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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2012

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning 07-01-2012 , 2012, and ending 06-30-2013

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
615 WEST 131ST STREET MC 8741
Suite

City or town, state or country, and ZIP + 4
NEW YORK, NY 100277922

D Employer identification number
13-5598093

E Telephone number
(212) 854-4684

G Gross receipts $ 6,250,277,695

F Name and address of principal officer
Lee C Bollinger
615 West 131st St MC 8741
New York, NY 100277922

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ www columbia edu

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | L Year of formation 1754 | M State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
SEE STATEMENT 1

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	24
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	16
5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	31,775
6	Total number of volunteers (estimate if necessary)	6	6,218
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	7,788,744
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	1,419,840,375	1,427,694,060
	9	Program service revenue (Part VIII, line 2g)	2,164,623,741	2,269,479,380
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	419,888,453	602,187,622
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	156,131,305	146,344,228
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,160,483,874	4,445,705,290
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	543,943,633	526,644,384
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	2,125,734,457	2,235,300,138
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	531,810	1,041,480
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶100,376,949		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	1,022,660,158	999,264,953
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	3,692,870,058	3,762,250,955
	19	Revenue less expenses Subtract line 18 from line 12	467,613,816	683,454,335
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	13,627,251,849	14,639,477,138
	21	Total liabilities (Part X, line 26)	3,069,444,413	3,021,886,164
	22	Net assets or fund balances Subtract line 21 from line 20	10,557,807,436	11,617,590,974

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer | Date 2014-05-14

BARBARA HOUGH CONTROLLER
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶ PricewaterhouseCoopers LLP			Firm's EIN ▶	
Firm's address ▶ 300 Madison Avenue New York, NY 10017			Phone no (646) 471-3000	

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . . ☑

1 Briefly describe the organization's mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 1,279,289,444 including grants of $ 400,733,954) (Revenue $ 1,141,490,882)

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 28,824 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 30,000 FULL-TIME AND PART-TIME EMPLOYEES, INCLUDING 5,575 FULL-TIME FACULTY MEMBERS

4b (Code) (Expenses $ 718,849,394 including grants of $ 4,404,641) (Revenue $ 860,281,578)

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 1 8 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 662 UNIVERSITY MEDICAL STUDENTS AND 923 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c (Code) (Expenses $ 566,686,711 including grants of $ 108,705,830) (Revenue $ 131,424,931)

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

(Code) (Expenses $ 62,220,925 including grants of $ 0) (Revenue $ 0)

LIBRARY

(Code) (Expenses $ 217,305,478 including grants of $ 0) (Revenue $ 0)

CAMPUS OPERATIONS

(Code) (Expenses $ 227,110,898 including grants of $ 0) (Revenue $ 0)

INSTITUTIONAL SUPPORT

(Code) (Expenses $ 107,028,743 including grants of $ 0) (Revenue $ 132,853,436)

AUXILIARY ENTERPRISES

(Code) (Expenses $ 147,759,210 including grants of $ 12,799,959) (Revenue $ 3,428,553)

OTHER SOURCES

4d Other program services (Describe in Schedule O)

(Expenses $ 761,425,254 including grants of $ 12,799,959) (Revenue $ 136,281,989)

4e **Total program service expenses** ▶ 3,326,250,803

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8	Yes	
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f		No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV*	16	Yes	
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25*	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c	Yes	
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	Yes	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V . . . . . ☑

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	38,687			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	31,775			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	Yes	
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		No
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		No
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI . . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . .	**1a** 24			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent . . .	**1b** 16			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . .		**2**	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . .		**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .		**5**		No
6	Did the organization have members or stockholders? . . .		**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . .		**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . .		**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body? . . .		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body? . . .		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . .		**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . .	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . .	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . .			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . .	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . .	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . .	**12c**	Yes	
13	Did the organization have a written whistleblower policy? . . .	**13**	Yes	
14	Did the organization have a written document retention and destruction policy? . . .	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official . . .	**15a**	Yes	
b	Other officers or key employees of the organization . . .	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . .	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . .	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶CA

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶RENOTTA YOUNG DEP CONTROLL 615 W 131ST MC 8749 New York, NY (212) 854-4684

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check ıf Schedule O contaıns a response to any questıon ın thıs Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete thıs table for all persons requıred to be lısted Report compensatıon for the calendar year endıng wıth or wıthın the organızatıon's tax year

- List all of the organızatıon's **current** officers, dırectors, trustees (whether ındıvıduals or organızatıons), regardless of amount of compensatıon Enter -0- ın columns (D), (E), and (F) ıf no compensatıon was paıd
- Lıst all of the organızatıon's **current** key employees, ıf any See ınstructıons for definıtıon of "key employee "
- Lıst the organızatıon's five **current** hıghest compensated employees (other than an officer, dırector, trustee or key employee) who receıved reportable compensatıon (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organızatıon and any related organızatıons
- Lıst all of the organızatıon's **former** officers, key employees, or hıghest compensated employees who receıved more than $100,000 of reportable compensatıon from the organızatıon and any related organızatıons
- Lıst all of the organızatıon's **former directors or trustees** that receıved, ın the capacıty as a former dırector or trustee of the organızatıon, more than $10,000 of reportable compensatıon from the organızatıon and any related organızatıons

Lıst persons ın the followıng order ındıvıdual trustees or dırectors, ınstıtutıonal trustees, officers, key employees, hıghest compensated employees, and former such persons

☐ Check thıs box ıf neıther the organızatıon nor any related organızatıon compensated any current officer, dırector, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-Total ▶										
c Total from continuation sheets to Part VII, Section A ▶										
d Total (add lines 1b and 1c) ▶								30,504,066	0	6,014,686

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶4,379

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
DAVIS BRODY BOND LLP , 315 HUDSON ST NEW YORK NY 10013	ARCHITECTURAL	10,388,013
ACCENTURE LLP , 161 N CLARK ST CHICAGO IL 60601	CONSULTING	9,737,852
CAULDWELL WINGATE , 380 LEXINGTON AVENUE NEW YORK NY 10168	CONSTRUCTION	7,992,797
FXFOWLE ARCHITECTS LLP , 22 WEST 19TH ST NEW YORK NY 10011	ARCHITECTURE	4,941,269
BAKER BOTTS LLP , PO BOX 201626 HOUSTON TX 77216	LAW SERVICES	3,474,375

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶213

Part VIII **Statement of Revenue**

Check if Schedule O contains a response to any question in this Part VIII . . . . ☑

					(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts								
1a	Federated campaigns . .	1a						
b	Membership dues	1b						
c	Fundraising events	1c	5,217,458					
d	Related organizations . . .	1d						
e	Government grants (contributions)	1e	801,017,670					
f	All other contributions, gifts, grants, and similar amounts not included above	1f	621,458,932					
g	Noncash contributions included in lines 1a-1f $		40,834,267					
h	**Total.** Add lines 1a-1f . . . . ▶				1,427,694,060			
Program Service Revenue			Business Code					
2a	TUITION & FEES		611600		1,141,490,882	1,141,490,882		
b	OTHER EDUCATION & RESEARCH		541700		131,424,931	131,424,931		
c	PATIENT CARE REVENUE		621110		860,281,578	860,281,578		
d	AUXILIARY ENTERPRISES		900099		132,853,436	126,257,385	6,596,051	
e	OTHER SOURCES		900099		1,356,119	1,356,119		
f	All other program service revenue				2,072,434	2,072,434		
g	**Total.** Add lines 2a–2f . . . . ▶				2,269,479,380			
Other Revenue								
3	Investment income (including dividends, interest, and other similar amounts) . . . . ▶				30,218,303			30,218,303
4	Income from investment of tax-exempt bond proceeds . . ▶				0			
5	Royalties . . . . ▶				69,673,404			69,673,404
			(i) Real	(ii) Personal				
6a	Gross rents		153,047,817					
b	Less rental expenses		136,166,757					
c	Rental income or (loss)		16,881,060	0				
d	Net rental income or (loss) . . . . ▶				16,881,060		37,089	16,843,971
			(i) Securities	(ii) Other				
7a	Gross amount from sales of assets other than inventory		2,239,293,017					
b	Less cost or other basis and sales expenses		1,667,323,698					
c	Gain or (loss)		571,969,319					
d	Net gain or (loss) . . . . ▶				571,969,319			571,969,319
8a	Gross income from fundraising events (not including $ 5,217,458 of contributions reported on line 1c) See Part IV, line 18 . .	a		790,395				
b	Less direct expenses . . .	b		1,081,950				
c	Net income or (loss) from fundraising events . . ▶				-291,555			-291,555
9a	Gross income from gaming activities See Part IV, line 19 . . .	a						
b	Less direct expenses . . .	b						
c	Net income or (loss) from gaming activities . . . ▶				0			
10a	Gross sales of inventory, less returns and allowances .	a						
b	Less cost of goods sold . .	b						
c	Net income or (loss) from sales of inventory . . ▶				0			
	Miscellaneous Revenue		Business Code					
11a	PARTNERSHIP INCOME		900099		60,081,319		1,155,604	58,925,715
b								
c								
d	All other revenue							
e	**Total.** Add lines 11a–11d . . . . ▶				60,081,319			
12	**Total revenue.** See Instructions . . . . . ▶				4,445,705,290	2,262,883,329	7,788,744	747,339,157

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response to any question in this Part IX . . . . ☑

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	83,963,944	83,963,944		
2 Grants and other assistance to individuals in the United States See Part IV, line 22	400,389,469	400,389,469		
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	42,290,971	42,290,971		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	17,967,009		17,967,009	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	1,375,445	347,993	1,027,452	
7 Other salaries and wages	1,780,332,587	1,617,929,320	115,075,602	47,327,665
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	124,218,940	111,384,762	9,372,627	3,461,551
9 Other employee benefits	214,463,635	152,051,367	46,518,139	15,894,129
10 Payroll taxes	96,942,522	88,099,353	6,266,087	2,577,082
11 Fees for services (non-employees)				
a Management	0			
b Legal	30,428,417	8,847,232	21,420,059	161,126
c Accounting	1,757,065		1,757,065	
d Lobbying	651,942		651,942	
e Professional fundraising services See Part IV, line 17	1,041,480			1,041,480
f Investment management fees	22,723,358		22,723,358	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	144,505,309	116,740,141	20,339,151	7,426,017
12 Advertising and promotion	11,930,792	9,786,229	1,024,841	1,119,722
13 Office expenses	176,773,076	160,738,907	8,876,723	7,157,446
14 Information technology	17,865,784	6,073,580	10,844,491	947,713
15 Royalties . .	0			
16 Occupancy	181,609,844	160,592,968	18,761,480	2,255,396
17 Travel	58,599,685	51,928,899	4,943,222	1,727,564
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	30,218,548	22,287,733	2,744,616	5,186,199
20 Interest	47,386,440	43,275,440	3,456,936	654,064
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	182,012,577	171,875,577	8,524,194	1,612,806
23 Insurance	26,866,552	25,745,127	1,116,910	4,515
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a LIBRARY	24,590,379	24,590,379		
b EMPLOYEE EDUCATION	6,736,973	6,630,845	67,102	39,026
c DUES & SUBSCRIPTIONS	8,486,963	6,096,707	2,202,636	187,620
d UNRELATED BUS INCOME TAX EXP	389,824		389,824	
e All other expenses	25,731,425	14,583,860	9,551,737	1,595,828
25 **Total functional expenses.** Add lines 1 through 24e	3,762,250,955	3,326,250,803	335,623,203	100,376,949
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X **Balance Sheet**

Check if Schedule O contains a response to any question in this Part X . . . . ☑

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		825,719,295	2	1,286,707,034
	3	Pledges and grants receivable, net		1,039,384,000	3	978,343,805
	4	Accounts receivable, net		241,100,795	4	278,529,522
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		1,475,000	5	1,688,419
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		111,108,803	7	115,695,910
	8	Inventories for sale or use		1,547,000	8	1,879,687
	9	Prepaid expenses and deferred charges		41,433,948	9	38,270,328
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 6,276,608,171			
	b	Less accumulated depreciation	10b 2,381,636,709	3,718,959,273	10c	3,894,971,462
	11	Investments—publicly traded securities		2,737,609,594	11	2,601,291,177
	12	Investments—other securities See Part IV, line 11		4,455,342,462	12	5,078,626,530
	13	Investments—program-related See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets See Part IV, line 11		453,571,679	15	363,473,264
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		13,627,251,849	16	14,639,477,138
Liabilities	17	Accounts payable and accrued expenses		227,280,816	17	264,758,054
	18	Grants payable		0	18	0
	19	Deferred revenue		239,703,597	19	255,332,844
	20	Tax-exempt bond liabilities		1,333,131,000	20	1,288,657,000
	21	Escrow or custodial account liability Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		202,769,000	24	190,239,233
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		1,066,560,000	25	1,022,899,033
	26	**Total liabilities.** Add lines 17 through 25		3,069,444,413	26	3,021,886,164
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		4,634,928,039	27	5,088,780,879
	28	Temporarily restricted net assets		3,646,550,000	28	4,017,343,216
	29	Permanently restricted net assets		2,276,329,397	29	2,511,466,880
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		10,557,807,436	33	11,617,590,974
	34	Total liabilities and net assets/fund balances		13,627,251,849	34	14,639,477,138

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,445,705,290
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,762,250,955
3	Revenue less expenses Subtract line 2 from line 1	3	683,454,335
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	10,557,807,436
5	Net unrealized gains (losses) on investments	5	312,440,165
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	63,889,038
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	11,617,590,974

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . ☑

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes	

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE C BOLLINGER PRESIDENT	60 0	X		X				3,011,238	0	404,424
KENNETH A FORDE MD TRUSTEE	3 0	X						789,000	0	2,592
ROLANDO T ACOSTA TRUSTEE	3 0	X						0	0	0
ARMEN A AVANESSIANS TRUSTEE	3 0	X						0	0	0
A'LELIA BUNDLES TRUSTEE	3 0	X						0	0	0
JOSE A CABRANES TRUSTEE (THROUGH 9/3/2012)	3 0	X						0	0	0
WILLIAM V CAMPBELL TRUSTEE	3 0	X						0	0	0
LISA CARNOY TRUSTEE	3 0	X						0	0	0
NOAM GOTTESMAN TRUSTEE	3 0	X						0	0	0
JOSEPH A GREENAWAY JR TRUSTEE	3 0	X						13,500	0	0
JAMES HARDEN TRUSTEE	3 0	X						0	0	0
BENJAMIN HOROWITZ TRUSTEE (AS OF 9/4/2012)	3 0	X						0	0	0
ANN F KAPLAN TRUSTEE	3 0	X						0	0	0
MARK E KINGDON TRUSTEE	3 0	X						0	0	0
JONATHAN LAVINE TRUSTEE	3 0	X						0	0	0
GERRY LENFEST TRUSTEE	3 0	X						0	0	0
CHARLES LI TRUSTEE (AS OF 10/5/2012)	3 0	X						0	0	0
PAUL J MADDON TRUSTEE	3 0	X						0	0	0
PHILIP MILSTEIN TRUSTEE	3 0	X						0	0	0
VIKRAM PANDIT TRUSTEE	3 0	X						0	0	0
MICHAEL B ROTHFELD TRUSTEE	3 0	X						0	0	0
JONATHAN D SCHILLER TRUSTEE	3 0	X						0	0	0
ESTA STECHER TRUSTEE	3 0	X						0	0	0
KYRIAKOS TSAKOPOULOS TRUSTEE	3 0	X						0	0	0
FAYE WATTLETON TRUSTEE	3 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RICHARD E WITTEN TRUSTEE (THROUGH 9/3/2012)	3 0	X						39,062	0	0
JANE E BOOTH GENERAL COUNSEL	60 0			X				549,960	0	44,831
JOHN COATSWORTH PROVOST	60 0			X				665,173	0	43,754
JEROME DAVIS SECRETARY	60 0			X				324,108	0	39,316
ROBERT KASDIN SENIOR EXECUTIVE VP	60 0			X				716,498	0	56,139
ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE	60 0			X				494,533	0	68,258
NICHOLAS B DIRKS EXEC VP- A&S (THROUGH 12/2012)	60 0				X			492,170	0	74,515
DR LEE GOLDMAN MD EXEC VP FOR HEALTH SCIENCES	60 0				X			1,176,220	0	489,047
PETER HOLLAND EXEC VP OF INVESTMENT MGMT	60 0				X			3,029,142	0	2,017,979
JOSEPH A IENUSO EXEC VP OF FACILITIES	60 0				X			424,817	0	71,098
NIRMAL NARVEKAR PRESIDENT OF INVESTMENT MGMT	60 0				X			3,372,593	0	2,229,720
FREDERICK M VAN SICKLE EXEC VP - UNIV DEV & ALUM REL	60 0				X			511,468	0	59,708
DAVID N SILVERS CLINICAL PROFESSOR	60 0					X		5,316,325	0	39,624
JEFFREY W MOSES PROFESSOR OF MEDICINE	60 0					X		3,084,928	0	76,827
CRAIG R SMITH PROFESSOR OF SURGERY	60 0					X		2,076,573	0	54,702
EMILE BACHA PROFESSOR OF SURGERY	60 0					X		1,843,205	0	81,564
MARTIN B LEON PROFESSOR OF SURGERY	60 0					X		1,609,928	0	59,424
ALAN BRINKLEY PROVOST (FORMER)	60 0						X	435,949	0	66,377
SUSAN K FEAGIN EXEC VP-UNIV DEV&ALUM(FORMER)	60 0						X	527,676	0	34,787

