Galois Energy Games

Caroline Lemke

$March\ 17,\ 2025$

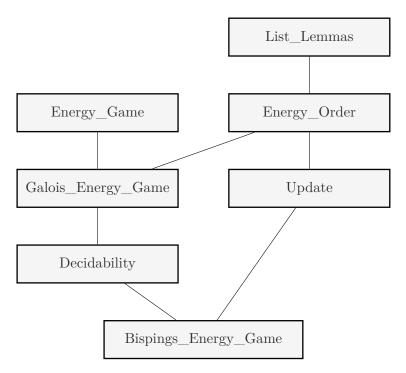
Contents

1	Introduction	2
2	Energy Games 2.1 Energy-positional Strategies	4 5 6 7
3	Energies	11
4	Galois Energy Games	13
5	Decidability of Galois Energy Games5.1Minimal Attacker Winning Budgets as Pareto Fronts	15 15 16 18
6	Bisping's Updates6.1 Bisping's Updates6.2 Bisping's Inversion6.3 Relating Updates and "Inverse" Updates	19 19 20 21
7	Bisping's (Declining) Energy Games	23
8	References	24
	Appendix A 1 List Lemmas	25 25

1 Introduction

We provide a formal proof of decidability of Galois energy games over vectors of naturals with the component-wise order. Afterwards we consider an instantiation of *Bisping's declining energy games*. Bisping, Nestmann, and Jansen [3, 2] generalised Stirling's bisimulation game [5] to find Hennessy-Milner logic (HML) formulae distinguishing processes. Those formalae are elements of some HML-sublanguage from van Glabbeeks linear-time-branching-time spectrum[6] and thus their existence is a statement about behavioural equivalences. The HML-sublanguages from the linear-time-branching-time spectrum can be characterised by depth properties, which can be represented by six-dimensional vectors of extended natural numbers. Understanding these vectors as energies Bisping [1] developed a multi-weighted energy game deciding all common notions of (strong) behavioural equivalences at once, the *spectroscopy game*.

This game is part of a class of energy games Bisping [1] calls declining energy games. Bisping provides an algorithm, which he claims decides this class of energy games if the set of positions is finite. We substantiate this claim by providing a proof in Isabelle/HOL using a simplyfied and generalised version of that algorithm. To do so we first formalise energy games with reachability winning conditions in Energy_Game.thy. Building upon this, we then formalise Galois energy games in Galois_Energy_Game.thy and prove decidability in Decidability.thy. Finally, we formalise a superclass of Bisping's declining energy games in Bispings_Energy_Game.thy. In particular, we do not assume the games to be declining. An overview of all our theories is given by the following figure, where the theories above are imported by the ones below.



The file List_Lemmas.thy contains a few simple observations about lists, specifically when using those. This file's contents can be found in the appendix.

Energy games are formalised as two-player zero-sum games with perfect information and reachability winning conditions played on labeled directed graphs in Energy_Game.thy.

In particular, strategies and an inductive characterisation of winning budgets is discussed.

In Energy_Order.thy we introduce the energies, i.e. vectors with entries in the extended natural numbers, and the component-wise order. There we establish that this order is a well-founded bounded join-semilattice.

Galois energy games over such energies with a fixed dimension are formalised in Galois energy game.thy.

In Decidability.thy we formalise one iteration of a simplyfied and generalised version of Bisping's algorithm. Using an order on possible Pareto fronts we are able to apply Kleene's fixed point theorem. Assuming the game graph to be finite we then prove correctness of the algorithm. Further, we provide the key argument for termination, thus proving decidability of Galois energy games.

In Update.thy we define a superset of Bisping's updates. These are partial functions of energy vectors updating each component by subtracting or adding one, replacing it with the minimum of some components or not changing it. In particular, we observe that these functions are monotonic and have upward-closed domains. Further, we introduce a generalisation of Bisping's inversion and relate it to the updates using Galois connections.

Finally, we formalise energy games where all edges of the game graph are labeled with a representation of the previously discussed updates (and thereby formalise Bisping's declining energy games) in Bispings_Energy_Game.thy.

2 Energy Games

```
theory Energy_Game
  imports Coinductive.Coinductive_List Open_Induction.Restricted_Predicates
begin
```

Energy games are two-player zero-sum games with perfect information played on labeled directed graphs. The labels contain information on how each edge affects the current energy. We call the two players attacker and defender. In this theory we give fundamental definitions of plays, energy levels and (winning) attacker strategies.

```
locale energy_game =
  fixes attacker :: "'position set" and
      weight :: "'position ⇒ 'position ⇒ 'label option" and
      application :: "'label ⇒ 'energy ⇒ 'energy option"
begin

abbreviation "positions ≡ {g. g ∈ attacker ∨ g ∉ attacker}"
abbreviation "apply_w g g' ≡ application (the (weight g g'))"
```

Plays

A play is a possibly infinite walk in the underlying directed graph.

```
coinductive valid_play :: "'position llist ⇒ bool" where
  "valid_play LNil" |
  "valid_play (LCons v LNil)" |
  "[weight v (lhd Ps) ≠ None; valid_play Ps; ¬lnull Ps]
  ⇒ valid_play (LCons v Ps)"
```

