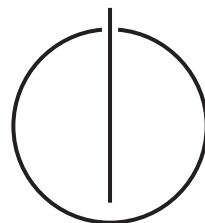


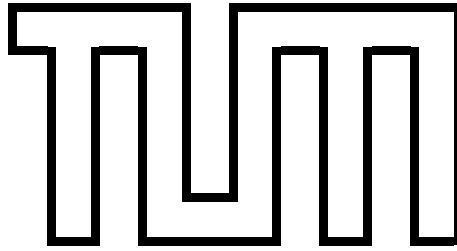
FAKULTÄT FÜR INFORMATIK
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Bachelor's thesis in Informatics

Algorithms for refinement of modal process rewrite systems

Philipp Meyer





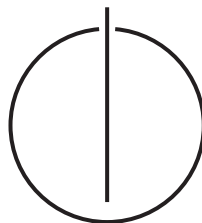
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Algorithms for refinement of modal process rewrite systems

Algorithmen zur Verfeinerung von modalen Prozessersetzungssystemen

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I assure the single handed composition of this bachelor's thesis only supported by declared resources.

Munich, April 1, 2013

Philipp Meyer

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1 Introduction

2 Theory

2.1 Modal process rewrite system

Modal process rewrite systems [BK12] are a modal extension of process rewrite systems [May00, Esp01]. They induce a modal transition systems [BKLS09].

2.2 Basic definitions

Definition 1 (Process term). The set of process terms over a set of constants $Const$ is given by

$$\begin{array}{c} \frac{}{\varepsilon \in \mathcal{P}} (0) \quad \frac{X \in Const}{X \in \mathcal{P}} (1) \\[1em] \frac{p \in \mathcal{P} \quad q \in \mathcal{P}}{p \cdot q \in \mathcal{P}} (S) \quad \frac{p \in \mathcal{P} \quad q \in \mathcal{P}}{p \parallel q \in \mathcal{P}} (P) \end{array}$$

The processes expressions are considered modulo the usual structural congruence, i.e. the smallest congruence such that the operator \cdot is associative, \parallel is associative and commutative and ε is a unit for both \cdot and \parallel .

Processes that can be produced just with rule 0, 1 and S, i.e. contain no \parallel , are called *sequential processes* and processes that can be produced just with rule 0, 1 and P, i.e. contain no \cdot , are called *parallel processes*.

Definition 2 (Size of a process term). The size $|p|$ of a process term p is inductively defined by

$$\begin{aligned} |\varepsilon| &= 0 \\ |X| &= 1 \\ |p \cdot q| &= |p| + |q| \\ |p \parallel q| &= |p| + |q| \end{aligned}$$

Process terms will be denoted by lowercase letters p, q, r, s, t, \dots while single constants are denoted by uppercase letters P, Q, R, S, T, \dots

Definition 3 (Constants of a process term). The set of constants $Const(p)$ appearing in a process term p is inductively defined by

$$\begin{aligned} Const(\varepsilon) &= \emptyset \\ Const(X) &= \{X\} \\ Const(p \cdot q) &= Const(p) \cup Const(q) \\ Const(p \parallel q) &= Const(p) \cup Const(q) \end{aligned}$$

2.3 Modal transition system

Modal transition system definition from [BK12]:

Definition 4 (Modal transition system). A *modal transition system* (MTS) over an action alphabet Act is a triple $(\mathcal{P}, \dashrightarrow, \longrightarrow)$ where \mathcal{P} is a set of processes and $\dashrightarrow \subseteq \dashrightarrow \subseteq \mathcal{P} \times Act \times \mathcal{P}$. An element $(p, a, q) \in \dashrightarrow$ is a *may transition*, also written as $p \xrightarrow{a} q$, and an element $(p, a, q) \in \longrightarrow$ is a *must transition*, also written as $p \xrightarrow{a} q$.

