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VECTOR FIELDS ON COMPLEX AND REAL MANIFOLDS

BY S. BOCHNER

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Previously, see [1]–[5], we have obtained statements linking Riemannian curvature to vector and tensor fields on a compact manifold, whether real or complex, and for the present some supplementary statements will be obtained in which curvature will not appear in the hypotheses.

1. On a compact complex V_{2k} , of real dimension $2k$, we consider a covariant vector field ξ_α , and a contravariant vector field η^α , both holomorphic, and we form the scalar contraction $\xi_\alpha \eta^\alpha$. It is again holomorphic, and if it is so on a compact space, then by the maximum modulus principle, it reduces to a constant

$$(1) \quad \xi_\alpha \eta^\alpha = c.$$

Thus for $k + 1$ vector fields

$$(2) \quad \xi_\alpha^{(j)}, \quad j = 1, \dots, k + 1$$

we obtain the system of relations

$$(3) \quad \xi_\alpha^{(j)} \eta^\alpha = c^j, \quad j = 1, \dots, k + 1$$

and from this we will deduce the following conclusion.

THEOREM 1. *If on a compact complex V_{2k} there exist $k + 1$ analytic vector fields (2) such that for no system of constants*

$$(4) \quad (c^1, \dots, c^{k+1}) \neq (0, \dots, 0)$$

the determinant

$$(5) \quad \begin{vmatrix} \xi_1^{(j)} & \dots & \xi_k^{(j)} & c^j \\ j=1, \dots, k+1 \end{vmatrix}$$

vanishes identically, then there exists on V_{2k} no contravariant analytic vector field η^α or tensor field $\eta^{\alpha_1 \dots \alpha_p}$ other than zero. In this statement, "covariant" and "contravariant" may be interchanged.

PROOF. If for a vector η^α we form the system (3) then, by our hypothesis, the constants c^j in it must all vanish. However our assumption also implies that the rank of the k by $k + 1$ matrix (2) must at some point have its maximal value k , and an analytic solution η^α of the system

$$(6) \quad \xi_\alpha^{(j)} \eta^\alpha = 0$$

must then be zero, as claimed. For tensor fields $\eta^{\alpha_1 \dots \alpha_p}$ we may now apply induction on p . Consider for instance a tensor field $\eta^{\alpha\beta}$. Each entity

$$\zeta^{(j)\beta} = \xi_\alpha^{(j)} \eta^{\alpha\beta}$$

is a contravariant vector field, and hence it vanishes identically by what we have just proved, but any solution $\eta^{\alpha\beta}$ of the system

$$\xi_{\alpha}^{(j)} \eta^{\alpha\beta} = 0, \quad j = 1, \dots, k+1$$

must vanish identically too.

2. The hypothesis of Theorem 1 may be replaced by an alternate one in which general constants no longer occur. By differentiation of (3) we obtain

$$\xi_{\alpha}^{(j)} \frac{\partial \eta^{\alpha}}{\partial z_{\beta}} + \frac{\partial \xi_{\alpha}^{(j)}}{\partial z_{\beta}} \eta^{\alpha} = 0 \quad \beta = 1, \dots, k; j = 1, \dots, k+1,$$

and if we consider this as a system of equations in the $k(k+1)$ variables

$$\eta^{\alpha}, \quad \frac{\partial \eta^{\alpha}}{\partial z_{\beta}}$$

then it gives rise to a certain determinant

$$(7) \quad D_k \left(\xi_{\alpha}^{(j)}, \frac{\partial \xi_{\alpha}^{(j)}}{\partial z_{\beta}} \right)$$

which is a polynomial in the quantities inside the brackets. Now, an alternate hypothesis for Theorem 1 would be that this quantity (9) shall not vanish identically on V_{2k} , and we note that this would be an invariantive hypothesis in the sense that, for any point P_0 , the non-vanishing of (9) is invariant with respect to a transformation of coordinates which leaves the point P_0 fixed. In fact, $D_k \neq 0$ at a point P_0 is a necessary and sufficient assumption for the conclusion that if for a system of power series

$$\eta^{\alpha} = a^{\alpha} + \sum_{\beta=1}^k a_{\beta}^{\alpha} z_{\beta} + (\text{higher powers})$$

with origin at P_0 we have

$$\xi_{\alpha}^{(j)} \eta^{\alpha} = c^j + (\text{non-linear terms})$$

then $a^{\alpha} = 0$, $a_{\beta}^{\alpha} = 0$.

