then, the problem can be solved by one or several methods before mentioned.

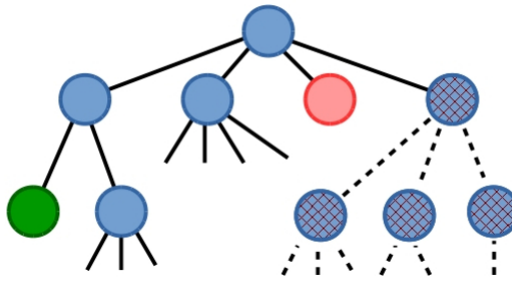


Figure 1.1: Illustration of the process of solving a CO algorithm with the branch and bound method [12].

For further information about the most popular strategies or an exhaustive explanation of those techniques, those considered to be good resources are [3] and [13].

It is well known that most CO problems can be modeled with mathematical graphs [16]. Hence, most of the efforts in developing CO algorithms are dedicated to solving graph problems. These efforts have incremented in the last decade on account of the rapid growth in digital technology. Along with this change, the computing needs and the usual assumptions that algorithms used to make have become more demanding in terms of resource allocation.

On one hand, there is a need to research new theoretical proposals and to further investigate the new constraints that modern problems imply. Then, one could use this knowledge to influence the way new strategies approach those problems. On the other hand, there is a pragmatic need to solve those problems in real life and come up with practical and efficient solutions in a considerably small amount of time. In short, the theoretical and practical aspects need to be considered as part of the equation in contemporary applications where strong optimization strategies, like heuristic methods and Integer Programming, are just not enough.

The ability to address the underlying issues that arise in this kind of problems has been improved drastically during the last years. Those huge steps are directed to obtain fast

approximations for CO problems and have given rise to new ways to find algorithms that provide empirical efficient solutions.

Some recent approaches are being designed to take advantage of Machine Learning capabilities. In particular, Deep Learning strategies are providing alternative ways to deal with some of the underlying issues that arise with those kinds of problems. For determined problems, those approaches have shown to be the most successful in terms of running time efficiency, less human intervention, and the quality of the solutions.

Balanced graph partitioning is one of the fundamental Combinatorial Optimization problems and one of those who has gotten the best from Deep Learning. In simple words, the Balanced Graph Partitioning Problem is the task of finding a partition of a given graph into mutually exclusive sub-graphs, i.e. they do not share nodes, such as the partitions have the same size relative to the given measure. Finding a partition with the desired characteristics is a hard task, but the importance of solving it due to its numerous applications has incremented in recent years.

## **1.2 Objectives**

### **1.2.1 General objective**

The main target of this research is to developing a machine learning algorithm that solves the graph partitioning problem that is capable of performing on abstract graphs and large-scale graph instances.

### **1.2.2 Particular objectives**

The following objectives are the relevant expected goals to accomplish with this research:

- To understand and analyze the Generalizable Approximate Partitioning (GAP) framework and to use it for solving the graph partitioning problem.
- Based on GAP, design an algorithm that works for general (non-attributed) graphs and at the same time relies completely on their structure.
- To build a framework that is easy to modify in order to use different objective functions in the partitioning stage.

- To explore different types of sampling and study the impact they have in the efficiency of the algorithm.

### 1.3 Justification

Graphs are a mathematical representation of what is colloquially known as networks. They are used to describe the relationships between objects which is adopted as a similitude measure and allows to model an extensive amount of real life problems. Due to their modeling capacity and their power of abstraction, they are widely studied in different areas of computer science and applied mathematics.

The Graph Partitioning (GP) problem is relevant to solve a big number of graph-related tasks. Frequently, GP is employed as a pre-processing subroutine to solve a separate graph-related problem. If the edges that cross between the partition groups is relatively small compared to the original graph, then the partitioned graph may be better appropriate for analysis and problem-solving than the original [14].

As a matter of fact, finding good quality partitions of a graph is generally the first step of distributed graph computing tasks. The next paragraphs are dedicated to describe some of the most distinguished applications of GP. Applications of GP into solve graph-related problems are presented first to continue then with applications to other areas and real-life situations.

One of the first and most useful applications of GP that one can find in literature is graph compression. A popular method for graph compression is the reordering method, which iteratively bisects a graph into two sets of equal cardinality aiming to minimize a compression-related objective function. Note that graph bisection is a special case of GP. For instance, Bouritsas et. al. [1] showed a way to use a Machine Learning approach combined with a parametric GP algorithm to solve this task.

for graph sparsification and solving linear systems [25]. For example, Gupta [9]

Nested dissection a divide and conquer heuristic for the solution of sparse symmetric systems of linear equations [4]

Applications of graph partitioning to real-life problems:

Graph partitioning plays an essential role in paralleling computations and the design of new algorithms on large graphs. For example device placement problem where one aims to distribute work accross multiple devices and have applications in Deep Learning to train



Neural Networks accross multiple devices [18]

problem of intentional islanding in power systems considering load generation balance [26]

Image segmentation [8]

communities detection With

Most of the mentioned research have in common that the approaches followed regularly use state-of-the-art GP algorithms. Some of the same algorithms for those tasks are unexplored in large-scale graphs and they do not consider the growth in instance-size of graph data that is emerging. The possibility that they become obsolete in the near future needs to be studied carefully as the same time alongside with the development of new strategies that overcome the inexorable challenges.

Another important highlight he targets are load-balance and minimizing the communication volume. This is a consideration not all of the existing approaches have.

