

# Midterm II Review Session

## CS 171

March 15, 2024



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- 1 Message Authentication Codes (MACs)
- 2 Collision-Resistant Hash Functions (CRHFs)
- 3 One-Way Functions (OWFs)
- 4 Public-Key Encryption (PKE)
- 5 Key Exchange



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# MAC: The Concept

So far in the class, we've precisely defined confidentiality for end-to-end encrypted messaging with *symmetric-key encryption*.

But how can we guarantee the **integrity** of a ciphertext?

A Message Authentication Codes (MAC) is a keyed checksum, which is sent along with the message. It takes in a fixed-length secret key and an arbitrary-length message, and outputs a fixed-length checksum. A secure MAC has the property that any change to the message will render the checksum invalid.



# MAC: Definition

A MAC scheme consists of 3 PPT algorithms (Gen, MAC, Verify):

- $\text{Gen}(1^n)$ : Outputs a key  $k$ .
- $\text{MAC}_k(m)$ : Outputs a tag  $t$ .
- $\text{Verify}_k(m, t)$ : Outputs 0/1.

These satisfy 2 properties:

- ① **Correctness:**  $\forall n, k \leftarrow \text{Gen}(1^n), \forall m \in \{0, 1\}^*$ , we have that  $\text{Verify}_k(m, \text{MAC}_k(m)) = 1$ .
- ② **Security:**  $\text{Verify}_k(m, t)$  outputs 1 if and only if  $\text{MAC}_k(m) = t$ .



# MAC: Security Game

The adversary's goal is to **forge** a MAC. The adversary wins only if they output a valid tag on a message that was never previously queried.

The game is between a challenger  $C$  and the adversary  $\mathcal{A}$ .

$\text{MACForge}_{\mathcal{A}, \Pi}(1^n)$ :

- ①  $C$  samples  $k \leftarrow \text{Gen}(1^n)$ .
- ②  $\mathcal{A}$  makes  $MAC$  queries to the challenger. Let  $M$  be the list of queries  $\mathcal{A}$  makes.
- ③ Finally,  $\mathcal{A}$  outputs  $(m^*, t^*)$ .
- ④  $C$  outputs 1 if  $\text{Verify}(m^*, t^*) = 1 \wedge m^* \notin M$  and 0 otherwise.



# MAC: Security Definition

$\Pi = (\text{Gen}, \text{MAC}, \text{Verify})$  is existentially unforgeable under the adaptive chosen attack if  $\forall$  PPT  $\mathcal{A}$  it holds that:

$$\Pr[\text{MACForge}_{\mathcal{A}, \Pi} = 1] \leq \text{negl}(n)$$

# MAC: Tips

- ① If you are asked to construct a new  $MAC'$  and prove its security:
  - Use the system from the proof workshop where your secure underlying building block is the  $MAC$ .
  - Assume there is an adversary  $\mathcal{A}$  that breaks  $MAC'$ .
  - Construct an external adversary  $\mathcal{B}$  that simulates the MACForge game for  $\mathcal{A}$  and uses this to break  $MAC$ . Contradiction!
  - **Hint:**  $\mathcal{B}$  can *tinker* with the what it gets from  $\mathcal{A}$  and what it forwards from its oracle to  $\mathcal{A}$ .
- ② There can be interesting **variations** of unforgeability such as strong unforgeability from Discussion 6, Q2: Adversary can win even if they output a valid tag on a message that was previously queried.
- ③ You can be asked to **compare** the security properties of the MAC security definition with a new primitive.
  - E.g. define a primitive  $x$  that is not a  $MAC$ .



# MAC: Practice Problem (Part (a))

Spring 2021 MT2 Q2

Consider a “CCA-style” extension to the definition of secure message authentication codes, where the adversary is provided with both a *MAC* and a *Verify* oracle. Our starting point will be the “standard” notion of MAC security, called “existential unforgeability under adaptive chosen-message attacks,” and we will consider a variant of this definition that allows for Verify oracle queries.

- (a) Provide a formal definition of CCA-secure MACs. That is, describe an experiment called  $\text{CCA-Mac-Forge}_{\mathcal{A}, \Pi}(n)$ , and provide a security requirement stating that no adversary can win your game except with negligible probability.