The following lemmas follow directly from the definition valid_play. In particular, a play is valid if and only if for each position there is an edge to its successor in the play. We show this using the coinductive definition by first establishing coinduction.

```
lemma valid_play_append:
  assumes "valid_play (LCons v Ps)" and "lfinite (LCons v Ps)" and
           "weight (llast (LCons v Ps)) v' \neq None" and "valid_play (LCons v' Ps')"
  shows "valid_play (lappend (LCons v Ps) (LCons v' Ps'))"
\langle proof \rangle
lemma valid_play_coinduct:
  assumes "Q p" and
           "\landv Ps. Q (LCons v Ps) \Longrightarrow Ps\neqLNil \Longrightarrow Q Ps \land weight v (lhd Ps) \neq None"
  shows "valid_play p"
  \langle proof \rangle
lemma valid_play_nth_not_None:
  assumes "valid_play p" and "Suc i < llength p"</pre>
  shows "weight (lnth p i) (lnth p (Suc i)) ≠ None"
\langle proof \rangle
lemma valid_play_nth:
  assumes "∧i. enat (Suc i) < llength p
                  \longrightarrow weight (lnth p i) (lnth p (Suc i)) 
eq None"
  shows "valid_play p"
  \langle proof \rangle
```

Energy Levels

The energy level of a play is calculated by repeatedly updating the current energy according to the edges in the play. The final energy level of a finite play is energy_level e p (the_enat (llength p -1)) where e is the initial energy.

```
lemma energy_level_cons:
  assumes "valid_play (LCons v Ps)" and "-lnull Ps" and
           "apply_w v (lhd Ps) e \neq None" and "enat i < (llength Ps)"
  shows "energy_level (the (apply_w v (lhd Ps) e)) Ps i
         = energy_level e (LCons v Ps) (Suc i)"
  \langle proof \rangle
lemma energy_level_nth:
  assumes "energy_level e p m \neq None" and "Suc i \leq m"
  shows "apply_w (lnth p i) (lnth p (Suc i)) (the (energy_level e p i)) \( \neq \) None
         ∧ energy_level e p i ≠ None"
\langle proof \rangle
lemma energy level append:
  assumes "lfinite p" and "i < the_enat (llength p)" and
           "energy_level e p (the_enat (llength p) -1) ≠ None"
  shows "energy_level e p i = energy_level e (lappend p p') i"
\langle proof \rangle
```

Won Plays

All infinite plays are won by the defender. Further, the attacker is energy-bound and the defender wins if the energy level becomes None. Finite plays with an energy level that is not None are won by a player, if the other is stuck.

```
abbreviation "deadend g \equiv (\forallg'. weight g g' = None)" abbreviation "attacker_stuck p \equiv (llast p)\in attacker \land deadend (llast p)" definition defender_wins_play:: "'energy \Rightarrow 'position llist \Rightarrow bool" where "defender_wins_play e p \equiv lfinite p \longrightarrow (energy_level e p (the_enat (llength p)-1) = None \lor attacker_stuck p)"
```

2.1 Energy-positional Strategies

Energy-positional strategies map pairs of energies and positions to a next position. Further, we focus on attacker strategies, i.e. partial functions mapping attacker positions to successors.

We now define what it means for a play to be consistent with some strategy.

```
coinductive play_consistent_attacker::"('energy ⇒ 'position ⇒ 'position option)
⇒ 'position llist ⇒ 'energy ⇒ bool" where

"play_consistent_attacker _ LNil _" |

"play_consistent_attacker _ (LCons v LNil) _" |

"[play_consistent_attacker s Ps (the (apply_w v (lhd Ps) e)); ¬lnull Ps;

v ∈ attacker → (s e v) = Some (lhd Ps)]

⇒ play_consistent_attacker s (LCons v Ps) e"
```

The coinductive definition allows for coinduction.

Adding a position to the beginning of a consistent play is simple by definition. It is harder to see, when a position can be added to the end of a finite play. For this we introduce the following lemma.

We now define attacker winning strategies, i.e. attacker strategies where the defender does not win any consistent plays w.r.t some initial energy and a starting position.

```
fun attacker_winning_strategy:: "('energy \Rightarrow 'position \Rightarrow 'position option) \Rightarrow 'energy \Rightarrow 'position \Rightarrow bool" where

"attacker_winning_strategy s e g = (attacker_strategy s \land

(\forallp. (play_consistent_attacker s (LCons g p) e \land valid_play (LCons g p))

\longrightarrow \negdefender_wins_play e (LCons g p)))"
```

2.2 Non-positional Strategies

A non-positional strategy maps finite plays to a next position. We now introduce non-positional strategies to better characterise attacker winning budgets. These definitions closely resemble the definitions for energy-positional strategies.

We now define what it means for a play to be consistent with some non-positional strategy.

```
coinductive play_consistent_attacker_nonpos::"('position list >> 'position option)
>> ('position llist) >> ('position list) >> bool" where
    "play_consistent_attacker_nonpos s LNil _" |
    "play_consistent_attacker_nonpos s (LCons v LNil) []" |
```

```
"(last (w#l))∉attacker
  ⇒ play_consistent_attacker_nonpos s (LCons v LNil) (w#l)" |
  "[(last (w#l)) \in attacker; the (s (w#l)) = v]
  ⇒ play_consistent_attacker_nonpos s (LCons v LNil) (w#l)" |
  "[play_consistent_attacker_nonpos s Ps (1@[v]); ¬lnull Ps; v∉attacker]
   \implies play_consistent_attacker_nonpos s (LCons v Ps) 1" |
  "[play_consistent_attacker_nonpos s Ps (10[v]); \neglnull Ps; v\inattacker;
    lhd Ps = the (s (10[v]))
    ⇒ play_consistent_attacker_nonpos s (LCons v Ps) 1"
inductive_simps play_consistent_attacker_nonpos_cons_simp:
  "play_consistent_attacker_nonpos s (LCons x xs) []"
The definition allows for coinduction.
lemma play_consistent_attacker_nonpos_coinduct:
  assumes "Q s p 1" and
         base: "\lands v 1. Q s (LCons v LNi1) 1 \Longrightarrow (1 = [] \lor (last 1) \notin attacker
                 \lor ((last 1)\inattacker \land the (s 1) = v))" and
         step: "\lands v Ps 1. Q s (LCons v Ps) 1 \land Ps\neqLNil
                 \implies Q s Ps (l@[v]) \land (v\inattacker \longrightarrow lhd Ps = the (s (l@[v])))"
  shows "play_consistent_attacker_nonpos s p 1"
  \langle proof \rangle
```

We now show that a position can be added to the end of a finite consistent play while remaining consistent.