Undoubtedly, the most recent direction where the GP problem has gained importance is in its application for clustering in complex networks. Those types of networks include but are not limited to social networks, transportation networks, web graphs, and biological networks. For an example, see Figure 1.2.



Figure 1.2: The global mobility network. Representation of 4069 airports worldwide where gray lines between them are direct connections [2].

## 1.4 Project scope and limitations

During the development of this project there were taken the following considerations

- One of the first limitation found is related to the dataset used to test the proposed algorithm. Most of them were taken from "The Graph Partitioning Archive", a famous dataset where some of the most successful partitioning algorithms have been tested. While this offers a good comparison point, the algorithm have not been tested on real-world graphs.
- Again, due to the use of "The Graph Partitioning Archive" as dataset, the size of the graphs is not so big compared to real-world graphs as the ones used in Amazon or Pinterest. The largest graph in the dataset contains 500,000 nodes.
- In general, the graphs used for running the experiments are not so sparse. Some of the graphs that are indeed sparse, belongs to the category of small-size graphs with less than 50,000 nodes. This is not considered to be a big deal because not all real-world graphs are sparse. However it is believed that special considerations should be taken during the training of the algorithm
- computing power accessed
- Values based on previous work
- What assumptions do I make?
- not distributed work which could improve
- It only accepts a certain format SCOTCH and Networkx
- Comparison with GAP was using graphs without features, an interesting thing would be to check performance with the same datasets they used but getting rid of the features, e.g., cora citation

## 1.5 Research Problem

The state-of-the-art algorithms for graph partitioning Graph partitioning algorithms based on DL

<https://arxiv.org/pdf/2104.03546.pdf>

## 1.6 Hypothesis

When analyzing GAP in depth some observations were made.

## **1.7 Project organization**



## THEORY AND CONCEPTUAL FRAMEWORK

## 2.1 Preliminaries

Description of concepts

**Definition 2.1.1.** *orthogonal complement*

**Theorem 2.1.1.** *For every  $n \times n$  symmetric real matrix, the eigenvalues are real and the eigenvectors can be chosen real and orthonormal.*

**Theorem 2.1.2** (Courant-Fisher Formula). *Let  $A$  be an  $n \times n$  real symmetric matrix with eigenvalues  $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$  and corresponding eigenvectors  $v_1, v_2, \dots, v_n$ . Then*

$$\begin{aligned}\lambda_1 &= \min_{\|x\|=1} x^T A x = \min_{x \neq 0} \frac{x^T A x}{x^T x}, \\ \lambda_2 &= \min_{\substack{\|x\|=1 \\ x \perp v_1}} x^T A x = \min_{x \neq 0} \frac{x^T A x}{x^T x}, \\ \lambda_n &= \lambda_{\max} = \max_{\substack{\|x\|=1 \\ x \perp v_1}} x^T A x = \max_{\substack{x \neq 0 \\ x \perp v_1}} \frac{x^T A x}{x^T x}.\end{aligned}$$

*In general, for  $1 \leq k \leq n$ , let  $S_k$  denote the span of  $v_1, v_2, \dots, v_k$  (with  $S_0 = \{0\}$ ). Then*

$$\lambda_k = \min_{\substack{\|x\|=1 \\ x \in S_{k-1}^\perp}} x^T A x = \min_{\substack{x \neq 0 \\ x \in S_{k-1}^\perp}} \frac{x^T A x}{x^T x}.$$

## 2.2 Graphs and Laplacian Matrices

For the rest of the chapter, let  $G = (V, E)$  be a graph, where  $V = \{v_1, v_2, \dots, v_n\}$  is the non-empty set of nodes (or vertices) and  $E$  is the set of edges, composed by pairs of the form  $(v_i, v_j)$ , where  $v_i, v_j \in V$ .

It is assumed that all graphs are undirected, meaning that if  $(v_i, v_j) \in E$ , then  $(v_j, v_i) \in E$ , for every  $v_i, v_j \in V$ . For that reason, the edge  $(v_i, v_j)$  will be represented as the unordered set  $\{v_i, v_j\}$ .

A convenient way to represent a graph is through an *adjacency matrix*  $A \in \mathbb{R}^{|V| \times |V|}$ . Giving a specific order to the graph nodes, one can represent the edges as binary entries in this matrix:

$$A[v_i, v_j] = \begin{cases} 1 & \text{if } \{v_i, v_j\} \in E \\ 0 & \text{otherwise} \end{cases}$$

Let  $\mathcal{N}(v_i)$  denote the neighborhood of node  $v_i$ , i.e., the set of the adjacent nodes to it. The quantity that represents the number of nodes in  $\mathcal{N}(v_i)$  is called the *degree of the vertex*  $v_i$ . This is one of the most obvious and informative feature for the structure of the graph and is denoted by

$$d_i = \sum_{j=1}^n A[v_j, v_i].$$

Finally, we can summarize that graph's information in the *degree matrix*  $D$  which is defined as the diagonal matrix with the degrees  $d_1, d_2, \dots, d_n$  on the diagonal.

### 2.2.1 The Unnormalized Laplacian

The unnormalized graph *Laplacian matrix*  $L$  is defined as

$$L = D - A$$

**Proposition 2.2.1** (Some properties of  $L$ ). *The matrix  $L$ , as defined above, satisfies the following properties:*

1. For every vector  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}$  we have

$$x^T L x = \frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n (x_i - x_j)^2$$

2.  $L$  is symmetric and positive semi-definite

3.  $L$  has  $n$  non-negative, real-valued, eigenvalues  $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$
4. The smallest eigenvalue of  $L$  is 0, the corresponding eigenvector is the constant one vector  $\mathbb{1}$ .