## MAC: Practice Problem (Part (a) Solution)

(a) Provide a formal definition of CCA-secure MACs. That is, describe an experiment called  $\text{CCA-Mac-Forge}_{\mathcal{A}, \Pi}(n)$ , and provide a security requirement stating that no adversary can win your game except with negligible probability.

- ① The challenger samples  $k \leftarrow \text{Gen}(1^n)$ .
- ② The adversary  $\mathcal{A}$  is given input  $1^n$  and oracle access to  $\text{Mac}_k(\cdot)$  and  $\text{Verify}_k(\cdot, \cdot)$ . The adversary eventually outputs a pair  $(m, t)$ . Let  $Q$  denote the set of all queries that  $\mathcal{A}$  asked to its  $\text{Mac}_k(\cdot)$  oracle.
- ③ The output of the experiment is defined to be 1 if and only if (1)  $\text{Verify}_k(m, t) = 1$  and (2)  $m \notin Q$ .

$\Pi$  is a CCA-secure MAC if for all adversaries  $\mathcal{A}$ ,

$$\Pr[\text{CCA-Mac-Forge}_{\mathcal{A}, \Pi}(n) = 1] = \text{negl}(n).$$

## MAC: Practice Problem (Part (b))

(b) Assume that  $\Pi$  is a standard secure *deterministic* MAC that has *canonical verification*, meaning that i) the Mac algorithm is deterministic and ii) the Verify algorithm, on input  $(m, t)$ , recomputes  $t' := \text{Mac}_k(m)$  and accepts if  $t' = t$ . Prove that  $\Pi$  also satisfies your definition from part (a).



## MAC: Practice Problem (Part (b) Solution)

When  $\Pi$  is deterministic and has canonical verification, each message has only a single valid tag. Thus, if the scheme is secure, then access to a Verify oracle does not help (and so  $\Pi$  is secure in the sense of the definition given in part (a)). To see this, note that for any query  $(m, t)$  to the Verify oracle there are 3 possibilities:

- ①  $m$  was previously queried to the Mac oracle, and response  $t$  was received. Here the adversary already knows that  $\text{Verify}_k(m, t) = 1$ .
- ②  $m$  was previously queried to the Mac oracle, and response  $t' \neq t$  was received. Since  $\Pi$  is deterministic, the adversary already knows  $\text{Verify}_k(m, t) = 0$ .
- ③  $m$  was not previously queried to the Mac oracle. By security of  $\Pi$ , we can argue that  $\text{Verify}_k(m, t) = 0$  with all but negligible probability because otherwise,  $m, t$  is a valid forgery. Let's prove it.



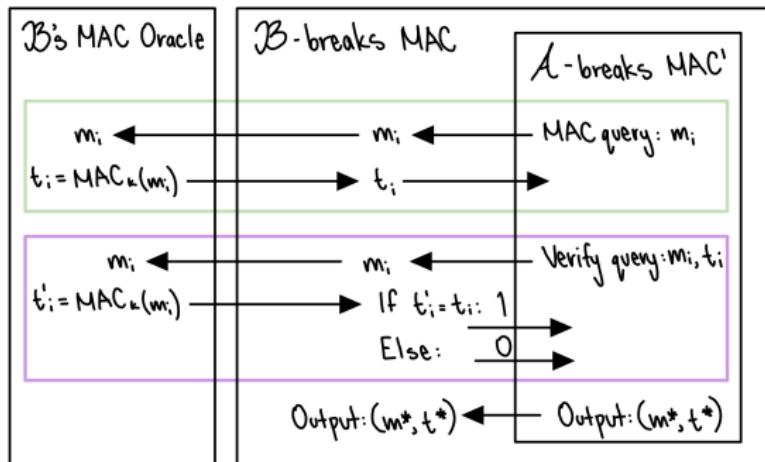
## MAC: Practice Problem (Part (b) Solution Continued)

We want to show that if  $m$  was not previously queried to the Mac oracle, by security of  $\Pi$ , we can argue that  $\text{Verify}_k(m, t) = 0$  with all but negligible probability because otherwise,  $m, t$  is a valid forgery.

Let  $MAC'$  be a CCA-secure MAC. Assume that  $\text{Verify}_k(m, t) = 1$ . Then there exists an adversary  $\mathcal{A}$  that can query a message  $m$  to the verify oracle in the CCA-secure MAC scheme to obtain a valid MAC.