We now define non-positional attacker winning strategies, i.e. attacker strategies where the defender does not win any consistent plays w.r.t some initial energy and a starting position.

```
fun nonpos_attacker_winning_strategy:: "('position list \Rightarrow 'position option) \Rightarrow 'energy \Rightarrow 'position \Rightarrow bool" where

"nonpos_attacker_winning_strategy s e g = (attacker_nonpos_strategy s \land (\forall p. (play_consistent_attacker_nonpos s (LCons g p) []

\land valid_play (LCons g p)) \longrightarrow \negdefender_wins_play e (LCons g p)))"
```

2.3 Attacker Winning Budgets

We now define attacker winning budgets utilising strategies.

```
fun winning_budget:: "'energy \Rightarrow 'position \Rightarrow bool" where "winning_budget e g = (\existss. attacker_winning_strategy s e g)"
```

```
fun nonpos_winning_budget:: "'energy \Rightarrow 'position \Rightarrow bool" where "nonpos_winning_budget e g = (\existss. nonpos_attacker_winning_strategy s e g)"
```

Note that nonpos_winning_budget = winning_budget holds but is not proven in this theory. Using this fact we can give an inductive characterisation of attacker winning budgets.

Before proving some correspondence of those definitions we first note that attacker winning budgets in monotonic energy games are upward-closed. We show this for two of the three definitions.

Now we prepare the proof of the inductive characterisation. For this we define an order and a set allowing for a well-founded induction.

```
definition strategy_order:: "('energy \Rightarrow 'position \Rightarrow 'position option) \Rightarrow
    'position \times 'energy \Rightarrow 'position \times 'energy \Rightarrow bool" where
    "strategy_order s \equiv \lambda(g1, e1)(g2, e2).Some e1 = apply_w g2 g1 e2 \land
    (if g2 \in attacker then Some g1 = s e2 g2 else weight g2 g1 \neq None)"

definition reachable_positions:: "('energy \Rightarrow 'position \Rightarrow 'position option) \Rightarrow 'position \Rightarrow 'energy \Rightarrow ('position \times 'energy) set" where
    "reachable_positions s g e = {(g',e')| g' e'.
    (\exists p. lfinite p \land llast (LCons g p) = g' \land valid_play (LCons g p)
    \land play_consistent_attacker s (LCons g p) e
    \land Some e' = energy_level e (LCons g p) (the_enat (llength g)))}"

lemma strategy_order_well_founded:
    assumes "attacker_winning_strategy s e g"
    shows "wfp_on (strategy_order s) (reachable_positions s g e)"
    \land proof
```

We now show that an energy-positional attacker winning strategy w.r.t. some energy e and position g guarantees that e is in the attacker winning budget of g.

```
lemma winning_budget_implies_ind:
```

```
assumes "winning_budget e g" shows "winning_budget_ind e g" \langle proof \rangle
```

We now prepare the proof of winning_budget_ind characterising subsets of winning_budget_nonpos for all positions. For this we introduce a construction to obtain a non-positional attacker winning strategy from a strategy at a next position.

```
fun nonpos_strat_from_next:: "'position ⇒ 'position ⇒
  ('position list \Rightarrow 'position option) \Rightarrow ('position list \Rightarrow 'position option)"
where
  "nonpos_strat_from_next g g' s [] = s []" |
  "nonpos_strat_from_next g g' s (x#xs) = (if x=g then (if xs=[] then Some g'
                                                  else s xs) else s (x#xs))"
lemma play_nonpos_consistent_next:
  assumes "play consistent attacker nonpos (nonpos strat from next g g's) (LCons
g (LCons g'xs)) []"
       and "g \in attacker" and "xs \neq LNil"
  shows "play_consistent_attacker_nonpos s (LCons g' xs) []"
We now introduce a construction to obtain a non-positional attacker winning strategy
from a strategy at a previous position.
fun nonpos_strat_from_previous:: "'position \Rightarrow 'position \Rightarrow
  ('position list \Rightarrow 'position option) \Rightarrow ('position list \Rightarrow 'position option)"
where
  "nonpos_strat_from_previous g g' s [] = s []" |
  "nonpos_strat_from_previous g g' s (x#xs) = (if x=g' then s (g#(g'#xs))
                                                       else s (x#xs))"
lemma play nonpos consistent previous:
  assumes "play_consistent_attacker_nonpos (nonpos_strat_from_previous g g' s) p
([g']@1)"
           and "g\inattacker \Longrightarrow g'=the (s [g])"
  shows "play_consistent_attacker_nonpos s p ([g,g']@1)"
\langle proof \rangle
With these constructions we can show that the winning budgets defined by non-
positional strategies are a fixed point of the inductive characterisation.
lemma nonpos_winning_budget_implies_inductive:
  assumes "nonpos_winning_budget e g"
  shows "g \in attacker \Longrightarrow (\existsg'. (weight g g' \neq None) \land (apply_w g g' e)\neq None
         ∧ (nonpos_winning_budget (the (apply_w g g' e)) g'))" and
         "g \notin attacker \Longrightarrow (\forall g'. (weight g g' \neq None) \longrightarrow (apply_w g g' e)\neq None
          ∧ (nonpos_winning_budget (the (apply_w g g' e)) g'))"
\langle proof \rangle
lemma inductive_implies_nonpos_winning_budget:
  \verb"shows" g \in \verb"attacker" \Longrightarrow (\exists \verb"g". (weight g g" \neq \verb"None") \land (\verb"apply_w g g" e") \neq \verb"None"
         ∧ (nonpos_winning_budget (the (apply_w g g' e)) g'))
         ⇒ nonpos_winning_budget e g"
         and "g \notin attacker \Longrightarrow (\forallg'. (weight g g' \neq None)
         \longrightarrow (apply_w g g'e)\neq None
```