### 2.2.2 Normalized Laplacians

The symmetric normalized Laplacian matrix  $L_{sym}$  is defined as

$$L_{sym} = D^{-\frac{1}{2}} L D^{-\frac{1}{2}}$$

while the random walk Laplacian is defined as

$$L_{rw} = D^{-1} L$$

### 2.2.3 The graph partitioning problem

In order to introduce the graph partitioning problem in its different settings, the mathematical definition of the concepts involved are presented below.

**Definition 2.2.1.** Given a graph  $G = (V, E)$  and an integer  $K$ , a partition of  $G$  is a collection of  $K$  subsets  $P_1, P_2, \dots, P_K \subset V$  such that:

1.  $P_i \cap P_j = \emptyset$  for  $i \neq j$ , where  $i, j \in \{1, 2, \dots, K\}$
2.  $\cup_{k=1}^K P_k = V$

A partition of a graph can be seen as simply removing edges from the original graph in such way the obtained partitions are subgraphs. There are many ways a graph can be partitioned into subgraphs and the way it gets done depends completely on the application of interest. However, independently of the problem to solve, the objective relies on minimizing the connections between the partitions in the original graph. The following concepts provides a useful notation to turn the problem into an optimization one.

For a collection  $S \subset V$  of vertices, we define the *edge boundary*  $\partial(S)$  to consist of all edges in  $E$  with exactly one endpoint in  $S$ , that is,

$$\partial(S) := \{\{u, v\} \in E \mid u \notin S \text{ and } v \in S\}$$

Now the problem turns into finding a partition  $P_1, P_2, \dots, P_K$  such that minimizes the *cut value* of the partition, usually called just *cut*, which is defined as

$$\text{CUT}(P_1, P_2, \dots, P_K) := \frac{1}{2} \sum_{k=1}^K |\partial(P_k)| \quad (2.1)$$

The notion of cut allows to measure the quality of any partition, nevertheless solving the min cut problem ...

For a collection of vertices  $S \subset V$ , consider the following quantities related to the edges of

$$\text{VOL}(S) := \sum_{v_i \in S} d_i$$

we want to agroupe by similarity so its natural to Cut value of that partition  
solve the mincut problem

The next consider two different ways of measuring the size of the partitions

$$\begin{aligned} \text{RATIOCUT}(P_1, P_2, \dots, P_K) &:= \frac{1}{2} \sum_{k=1}^K \frac{|\partial(P_k)|}{|P_k|} \\ &= \sum_{k=1}^K \frac{\text{CUT}(P_k, \overline{P_k})}{|P_k|} \end{aligned}$$

$$\begin{aligned} \text{NORMCUT}(P_1, P_2, \dots, P_K) &:= \frac{1}{2} \sum_{k=1}^K \frac{|\partial(P_k)|}{\text{VOL}(P_k)} \\ &= \sum_{k=1}^K \frac{\text{CUT}(P_k, \overline{P_k})}{\text{VOL}(P_k)} \end{aligned}$$

### 2.2.4 Spectral partitioning and Normalized Cut

Here will be presented the derivation of the normalized cut as relaxation of the problem to solve the spectral partitioning problem

## 2.3 Literature review

B-GRAP: BALANCED GRAPH PARTITIONING ALGORITHM FOR LARGE GRAPHS: <https://www.rintonpre2/116-135.pdf>

Trading Quality for Efficiency of Graph Partitioning: An Inductive Method across Graphs  
<https://openreview.net/forum?id=e6MWIbNeW1>

Application Driven Graph Partitioning <https://dl.acm.org/doi/abs/10.1145/3318464.3389745>

Graph Partitioning and Sparse Matrix Ordering using Reinforcement Learning [4]



### 2.3.1 Generalizable Approximate Graph Partitioning (GAP) Framework

From all the Deep Learning approaches that solve the Graph Partitioning problem, one of the most notorious not only for its simplicity but for its exceptional results, is the *Generalizable Approximate Graph Partitioning* framework better known as GAP [7].

GAP is a Graph Neural Network approach that proposes a continuous relaxation of the problem using a differentiable loss function that is based on the normalized cut. According to Nazi et al. [20], it is an unsupervised learning algorithm that is capable of generalization, meaning that it can be trained in small graphs, which allows it to generalize into unseen much larger ones. This section describes the model described in the original paper which consists of two modules: the Graph Embedding Module and the Graph Partitioning Module.

In the following subsections, it is assumed that the framework takes a graph  $G = (V, E)$  as input, where  $V = \{v_1, v_2, \dots, v_n\}$ , and outputs the probabilities tensor  $Y \in \mathbb{R}^{n \times K}$ , where  $Y_{ik}$  represents the probability that node  $v_i$  belongs to partition  $P_k$ . Before going into the model description, the deduction of the loss function is as follows.

#### 2.3.1.1 Expected Normalized Cut Loss Function

Recall the normalized cut given by

$$\text{NORMCUT}(P_1, P_2, \dots, P_K) = \sum_{k=1}^K \frac{\text{CUT}(P_k, \overline{P_k})}{\text{VOL}(P_k)} \quad (2.2)$$

In order to calculate the normalized cut expected value, one needs to compute the expected value of  $\text{CUT}(P_k, \overline{P_k})$  and  $\text{VOL}(P_k)$  from Equation 2.2. For the deduction of those quantities, an approach similar to the one presented in [5] will be followed.

