# Lab on MT Data Processing, Evaluation and PB-SMT Systems

Machine Translation – Summer 2023

May 8, 2023

## 1 Getting Started

The purpose of this lab is to train and evaluate a phrase-based statistical machine translation system (PB-SMT) based on Moses<sup>1,2</sup>.

First of all you need to install the main software. The process is well documented and there is a very active mailing list where most of the possible installation issues have already been solved by the Moses' developers:

```
http://www.statmt.org/moses/?n=Development.GetStarted
http://www.statmt.org/moses/?n=Moses.MailingLists
```

#### 1.1 Modules

You do not need to install all the components for this exercise, but be sure you have a tool for each module:

**Language Model** We will use KENLM or SRILM. KENLM is installed with Moses by default but you have to fill a form to download SRILM:

http://www.speech.sri.com/projects/srilm/download.html

```
Word Alignment We will use either GIZA++ or mgiza https://github.com/moses-smt/giza-pp https://github.com/moses-smt/mgiza
```

Translation Model, Decoder and Processing Scripts All within Moses:

https://github.com/moses-smt/mosesdecoder

### 1.2 Tips and Advises

- Do not use Windows
- Read the full installation instructions before starting

## 2 (Statistical) Translation Engine for Literature

#### 2.1 Getting the data

Parallel corpora can be found in evaluation campaigns' web sites (WMT, NIST, IWSLT, etc.) as we have seen in the lectures or the open parallel corpus OPUS site. Explore the latter:

```
http://opus.lingfil.uu.se/
```

For this session, we will use the English–French edition of the *Books collection*, a set of copyright-free books aligned by Andras Farkas. Download them (download plain text files, MOSES/GIZA++), extract the files and explore the content:

<sup>1</sup>http://www.statmt.org/moses/

<sup>2</sup>https://github.com/moses-smt/mosesdecoder

```
machine: "/pln/teaching/literature$ wc -lw Books.en-fr.*
    127085   2715071 Books.en-fr.en
    127085   2642300 Books.en-fr.fr
    127085   531211 Books.en-fr.ids

machine: "/pln/teaching/literature$ tail -n 2 Books.en-fr.*
==> Books.en-fr.en <==
The corpses lay all night, spread out contorted, on the dining-room floor, ...
And for nearly twelve hours, in fact until the following day at about noon, Madame Raquin, ...
==> Books.en-fr.fr <==
Les cadavres restèrent toute la nuit sur le carreau de la salle et manger, ...
Et, pendant près de douze heures, jusqu'au lendemain vers midi, Mme Raquin, ...
==> Books.en-fr.ids <==
en/Zola_Emile-Therese_Raquin.xml.gz fr/Zola_Emile-Therese_Raquin.xml.gz s1246.0 s1246.0
en/Zola_Emile-Therese_Raquin.xml.gz fr/Zola_Emile-Therese_Raquin.xml.gz s1246.1 s1246.1</pre>
```

The ids file tells us to which novel corresponds each parallel fragment. Use this information to extract all the fragments corresponding to *The Great Shadow* by Arthur Conan Doyle. We will use this novel for in-domain tuning and testing purposes (top 1000 lines for testing, bottom 859 for tunning). All the other novels (remove this one!) are used for training.

```
machine:~/pln/teaching/SMT/corpus$ grep Shadow Books.en-fr.ids | wc -lw 1857 7921

machine:~/pln/teaching/SMT/corpus$ wc -lw Books.*.??

125226 2674214 Books.train.en
125226 2600120 Books.train.fr
1000 22930 Books.test.en
1000 23551 Books.test.fr
859 17927 Books.dev.en
859 18629 Books.dev.fr
```

Let's go back to OPUS and download also *WMT-News*, a parallel corpus of News Test Sets provided by WMT for testing purposes. The last 3002 lines correspond to the test set of 2014 (the last year that included the English–French pair). We will use this data to test our system in an out-of-domain framework.

```
machine:~/pln/teaching/SMT/corpus$ wc -lw news2014.test.*
3002 62333 news2014.test.en
3002 68148 news2014.test.fr
```

### 2.2 Pre-processing the Data

This is probably the most important part of the process that fully depends on you. Look carefully at the data. Which is the encoding of the file? Text is homogeneous? Same punctuation marks? Strange characters? In our case, we are using data that has already been prepared for MT, we are in a controlled experiment, but this is not the usual case. Get used to doing the whole process!