3 Energies

```
theory Energy_Order
imports Main List_Lemmas "HOL-Library.Extended_Nat" Well_Quasi_Orders.Well_Quasi_Orders
begin
```

We consider vectors with entries in the extended naturals as energies and fix a dimension later. In this theory we introduce the component-wise order on energies (represented as lists of enats) as well as a minimum and supremum.

We now show that it is well-founded when considering a fixed dimension n. For the proof we define the subsequence of a given sequence of energies such that the last entry is increasing but never equals ∞ .

```
fun subsequence_index::"(nat \Rightarrow energy) \Rightarrow nat \Rightarrow nat" where "subsequence_index f 0 = (SOME x. (last (f x) \neq \infty))" | "subsequence_index f (Suc n) = (SOME x. (last (f x) \neq \infty) \land (subsequence_index f n) < x \land (last (f (subsequence_index f n)) \leq last (f x))))" lemma energy_leq_wqo: shows "wqo_on energy_leq {e::energy. length e = n}" \langle proof \rangle
```

Minimum

```
definition energy_Min:: "energy set \Rightarrow energy set" where "energy_Min A = {e\inA . \forall e'\inA. e\neqe' \longrightarrow \neg (e' e\leq e)}"
```

We now observe that the minimum of a non-empty set is not empty. Further, each element $a \in A$ has a lower bound in energy_Min A.

```
lemma energy_Min_not_empty:
    assumes "A \neq {}" and "\\earrow{e}. e\in A \implies \text{length e} = n" shows "energy_Min A \neq {}" \langle proof \rangle

lemma energy_Min_contains_smaller:
    assumes "a \in A"
    shows "\end{a}b \in \text{energy_Min A}. b \text{e} \le a" \left\( proof \rangle \)
```

We now establish how the minimum relates to subsets.

```
lemma energy_Min_subset:
```

```
assumes "A \subseteq B" shows "A \cap (energy_Min B) \subseteq energy_Min A" and "energy_Min B \subseteq A \Longrightarrow energy_Min B = energy_Min A" \langle proof \rangle We now show that by well-foundedness the minimum is a finite set. For the proof we first generalise enumerate.
```

Supremum

end

```
definition energy_sup :: "nat \Rightarrow energy set \Rightarrow energy" where "energy_sup n A = map (\lambdai. Sup {(e!i)|e. e \in A}) [0..<n]"
```

We now show that we indeed defined a supremum, i.e. a least upper bound, when considering a fixed dimension n.

```
lemma energy_sup_is_sup:
shows energy_sup_in: "\Aa. a \in A \Longrightarrow length a = n \Longrightarrow a e\le (energy_sup n A)" and energy_sup_leq: "\As. (\Aa. a\in A \Longrightarrowa e\le s) \Longrightarrow length s = n \Longrightarrow (energy_sup n A) e\le s" \A
```

We now observe a version of monotonicity. Afterwards we show that the supremum of the empty set is the zero-vector.

```
lemma energy_sup_leq_energy_sup:
    assumes "A \neq {}" and "\A. a\in A \Longrightarrow \exists b\in B. energy_leq a b" and
        "\A. a\in A \Longrightarrow length a = n"
    shows "energy_leq (energy_sup n A) (energy_sup n B)"
    \langle proof \rangle

lemma empty_Sup_is_zero:
    assumes "i < n"
    shows "(energy_sup n {}) ! i = 0"
    \langle proof \rangle
```

