# Cryptography Algebraic Structures

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### Groups

#### Definition

A group G is a set together with a law of composition

$$\circ: G \times G \rightarrow G$$

such that the following properties are satisfied:

- For all  $a, b, c \in G$  one has  $(a \circ b) \circ c = a \circ (b \circ c)$  (associative law).
- There is an *identity* element  $e \in G$  such that  $e \circ g = g \circ e = g$  for all  $g \in G$  (*identity element*).
- For every  $g \in G$  there is an *inverse* element  $x \in G$  with  $g \circ x = x \circ g = e$  (*inverse element*).

The group is called *abelian* or *commutative* if for all  $a, b \in G$ , one has  $a \circ b = b \circ a$  (*commutative law*).

# **Examples of Groups**

- $\blacksquare$  ( $\mathbb{Z},+$ ) is an additive abelian group with e=0.
- $(\mathbb{R} \setminus \{0\}, \cdot)$  is a multiplicative abelian group with e = 1.
- $(\mathbb{Z}_n, +)$  (residue classes modulo n) is an additive abelian group with n elements with  $e = 0 \mod n$ .
- $(\mathbb{Z}_n^*, \cdot)$  (units modulo n) is a multiplicative abelian group with  $\varphi(n)$  elements,  $e = 1 \mod n$  and

$$\mathbb{Z}_n^* = \{x \bmod n \mid x \in \mathbb{Z} \text{ and } \gcd(x, n) = 1\}.$$

- Let p be a prime. Then  $(\mathbb{Z}_p^*, \cdot)$  is a multiplicative abelian group containing the p-1 residue classes  $1, 2, \dots, p-1 \mod p$ .
- The permutations of  $\{1, 2, ..., n\}$  form a non-commutative group with n! elements.

# Higher-dimensional Examples

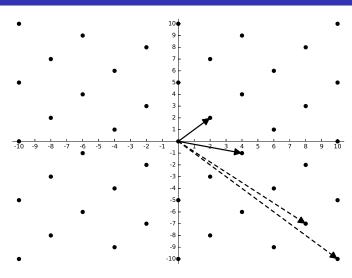
- Any vector space, e.g.  $\mathbb{R}^n$ , is a group with respect to the addition of vectors.
- Let  $q \in \mathbb{N}$  be a modulus. Then  $\mathbb{Z}_q^n$  is group (with respect to addition of vectors) having  $q^n$  elements.
- $\blacksquare$   $\mathbb{Z}^n$  is a group (a *lattice*) with respect to addition of vectors.
- A general *n*-dimensional lattice *L* can be defined as follows: let  $v_1, v_2, ..., v_n \in \mathbb{R}^n$  be a set of linearly independent vectors, i.e., a basis. Then take all *integral* combinations of  $v_1, v_2, ..., v_n$ :

$$L = \{ v \in \mathbb{R}^n \mid v = x_1 v_1 + x_2 v_2 + \dots + x_n v_n \text{ where } x_1, x_2, \dots, x_n \in \mathbb{Z} \}$$

A lattice *L* is a group (with respect to addition of vectors).

High-dimensional lattices (say n > 500) are used in *post-quantum cryptography*. Many computations in lattices are efficient, but finding a *short*, *non-zero vector* in a random lattice is supposed to be a hard problem, even for quantum computers.

### Example of a Lattice and two different Bases



### Homomorphism and Isomorphism

Maps between groups should respect their group structure.

#### Definition

Let  $f: G_1 \to G_2$  be a map between two groups  $G_1$ ,  $G_2$ . Then f is called a *group homomorphism* if

$$f(g \circ g') = f(g) \circ f(g')$$

for all  $g, g' \in G_1$ . A bijective group homomorphism is called an *isomorphism*. If f is an isomorphism, then we say  $G_1$  is *isomorphic* to  $G_2$  and write  $G_1 \cong G_2$ .

*Warning:* A bijection between two groups does not necessarily imply that they are isomorphic! For example, there is a bijection between the additive groups  $\mathbb{Z}_4$  and  $\mathbb{Z}_2 \times \mathbb{Z}_2$ , but they are not isomorphic.