Now construct an adversary  $\mathcal{B}$  that simulates the security game for  $\mathcal{A}$  to win the  $\Pi$  security game.

## MAC: Practice Problem (Part (b) Solution Continued)



We successfully simulate the game for  $\mathcal{A}$  because its queries are accurately answered. So  $\mathcal{A}$  can produce a message that was not previously queried such that  $\text{Verify}_k(m, t) = 0$ , then so can  $\mathcal{B}$ . Contradiction.



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# CRHF: Basic Definitions

- Syntax:

$$H^s(x) = y$$

- A **collision** in  $H^s$  is a pair  $(x, x')$  such that  $x \neq x'$  but  $H^s(x) = H^s(x')$ .
- $H$  is guaranteed to have collisions. We require that  $|y| < |x|$  ( $H$  is **compressing**).
- If it's hard to find those collisions, then the hash function is **collision-resistant**.



# CRHF: Formal Syntax

- The hash function  $\mathcal{H}$  is a pair of algorithms:  $\mathcal{H} = (\text{Gen}, H)$ .
- Gen: outputs a random key/seed  $s$ :

$$s \leftarrow \text{Gen}(1^n)$$

The key is allowed to be public.

- $H^s$ : This is also sometimes referred to as the hash function.  
The output length – and sometimes the input length – are fixed.  
 $H^s$  is deterministic.

# CRHF: Security Game

- **Summary:** The adversary is given  $s$  and a description of  $H$ , and they try to find a collision in  $H^s$  with non-negligible probability.
- Hash-coll $_{\mathcal{A}, \mathcal{H}}(n)$ :

- ① The challenger samples a key  $s \leftarrow \text{Gen}(1^n)$  and gives  $s$  to the adversary  $\mathcal{A}$ .
- ②  $\mathcal{A}$  produces two inputs  $(x, x')$  to  $H^s$ .
- ③  $\mathcal{A}$  wins (and the game outputs 1) if  $(x, x')$  are a collision:

$$x \neq x' \text{ and } H^s(x) = H^s(x')$$

Otherwise,  $\mathcal{A}$  loses (the game outputs 0).

- Note that the adversary can compute  $H^s$  by themselves.

# CRHF: Security Definition

- $\mathcal{H}$  is **collision-resistant** if for any PPT adversary  $\mathcal{A}$ , there is a negligible function  $\text{negl}$  such that:

$$\Pr[\text{Hash-coll}_{\mathcal{A}, \mathcal{H}}(n) = 1] \leq \text{negl}(n)$$

# CRHF: Tips

- The adversary in the CRHF security game is given  $s$  and a description of  $H$ , so they can compute  $H^s(x)$  on any input  $x$  of their choosing.



# CRHF: Practice Problem

- Summary: The problem shows you how to reprogram a hash function so that a given  $x^*$  maps to a given  $y^*$ , while maintaining collision-resistance.
- Source: Midterm 2, Fall 2019, Q 5.2.b



# CRHF: Practice Problem

## The problem:

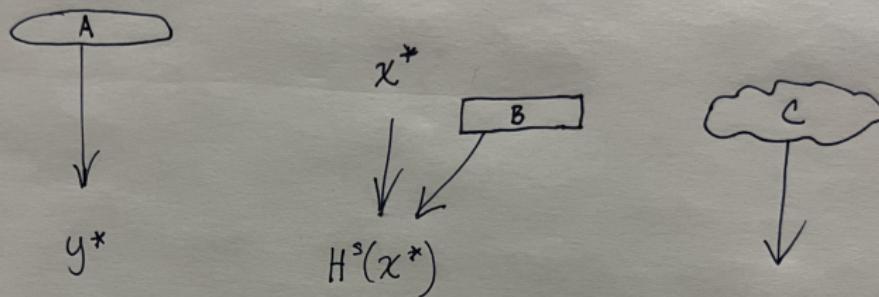
- Let  $\mathcal{H} = (\text{Gen}, H)$  be a CRHF. Let  $x^*$  belong to the domain of  $H^s$ , and let  $y^*$  belong to the range of  $H^s$ .
- Next, for any  $s \leftarrow \text{Gen}(1^n)$ :

$$\text{let } H_1^s(x) = \begin{cases} y^* & \text{if } x = x^* \\ H^s(x^*) & \text{if } x \neq x^* \text{ and } H^s(x) = y^* \\ H^s(x) & \text{otherwise} \end{cases}$$