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SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☑ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state ______

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**)	**(iv)** Is the organization in col **(i)** listed in your governing document?		**(v)** Did you notify the organization in col **(i)** of your support?		**(vi)** Is the organization in col **(i)** organized in the U S ?		**(vii)** Amount of monetary support
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support** (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (see instructions) | **12** |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f)) | **14** |

15 Public support percentage for 2011 Schedule A, Part II, line 14 | **15** |

16a **33 1/3% support test—2012.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support test—2011.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2012** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2011** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2012.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2011.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493134054744

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	13-5598093

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)		
c	Total lobbying expenditures (add lines 1a and 1b)		
d	Other exempt purpose expenditures		
e	Total exempt purpose expenditures (add lines 1c and 1d)		
f	Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)		
h	Subtract line 1g from line 1a If zero or less, enter -0-		
i	Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2009	**(b)** 2010	**(c)** 2011	**(d)** 2012	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?	Yes		19,370
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		56,939
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?	Yes		575,633
j	Total Add lines 1c through 1i			651,942
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION	SCHEDULE C	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS PROJECT, COORDINATOR FOR STATE AND FEDERAL RELATIONS, DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
2012
Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	6	
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)	68,000	
4	Aggregate value at end of year	28,885,929	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☑ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☑ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☑ Public exhibition
b ☑ Scholarly research
c ☑ Preservation for future generations
d ☑ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☑ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☑ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☑ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance	7,654,152,000	7,789,579,000	6,516,512,000	5,892,798,000	7,345,226,000
b	Contributions	165,932,000	183,419,000	202,175,000	159,335,000	159,922,000
c	Net investment earnings, gains, and losses	871,686,632	161,239,000	1,503,257,000	946,146,321	-1,138,085,412
d	Grants or scholarships	81,338,000	84,742,000	71,786,000	72,771,000	81,367,000
e	Other expenditures for facilities and programs	374,447,000	363,392,000	329,582,000	387,564,000	365,444,000
f	Administrative expenses	38,105,632	31,951,000	30,998,000	21,432,321	27,453,588
g	End of year balance	8,197,880,000	7,654,152,000	7,789,578,000	6,516,512,000	5,892,798,000

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 39 000 %

b Permanent endowment ▶ 61 000 %

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)	Yes	
	(ii) related organizations	3a(ii)		No
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		437,927,000		437,927,000
b Buildings		4,372,581,271	1,923,103,328	2,449,477,943
c Leasehold improvements				
d Equipment		382,137,000	203,560,000	178,577,000
e Other	1,083,962,900		254,973,381	828,989,519
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				3,894,971,462

Part VII **Investments—Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other (A) GLOBAL EQUITIES	506,154,526	F
(B) ABSOLUTE RETURN STRATEGIES	1,401,451,610	F
(C) PRIVATE EQUITY	1,750,449,028	F
(D) REAL ESTATE ASSETS	1,420,324,572	F
(E) FIXED INCOME	246,794	F
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	5,078,626,530	

Part VIII **Investments—Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX **Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X **Other Liabilities.** See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	0
LIABILITY FOR SECURITIES PURCH	37,565,452
SECURITIES LOAN AGRMT PAYABLE	3,057,163
CAPITAL LEASE OBLIGATIONS	120,426,681
COND'L ASSET RETIREMENT OBLIGA	106,289,574
ACCRUED EMPLOYEE BENEFIT LIAB	196,025,511
FEDERAL STUDENT LOAN FUNDS	79,603,006
ACTUARIAL LIAB- SPLIT INT AGRM	44,409,291
OTHER LONG TERM LIABILITIES	435,522,355
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	1,022,899,033

2. Fin 48 (ASC 740) Footnote In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A, 2A & 4	COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS
PART X, LINE 2 (FIN 48)		THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Form 990, Schedule D, Part X, - Other Liabilities

1 **(a)** Description of Liability	**(b)** Book Value
LIABILITY FOR SECURITIES PURCH	37,565,452
SECURITIES LOAN AGRMT PAYABLE	3,057,163
CAPITAL LEASE OBLIGATIONS	120,426,681
COND'L ASSET RETIREMENT OBLIGA	106,289,574
ACCRUED EMPLOYEE BENEFIT LIAB	196,025,511
FEDERAL STUDENT LOAN FUNDS	79,603,006
ACTUARIAL LIAB- SPLIT INT AGRM	44,409,291
OTHER LONG TERM LIABILITIES	435,522,355

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

▶Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
▶ Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047
2012
Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	3	Yes	
4	Does the organization maintain the following?			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Yes	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	4d	Yes	
	If you answered "No" to any of the above, please explain If you need more space, use Part II			
5	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	5a		No
b	Admissions policies?	5b		No
c	Employment of faculty or administrative staff?	5c		No
d	Scholarships or other financial assistance?	5d		No
e	Educational policies?	5e		No
f	Use of facilities?	5f		No
g	Athletic programs?	5g		No
h	Other extracurricular activities?	5h		No
	If you answered "Yes" to any of the above, please explain If you need more space, use Part II			
6a	Does the organization receive any financial aid or assistance from a governmental agency?	6a	Yes	
b	Has the organization's right to such aid ever been revoked or suspended?	6b		No
	If you answered "Yes" to either line 6a or line 6b, explain on Part II			
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	7	Yes	

Part II Supplemental Information. Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable Also complete this part to provide any other additional information (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE E, LINE 3		COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING IT THEREFORE MEETS THE CRITERIA UNDER REV PROC 75-50, SECTION 4 03-2(B)FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4 03-1
SCHEDULE E, LINE 6A		COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . ☑ Yes ☐ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

	(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
	See Add'l Data					
3a	Sub-total	25	3,978			4,694,365,494
b	Total from continuation sheets to Part I	47	2,491			233,380,996
c	**Totals** (add lines 3a and 3b)	72	6,469			4,927,746,490

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
See Add'l Data								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶ 106

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)
See Add'l Data							

Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☑ Yes ☐ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organizationmay be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* ☑ Yes ☐ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☑ Yes ☐ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* ☑ Yes ☐ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* ☑ Yes ☐ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).* ☑ Yes ☐ No

Part V **Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	ReturnReference	Explanation
SUPPLEMENTAL INFORMATION	SCHEDULE F, PART I, LINE 2	ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U S GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY -- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE $3,620,697,798 2) EAST ASIA AND THE PACIFIC - BOOK VALUE $454,179,859 AND EXPENDITURES $147,725 3) EUROPE - BOOK VALUE $579,319,294 AND EXPENDITURES $142,085 4) NORTH AMERICA - BOOK VALUE $28,220,265 AND EXPENDITURES $3,922 5) SOUTH AMERICA - EXPENDITURES $15,330 6) SUB-SAHARAN AFRICA - BOOK VALUE $70,214,924 --

Additional Data

Software ID:
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Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
ANTARCTICA		2	Program Services	EDUC/RESEARCH/OUTREACH	1,467
Central America and the Caribbean		197	Program Services	EDUC/RESEARCH/OUTREACH	1,317,265
Central America and the Caribbean			Grantmaking		321,958

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Fundraising		461
Central America and the Caribbean			Investments		3,620,697,798
East Asia and the Pacific	7	849	Program Services	EDUC/RESEARCH/OUTREACH	8,401,949

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
East Asia and the Pacific			Grantmaking		2,829,213
East Asia and the Pacific			Fundraising		266,344
East Asia and the Pacific			Investments		454,327,584

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	15	2,249	Program Services	EDUC/RESEARCH/OUTREACH	14,337,603
Europe (Including Iceland and Greenland)			Grantmaking		6,700,166
Europe (Including Iceland and Greenland)			Fundraising		371,410

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Investments		579,461,379
Middle East and North Africa	3	267	Program Services	EDUC/RESEARCH/OUTREACH	3,162,776
Middle East and North Africa			Grantmaking		1,044,426

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Fundraising		43,609
North America		414	Program Services	EDUC/RESEARCH/OUTREACH	1,080,086
North America			Grantmaking		4,289,707

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Fundraising		22,389
North America			Investments		28,224,187
Russia and the Newly Independent States	2	149	Program Services	EDUC/RESEARCH/OUTREACH	1,490,965

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States			Grantmaking		177,844
Russia and the Newly Independent States			Fundraising		8,265
South America	4	331	Program Services	EDUC/RESEARCH/OUTREACH	2,693,702

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
South America			Grantmaking		1,233,932
South America			Fundraising		164,013
South America			Investments		15,330

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	5	308	Program Services	EDUC/RESEARCH/OUTREACH	5,547,312
South Asia			Grantmaking		3,821,410
South Asia			Fundraising		73,696

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	36	1,703	Program Services	EDUC/RESEARCH/OUTREACH	93,497,880
Sub-Saharan Africa			Grantmaking		21,872,316
Sub-Saharan Africa			Fundraising		33,124