Since  $Y_{ik}$  represents the probability that node  $v_i \in P_k$ ,  $1 - Y_{ik}$  is the probability that  $v_i \notin P_k$ , hence

$$\mathbb{E}[\text{CUT}(P_k, \overline{P_k})] = \sum_{i=1}^{|V|} \sum_{v_j \in \mathcal{N}(v_i)} Y_{ik}(1 - Y_{jk}) \quad (2.3)$$

Due to the fact that for a given node the adjacent nodes can be retrieved from the adjacency matrix  $A$ , Equation 2.3 can be rewritten as follows:

$$\mathbb{E}[\text{CUT}(P_k, \overline{P_k})] = \sum_{i=1}^{|V|} \sum_{j=1}^{|V|} Y_{ik}(1 - Y_{kj}^T) A_{ij} \quad (2.4)$$

Keeping in mind that  $\text{VOL}(P_k)$  is the sum of the degrees of the nodes in  $P_k$ ,  $\Delta$  is defined to be the column tensor where  $\Delta_i$  is the degree of the node  $v_i \in V$ . Then, given  $Y$ , one can

calculate the expected value of  $\text{VOL}(P_k)$  as follows:

$$\begin{aligned}\Gamma &= Y^T \Delta \\ \mathbb{E}[\text{VOL}(P_k)] &= \Gamma_k\end{aligned}\tag{2.5}$$

From the results obtained in Equation 2.4 and Equation 2.5, a way to calculate the expected value of  $\text{NORMCUT}(P_1, P_2, \dots, P_K)$  is given by:

$$\mathbb{E}[\text{NORMCUT}(P_1, P_2, \dots, P_K)] = \sum_{k=1}^K \sum_{i=1}^{|V|} \sum_{j=1}^{|V|} \frac{Y_{ik}(1 - Y_{kj}^T) A_{ij}}{\Gamma_k}\tag{2.6}$$

Nazi et al. [20] also showed that given the probability tensor  $Y$ , one can evaluate how balanced those partitions are. Note that the sum of the columns in  $Y$  is the expected number of nodes in each partition, i.e.,  $\mathbb{E}[|P_k|] = \sum_{i=1}^{|V|} Y_{ik}$ . On the other hand, in order to have balanced partitions, the number of nodes in each one should be  $\frac{|V|}{K}$ . As a consequence, the quantity  $\left| \sum_{i=1}^{|V|} Y_{ik} - \frac{|V|}{K} \right|$  measures how balanced the partition  $P_k$  is.

Using the last result, and replacing the absolute value by the squared function, one can derive the loss function from Equation 2.6. This is the one originally used in GAP that intends to minimize the expected value of the normalized cut and at the same time balances the cardinalities of the partitions:

$$\mathcal{L} = \sum_{k=1}^K \sum_{i=1}^{|V|} \sum_{j=1}^{|V|} \frac{Y_{ik}(1 - Y_{kj}^T) A_{ij}}{\Gamma_k} + \sum_{k=1}^K \left( \sum_{i=1}^{|V|} Y_{ik} - \frac{|V|}{K} \right)^2\tag{2.7}$$

### 2.3.1.2 The Embedding Module

In the graph embedding module, the algorithm learns node embeddings by encoding local structure information and the node features. The embeddings are calculated using Graph Neural Networks (GNN) which have become very popular during the recent years. To ensure generalization, the GAP authors opted for an inductive GNN approach by leveraging GraphSAGE with a Graph Convolutional Network (GCN) based approach.

In the paper where GAP was presented, the authors used a 3-layer GCN using Xavier initialization that can be found in [6]

$$Z = \tanh(\hat{A} \tanh(\hat{A} \tanh(\hat{A} X \mathbf{W}^{(0)}) \mathbf{W}^{(1)}) \mathbf{W}^{(2)})$$

where  $\hat{A} = (D + I)^{-\frac{1}{2}} (A + I) (D + I)^{-\frac{1}{2}}$  is a normalized variant of the adjacency matrix with self loops,  $X$  is the feature matrix, and  $\mathbf{W}^{(l)}$  is a learnable parameter matrix.

### 2.3.1.3 The Partitioning Module

The second module of GAP is composed of a fully connected layer that takes as input a node embedding vector  $\mathbf{z}_u$  generated in the embedding module. This fully connected layer is then followed by a softmax layer trained to minimize the expected normalized loss function given by Equation 2.7.

This module is the responsible for partitioning the graph by returning  $Y \in \mathbb{R}^{|V| \times K}$ , the probabilities matrix that each node belongs to each of the partitions  $P_1, P_2, \dots, P_K$ . At the same time, it ensures that for a given node, the sum of the probabilities of belonging each of the partitions is 1

$$\sum_{k=0}^K Y_{ik} = 1$$



## GRAPH PARTITIONING FOR LARGE GRAPHS

This chapter is going to develop all the concepts related to the modifications made to the GAP framework. It contains the proposed solution and the principles to understand them.

### 3.1 Node Embeddings

As mentioned by Zhang et. al. [29], GCN's and its variants have become a very hot topic in Machine Learning and they are being extremely used to solve plenty of problems. Indeed, the use of Graph Convolutional Networks (GCN) to generate node embeddings was a key factor that made the GAP framework very successful in producing good quality partitions.

