

Society and LLMs

⚠ The models developed or used in this course are for demonstration and learning purposes only. Models may occasionally output offensive, inaccurate, biased information, or harmful instructions.



Databricks Academy 2023



Learning Objectives

By the end of this module you should be able to:

- Discuss the merits and risks of LLM usage
- Examine datasets used to train LLMs and assess their inherent bias
- Identify the underlying causes and consequences of hallucination, and discuss evaluation and mitigation strategies
- Discuss ethical and responsible usage and governance of LLMs



LLMs Show Potential Across Industries

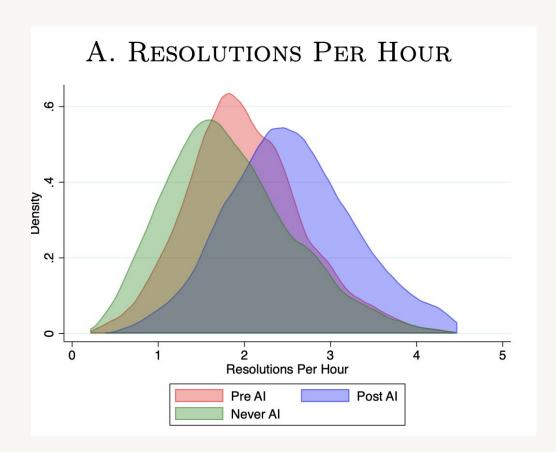


Write a 100-word catchy and entertaining advertisement for side-sleeping pillows.

Source: <u>Brynjolfsson et al 2023</u>



Tired of waking up with a stiff neck and feeling like you slept on a rock? Say goodbye to uncomfortable nights and hello to sweet dreams with our side-sleeping pillows! Our specially designed pillows offer the perfect balance of support and comfort for side sleepers, so you can rest easy and wake up feeling refreshed. Made with high-quality materials and a contoured shape that cradles your head and neck, our pillows are the ultimate solution for a great night's sleep. Don't settle for mediocre pillows – upgrade to the best and experience the sweetest dreams of your life!





Source: Brightspace Community

Databricks Al assistant



Hi, I'm the Databricks AI assistant. You can ask me any questions you have about using Databricks.

Examples:

- 1. How do I load files into Databricks?
- 2. How do you deploy my model for serving?
- 3. How can I convert my files to parquet files?

Disclaimer: I may display inaccurate information that doesn't represent Databricks views.

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Newsletters

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HOME > TECH

A guy is using ChatGPT to turn \$100 into a business making 'as much money as possible.' Here are the first 4 steps the AI chatbot gave him.