### Examples of Homomorphisms and Isomorphisms

- The projection map  $f: \mathbb{Z} \to \mathbb{Z}_n$ , defined by  $f(k) = k \mod n$ , is a surjective homomorphism.
- Let  $G_1 = (\mathbb{Z}_4, +) = \{\overline{0}, \overline{1}, \overline{2}, \overline{3}\}$  be the additive group of integers modulo 4 and  $G_2 = (\mathbb{Z}_5^*, \cdot) = \{\overline{1}, \overline{2}, \overline{3}, \overline{4}\}$  the multiplicative group of units modulo 5. The map  $f : G_1 \to G_2$ , defined by

$$f(k \bmod 4) = 2^k \bmod 5,$$

is a well defined homomorphism and bijective (why?). Therefore, *f* is an isomorphism and

$$(\mathbb{Z}_4,+)\cong (\mathbb{Z}_5^*,\cdot).$$

### Isomorphisms

The isomorphism  $(\mathbb{Z}_4,+)\cong (\mathbb{Z}_5^*,\cdot)$  can be generalized to

$$(\mathbb{Z}_{p-1},+)\cong (\mathbb{Z}_p^*,\cdot), \ f(k \bmod p-1)=g^k \bmod p$$

for a prime number p and a generator g of  $\mathbb{Z}_p^*$  (see below). However, the inverse map is hard to compute (for large p): the exponent k is callled the *discrete logarithm* for which no efficient algorithm is known.

Therefore, the existence of an isomorphism  $G_1 \cong G_2$  does not imply that switching between the groups  $G_1$  and  $G_2$  is easy.

### Subgroups

#### Definition

Let *G* be a group. A *subgroup H* of *G* is a subset of *G*, which contains the identity element and is closed under the law of composition and inverse.

#### Example:

Let  $G=(\mathbb{Z}_5^*,\cdot)$  and  $H=\{\overline{1},\overline{4}\}$ . Since  $4^2\equiv 1 \mod 5$ , we see that H is a subgroup of G. However,  $S=\{\overline{1},\overline{2}\}$  is not a subgroup of G. (Why?)

### Subgroups generated by Elements

Each group element generates a subgroup:

#### Definition

Let G be a group and  $g \in G$ . The set  $< g > = \{g^k \mid k \in \mathbb{Z}\}$  is called the *subgroup generated by g*. Here we used the multiplicative notation. For an additive group, we write  $< g > = \{k \cdot g \mid k \in \mathbb{Z}\}$ .

The subgroups  $\langle g \rangle$  are called *cyclic* groups (see below).

Example: Let  $G = \mathbb{Z}_5^*$ .

- a)  $<\overline{4}>=\{\overline{1},\overline{4}\}$ , since  $4^0=1 \mod 5$ ,  $4^1=4 \mod 5$ ,  $4^2=1 \mod 5$ ,  $4^3=4 \mod 5$  etc.
- b)  $<\overline{2}>=\{\overline{1},\overline{2},\overline{3},\overline{4}\}=\mathbb{Z}_{5}^{*},$  since  $2^{0}=1$  mod 5,  $2^{1}=2$  mod 5,  $2^{2}=4$  mod 5,  $2^{3}=3$  mod 5,  $2^{4}=1$  mod 5 etc.

### Order of Groups and Subgroups

#### Definition (Order of Groups and Elements)

Let G be a group. The order of G, denoted by  $\operatorname{ord}(G)$ , is the number of elements of G (or infinity). Let  $g \in G$ . Then the order of the element g, denoted by  $\operatorname{ord}(g)$ , is the order of the subgroup generated by g, i.e.,  $\operatorname{ord}(g) = \operatorname{ord}(\langle g \rangle)$ .

#### Theorem (Lagrange)

Let G be a finite group and  $H \subset G$  a subgroup. Then the order of H divides the order of G:

$$ord(H) \mid ord(G)$$

In particular, we have for every  $g \in G$ : ord $(g) \mid ord(G)$ .

Example: If ord (G) = 26, for example  $G = (\mathbb{Z}_{26}, +)$  and  $g \in G$ , then ord  $(g) \in \{1, 2, 13, 26\}$ . Can you give elements in  $\mathbb{Z}_{26}$  of these orders?

### **Euler's Theorem**

#### Theorem (Euler)

Let G be a finite group and  $g \in G$ , then

$$g^{ord(G)}=e.$$

This follows from  $g^{\text{ord}(g)} = e$  and  $\text{ord}(g) \mid \text{ord}(G)$ .

We apply Euler's Theorem to  $G = \mathbb{Z}_n^*$ . In this case, ord  $(G) = \varphi(n)$ .