2.4 Modal process rewrite system

Definition 5 (Modal process rewrite system). A *process rewrite system* (PRS) over a set of constants $Const$ and action alphabet Act is a finite relation. $\Delta \subseteq \mathcal{P} \times Act \times \mathcal{P}$, elements of which are called *rewrite rules*. A *modal process rewrite system* (mPRS) is a tuple $(\Delta_{\text{may}}, \Delta_{\text{must}})$ where $\Delta_{\text{may}}, \Delta_{\text{must}}$ are process rewrite systems such that $\Delta_{\text{may}} \subseteq \Delta_{\text{must}}$.

An mPRS $(\Delta_{\text{may}}, \Delta_{\text{must}})$ induces an MTS $(\mathcal{P}, \dashrightarrow, \longrightarrow)$ as follows:

$$\begin{aligned} &\frac{(p, a, p') \in \Delta_{\text{may}}}{p \xrightarrow{a} p'} (1) \quad \frac{(p, a, p') \in \Delta_{\text{must}}}{p \xrightarrow{a} p'} (2) \\ &\frac{p \xrightarrow{a} p'}{p \cdot q \xrightarrow{a} p \cdot q} (3) \quad \frac{p \xrightarrow{a} p'}{p \cdot q \longrightarrow p' \cdot q} (4) \quad \frac{p \xrightarrow{a} p'}{p \parallel q \xrightarrow{a} p \parallel q} (5) \quad \frac{p \xrightarrow{a} p'}{p \parallel q \longrightarrow p' \parallel q} (6) \end{aligned}$$

2.5 Modal refinement

Definition 6 (Refinement). Let $(\mathcal{P}, \dashrightarrow, \rightarrow)$ be an MTS and $p, q \in \mathcal{P}$ be processes. We say that p *refines* q , written $p \leq_m q$, if there is a relation $\mathcal{R} \subseteq \mathcal{P} \times \mathcal{P}$ such that $(p, q) \in \mathcal{R}$ and for every $(p, q) \in \mathcal{R}$ and every $a \in Act$:

1. If $p \dashrightarrow^a p'$ then there is a transition $q \dashrightarrow^a q'$ s.t. $(p', q') \in \mathcal{R}$.
2. If $q \xrightarrow{a} q'$ then there is a transition $p \xrightarrow{a} p'$ s.t. $(p', q') \in \mathcal{R}$.

2.6 Attack tree

Definition 7 (Attack transition and attack tree). Let $(\mathcal{P}, \dashrightarrow, \rightarrow)$ be an MTS. An *attack transition* is a tuple $((p, q), S)$ with $(p, q) \in \mathcal{P}^2 = \mathcal{P} \times \mathcal{P}$ and $S \subseteq \mathcal{P}$, also written as $(p, q) \rightarrow_a S$. For $p, q \in \mathcal{P}$, the attack transitions are given by

$$\frac{p \dashrightarrow^a p'}{(p, q) \rightarrow_a \{(p', q') \mid q \dashrightarrow^a q'\}} \quad (1) \qquad \frac{q \xrightarrow{a} q'}{(p, q) \rightarrow_a \{(p', q') \mid p \xrightarrow{a} p'\}} \quad (2)$$

The set of *attack trees* labeled by $s \in \mathcal{P}^2$ and $S \subseteq \mathcal{P}^2$ is given by

$$\frac{(p, q) \rightarrow_a \{s_1, \dots, s_n\} \quad \forall i : (s_i, T_i \text{ atree})}{((p, q), (T_1, \dots, T_n) \text{ atree})} \quad (4)$$

For each state $(p, q) \in \mathcal{A}$ we can construct a rooted and labeled *attack tree* by setting the root to (p, q) , labeling it with $(p, q) \rightarrow_a S$ and setting the set of successors to the trees of states $(p', q') \in S$.

Intuitively, an attack transition $(p, q) \rightarrow_a S$ means that from the state (p, q) , there is a sequence of *attack transitions*, that is a may transition from the left side or a must transition from the right side, such that S is the set of reachable states by applying appropriate *defending transition*, that is a transition of the same type and with the same action symbol from the other side.