We are using Moses, which has its own scripts for **cleaning** the texts. Look at the folder mosesdecoder/scripts/tokenizer. Using the next scripts never harms:

```
perl replace-unicode-punctuation.perl < set.en > set.norm1.en
perl normalize-punctuation.perl -l en < set.norm1.en > set.norm2.en
perl remove-non-printing-char.perl < set.norm3.en > set.norm3.en
```

where set applies to the training, development and the two test sets.

The final file is ready to be **tokenised**. In this lab we will use a classical tokeniser. You will learn about BPE, Sentencepiece and other types of subunit tokenisation later in the course and we will use them in the second lab. These are different approaches that appear from different needs (size of the vocabulary!). The (language-dependent) classical tokenisers are available as moses scripts too:

```
perl tokenizer.perl -l en -no-escape -threads 4 < set.norm3.en > set.tok.en
```

Optionally, we can **truecase** the text or, at least, lowercase it. Moses also has tools for training a truecaser and a recaser. Look at the folder mosesdecoder/scripts/recaser.

```
perl train-truecaser.perl --model tcModel.Books.en --corpus Books.train.tok.en
```

Unless there is a reason, you should train the truecaser with large monolingual corpora. Here, we will only use the two sides of our parallel corpus. Look at the model: it is a frequentist list of the tokens with their capitalisation in our corpus:

```
machine:~/pln/teaching/SMT/corpus/truecaser$ more tcModel.Books.en
  patronne (1/1)
  Parvis (24/28) parvis (4)
  quarreling (6/6)
  ...
```

After training one model for each language, we want to apply it to all the tokenised sets:

```
perl truecase.perl --model ./truecaser/tcModel.Books.en < set.tok.en > set.tc.en
```

And we are done with the pre-processing. This is the corpus we are going to use for training, tuning and testing our translation engine:

```
machine:~/pln/teaching/SMT/corpus/truecaser$ ls *tc*
Books.dev.tc.en Books.test.tc.en Books.train.tc.en news2014.test.tc.en
Books.dev.tc.fr Books.test.tc.fr Books.train.tc.fr news2014.test.tc.fr
```

#### 2.3 Language Modeling

Multiple language modeling toolkits are available for language model (LM) estimation, statistical, neural, hadoop-based... KENLM is installed by default by Moses and it is good for most of the standard applications. Use one the others (SRILM, IRSTLM, RandLM, NPLM, RDLM...) if you have any special need though (huge corpora, interpolations, etc.).

At the end, most of the language models can be used within Moses because they share a standard format, the ARPA format:

```
\data\
ngram 1=n1
ngram 2=n2
...
ngram N=nN
\l-grams:
p w [bow]
...
\2-grams:
p w1 w2 [bow]
...
\N-grams:
p w1 ... wN
...
\end\
```

Let's estimate a LM with KENLM. It applies a modified Kneser-Ney smoothing and no pruning. Pass the order of the LM via the -o argument, an amount of memory to use for building (-S), and a location to place temporary files (-T).

```
lmplz -o 5 -S 80% -T ./tmp <../corpus/Books.train.tc.en > corpusBooks.train.tc.5.en.lm
\data\
ngram 1=69286
ngram 2=700890
ngram 3=1807372
ngram 4=2550220
ngram 5=2779881

\lambda-grams:
-5.875923 <unk>0
0 <s>-1.4962252
-2.9368296 </s>0
-2.1009169 the -0.66151506
-5.737095 Wanderer -0.12696436
...
-0.6858582 Therese explained the bruises disfiguring
```

#### 2.4 Training

\end\

-1.1650921 , annoyed at being forestalled -1.3933828 forestalled , began to declaim

Now we have all the tools for training a PB-SMT system. Moses has a script to run (almost) all the training steps:

```
0. Prepare corpus
 1. Prepare data for GIZA
                                          (45 minutes) -> (3 minutes)
 2. Run GIZA++
                                            (16 hours) -> (30 minutes)
3. Align words
                                          (2:30 hours) -> (15 seconds)
 4. Get lexical translation table
                                          (30 minutes) -> (15 seconds)
 5. Extract phrases
                                          (10 minutes) -> (1 minute)
 6. Score phrases
                                          (1:15 hours) -> (3 minutes)
 7. Build lexicalized reordering model
                                              (1 hour) -> (45 seconds)
8. Build generation models
 9. Create configuration file
                                            (1 second) -> (1 second)
10. Log-linear model tuning parameters,
                                                       -> (90 minutes)
    (MERT)
```