For all  $x \in \mathbb{Z}$  with gcd(x, n) = 1, i.e., for  $x \mod n \in \mathbb{Z}_n^*$ , we have:

$$x^{\varphi(n)} \equiv 1 \mod n$$
.

For a prime modulus p, it follows that

$$x^{p-1} \equiv 1 \mod p$$
 and  $x^p \equiv x \mod p$ .

# Cyclic Groups

#### Definition

Let G be a group and  $g \in G$ . If  $\langle g \rangle = G$  then G is called a *cyclic group* and we say that g is a *generator* of G.

The elements of a cyclic group G with generator g are

$$G = {\ldots, g^{-2}, g^{-1}, e, g, g^2, g^3, \ldots}.$$

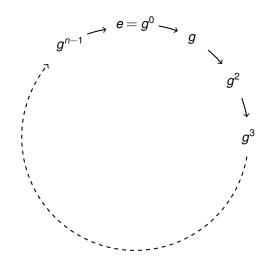
If  $\operatorname{ord}(g) = n$  then  $g^n = e$  and thus

$$G = \{e, g, g^2, g^3, \dots, g^{n-1}\}.$$

The map  $f: \mathbb{Z}_n \to G$ ,  $f(k \mod n) = g^k$ , is an isomorphism and hence

$$(\mathbb{Z}_n,+)\cong (G,\circ).$$

### Illustration of Finite Cyclic Groups



### Generators of the Integers modulo n

Finding generators of the *additive* group  $G = (\mathbb{Z}_n, +)$  is easy: G is cyclic of order n and 1 mod n is a generator. In general, an integer x is a generator modulo n if and only if gcd(x, n) = 1.

For the *multiplicative* group  $G = (\mathbb{Z}_n^*, \cdot)$ , finding generators is more difficult. It depends on n whether G is cyclic or not. If a generator exists, then we call it a *primitive root* modulo n.

*Example:*  $G = (\mathbb{Z}_5^*, \cdot)$  is cyclic of order 4 and  $< 2 > = \mathbb{Z}_5^*$ . Hence 2 mod 5 is a primitive root modulo 5.

#### Theorem

Let p be a prime; then  $(\mathbb{Z}_p^*, \cdot)$  is a cyclic group of order p-1. The number of primitive roots is  $\varphi(p-1)$ .

### Computing the Order and finding Generators

Let G by a cyclic group of order n and  $g \in G$ . How can we find  $\operatorname{ord}(g)$  or verify if g is a generator of G? Using the definition, i.e., computing all powers  $g^0, g^1, g^2, \ldots, g^{n-1}$  is inefficient. However, we know that  $\operatorname{ord}(g) \mid n$ . Hence it is sufficient to compute  $g^a$  for the non-trivial divisors a of n. In fact, it suffices to check the exponents  $a = \frac{n}{\rho}$  for all prime factors p of n. If  $g^a = e$  then  $\operatorname{ord}(g) \mid a$ . If on the other hand  $g^a \neq e$  for all  $a = \frac{n}{\rho}$  then  $\operatorname{ord}(g) = n$ .

Example: Let  $G=\mathbb{Z}_{53}^*$ . Since 53 is a prime, G is a cyclic group of order 52. We want to check whether  $g=2 \mod 53$  is a generator of G. The factorization  $52=2^2\cdot 13$  gives the prime factors 2 and 13. One computes  $g^{52/13}=2^4=16\not\equiv 1$  and  $g^{52/2}=2^{26}\equiv 52 \mod 53\not\equiv 1$ . Therefore,  $\operatorname{ord}(g)=52$ .

### Chinese Remainder Theorem

#### Theorem (Chinese Remainder Theorem)

Let  $a,b \in \mathbb{N}$  be relatively prime, i.e.  $\gcd(a,b)=1$ . Let n=ab, then the natural map  $f: \mathbb{Z}_n \to \mathbb{Z}_a \times \mathbb{Z}_b$ ,  $f(k \mod n)=(k \mod a, k \mod b)$  is an isomorphism:

$$\mathbb{Z}_n \cong \mathbb{Z}_a \times \mathbb{Z}_b$$

How is  $f^{-1}$  defined? Let  $(k_1 \mod a, \ k_2 \mod b) \in \mathbb{Z}_a \times \mathbb{Z}_b$ . We need to find  $k \in \mathbb{Z}$  with  $k \equiv k_1 \mod a$  and  $k \equiv k_2 \mod b$ . Since  $\gcd(a,b) = 1$ , the Extended Euclidean Algorithm gives  $x, y \in \mathbb{Z}$  such that ax + by = 1. This implies  $ax \equiv 1 \mod b$  and  $by \equiv 1 \mod a$ . Now set

$$k = k_1 by + k_2 ax$$
.