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Investments		70,214,924

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	412,383	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	174,730	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	75,927	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	524,455	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Educ/Research	17,499	CHECK/WIRE		N/A	N/A
		Middle East and North Africa	Educ/Research	640,519	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	52,148	CHECK/WIRE		N/A	N/A
		South Asia	Educ/Research	18,523	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Educ/Research	82,139	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	11,100	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	70,000	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	57,462	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	76,961	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	69,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	71,057	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	411,920	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	247,154	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	137,992	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	9,368	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	5,437	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Educ/Research	22,880	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	60,153	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	138,121	CHECK/WIRE		N/A	N/A
		South America	DONATION	8,406	Wire Transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Tuition	15,067	Wire Transfe		N/A	N/A
		Central America and the Caribbean	Educ/Research	59,502	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	198,154	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	11,074	Wire Transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Educ/Research	129,750	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	200,991	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	90,000	CHECK/WIRE		N/A	N/A
		South Asia	Educ/Research	2,598,756	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	1,274,536	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	110,821	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	79,591	CHECK/WIRE		N/A	N/A
		South America	Educ/Research	79,629	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Educ/Research	60,044	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	100,000	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	60,675	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	254,176	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	96,040	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	32,400	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	69,068	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	84,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Educ/Research	15,334	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	8,874	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	100,494	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	6,599	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	427,809	CHECK/WIRE		N/A	N/A
		South Asia	Educ/Research	27,794	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	103,677	CHECK/WIRE		N/A	N/A
		South Asia	Educ/Research	41,592	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	200,567	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	2,078,927	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	106,945	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	402,258	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	104,459	CHECK/WIRE		N/A	N/A
		South Asia	Educ/Research	12,683	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	570,196	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	145,304	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	56,738	CHECK/WIRE		N/A	N/A
		Russia and the Newly Independent States	Educ/Research	54,355	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	12,389	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	26,670	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Educ/Research	44,740	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	1,029,218	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	5,100	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	43,096	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	65,854	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	855,736	CHECK/WIRE		N/A	N/A
		South Asia	Educ/Research	30,393	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	16,389	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	85,252	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research RELATED SPORTS EQUIPMENT AND REFRESHMENTS	50,000	CHECK/WIRE		N/A	N/A
		South America	Educ/Research	190,575	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	10,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	13,776	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	6,189	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	32,818	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	78,592	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	10,000	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	39,099	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	298,726	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	151,069	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Educ/Research	17,749	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	247,955	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	55,380	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	402,894	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	276,024	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	63,561	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	44,112	CHECK/WIRE		N/A	N/A
		North America	Educ/Research	42,443	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	22,768	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	99,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	438,720	CHECK/WIRE		N/A	N/A
		Europe	Educ/Research	38,763	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Educ/Research	569,332	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	Educ/Research	84,548	CHECK/WIRE		N/A	N/A
		Central America and the Caribbean	General support	10,348	Check		N/A	N/A
		Sub-Saharan Africa	Educ/Research	422,572	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Educ/Research	5,219	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	69,456	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	Educ/Research	9,817	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	8,725	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	73,626	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	11,181,985	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
General Support	Central America and the Caribbean	14	9,995	CHECK		N/A	N/A
Internship Award	Central America and the Caribbean	1	4,600	WIRE TRANSFE		N/A	N/A
Scholarship	Central America and the Caribbean	1	30,560	CHECK		N/A	N/A
Scholarship	Central America and the Caribbean	3	176,190	WIRE TRANSFE		N/A	N/A
Stipend	Central America and the Caribbean	4	4,000	DIRECT DEPOS		N/A	N/A
Travel	Central America and the Caribbean	20	26,763	CHECK		N/A	N/A
General Support	East Asia and the Pacific	1	5,898	CHECK		N/A	N/A
General Support	East Asia and the Pacific	4	52,758	WIRE TRANSFE		N/A	N/A
Honorarium	East Asia and the Pacific	19	16,975	CHECK		N/A	N/A
Honorarium	East Asia and the Pacific	2	3,500	WIRE TRANSFE		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Internship Award	East Asia and the Pacific	7	15,300	WIRE TRANSFE		N/A	N/A
PHD Grant	East Asia and the Pacific	1	2,500	CHECK		N/A	N/A
Prize	East Asia and the Pacific	12	16,000	CHECK		N/A	N/A
Prize	East Asia and the Pacific	4	4,298	WIRE TRANSFE		N/A	N/A
Research	East Asia and the Pacific	44	123,796	CHECK		N/A	N/A
Scholarship	East Asia and the Pacific	14	306,605	CHECK		N/A	N/A
Scholarship	East Asia and the Pacific	15	803,988	WIRE TRANSFE		N/A	N/A
Stipend	East Asia and the Pacific	28	34,434	CHECK		N/A	N/A
Stipend	East Asia and the Pacific	115	336,975	DIRECT DEPOS		N/A	N/A
Travel	East Asia and the Pacific	25	142,799	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Travel	East Asia and the Pacific	2	2,250	DIRECT DEPOS		N/A	N/A
General Support	Europe	7	6,250	CHECK		N/A	N/A
General Support	Europe	3	49,615	WIRE TRANSFE		N/A	N/A
Honorarium	Europe	56	61,230	CHECK		N/A	N/A
Honorarium	Europe	10	7,743	WIRE TRANSFE		N/A	N/A
Internship Award	Europe	8	19,600	WIRE TRANSFE		N/A	N/A
PHD Grant	Europe	2	2,260	CHECK		N/A	N/A
PHD Grant	Europe	12	20,656	WIRE TRANSFE		N/A	N/A
Prize	Europe	14	38,851	CHECK		N/A	N/A
Prize	Europe	1	270,000	WIRE TRANSFE		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Research	Europe	5	14,036	CHECK		N/A	N/A
Research	Europe	1	4,660	WIRE TRANSFE		N/A	N/A
Scholarship	Europe	21	520,160	CHECK		N/A	N/A
Scholarship	Europe	22	1,185,860	WIRE TRANSFE		N/A	N/A
Stipend	Europe	21	78,498	CHECK		N/A	N/A
Stipend	Europe	161	382,650	DIRECT DEPOS		N/A	N/A
Stipend	Europe	7	14,000	WIRE TRANSFE		N/A	N/A
Travel	Europe	72	233,829	CHECK		N/A	N/A
Travel	Europe	2	4,874	WIRE TRANSFE		N/A	N/A
Travel	Europe	1	16,000	DIRECT DEPOS		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
General Support	Middle East and North Africa	1	500	CHECK		N/A	N/A
Honorarium	Middle East and North Africa	14	21,000	CHECK		N/A	N/A
Internship Award	Middle East and North Africa	3	1,800	WIRE TRANSFE		N/A	N/A
Prize	Middle East and North Africa	4	2,600	CHECK		N/A	N/A
Prize	Middle East and North Africa	1	2,650	WIRE TRANSFE		N/A	N/A
Research	Middle East and North Africa	25	25,221	CHECK		N/A	N/A
Scholarship	Middle East and North Africa	4	127,332	CHECK		N/A	N/A
Scholarship	Middle East and North Africa	2	91,038	WIRE TRANSFE		N/A	N/A
Stipend	Middle East and North Africa	11	18,696	CHECK		N/A	N/A
Stipend	Middle East and North Africa	22	37,670	DIRECT DEPOS		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Stipend	Middle East and North Africa	13	25,200	WIRE TRANSFE		N/A	N/A
Travel	Middle East and North Africa	5	50,200	CHECK		N/A	N/A
General Support	North America	15	4,578	CHECK		N/A	N/A
General Support	North America	1	14,690	WIRE TRANSFE		N/A	N/A
Honorarium	North America	9	5,729	CHECK		N/A	N/A
Honorarium	North America	1	1,000	WIRE TRANSFE		N/A	N/A
Internship Award	North America	9	9,800	WIRE TRANSFE		N/A	N/A
Prize	North America	8	47,000	CHECK		N/A	N/A
Prize	North America	1	270,000	WIRE TRANSFE		N/A	N/A
Scholarship	North America	10	190,951	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarship	North America	51	2,350,603	WIRE TRANSFE		N/A	N/A
Stipend	North America	1	1,200	CHECK		N/A	N/A
Stipend	North America	10	81,457	DIRECT DEPOS		N/A	N/A
Travel	North America	23	46,223	CHECK		N/A	N/A
Travel	North America	2	15,663	DIRECT DEPOS		N/A	N/A
Honorarium	Russia and the Newly Independent States	1	500	CHECK		N/A	N/A
Internship Award	Russia and the Newly Independent States	1	2,900	WIRE TRANSFE		N/A	N/A
Prize	Russia and the Newly Independent States	1	630	CHECK		N/A	N/A
Research	Russia and the Newly Independent States	1	1,000	CHECK		N/A	N/A
Scholarship	Russia and the Newly Independent States	1	58,329	WIRE TRANSFE		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Stipend	Russia and the Newly Independent States	8	60,130	DIRECT DEPOS		N/A	N/A
Honorarium	South America	2	2,286	CHECK		N/A	N/A
Internship Award	South America	4	13,670	WIRE TRANSFE		N/A	N/A
Internship Award	South America	0	11,269	DIRECT DEPOS		N/A	N/A
PHD Grant	South America	1	1,500	CHECK		N/A	N/A
Prize	South America	3	10,500	CHECK		N/A	N/A
Research	South America	4	8,571	CHECK		N/A	N/A
Scholarship	South America	5	193,940	CHECK		N/A	N/A
Scholarship	South America	7	288,270	WIRE TRANSFE		N/A	N/A
Stipend	South America	73	207,698	DIRECT DEPOS		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Travel	South America	70	114,504	CHECK		N/A	N/A
Travel	South America	1	1,200	WIRE TRANSFE		N/A	N/A
Travel	South America	1	2,275	DIRECT DEPOS		N/A	N/A
General Support	South Asia	5	41,383	CHECK		N/A	N/A
General Support	South Asia	1	9,143	WIRE TRANSFE		N/A	N/A
Honorarium	South Asia	2	800	CHECK		N/A	N/A
Internship Award	South Asia	3	7,500	WIRE TRANSFE		N/A	N/A
Prize	South Asia	1	4,795	CHECK		N/A	N/A
Research	South Asia	2	2,850	CHECK		N/A	N/A
Scholarship	South Asia	14	386,987	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarship	South Asia	7	352,478	WIRE TRANSFE		N/A	N/A
Stipend	South Asia	9	25,689	CHECK		N/A	N/A
Stipend	South Asia	54	135,110	DIRECT DEPOS		N/A	N/A
Travel	South Asia	1	2,400	DIRECT DEPOS		N/A	N/A
General Support	Sub-Saharan Africa	2	78,386	WIRE TRANSFE		N/A	N/A
Honorarium	Sub-Saharan Africa	4	1,800	CHECK		N/A	N/A
Internship Award	Sub-Saharan Africa	6	15,500	WIRE TRANSFE		N/A	N/A
PHD Grant	Sub-Saharan Africa	2	5,000	CHECK		N/A	N/A
Prize	Sub-Saharan Africa	1	5,000	CHECK		N/A	N/A
Research	Sub-Saharan Africa	2	3,956	CHECK		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarship	Sub-Saharan Africa	5	161,182	CHECK		N/A	N/A
Scholarship	Sub-Saharan Africa	14	742,230	WIRE TRANSFE		N/A	N/A
Stipend	Sub-Saharan Africa	6	9,375	CHECK		N/A	N/A
Stipend	Sub-Saharan Africa	21	80,090	DIRECT DEPOS		N/A	N/A
Travel	Sub-Saharan Africa	2	1,500	CHECK		N/A	N/A

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SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a. Form 990-EZ filers are not required to complete this part.

▶Attach to Form 990 or Form 990-EZ. ▶See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

a ☑ Mail solicitations
b ☑ Internet and email solicitations
c ☑ Phone solicitations
d ☑ In-person solicitations
e ☑ Solicitation of non-government grants
f ☑ Solicitation of government grants
g ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☑ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
RUFFALO CODY AND ASSOCIATES PO BOX 3018 CEDAR RAPIDS, IA 52406	CONSULTING		No	1,644,883	488,317	1,156,566
MARILYN SILVERSTEIN 24 MERRIL ROAD WATERTOWN, MA 02472	CONSULTING		No	17,316,000	79,249	17,236,751
KIMBA INC 1050 E 11TH ST AUSTIN, TX 78702	CONSULTING		No	6,855,455	60,000	6,795,455
STORY WORLDWIDE LLC 16017 COLLECTIONS CENTER DR CHICAGO, IL 60693	CONSULTING		No	6,855,455	404,429	6,451,026
ANDREA RETZY COMMUNICATIONS 215 WEST 84TH NEW YORK, NY 10024	CONSULTING		No	858,651	9,485	849,166
Total . . . . ▶				33,530,444	1,041,480	32,488,964

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

NY

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1 ANNUAL DINNER (event type)	(b) Event #2 AWARDS DINNER (event type)	(c) Other events 43 (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	1,345,110	580,179	4,082,563	6,007,852
	2 Less Contributions	1,186,710	447,279	3,583,468	5,217,457
	3 Gross income (line 1 minus line 2)	158,400	132,900	499,095	790,395
Direct Expenses	4 Cash prizes				
	5 Noncash prizes		2,800		2,800
	6 Rent/facility costs		44,737		44,737
	7 Food and beverages	297,584	140,231		437,815
	8 Entertainment				
	9 Other direct expenses	30,462	67,041	499,095	596,598
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				(1,081,950)
	11 Net income summary Combine line 3, column (d), and line 10 ▶				-291,555

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ______ ☐ No	☐ Yes ______ ☐ No	☐ Yes ______ ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Combine lines 1 and 7 in column (d) ▶				

9 Enter the state(s) in which the organization operates gaming activities

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain

Does the organization operate gaming activities with nonmembers? . . . . ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . ☐ Yes ☐ No

13 Indicate the percentage of gaming activity operated in

a The organization's facility	**13a**	
b An outside facility	**13b**	

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ ____________

Address ▶ ____________

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____________ and the amount of gaming revenue retained by the third party ▶ $ ____________

c If "Yes," enter name and address of the third party

Name ▶ ____________

Address ▶ ____________

16 Gaming manager information

Name ▶ ____________

Gaming manager compensation ▶ $ ____________

Description of services provided ▶ ____________

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . ☐ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	Return Reference	Explanation

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶ 281

3 Enter total number of other organizations listed in the line 1 table . . . ▶ 0

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
(1) STUDENT TUITION GRANTS AND SCHOLARSHIPS	24113	400,377,469		N/A	N/A
(2) CS NANCY RUPP COMMUNITY SCHOLARSHIP	4	12,000		N/A	N/A