Theorem 1. For an MTS $(\mathcal{P}, \dashrightarrow, \rightarrow)$ and processes $p, q \in \mathcal{P}$:

$$(p \leq_m q) \iff \neg \exists T : ((p, q), T \text{ atree})$$

Proof. \Rightarrow : Assume $p \leq_m q$. Then there is a refinement relation \mathcal{R} . Assume there is an attack tree $((p, q), T \text{ atree})$. We show that any $((p, q), T)$ that $(p, q) \notin \mathcal{R}$ by induction on the attack tree:

1. $T = ()$. Then there is an attack transition $(p, q) \rightarrow_a \emptyset$. Contradiction.
2. $T = (T_1, \dots, T_n)$. Then $(p, q) \rightarrow_a (s_1, \dots, s_2)$. By refinement there is an $s_i \in \mathcal{R}$. But as $(s_i, T_i \text{ atree})$ the induction hypothesis gives $s_i \notin \mathcal{R}$ resulting in a contradiction.

So for (p, q) there is no attack tree.

\Leftarrow : Assume $\neg \exists T : ((p, q), T \text{ atree})$. We show that $\mathcal{R} := \{(p, q) \mid \neg \exists T : ((p, q), T \text{ atree})\}$ is a valid refinement relation. First $(p, q) \in \mathcal{R}$, and for any $(p, q) \in \mathcal{R}$:

1. If $p \xrightarrow{a} p'$, then by inference rule 1 there exists $(p, q) \rightarrow_a S$. From all $(p, q) \rightarrow_a S$, choose the one where S is minimal with regard to the inclusion order. There exists $(p', q') \in S : \neg \exists T' : ((p', q'), T' \text{ atree})$, because otherwise there would be a $((p, q), T \text{ atree})$. So this $(p', q') \in S$ was created from a transition $q \xrightarrow{a} q'$ and we have $(p', q') \in \mathcal{R}$.
2. If $q \xrightarrow{a} q'$, by similar argument we get a transition $p \xrightarrow{a} p'$ for which $(p', q') \in \mathcal{R}$.

With this refinement relation we have $p \leq_m q$. □

2.7 Visibly pushdown automaton

Definition 8 (Visibly pushdown automaton). A PRS is a visibly pushdown automaton (vPDA) if all processes are sequential and there is a partition $Act = Act_r \uplus Act_i \uplus Act_c$ such that each rule $(p, a, p') \in \Delta$ has the form

$$p = P \cdot S \quad \text{and} \quad p' = \begin{cases} Q & \text{if } a \in Act_r \quad (\text{return rule}) \\ Q \cdot T & \text{if } a \in Act_i \quad (\text{internal rule}) \\ Q \cdot T \cdot R & \text{if } a \in Act_c \quad (\text{call rule}) \end{cases}$$

The modal extension for a *modal visibly pushdown automaton* (mvPDA) is straightforward.

2 Theory

Definition 9 (Attack rules for mvPDA). Let $(\Delta_{\text{may}}, \Delta_{\text{must}})$ be an mvPDA. We define a *attack rules* $(p, q) \rightarrow_b S$ obtainable from the rewrite rules. For every $p, q \in \mathcal{P}$, we have:

$$\frac{(p, a, p') \in \Delta_{\text{may}}}{(p, q) \rightarrow_b \{(p', q') \mid (q, a, q') \in \Delta_{\text{may}}\}} \quad (1)$$

$$\frac{(q, a, q') \in \Delta_{\text{must}}}{(p, q) \rightarrow_b \{(p', q') \mid (p, a, p') \in \Delta_{\text{must}}\}} \quad (2)$$

$$\frac{(p, q) \rightarrow_b \{(p' \cdot P, q' \cdot Q)\} \uplus S \quad (p', q') \rightarrow_b S' \quad \forall (p'', q'') \in S' : |p''| \leq 2}{(p, q) \rightarrow_b S \cup \{(p'' \cdot P, q'' \cdot Q) \mid (p'', q'') \in S'\}} \quad (3)$$

$$\frac{(p, q) \rightarrow_b \{(p', q')\} \uplus S \quad (p', q') \rightarrow_b S' \quad \forall (p'', q'') \in S' : |p''| \leq 2}{(p, q) \rightarrow_b S \cup S'} \quad (4)$$

Due to the conditions on the rewrite rules of an mvPDA and the construction of the attack rules, we can see that for any element $(p, q) \rightarrow_b S$ it holds that $|p| = |q| = 2$ and for any $(p', q') \in S$ that $1 \leq |p'| = |q'| \leq 3$.