Then  $k \equiv k_1 by \equiv k_1 \mod a$ , and  $k \equiv k_2 ax \equiv k_2 \mod b$ , as desired.

### Chinese Remainder Theorem II

The Chinese Remainder Theorem (CRT) can be generalized to arbitrary finite abelian groups (and even to rings, see below).

#### Theorem (Fundamental Theorem of Abelian Groups)

Let G be a finite abelian group. Then G is isomorphic to a product of cyclic groups  $\mathbb{Z}_{p^k}$  of prime-power order.

#### Examples:

- 11 An abelian group G of order 15 is isomorphic to  $\mathbb{Z}_3 \times \mathbb{Z}_5$ .
- For a group G of order 4, there are two (non-isomorphic) possibilities:  $\mathbb{Z}_4$  and  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

### Ring

#### Definition

A *ring* (or more precisely, a commutative ring with unity) is a set R with two operations (addition + and multiplication  $\cdot$ ) such that:

- $\blacksquare$  (R,+) is an abelian group. The identity element is denoted by 0.
- (R,·) satisfies the associative law, is commutative and has an identity element denoted by 1. The existence of an inverse element is not required.
- $\mathbf{x} \cdot (y+z) = (x \cdot y) + (x \cdot z)$  for all  $x, y, z \in R$  (distributivity).

*Examples:*  $\mathbb{Z}$  and  $\mathbb{Z}_n$  are rings with respect to addition and multiplication of integers and residue classes, respectively.

### Units

#### Definition

Let R be a ring, then the subset of invertible elements with respect to multiplication is called the *units* of R and denoted by  $R^*$ . The units form an abelian group.

Examples:

$$\mathbb{Z}^* = \{1, -1\}$$
 
$$\mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$$
 
$$\mathbb{Z}_n^* = \{x \bmod n \mid x \in \mathbb{Z} \text{ and } \gcd(x, n) = 1\}$$

### Ring Homomorphism

Ring homomorphisms are compatible with addition and multiplication.

#### Definition

Let  $f: R_1 \to R_2$  be a map between the rings  $R_1$  and  $R_2$ . Then f is called a *ring homomorphism* if

- 1 f(x+y) = f(x) + f(y) for all  $x, y \in R_1$ , and
- $f(x \cdot y) = f(x) \cdot f(y)$  for all  $x, y \in R_1$ , and
- 3 f(1) = 1.

A bijective ring homomorphism is called an *isomorphism*:  $R_1 \cong R_2$ .

### Example of a Ring Isomorphism

Let  $p, q \in \mathbb{N}$  be different prime numbers and n = pq, then the Chinese Remainder Theorem gives a *ring isomorphism* f:

$$\mathbb{Z}_n \cong \mathbb{Z}_p \times \mathbb{Z}_q$$
,  $f(x \mod n) = (x \mod p, x \mod q)$ .

*f* not only gives an isomorphism of additive groups, but also of multiplicative groups:

$$\mathbb{Z}_n^* \cong \mathbb{Z}_p^* \times \mathbb{Z}_q^*$$

This can be leveraged for RSA decryption: the computations are done modulo p and q instead of modulo p. Since p and q are smaller, computations are more efficient. However, one has to apply  $f^{-1}$  at the end to obtain a result modulo p.

#### Field

#### Definition

A ring K is called a *field* if  $0 \neq 1$  and all nonzero elements are invertible, i.e., if  $K^* = K \setminus \{0\}$ .

*Examples:*  $\mathbb{Q}$ ,  $\mathbb{R}$  and  $\mathbb{C}$  are fields, but  $\mathbb{Z}$  is not a field.  $\mathbb{Z}_n$  is a field if and only if n is a prime.

#### Definition

Let p be a prime. Then the field  $(\mathbb{Z}_p, +, \cdot)$  with p elements is called the Galois Field GF(p).

Example: The smallest field is GF(2).

### Finite Fields

GF(p) is a field of prime order. Can we construct finite fields of other orders?

#### Proposition

Let K be a finite field. Then  $ord(K) = p^n$ , where p is a prime number and  $n \in \mathbb{N}$ .