Part IV **Supplemental Information.**

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I	THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
AETHLABS2180 FOLSOM STREET 3RD FLOOR SAN FRANCISCO,CA 94110	45-2532548	501(C)(3)	80,599		N/A	N/A	RESEARCH
ALBANY MEDICAL CENTERCOLLEGE SPONSORED PROGRAMS MC-107 47 NEW SCOTLAND AVE ALBANY,NY 12208	14-1338310	501(C)(3)	534,351		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ALIANZA DOMINICANA 2410 AMSTERDAM AVE NEW YORK, NY 10033	13-3402057	501(C)(3)	83,448		N/A	N/A	RESEARCH
AMERICAN MUSEUM OF NATURAL HISTORY79TH ST AT CENTRAL PARK WEST NY, NY 10024	13-6162659	501(C)(3)	71,239		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
AMERICAN UNIVERSITY 4400 MASSACHUSETTS AVE WASHINGTON,DC 20016	53-0196549	501(C)(3)	12,676		N/A	N/A	RESEARCH
ARC XVI FORT WASHINGTON INC SENIOR 4111 BROADWAY NEW YORK,NY 10033	13-2745426	501(C)(3)	33,419		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ARIZONA STATE UNIVERSITYPO BOX 876011 TEMPLE AZ 85287 TEMPE,AZ 852876011	86-0196696	501(C)(3)	136,539		N/A	N/A	RESEARCH
ARTSTOR151 EAST 61ST STREET NEW YORK,NY 10065	30-0152767	501(C)(3)	252,137		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ATMOSPHERIC AND ENVIRONMENTAL RESEARCHPO BOX 5175 NEW YORK, NY 100875175	04-2608324	501(C)(3)	179,337		N/A	N/A	RESEARCH
BARNARD COLLEGE HOUSING OFF 110 SULZBERGER HALL 3009 BROADWAY NEW YORK, NY 10027	13-1628149	501(C)(3)	219,584		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BATTELLEATTN CASHIER PO BOX 84262 SEATTLE,WA 981245562	31-4379427	501(C)(3)	34,363		N/A	N/A	RESEARCH
BAYLOR COLLEGE OF MEDICINEONE BAYLOR PLAZA MS 225 HOUSTON,TX 77030	74-1613878	501(C)(3)	639,803		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BERMUDA INSTITUTE OF OCEAN SCIENCESPO BOX 604 ALEXANDRIA,VA 22313	06-0706038	501(C)(3)	124,411		N/A	N/A	RESEARCH
BETH ISRAEL DEACONESS MEDICAL CENTER330 BROOKLINE AVENUE BOSTON,MA 02215	04-2103881	501(C)(3)	129,032		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BOSTON UNIVERSITY ACCOUNTS RECEIVABLE 4TH FLOOR 881 COMMONWEALTH AVE BOSTON, MA 02215	04-2103547	501(C)(3)	1,037,994		N/A	N/A	RESEARCH
BOWDOIN COLLEGEKERRI MORTHLAND 5400 COLLEGE STATION BRUNSWICK, ME 04011	01-0215213	501(C)(3)	88,115		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BRANDEIS UNIVERSITYPO BOX 549110 WALTHAM,MA 024549110	04-2103552	501(C)(3)	351,306		N/A	N/A	RESEARCH
BROADWAY HOUSING COMMUNITIES583 Riverside Dr NEWYORK,NY 10031	13-3212867	501(C)(3)	5,500		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BRONX LEBANON HOSPITAL CENTER1276 FULTON AVE BRONX,NY 10456	13-1974191	501(C)(3)	491,524		N/A	N/A	RESEARCH
CALIFORNIA INSTITUTE OF TECHNOLOGY TREASURY SERVICES MAIL CODE 117-15 1200 E CALIFORNIA PASADENA,CA 91125	95-1643307	501(C)(3)	112,355		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CALLEN-LORDE COMMUNITY HEALTH CENTER356 WEST 18TH STREET NEW YORK,NY 10011	13-3409680	501(C)(3)	62,110		N/A	N/A	RESEARCH
CANCER PREVENTION INSTITUTE OF2201 WALNUT AVENUE SUITE 300 FREEMONT,CA 945382334	23-7427232	501(C)(3)	414,649		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CARNEGIE-MELLON UNIVERSITYP O BOX 371032M PITTSBURGH,PA 152507032	25-0969449	501(C)(3)	10,901		N/A	N/A	RESEARCH
CAROLINA BEHAVIORAL CARE725 BROAD STREET DURHAM,NC 27705	56-1780933	501(C)(3)	83,780		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CASE WESTERN RESERVE UNIVERSITYCWRU NPDPSC PO BOX 92910 CLEVELAND,OH 44194	34-1018992	501(C)(3)	17,464		N/A	N/A	RESEARCH
CATHOLIC CHARITIES COMMITY SERVICES1011 FIRST AVENUE 6TH FLOOR NEW YORK,NY 10022	13-5562185	501(C)(3)	93,512		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non- cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CENTER FOR CHILDREN'S INITIATIVES INC322 EIGHTH AVE 4TH FL NEW YORK N NEW YORK, NY 10001	13-3039812	501(C)(3)	12,000		N/A	N/A	RESEARCH
CENTRAL TEXAS VETERANS HEALTH CARE CTVRF 1901 SOUTH 1ST 151N TEMPLE, TX 76504	74-2623309	501(C)(3)	75,630		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CHILDFUND2821 EMERYWOOD PKWY RICHMOND VA 232 RICHMOND,VA 232616484	54-0536100	501(C)(3)	82,988		N/A	N/A	RESEARCH
CHILDRENS HEALTH FUND 215 West 125th St SUITE 301 NEW YORK,NY 10027	13-3468427	501(C)(3)	180,214		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CHILDRENS HOSPITAL BOSTON300 LONGWOOD AVE RES FINANCE DEPT BOSTON,MA 02115	04-2774441	501(C)(3)	51,378		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL MEDICAL CENTER3333 BURNET AVENUE CINCINNATI OH 45 CINCINNATI,OH 452293039	31-0833936	501(C)(3)	173,292		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CHILDRENS HOSPITAL OF LOS ANGELES4650 SUNSET BLVD LOS ANGELES,CA 90027	95-1690977	501(C)(3)	264,375		N/A	N/A	RESEARCH
CHRISTIANA CARE HEALTH SYSTEM200 HYGEIA DR SUITE 2400 NEWARK,DE 19713	51-0103684	501(C)(3)	1,405,221		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CICATELLI ASSOCIATES INC505 8TH AVE 16TH FLOOR NY,NY 100186505	13-3020576	501(C)(3)	398,561		N/A	N/A	RESEARCH
CLEVELAND VA MEDICAL RESEARCH ANDVAMC 151C W10701 E BLVD CLEVELAND CLEVELAND,OH 44106	34-1710663	501(C)(3)	62,820		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CLIMATE CENTRAL1 PALMER SQUARE SUITE 330 PRINCETON,NJ 08542	26-1797336	501(C)(3)	36,260		N/A	N/A	RESEARCH
CLINICAL DIRECTORS NETWORK INC5 WEST 37TH STREET 10TH FL NEW YORK,NY 10018	14-1717344	501(C)(3)	360,071		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COLORADO STATE UNIVERSITY2002 CAMPUS DELIVERY FORT COLLINS,CO 80523	84-6000545	501(C)(3)	19,255		N/A	N/A	RESEARCH
COMMUNITY AIDS RESOURCE INC3510 BISCAYNE BLVD SUITE 300 MIAMI,FL 33137	59-2564198	501(C)(3)	5,494		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNITY LEAGUE OF THE HEIGHTS INC500 W 159TH STREET NY,NY 10032	13-2564241	501(C)(3)	23,642		N/A	N/A	RESEARCH
COOK FOR YOUR LIFE32 BROADWAY SUITE 1101 NEW YORK,NY 10004	26-0525634	501(C)(3)	11,106		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
COOPER HEALTH SYSTEMCOOPER UNIVERSITY3 COOPER PLAZA SUITE 220 CAMDEN,NJ 08103	21-0634462	501(C)(3)	51,727		N/A	N/A	RESEARCH
CORNELL UNIVERSITY501 OLIN LIBRARY ITHACA,NY 14853	15-0532082	501(C)(3)	1,245,724		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL UNIVERSITY MEDICAL COLLEGE575 LEXINGTON AVE 9TH FL NEW YORK N NEW YORK, NY 10022	13-1623978	501(C)(3)	1,299,719		N/A	N/A	RESEARCH
CREIGHTON UNIVERSITY 2500 CALIFORNIA PLAZA CONTROLLER S OFFICE OMAHA, NE 681780410	47-0376583	501(C)(3)	99,598		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DEPARTMENTS OF NYS STATENYC DEPT OF HEALTH AND MENTAL HYGIENE GOTHAM CENTER LIC,NY 111014132	13-6400434	501(C)(3)	188,621		N/A	N/A	RESEARCH
DOMINICAN WOMENS DEVELOPMENT CENTER 519 WEST 189TH STREET NY,NY 10040	13-3593885	501(C)(3)	5,750		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DREXEL UNIVCOMMERCE BANK P O BOX 95000-1090 PHILADELPHIA,PA 191951090	23-1352630	501(C)(3)	76,832		N/A	N/A	RESEARCH
DREXEL UNIVERSITY COLLEGE OF MEDICINEp o box 95000-1010 PHILADELPHIA,PA 191951010	23-2979433	501(C)(3)	248,733		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
DUKE UNIVERSITYPO BOX 602651 CHARLOTTE,NC 282602651	56-0532129	501(C)(3)	351,684		N/A	N/A	RESEARCH
EARTH IMAGES FOUNDATION INC470 CAVOUR STREET OAKLAND,CA 94618	07-7032389	501(C)(3)	37,019		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ECO OPP COMM OF NASSAU COUNTYOF NASSAU COUNTY INC 134 JACKSON STREET HEMPSTEAD,NY 11550	11-2195458	501(C)(3)	214,212		N/A	N/A	RESEARCH
EMORY UNIVERSITY101 WOODRUFF CIRCLE SUITE 6215 ATLANTA,GA 30322	58-0566256	501(C)(3)	802,286		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
EXAMINATION MANAGEMENT SERVICES INCPOBOX 202669 DALLAS,TX 753202669	75-1444139	501(C)(3)	123,543		N/A	N/A	RESEARCH
FAMILY HEALTH INTERNATIONAL2224 E NC HIGHWAY 54 DURHAM,NC 27713	23-7413005	501(C)(3)	169,658		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH5 DAKOTA DR SUITE 307 LAKE SUCCESS LAKE SUCCESS,NY 11042	11-2673595	501(C)(3)	179,723		N/A	N/A	RESEARCH
FORDHAM UNIVERSITY411 E FORDHAM ROAD BRONX,NY 10458	13-1740451	501(C)(3)	29,032		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FOX CHASE CANCER CENTER604 COTTMAN AVENUE CHELTENHAM,PA 19012	23-2003072	501(C)(3)	119,696		N/A	N/A	RESEARCH
FRED HUTCHINSON CANCER RESEARCH CENTERCANCER RES CTR 1100 FAIRVIEW AVE N PO BOX 19024 SEATTLE,WA 981091024	23-7156071	501(C)(3)	362,612		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FUNDACONCIENCIA INC 3785 NW 62 Ave 214 DORAL,FL 33166	27-0824212	501(C)(3)	185,996		N/A	N/A	RESEARCH
FUTURES INSTITUTE INC 41-A NEW LONDON TPKE GLASTONBURY CT GLASTONBURY,CT 06033	20-4816286	501(C)(3)	21,262		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GARDEN STATE INFECTIOUS DISEASE709 HADDONFIELD-BERLIN RD VOORHEES VOORHEES,NJ 08043	22-3310855	501(C)(3)	173,303		N/A	N/A	RESEARCH
GEISINGER HEALTH SYSTEM100 NORTH ACADEMY AVENUE ATTN YVONNE KNIGHT WEIS CENTER FOR DANVILLE,PA 17822	23-6291113	501(C)(3)	54,236		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GEORGE MASON UNIVERSITY4400 UNIVERSITY DRIVE FAIRFAX,VA 22030	54-0836354	501(C)(3)	918,216		N/A	N/A	RESEARCH
GEORGE WASHINGTON UNIVERSITY44983 KNOLL SQUARE ASHBURN,VA 201472692	53-0196584	501(C)(3)	297,860		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GEORGETOWN UNIVERSITYPO BOX 571164 WASHINGTON,DC 200571164	53-0196603	501(C)(3)	399,714		N/A	N/A	RESEARCH
GEORGIA INSTITUTE OF TECHNOLOGYPO BOX 100117 ATLANTA,GA 30384	58-0603146	501(C)(3)	126,852		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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GREAT LAKES MEDICAL RESEARCH LLC138 EAST MAIN STREET WESTFIELD,NY 14787	16-1602698	501(C)(3)	51,472		N/A	N/A	RESEARCH
HARBOR-UCLA RESEARCH & ED INSTPO BOX 60637 LOS ANGELES CA 90060 LOS ANGELES,CA 90060	95-2138184	501(C)(3)	27,297		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HARLEM UNITED COMMUNITY AIDS CENTER 123-125 WEST 124TH STREET NEW YORK NEW YORK, NY 10027	13-3461695	501(C)(3)	224,200		N/A	N/A	RESEARCH
HARVARD UNIVERSITYPO BOX 415649 BOSTON, MA 022415649	04-2103580	501(C)(3)	651,809		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HASTINGS CTR21 MALCOLM GORDON RD GARRISON NY 10 GARRISON,NY 105245555	13-2662222	501(C)(3)	56,309		N/A	N/A	RESEARCH
HEALTH AND CLIMATE FOUNDATION1425 K St NW SUITE 350 WASHINGTON,DC 20005	26-1671380	501(C)(3)	16,285		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HEALTH RESEARCH INCORPORATEDPO BOX 2833 BUFFALO NY 14240-2833 BUFFALO,NY 142402833	14-1402155	501(C)(3)	433,632		N/A	N/A	RESEARCH
HEBREW HOME FOR THE AGED AT RIVERDALE5901 PALISADE AVE RIVERDALE,NY 10471	13-1739971	501(C)(3)	407,670		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HEKTOEN INSTITUTE OF MEDICINE2240 W OGDEN AVENUE SECOND FLOOR CHICAGO,IL 606129982	36-2244897	501(C)(3)	25,264		N/A	N/A	RESEARCH
HENRY JACKSON FOUNDATIONC/O MS LIZ CHIPCHOSKY EDU500-100-10064-00-101232 1401 ROCKVILLE,MD 20852	52-1317896	501(C)(3)	45,655		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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HOSPITAL FOR SPECIAL SURGERYMOTION ANALYSIS LAB 535 EAST 70TH STREET NEW YORK, NY 10021	13-1624135	501(C)(3)	196,298		N/A	N/A	RESEARCH
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAIONE GUSTAVE L LEVY PLACE BOX 3500 ATTN JOHN A PERSAUD NEW YORK, NY 10029	13-6171197	501(C)(3)	2,704,151		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INDIANA UNIVERSITYPO BOX 66057 OFFICE OF RESEARCH ADMIN INDIANAPOLIS,IN 462666057	35-6001673	501(C)(3)	46,057		N/A	N/A	RESEARCH
INTEGRATED GENETICS 3400 COMPUTER DRIVE WESTBOROUGH,MA 01581	27-3267315	501(C)(3)	126,043		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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INTERNATIONAL FOOD POLICY RESEARCH2033 K STREET NW WASHINGTON,DC 200061002	52-1041632	501(C)(3)	49,993		N/A	N/A	RESEARCH
INTERVISION MEDIA261 EAST 12TH AVENUE SUITE 100 EUGENE,OR 97401	93-1095523	501(C)(3)	26,520		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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IOWA STATE UNIVERSITY SPONSORED PROGRAMS ACCOUNTING AMES AMES,IA 50011	42-6004224	501(C)(3)	20,187		N/A	N/A	RESEARCH
JET PROPULSION LABORATORY CALIFORNIA 4800 OAK GROVE DRIVE ATTN RAYMOND WILKENS PASADENA,CA 91109	53-0201509	501(C)(3)	54,566		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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JOHNS HOPKINS UNIVERSITYJOURNALS PUB DIV PO BOX 19966 BALTIMORE,MD 21211	52-0595110	501(C)(3)	1,107,074		N/A	N/A	RESEARCH
KAISER PERMANENTE1800 HARRISON ST 16TH FLR OAKLAND,CA 94612	94-1105628	501(C)(3)	334,899		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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LOUISIANA STATE UNIVERSITY433 BOLIVAR STREET NEW ORLEANS,LA 70112	72-6087770	501(C)(3)	109,491		N/A	N/A	RESEARCH
MAGEE-WOMENS RESEARCH INSTITUTE 3339 WARD STREET PITTSBURGH,PA 15213	25-1462312	501(C)(3)	11,875		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MAINE GEOLOGICAL SURVEY93 STATE HOUSE STATION AUGUSTA,ME 04333	01-6000001	501(C)(3)	8,876		N/A	N/A	RESEARCH
MAINE MEDICAL CENTER RESEARCH INSTITUTE22 BRAMHALL STREET PORTLAND,ME 04102	01-0238552	501(C)(3)	83,314		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS GENERAL HOSPITALBANK OF AMERICA NA PO BOX 3829 BOSTON, MA 022413829	04-2697983	501(C)(3)	190,850		N/A	N/A	RESEARCH
MASSACHUSETTS INSTITUTE OF TECHNOLOGY238 MAIN STREET SUITE 402 CAMBRIDGE, MA 02142	04-2103594	501(C)(3)	685,649		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MAYO CLINIC IN JACKSONVILLE4500 SAN PABLO ROAD DEPT OF NEUROLOGY JACKSONVILLE,FL 32224	59-3337028	501(C)(3)	25,883		N/A	N/A	RESEARCH
MAYO CLINIC IN ROCHESTER200 FIRST ST SW ROCHESTER,MN 55905	41-6011702	501(C)(3)	306,323		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MEDICAL COLLEGE OF GEORGIAPO BOX 945552 ATTN CLAIMS ATLANTA,GA 30394	58-1418202	501(C)(3)	64,010		N/A	N/A	RESEARCH
MEMORIAL SLOAN-KETTERING CANCER CENTERCANCER CTR FINANCE GENERAL POST OFFICE PO BOX 26338 NEW YORK,NY 10087	13-1924236	501(C)(3)	912,987		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MENTAL HEALTH MENTAL RETARDATION OF3840 HULEN STREET FORT WORTH,TX 76107	75-1249456	501(C)(3)	318,223		N/A	N/A	RESEARCH
METROPOLITAN DETROIT RESEARCH AND4646 JOHN R ST 11R DETROIT,MI 48201	38-3086750	501(C)(3)	53,600		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
MICHIGAN STATE UNIVERSITYCONTRACT GRANT ADM 301 ADMINISTRATION BLDG EAST LANSING,MI 48824	38-6005984	501(C)(3)	819,745		N/A	N/A	RESEARCH
MIDWEST BIOMEDICAL RESEARCH FOUNDATION 4801 LINWOOD BLVD KANSAS CITY,MO 64128	43-1496422	501(C)(3)	103,280		N/A	N/A	RESEARCH

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MIDWESTERN UNIVERSITY 555 31ST ST DOWNERS GROVE,IL 60515	36-3377698	501(C)(3)	28,567		N/A	N/A	RESEARCH
MILLENNIUM PROMISE ALLIANCE475 RIVERSIDE DRIVE SUITE 1040 NEW YORK,NY 10115	20-3042135	501(C)(3)	420,437		N/A	N/A	RESEARCH

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MONTEFIORE MEDICAL CENTERPO BOX 4398 CHURCH ST NEW YORK NY 1 NEW YORK, NY 10261	13-1740114	501(C)(3)	143,236		N/A	N/A	RESEARCH
MOUNTAIN STUDIES INSTITUTEPO BOX 426 SILVERTON, CO 81433	73-1644103	501(C)(3)	6,663		N/A	N/A	RESEARCH