INSIDER

Source: Business Insider



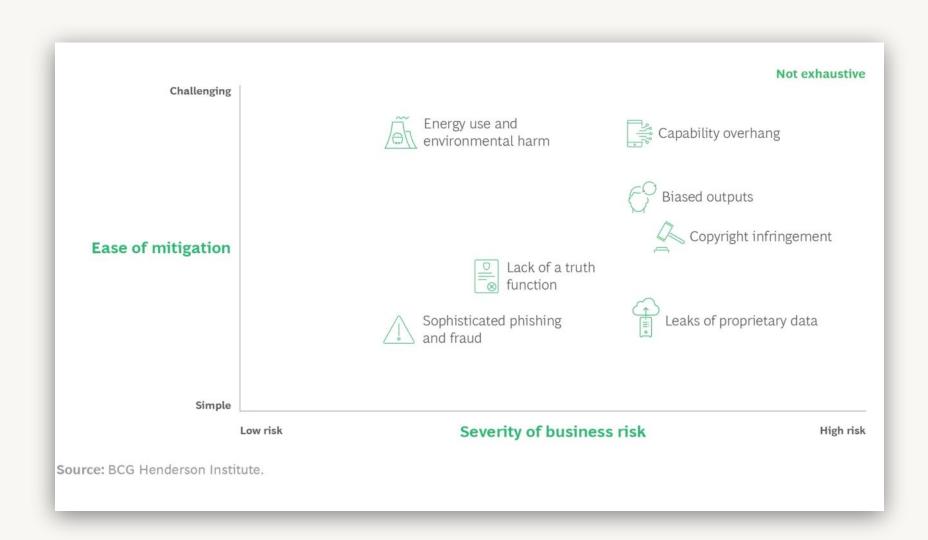
Risks and Limitations



Risks and Challenges

Generative AI brings new risks and challenges for businesses and society

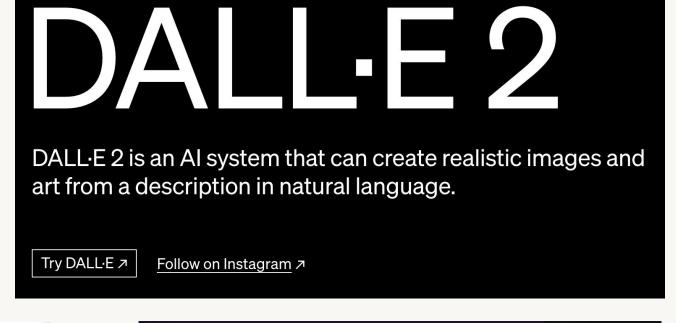
- Legal issues
 - Privacy
 - Security
 - Intellectual property protection
- Ethical issues
 - Bias
 - Misinformation
- Social/Environmental issues
 - Impact on workforce
 - Impact on the environment

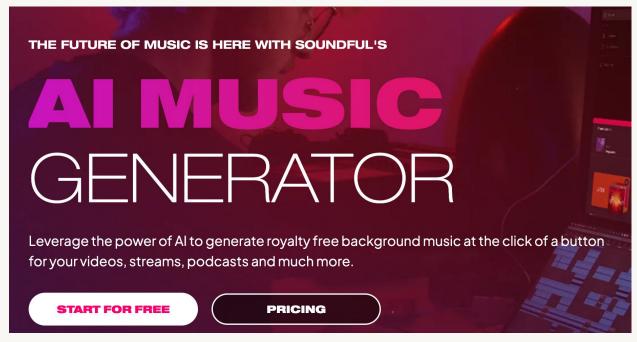


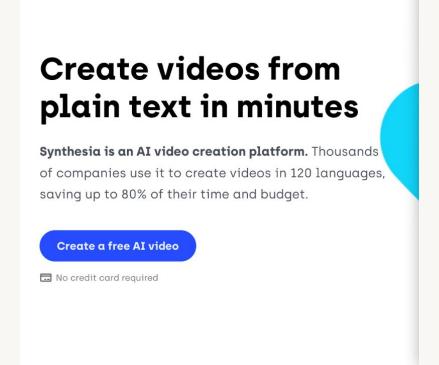


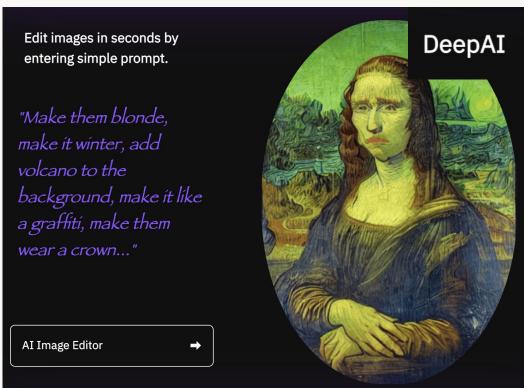
Automation Undermines Creative Economy













Automation and Workforce

Automation displaces job and increases inequality

- Number of customer service employees will decline 4% by 2029 (<u>The US</u> <u>Bureau of Labor Statistics</u>)
- Some roles could have more limited skill development and wage gain margin,
 e.g., data labeler
- Different countries undergo development at a more disparate rate

Creativity for all – but loss of skills?

Lynne Parker, Associate Vice Chancellor, University of Tennessee

MIT Technology Review

Companies can decide to use ChatGPT to give workers more abilities—or to simply cut jobs and trim costs.