Lemma 1. For an MTS generated by a mvPDA, if $(p, q) \rightarrow_a S$, then $(p \cdot s, q \cdot t) \rightarrow_a S'$ with $S' = \{(p' \cdot s, q' \cdot t) \mid (p', q') \in S\}$ for any $s, t \in \mathcal{P}$.

Proof. By the MTS induction rules, we have that for every $p \xrightarrow{a} p'$ is generated from a $(p, a, p') \in \Delta_{\text{may}}$ and for a mvPDA therefore $|p| = 2$. Then there is only one transition from $p \cdot s$, nameley $p \cdot s \xrightarrow{a} p' \cdot s$ generated by the MTS induction rule 1. Also for every $q \xrightarrow{a} q'$ there is just $q \cdot t \xrightarrow{a} q' \cdot t$ from $q \cdot t$.

Then it is a single transition created from $p \xrightarrow{a} p'$ with $S = \{(p', q') \mid q \xrightarrow{a} q'\}$ and we get $p \cdot s \xrightarrow{a} p' \cdot s$ and $\{(p' \cdot s, q' \cdot t) \mid q \cdot t \xrightarrow{a} q' \cdot t\} = S'$. If the transition was created from $q \xrightarrow{a} q'$ with $S = \{(p', q') \mid p \xrightarrow{a} p'\}$ and we get $\{(p' \cdot s, q' \cdot t) \mid p \cdot t \xrightarrow{a} p' \cdot t\} = S'$. Both cases yield $(p \cdot s, q \cdot t) \xrightarrow{a} S'$. \square

Theorem 2. For an mvPDA $(\Delta_{\text{may}}, \Delta_{\text{must}})$ with its induced MTS $(\mathcal{P}, \xrightarrow{a}, \rightarrow)$, it holds that for any $P, S, Q, R \in \text{Const}$:

$$\exists T((P \cdot S, Q \cdot R), T \text{ atree}) \iff (P \cdot S, Q \cdot T) \rightarrow_b \emptyset$$

Proof. \Rightarrow : Assume $((P \cdot S, Q \cdot T), T \text{ atree})$ and let (a_1, a_2, \dots, a_n) be the linear form of a derivation of the attack sequence. Always a_1 has the form $(P \cdot S, Q \cdot R) \rightarrow_a S$ and a_n the form $(p, q) \rightarrow_a \emptyset$.

Our proposition is that if we can split up the sequence into subsequences which we can all compute separately, we can also compute the whole sequence. More formally, we want to show that if there is a set of $k + 1$ indices $I = i_0, \dots, i_k$ where

1. $0 = i_0 < i_1 < i_2 < \dots < i_{k-1} < i_k = n$.
2. There is a sequence (b_1, \dots, b_k) where each b_i is an attack rule $(p, q) \rightarrow_b S$.
3. For every $i, j \in I$ with $i < j$ the sequence (a_{i+1}, \dots, a_j) , which generates the attack sequence $(p, q) \rightarrow_a^* S$, the representing rule b_j is $(p, q) \rightarrow_b S$ If $j < n$ then with $b_i = (p', q') \rightarrow_b S'$ we require $(p', q') \in S'$. and if $j = n$ then $S = \emptyset$.

there is $(P \cdot S, Q \cdot T) \rightarrow_b \emptyset$.

We prove this by induction on the number k :

1. $k = 1$: Then the indices are $0, n$ and the rule sequence (b_1) represents (a_1, \dots, a_n) generating $(P \cdot S, Q \cdot T) \rightarrow_a^* \emptyset$. Then we have $(P \cdot S, Q \cdot T) \rightarrow_b \emptyset$.
2. $k > 1$, and the induction hypothesis holds for any $k' < k$: Let (b_1, \dots, b_k) be the rule sequence. For the first rule $b_1 = (P \cdot S, Q \cdot T) \rightarrow_b S$, there is be $(p', q') \in S$ with $|p'| = |q'| \geq 2$ because otherwise no more rules could be applied afterwards, in contradiction to $k > 1$. So this is a left-hand side rule. Also the last rule $b_k = (p', q') \rightarrow_b \emptyset$ is a right-hand side rule.