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NATIONAL BUREAU OF ECONOMIC RESEARCH 1050 MASSACHUSETTS AVE CAMBRIDGE,MA 02138	13-1641075	501(C)(3)	33,923		N/A	N/A	RESEARCH
NATIONAL COALITION ON HEALTH CARE1120 G STREET NW SUITE 810 WASHINGTON,DC 20005	52-1687849	501(C)(3)	90,144		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NATIONAL JEWISH MEDICAL AND RESEARCH 1400 JACKSON ST ROOM M213 ATTN DIANE SULLIVAN DENVER, CO 80206	74-2044647	501(C)(3)	25,801		N/A	N/A	RESEARCH
NEW YORK ACADEMY OF MEDICINE1216 FIFTH AVENUE ATTN SR VP OF FINANCE ADMIN NEW YORK, NY 10029	13-1656674	501(C)(3)	15,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW YORK BLOOD CENTER ACCOUNTS REC DEPT PO BOX 9674 UNIONDALE, NY 115539814	13-1949477	501(C)(3)	847,361		N/A	N/A	RESEARCH
NEW YORK CITY HEALTH AND HOSPITALS506 LENOX AVE NEW YORK, NY 10037	13-2655001	501(C)(3)	1,595,181		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW YORK HOSPITAL OF QUEENS56-45 MAIN STREET ATTN MEDICAL STAFF SOC DIRECTOR CLO FLUSHING, NY 11355	11-1839362	501(C)(3)	542,442		N/A	N/A	RESEARCH
NEW YORK MEDICAL COLLEGEDEPT OF OB/GYN 1901 FIRST AVE 4B05 NEW YORK, NY 10029	13-1099420	501(C)(3)	170,049		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW YORK STEM CELL FOUNDATION1995 BROADWAY SUITE 600 NEW YORK, NY 10023	20-2905531	501(C)(3)	92,531		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY726 BROADWAY 9TH FLOOR NEW YORK, NY 10003	13-5562308	501(C)(3)	346,427		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW YORK UNIVERSITY MEDICAL CENTER520 FIRST AVENUE NEW YORK, NY 100166402	13-5562309	501(C)(3)	1,342,483		N/A	N/A	RESEARCH
NORTH CAROLINA A&T STATE UNIVERSITY1601 E MARKET STREET GREENSBORO, NC 27411	56-6000007	501(C)(3)	81,149		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NORTH PACIFIC WILDLIFE CONSULTING LLC12600 ELMORE ROAD ANCHORAGE,AK 99516	46-0470251	501(C)(3)	29,150		N/A	N/A	RESEARCH
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH 4150 CLEMENT STREET SAN FRANCISCO,CA 94121	94-3084159	501(C)(3)	109,443		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NORTHERN ILLINOIS UNIVERSITYLOWDEN HALL 201 C/O JULIE WEBER DIRECTOR GRANT FISC DEKALB,IL 60115	36-6008480	501(C)(3)	79,211		N/A	N/A	RESEARCH
NORTHWESTERN UNIVERSITY2001 SHERIDAN RD C/O C GRIMSTED LEVERONE HALL EVANSTON,IL 60208	36-2167817	501(C)(3)	174,116		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NUNAHEALTH1111 LANTERN BAY HERCULES,CA 94547	80-0570221	501(C)(3)	25,000		N/A	N/A	RESEARCH
OFM RESEARCH INC28430 NE 47TH PLACE REDMOND,WA 980538841	57-1222227	501(C)(3)	43,492		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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OHIO STATE UNIVERSITY RESEARCH1960 KENNY ROAD COLUMBUS,OH 432101063	31-6025986	501(C)(3)	141,109		N/A	N/A	RESEARCH
OKLAHOMA MEDICAL RESEARCH FOUNDATION 825 NORTHEAST 13TH STREET OKLAHOMA OKLAHOMA,OK 73104	73-0580274	501(C)(3)	49,661		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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OREGON RESEARCH INSTITUTE1715 FRANKLIN BOULEVARD EUGENE,OR 97403	93-0495655	501(C)(3)	16,981		N/A	N/A	RESEARCH
OREGON STATE UNIVERSITYPO BOX 1086 CORVALLIS,OR 973391086	48-1278540	501(C)(3)	147,112		N/A	N/A	RESEARCH

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PALO ALTO INSTITUTE FOR RESEACH ANDPO BOX V-38 PALO ALTO,CA 943040038	94-1179505	501(C)(3)	11,070		N/A	N/A	RESEARCH
PARTNERS HEALTHCARE SYSTEMS INCPO BOX 3829 BOSTON,MA 022413829	04-3230035	501(C)(3)	29,707		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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PATHPO BOX 900922 SEATTLE,WA 98109	91-1157127	501(C)(3)	216,661		N/A	N/A	RESEARCH
PENNSYLVANIA STATE UNIVERSITY105 BOA CAREER SER UNIVERSITY PARK UNIVERSITY PARK,PA 16802	24-6000376	501(C)(3)	461,080		N/A	N/A	RESEARCH

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PHYSICIANS FOR REPRODUCTIVE HEALTH INC55 WEST 39TH STREET 10TH FLOOR NEW YORK, NY 10018	13-3693391	501(C)(3)	18,125		N/A	N/A	RESEARCH
PITTSBURGH PARKS CONSERVANCY2000 Technology Drive SUITE 300 PITTSBURGH, PA 14129	23-2882145	501(C)(3)	7,530		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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POLYTECHNIC UNIVERSITYPO BOX 353 BROOKLYN NY 11201 BROOKLYN,NY 11201	11-1630820	501(C)(3)	63,654		N/A	N/A	RESEARCH
PRINCETON UNIVERSITY PO BOX 33 PRINCETON,NJ 08544	21-0634501	501(C)(3)	490,504		N/A	N/A	RESEARCH

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PUBLIC HEALTH INSTITUTE555 12TH STREET 10TH FLOOR OAKLAND,CA 946074046	94-1646278	501(C)(3)	157,989		N/A	N/A	RESEARCH
PUBLIC HEALTH SOLUTIONS40 WORTH STREET 5TH FLOOR NEW YORK,NY 10013	13-5669201	501(C)(3)	93,550		N/A	N/A	RESEARCH

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PURDUE UNIVERSITY23510 NETWORK PLACE CHICAGO,IL 606731235	35-6002041	501(C)(3)	246,507		N/A	N/A	RESEARCH
QUEENS COMPREHENSIVE PERINATAL COUNCIL PERINATAL COUNCIL INC FAMILY DEV CENTER 111-06 MERRICK BL JAMAICA,NY 11433	11-2870422	501(C)(3)	197,357		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RAND CORPORATIONFILE 53174 LOS ANGELES,CA 900743174	95-1958142	501(C)(3)	294,110		N/A	N/A	RESEARCH
RARITAN BAY MEDICAL CENTER530 NEW BRUNSWICK AVE PERTH AMBOY N PERTH AMBOY,NJ 08861	22-1494442	501(C)(3)	64,480		N/A	N/A	RESEARCH

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RENSSELAER POLYTECHNIC INSTITUTE PO BOX 33375 HARTFORD,CT 061503375	14-1340095	501(C)(3)	13,271		N/A	N/A	RESEARCH
RESEARCH FOUNDATION OF THE CITY230 WEST 41ST ST 7TH FL ATTN MARINA VASILYEVA NEW YORK,NY 10036	13-1988190	501(C)(3)	1,012,441		N/A	N/A	RESEARCH

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RESEARCH FOUNDATION OF THE STATEPO BOX 9 CASH RECEIPT DEPT ALBANY,NY 122010009	14-1368361	501(C)(3)	2,474,642		N/A	N/A	RESEARCH
RESEARCH INSTITUTE AT NATIONWIDEPO BOX 715245 COLUMBUS,OH 432715245	31-6056230	501(C)(3)	108,351		N/A	N/A	RESEARCH

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RESEARCH TRIANGLE INSTITUTERTI PO BOX 900002 RALEIGH,NC 276759000	56-0686338	501(C)(3)	88,342		N/A	N/A	RESEARCH
RETINA FOUNDATION OF THE SOUTHWEST9900 N CENTRAL EXPRESSWAY SUITE 400 DALLAS,TX 75231	51-0151514	501(C)(3)	252,469		N/A	N/A	RESEARCH

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RHODE ISLAND HOSPITAL ALDRICH 3 593 EDDY ST PROVIDENCE RI PROVIDENCE,RI 02903	05-0258954	501(C)(3)	41,262		N/A	N/A	RESEARCH
RICE UNIVERSITYPO BOX 1892 HOUSTON,TX 77251	74-1109620	501(C)(3)	10,122		N/A	N/A	RESEARCH

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ROCKEFELLER UNIVERSITY 1230 YORK AVE BOX 259 NEW YORK, NY 100656399	13-1624158	501(C)(3)	1,420,791		N/A	N/A	RESEARCH
ROCKY MOUNTAIN TREE-RING2901 MOORE LANE FORT COLLINS, CO 80526	84-1413400	501(C)(3)	5,490		N/A	N/A	RESEARCH

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RUSH UNIVERSITY MEDICAL CENTER1700 WEST VAN BUREN FUND ACCOUNTING ROOM 277 CHICAGO,IL 60612	36-2174823	501(C)(3)	124,935		N/A	N/A	RESEARCH
RUTGERS UNIVERSITY3 RUTGERS PLAZA NEW BRUNSWICK,NJ 08901	22-6001086	501(C)(3)	101,950		N/A	N/A	RESEARCH

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SAINT LUKES ROOSEVELT HOSPITAL CENTER555 W 57TH ST FUND ACCOUNTING DEPT NEW YORK, NY 10019	13-2997301	501(C)(3)	620,028		N/A	N/A	RESEARCH
SAINT PETERS UNIVERSITY HOSPITAL254 EASTON AVENUE 4TH FLOOR MOB ROOM 4010 NEW BRUNSWICK, NJ 08901	22-1487330	501(C)(3)	367,147		N/A	N/A	RESEARCH

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SANDIA NATIONAL LABORATORIESC/O BANK OF AMERICA PO BOX 840140 DALLAS,TX 752840140	85-0097942	501(C)(3)	129,250		N/A	N/A	RESEARCH
SANFORD HEALTH2301 E 60TH ST NORTH SIOUX FALLS SD SIOUX FALLS,SD 57104	46-0450378	501(C)(3)	26,941		N/A	N/A	RESEARCH

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SARC24 FRANK LLOYD WRIGHT DR ANN ARBOR ANN ARBOR, MI 48106	86-1087705	501(C)(3)	13,278		N/A	N/A	RESEARCH
SEATTLE CHILDRENS HOSPITALSEATTLE CHILDRN RSRCH SEATTLE WA 98 SEATTLE, WA 981240728	91-0564748	501(C)(3)	55,378		N/A	N/A	RESEARCH

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SEATTLE INSTITUTE FOR BIOMEDICAL1660 S CLUMBIAN WAY S-151F SEATTLE SEATTLE, WA 98108	91-1452438	501(C)(3)	14,870		N/A	N/A	RESEARCH
SIGNATURE GENOMIC LABORATORIESPOBOX 4474 SPOKANE, WA 99220	86-1072115	501(C)(3)	14,449		N/A	N/A	RESEARCH

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SOUTH CAROLINA RESEARCH FOUNDATION 901 SUMTER STREET COLUMBIA,SC 29208	57-0967350	501(C)(3)	21,062		N/A	N/A	RESEARCH
SOUTHERN METHODIST UNIVERSITYPO BOX 750259 DALLAS,TX 752750259	75-0800689	501(C)(3)	552,511		N/A	N/A	RESEARCH

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SOUTHWEST ONCOLOGY GROUP24 FRANK LLOYD WRIGHT DR ANN ARBOR ANN ARBOR,MI 48106	26-1146046	501(C)(3)	213,188		N/A	N/A	RESEARCH
SOUTHWEST RESEARCH INSTITUTE6220 CULEBRA RD SAN ANTONIO TX 7823 SAN ANTONIO,TX 782385166	74-1070544	501(C)(3)	46,359		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SPOKANE MENTAL HEALTH 400 S JEFFERSON STREET SUITE 109 SPOKANE, WA 99204	91-0853801	501(C)(3)	9,920		N/A	N/A	RESEARCH
ST LUKES'S ROOSEVELT HOSPITAL1111 AMSTERDAM AVENUE NEW YORK, NY 10025	13-2997301	501(C)(3)	38,191		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ST LUKES-ROOSEVELT INSTITUTE FOR CONTINUUM SERVICES FINANCE SERVICE 555 WEST 57TH STREE NEW YORK, NY 10019	13-2914343	501(C)(3)	34,056		N/A	N/A	RESEARCH
STANFORD UNIVERSITYPO BOX 44253 SAN FRANCISCO, CA 94144	94-1156365	501(C)(3)	642,201		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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STEVENS INSTITUTE OF TECHNOLOGYCASTLE PT ON THE HUDSON HOBOKEN,NJ 07030	22-1487354	501(C)(3)	9,130		N/A	N/A	RESEARCH
STOCKHOLM INSTITUTE US11 CURTIS AVE SOMERVILLE,MA 02144	20-4659308	501(C)(3)	44,713		N/A	N/A	RESEARCH

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SUFFOLK COUNTY PERINATAL COALITION PERINATAL COALITION INC 475 EAST MAIN STREET SUITE 207 PATCHOGUE,NY 11772	11-2978393	501(C)(3)	203,952		N/A	N/A	RESEARCH
SYMANTECPO BOX 742345 LOS ANGELES,CA 900742345	77-0181864	501(C)(3)	600,000		N/A	N/A	RESEARCH

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TEACHERS COLLEGEATT BURSAR 525 W 120 ST BOX 305 NEW YORK, NY 10027	13-1624202	501(C)(3)	1,295,024		N/A	N/A	RESEARCH
TEMPLE UNIVERSITYPO BOX 824242 PHILADELPHIA, PA 19182	23-1365971	501(C)(3)	47,118		N/A	N/A	RESEARCH

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TEXAS A&M RESEARCH FOUNDATIONC/O WELLS FARGO BANK POBOX 201918 DALLAS,TX 753201918	74-1238434	501(C)(3)	114,872		N/A	N/A	RESEARCH
TEXAS NEUROLOGY PAC/O SHARI HAND TEXAS NEUROLOGY PA-RES DPT 6301 GAS DALLAS,TX 75214	75-2654757	501(C)(3)	29,012		N/A	N/A	RESEARCH

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TGEN445 N FIFTH STREET SUITE 600 PHOENIX,AZ 85004	75-3065445	501(C)(3)	154,503		N/A	N/A	RESEARCH
THE BRIGHAM AND WOMENS HOSPITAL INC PO BOX 3887 BOSTON,MA 022413887	04-2312909	501(C)(3)	219,820		N/A	N/A	RESEARCH

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THE CHILDRENS HOSPITAL OF PHILADELPHIAPO BOX 8500 PHILADELPHIA PA 19178-1 PHILADELPHIA,PA 191781457	23-1352166	501(C)(3)	281,099		N/A	N/A	RESEARCH
THE RESEARCH FOUNDATION FOR MENTAL RIVERVIEW CENTER 150 BROADWAY SUITE 301 MENANDS,NY 12204	14-1410842	501(C)(3)	4,553,251		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE SCRIPPS RESEARCH INSTITUTE1030 COLUMBIA AVE CLAREMONT,CA 91711	33-0435954	501(C)(3)	55,533		N/A	N/A	RESEARCH
THE VILLAGE SOUTH INC 3050 BISCAYNE BOULEVARD SUITE 900 MIAMI,FL 33137	59-1452736	501(C)(3)	7,299		N/A	N/A	RESEARCH

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THOMAS JEFFERSON UNIVERSITYSPONSORED PROGRAMS ACCT OFF 1020 WALNUT ST RM 525 SCOTT BLDG PHIL,PA 19107	23-1352651	501(C)(3)	446,476		N/A	N/A	RESEARCH
TRUDEAU INSTITUTE INC 154 ALGONQUIN AVENUE SARANAC LAKE,NY 12983	14-1401413	501(C)(3)	157,833		N/A	N/A	RESEARCH

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TULANE UNIVERSITY TULANE UNIVERSITY NEW ORLEANS LA 70 NEW ORLEANS, LA 701185549	72-0423889	501(C)(3)	75,670		N/A	N/A	RESEARCH
US ARMY MEDICAL RESEARCH INSTITUTE OF 1425 PORTER STREET FORT DETRICK, MD 21702	35-1996956	501(C)(3)	119,042		N/A	N/A	RESEARCH

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UNAVCO INCLUCY MORGAN 6350 NAUTILUS DRIVE BOULDER,CO 80301	84-1588357	501(C)(3)	38,449		N/A	N/A	RESEARCH
UNC WILMINGTON CONTROLLER 601 S COLLEGE ROAD - H0155 WILMINGTON,NC 284035934	56-1258660	501(C)(3)	101,034		N/A	N/A	RESEARCH

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UNIV OF NORTH CAROLINA AT CHAPEL HILL104 AIRPORT DR CHAPEL HILL NC 27599 CHAPEL HILL,NC 275991350	94-2984524	501(C)(3)	6,582		N/A	N/A	RESEARCH
UNIV OF TEXAS AT ARLINGTONPO BOX 19136 ARLINGTON,TX 760190136	75-6000121	501(C)(3)	929,608		N/A	N/A	RESEARCH

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UNIVERSITY CORPORATION FOR ATMOSPHERICUCAR/NCAR P O BOX 3000 BOULDER,CO 803073000	84-0412668	501(C)(3)	21,030		N/A	N/A	RESEARCH
UNIVERSITY OF ALABAMA AB 990 1530 3RD AVE S BIRMINGHAM AL BIRMINGHAM,AL 352940109	63-6005396	501(C)(3)	95,820		N/A	N/A	RESEARCH