#### 3.1.1 Graph Convolutional Networks

Graph Convolutional Networks were originally proposed by Kipf et. al. [15]. When they first came up with its algorithm, they proposed a model where a single layer follows the following propagation rule:

$$H^{(l+1)} = f(H^{(l)}, A) = \sigma \left( \hat{D}^{-\frac{1}{2}} \hat{A} \hat{D}^{-\frac{1}{2}} H^{(l)} W^{(l)} \right) \quad (3.1)$$

$$H^{(0)} = X, \quad (3.2)$$

where  $W^{(l)}$  is a the learnable parameter weight matrix for the  $l$ -th network layer,  $\hat{A} = A + I$  is the adjacency matrix with self loops,  $\hat{D}$  is the diagonal node degree matrix of  $\hat{A}$ ,  $X$  is the

feature matrix, and  $\sigma$  is a non-linear activation function. Also note that the term  $\hat{D}^{-\frac{1}{2}} \hat{A} \hat{D}^{-\frac{1}{2}}$  is a symmetric normalized version of the graph Laplacian.

Although GCN's are extremely powerful to generate node embeddings, in practice they have the following downsides:

- In terms of efficiency, GCN's are for sure not the best. As it can be seen in Equation 3.1, they multiply feature matrices by powers of the graph's normalized Laplacian, i.e., they are computationally very expensive. Under those circumstances, they are not suitable for big graphs.
- Over-smoothing
- 

To solve this issues in GCN's, several solutions have been proposed that deal with the downsides mentioned without losing expression of GCN. FastGCN GraphSAGE PinSAGE

Different approaches that can be followed are found by the author in [30] or [10]

Describe Downsides

Mention all the approaches that use Graph Neural Networks and its importance in solving graph related tasks achieving superior performance on many graph-related tasks

Talk a little bit about traditional node embeddings approaches and its delimitations. Embeddings: dense vector representations Talk about the message passing framework Start with Graph Convolutional Neural Networks (GCN) and its limitations. Emphasize in how GraphSAGE solve those limitations and how it extends the GCN capabilities

### 3.1.2 GraphSAGE

As claimed by the authors of the paper [11] where it was first proposed, GraphSAGE is an inductive framework that generate node embeddings for previously unseen data. It can be seen as an extension of the GCN framework to the inductive setting but it results advantageous in this process as it:

- Performs localized convolutions without needing to use the whole normalized Laplacian resulting in a reduction of the computing time. Due to the nature of the GCN's, they make use of the symmetrically normalized graph Laplacian localized convolutions.

- Does not require that all nodes are present during training of the embeddings which turns out in a highly scalable framework.
- Naturally generalize to unseen nodes and even complete sub-graphs.
- Is capable of recognizing local and global structural properties of nodes based only on its neighborhood even though it still depends on node features.
- Uses an unsupervised loss function that tries to preserve graph structure without being specific on the task.

The basic idea of this framework to compute an embedding for node  $v$  can be summarized by the following three steps:

1. Sample a set of nodes from the neighborhood of  $v$  uniformly at random
2. Aggregate feature information from neighbors

Having this idea in mind, the simplest form of a layer-wise propagation rule for GraphSAGE is given by

$$\begin{aligned}
 \mathbf{h}_v^{(l)} &= \sigma \left( \mathbf{W}^{(l)} \cdot \text{CONCAT} \left( \mathbf{h}_v^{(l-1)}, \mathbf{h}_{N_l(v)}^{(l)} \right) \right) \\
 \mathbf{h}_{N_l(v)}^{(l)} &= \text{AGGREGATE}_l \left( \left\{ \mathbf{h}_u^{(l-1)} \mid u \in N_l(v) \right\} \right) \\
 \mathbf{h}_v^{(0)} &= \mathbf{X}_v
 \end{aligned} \tag{3.3}$$

where as usual  $\mathbf{W}^{(l)}$  is a the learnable parameter weight matrix for the  $l$ -th network layer and  $\sigma$  is a non-linear activation function,  $\{\mathbf{X}_v \mid v \in V\}$  are the input features,  $\text{AGGREGATE}_l$  are differentiable aggregator functions, and  $N_l : v \rightarrow 2^V$  are neighborhood sampling functions.

After computing the node embedding for the  $l$ -th layer, the algorithm also performs a normalization step by dividing by the vector norm. This step is a common technique to prevent gradient explosion.

To learn the GraphSAGE parameters and get useful predictive node representations, the model can be trained in a fully unsupervised manner by using the following graph-based loss function:

$$J_G(\mathbf{z}_u) = -\log(\sigma(\mathbf{z}_u^T \mathbf{z}_v)) - Q \cdot \mathbb{E}_{v_n \sim P_n(v)} \log(\sigma(-\mathbf{z}_u^T \mathbf{z}_{v_n})) \tag{3.4}$$