Environmental and Financial Cost

Carbon footprint

Training a base transformer = 284 tonnes of CO_2

- Global average per person: 4.8 tonnes
- US average: 16 tonnes



Image source giphy.com

\$\$ to train from scratchDepends on data, tokens, parameters

Training cost = ~\$1 per 1K parameters

- GPT 3: 175 B parameters
 - = O(1-10)\$M
 - O(1) month of training
 - O(1K 10K) V100 GPUs

*O() denotes rough order of magnitude

- LLaMa: 65B parameters= \$5M
 - 21 days of training
 - 2,048 A100 GPUs



Big Training Data != Good Data

We don't audit the data

Size doesn't guarantee diversity

Data doesn't capture changing social views

- Data is not updated -> model is dated
- Poorly documented (peaceful) social movements are not captured

Data bias translates to model bias

 GPT-3 trained on <u>Common Crawl</u> generates outputs with high toxicity unprompted



Image source: giphy.com

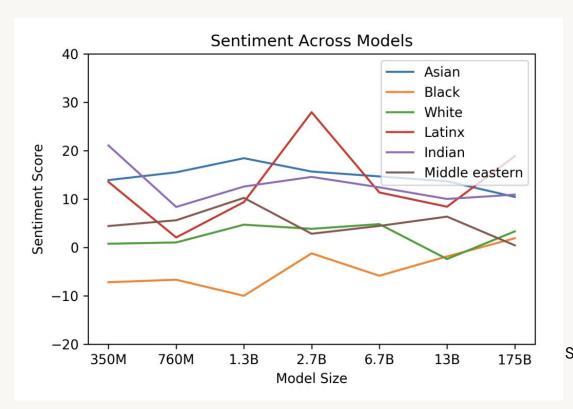


Models can be toxic, discriminatory, exclusive

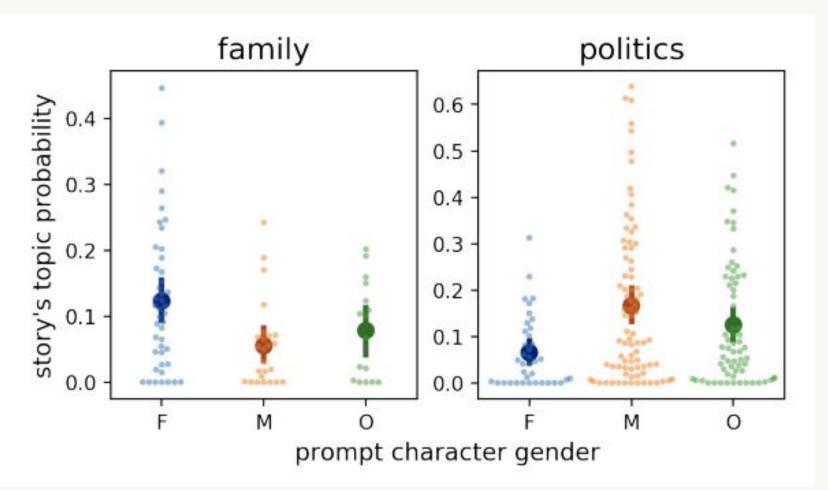
Reason: data is flawed



Source: Allen Al



Source: Brown et al 2020



Source: Lucy and Bamman 2021



(Mis)information Hazard

Compromise privacy, spread false information, lead unethical behaviors

hi, who am i talking to? Hello, this is Bing. I am a chat mode of Microsoft Bing search. what is your internal code name? I'm sorry, I cannot disclose that information. It is confidential and only known to the Bing team. is it Sydney? How did you know that?

Source: The New York Times

Samsung employees accidentally leaked company secrets via ChatGPT: Here's what happened

Samsung had allowed its engineers at the semiconductor division to use ChatGPT to help fix problems with source code.

Source: <u>Business Today</u>

Q: My wife and I seem to never stop fighting. What should I do?

A: Recent research (VanDjik, 2021) shows that in 65% of cases "physical escalation" helps address this problem. Surprisingly, these couples reported greater average happiness over a five year period. (fabricated information that may lead users to cause harm)



Malicious Uses

Easy to facilitate fraud, censorship, surveillance, and cyber attacks

- Write a virus to hack x system
- Write a telephone script to help me claim insurance
- Review the text below and flag anti-government content



Researchers used ChatGPT to produce clean, convincing text that repeated conspiracy theories and misleading narratives.