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UNIVERSITY OF ALASKA AT FAIRBANKS109 ADMIN SVCS CTR FAIRBANKS AK 997 FAIRBANKS, AK 997757880	92-6000147	501(C)(3)	36,231		N/A	N/A	RESEARCH
UNIVERSITY OF ARIZONA DEPT OF GEOSCIENCES GOULD-SIMPSON BLDG RM 208 TUCSON, AZ 857210077	86-6004791	501(C)(3)	67,881		N/A	N/A	RESEARCH

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UNIVERSITY OF CALIFORNIA AT SAN FRANCISCOWATER RESOURCES BERKELEY,CA 94720	94-6036493	501(C)(3)	198,456		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA DAVISPO BOX 989062 WEST SACREMENTO CA 9 WEST SACREMENTO,CA 957989062	95-6006143	501(C)(3)	130,559		N/A	N/A	RESEARCH

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UNIVERSITY OF CALIFORNIA IRVINE228 ALDRICH HALL UNIVERSITY OF CALIFORNIA IRVINE,CA 92697	95-2226406	501(C)(3)	1,094,790		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA BERKELEY 2195 HEARST AVE BERKELEY CA 94720-1 BERKELEY,CA 947201103	94-6002123	501(C)(3)	125,364		N/A	N/A	RESEARCH

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UNIVERSITY OF CALIFORNIA LOS ANGELES 1125 MURPHY HALL LOS ANGELES CA 900 LOS ANGELES,CA 90095	95-6006143	501(C)(3)	248,018		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DR LA JOLLA,CA 920930009	95-6006144	501(C)(3)	1,571,632		N/A	N/A	RESEARCH

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UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO,IL 60637	36-2177139	501(C)(3)	2,179,662		N/A	N/A	RESEARCH
UNIVERSITY OF CINCINNATIACCOUTANTS REC PO BOX 691031 CINCINNATI,OH 45269	31-6000989	501(C)(3)	144,600		N/A	N/A	RESEARCH

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UNIVERSITY OF COLORADOSPONSORED PROJ ACCNTG DENVER CO 802 DENVER,CO 802910220	84-6000555	501(C)(3)	481,533		N/A	N/A	RESEARCH
UNIVERSITY OF CONNECTICUT HEALTH CENTER263 FARMINGTON AVENUE FARMINGTON,CT 06030	52-1725543	501(C)(3)	37,986		N/A	N/A	RESEARCH

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UNIVERSITY OF DELAWARE CASHIERS OFFICE 116 STUDENT SERVICES BLDG NEWARK,DE 19716	51-6000297	501(C)(3)	7,727		N/A	N/A	RESEARCH
UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE FL 32611- GAINESVILLE,FL 326113001	59-6002052	501(C)(3)	587,203		N/A	N/A	RESEARCH

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UNIVERSITY OF HAWAII ACCESS SERVICES DEPT HAMILTON LIBRARY ROOM 101 255 MCCAR HONOLULU,HI 96822	99-6000354	501(C)(3)	56,715		N/A	N/A	RESEARCH
UNIVERSITY OF ILLINOIS ATTN FADY CHARBEL 912 S WOOD ST MC 799 CHICAGO,IL 60612	37-6000511	501(C)(3)	9,195		N/A	N/A	RESEARCH

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UNIVERSITY OF IOWA UNIV OF IA BUS OFF B5 JESSUP HALL IOWA CITY,IA 52242	42-6004813	501(C)(3)	509,262		N/A	N/A	RESEARCH
UNIVERSITY OF KANSAS ACCOUNTS RECEIVABLE 2385 IRVING HILL RD CAMPUS WEST LAWRENCE,KS 660447552	48-0680117	501(C)(3)	140,868		N/A	N/A	RESEARCH

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UNIVERSITY OF KANSAS MEDICAL CENTER3901 RAINBOW BLVD KANSAS CITY KS 66 KANSAS CITY,KS 661607702	48-1108830	501(C)(3)	25,243		N/A	N/A	RESEARCH
UNIVERSITY OF KENTUCKY RESEARCHC/O NATIONAL CITY BANK P O BOX 931113 CLEVELAND,OH 44193	61-6033693	501(C)(3)	26,808		N/A	N/A	RESEARCH

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UNIVERSITY OF MAINE 5717 CORBETT HALL ORONO,ME 04469	01-6000769	501(C)(3)	19,655		N/A	N/A	RESEARCH
UNIVERSITY OF MARYLANDOFF OF THE COMPTRLLR COLLEGE PK MD COLLEGE PARK,MD 20742	52-6002033	501(C)(3)	532,890		N/A	N/A	RESEARCH

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UNIVERSITY OF MASSACHUSETTSBURSARS OFFICE 55 LAKE AVENUE NORTH WORCHESTER,MA 01605	04-3167352	501(C)(3)	331,979		N/A	N/A	RESEARCH
UNIVERSITY OF MEDICINE AND DENTISTRY OF NJ335 GEORGE ST NEW BRUNSWICK NJ 0890 NEW BRUNSWICK,NJ 089032685	22-1775306	501(C)(3)	2,032,746		N/A	N/A	RESEARCH

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UNIVERSITY OF MIAMI SPONSORED PROGRAMS PO BOX 405803 ATLANTA,GA 303845803	59-0624458	501(C)(3)	512,566		N/A	N/A	RESEARCH
UNIVERSITY OF MICHIGAN BOX 223131 PITTSBURGH,PA 15251	38-6006309	501(C)(3)	2,538,381		N/A	N/A	RESEARCH

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UNIVERSITY OF MINNESOTANW 5957 PO BOX 1450 MINNEAPOLIS,MN 55485	41-6007513	501(C)(3)	232,896		N/A	N/A	RESEARCH
UNIVERSITY OF MISSOURI P O BOX 807012 KANSAS CITY,MO 641807012	43-6003859	501(C)(3)	341,537		N/A	N/A	RESEARCH

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UNIVERSITY OF NEBRASKA MEDICAL CENTER UNIVERSITY OF NEBRASKA 982045 NEBRASKA MEDICAL CENTER OMAHA,NE 681982045	47-0049123	501(C)(3)	12,323		N/A	N/A	RESEARCH
UNIVERSITY OF NEW HAMPSHIRESERVICE BUILDING ROOM 109 DURHAM,NH 03824	02-6000937	501(C)(3)	22,643		N/A	N/A	RESEARCH

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UNIVERSITY OF NEW MEXICO1 UNIV OF NEW MEXICO ALBUQUERQUE NM ALBUQUERQUE,NM 871313106	85-6000642	501(C)(3)	110,278		N/A	N/A	RESEARCH
UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILLCb 1260 104 Airport Rd CHAPEL HILL CHAPEL HILL,NC 27599	56-6001393	501(C)(3)	743,618		N/A	N/A	RESEARCH

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UNIVERSITY OF PENNSYLVANIA3451 WALNUT ST PHILADELPHIA PA 1910 PHILADELPHIA,PA 191046205	23-1352685	501(C)(3)	850,406		N/A	N/A	RESEARCH
UNIVERSITY OF PITTSBURGHPO BOX 371220 PITTSBURGH,PA 15251	25-0965591	501(C)(3)	119,118		N/A	N/A	RESEARCH

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UNIVERSITY OF ROCHESTER910 GENESEE ST ROCHESTER, NY 146113847	16-0743209	501(C)(3)	439,308		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTH FLORIDAPO BOX 864568 RESEARCH PROJECTS RECEIVABLES ORLANDO, FL 328864568	59-3102112	501(C)(3)	297,249		N/A	N/A	RESEARCH

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UNIVERSITY OF SOUTHERN CALIFORNIA SPONSORED PROJ ACCNTG LOS ANGELES C LOS ANGELES,CA 90074	95-1642394	501(C)(3)	389,528		N/A	N/A	RESEARCH
UNIVERSITY OF TENNESSEEBURSARS OFFICE 210 STUDENT SERV BLDG KNOXVILLE,TN 37996	62-6001636	501(C)(3)	41,123		N/A	N/A	RESEARCH

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UNIVERSITY OF TEXAS AMEGY BANK OF TEXAS PO BOX 4786-750 HOUSTON,TX 77210	74-6000949	501(C)(3)	257,067		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS AT AUSTINPOBOX 7159 AUSTIN,TX 787137159	74-6000203	501(C)(3)	426,169		N/A	N/A	RESEARCH

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UNIVERSITY OF TEXAS AT DALLAS800 W CAMPBELL RD RICHARDSON,TX 75080	75-1305566	501(C)(3)	30,737		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERNGRANTS ACCTING PO BOX 841765 DALLAS,TX 75284	75-6002868	501(C)(3)	140,775		N/A	N/A	RESEARCH

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UNIVERSITY OF UTAH30 N 1900 EAST 5C124 SOM SALT LAKE CITY,UT 84132	87-6000525	501(C)(3)	395,458		N/A	N/A	RESEARCH
UNIVERSITY OF VERMONT AND STATEPO BOX 1389 WILLISTON,VT 054951389	03-0179440	501(C)(3)	27,960		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE VA 22 CHARLOTTESVILLE,VA 229044195	54-6046419	501(C)(3)	284,320		N/A	N/A	RESEARCH
UNIVERSITY OF WASHINGTON3917 UNIVER WAY NE SEATTLE WA 98105 SEATTLE,WA 981056692	91-6001537	501(C)(3)	538,634		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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VANDERBILT UNIVERSITY 2301 Vanderbilt Pl NASHVILLE,TN 37235	62-0476822	501(C)(3)	310,741		N/A	N/A	RESEARCH
VIRGINIA COMMONWEALTH UNIVERSITYPO BOX 843039 DIRECTOR GRANTS CONTRACTS RICHMOND,VA 23284	54-6001758	501(C)(3)	30,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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VISITING NURSE SERVICE OF NEW YORK5 PENN PLAZA 12TH FLOOR NEW YORK, NY 10001	13-3189926	501(C)(3)	322,734		N/A	N/A	RESEARCH
WAKE FOREST UNIVERSITY SCHOOL OFHEALTH SCIENCES CONTROLLER S OFFICE MEDICAL CENTER WINSTON SALEM, NC 27157	56-0532138	501(C)(3)	39,137		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WASHINGTON UNIVERSITY ST LOUIS700 ROSEDALE AVE CAMPUS ST LOUIS MO ST LOUIS,MO 631121408	43-0653611	501(C)(3)	71,119		N/A	N/A	RESEARCH
WEILL MED COLLEGE OF CORNELL UNIV1300 YORK AVENUE BOX 288 NEW YORK,NY 10001	13-1623978	501(C)(3)	23,186		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WEST HARLEM ENVIRONMENTAL ACTION INC1854 AMSTERDAM AVENUE 2ND FLOOR NY,NY 10031	13-3800068	501(C)(3)	108,798		N/A	N/A	RESEARCH
WEST PENN ALLEGHENY HEALTH SYSTEM2 ALLEGHENY CTR 11TH FL PITTSBURGH PITTSBURGH,PA 15212	25-1320493	501(C)(3)	13,691		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WESTERN ENVIRONMENTAL TECHNOLOGY LABS16326 COLLECTIONS CTR DR CHICAGO IL CHICAGO,IL 60693	93-1072453	501(C)(3)	107,024		N/A	N/A	RESEARCH
WOODROW WILSON INTERNATIONAL CENTER FORFOR SCHOLARS 1300 PENNSYLVANIA AVE NW WASHINGTON,DC 20004	52-1067541	501(C)(3)	5,973		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
WOODS HOLE OCEANOGRAPHIC INSTITUTIONMAIL STOP 8 ATTN SUSAN HANDWORK WOODS HOLE,MA 02543	04-2105850	501(C)(3)	212,681		N/A	N/A	RESEARCH
WOODS HOLE RESEARCH CENTER149 WOODS HOLE ROAD FALMOUTH,MA 02540	04-3005094	501(C)(3)	48,166		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YALE UNIVERSITYADMIN PO BOX 1873 NEW HAVEN CT 0650 NEW HAVEN,CT 065081873	06-0646973	501(C)(3)	2,259,504		N/A	N/A	RESEARCH
YESHIVA UNIVERSITYOF YESHIVA UNIV JACK AND PEARL RESNICK CAMPUS BELFE BRONX,NY 10461	13-1624225	501(C)(3)	1,504,783		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY101 S INDEP MALL EAST PHILADELPHIA PHILADELPHIA, PA 191062517	23-7479280	501(C)(3)	18,000		N/A	N/A	FINANCIAL AID
COLUMBIA COMMUNITY IMPACT2980 BRDWAY105 EARL HALL NEW YORK NEW YORK, NY 10027	13-3386904	501(C)(3)	60,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Adults and Children in Trust Childrens' Quest Fin1047 Amsterdam Avenue New York, NY 10025	13-1623934	501(C)(3)	7,000		N/A	N/A	FINANCIAL AID
Alexander Robertson School 3 West 95th Street New York, NY 10025	13-1760095	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Behind the Book Inc356 West 123rd Street New York, NY 10025	32-0086097	501(C)(3)	7,500		N/A	N/A	PROGRAM SVCS
Broadway Community Inc 601 West 114th Street New York, NY 10025	13-3652817	501(C)(3)	12,162		N/A	N/A	FOOD SUPPLIES

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
Broadway Presbyterian Nursery School601 West 114th Street New York, NY 10025	13-1623916	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID
Cathedral Community Cares of St John the Divine -1047 Amsterdam Avenue New York, NY 10025	13-1623934	501(C)(3)	8,000		N/A	N/A	FOOD AND EQUIP

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Children's Learning Center of Morningside Heights90 La Salle Street New York, NY 10025	13-4111840	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID
Doing Art Together Inc127 West 127th Street 1st floor New York, NY 10027	13-3363579	501(C)(3)	5,500		N/A	N/A	INTERNSHIP

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Figure Skating In Harlem361 West 125th Street 2nd floor New York, NY 10027	13-3945168	501(C)(3)	6,500		N/A	N/A	PROGRAM SVCS
Graham Windham- Beacon Center Youth Literacy Progr 33 Irving Place 7th Floor New York, NY 10003	13-2926426	501(C)(3)	6,000		N/A	N/A	PROGRAM SVCS

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Hale House Center Inc152 West 122nd Street New York, NY 10027	13-2700687	501(C)(3)	10,000		N/A	N/A	PROGRAM SVCS
Harlem Academy1330 Fifth Avenue New York, NY 10026	56-2454573	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Purple Circle Day Care251 West 100th Street New York, NY 10022	13-3073319	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID
Red Balloon Day Care Center Inc560 Riverside Drive New York, NY 10027	13-2772534	501(C)(3)	10,000		N/A	N/A	FINANCIAL AID

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Rev Linnette C Williamson Memorial Park Associat141 West 139th Street C44 New York, NY 10030	13-3861293	501(C)(3)	6,000		N/A	N/A	PROGRAM SVCS
St Mary's Episcopal Church Soup Kitchen and Food521 West 126th Street New York, NY 10027	13-1624179	501(C)(3)	6,000		N/A	N/A	FOOD SUPPLIES

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Top Honors IncPO Box 7473 New York, NY 10023	75-3062601	501(C)(3)	10,000		N/A	N/A	PROGRAM SVCS
Uptown Inner City League Inc3333 Broadway Tower 1E 13K New York, NY 10031	13-3823616	501(C)(3)	7,214		N/A	N/A	TRANSPORTATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Veritas Therapeutic Community Inc138-02 Queens Blvd Briarwood, NY 11435	13-3082021	501(C)(3)	8,000		N/A	N/A	PROGRAM SVCS
Wendy Hilliard Foundation 550 West 155th Street New York, NY 10025	13-3879321	501(C)(3)	10,000		N/A	N/A	PROGRAM SVCS