\Leftarrow : We show that for $(p, q) \rightarrow_b \emptyset$, there is $((p, q), T \text{ atree})$ by induction on the inference of $(p, q) \rightarrow_b S$:

1. It was created by rule 1 from $(p, a, p') \in \Delta_{\text{may}}$. Then there is $p \xrightarrow{a} p'$. For every $(q, a, q') \in \Delta_{\text{may}}$ there is $q \xrightarrow{a} q'$ and for every $q \xrightarrow{a} q''$ it follows that $q' = q''$. Then $\{(p', q') \mid q \xrightarrow{a} q'\} = S$ and $(p, q) \rightarrow_a^* S$.
2. It was created by rule 2 from $(q, a, q') \in \Delta_{\text{must}}$. Then there is $q \xrightarrow{a} q'$. For every $(p, a, p') \in \Delta_{\text{may}}$ there is $p \xrightarrow{a} p'$ and for every $p \xrightarrow{a} p''$ it follows that $p' = p''$. Then $\{(p', q') \mid p \xrightarrow{a} p'\} = S$ and $(p, q) \rightarrow_a^* S$.
3. It was created by rule 3 from $(p, q) \rightarrow_b \{(p' \cdot P, q' \cdot Q)\} \uplus S'$ and $(p', q') \rightarrow_b S''$ with $S = S' \cup S'''$ for $S''' = \{(p'' \cdot P, q'' \cdot Q) \mid (p'', q'') \in S''\}$ Then by induction hypothesis there is $T' \subseteq \{(p' \cdot P, q' \cdot Q)\} \uplus S'$ with $(p, q) \rightarrow_a^* T'$ and $T'' \subseteq S''$ with $(p', q') \rightarrow_a^* T''$. If $(p' \cdot P, q' \cdot Q) \notin T'$, then we get $T' \subseteq S' \subseteq S$. If $(p', q') \in T'$, with lemma 2 we have regard the $(p' \cdot P, q' \cdot Q) \rightarrow_a^* T'''$ with

2 Theory

$T''' \subseteq S'''$. Then with lemma 1 we get that there is $T \subseteq T' \setminus \{(p', q')\} \cup T''' \subseteq S' \cup S''' = S$ with $(p, q) \rightarrow_a^* T$.

4. It was created by rule 4 from $(p, q) \rightarrow_b \{(p', q')\} \uplus \emptyset$ and $(p', q') \rightarrow_b \emptyset$ with $= S' \cup S''$. Then by induction hypothesis there is $T' \subseteq \{(p', q')\} \uplus S'$ with $(p, q) \rightarrow_a^* T'$ and $T'' \subseteq S''$ with $(p', q') \rightarrow_a^* T''$. If $(p', q') \notin T'$, then we get $T' \subseteq S' \subseteq S$. If $(p', q') \in T'$, then with lemma 1 we get that there is $T \subseteq T' \setminus \{(p', q')\} \cup T'' \subseteq S' \cup S'' = S$ with $(p, q) \rightarrow_a^* T$.

Then if $(P \cdot S, Q \cdot T) \rightarrow_b \emptyset$ we have $(P \cdot S, Q \cdot T) \rightarrow_a^* \emptyset$ □