4 Galois Energy Games

```
theory Galois_Energy_Game
  imports Energy_Game Energy_Order
begin
```

We now define Galois energy games over vectors of naturals with the component-wise order. We formalise this in this theory as an energy_game with a fixed dimension. In particular, we assume all updates to have an upward-closed domain (as domain_upw_closed) and be length-preserving (as upd_preserves_legth). We assume the latter for the inversion of updates too (as inv_preserves_length) and assume that the inversion of an update is a total mapping from energies to the domain of the update (as domain_inv).

```
locale galois_energy_game = energy_game attacker weight application
         attacker :: "'position set" and
         weight :: "'position \Rightarrow 'position \Rightarrow 'label option" and
         application :: "'label \Rightarrow energy \Rightarrow energy option" and
         inverse_application :: "'label \Rightarrow energy \Rightarrow energy option"
  fixes dimension :: "nat"
  assumes
    domain_upw_closed: "\bigwedgep p' e e'. weight p p' \neq None \Longrightarrow e e\leq e'
                         \implies application (the (weight p p')) e \neq None
                         \implies application (the (weight p p')) e' \neq None"
    and upd_preserves_legth: "∧p p' e. weight p p' ≠ None
                    \implies application (the (weight p p')) e \neq None
                    \implies length (the (application (the (weight p p')) e))
                         = length e"
    and inv_preserves_length: "\bigwedge p p' e. weight p p' \neq None \Longrightarrow length e = dimension
                         ⇒ length (the (inverse_application (the (weight p p')) e))
                              = length e"
    and domain_inv: "\bigwedge p p' e. weight p p' \neq None \Longrightarrow length e = dimension
                       \implies (inverse_application (the (weight p p')) e) \neq None
                           ∧ application (the (weight p p')) (the (inverse_application
(the (weight p p')) e)) ≠ None"
    and galois: "\bigwedge p p' e e'. weight p p' \neq None
                  \implies application (the (weight p p')) e' \neq None
                  \implies length e = dimension \implies length e' = dimension
                  \implies (the (inverse_application (the (weight p p')) e)) e\leq e'
                       = e \in (the (application (the (weight p p')) e'))"
begin
abbreviation "upd u e \equiv the (application u e)"
abbreviation "inv_upd u e \equiv the (inverse_application u e)"
We now show that the energy game being a Galois energy games implies that u \circ u^{-1} is
increasing, u^{-1} \circ u is decreasing and u^{-1} and u are monotonic. Note that this actually
is equivalent to u^{-1} and u forming a Galois connection as stated by Erné et al. [4].
lemma upd inv increasing:
  "\bigwedgep p' e. weight p p' \neq None \Longrightarrow length e = dimension
  ⇒ e e≤ the (application (the (weight p p')) (the (inverse_application (the (weight
p p')) e)))"
\langle proof \rangle
```

```
lemma inv upd decreasing:
  "\bigwedgep p' e. weight p p' \neq None \Longrightarrow length e = dimension
  \implies application (the (weight p p')) e \neq None
  ⇒ the (inverse_application (the (weight p p')) (the (application (the (weight
p p')) e))) e≤ e"
\langle proof \rangle
lemma updates monotonic:
  "\bigwedgep p' e e'. weight p p' \neq None \Longrightarrow length e = dimension \Longrightarrow e e\leq e'
  \implies application (the (weight p p')) e \neq None
  \implies the(application (the (weight p p')) e) e\leq the (application (the (weight p
p')) e')"
\langle proof \rangle
lemma inverse_monotonic:
  "\bigwedgep p' e e'. weight p p' \neq None \Longrightarrow length e = dimension \Longrightarrow e e\leq e'
  \implies inverse_application (the (weight p p')) e \neq None
  ⇒ the( inverse_application (the (weight p p')) e) e≤ the (inverse_application
(the (weight p p')) e')"
\langle proof \rangle
The set of energies is {e::energy. length e = dimension}. For this reason length
checks are needed and we redefine attacker winning budgets.
inductive winning_budget_len::"energy \Rightarrow 'position \Rightarrow bool" where
 defender: "winning_budget_len e g" if "length e = dimension ∧ g ∉ attacker
              \land (\forallg'. (weight g g' \neq None) \longrightarrow
                       ((application (the (weight g g')) e)\neq None
                        ∧ (winning_budget_len (the (application (the (weight g g'))
e))) g'))" |
 attacker: "winning_budget_len e g" if "length e = dimension \land g \in attacker
             \land (\existsg'. (weight g g' \neq None)
                       \land (application (the (weight g g')) e)\neq None
                       ∧ (winning_budget_len (the (application (the (weight g g'))
e)) g'))"
We first restate the upward-closure of winning budgets.
lemma upwards_closure_wb_len:
  assumes "winning_budget_len e g" and "e e\leq e',"
  shows "winning_budget_len e' g"
\langle proof \rangle
We now show that this definition is consistent with our previous definition of winning
budgets. We show this by well-founded induction.
abbreviation "reachable_positions_len s g e \equiv \{(g',e') \in \text{reachable_positions s}\}
g e . length e' = dimension}"
lemma winning_bugget_len_is_wb:
  assumes "nonpos_winning_budget = winning_budget"
  shows "winning_budget_len e g = (winning_budget e g \lambda length e = dimension)"
\langle proof \rangle
end
end
```

5 Decidability of Galois Energy Games

```
theory Decidability
  imports Galois_Energy_Game Complete_Non_Orders.Kleene_Fixed_Point
begin
```

In this theory we give a proof of decidability for Galois energy games (over vectors of naturals). We do this by providing a proof of correctness of the simplifyed version of Bisping's Algorithm to calculate minimal attacker winning budgets. We further formalise the key argument for its termination.

For this we make two assumptions: First, we assume energy-positional determinacy and secondly, we assume the set of positions to be finite. Note that the first assumption is a fact lacking only a formalized proof.

```
locale galois_energy_game_decidable = galois_energy_game attacker weight application
inverse_application dimension
  for attacker :: "'position set" and
      weight :: "'position ⇒ 'position ⇒ 'label option" and
      application :: "'label ⇒ energy ⇒ energy option" and
      inverse_application :: "'label ⇒ energy ⇒ energy option" and
      dimension :: "nat"
+
assumes nonpos_eq_pos: "nonpos_winning_budget = winning_budget" and
```

5.1 Minimal Attacker Winning Budgets as Pareto Fronts

finite positions: "finite positions"

We now prepare the proof of decidability by introducing minimal winning budgets.

```
abbreviation minimal_winning_budget:: "energy \Rightarrow 'position \Rightarrow bool" where "minimal_winning_budget e g \equiv e \in energy_Min {e. winning_budget_len e g}" abbreviation "a_win g \equiv {e. winning_budget_len e g}" abbreviation "a_win_min g \equiv energy_Min (a_win g)"
```