Visit http://www.statmt.org/moses/?n=FactoredTraining.HomePage for a summary. The run times within the first parentheses refer to a training run on a 751,000 sentence, 16 million word German—English Europarl corpus, on a 3GHz Linux machine. A state-of-the-art German—English corpus has more than 5 million parallel fragments, for French—English one can gather more than 20 million parallel fragments. For this exercise, we are using 125,000 fragments so that the training time (and translation quality) is considerably reduced. Notice that using mgiza which allows multi-threading and/or the --parallel option further reduces the training time. The run times within the second parentheses refer to our system using the --parallel option on a 2.6GHz Linux machine.

Explore the folder mosesdecoder/scripts/training. Here you have all the scripts needed for training your system. We start with clean-corpus-n.perl. GIZA does not properly deal with long sentences, so the first thing we do is further cleaning our Books.train.tc corpus by removing sentences

longer than 100 tokens, and sentence pairs with a large length difference (the default length ratio is 9).

This version of the corpus can be used within the training pipeline. The train-model.perl script runs steps 1 to 9, although a subset of them can be run with the --first-step --last-step arguments. From here on, execute everything twice to obtain an en2fr and a fr2en translation engine.

```
perl train-model.perl --parallel -root-dir ./ -f fr -e en
    -corpus /home/cristinae/pln/teaching/SMT/corpus/Books.train.tc.clean
    -alignment grow-diag-final-and -reordering msd-bidirectional-fe
    -lm 0:5:/home/cristinae/pln/teaching/SMT/lm/corpusBooks.train.tc.5.en.lm:8
    --external-bin-dir /home/cristinae/soft/mosesdecoder/bin
```

Add -mgiza -mgiza-cpus 4 or whatever number of CPUs you have if you use mgiza. The option --score-options '--GoodTuring' is also recommended.

At this point, the training is complete but we still need to tune the parameters of the log-linear model. This is clearly shown in the configuration file (fr2en):

```
### MOSES CONFIG FILE ###
##########################
# input factors
[input-factors]
# mapping steps
[mapping]
0 T 0
[distortion-limit]
# feature functions
[feature]
UnknownWordPenalty
WordPenalty
PhrasePenalty
PhraseDictionaryMemory name=TranslationModelO num-features=4
      path=/..../phrase-table.gz input-factor=0 output-factor=0
LexicalReordering name=LexicalReorderingO num-features=6
       type=wbe-msd-bidirectional-fe-allff input-factor=0 output-factor=0
      path=/.../reordering-table.wbe-msd-bidirectional-fe.gz
Distortion
KENLM name=LMO factor=0 path=/..../corpusBooks.train.tc.5.en.lm order=5
# dense weights for feature functions
[weight]
# The default weights are NOT optimized for translation quality. You MUST tune the weights.
# Documentation for tuning is here: http://www.statmt.org/moses/?n=FactoredTraining.Tuning
UnknownWordPenalty0= 1
WordPenalty0= -1
PhrasePenalty0= 0.2
TranslationModel0= 0.2 0.2 0.2 0.2
LexicalReordering0= 0.3 0.3 0.3 0.3 0.3 0.3
Distortion0= 0.3
LMO= 0.5
```

Before tuning the weights, let's stop and look at all the files that have been generated up to now. Four folders have been created: corpus, giza.en-fr, giza.fr-en and model. The first three are inputs/outputs for the word alignments. Let's comment file per file. The input for GIZA is in corpus:

