CS 276, Fall 2024 Prof. Sanjam Garg

## CS 276: Homework 9

Due Date: Friday November 22nd, 2024 at 8:59pm via Gradescope

## 1 Simulation-Sound NIZKs

We will use the Fiat-Shamir transform to convert the interactive sigma protocol from homework 8 into a non-interactive zero-knowledge proof (NIZK).

We will also define the notion of simulation soundness for NIZKs, which combines soundness and zero-knowledge into one security definition. Simulation soundness essentially states that an adversary who sees simulated proofs of true and false statements of their choosing, cannot produce an accepting proof on a different false statement.

Simulation-sound NIZKs can be used to construct CCA2-secure encryption and signatures, among other applications.

The Fiat-Shamir Transform: Let us start with the sigma protocol from homework 8 and make it non-interactive by computing the verifier's message m with a random oracle  $\mathcal{H}$  applied to the partial transcript of the protocol. This is known as the Fiat-Shamir transform.

As in homework 8, let  $\mathbb{G}$  be a cryptographic group of prime order p, where  $\frac{1}{p} = \mathsf{negl}(\lambda)$ . Let  $d_{in}, d_{out} \in \mathbb{N}$  be the dimensions of the input and output spaces, respectively. A function F mapping  $\mathbb{Z}_p^{d_{in}} \to \mathbb{G}^{d_{out}}$  is homomorphic if for any  $\mathbf{x}, \mathbf{x}' \in \mathbb{Z}_p^{d_{in}}$ ,  $F(\mathbf{x} + \mathbf{x}') = F(\mathbf{x}) \cdot F(\mathbf{x}')$ . An instance of the language L is any tuple  $(F, \mathbf{y})$  such that F is a homomorphic function mapping  $\mathbb{Z}_p^{d_{in}} \to \mathbb{G}^{d_{out}}$ , and  $\mathbf{y} \in \mathsf{Im}(F)$ . The corresponding witness is an input  $\mathbf{x} \in \mathbb{Z}_p^{d_{in}}$  such that  $F(\mathbf{x}) = \mathbf{y}$ .

Additionally, let us assume that if we sample  $\mathbf{x} \stackrel{\$}{\leftarrow} \mathbb{Z}_p^{d_{in}}$ , then  $F(\mathbf{x})$  has min-entropy  $\omega(\log^2(\lambda))$ . In other words, for any  $\mathbf{y} \in \mathbb{G}^{d_{out}}$ ,

$$\Pr_{\mathbf{x} \overset{\mathfrak{D}}{\leftarrow} \mathbb{Z}_p^{d_{in}}} [F(\mathbf{x}) = \mathbf{y}] \leq 2^{-\omega(\log^2(\lambda))} = \mathsf{negl}(\lambda)$$

Also, let  $\mathcal{H}$  be a random oracle mapping  $\mathbb{G}^{d_{out}} \times \mathbb{G}^{d_{out}} \to \mathbb{Z}_p$ . Finally, the NIZK is a pair of algorithms (Prove, Verify), which are constructed as follows.

## $\mathsf{Prove}(\mathbf{x}, \mathbf{y})$ :

- 1. Sample  $\mathbf{a} \stackrel{\$}{\leftarrow} \mathbb{Z}_p^{d_{in}}$ , and compute  $\mathbf{b} = F(\mathbf{a})$ .
- 2. Compute  $m = \mathcal{H}(\mathbf{y}, \mathbf{b})$ .
- 3. Compute  $\mathbf{c} = m \cdot \mathbf{x} + \mathbf{a}$  and output  $\pi = (\mathbf{b}, \mathbf{c})$ .

## Verify( $\mathbf{y}, \pi$ ):

- 1. Compute  $m = \mathcal{H}(\mathbf{y}, \mathbf{b})$ .
- 2. If  $F(\mathbf{c}) = \mathbf{y}^m \cdot \mathbf{b}$ , then output accept. Else output reject.

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**Zero-Knowledge:** Let us define the notion of zero-knowledge for NIZKs.

**Definition 1.1 (Zero-Knowledge Adversary and Simulator)** The zero-knowledge adversary  $\mathcal{A}$  is run in one of the following games,  $\mathcal{G}_{\mathsf{Real}}$  or  $\mathcal{G}_{\mathsf{Ideal}}$ , and they are not told which one.  $\mathcal{A}$  makes proof queries of the form  $(\mathbf{x}, \mathbf{y}) \in \mathbb{Z}_p^{d_{in}} \times \mathbb{G}^{d_{out}}$ , where  $F(\mathbf{x}) = \mathbf{y}$ , and random oracle queries of the form  $(\mathbf{y}, \mathbf{b}) \in \mathbb{G}^{d_{out}} \times \mathbb{G}^{d_{out}}$ , and finally they output a bit b in order to guess which game they are in.