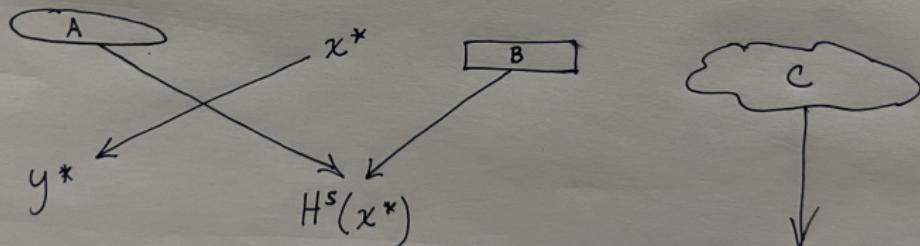
- Prove that  $(\text{Gen}, H_1)$  is a CRHF.

# CRHF: Practice Problem

$H^s :$



$H_1^s :$



# CRHF: Practice Problem Solution

## Theorem

$(\text{Gen}, H_1)$  is a CRHF.

*Proof:*

Overview:

- Assume toward contradiction that  $(\text{Gen}, H_1)$  is not a CRHF. Then there exists an adversary  $\mathcal{A}$  that wins the CRHF game for  $H_1$  (by finding a collision in  $H_1$ ) with non-negligible probability.
- We will use  $\mathcal{A}$  to construct an adversary  $\mathcal{B}$  that wins the CRHF game for  $H$  with non-negligible probability.
- This is a contradiction because  $(\text{Gen}, H)$  is a CRHF. So our initial assumption was false and  $(\text{Gen}, H_1)$  is also a CRHF.



# CRHF: Practice Problem Solution

## Construction of $\mathcal{B}$ :

- ① In the CRHF game for  $H$ , the challenger samples  $s \leftarrow \text{Gen}(1^n)$  and gives  $s$  to the adversary  $\mathcal{B}$ .
- ②  $\mathcal{B}$  will run  $\mathcal{A}$  on input  $s$  until  $\mathcal{A}$  produces two inputs  $(x, x')$ .
- ③  $\mathcal{B}$  makes a list of collision candidates:

$$C := \{(x, x'), (x, x^*), (x', x^*)\}$$

and checks whether each candidate  $(x_1, x_2) \in C$  satisfies the conditions:  $x_1 \neq x_2$  and  $H^s(x_1) \neq H^s(x_2)$ .

- ④  $\mathcal{B}$  outputs the first candidate  $(x_1, x_2) \in C$  that satisfies the conditions.

# CRHF: Practice Problem Solution

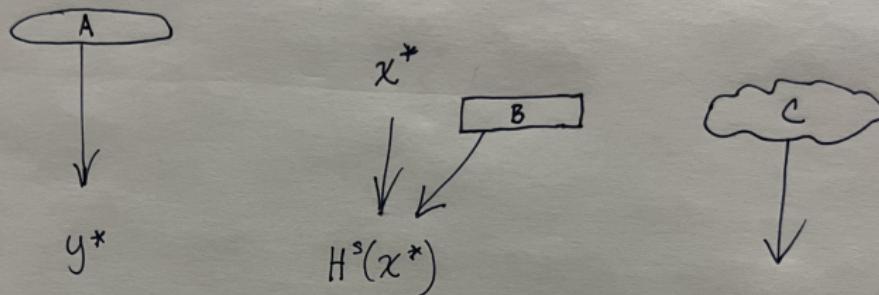
- Note that with non-negligible probability  $(x, x')$  will be a collision in  $H_1^s$ :

$$x \neq x' \text{ and } H_1^s(x) = H_1^s(x')$$

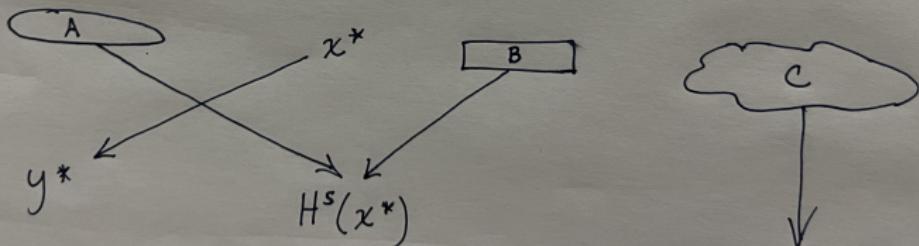
- We will prove that in this case,  $\mathcal{B}$  will succeed in finding a collision in  $H^s$ .