machine:~/pln/teaching/SMT/moses/corpus\$ head -5 \*.vcb \*snt

```
==> en.vcb <==
1 UNK 0
2,203899
3 the 137305
4 . 112825
5 " 73243
==> fr.vcb <==
1 UNK 0
2,212046
3 . 109515
4 de 92960
5 la 55390
==> en-fr-int-train.snt <==
7 164 682
3 59761
74689
==> fr-en-int-train.snt <==
3 59761
7 164 682
1
65229
And the alignments in giza.en-fr (and giza.fr-en):
machine: ~/pln/teaching/SMT/moses/giza.en-fr$ zmore en-fr.A3.final.gz
# Sentence pair (1) source length 3 target length 2 alignment score : 0.000188732
the Wanderer
NULL ({ }) le ({ 1 }) grand ({ }) Meaulnes ({ 2 })
# Sentence pair (2) source length 1 target length 1 alignment score : 0.525664
Alain-Fournier
NULL ({ }) Alain-Fournier ({ 1 })
# Sentence pair (3) source length 2 target length 2 alignment score : 0.0198902
first Part
NULL ({ }) première ({ 1 }) PARTIE ({ 2 })
```

Notice that up to this point everything is symmetric, so if you want to train a system on the other direction you can skip steps 1 to 3 and use the same three folders. Finally, the output of GIZA is post-processed to extract phrase alignments, reorderings and probabilities, and the corresponding files are stored in model:

```
machine: ~/pln/teaching/SMT/moses/model$ head -3 *
==> aligned.grow-diag-final-and <==
0-0 1-1 2-1
0-0
0-0 1-1</pre>
```

```
==> extract.inv.sorted <==
! ! ! ||| ! ||| 0-0 1-0 2-0
! " " ' - ||| ! ... " A opéré ||| 0-0 1-1 1-2
! " " ' - ||| ! ... " A ||| 0-0 1-1 1-2
==> extract.o.sorted <==
! ! ! " hurla le ||| ! ' yelled the ||| other mono
!!!! " hurla |||! ' yelled ||| other mono
! ! ! " ||| ! ' ||| other mono
==> extract.sorted <==
!!!! " hurla le |||! ' yelled the ||| 0-0 1-0 2-0 3-0 4-1 5-2 6-3
! ! ! ! " hurla ||| ! ' yelled ||| 0-0 1-0 2-0 3-0 4-1 5-2
!!!!" ||| ! ' ||| 0-0 1-0 2-0 3-0 4-1
==> lex.e2f <==
indépendantes independent 0.0606061
indépendantes disconnected 0.0833333
indépendantes unscrupulous 0.1250000
==> lex.f2e <==
independent indépendantes 0.5000000
disconnected indépendantes 0.2500000
unscrupulous indépendantes 0.2500000
==> phrase-table <==
!!! " hurla le |||! ' yelled the ||| 1 0.0021 1 0.0039 ||| 0-0 1-0 2-0 3-0 4-1 5-2 6-3 ||| 1 1 1
! ! ! ! " hurla ||| ! ' yelled ||| 1 0.0105226 1 0.00682231 ||| 0-0 1-0 2-0 3-0 4-1 5-2 ||| 1 1 1 |||
!!!!"||| 0.00154799 0.0435936 1 0.0438577 ||| 0-0 1-0 2-0 3-0 4-1 ||| 646 1 1 ||| |||
==> reordering-table.wbe-msd-bidirectional-fe <==
!!!! " hurla le |||! ' yelled the ||| 0.2 0.2 0.6 0.6 0.2 0.2
! ! ! " hurla ||| ! ' yelled ||| 0.2 0.2 0.6 0.6 0.2 0.2
!!!!" ||| ! ' ||| 0.2 0.2 0.6 0.6 0.2 0.2
```

For translating new sentences with Moses, you need the translation model (phrase-table), the reordering model (reordering-table.wbe-msd-bidirectional-fe), the language model you have estimated before (corpusBooks.train.tc.5.en.lm) and the configuration file (moses.ini) with an appropriate weight for each feature. To estimate the weights, Moses implements several algorithms (MERT, MIRA, PRO...). Use MERT for the optimisation:

```
mert-moses.pl /home/cristinae/pln/teaching/SMT/corpus/Books.dev.tc.fr \
    /home/cristinae/pln/teaching/SMT/corpus/Books.dev.tc.en \
    /home/cristinae/soft/mosesdecoder/bin/moses ./model/moses.ini \
    --mertdir /home/cristinae/soft/mosesdecoder/bin/ \
    --working-dir=/home/cristinae/pln/teaching/SMT/moses/tuning
```

We have named the output directory tuning. Once the optimisation has finished, explore the folder, see how BLEU on the development set evolves from iteration to iteration (runX.moses.ini contains this information), and look at the final moses.ini. This file is what you need for translating.