However, the obvious candidates are not necessarily fields. In fact,  $\mathbb{Z}_{p^n}$  is a ring with  $p^n$  elements, but not a field if  $n \geq 2$ . Note that  $p \mod p^n$  is nonzero in  $\mathbb{Z}_{p^n}$ , but not invertible.

The construction of a field  $GF(p^n)$  of order  $p^n$  is a bit more involved and requires polynomial rings.

# Polynomial Rings

#### Definition

Let K be a field, then K[x] is called the *ring of polynomials* over K. K[x] contains all expressions

$$f(x) = \sum_{i=0}^{n} a_i x^i = a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n$$

where  $a_i \in K$  and  $n \ge 0$  is an integer. The degree  $\deg(f)$  of f is equal to n if  $a_n \ne 0$ . The degree of constant polynomials is 0. A polynomial is called *monic* if  $a_n = 1$ .

Polynomials can be added and multiplied in the obvious way.

#### Proposition

The polynomials  $(K[x], +, \cdot)$  over K form a ring.

### Division of Polynomials

Obviously, K[x] is not a field since polynomials of degree  $\geq 1$  cannot be inverted multiplicatively. But we have a *division with remainder*. Let  $f(x), g(x) \in K[x]$  with  $g(x) \neq 0$ . Then the division f(x) : g(x) gives a quotient  $g(x) \in K[x]$  and a remainder  $f(x) \in K[x]$  such that

$$f(x) = q(x)g(x) + r(x)$$
, where  $\deg(r) < \deg(g)$ .

Obviously, g(x) divides f(x) if and only if the remainder is 0.

Example: Let  $f(x) = x^6 + x^5 + x^3 + x^2 + x + 1$  and  $g(x) = x^4 + x^3 + 1$  be polynomials in GF(2)[x]. The quotient of f(x) : g(x) is  $g(x) = x^2$ , the remainder is  $f(x) = x^3 + x + 1$ , and we have an equation

$$x^6 + x^5 + x^3 + x^2 + x + 1 = x^2(x^4 + x^3 + 1) + (x^3 + x + 1).$$

### Residue Classes

We define residue classes of polynomials:

#### Definition

Let  $g \in K[x]$  be a polynomial with  $\deg(g) \ge 1$ , then g(x) defines an equivalence relation on K[x]:

$$f_1(x) \sim f_2(x)$$
 if  $f_1(x) - f_2(x) = q(x)g(x)$  for some  $q(x) \in K[x]$ .

Equivalent polynomials  $f_1$  and  $f_2$  have the same remainder when divided by g(x). We say they are congruent modulo g(x) and write  $f_1(x) \equiv f_2(x) \mod g(x)$ . The set of equivalence classes or residue classes modulo g(x) is denoted by K[x]/(g(x)).

Example (see above):

$$x^6 + x^5 + x^3 + x^2 + x + 1 \equiv x^3 + x + 1 \mod (x^4 + x^3 + 1).$$

### **Quotient Ring**

#### **Proposition**

Let  $g \in K[x]$  and  $n = \deg(g) \ge 1$ , then K[x]/(g(x)) is again a ring called quotient ring, factor ring or residue class ring, with the operations induced by K[x]. Each residue class has a unique standard representative, a polynomial of degree less than n.

The ring structure can be easily verified. The standard representative can be found by division with remainder: let  $f(x) \in K[x]$  be any representative of a residue class. We divide f(x) by g(x) and obtain polynomials g(x), r(x) with

$$f(x) = q(x)g(x) + r(x), \ \deg(r) < n.$$

This equation implies  $f(x) \equiv r(x) \mod g(x)$ , and r(x) is the standard representative of the class  $f(x) \mod g(x)$ .

# Polynomial Rings over GF(p) and their Quotient Rings

#### Proposition

Let p be a prime and  $g \in GF(p)[x]$  a polynomial of degree n. Then the quotient ring GF(p)[x]/(g(x)) has  $p^n$  elements.

In fact, the standard representatives of GF(p)[x]/(g(x)) are the polynomials

$$f(x) = a_0 + a_1 x + \cdots + a_{n-1} x^{n-1}$$
.

Since there are p possible elements for each coefficient  $a_i$  and n coefficients, there are  $p^n$  such polynomials.

### Example

Polynomial rings and their quotient rings are often used in modern cryptography, e.g. for ring-based lattices.