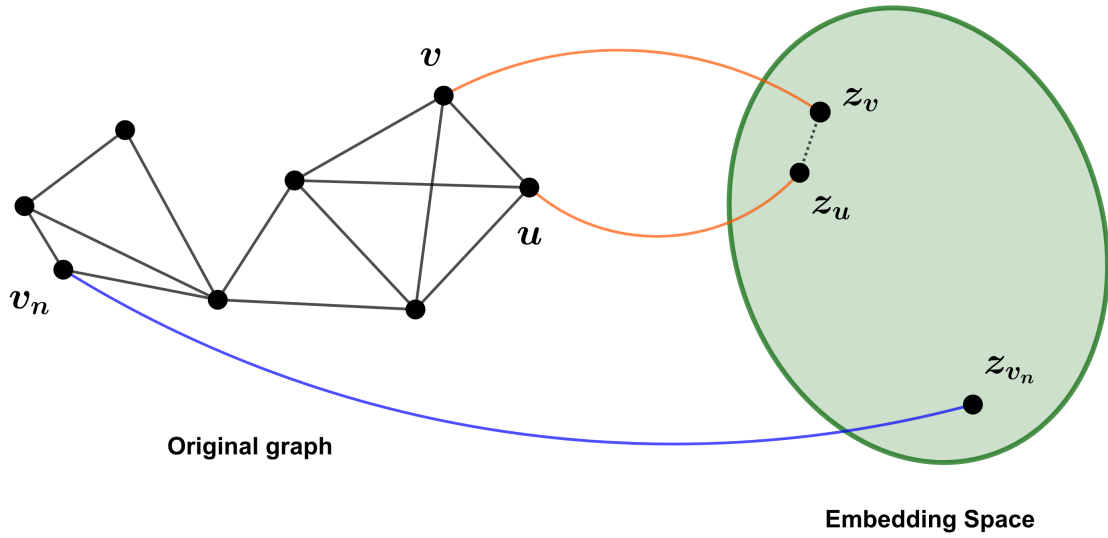


Figure 3.1: Graphical representation of the embeddings generated by GraphSAGE

## 3.2 Node Features

Many graphs come with very representative node feature information that can be very enlightening in the node embedding generation process. The key idea of Graph Neural Networks is to generate representations of nodes that depends not only on the structure of the graph but from any feature information of the graph.

Citing textually to Chen Wang et. al. [27], Graph Neural Networks (GNN's) aim at learning node representations by learning the similarities shared between connected nodes. However, the expressive ability of a GNN is highly dependent on the quality of node features.

An important thing to consider is that for solving the graph partitioning problem in the version presented here, node features are irrelevant.

Due to this inherent characteristic of GNN's, and particularly of GCN's, one of the main limitations of GAP is that it requires node features.

For a graph  $G = (V, E)$ , where  $V = \{v_1, v_2, \dots, v_n\}$ , the feature matrix  $X$  can be described as the  $n \times F$  matrix where the rows  $X_{v_i}$  are that comes depending on the graph.

The way we generate node features independing task and also helps with the overall running time of the algorithm.S

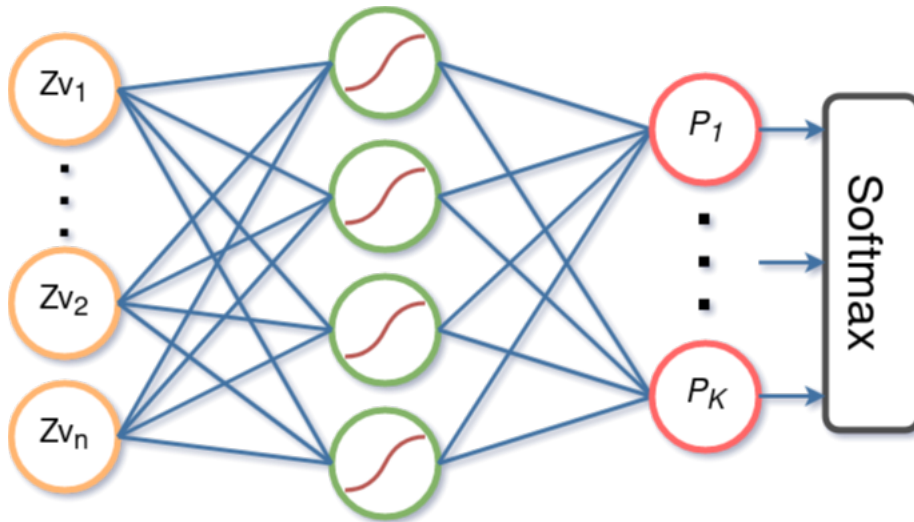
GNNs aim Mention here: Deep Fraud Detection on Non-attributed Graph <https://arxiv.org/pdf/2110.0> but cited here C. T. Duong, T. D. Hoang, H. T. H. Dang, Q. V. H. Nguyen, and K. Aberer, "On



node features for graph neural networks,” arXiv preprint arXiv:1911.08795, 2019. [11] H. Cui, Z. Lu, P. Li, and C. Yang, “On positional and structural node features for graph neural networks on non-attributed graphs,” arXiv preprint arXiv:2107.01495, 2021

Eigendecomposition and top-k-eigenvalues are the k-dimensional feature vector  $Q$ . Huang, H. He, A. Singh, S.-N. Lim, and A. R. Benson, “Combining label propagation and simple models out-performs graph neural networks,” arXiv preprint arXiv:2010.13993, 2020.

