Introduction to the Theory of Computation

CSC236H

# Recursively Defined Sets – An Analogy

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# Pre-condition: n is a natural number greater than 0. def f(n): if n==1 or n==2: return 1 return f(n-1)+f(n-2)
```

$$f(n) = \begin{cases} 1 & n = 1 \text{ or } n = 2\\ f(n-1) + f(n-2) & n \ge 3 \end{cases}$$

# Recursively Defined Sets

### Recursive Definition of a Set

- 1. Indicate the <u>smallest</u> or simplest objects.  $\rightarrow$  Base Rule or the Basis
- 2. Indicate how <u>larger</u> or <u>more complex</u> objects can be built out of the <u>smaller</u> or simpler ones. → Recursive Rule or Inductive Rule

# **Example:** A recursive definition for $\mathbb{N}$ :

- $0 \in \mathbb{N}$ ;
- if  $k \in \mathbb{N}$  then  $k+1 \in \mathbb{N}$ ;
- $\bullet$  nothing else belongs to  $\mathbb{N}.$

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- 2. Indicate how <u>larger</u> or <u>more complex</u> objects can be built out of the <u>smaller</u> or simpler ones.

# **Example:** A recursive definition for **non-empty binary trees**:

- a single node is a non-empty binary tree;
- if  $T_1$ ,  $T_2$  are two disjoint non-empty binary trees, then the tree with a new root r connected to the roots of  $T_1$  and  $T_2$  is a non-empty binary tree;
- if  $T_1$  is a non-empty binary tree, then the tree with a new root r connected to the root of  $T_1$  is a non-empty binary tree;
- nothing else is a binary tree.

#### Structural Induction

#### Recursive Definition of a Set:

- 1. Indicate the smallest or simplest objects.
- 2. Indicate how larger or more complex objects can be built out of the smaller or simpler ones.

**Structural Induction**: Prove  ${\bf P}$  holds for all elements of a recursively defined set  ${\bf X}$ :

- 1. Base Case: Prove that every smallest or simplest element of X satisfies P.
- 2. Induction Step: Assume that  ${\bf P}$  holds for smaller or simpler elements. [IH]

Prove that every element that is constructed following each of the (finitely many) ways of constructing larger or more complex elements out of the smaller or simpler ones satisfies  ${\bf P}$ .

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### A recursive definition for **non-empty binary trees**:

- a single node is a non-empty binary tree;
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- nothing else is a binary tree.

Prove that every non-empty binary tree has one more node than edge.

**Solution:** Recall the recursive definition for the set of **non-empty binary trees**:

- a single node is a non-empty binary tree;
- if  $T_1$ ,  $T_2$  are two disjoint non-empty binary trees, then the tree with a new root r connected to the roots of  $T_1$  and  $T_2$  is a non-empty binary tree;
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- nothing else is a binary tree.

Consider the following recursively defined set  $S \subseteq \mathbb{N}^2$ :

- $(0,0) \in S$ ;
- if  $(a,b) \in S$ , then so are (a+1,b+1) and (a+3,b);
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Show that for all  $(x,y) \in S$ ,  $x \ge y$  and 3 divides x - y.

### Consider the following recursively defined set E

- $x, y, z \in E$ ;
- if  $e_1, e_2 \in E$  then the following four expressions are also in E:

$$(e_1 + e_2), (e_1 - e_2), (e_1 \times e_2), (e_1 \div e_2)$$

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If e is a string, vr(e) denotes the number of variable (i.e., x, y, z) occurrences, and op(e) denotes the number of operator (i.e.,  $+, -, \times, \div$ ) occurrences in e.

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Prove that for any  $e \in E$ , vr(e) = op(e) + 1.