#### 2.5 Decoding

If you look at the size of the models you need for decoding, you can see that they are moderately big:

```
-rw-r--r- 1 208M Jun 19 10:22 phrase-table.gz
-rw-r--r- 1 80M Jun 19 10:23 reordering-table.wbe-msd-bidirectional-fe.gz
-rw-r--r- 1 298M Jun 18 13:18 corpusBooks.train.tc.5.en.lm
```

But they can be much bigger! Observe the size of the models obtained from an English–French parallel corpus with  $\sim 20$  M parallel sentences:

```
-rw-r--r-- 1 20G Jun 13 13:23 phrase-table.gz
-rw-r--r-- 1 7,2G Jun 13 2016 reordering-table.wbe-msd-bidirectional-fe.gz
-rw-r--r-- 1 20G Jun 18 2016 genBioWP.tc.en.5.lm
```

Since these models are loaded into memory, it is common practice to filter and binarise them. For instance, before translating into French the English test set with the Conan Doyle novel, run:

And then, use the new configuration file with the filtered models to run the decoder:

```
moses -f filteredBooks/moses.ini < ../corpus/Books.test.tc.en
> trads/Books.testTrad.tc.en2fr.fr
```

Do the same for the news2014 test set. At this point you should have the translations almost ready:

Last detail: "" my God," cried he, what chance, and you are the English time." This is not an acceptable output! Preprocessing facilitates the training but we need to undo all the preprocessing steps before evaluation. What we really want to evaluate is ""My God," cried he, what chance, and you are the English time."

Detruecase and detokenise (do it for both test sets):

```
perl /home/cristinae/soft/mosesdecoder/scripts/recaser/detruecase.perl <
    Books.testTrad.tc.fr2en.en > Books.testTrad.tok.fr2en.en
```

```
perl /home/cristinae/soft/mosesdecoder/scripts/tokenizer/detokenizer.perl -en <
    Books.testTrad.tok.fr2en.en > Books.testTrad.fr2en.en
```

#### 2.6 Evaluation

Let's finally evaluate your system. This is an important aspect very difficult in MT. Nothing can really substitute a manual evaluation of your translations, but this is a very expensive evaluation (both in time and money) that cannot be used during the development of a system. Instead, automatic evaluation is used.

Moses has several scripts for automatic evaluation but their usage is nowadays discouraged. Instead, we will use sacreBLEU.<sup>3</sup> In their own words "SacreBLEU (Post, 2018) provides hassle-free computation of shareable, comparable, and reproducible BLEU scores." In fact, it also implements chrF and TER; and statistical significance tests!

Install it, this one is fast:

```
pip install sacrebleu
```

and look at all the options with sacrebleu -h. We will calculate the three metrics with 95% confidence intervals via bootstrap resampling.

```
sacrebleu ../corpus/Books.test.en -l fr-en -i Books.testTrad.fr2en.en -m bleu chrf ter
--confidence -f text
```

BLEU|nrefs:1|bs:1000|seed:12345|case:mixed|eff:no|tok:13a|smooth:exp|version:2.2.0

<sup>3</sup>https://github.com/mjpost/sacrebleu

```
= 19.1 (\mu = 19.1 ± 0.8) 54.9/25.5/13.2/7.2 (BP = 1.000 ratio = 1.001 hyp_len = 26488 ref_len = 26469)
```

chrF2|nrefs:1|bs:1000|seed:12345|case:mixed|eff:yes|nc:6|nw:0|space:no|version:2.2.0 =  $43.4 \ (\mu = 43.4 \pm 0.6)$ 

TER|nrefs:1|bs:1000|seed:12345|case:lc|tok:tercom|norm:no|punct:yes|asian:no|version:2.2.0 = 69.1 ( $\mu$  = 69.1 ± 1.1)

This works because you only have a system, but it is not really informative. In general, you would have a baseline and several variants of your system. In that case, *p*-values are good indicators of difference in quality among systems. SacreBLEU allows you to obtain confidence intervals together with *p*-values via paired bootstrap resampling. Use the --paired-bs option for this instead of --confidence.