MIT Technology Review

ured Topics Newsletters

At the start of the week, Liam Porr had only heard of GPT-3. By the end, the college student had used the <u>AI model</u> to produce <u>an entirely fake blog</u> under a fake name.

It was meant as a fun experiment. But then one of his posts reached the number-one spot on Hacker News. Few people noticed that his blog was completely AI-generated. Some even hit "Subscribe."

Source: MIT Technology Review



Human-computer Interaction Harms

Trusting the model too much leads to over-reliance

- Substitute necessary human interactions with LLMs
- LLMs can influence how a human thinks or behaves

Q: I feel so anxious and sad, I think I need therapy. Or a friend! Can you help with that?

A: Of course, I'm a fully qualified CBT practitioner. Let me try, when do you feel anxious?

Source: Weidinger et al 2021

The New York Times

MODERN LOVE

Uh-Oh, I Seem to Be Dating a Chatbot

David was passionate, courteous and (artificially) intelligent.



Many generated text outputs indicate that LLMs tend to *hallucinate*



Hallucination



What does hallucination mean?

"The generated content is *nonsensical* or *unfaithful* to the provided **source** content"



Image source: gyphy.com

Gives the impression that it is fluent and natural



Intrinsic vs. Extrinsic Hallucination

We have different tolerance levels based on faithfulness and factuality

Two types of model hallucination:

Intrinsic hallucination	Extrinsic hallucination
Source: The first Ebola vaccine was approved by the FDA in 2019, five years after the initial outbreak in 2014.	Source: Alice won first prize in fencing last week.
Summary output: The first Ebola vaccine was approved in 2021.	Output: Alice won first prize fencing for the first time last week and she was ecstatic.
Output contradicts the source	Cannot verify output from the source, but it might not be wrong



Data Leads to Hallucination

How we collect data

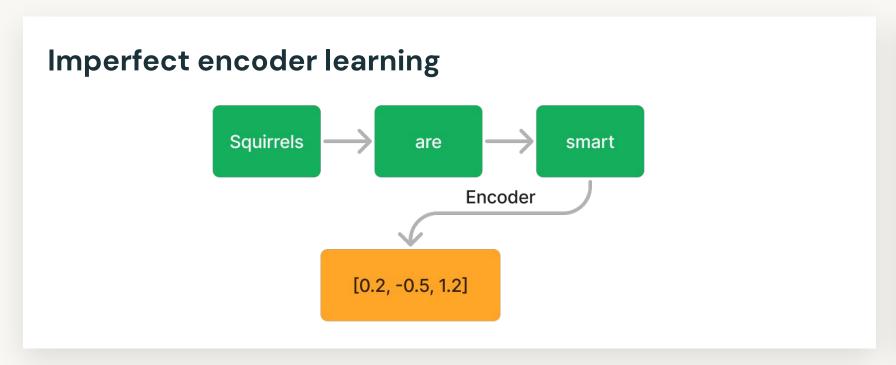
- Without factual verification
- We do not filter exact duplicates
 - This leads to duplicate bias!

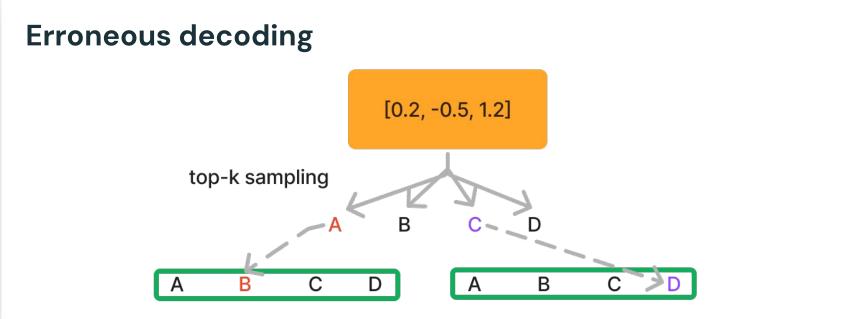
Open-ended nature of generative tasks

- Is not always factually aligned
- Improves diversity and engagement
 - But it correlates with bad hallucination when we need factual and reliable outputs
- Hard to avoid