Let  $R = GF(17)[x]/(x^4 + 1)$ . This ring has  $17^4$  elements which can be represented by polynomials of degree < 4 over GF(17):

$$f(x) = a_0 + a_1x + a_2x + a_3x^3$$
 with  $a_0, a_1, a_2, a_3 \in GF(17)$ 

Hence elements in R can be identified with vectors in  $GF(17)^4$ . Addition is the obvious addition of residue classes modulo 17 in each coordinate.

However, multiplication is more complicated: polynomials have to be multiplied and the result is reduced modulo  $x^4+1$ , which means that  $x^4$  is replaced by -1,  $x^5$  by -x,  $x^6$  by  $-x^2$  etc.

### Example (NTT)

The *Number Theoretic Transform* (NTT) can help to speed up the multiplication. For the above polynomial  $x^4 + 1$  over GF(17), one has the following factorization:

$$x^4 + 1 = (x-2) \cdot (x-8) \cdot (x-9) \cdot (x-15)$$

In other words, the residue classes 2,8,9,15 mod 17 are roots (zeros) of the polynomial  $x^4 + 1$  (and they are in fact 8-th root of unity) over GF(17). The Chinese Remainder Theorem yields a ring isomorphism

NTT: 
$$GF(17)[x]/(x^4+1) \cong$$
  
 $GF(17)[x]/(x-2) \times GF(17)[x]/(x-8) \times GF(17)[x]/(x-9) \times GF(17)[x]/(x-15) \cong$   
 $GF(17)^4$ 

The NTT maps a polynomial  $f(x) \mod (x^4 + 1)$  to the vector  $(f(2), f(8), f(9), f(15)) \in GF(17)^4$ . After applying the NTT, multiplication is component-wise and hence more efficient.

### Example (Inverse NTT)

How can we find the inverse NTT map?

$$NTT^{-1}: GF(17)^4 \longrightarrow GF(17)[x]/(x^4+1)$$

 $NTT^{-1}$  maps a vector  $(y_0, y_1, y_2, y_3) \in GF(17)^4$  to a polynomial f(x) of degree < 4 such that

$$(f(2), f(8), f(9), f(15)) = (y_0, y_1, y_2, y_3) \in GF(17)^4$$

The polynomial f is given by interpolation and there are explicit formulas (e.g., Lagrange interpolation).

### Irreducible Polynomials

Now we want to construct a *field* with  $p^n$  elements. To this end, we use *irreducible* polynomials of degree n over GF(p).

#### Definition

A polynomial  $g(x) \in K[x]$  is called *irreducible*, if it cannot be factored into two polynomials of smaller degree. Otherwise, the polynomial is called *reducible*.

Irreducible polynomials can be seen as the *prime elements* in the polynomial ring.

Example: We have seen above that

$$x^4 + 1 = (x-2) \cdot (x-8) \cdot (x-9) \cdot (x-15) \mod 17.$$

Hence  $x^4 + 1$  is *reducible* in GF(17)[x].

### Properties and Examples of Irreducible Polynomials

Irreducible polynomials in K[x] do not possess any zeros  $a \in K$ , since otherwise a linear factor (x - a) can be split off. However, there are reducible polynomials (of degree  $\geq 4$ ) without zeros!

Example: 
$$g(x) = x^4 + x^2 + 1$$
 has no zeros over  $GF(2)$ , but  $g(x) = (x^2 + x + 1)^2$  in  $GF(2)[x]$ . Hence  $g(x)$  is reducible.

Degree	Irreducible Polynomials over $GF(2)$			
2	$x^2 + x + 1$			
3	$x^3 + x + 1$ , $x^3 + x^2 + 1$			
4	$x^4 + x + 1, \ x^4 + x^3 + x^2 + x + 1,$			
	$x^4 + x^3 + 1$			
5	$x^5 + x^2 + 1$ , $x^5 + x^3 + x^2 + x + 1$ ,			
	$x^5 + x^3 + 1$ , $x^5 + x^4 + x^3 + x + 1$ ,			
	$x^5 + x^4 + x^3 + x^2 + 1$ , $x^5 + x^4 + x^2 + x + 1$			

### Euclidean Algorithm for Polynomials

#### Definition

Let  $f(x), g(x) \in K[x]$  be nonzero polynomials, then the *greatest* common divisor gcd(f,g) is the monic polynomial of highest possible degree that divides f(x) and g(x).