Different feature initializations <http://www.cs.emory.edu/~jyang71/files/gnnfeature.pdf>



and after playing a little bit

although GCN have been shown to extract very useful information about graph topology we need to find a different way to get that information

Some work on using Graph Neural Networks without features has been made. Use one hot representation or Initialize random feature matrices (Those methods have been shown to be equivalent and they do not generalize as mentioned in [10]). Other methods propose using applying methods as PCA to the adjacency matrix to extract the top k-eigenvalues but those approaches are computationally very expensive, which is infeasible for large graphs and only and does not help capturing local information related to the graph's structure a random walk approach was chosen, have been shown efficient representation learning techniques for graphs <https://arxiv.org/pdf/1901.01346.pdf>, random walk kernels to produce high quality graph representations <https://proceedings.neurips.cc/paper/2020/file/ba95d78a7c942571185308775a97a3a0-Paper.pdf>

in particular Deep Walk to extract features about the topology of the network

According to the original paper [21], the DeepWalk algorithm consists of two main components: a random walk generator and an update procedure.

In the first component, a random node  $v_i$  is taken uniformly at random to be the root of a random walk  $\mathcal{W}_{v_i}$  which in its turn samples recursively from the neighbors of the last visited vertex until the maximum length  $\gamma$  is reached.

As specified by Perozzi et. al. [21], their experiments suggest that the number of walks started per vertex should be greater or equal than  $\gamma = 30$ , the latent dimension greater or equal than  $d = 64$ , and they fixed the sensible values of  $w = 10$  for the window size, and  $t = 40$  for the walk length. Based on those recommendations, in the experiments carried out in [28], and the computational needs of the problem to be solved, it was found convenient to set  $\gamma = 60$ ,  $d = 64$ ,  $w = 15$ , and  $t = 80$ .

For the algorithm that is proposed here, the implementation by the Karate Club API [22] was used.

Traditional approaches like METIS or SCOTCH implements different versions of the algorithm according to the balancedness measure, either the cardinality or the volume of the partitions. One of the great innovations presented in [20] is the introduction of a loss function derived from the expectation of the normalized cut. Even though they

Ratio cut it is still used and relevant to modern research cite here <https://proceedings.neurips.cc/paper/Paper.pdf>

or here <https://www.springerprofessional.de/en/metaheuristic-approaches-for-ratio-cut-and-normalized-cut-graph-/20360832>

the authors of [5] proposed some modifications to GAP so it can be used for graphs without features. However the presented

for future - Study the weighted problem Study better features related to the problem other ways to extract useful features for non-attributed graphs

METIS was used from the networkx interface

a table for commonly used notation

### 3.2.1 PinSAGE and Markov Chain Negative Sampling (MCNS)

## EXPERIMENTAL RESULTS

The number of partitions was set to three

For the dataset used to train and test the algorithm "The Graph Partitioning Archive" [24]

For the purposes of this research, the graphs contained in "The Graph Partitioning Archive" were categorized in one of the following categories according to their vertex cardinality:

- Tiny graphs: those with less than 10,000 nodes,
- Small graphs: those with node size between 45,000 and 75,000 and,
- Medium graphs: those with at least 99,000 nodes but no more than 500,000.

Ask Luis: is there a word for graphs with size more than big

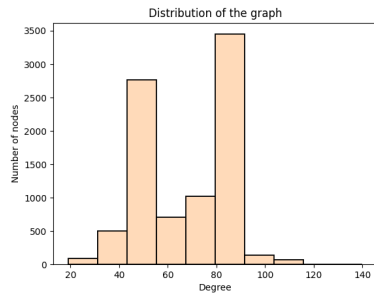
In table

| Computation Graphs |        |         |
|--------------------|--------|---------|
| Name               | Nodes  | Edges   |
| data               | 2851   | 15093   |
| add32              | 4960   | 9462    |
| bcsstk33           | 8738   | 291583  |
| crack              | 10240  | 30380   |
| fe_body            | 45087  | 163734  |
| t60k               | 60005  | 89440   |
| wing               | 62032  | 121544  |
| finan512           | 74752  | 261120  |
| fe_rotor           | 99617  | 662431  |
| 598a               | 110971 | 741934  |
| m14b               | 214765 | 1679018 |
| auto               | 448695 | 3314611 |

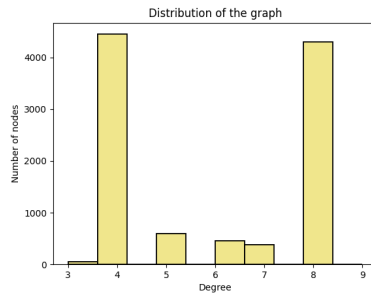
Table 4.1: Summary of the graphs characteristics. Taken from "The Graph Partitioning Archive" [24]

| Graphs   | METIS    |              | GAP      |              | Modified GAP |              |
|----------|----------|--------------|----------|--------------|--------------|--------------|
|          | Edge Cut | Balancedness | Edge Cut | Balancedness | Edge Cut     | Balancedness |
| data     | 0        | 0            | 0        | 0            | 0            | 0            |
| add32    | 0        | 0            | 0        | 0            | 0            | 0            |
| bcsstk33 | 0        | 0            | 0        | 0            | 0            | 0            |
| crack    | 0        | 0            | 0        | 0            | 0            | 0            |
| fe_body  | 0        | 0            | 0        | 0            | 0            | 0            |
| t60k     | 0        | 0            | 0        | 0            | 0            | 0            |
| wing     | 0        | 0            | 0        | 0            | 0            | 0            |
| finan512 | 0        | 0            | 0        | 0            | 0            | 0            |
| fe_rotor | 0        | 0            | 0        | 0            | 0            | 0            |
| 598a     | 0        | 0            | 0        | 0            | 0            | 0            |
| m14b     | 0        | 0            | 0        | 0            | 0            | 0            |
| auto     | 0        | 0            | 0        | 0            | 0            | 0            |