Since the component-wise order on energies is well-founded, we can conclude that minimal winning budgets are finite.

```
lemma minimal_winning_budget_finite:
    shows "\dagget_finite (a_win_min g)"
    \langle proof \rangle
```

begin

We now introduce the set of mappings from positions to possible Pareto fronts, i.e. incomparable sets of energies.

```
\begin{array}{lll} \textbf{definition possible\_pareto:: "('position \Rightarrow energy set) set" where} \\ \texttt{"possible\_pareto} \equiv \{\texttt{F.} \ \forall \texttt{g.} \ \texttt{F} \ \texttt{g} \subseteq \{\texttt{e. length e = dimension}\} \\ & \land \ (\forall \texttt{e} \ \texttt{e'. (e} \in \texttt{F} \ \texttt{g} \land \texttt{e'} \in \texttt{F} \ \texttt{g} \land \texttt{e} \neq \texttt{e'}) \\ & \longrightarrow \ (\neg \ \texttt{e} \ \texttt{e} \leq \ \texttt{e'} \land \neg \ \texttt{e'} \ \texttt{e} \leq \ \texttt{e)})\}" \end{array}
```

By definition minimal winning budgets are possible Pareto fronts.

```
lemma a_win_min_in_pareto:
    shows "a_win_min ∈ possible_pareto"
    ⟨proof⟩
```

We define a partial order on possible Pareto fronts.

```
definition pareto_order:: "('position \Rightarrow energy set) \Rightarrow ('position \Rightarrow energy set) \Rightarrow bool" (infix "\leq" 80) where
```

```
"pareto order F F' \equiv (\forall g \ e. \ e \in F(g) \longrightarrow (\exists e'. \ e' \in F'(g) \land \ e' \ e \in e))"
lemma pareto_partial_order_vanilla:
  shows reflexivity: "\bigwedge F. F \in possible_pareto \implies F \leq F" and
transitivity: "\bigwedgeF F' F''. F \in possible_pareto \Longrightarrow F' \in possible_pareto
                   \implies F'' \in possible_pareto \implies F \leq F' \implies F' \leq F''
                   \implies F \preceq F'' and
antisymmetry: "\bigwedge F F'. F \in possible_pareto \implies F' \in possible_pareto
                   \implies F \prec F' \implies F' \prec F \implies F = F'"
\langle proof \rangle
lemma pareto_partial_order:
  shows "reflp_on possible_pareto (\leq)" and
          "transp_on possible_pareto (\leq)" and
          "antisymp_on possible_pareto (\leq)"
By defining a supremum, we show that the order is directed-complete bounded join-
semilattice.
definition pareto_sup:: "('position \Rightarrow energy set) set \Rightarrow ('position \Rightarrow energy set)"
  "pareto_sup P g = energy_Min {e. \exists F. F\in P \land e \in F g}"
lemma pareto_sup_is_sup:
  \verb"assumes" "P \subseteq possible_pareto"
  shows "pareto_sup P ∈ possible_pareto" and
          "\LambdaF. F \in P \Longrightarrow F \prec pareto sup P" and
          "\bigwedgeFs. Fs \in possible_pareto \Longrightarrow (\bigwedgeF. F \in P \Longrightarrow F \preceq Fs)
           \implies pareto_sup P \preceq Fs"
\langle proof \rangle
lemma pareto_directed_complete:
  shows "directed_complete possible_pareto (≤)"
lemma pareto_minimal_element:
  shows "(\lambdag. {}) \leq F"
  \langle proof \rangle
```

5.2 Proof of Decidability

Using Kleene's fixed point theorem we now show, that the minimal attacker winning budgets are the least fixed point of the algorithm. For this we first formalise one iteration of the algorithm.

We now show that iteration is a Scott-continuous functor of possible Pareto fronts.

```
lemma iteration pareto functor:
  \verb"assumes" "F \in possible_pareto"
  {	t shows} "iteration {	t F} \in {	t possible_pareto}"
  \langle proof \rangle
lemma iteration_monotonic:
  assumes "F \in possible_pareto" and "F' \in possible_pareto" and "F \preceq F'"
  shows "iteration F \leq iteration F'"
  \langle proof \rangle
lemma finite_directed_set_upper_bound:
  assumes "\bigwedge F F'. F \in P \Longrightarrow F' \in P \Longrightarrow \exists F''. F'' \in P \land F \preceq F'' \land F' \preceq F''"
              and "P \neq {}" and "P' \subseteq P" and "finite P'" and "P \subseteq possible_pareto"
  shows "\existsF'. F' \in P \land (\forallF. F \in P' \longrightarrow F \leq F')"
  \langle proof \rangle
lemma iteration_scott_continuous_vanilla:
  {\tt assumes} \ {\tt "P} \subseteq {\tt possible\_pareto"} \ {\tt and}
             " \bigwedge F \ F'. \ F \in P \implies F' \in P \implies \exists \, F''. \ F'' \in P \ \land \ F \preceq \, F'' \ \land \ F' \ \preceq \, F''" \ \text{and}
  shows "iteration (pareto_sup P) = pareto_sup {iteration F \mid F. F \in P}"
\langle proof \rangle
lemma iteration scott continuous:
  shows "scott_continuous possible_pareto (≤) possible_pareto (≤) iteration"
\langle proof \rangle
We now show that a_win_min is a fixed point of iteration.
lemma a win min is fp:
  shows "iteration a_win_min = a_win_min"
\langle proof \rangle
With this we can conclude that iteration maps subsets of winning budgets to subsets
of winning budgets.
lemma iteration_stays_winning:
  assumes "F \in possible pareto" and "F \prec a win min"
  shows "iteration F \leq a_{\min}"
\langle proof \rangle
We now prepare the proof that a_win_min is the least fixed point of iteration by
introducing S.
inductive S:: "energy \Rightarrow 'position \Rightarrow bool" where
  "S e g" if "g \notin attacker \land (\exists index. e = (energy_sup dimension
                   \{inv\_upd (the (weight g g')) (index g') | g'. weight g g' \neq None\})
   \land \  \, (\forall\,g'.\ \ \text{weight g g'} \neq \text{None} \longrightarrow \  \, S \ (\text{index g'}) \ g'))" \ | \\ \text{"S e g" if "g} \in \  \, \text{attacker} \  \, \land \  \, (\exists\,g'.(\ \text{weight g g'} \neq \ \text{None} ))" \ | \\ 
                   \land (\existse'. S e' g' \land e = inv_upd (the (weight g g')) e')))"
lemma length_S:
  shows "\lande g. S e g \Longrightarrow length e = dimension"
\langle proof \rangle
lemma a_win_min_is_minS:
  shows "energy_Min {e. S e g} = a_win_min g"
\langle proof \rangle
```