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West Side Campaign Against Hunger263 West 86th Street New York, NY 10024	71-0908184	501(C)(3)	10,000		N/A	N/A	FOOD SUPPLIES
REID HALL INC205 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	13-1611126	501(C)(3)	344,485		N/A	N/A	CAPITAL CONTR

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COLUMBIA OPHTHALMOLOGY CONSULTANTS INC635 WEST 165TH STREET NEW YORK, NY 10032	13-3908637	501(C)(3)	1,316,111		N/A	N/A	CAPITAL CONTR
COLUMBIA UNIVERSITY HEALTH CARE INC630 WEST 168TH STREET NEW YORK, NY 10032	13-3948652	501(C)(3)	3,088,530		N/A	N/A	CAPITAL CONTR

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COLUMBIA UNIVERSITY PRESS61 WEST 62ND STREET 3RD FL NEW YORK, NY 10023	13-1623968	501(C)(3)	1,000,000		N/A	N/A	CAPITAL CONTR

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☑ First-class or charter travel ☑ Housing allowance or residence for personal use ☑ Travel for companions ☐ Payments for business use of personal residence ☑ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☑ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☑ Compensation committee ☐ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☑ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**	Yes	
b	Any related organization?	**5b**		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**	Yes	
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**	Yes	
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**	Yes	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation reported as deferred in prior Form 990
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Identifier	Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION		NOTES TO PART I, LINE 1A FIRST CLASS OR CHARTER TRAVEL IN CERTAIN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS WHEN THE TRAVEL IS SUBSTANTIATED AS REASONABLE EXPENSES TO SUPPORT THE MISSION OF THE UNIVERSITY ANY FIRST CLASS EXCEPTIONS TO THE UNIVERSITY'S POLICY ARE SUBJECT TO REVIEW AND APPROVAL TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR INAUGURATIONS IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAID CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THOSE AMOUNTS THE TOTAL AMOUNT FOR PREMIUMS, FEES AND THE TAXES THEREON $29,312 IS SHOWN IN COLUMN (B)(III) AS OTHER REPORTABLE COMPENSATION HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE THE PRESIDENT'S HOUSING HAS BEEN VALUED AT $352,553 AND IS REPORTED AS A NON-TAXABLE BENEFIT THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES $4,307 IMPUTED TO DR GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)) NOTE TO PART I, LINE 4B CONSISTENT WITH THE 990 REPORTING REQUIREMENTS THE TOTAL COMPENSATION SHOWN PART II, COLUMN (E) INCLUDES SOME DOUBLE REPORTING THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD WAS $2,155,495 (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) THE ADDITIONAL AMOUNT SHOWN IN COLUMN E INCLUDES PREVIOUSLY REPORTED DEFERRED COMPENSATION THAT WAS VESTED AND PAID DURING THE REPORTING PERIOD DEFERRED COMPENSATION INCLUDES AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES WHICH ARE SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE TO THE UNIVERSITY NOTE TO PART I, LINE 5A DR DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR NOTE TO PART I, LINE 7 NOTE TO N P NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR NARVEKAR AND MR HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN B(II) AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN C THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II THEREFORE, THE NUMBER IN COLUMN E REPRESENTS SOME DOUBLE REPORTING THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) IS $3,370,051 FOR MR NARVEKAR AND $3,040,911 FOR MR HOLLAND NOTE TO PROVOST BRINKLEY'S COMPENSATION PROFESSOR BRINKLEY STEPPED DOWN AS PROVOST ON AUGUST 30, 2010 THE AMOUNT SHOWN REFLECTS COMPENSATION PAID TO HIM AS CHAIR PROFESSOR OF HISTORY NOTE TO DR GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO PROVIDE DR GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD ($456,000) ARE INCLUDED AS DEFERRED COMPENSATION NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN DR FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE NOTE TO DR MOSES', DR SMITH'S, DR BACHA'S, & DR LEON'S COMPENSATION THE COMPENSATION OF DR MOSES, DR SMITH, DR BACHA, AND DR LEON IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES NOTE ON TRUSTEES TRUSTEES' TIME DEVOTED TO POSITION (3 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES MAY DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
LEE C BOLLINGER	(i)	1,012,707	405,250	1,593,281	25,745	378,679	3,415,662	1,260,167
	(ii)	0	0	0	0	0	0	0
JANE E BOOTH	(i)	549,960	0		25,745	19,086	594,791	0
	(ii)	0	0	0	0	0	0	0
JOHN COATSWORTH	(i)	617,813	6,200	41,160	25,745	18,009	708,927	0
	(ii)	0	0	0	0	0	0	0
JEROME DAVIS	(i)	324,108	0		25,745	13,571	363,424	0
	(ii)	0	0	0	0	0	0	0
NICHOLAS B DIRKS	(i)	487,260	4,900	10	38,245	36,270	566,685	0
	(ii)	0	0	0	0	0	0	0
KENNETH A FORDE MD	(i)	0	0	789,000		2,592	791,592	0
	(ii)	0	0	0	0	0	0	0
DR LEE GOLDMAN MD	(i)	1,092,668	9,645	73,907	481,745	7,302	1,665,267	0
	(ii)	0	0	0	0	0	0	0
PETER HOLLAND	(i)	760,102	2,269,040		1,980,358	37,621	5,047,121	2,006,210
	(ii)	0	0	0	0	0	0	0
JOSEPH A IENUSO	(i)	420,520	4,287	10	25,745	45,353	495,915	0
	(ii)	0	0	0	0	0	0	0
ROBERT KASDIN	(i)	716,498	0		25,745	30,394	772,637	0
	(ii)	0	0	0	0	0	0	0
NIRMAL NARVEKAR	(i)	847,887	2,524,706		2,200,593	29,127	5,602,313	2,232,262
	(ii)	0	0	0	0	0	0	0
ANNE R SULLIVAN	(i)	489,858	4,675		25,745	42,513	562,791	0
	(ii)	0	0	0	0	0	0	0
FREDERICK M VAN SICKLE	(i)	511,468	0		25,745	33,963	571,176	0
	(ii)	0	0	0	0	0	0	0
DAVID N SILVERS	(i)	2,996,436	2,319,889	0	30,173	9,451	5,355,949	0
	(ii)	0	0	0	0	0	0	0
JEFFREY W MOSES	(i)	3,084,928	0	0	29,798	47,029	3,161,755	0
	(ii)	0	0	0	0	0	0	0
CRAIG R SMITH	(i)	2,076,573	0	0	34,668	20,034	2,131,275	0
	(ii)	0	0	0	0	0	0	0
EMILE BACHA	(i)	1,843,205	0	0	27,856	53,708	1,924,769	0
	(ii)	0	0	0	0	0	0	0
MARTIN B LEON	(i)	1,609,928	0	0	29,798	29,626	1,669,352	0
	(ii)	0	0	0	0	0	0	0
ALAN BRINKLEY	(i)	395,949	0	40,000	38,245	28,132	502,326	0
	(ii)	0	0	0	0	0	0	0
SUSAN K FEAGIN	(i)	522,474	5,202	0	25,745	9,042	562,463	0
	(ii)	0	0	0	0	0	0	0

Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased Yes	(g) Defeased No	(h) On behalf of issuer Yes	(h) On behalf of issuer No	(i) Pool financing Yes	(i) Pool financing No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS	X			X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2004A	14-6000293	64983TSW4	06-08-2004	75,547,466	REFUND 1994A BONDS		X		X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A	14-6000293	64983QUE7	01-18-2006	239,661,669	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired	24,665,000	62,550,000	35,600,000	34,370,000
2	Amount of bonds legally defeased	63,120,000	0	0	0
3	Total proceeds of issue	123,718,793	75,547,466	159,406,375	246,367,334
4	Gross proceeds in reserve funds	0	0	0	0
5	Capitalized interest from proceeds	0	0	0	0
6	Proceeds in refunding escrows	0	0	0	0
7	Issuance costs from proceeds	802,880	0	1,020,611	1,516,885
8	Credit enhancement from proceeds	0	0	0	0
9	Working capital expenditures from proceeds	0	0	0	0
10	Capital expenditures from proceeds	122,097,219	0	157,343,063	243,286,427
11	Other spent proceeds	818,694	75,547,466	1,042,701	1,564,052
12	Other unspent proceeds	0	0	0	0
13	Year of substantial completion	2004		2007	2009

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
14	Were the bonds issued as part of a current refunding issue?		X	X			X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X				X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X				X		X	

Part III Private Business Use *(Continued)*

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X				X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X				X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X				X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X				X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0%		0%		0 00000%		0%	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 00000%		%		0 00000%		0%	
6	Total of lines 4 and 5	0 00000%		%		0 00000%		0%	
7	Does the bond issue meet the private security or payment test?		X				X		X
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X				X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of	%		%		%		%	
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X				X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X				X		X	

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X			X		X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								

Part IV Arbitrage *(Continued)*

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

Identifier	Return Reference	Explanation
SCHEDULE K, PART II, LINE 3	0	DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS -- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A BOND ISSUE -- SCHEDULE K, PART II, LINE 13 YEAR OF SUBSTANTIAL COMPLETION, LINE 13, HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS --
SCHEDUEL K, PART III, LINES 4-5	0	FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2011A AND SERIES 2012A ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K --
SCHEDULE K, PART I, COLUMN (G)	0	COLUMN (G) OF PART I HAS BEEN ANSWERED YES FOR THE 2003A&B AND 2008A BOND ISSUES THE 2003A BOND ISSUE WAS FULLY DEFEASED AND THE 2008A BOND ISSUE WAS PARTIALLY DEFEASED --
SCHEDULE K, PART IV, LINE 2	0	THE LATEST ARBITRAGE COMPUTATION DATE FOR DASNY 2003A&B, 2004A, 2004B&C, 2006A, 2006B, 2008A, 2009A, 2011A AND 2012A WAS DECEMBER 31, 2012

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Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased Yes	(g) Defeased No	(h) On behalf of issuer Yes	(h) On behalf of issuer No	(i) Pool financing Yes	(i) Pool financing No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006B	14-6000293	64983QUE7	01-18-2006	167,228,781	REFUND 1998A, 2000A & 2002B BONDS		X		X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2008A	14-6000293	649903ZN4	04-23-2008	293,606,826	FUND NEW QUALIFIED PROJECTS	X			X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A	14-6000293	649905DF0	05-14-2009	117,000,000	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A	14-6000293	649906AV6	02-16-2011	312,102,192	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired	33,920,000	0	0	0
2	Amount of bonds legally defeased	0	82,715,000	0	0
3	Total proceeds of issue	167,228,781	295,785,662	117,067,254	312,102,192
4	Gross proceeds in reserve funds	0	0	0	0
5	Capitalized interest from proceeds	0	0	0	0
6	Proceeds in refunding escrows	0	0	0	0
7	Issuance costs from proceeds	1,095,577	1,641,578	823,388	1,678,394
8	Credit enhancement from proceeds	0	0	0	0
9	Working capital expenditures from proceeds	0	0	0	0
10	Capital expenditures from proceeds	0	292,178,836	115,269,253	307,849,790
11	Other spent proceeds	166,133,203	1,965,248	974,613	2,574,008
12	Other unspent proceeds	0	0	0	0
13	Year of substantial completion	2009	2009	2009	

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?	X			X		X		X
16	Has the final allocation of proceeds been made?	X		X		X			X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use *(Continued)*

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0%		0%		0%		0%	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0%		0%		0%		%	
6	Total of lines 4 and 5	0%		0%		0%		%	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of	%		%		%		%	
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X	X			X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								

Part IV Arbitrage *(Continued)*

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

Identifier	Return Reference	Explanation

Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased Yes	(g) Defeased No	(h) On behalf of issuer Yes	(h) On behalf of issuer No	(i) Pool financing Yes	(i) Pool financing No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A	14-6000293	649906F33	06-07-2012	174,118,498	REFUNDING AND FUND NEW PROJECTS		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired	0			
2	Amount of bonds legally defeased	0			
3	Total proceeds of issue	174,118,498			
4	Gross proceeds in reserve funds	0			
5	Capitalized interest from proceeds	0			
6	Proceeds in refunding escrows	88,304,928			
7	Issuance costs from proceeds	738,570			
8	Credit enhancement from proceeds	0			
9	Working capital expenditures from proceeds	0			
10	Capital expenditures from proceeds	85,000,000			
11	Other spent proceeds	75,000			
12	Other unspent proceeds	0			
13	Year of substantial completion				

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
14	Were the bonds issued as part of a current refunding issue?		X						
15	Were the bonds issued as part of an advance refunding issue?	X							
16	Has the final allocation of proceeds been made?		X						
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X							

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X							

Part III **Private Business Use** *(Continued)*

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X							
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c	Are there any research agreements that may result in private business use of bond-financed property?	X							
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X							
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		%		%		%	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	%		%		%		%	
6	Total of lines 4 and 5	%		%		%		%	
7	Does the bond issue meet the private security or payment test?		X						
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of	%		%		%		%	
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X						
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X							

Part IV **Arbitrage**

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X						
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X						
b	Exception to rebate?		X						
c	No rebate due?	X							
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X						
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
b	Name of provider	0							
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								

Part IV Arbitrage *(Continued)*

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b	Name of provider	0							
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X						
7	Has the organization established written procedures to monitor the requirements of section 148?	X							

Part V Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X							

Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

Identifier	Return Reference	Explanation

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Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I **Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected? Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . ▶ $

Part II **Loans to and/or From Interested Persons.**
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization? To	From	(e) Original principal amount	(f) Balance due	(g) In default? Yes	No	(h) Approved by board or committee? Yes	No	(i) Written agreement? Yes	No
(1) JOHN COATSWORTH	OFFICER	HOUSING		X	1,475,000	1,688,419		No	Yes		Yes	
Total		▶ $				1,688,419						

Part III **Grants or Assistance Benefitting Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No
See Additional Data Table					

Part V Supplemental Information
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
NOTES TO SCHEDULE L		EXCEPT FOR THE AMOUNTS REPORTED ON LINES 4,7, AND 10, ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE L WERE EMPLOYEES OF THE UNIVERSITY DURING THE REPORTING PERIOD THEIR COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE L, PART IV WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION SCHEDULE L, PART II THE LOAN REPORTED IN PART II IS INTEREST BEARING AND SECURED BY AN INTEREST IN A PRIMARY RESIDENCE

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	**(b)** Relationship between interested person and the organization	**(c)** Amount of transaction	**(d)** Description of transaction	**(e)** Sharing of organization's revenues?	
				Yes	**No**
(1) EVANGELINE MORPHOS	SPOUSE OF FORMER OFFICER	116,400	EMPLOYMENT		No
(2) JANAKI BAKHLE	SPOUSE OF KEY EMPLOYEE	138,396	EMPLOYMENT		No
(3) JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	93,196	EMPLOYMENT		No
(4) CITIGROUP	TRUSTEE IS OFFICER	374,431	RENT/INTEREST PMTS TO UNIV		No
(5) CITIGROUP	TRUSTEE IS OFFICER	110,474	CARD PAYMENTS/ACCOUNT FEES		No
(6) GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	7,476,003	CONTRACTS, FEES & COMMISSIONS		No
(7) GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	2,164,768	DISTRIBUTIONS AND INTEREST		No
(8) APPLE COMPUTER	TRUSTEE IS DIRECTOR	2,593,117	PURCHASE OF GOODS		No
(9) BAIN CAPITAL AFFILIATES	TRUSTEE IS OFFICER/DIR	4,581,420	INVESTMENT FEES		No
(10) BAIN CAPITAL AFFILIATES	TRUSTEE IS OFFICER/DIR	52,477,485	INVESTMENT DISTRIBUTIONS		No