# CRHF: Practice Problem Solution

$H^S :$



$H_1^S :$



# CRHF: Practice Problem Solution

Let's assume that  $(x, x')$  are a collision in  $H_1^s$ . Then consider the following cases:

- Case 1:  $(x, x') \in A$ . Then

$$H^s(x) = y^* = H^s(x')$$

so  $(x, x')$  are a collision in  $H^s$ .

- Case 2:  $(x, x') \in B \cup C$ . Then

$$H^s(x) = H_1^s(x) = H_1^s(x') = H^s(x')$$

so  $(x, x')$  are a collision in  $H^s$ .

# CRHF: Practice Problem Solution

- Case 3:  $x \in A, x' \in B$ . Then

$$H^s(x') = H^s(x^*)$$

so  $(x', x^*)$  are a collision in  $H^s$ .

- Case 4:  $x \in B, x' \in A$ . Then

$$H^s(x) = H^s(x^*)$$

so  $(x, x^*)$  are a collision in  $H^s$ .

# CRHF: Practice Problem Solution

- We also need to consider the possibility that  $H^s(x^*) = y^*$ . In this case, reprogramming the hash function doesn't do anything, so  $H^s = H_1^s$ . Then  $(x, x')$  are a collision in  $H^s$ .



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# OWF: Definition

- Syntax:

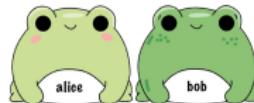
$$f(x) = y$$

- A function  $f : \{0,1\}^* \rightarrow \{0,1\}^*$  is *one-way* if
  - It's easy to compute, i.e., computing  $f(x)$  runs in “probabilistic polynomial time.”, but
  - It's hard to invert, i.e., there is no “probabilistic polynomial time” algorithm that can compute  $f^{-1}(y)$ .
- Note:  $\{0,1\}^* \rightarrow \{0,1\}^*$  means the input and output can be arbitrarily long bit strings.

# OWF: Security

- How can we formally define “hard to invert”?
- OWF-Sec<sub>A,f</sub>(n):
  - ① The challenger randomly samples an input  $x \leftarrow \{0,1\}^n$  and gives  $f(x)$  to the adversary  $\mathcal{A}$  along with  $1^n$ .
  - ②  $\mathcal{A}$  produces a value  $x' \in \{0,1\}^n$ .
  - ③  $\mathcal{A}$  wins (and the game outputs 1) if  $f(x') = f(x)$
  - ④ Otherwise,  $\mathcal{A}$  loses (the game outputs 0).
- The probability  $\mathcal{A}$  wins the above game should be at most  $\text{negl}(n)$  for  $f$  to be secure.
- This can be expressed equivalently as:

$$\Pr_{x \leftarrow \{0,1\}^n} [\mathcal{A}(1^n, f(x)) \in f^{-1}(f(x))] \leq \text{negl}(n).$$



# OWF: Tips

- OWF's are “almost universal” in the sense that most cryptographic primitives imply the existence of OWFs.
- If a question asks you to construct a OWF from a standard-looking primitive, you probably do it.
- The only gotcha is if the given primitive is contrived, e.g. constructing a OWF  $f$  from a PRP  $F$  as follows:

$$f(x_0 \parallel x_1) = F(x_0, x_1)$$

- See discussion 8 for detail on why this example fails.

# OWF: Example Questions

Example questions: construct a one-way function from one of the following primitives:

- A PRG  $G : \{0, 1\}^{n/2} \rightarrow \{0, 1\}^n$
- a CRHF (Gen,  $H$ ) where  $H^s : \{0, 1\}^n \rightarrow \{0, 1\}^{n/2}$
- a one-to-one function (permutation)  $F : \{0, 1\}^n \rightarrow \{0, 1\}^n$  with a hard-concentrate predicate  $hc(\cdot)$ .



# OWF: Practice Problem

- Question: construct a one-way function from a CRHF  $(\text{Gen}, H)$  such that  $H^s : \{0, 1\}^n \rightarrow \{0, 1\}^{n/2}$ .