3. For any $p \geq 1$, for any two analytic tensor fields $\xi_{\alpha_1 \dots \alpha_p}$, $\eta^{\alpha_1 \dots \alpha_p}$ we again have

$$\xi_{\alpha_1 \dots \alpha_p} \eta^{\alpha_1 \dots \alpha_p} = c;$$

and if the two tensor fields are skew symmetric then for $p = k$ they are determined by the one component corresponding to $\alpha_1 = 1, \dots, \alpha_k = k$, so that we then have

$$\xi_{1 \dots k} \eta^{1 \dots k} = c.$$

Any skew-tensor $\xi_{\alpha_1 \dots \alpha_k}$ determines a k -tuple Abelian integral of the first kind, and in keeping with the nomenclature of algebraic geometry we denote by p_a

(geometric genus) the number of linearly independent ones for constant complex coefficients. Now, for $p_0 \geq 2$ we have

$$\xi_{1 \dots k}^{(j)} \eta^{1 \dots k} = c^j, \quad j = 1, 2,$$

and this implies

$$(8) \quad \eta^{1 \dots k} = 0.$$

We are thus led to the following theorem which for algebraic surfaces has been given by Picard [7].

THEOREM 1. *If the geometric genus of V_{2k} exceeds one, $p_0 \geq 2$, then the total group of complex homeomorphisms of V_{2k} cannot be transitive, not even locally so in the neighborhood of any point.*

PROOF. In fact the total group of such homeomorphisms is a complex Lie group (compare the discussion in [3]), and if it is locally transitive in the neighborhood of some point then Lie's own local theory implies that there exist k contravariant analytic vector fields $\eta_{(j)}^\alpha$; $j = 1, \dots, k$, such that in the neighborhood of that point the determinant

$$|\eta_{(j)}^\alpha| \quad \alpha, j = 1, \dots, k$$

is $\neq 0$. However this determinant has the same transformation law as a quantity $\eta^{1 \dots k}$ which defines a skew symmetric tensor, and by (8) it must therefore vanish, which is contradictory.

Another obvious theorem (compare Picard l.c. and Painlevé [8]) is as follows.

THEOREM 3. *If $p_0 \geq 1$, and if there exists a one-parameter group of complex homeomorphisms (at least) then there must exist a skew symmetric analytic tensor $\zeta_{\alpha_1 \dots \alpha_{k-1}}$ which does not vanish identically.*

In fact, if $\xi_{\alpha_1 \dots \alpha_k}$ is skew and $\neq 0$ and $\eta^\alpha \neq 0$, then

$$\zeta_{\alpha_1 \dots \alpha_{k-1}} = \xi_{\alpha_1 \dots \alpha_k} \eta^{\alpha_k}$$

is $\neq 0$.

4. We will now describe a connection with curvature by means of a metric which was first introduced in [2], and then utilized in [6] for the evaluation of the Euler-Poincaré characteristic.

If there are given r covariant analytic vector fields

$$(9) \quad \xi_\alpha^{(j)}, \quad j = 1, \dots, r,$$

with $r \geq k + 1$ (we will later explain why not $r \geq k$), and if we take any numerical positive definite Hermitian matrix A_{jl} , for instance the unit matrix $A_{jl} = \delta_{jl}$, then the expression

$$(10) \quad g_{\alpha\beta} = \sum_{j,l} A_{jl} \xi_\alpha^{(j)} \overline{\xi_\beta^{(l)}}$$

defines a positive semi-definite Hermitian tensor field on V_{2k} . If we assume that the rank of the matrix (9) has its maximal value k at all points *without exception*

then (10) is positive definite and may be used as a metric tensor. Next, if each vector field (9) satisfies the relation

$$(11) \quad \frac{\partial \xi_a^{(j)}}{\partial z_\beta} = \frac{\partial \xi_\beta^{(j)}}{\partial z_a},$$

that is if it gives rise to a simple Abelian integral of the first kind

$$(12) \quad w_j = \int^* \xi_a^{(j)} dz_a,$$

then our metric tensor enjoys the crucial Kaehler property

$$(13) \quad \frac{\partial g_{a\beta^*}}{\partial z_\gamma} = \frac{\partial g_{\gamma\beta^*}}{\partial z_a}$$

and it derives from a locally Euclidean imbedding of our manifold V_{2k} into the Abelian variety of the coordinates (12) with the line element $\sum A_{ji} dw_j d\bar{w}_i$. We have shown in [2] that the Ricci tensor is then non-positive, having the value

$$(14) \quad -R_{a\beta^*} = -\sum_{j\ell} A_{j\ell} g^{\gamma\beta^*} \xi_{a,\gamma}^{(j)} \overline{\xi_{\ell,\beta^*}^{(\ell)}},$$

where $g^{\gamma\beta^*}$ is the inverse to (10) and $\xi_{a,\gamma}^{(j)}$ is the covariant derivative of $\xi_a^{(j)}$.