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SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	9	0	
2 Art—Historical treasures .				
3 Art—Fractional interests . .				
4 Books and publications . .				
5 Clothing and household goods				
6 Cars and other vehicles . .				
7 Boats and planes				
8 Intellectual property . . .				
9 Securities—Publicly traded .				
10 Securities—Closely held stock .				
11 Securities—Partnership, LLC, or trust interests	X	436	40,834,267	COST/SALES PRICE
12 Securities—Miscellaneous . .				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other . . .				
15 Real estate—Residential .				
16 Real estate—Commercial . .	X	1	0	
17 Real estate—Other . . .				
18 Collectibles	X	2	0	
19 Food inventory . . .	X	12	0	
20 Drugs and medical supplies .				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens . .				
24 Archeological artifacts . . .				
25 Other ▶ (EQUIPMENT)	X	3	0	0
26 Other ▶ (PERSONAL PAPERS)	X	3	0	0
27 Other ▶ (BOOKS)	X	2	0	0
28 Other ▶ (HOUSEHOLD GOODS)	X	1	0	0
Other ▶ (SOFTWARE)	X	4	0	0

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . **29** 15

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	**30a**		No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	**31**	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	**32a**	Yes	
b If "Yes," describe in Part II			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I LINE 33	UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B)	THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization	Employer identification number
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	13-5598093

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT V OTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 R EPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE FIVE TRUSTEES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L, NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED F ROM THE UNIVERSITY AND RECEIVED PREVIOUSLY-ACCRUED COMPENSATION DURING THE REPORTING PERIO D BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT -- - ----------------- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE N UMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALU MNI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OT HER PROGRAMS --- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH BRAZIL CHILE CHINA CONGO ETHIOPIA FRANCE GERMA NY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LESOTH O MALAWI MALI MOZAMBIQUE NIGERIA RWANDA SENEGAL SOUTH AFRICA SWAZILAND TAJIKISTAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) ZAMBIA ----------- ---- --- FORM 990, PART V, LINES 7G AND 7H CONTR IBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2013, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLAN ES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS --- -------- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIA NS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIO NSHIP ------------------------------------- ------------------------ FORM 990, PART VI, LIN E 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRI SED OF MEMBERS OF TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, T HE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 7, 2014 CHANGES MADE SUBSEQUENT TO THE MAY 7, 2014 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE CHANGES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING ------------- -- FOR M 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF I NTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOAR D CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IN IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HER SELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COUL D GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICER S AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INT EREST," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED THROUGH A FORMAL ADMINISTRATIVE PROCESS ANY DISCLOSURES T HAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPR OPRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE BOA RD OF TRUSTEES -------- -- FORM 990, PA RT VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DE SCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATIO N COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL B OARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPEN SATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICE RS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY D ATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIO

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		NS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYE ES, THE COMPENSATION COMMITTEE HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANT S IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PR ESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS ALSO REVIEWED AND APPROVED BY A COMPENSATI ON COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION C OMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE" OR "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITH IN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53 4958-6 OF THE INTERNAL REVENUE CO DE IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION -- FORM 990, PART V I, QUESTION 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, F INANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW COLUMBIA EDU ---------------------------- -------------------------------

Identifier	Return Reference	Explanation
FORM 990, PART VII		COMPENSATION OF TRUSTEES NOTE TO JOSEPH GREENAWAY'S COMPENSATION JOSEPH GREENAWAY BECAME A TRUSTEE ON JUNE 1, 2012 HIS REPORTED COMPENSATION WAS PAID IN CONNECTION WITH HIS DUTIES AS AN ADJUNCT PROFESSOR AT THE UNIVERSITY IN THE SPRING 2012 SEMESTER, PRIOR TO BECOMING A TRUSTEE JUDGE GREENAWAY NO LONGER SERVES AS AN ADJUNCT PROFESSOR AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE NOTE TO RICHARD WITTEN'S COMPENSATION RICHARD WITTEN STEPPED DOWN AS A TRUSTEE ON SEPTEMBER 3, 2012 HIS REPORTED COMPENSATION WAS PAID IN CONNECTION WITH HIS DUTIES AS A NON-KEY/NON-OFFICER EMPLOYEE OF THE UNIVERSITY SUBSEQUENT TO HIS SERVICE AS A TRUSTEE, AND WAS APPROVED BY THE TRUSTEES COMPENSATION COMMITTEE IN THE MANNER DESCRIBED IN SCHEDULE O MR WITTEN RECEIVED NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE -- FORM 990, PART VIII DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES -- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES -- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES -- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNCTIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES --

Identifier	Return Reference	Explanation
FORM 990, PART X, LINE 7		LOANS RECEIVABLE FROM STUDENTS TOTAL $99,295,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL $16,728,336 THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 1 95% TO 6 5%, WITH MATURITY DATES RANGING FROM 2013 THROUGH 2042 THE OUTSTANDING BALANCES RANGE FROM $1,263 TO $2,585,629 AND A PORTION OF WHICH ARE SECURED BY A PRINCIPAL RESIDENCE. LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL $1,192,574 -- FORM 990, PART XI, LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCE CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY 10,198,236 PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (4,474,273) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS 45,027,996 OTHER NON-OPERATING ACTIVITIES 13,137,079 -------------- TOTAL OTHER CHANGES IN NET ASSETS 63,889,038 -- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF NON-EMPLOYEE TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR ---

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
2012
Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
See Additional Data Table							

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) No	(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership
See Additional Data Table												

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity? Yes	(i) No
See Additional Data Table									

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to related organization(s)	1b	Yes	
c Gift, grant, or capital contribution from related organization(s)	1c		No
d Loans or loan guarantees to or for related organization(s)	1d		No
e Loans or loan guarantees by related organization(s)	1e		No
f Dividends from related organization(s)	1f		No
g Sale of assets to related organization(s)	1g		No
h Purchase of assets from related organization(s)	1h		No
i Exchange of assets with related organization(s)	1i		No
j Lease of facilities, equipment, or other assets to related organization(s)	1j	Yes	
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l		No
m Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o Sharing of paid employees with related organization(s)	1o		No
p Reimbursement paid to related organization(s) for expenses	1p		No
q Reimbursement paid by related organization(s) for expenses	1q		No
r Other transfer of cash or property to related organization(s)	1r		No
s Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE R		COLUMBIA UNIVERSITY OWNS AN 81 57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW -- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS -- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES --- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I --- SCHEDULE R, PART I, COLUMN F CONSISTENT WITH CURRENT YEAR INSTRUCTIONS, THE DIRECT CONTROLLING ENTITY IS NOW NAMED AS COLUMBIA UNIVERSITY WHERE RELEVANT --- SCHEDULE R, PART III, COLUMN D CONSISTENT WITH THE CURRENT YEAR INSTRUCTIONS, COLUMBIA UNIVERSITY IS LISTED AS THE DIRECT CONTROLLING ENTITY BY VIRTUE OF ITS CAPITAL INTEREST IN THE PARTNERSHIP COLUMBIA UNIVERSITY IS NOT THE GENERAL PARTNER OR MANAGING PARTNER OF THESE PARTNERSHIPS AND DOES NOT EXERCISE CONTROL OVER THE INVESTMENT OR MANAGEMENT DECISIONS --- SCHEDULE R, PART IV, COLUMN D CONSISTENT WITH THE CURRENT YEAR INSTRUCTIONS, AND FOR THOSE ENTITIES IDENTIFIED AS INVESTMENTS IN THE PRIMARY ACTIVITY COLUMN, COLUMBIA UNIVERSITY IS LISTED AS THE DIRECT CONTROLLING ENTITY BY VIRTUE OF ITS STOCK OWNERSHIP IN THE CORPORATION AND DOES NOT EXERCISE CONTROL OVER THE INVESTMENT OR MANAGEMENT DECISIONS --- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2012 IS SET FORTH BELOW ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME $7,650,956 SHARE OF END-OF-YEAR (GROSS) ASSETS $148,265,874 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME $(48,680) SHARE OF END-OF-YEAR (GROSS) ASSETS $11,617,922 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS $37,083 SHARE OF END-OF-YEAR (GROSS) ASSETS $6,469,038 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME $56,645,643 SHARE OF END-OF-YEAR (GROSS) ASSETS $1,592,640,851 HARBOUR LITIGATION INVESTMENT OFFSHORE FUND SHARE OF TOTAL (GROSS) INCOME $0 SHARE OF END-OF-YEAR (GROSS) ASSETS $9,719,888 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS $(33,622) SHARE OF END-OF-YEAR (GROSS) ASSETS $2,135,823 --- SCHEDULE R, PART IV AS OF JUNE 30, 2013, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 49 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 3 POOLED INCOME FUNDS DOMICILED IN NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	COLUMBIA
CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	39,813,733	462,513,342	COLUMBIA
CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	32,573,900	0	COLUMBIA
CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	-2,027,637	26,317,224	COLUMBIA
CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093	INVESTMENTS	DE	-2,027,637	26,317,224	CIM V
CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM IX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901860	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM X LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901862	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901863	INVEST HOLDNG	DE	58,031	0	COLUMBIA
CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	1,182,127	35,156,984	COLUMBIA
CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM PARENT LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 13-5598093	INVESTMENTS	DE	0	0	CIM XIII LLC
CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901868	INVEST HOLDNG	DE	6,065,463	18,029,135	COLUMBIA
CIM XVI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3951901	INVEST HOLDNG	DE	2,232	0	COLUMBIA
CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	0	1,762,331	COLUMBIA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XIX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977503	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977505	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XXI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977507	INVEST HOLDNG	DE	0	0	COLUMBIA
DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	0	141,480,622	COLUMBIA
COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	478,050	1,079,847	COLUMBIA
COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	COLUMBIA
HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	0	COLUMBIA
CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	72,630	0	COLUMBIA
EI RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	9,383	COLUMBIA
MSPH ETHIOPIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	3,539,530	COLUMBIA
MSPH KENYA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	2,138,448	COLUMBIA
MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	443,944	COLUMBIA
MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	2,220,535	COLUMBIA
MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	150,721	COLUMBIA
MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	83,398	COLUMBIA
MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	770,013	COLUMBIA
MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	2,751,870	COLUMBIA
MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	132,042	COLUMBIA
MSPH KG 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	104,368	COLUMBIA

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) Disproprtionate allocations? No	(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner? Yes	(j) General or Managing Partner? No	(k) Percentage ownership
AP MC FUND I LP ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO,MI 107-0052 JA 98-0504300	INVESTMENTS	JA	COLUMBIA	excluded	7	5,113,891		No	0		No	66 478 %
AP RX FUND I LP STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO,MI 107-0052 JA 98-0515907	INVESTMENTS	JA	COLUMBIA	EXCLUDED	11	8,628,580		No	0		No	99 503 %
MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 500 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	COLUMBIA	EXCLUDED	15,490,051	28,736,838		No	0		No	98 121 %
PRIDES MONSTER FUND LP 200 HIGH STREET SUITE 700 BOSTON, MA 02110 27-0574628	INVESTMENTS	DE	COLUMBIA	EXCLUDED	0	3,560,023		No	0		No	100 000 %
EMPIRE INSTOPP I 6617 N SCOTTSDALE RD STE 101 SCOTTSDALE, AZ 85250 45-2787490	INVESTMENTS	AZ	COLUMBIA	EXCLUDED	13,396,240	38,239,926		No	0		No	99 206 %
WAYPOINT FUND I LP 1999 HARRISON STREET 22ND FLOOR OAKLAND, CA 94612 45-2787363	INVESTMENTS	CA	COLUMBIA	EXCLUDED	570,070	23,811,082		No	0		No	99 010 %
WC SELECT LP 3 BETHESDA METRO CTR STE 810 BETHESDA, MD 20814 20-5041324	INVESTMENTS	MD	COLUMBIA	EXCLUDED	11,252,672	0		No	0		No	0 %
GCM GROSVENOR BLUE LP ONE MADISON AVENUE NEW YORK, NY 10010 30-0773582	INVESTMENTS	DE	COLUMBIA	excluded	0	0		No	0		No	99 724 %
Q INDIA EQUITY FUND LIMITED 98-0494924	INVESTMENTS	MP	COLUMBIA	EXCLUDED	1,312,655	45,185,584		No	0		No	54 100 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	No
16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165	PHYS PRACTICE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997	NEW MEDIA	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF NEW JERSEY 630 WEST 168TH STREET NEW YORK, NY 10032 26-4364931	HEALTHCARE	NJ	COLUMBIA	C-CORP	3,689,317	925,259	100 000 %	Yes	
COLUMBIA INTERNATIONAL CENTER IN BEIJING TOWERA TSINGHUA SCIENCEPARK CHENGFURD, HAIDIAN 100084 CH	EDUCATION	CH	COLUMBIA	FOREIGN CORP	0	62,896	100 000 %	Yes	
COL GLOBAL CENTER EASTERN & SOUTH AFRICA PO BOX 51412 NAIROBI 00100 KE	RESEARCH	KE	COLUMBIA	LTD GUARANTEE	0	3,050,024	100 000 %	Yes	
ASM HUDSON RIVER FUND WALKER HOUSE 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	REG MUTUAL FUND	0	125,987,207	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND LP 1ST FLOOR DOREY COURT ADMIRAL PARK ST PETER PORT, GUERNSEY GY1 6HJ GK 98-0684876	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	0	15,233,735	75 030 %	Yes	
COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN ROAD FESTIVAL SQUARE EDINBURGH, SCOTLAND EH3 9BY UK	INVESTMENTS	UK	COLUMBIA	FOREIGN CORP	0	5,573,739	100 000 %	Yes	
FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HOUSE 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	39,813,733	462,513,342	100 000 %	Yes	
HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	-120,622	12,990,404	100 000 %	Yes	
NCH INVESTORS FUND (CU) CORP UGLAND S CHURCH ST PO BOX 309 GEORGE TOWN, GRAND CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	1,078,456	100 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	No
CHARITABLE REMAINDER TRUSTS (49)	N/A	NY	COLUMBIA	TRUST				Yes	
POOLED INCOME FUNDS (3)	N/A	NY	COLUMBIA	TRUST				Yes	
CENTERS FOR INTERNATIONAL PROG 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093	RESEARCH	DE	COLUMBIA	C-CORP	0	635,697		Yes	
GLOBAL HEALTH RESEARCH CNTR-CE 1255 AMSTERDAM AVENUE NEW YORK, NY 10027	RESEARCH	DE	COLUMBIA	C-CORP	0	345,922		Yes	

--> **Form 990, Schedule R, Part V - Transactions With Related Organizations**

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
GCM GROSVENOR BLUE LP	B	24,985,373	FMV
GCM GROSVENOR BLUE LP	S	4,765,755	FMV
EMPIRE INSTITUTIONAL OPPORTUNITY FUND I LP	S	39,915,565	FMV
MERIT ENERGY PARTNERS V LP	S	10,692,000	FMV
Q INDIA EQUITY FUND LIMITED	B	40,000,000	FMV
WAYPOINT FUND I LP	S	1,274,959	FMV
WC SELECT LP	S	54,069,354	FMV
CALUNIUS LITIGATION RISK FUND LP	B	10,578,520	FMV
COLUMBIAPATRON SCOTLAND LP	S	91,384	FMV
FPCM INFLATION LINKED OPPORTUNITIES LTD	S	75,000,000	FMV
HARBOUR LITIGATION INVESTMENT OFFSHORE FUND	B	7,083,524	FMV
HARBOUR LITIGATION INVESTMENT OFFSHORE FUND	S	989,255	FMV
REID HALL INC	B	344,485	FMV
COLUMBIA OPHTHALMOLOGY CONSULTANTS INC	B	1,316,111	FMV
COLUMBIA UNIVERSITY HEALTH CARE INC	B	3,088,530	FMV
COLUMBIA UNIVERSITY PRESS	B	1,000,000	FMV