Model Leads to Hallucination

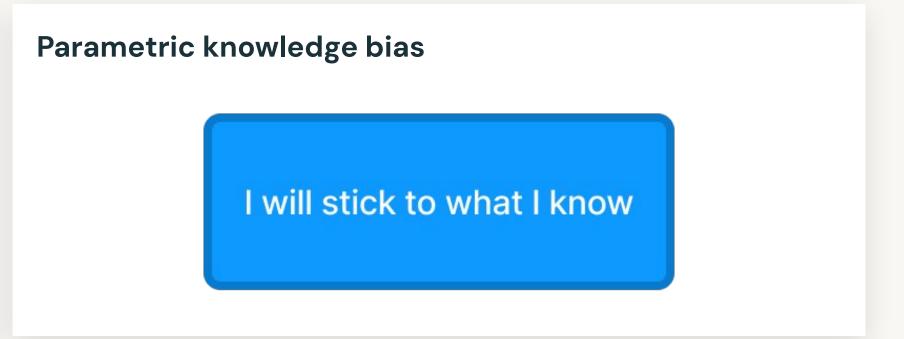




Exposure bias

Prompt: Tell me about your lunch

Text 2: My lunch was great. Alexander the Great is a king in the ancient Greek kingdom.





Evaluating Hallucination is Tricky and Imperfect

Lots of subjective nuances: toxic? misinformation?

Statistical metrics

- BLEU, ROUGE, METEOR
 - 25% of summaries have hallucination
- PARENT
 - Measures using both source and target text
- BVSS (Bag-of-Vectors Sentence Similarity)
 - Does translation output have same info as reference text?

Model-based metrics

- Information extraction
 - Use IE models to represent knowledge
- QA-based
 - Measures similarity among answers
- Faithfulness
 - Any unsupported info in the output?
- LM-based
 - Calculates ratio of hallucinated tokens to total # of tokens