Now, we have proved in [1] that if on a compact complex V_{2k} with an Hermite-Kaehler metric the Ricci curvature is everywhere non-positive and at some point strictly negative then no contravariant analytic vector or tensor field may exist, which is the same conclusion as in Theorem 1. Thus, to a certain extent at least, our present Theorem 1 may be viewed as a particular case of a more extensive theorem in which a curvature hypothesis is the decisive assumption on which everything hinges.

5. On the face of it we might have admitted the possibility $r = k$ in the definition of (10) but this would have been illusory as the following theorem will imply.

THEOREM 4. *For $r \geq k$, if there are given r vector fields (9) which are linearly independent for constant complex coefficients; if the matrix (9) has everywhere rank k and if (11) holds; then for $r \geq k + 1$ the Ricci tensor (14) is not identically zero for any A_{ji} , but for $r = k$ it is identically zero for every A_{ji} and V_{2k} is topologically a torus.*

PROOF. (14) vanishes at a point if we there have

$$(15) \quad \xi_{a,\gamma}^{(j)} = 0.$$

For $r \geq k + 1$ we pick a point P_0 and form a linear combination

$$(16) \quad \xi_a = \sum_{j=1}^r a_j \xi_a^{(j)}$$

with constants a_j not all zero, such that

$$\xi_a(P_0) = 0.$$

Now (15) implies $\xi_{\alpha,\gamma} = 0$, that is

$$(17) \quad \frac{\partial \xi_\alpha}{\partial z_\beta} = \xi_\gamma \Gamma_{\alpha\beta}^\gamma,$$

and to this we may add the relations

$$(18) \quad \frac{\partial \xi_\alpha}{\partial \bar{z}_\beta} = 0.$$

But (17) and (18) taken together form a system of differential equations to which the classical uniqueness theorem applies and thus (16) would vanish identically, contrary to assumption.

For $r = k$, since, by assumption,

$$(19) \quad \det |\xi_\alpha^{(j)}| \neq 0,$$

the following situation arises. The Abelian variety W as defined by (12) is topologically a torus and its complex analytic universal covering space is the whole open space. Also, V_{2k} maps into W locally topologically so that V_{2k} in its turn is an unbranched covering of W . Therefore V_{2k} itself is also a torus which is holomorphically covered by the total open space, and our assertion that its Ricci tensor (14) vanishes identically is an immediate consequence of the following lemma.

Every one-one analytic transformation

$$(20) \quad w_j = f_j(z_1, \dots, z_k), \quad j = 1, \dots, k$$

of E_{2k} into itself which transforms a fundamental domain of multiperiodicity into another must be a linear transformation.

In fact, the functions (20) are such that for a period $(\omega_1, \dots, \omega_k)$ we have

$$(21) \quad f_j(z_1 + \omega_1, \dots, z_k + \omega_k) = f_j(z_1, \dots, z_k) + \text{const.}$$

Therefore each partial derivative $\partial w_j / \partial z_i$ is multiperiodic in the ordinary sense and hence a constant.

6. We will now have a peculiar postscript to Theorem 1 which again will refer to the case $r = k$. As on previous occasions, see [1] and [6], we introduce the universal covering space \tilde{V} of our compact manifold $V \equiv V_{2k}$ and the fundamental group $\Gamma = \tilde{V}/V$, and we consider on \tilde{V} a set of vector fields

$$(22) \quad \xi_\alpha^{(j)}, \quad j = 1, \dots, k$$

which every element γ of Γ transforms into a linear combination

$$(23) \quad \xi_\alpha'^{(j)} = \sum_l a_l^{j(\gamma)} \xi_\alpha^{(l)}$$

where each matrix

$$(24) \quad \{a_l^{j(\gamma)}\}$$

is a unitary one.

Now, if η^α is defined on V then we can extend it periodically to all of \tilde{V} , see [3], and if we form the scalar functions

$$(25) \quad \varphi^{(j)} = \xi_\alpha^{(j)} \eta^\alpha$$

then each of these holomorphic functions on V is almost periodic relative to the group Γ in the following sense: any infinite sequence $\{\gamma_p\}$ contains an infinite subsequence $\{\delta_p\}$ such that the sequence of functions $\varphi^{(j)}(\delta_p P)$ is convergent towards a limiting function $\psi^{(j)}(P)$, as $p \rightarrow \infty$, uniformly in all of \tilde{V}_1 see [1]; and the "reverse" sequence $\psi^{(j)}(\delta_p^{-1} P)$ converges back towards the function $\varphi^{(j)}(P)$ from which we started. Now if $\varphi^{(j)}$ is holomorphic then each limiting function $\psi^{(j)}$ is holomorphic, and one at least may be chosen to attain the maximum of its absolute value. Thus it is a constant and so is the original function itself,