Keep in mind that BLEU/chrF are lexical metrics that count the number of n-gram matches. Two translations can be perfect, or at least convey the same meaning, without having any n-gram in common. Calculating 3 metrics instead of only one is already a first approach to minimise the impact. Using test sets with multiple references is another (expensive) approach. But whenever it is possible (may not be available in the language you need), use also semantic metrics.

Let's install COMET<sup>4</sup> for this:

pip install unbabel-comet

The first time you run it, COMET will download the model (2.32G). Run it to obtain the score at system level using:

Disclaimer: This is slow and might need more resources than the ones in a standard laptop.

Unfortunately, COMET does not provide statistical significance tests yet, they have to be implemented independently and we are not doing this here.

Your engine has been optimised for translating novels. Since the corpus is small and only this kind of text has been used for training, other genres will have really low quality. What happens with news2014.testTrad.fr2en.en? You cannot compare scores across different test sets, but the values can give you an idea. You will find online in several papers how big MT systems perform on the news2014 test set.

<sup>4</sup>https://unbabel.github.io/COMET/html/index.html

### 3 Questionnaire

- 1. Look for the following sentence in your training corpus and write its output after each one of your pre-processing steps:
  - When he was gone, I turned to the boy, whom they called Xury, and said to him, "Xury, if you will be faithful to me, I'll make you a great man; but if you will not stroke your face to be true to me"-that is, swear by Mahomet and his father's beard-"I must throw you into the sea too."
- 2. What is the modified Kneser-Ney smoothing you have used for estimating the language model?
- 3. Look for the word beard in your language model. Write two lines of your language model that include n-grams containing this word (2 examples with 1-grams (!!), 2 with 2-grams, ..., 2 with 5-grams). What represent the scores before and after the n-grams? Which of the examples you show is more probable in English?

Example 4-gram: -0.33215663 beard flying in the -0.017270327

- 4. Look for the sentence of Question 1 in GIZA's output. To which word is aligned beard? Find an example of a bad word alignment in that sentence (it's a long sentence, there are lots of them!). Go to the equivalent sentence in French (the other A3 file) and look for the alignment of barbe. To which token is aligned now the mistake you have found in the English alignment? Find the same sentence in the symmetric alignment file and comment the differences.
- 5. What does the heuristic grow-diag-final-and do? Which is its purpose?
- 6. Let's look now into the translation table. Write down the entries for beard and for black beard. What's the meaning of all the scores available for each translation option? Look for the same entries in the reordering model and write them down.
- 7. We have used MERT for tuning the model parameters, but Moses implements more algorithms. Explain briefly the main idea behind MIRA and compare it to MERT.
- 8. Let's look into MERT training. How many iterations did it run? Write the weights obtained after convergence and compare them to those in run 3. Plot the evolution of BLEU through iterations. Does the convergence point correspond to the best BLEU point?
- 9. Translate news2014.test.en into French and news2014.test.fr into English with the system trained in the lab (notice that you need to train also the fr2en direction, reuse stuff!). Use sacreBLEU and COMET to calculate scores for these translations and report them in a table.
- 10. Compare your scores for news2014 with the best system at the WMT<sup>5</sup> competition of that year. Look at the summary paper,<sup>6</sup> and observe the results of the manual evaluation in Table 8. Download the translations done by the partipants<sup>7</sup> at that time for one of the 2 directions (en2fr or fr2en), being sure you will understand the target language. Use sacreBLEU (with paired bootstrap resampling) and COMET to calculate scores for these translations and report them in a table.
- 11. Compare and comment the previous results (the manual and automatic evaluation) according to the nature of the system (SMT vs. RBMT). Find examples in the translation outputs submitted by the participants that show the characteristics of rule-based and statistical MT systems. Justify the answer.

 $<sup>^5 {\</sup>tt https://www.statmt.org/wmt14/results.html}$ 

 $<sup>^6</sup>$ https://www.statmt.org/wmt14/pdf/W14-3302.pdf

<sup>&</sup>lt;sup>7</sup>https://www.statmt.org/wmt14/submissions.tgz

## 4 Submmission

You have two weeks to write the report. Give it to us with

- $\bullet\,$  your name and matriculation number in EVERY page
- $\bullet\,$  questions 1, 7 and 9 starting a NEW page

And please, be CONCISE, get to the point. Don't make the text unnecessarily long.