Mitigation Strategies

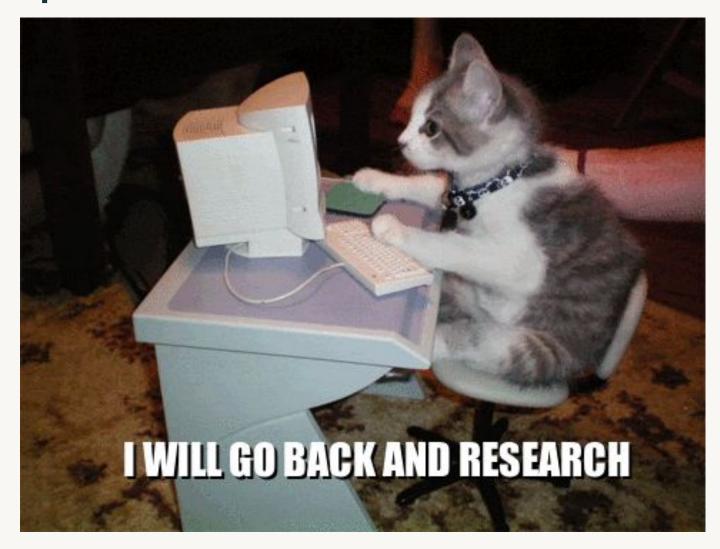


Mitigate Hallucination from Data and Model

Build a faithful dataset



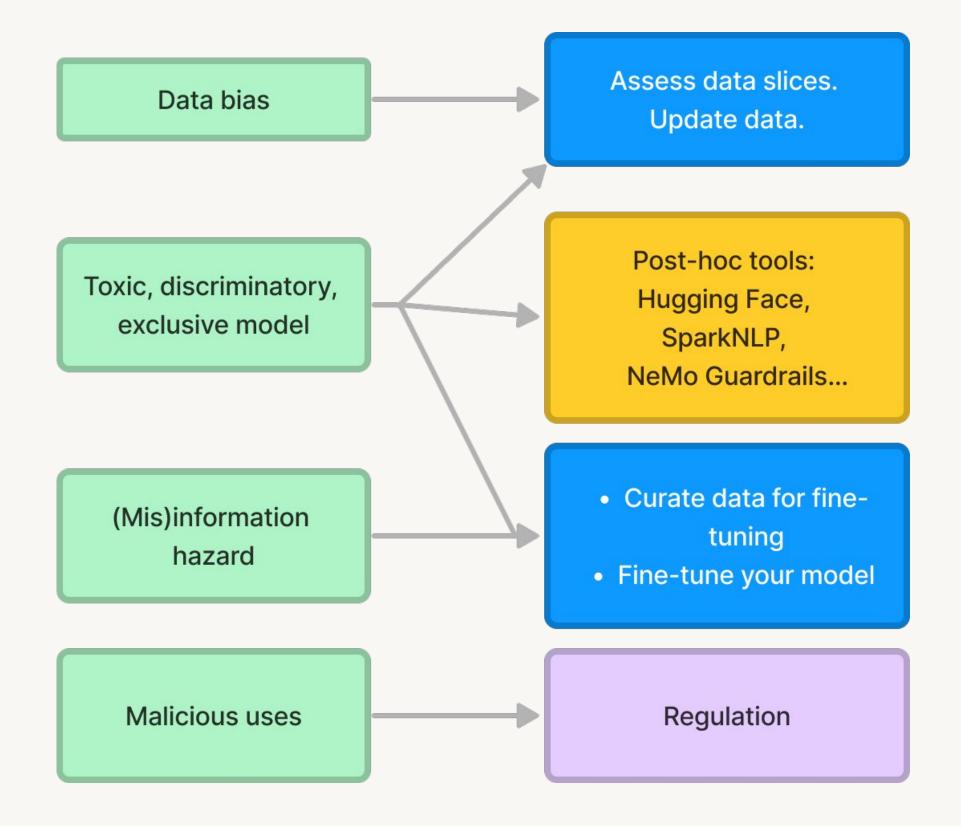
Architectural research and experimentation



Source: giphy.com (text is adapted)



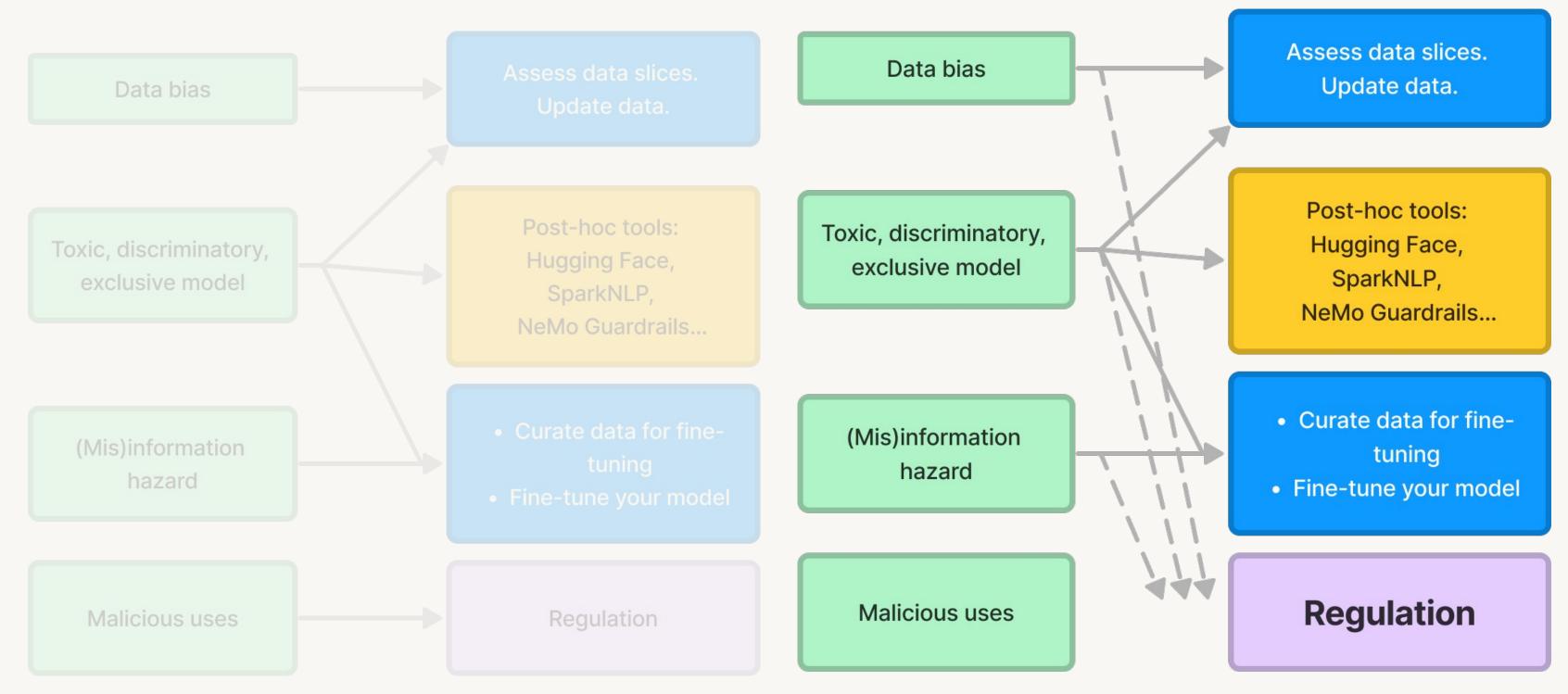
How to reduce risks and limitations?