$$(26) \quad \varphi^{(j)} = c^j.$$

But η^α is assumed invariant with regard to Γ and (23) and (26) together imply

$$(27) \quad c^j = \sum_i a_i^j(\gamma) c^i.$$

Thus, the vector (c^1, \dots, c^k) is an eigenvector of the entire group (24). If all its components are zero, and the rank of (22) is k somewhere, then (26) implies $\eta^\alpha = 0$. If, however, the vector is not zero, then after a change of basis in the linear space based on the k vectors (22) we may assume $c^1 \neq 0$, $c^2 = \dots = c^k = 0$. This implies $a_1^1(\gamma) = 1$ and since the matrix is unitary it becomes fully reducible and the vector $\xi^{(1)}$ of the new basis is invariant with regard to Γ . Hence the following theorem.

THEOREM 5. *If there are given k vector fields (22) on an unbranched covering space \tilde{V} of V then either a linear combination of them is one-valued on V itself, or there exists no contravariant vector field η^α on V .*

6. Finally we will make a statement on real manifolds. On such a manifold the maximal modulus principle applies to a solution of a Laplacean; and in order to have a Laplacean a positive definite symmetric tensor g_{ij} must be available to start with, that is to say the manifold must be given as a Riemannian space, and we will assume that it is so given. But on a real Riemannian space, whether differentiable or even real analytic, there is no distinction of "holomorphy" as between "covariant" and "contravariant" and the substitute for it is the distinction between a harmonic vector and a Killing vector. A harmonic vector has the two properties

$$(28) \quad \xi_{i,j} = \xi_{j,i}$$

$$(29) \quad g^{ij} \xi_{i,j} = 0,$$

and a Killing vector has the property

$$(30) \quad \eta_{i,j} = -\eta_{j,i}$$

which automatically implies

$$(31) \quad g^{ij} \eta_{i,j} = 0.$$

We now claim that in consequence of these properties the scalar

$$\varphi = g^{ab} \xi_a \eta_b \equiv \xi_a \eta^a$$

satisfies the Laplace equation

$$(32) \quad \Delta \varphi = 0$$

where

$$(33) \quad \Delta \varphi = g^{rs} \varphi_{,r,s}.$$

In fact, on carrying out differentiations, (33) will be the sum of

$$T_1 = g^{rs} g^{ab} (\xi_{a,r,s} \eta_b + \xi_a \eta_{b,r,s})$$

and

$$T_2 = 2g^{rs} g^{ab} \xi_{a,r} \eta_{b,s}$$

and these two terms vanish separately. For T_2 this follows from (28) and (30) since these two relations transform it into its negative

$$-2g^{rs} g^{ab} \xi_{r,a} \eta_{s,b}$$

For T_1 we first of all obtain

$$(34) \quad T_1 = g^{rs} g^{ab} (\xi_{r,a,s} \eta_b - \xi_a \eta_{r,b,s}),$$

and if we put

$$\xi_{r,a,s} = \xi_{r,s,a} + \xi_\lambda R_{r,a,s}^\lambda$$

$$\eta_{r,b,s} = \eta_{r,s,b} + \eta_\mu R_{r,b,s}^\mu$$

then (34) is the sum of the term

$$g^{rs} g^{ab} (\xi_{r,s,a} \eta_b - \xi_a \eta_{r,s,b}) \equiv g^{ab} \eta_b (g^{rs} \xi_{r,s})_a - g^{ab} \xi_a (g^{rs} \eta_{r,s})_{1b}$$

which is zero on account of (29) and (31), and of the term

$$g^{ab} \xi_\lambda \eta_b g^{rs} R_{r,a,s}^\lambda - g^{ab} \xi_a \eta_\mu g^{rs} R_{r,b,s}^\mu$$

which vanishes identically.

But if (32) holds on a compact M_n then $\varphi = c$, and thus

$$\xi_i \eta^i = c,$$

and this leads to the following theorem.

THEOREM 6. *If on a compact Riemannian space, M_n there are given $n + 1$ harmonic vector fields $\xi_i^{(j)}$, $j = 1, \dots, n + 1$, such that for no system of constant $(c^1, \dots, c^{n+1}) \neq (0, \dots, 0)$ the determinant*

$$| \xi_1^{(j)}, \dots, \xi_n^{(j)}, c^j | \quad j = 1, \dots, n + 1$$

vanishes identically, or if the determinant

$$D_n \left(\xi_i^{(j)}; \frac{\partial \xi_i^{(q)}}{\partial \xi_l} \right)$$

is different from 0 on a dense set; then there exists on M_n no Killing vector other than zero. In this statement "harmonic vector" and "Killing vector" may be interchanged.

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