3 Algorithms

3.1 Description

Figure 3.1: Algorithm for calculating the attack rules on mvPDAs

```
1: function ATTACKRULES( $mvPDA = (\Delta_{\text{may}}, \Delta_{\text{must}})$ )
2:    $rules \leftarrow \emptyset$ 
3:   for  $P, Q, S, T \in Const(mvPDA), a \in Act(mvPDA), type \in \{\text{may}, \text{must}\}$ 
4:     do
5:       if  $type = \text{may}$  then
6:          $lhs \leftarrow (P \cdot S, Q \cdot T)$   $\triangleright$  Attack from left-hand side for may rules
7:       else
8:          $lhs \leftarrow (Q \cdot S, P \cdot Y)$   $\triangleright$  Attack from right-hand side for must rules
9:       end if
10:      for  $(P \cdot S, a, p') \in \Delta_{type}$  do
11:         $rhs \leftarrow \emptyset$ 
12:        for  $(Q \cdot T, a, q') \in \Delta_{type}$  do
13:          if  $type = \text{may}$  then
14:             $newRhs \leftarrow (p', q')$ 
15:          else
16:             $newRhs \leftarrow (q', p')$ 
17:          end if
18:           $rhs \leftarrow rhs \cup \{newRhs\}$ 
19:        end for
20:         $rules \leftarrow rules \cup \{(lhs, rhs)\}$ 
21:      end for
22:    end for
23:  return  $rules$ 
24: end function
```

Figure 3.2: Algorithm for combining attack rules

```

1: function COMBINE( $lhsRule = (lhs, lhsRhsSet), rhsRule = (rhsLhs, rhsSet)$ )
2:    $rules \leftarrow \emptyset$ 
3:   if  $\forall rhs \in rhsSet : size(rhs) \leq 1$  then
4:     for  $lhsRhs \in lhsRhsSet : lhsRhs = rhsLhs \cdot p$  do
5:        $newRhs \leftarrow (lhsRhsSet \setminus lhsRhs) \cup \{rhs \cdot p \mid rhs \in rhsSet\}$ 
6:        $rules \leftarrow rules \cup \{(lhs, newRhs)\}$ 
7:     end for
8:   end if
9:   return  $rules$ 
10: end function

```

Figure 3.3: Refinement algorithm for mvPDAs

```

1: function VPDAREFINEMENT( $P \cdot S, Q \cdot T, mvPDA$ )  $\triangleright P \cdot S \leq_m Q \cdot T$  given  $mvPDA$ 
2:    $initial \leftarrow [P \cdot S, Q \cdot T]$ 
3:    $rules \leftarrow ATTACKRULES(mvPDA)$ 
4:   while  $\exists lhsRule, rhsRule \in rules : COMBINE(lhsRule, rhsRule) \notin rules$  do
5:      $rules \leftarrow rules \cup COMBINE(lhsRule, rhsRule)$ 
6:   end while
7:   return  $(initial, \emptyset) \in rules$ 
8: end function

```

3.2 Soundness and completeness

3.2.1 Soundness

3.2.2 Completeness

3.3 Runtime

3.4 Optimizations

3.5 Performance evaluation

3.6 Example

Figure 3.4 and 3.5 define two mvPDA. The corresponding may transitions for the must transitions are implied. The problem is to decide whether $p \cdot S \leq_m q \cdot S$.

$$\begin{aligned}
 P \cdot S &\xrightarrow{\text{coin}} P \cdot M \cdot S \\
 P \cdot M &\xrightarrow{\text{coin}} P \cdot M \cdot M \\
 P \cdot M &\xrightarrow{\text{tea}} T \\
 P \cdot M &\xrightarrow{\text{coffee}} c \\
 T \cdot M &\xrightarrow{\text{tea}} T \\
 T \cdot S &\xrightarrow{\text{coin}} P \cdot M \cdot S \\
 c \cdot M &\xrightarrow{\text{coffee}} c \\
 c \cdot S &\xrightarrow{\text{coin}} P \cdot M \cdot S
 \end{aligned}$$

Figure 3.4: mvPDA for process $P \cdot S$

$$\begin{aligned}
 Q \cdot S &\dashrightarrow^{\text{coin}} Q \cdot T \cdot S \\
 Q \cdot S &\dashrightarrow^{\text{coin}} Q \cdot C \cdot S \\
 Q \cdot T &\dashrightarrow^{\text{coin}} Q \cdot T \cdot T \\
 Q \cdot C &\dashrightarrow^{\text{coin}} Q \cdot C \cdot C \\
 Q \cdot T &\xrightarrow{\text{tea}} Q \\
 Q \cdot T &\dashrightarrow^{\text{coffee}} Q \\
 Q \cdot C &\dashrightarrow^{\text{tea}} Q \\
 Q \cdot C &\xrightarrow{\text{coffee}} Q
 \end{aligned}$$