In the real world,  $\mathcal{G}_{\mathsf{Real}}$ , the challenger samples a random oracle  $\mathcal{H}$  and responds to each random oracle query with  $\mathcal{H}(\mathbf{y}, \mathbf{b})$ . For each proof query  $(\mathbf{x}, \mathbf{y})$ , the challenger responds with  $\pi = \mathsf{Prove}(\mathbf{x}, \mathbf{y})$ .

In the ideal world,  $\mathcal{G}_{\mathsf{Ideal}}$ , there is a PPT simulator  $\mathcal{S}$  that handles the queries.  $\mathcal{S}$  receives each random oracle query  $(\mathbf{y}, \mathbf{b})$  and computes the response  $\mathcal{S}.\mathsf{RO}(\mathbf{y}, \mathbf{b})$ . For each proof query,  $(\mathbf{x}, \mathbf{y})$  such that  $F(\mathbf{x}) = \mathbf{y}$ ,  $\mathcal{S}$  only receives  $\mathbf{y}$  and must compute the response  $\mathcal{S}.\mathsf{Prove}(\mathbf{y})$ .

**Definition 1.2 (Zero-Knowledge for NIZKs)** The NIZK satisfies **zero-knowledge** if there exists a PPT simulator S such that for all PPT adversaries A,

$$|\Pr[\mathcal{A} \to 1 \text{ in } \mathcal{G}_{\mathsf{Real}}] - \Pr[\mathcal{A} \to 1 \text{ in } \mathcal{G}_{\mathsf{Ideal}}]| = \mathsf{negl}(\lambda)$$

Simulation Soundness: In the definition of zero-knowledge, S is only required to output an accepting proof for a statement in the language (i.e. an  $(\mathbf{x}, \mathbf{y})$  for which  $F(\mathbf{x}) = \mathbf{y}$ ). Simulation soundness allows the adversary to run S on false statements as well (where  $\mathbf{y} \notin \text{Im}(F)$ ) and guarantees that the adversary cannot forge an accepting proof on a new false statement.

**Definition 1.3 (Simulation Soundness Game**  $\mathcal{G}_{SS}$ ) The simulation soundness adversary  $\mathcal{B}$  interacts with  $\mathcal{S}$  directly.  $\mathcal{B}$  can make proof queries of the form  $\mathbf{y} \in \mathbb{G}^{d_{out}}$  and receives the response  $\mathcal{S}.\mathsf{Prove}(\mathbf{y})$ .  $\mathcal{B}$  can also make random oracle queries of the form  $(\mathbf{y}, \mathbf{b}) \in \mathbb{G}^{d_{out}} \times \mathbb{G}^{d_{out}}$  and receives the response  $\mathcal{S}.\mathsf{RO}(\mathbf{y}, \mathbf{b})$ .

Finally  $\mathcal{B}$  outputs a statement-proof tuple  $(\mathbf{y}^*, \pi^*)$ , which the challenger verifies by computing Verify $(\mathbf{y}^*, \pi^*)$ . If Verify queries the random oracle, the challenger queries  $\mathcal{S}.\mathsf{RO}$ .

 $\mathcal{B}$  wins  $\mathcal{G}_{SS}$  if  $(\mathbf{y}^*, \pi^*)$  was not a previous query-response pair for  $\mathcal{S}$ . Prove, and Verify  $(\mathbf{y}^*, \pi^*)$  outputs accept, and  $\mathbf{y} \notin Im(F)$  ( $\mathbf{y}$  is a false statement).

**Definition 1.4 (Simulation Soundness)** A NIZK is simulation-sound if there exists a PPT simulator S such that the following hold:

• Zero Knowledge: For all PPT zero-knowledge adversaries A,

$$|\Pr[A \to 1 \text{ in } \mathcal{G}_{\mathsf{Real}}] - \Pr[A \to 1 \text{ in } \mathcal{G}_{\mathsf{Ideal}}]| = \mathsf{negl}(\lambda)$$

• Unforgeability: For all PPT simulation soundness adversaries  $\mathcal{B}$ ,

$$\Pr[\mathcal{B} \ wins \ \mathcal{G}_{SS}] = \mathsf{negl}(\lambda)$$

**Question:** Prove that the NIZK (Prove, Verify) constructed above satisfies simulation soundness.