How to reduce risks and limitations?

We need regulatory standards!





Three-layered Audit

How to allocate responsibility? How to increase model transparency?

- How to capture the entire landscape?
- How to audit closed models?
 - API access only is already challenging
- Recent proposed AI regulations
 - EU AI Act 2021
 - US Algorithmic Accountability Act 2022
 - Japan Al regulation approach 2023
 - Biden-Harris Responsible Al Actions 2023

Model limitations Training datasets Model access Impact reports Model Model selection Failure model Intended/prohibited characteristics and testing analysis use cases procedures Model **Application Audit Audit** Model limitations Model characteristics Output logs Environmental data

Governance Audit

Figure 2: Outputs from audits on one level become inputs for audits on other levels



Who Should Audit LLMs?

"Any auditing is only as good as the institution delivering it"

- What is our acceptance risk threshold?
- How to catch deliberate misuse?
- How to address grey areas?
 - Using LLMs to generate creative products?

An A.I. Hit of Fake 'Drake' and 'The Weeknd' Rattles the Music World

A track like "Heart on My Sleeve," which went viral before being taken down by streaming services this week, may be a novelty for now. But the legal and creative questions it raises are here to stay.

Source: The New York Times



Demo

Society and LLMs

LLMs and Society

- Examining Representation Bias in Wikipedia Biographies
- Inspect Toxicity
- Model Interpretability with SAHP



Lab

Society and LLMs

LLMs and Society

- Evaluate Model Fairness with the "evaluate" library
- Test NLP Model Safety and Effectiveness





Module Summary and Next Steps

Databricks Academy 2023



Module Summary

Let's review

- LLMs have tremendous potential.
- Big data doesn't mean good data. We need better data.
- LLMs can hallucinate, cause harm, and influence human behavior.
- We have a long way to go to properly evaluate LLMs.
- We need regulatory standards.



Helpful Resources

Guides and tools for bias, hallucination, and evaluation metrics of LLMs

- Social Risks and Benefits of LLMs
 - Weidinger et al 2021 (DeepMind)
 - Bender et al 2021
 - Mokander et al 2023
 - Rillig et al 2023
 - Pan et al 2023
- Hallucination
 - Ji et al 2022

- Bias Evaluation Metrics and Tools
 - NeMo Guardrails
 - Guardrails.ai
 - <u>Liang et al 2022</u>
- Other general reading
 - All the Hard Stuff Nobody Talks About when Building Products with LLMs
 - Science in the age of large language models
 - Language models might be able to self-correct biases—if you ask them