We'll prove the following theorem:

## Theorem

$f(s \parallel x) = s \parallel H^s(x)$  is a OWF.

# Proving $f(s \parallel x) = s \parallel H^s(x)$ is a OWF

## Step 1: Stating our argument.

- Suppose for the sake of contradiction that  $f$  is not a OWF.
- This implies that there exists an adversary  $\mathcal{A}$  that can win the  $\text{OWF-Sec}_{\mathcal{A}, f}(n)$  security game with  $\text{nonnegl}(n)$  probability.
- We will construct an adversary  $\mathcal{B}$  from  $\mathcal{A}$  that wins  $\text{Hash-coll}_{\mathcal{A}, H}(n)$  with  $\text{nonnegl}(n)$  probability.



# Proving $f(s \parallel x) = s \parallel H^s(x)$ is a OWF

## Step 2: Construction of $\mathcal{B}$ :

- ①  $\mathcal{B}$  is given the truly random seed  $s$  from the CRHF challenger.
- ②  $\mathcal{B}$  samples a random  $x \leftarrow \{0, 1\}^n$  and runs  $\mathcal{A}$  on  $H^s(x)$  to obtain  $x'$ .
- ③ If  $x = x'$ , abort.
- ④ Otherwise, output  $(x, x')$  as a collision.

# Proving $f(s \parallel x) = s \parallel H^s(x)$ is a OWF

## Step 3: Analysing $\mathcal{B}$ :

- ① We need to lower bound the probability that we don't abort (i.e., the probability we win).
- ② First, observe that the probability our random  $x$  collides with  $x'$  by chance ( $H^s(x) = H^s(x')$ ) is upper bounded by the birthday bound,  $2^{-n/2}$ .
- ③ Conditioned on the above *not* happening, the probability that  $x \neq x'$  is at least  $1/2$ .
- ④ Putting these two point together:

$$\begin{aligned} \Pr[x \neq x' | H^s(x) \neq H^s(x')] &\geq \frac{1}{2} - \frac{1}{2^{n/2}} \\ &= \text{nonnegl}(n). \end{aligned}$$

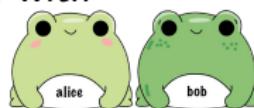
# Proving $f(s \parallel x) = s \parallel H^s(x)$ is a OWF

## Step 4: Wrapping up:

- ① We proved that we don't abort probability  $\sim \frac{1}{2}$ , which is non-negligible.
- ② In the case that  $\mathcal{B}$  doesn't abort, it follows from the construction that  $(x, x')$  are a valid collision.
- ③ Thus,

$$\begin{aligned} & \Pr[\text{Hash-coll}_{\mathcal{B}, H}(n) = 1] \\ &= \Pr[\text{OWF-Sec}_{\mathcal{A}, f}(n) = 1] \cdot \Pr[x \neq x' | H^s(x) \neq H^s(x')] \\ &= \text{nonnegl}(n) \cdot \text{nonnegl}(n) \\ &= \text{nonnegl}(n). \end{aligned}$$

- ④ That is, given an adversary  $\mathcal{A}$  that wins  $\text{OWF-Sec}_{\mathcal{A}, f}(n)$  with non-negligible probability,  $\mathcal{B}$  wins  $\text{Hash-coll}_{\mathcal{B}, H}(n)$  with non-negligible probability—a contradiction  $\square$ .



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# Public Key Encryption: Definition

(The syntax and most properties are very similar to private/symmetric key encryption that we've seen earlier.)

A PKE scheme consists of three PPT algorithms ( $\text{Gen}$ ,  $\text{Enc}$ ,  $\text{Dec}$ ) where

- $\text{Gen}(1^n) \rightarrow (\text{sk}, \text{pk})$
- $\text{Enc}(\text{pk}, m) \rightarrow c$
- $\text{Dec}(\text{sk}, c) \rightarrow m / \perp$

and these satisfy two properties

- **Correctness:**  $\text{Dec}(\text{sk}, \text{Enc}(\text{pk}, m)) = m$ .
- **Security:** EAV = CPA security / CCA security

# PKE: CPA Security

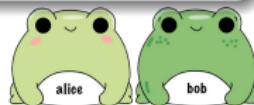
- Challenger samples  $(sk, pk) \leftarrow Gen(1^n)$  and gives  $pk$  to  $\mathcal{A}$ .
- $\mathcal{A}$  outputs two messages  $m_0, m_1$ .
- Challenger samples a bit  $b \in \{0, 1\}$  and outputs  $Enc(pk, m_b)$ .
- $\mathcal{A}$  outputs  $b'$  as a guess for  $b$ .