We now conclude that the algorithm indeed returns the minimal attacker winning budgets.

```
lemma a_win_min_is_lfp_sup: shows "pareto_sup {(iteration ^^ i) (\lambdag. {}) |. i} = a_win_min" \langle proof \rangle
```

We can argue that the algorithm always terminates by showing that only finitely many iterations are needed before a fixed point (the minimal attacker winning budgets) is reached.

```
lemma finite_iterations: shows "\existsi. a_win_min = (iteration ^^ i) (\lambdag. {})" \langle proof \rangle
```

5.3 Applying Kleene's Fixed Point Theorem

We now establish compatablity with Complete_Non_Orders.thy.

```
\begin{tabular}{ll} \bf sublocale & \tt attractive & possible\_pareto & pareto\_order \\ & \langle proof \rangle \end{tabular}
```

```
abbreviation pareto_order_dual (infix "\succeq" 80) where "pareto_order_dual \equiv (\lambda x y. y \preceq x)"
```

end

We now conclude, that Kleene's fixed point theorem is applicable.

```
lemma kleene_lfp_iteration: shows "extreme_bound possible_pareto (\leq) {(iteration ^^ i) (\lambdag. {}) |. i} = extreme {s \in possible_pareto. sympartp (\leq) (iteration s) s} (\succeq)" \langle proof \rangle
```

We now apply Kleene's fixed point theorem, showing that minimal attacker winning budgets are the least fixed point.

```
lemma a_win_min_is_lfp: shows "extreme {s \in possible_pareto. (iteration s) = s} (\succeq) a_win_min" \langle proof \rangle end
```

6 Bisping's Updates

```
theory Update
  imports Energy_Order
begin
```

In this theory we define a superset of Bisping's updates and their application. Further, we introduce Bisping's "inversion" of updates and relate the two.

6.1 Bisping's Updates

Bisping allows three ways of updating a component of an energy: zero does not change the respective entry, minus_one subtracts one and min_set A for some set A replaces the entry by the minimum of entries whose index is contained in A. We further add plus_one to add one and omit the assumption that the a minimum has to consider the component it replaces. Updates are vectors where each entry contains the information, how the update changes the respective component of energies. We now introduce a datatype such that updates can be represented as lists of update_components.

We now observe some properties of updates and their application. In particular, the application of an update preserves the dimension and the domain of an update is upward closed.

```
lemma len_appl:
    assumes "apply_update u e ≠ None"
    shows "length (upd u e) = length e"

⟨proof⟩

lemma apply_to_comp_n:
    assumes "apply_update u e ≠ None" and "i < length e"
    shows "(upd u e) ! i = the (apply_component i (u ! i) e)"

⟨proof⟩

lemma upd_domain_upward_closed:
    assumes "apply update u e ≠ None" and "e e < e'"</pre>
```

abbreviation "upd u e ≡ the (apply_update u e)"

```
shows "apply_update u e' \neq None" \langle proof \rangle
```

Now we show that all valid updates are monotonic. The proof follows directly from the definition of apply update and valid update.

```
lemma updates_monotonic: assumes "apply_update u e \neq None" and "e e\leq e'" and "valid_update u" shows "(upd u e) e\leq (upd u e')" \langle proof \rangle
```

6.2 Bisping's Inversion

The "inverse" of an update u is a function mapping energies e to $\min\{e' \mid e \leq u(e')\}$ w.r.t the component-wise order. We start by giving a calculation and later show that we indeed calculate such minima. For an energy $e = (e_0, ..., e_{n-1})$ we calculate this component-wise such that the i-th component is the maximum of e_i (plus or minus one if applicable) and each entry e_j where $i \in u_j \subseteq \{0, ..., n-1\}$. Note that this generalises the inversion proposed by Bisping [1].

We now observe the following properties, if an update u and an energy e have the same dimension:

- apply_inv_update preserves dimension.
- The domain of apply_inv_update u is $\{e \mid |e| = |u|\}$.
- apply_inv_update u e is in the domain of the update u.