The greatest common divisor (gcd) of two polynomials can be efficiently computed using the *Extended Euclidean Algorithm*. The algorithm takes two polynomials f and g as input and outputs gcd(f,g) along with two polynomials a(x) and b(x) such that

$$gcd(f,g) = a(x)f(x) + b(x)g(x).$$

### Construction of Field Extensions

#### **Proposition**

Let  $g(x) \in K[x]$  be an irreducible polynomial. Then the quotient ring K[x]/(g(x)) is an extension field of K.

Why is this true? Obviously, K[x] is not a field. However, we can use the *Extended Euclidean Algorithm for polynomials* to invert a polynomial f modulo g. Since g is irreducible, we have gcd(f,g)=1 (unless f is zero or a multiple of g). The algorithm outputs polynomials a(x) and b(x) such that

$$1 = a(x)f(x) + b(x)g(x) \Longrightarrow 1 \equiv a(x)f(x) \bmod g(x).$$

Hence f(x) is invertible modulo g(x) and the inverse is a(x) mod g(x).

# Construction of $GF(p^n)$

The field  $GF(p^n)$  can be defined using an irreducible polynomial over GF(p) of degree n:

#### Definition

Let  $g(x) \in GF(p)[x]$  be an *irreducible polynomial* of degree n, then the residue field GF(p)[x]/(g(x)) defines the *Galois Field*  $GF(p^n)$  which extends the field GF(p).

In most cases, there is more than one irreducible polynomial of degree n. Therefore, there are several definitions of  $GF(p^n)$ , but one can show that the resulting fields with  $p^n$  elements are isomorphic. However, the multiplication in terms of coefficients depends on the polynomial.

# Example: GF(4)

The polynomial  $g(x) = x^2 + x + 1 \in GF(2)[x]$  has no zeros and is irreducible, and so  $GF(2)[x]/(x^2 + x + 1) \cong GF(4)$ .

+	0	1	X	<i>x</i> + 1
0	0	1	Х	x + 1
1	1	0	<i>x</i> + 1	X
X	X	<i>x</i> + 1	0	1
x+1	<i>x</i> + 1	X	1	0
•				
0	0	0	0	0
1	0	1	X	<i>x</i> + 1
X	0	X	<i>x</i> + 1	1
x+1	0	<i>x</i> + 1	1	X

Addition and multiplication table for GF(4).

# The Field GF(256)

Let  $g(x) = x^8 + x^4 + x^3 + x + 1 \in GF(2)[x]$ . One can show that g(x) is irreducible. Hence  $GF(2)[x]/(x^8 + x^4 + x^3 + x + 1) \cong GF(256)$  defines a field of order 256.

This field is used in the block cipher AES. The elements in  $GF(2^8)$  are given by polynomials of degree less than 8, which in turn correspond to 8-bit words. The first bit (most significant bit, MSB) corresponds to the coefficient of  $x^7$ , the second bit to  $x^6$  etc., and the last bit (least significant bit, LSB) to  $x^0 = 1$ , i.e., the byte  $b_7b_6 \dots b_1b_0$  corresponds to the polynomial  $b_7x^7 + b_6x^6 + \dots + b_1x + b_0 \in GF(2)[x]$ .

Addition in  $GF(2^8)$  corresponds to the XOR operation on 8-bit words. Multiplication is given by a multiplication of polynomials, followed by a reduction modulo g(x).

# Computations in GF(256)

Let  $g(x) = x^8 + x^4 + x^3 + x + 1 \in GF(2)[x]$ . Suppose we want to multiply  $x^7$  and  $(x+1) \mod g(x)$ :

$$x^7 \cdot (x+1) = x^8 + x^7 \mod g(x) \equiv x^7 + x^4 + x^3 + x + 1.$$

In hexadecimal notation, this can be written as  $80 \cdot 03 = 9B$ .

```
sage: R.\langle x \rangle = PolynomialRing(GF(2),x)
sage: g=x^8+x^4+x^3+x+1
sage: K.\langle a \rangle=R.quotient_ring(g)
sage: a^7 * (a+1)
a^7 + a^4 + a^3 + a + 1
```

Now we compute the inverse of  $x + 1 \mod g(x)$ :

sage: 
$$1/(a+1)$$
  
 $a^7 + a^6 + a^5 + a^4 + a^2 + a$ 

In fact,  $(x+1)(x^7+x^6+x^5+x^4+x^2+x) \equiv 1 \mod g(x)$ , and so we obtain  $03^{-1} = \text{F6}$ .