CPA-secure if for all PPT  $\mathcal{A}$

$$Pr[b' = b] \leq \frac{1}{2} + negl(n)$$

## Intuition

Looking at the ciphertext should not reveal which message was encrypted.



# Tips

- $pk$  is given to the adversary, so no encryption oracle is needed –  $\mathcal{A}$  can locally encrypt whatever it wants.
- $sk$  is unknown, so decryption is not possible – CCA game for PKE gives access to a decryption oracle to  $\mathcal{A}$ .
- Most proof techniques are similar to that of private key encryption schemes:
  - Show that a certain scheme is not CPA/CCA secure – construct an adversary for the game that is able to figure out which message was encrypted.
  - Show that a certain scheme is secure – often relies on the security of some other primitive → *Proof by contradiction*.

# PKE Example: El Gamal Encryption

PKE scheme based on DDH.

- $\text{Gen}(1^n)$ : Generate cyclic group  $\mathbb{G}$  of order  $q$  and a generator  $g$ .  
Sample  $x \in \mathbb{Z}_q$  and  $h = g^x$ .  
**Output**  $pk = (\mathbb{G}, q, g, h)$ ,  $sk = x$
- $\text{Enc}(pk, m) \rightarrow (c_1, c_2)$ : Sample  $r \in \mathbb{Z}_q$ .  
**Output**  $(c_1, c_2) = (g^r, m \cdot h^r)$
- $\text{Dec}(sk, (c_1, c_2)) \rightarrow m$ : **Output**  $m = \frac{c_2}{c_1^x}$

## Correctness

$$\text{Dec}(sk, Enc(pk, m)) = \text{Dec}(sk, (g^r, mh^r)) = \frac{mh^r}{(g^r)^x} = \frac{mh^r}{h^r} = m$$



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# Key Exchange

Consists of three randomized algorithms ( $P_1, P_2, P_3$ ):

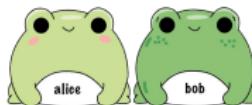
- ① Alice computes  $(m_1, st) \leftarrow P_1(1^n)$  and sends  $m_1$  to Bob.
  - ② Bob computes  $(m_2, k) \leftarrow P_2(m_1)$ . Then he sends  $m_2$  to Alice and outputs  $k$ .
  - ③ Alice computes  $k \leftarrow P_3(st, m_2)$  and outputs  $k$ .
- 
- **Correctness:** Both parties get the same key  $k$ .
  - **Security:** No eavesdropper can distinguish between  $(m_1, m_2, k)$  and  $(m_1, m_2, r)$  where  $r$  is a random element.



# Problem: Key exchange from CPA-Secure PKE

## Question

Given a PKE scheme ( $\text{Gen}, \text{Enc}, \text{Dec}$ ), construct a secure key exchange scheme ( $P_1, P_2, P_3$ ).



# Problem: Key exchange from CPA-Secure PKE

## Question

Given a PKE scheme  $(Gen, Enc, Dec)$ , construct a secure key exchange scheme  $(P_1, P_2, P_3)$ .

## Construction

$P_1(1^n)$ : Run  $Gen(1^n) \rightarrow (sk, pk)$ . Return  $(m_1, st) = (pk, sk)$ .

$P_2(m_1)$ : Sample random  $r$  and run  $Enc(m_1, r) \rightarrow c$ .  
Return  $(m_2, k) = (c, r)$ .

$P_3(m_2, st)$ : Run  $Dec(st, m_2) \rightarrow r'$  and return  $r$ .

# Solution: Key exchange from CPA-Secure PKE

**By contradiction:** Suppose the Key exchange scheme is not secure. Then we have  $\mathcal{A}$  that can distinguish  $(m_1, m_2, k)$  from  $(m_1, m_2, r)$  where  $r$  is random.

We'll construct  $\mathcal{B}$  for the CPA game that distinguishes between encryptions of  $m_0$  or  $m_1$ .