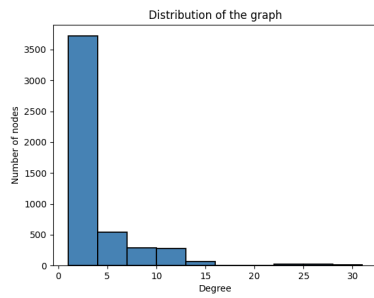
Table 4.2: Comparison of the results obtained by different algorithms in the computation graphs



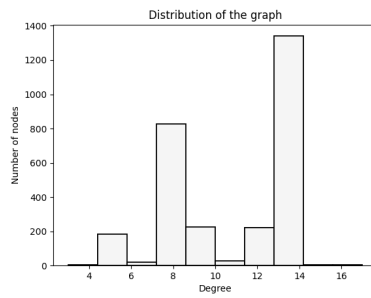
(a) bcsstk33



(b) crack

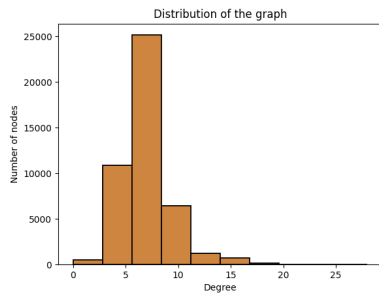


(c) add32

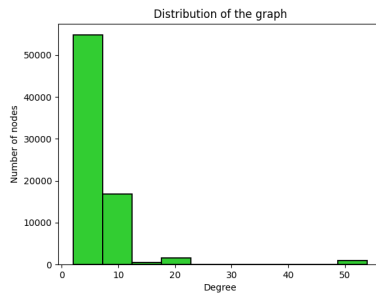


(d) data

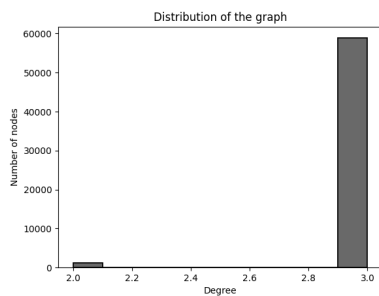
Figure 4.1: Degree histogram of small graphs: bcsstk33, crack, add32, and data. Graphs are not so dense.



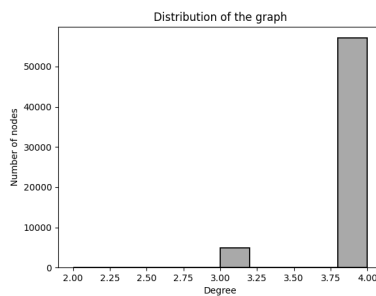
(a) fe\_body



(b) finan512

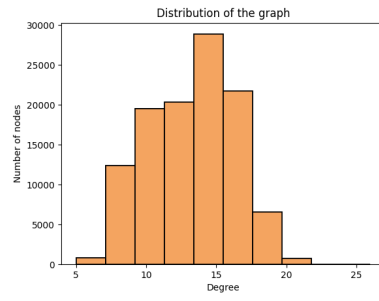


(c) t60k

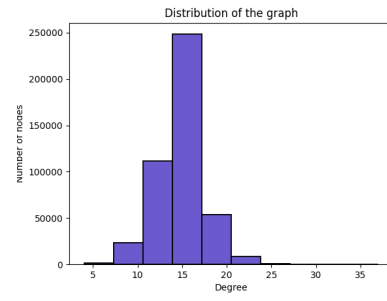


(d) wing

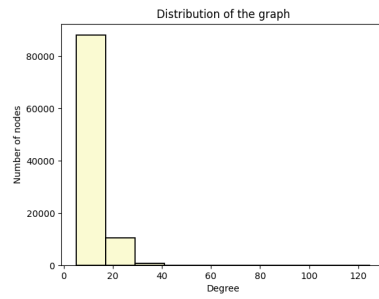
Figure 4.2: Degree histogram of medium graphs. Graphs are not so dense.



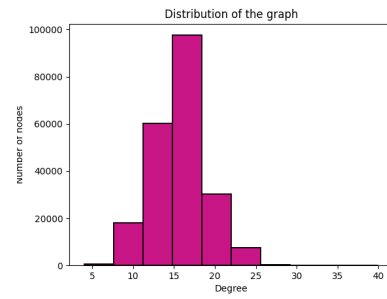
(a) 598a



(b) auto



(c) fe\_rotor



(d) m14b

Figure 4.3: Degree histogram of large graphs. Graphs are not so dense.

## CONCLUSION

Las conclusiones y el trabajo a futuro inicia aquí...

Future work extend to different problem statements, such as balanced graph partitioning with tolerance or weighted graph partitioning. Study the real impact on choosing the way to extract the features and try different algorithms look for new ways the algorithm relies only on topological graph's structure To generalize the algorithm and code to construct graphs from the features Explore new techniques for sampling Build a generic graph construction Training the algorithm with different graphs, look for useful training sets that allow to extend the recognition of different structural properties in graphs. For example, training the graph on only sparse or complete graphs

GraphSAGE still takes a lot of time to run on really large graphs

### 5.1 Contributions

while it remains an open problem and it still very unexplored, the problem of feature generation...

### 5.2 Recommendations and future work





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