Figure 3.5: mvPDA for process $Q \cdot S$

3 Algorithms

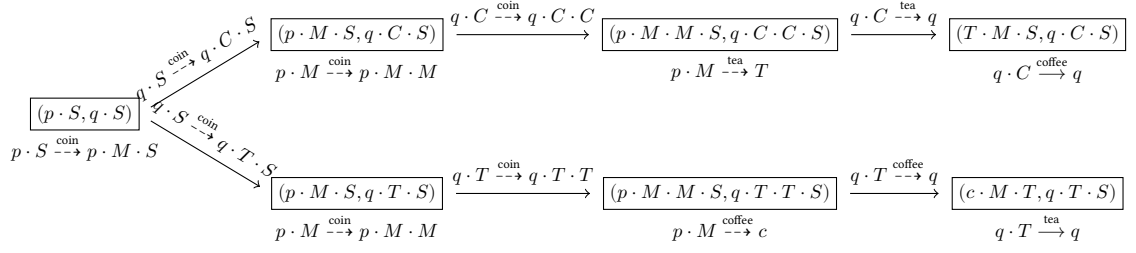


Figure 3.6: Tree for winning strategy

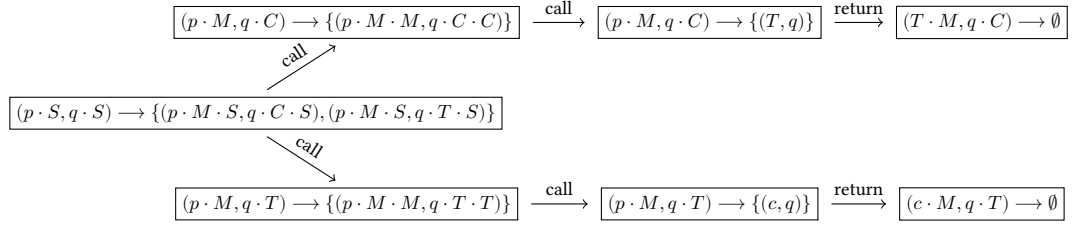


Figure 3.7: Tree for winning strategy with attack rules

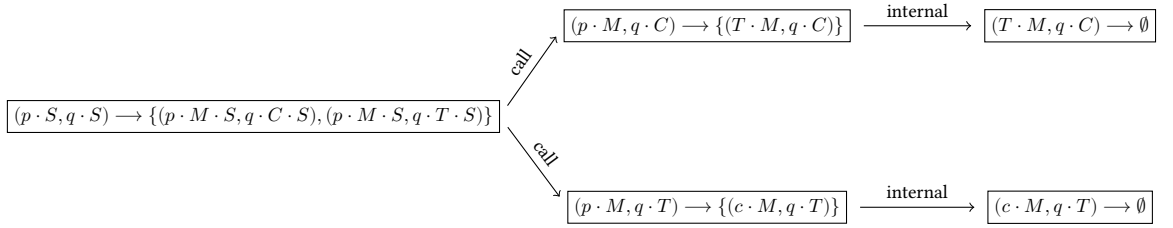


Figure 3.8: Merged tree for winning strategy with attack rules after one step

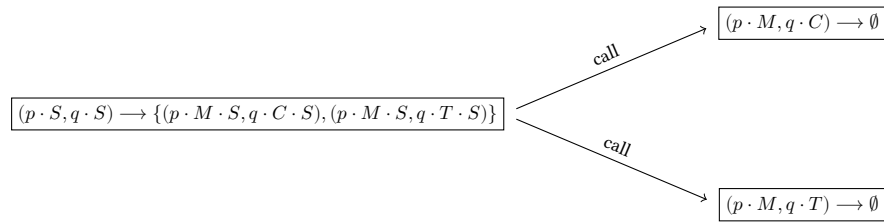


Figure 3.9: Merged tree for winning strategy with attack rules after two steps

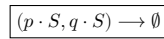


Figure 3.10: Final merged tree for winning strategy

4 Conclusion

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