The first two proofs follow directly from the definition of apply_inv_update, while the proof of inv_not_none_then is done by a case analysis of the possible update_components.

```
lemma len_inv_appl:
   assumes "length u = length e"
   shows "length (inv_upd u e) = length e"
   ⟨proof⟩

lemma inv_not_none:
   assumes "length u = length e"
   shows "apply_inv_update u e ≠ None"
   ⟨proof⟩

lemma inv_not_none_then:
```

```
assumes "apply_inv_update u e \neq None" shows "(apply_update u (inv_upd u e)) \neq None" \langle proof \rangle
```

Now we show that apply_inv_update u is monotonic for all updates u. The proof follows directly from the definition of apply_inv_update and a case analysis of the possible update components.

```
lemma inverse_monotonic:
   assumes "e e \le e'" and "length u = length e'"
   shows "(inv_upd u e) e \le (inv_upd u e')"
   \langle proof \rangle
```

6.3 Relating Updates and "Inverse" Updates

Since the minimum is not an injective function, for many updates there does not exist an inverse. The following 2-dimensional examples show, that the function apply_inv_update does not map an update to its inverse.

We now show that the given calculation apply_inv_update indeed calculates $e \mapsto \min\{e' \mid e \leq u(e')\}$ for all valid updates u. For this we first name this set possible_inv u e. Then we show that inv_upd u e is an element of that set before showing that it is minimal. Considering one component at a time, the proofs follow by a case analysis of the possible update components from the definition of apply_inv_update

We now show that apply_inv_update u is decreasing.

```
lemma inv_up_leq:    assumes "apply_update u e \neq None" and "valid_update u"    shows "(inv_upd u (upd u e)) e\leq e"    \langle proof \rangle
```

We now conclude that for any valid update the functions $e \mapsto \min\{e' \mid e \leq u(e')\}$ and u form a Galois connection between the domain of u and the set of energies of the same length as u w.r.t to the component-wise order.

```
lemma galois_connection: assumes "apply_update u e' \neq None" and "length e = length e'" and "valid_update u" shows "(inv_upd u e) e\leq e' = e e\leq (upd u e')" \langle proof \rangle end
```

7 Bisping's (Declining) Energy Games

```
theory Bispings_Energy_Game
imports Energy_Game Update Decidability
begin
```

end

Bisping's only considers declining energy games over vectors of naturals. We generalise this by considering all valid updates. We formalise this in this theory as an energy_game with a fixed dimension and show that such games are Galois energy games.

```
locale bispings_energy_game = energy_game attacker weight apply_update
  for attacker :: "'position set" and
       weight :: "'position \Rightarrow 'position \Rightarrow update option"
  fixes dimension :: "nat"
  assumes
    valid_updates: "\forallp. \forallp'. ((weight p p' \neq None )
                       \longrightarrow ((length (the (weight p p')) = dimension)
                       ^ valid_update (the (weight p p'))))"
sublocale bispings_energy_game ⊆ galois_energy_game attacker weight apply_update
apply_inv_update dimension
\langle proof \rangle
locale bispings_energy_game_decidable = bispings_energy_game attacker weight dimension
  for attacker :: "'position set" and
       weight :: "'position \Rightarrow 'position \Rightarrow update option" and
       dimension :: "nat"
assumes nonpos_eq_pos: "nonpos_winning_budget = winning_budget" and
         finite_positions: "finite positions"
{\tt sublocale} \ \ {\tt bispings\_energy\_game\_decidable} \ \subseteq \ \ {\tt galois\_energy\_game\_decidable} \ \ {\tt attacker}
weight apply_update apply_inv_update dimension
\langle proof \rangle
```

8 References

References

- [1] B. Bisping. Process equivalence problems as energy games. In *Computer Aided Verification (CAV)*, volume 13964 of *Lecture Notes in Computer Science*, pages 85–106. Springer Nature Switzerland, 2023.
- [2] B. Bisping, D. N. Jansen, and U. Nestmann. Deciding all behavioral equivalences at once: A game for linear-time-branching-time spectroscopy. *Logical Methods in Computer Science*, 18(3), 2022.
- [3] B. Bisping and U. Nestmann. A game for linear-time-branching-time spectroscopy. In *Tools and Algorithms for the Construction and Analysis of Systems (TACAS)*, volume 12651 of *Lecture Notes in Computer Science*, pages 3–19. Springer International Publishing, 2021.
- [4] M. Erné, J. KOSLOWSKI, A. MELTON, and G. E. STRECKER. A primer on galois connections. Annals of the New York Academy of Sciences, 704(1):103–125, 1993.
- [5] C. Stirling. Bisimulation, modal logic and model checking games. *Logic Journal of IGPL*, 7(1):103–124, 1999.
- [6] R. J. van Glabbeek. The linear time branching time spectrum. In J. C. M. Baeten and J. W. Klop, editors, Theories of Concurrency: Unification and Extension (CONCUR), volume 458 of Lecture Notes in Computer Science, pages 278–297. Springer Berlin Heidelberg, 1990.

A Appendix

A.1 List Lemmas

```
theory List_Lemmas
  imports Main
begin
In this theory some simple equalities about lists are established.
lemma len_those:
  \verb"assumes"" those 1 \neq \verb"None""
  shows "length (the (those 1)) = length 1"
\langle proof \rangle
lemma the_those_n:
  assumes "those (l:: 'a option list) \neq None" and "(n::nat) < length 1"
  shows "(the (those 1)) ! n = the (1 ! n)"
  \langle proof \rangle
lemma those_all_Some:
  assumes "those 1 \neq None" and "n < length 1"
  shows "(1 ! n)≠None"
  \langle proof \rangle
lemma nth_map_enumerate:
  shows "n < length xs \implies (map f (List.enumerate 0 xs))!n = f((List.enumerate 0
xs)!n)"
\langle proof \rangle
lemma those_map_not_None:
  assumes "\forall n< length xs. f (xs ! n) \neq None"
  shows "those (map f xs) \neq None"
\langle proof \rangle
lemma last_len:
  assumes "length xs = Suc n"
  shows "last xs = xs ! n"
  \langle proof \rangle
end
```