

Lattice-based Cryptography

1. $\tilde{U} = B_2^{-1}B_1 = U^{-1} = \begin{pmatrix} 3 & 4 \\ -2 & -3 \end{pmatrix}$.
2. $\Lambda_{10}^\perp(A)^* = \frac{1}{10}\Lambda_{10}(A)$. The lattice is given by the columns of the matrix $\frac{1}{10} \begin{pmatrix} 4 & 2 \\ -1 & 2 \end{pmatrix}$.
3. $\det(\Lambda) = \frac{\sqrt{3}}{2}$. The lattice contains the vector $v = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ with $\|v\| = 1$. By definition of Hermite's constant, one has $\frac{\|v\|^2}{\det(\Lambda)} \leq \gamma_2$. Hence $\frac{2}{\sqrt{3}} \leq \gamma_2$.
4. Let $w = (42, 25)^T$. Then $B_1^{-1}w = (3.4, 14.2)^T$. The rounded coordinates are $x = (3, 14)^T$ giving the lattice vector $B_1x = (40, 25)^T$. This is the closest lattice vector the target vector w . On the other hand, using the 'bad' basis gives $B_2^{-1}w = (67, -49.4)^T$. The rounded coordinate vector is $y = (67, -49)^T$ and $B_2y = (46, 21)^T$. This is not the closest lattice vector to w .
5. $\Lambda_q(A)$ is generated by the rows of A , where the coordinates are lifted from \mathbb{Z}_q to \mathbb{Z} , and $q\mathbb{Z}^n$. Using the definition of the dual lattice, we see that $\Lambda_q(A)^*$ is the set of all $y \in \frac{1}{q}\mathbb{Z}^n$ such that $x \cdot y \in \mathbb{Z}^n$ for all lifted rows x of A . Hence $q\Lambda_q(A)^*$ is the set of all $y \in \mathbb{Z}^n$ such that $x \cdot y \in q\mathbb{Z}^n$, or equivalently, $x \cdot y \equiv 0 \pmod{q}$. This shows that

$$q\Lambda_q(A)^* = \Lambda_q^\perp(A).$$

Since $(\Lambda^*)^* = \Lambda$ and $(q\Lambda)^* = \frac{1}{q}\Lambda^*$, taking the dual of the above equality of lattices gives

$$\frac{1}{q}\Lambda_q(A) = (q\Lambda_q(A)^*)^* = (\Lambda_q^\perp(A))^* \implies \Lambda_q(A) = q(\Lambda_q^\perp(A))^*.$$

6. $\lambda_1(\Lambda) \leq \sqrt{100}(2^{104})^{\frac{1}{100}} \approx 20.56$. The Gaussian heuristic for $\lambda_1(\Lambda)$ gives:

$$\sqrt{\frac{100}{2\pi e}}(2^{104})^{1/100} \approx 4.98.$$

7. (a) $\det(\Lambda) = 611$ and the orthogonality defect is ≈ 2.59 .
- (b) Let $b_1 = (-13, 31)^T$ and $b_2 = (0, 47)^T$. We get $\mu_{21} = \frac{1457}{1130}$ and the GSO basis is b_1, b_2^* , where $b_2^* = (\frac{18941}{1130}, \frac{7943}{1130})^T$. The square norms are $B_1 = 1130$ and $B_2 = \frac{373321}{1130}$. We can check that $b_1 \cdot b_2^* = 0$. Now run the size reduction algorithm:

$$b_2 \leftarrow b_2 - \lfloor \mu_{21} \rfloor b_1 = b_2 - b_1 = (13, 16)^T$$

$$\mu_{21} \text{ is set to } \mu_{21} - 1 = \frac{327}{1130}.$$

- (c) The Lovacz condition $\frac{3}{4}B_1 \leq B_2 + \mu_{21}^2 B_1$ is not satisfied. The vectors b_1 and b_2 are swapped: $b_1 = (13, 16)^T$ and $b_2 = (-13, 31)^T$. We compute $\mu_{21} = \frac{327}{425}$. The GSO basis is b_1, b_2^* , where $b_2^* = (-\frac{9776}{425}, \frac{7943}{425})^T$. The square norms are $B_1 = 425$ and $B_2 = \frac{373321}{425}$. We run the size reduction algorithm:

$$b_2 \leftarrow b_2 - \lfloor \mu_{21} \rfloor b_1 = b_2 - b_1 = (-26, 15)^T.$$

$$\mu_{21} \text{ is set to } \mu_{21} - 1 = -\frac{98}{425}.$$

- (d) Now the Lovacz condition is satisfied and the LLL-reduced basis is $b_1 = (13, 16)^T$ and $b_2 = (-26, 15)^T$. The orthogonality defect is ≈ 1.01 .

- (e) The shortest nonzero vector is b_1 .
8. (a) $c = Hm + r = (-1, -4, -20)^T$.
 (b) $m' = \lfloor H^{-1}c \rfloor = (-1, -4, 1) \neq m$. Decryption fails since H is the public 'bad' basis.
 (c) SageMath computes the short LLL-reduced basis B :

```
sage: H=matrix([[1,0,0],[0,1,0],[14,18,63]])
sage: H.transpose().LLL().transpose()
[-2 -1  4]
[-2  1 -3]
[-1  4  2]
```

- (d) We recover the plaintext using the private basis B .

$$H^{-1}B \lfloor B^{-1}c \rfloor = H^{-1}B \left\lfloor \begin{pmatrix} \frac{22}{21} \\ \frac{13}{3} \\ -\frac{17}{21} \end{pmatrix} \right\rfloor = H^{-1}B \begin{pmatrix} 1 \\ -4 \\ -1 \end{pmatrix} = \begin{pmatrix} -2 \\ -3 \\ 1 \end{pmatrix}$$

9. The ciphertext is

$$c = prh + m \equiv 22x^4 + 25x^3 + 18x^2 + 18x + 3 \pmod{29}.$$

For decryption, we compute

$$a = fc \equiv 28x^4 + 25x + 4 \equiv -x^4 - 4x + 4 \pmod{29}.$$

We lift a to $\mathbb{Z}[x]$ and recover the plaintext

$$m = f_p a \equiv 2x^4 + 2x^2 + 1 \equiv -x^3 - x^2 + 1 \pmod{3}.$$

10. The ciphertext is the polynomial $c = prh + m \pmod{q}$ and hence

$$c(1) = pr(1)h(1) + m(1) \pmod{q}.$$

By construction, $r \in \mathcal{T}(d, d)$ and so $r(1) = 0$. It follows that $c(1) = m(1) \pmod{q}$. An adversary can exploit this to win the IND-CPA experiment. They choose two plaintexts m_0 and m_1 with $m_0(1) = 0$ and $m_1(1) = 1$, and can thus distinguish between the plaintexts given the ciphertext c .

This problem can be fixed by reserving one coefficient of m and setting this coefficient so that $m(1) = 0 \pmod{q}$.

11. (a) We choose $a = (0, 1, 1, 1, 0, 0, 1, 0)^T$. Then $u = (0, 16, 9, 7)^T$ and $c = (20, 2, 22, 15)^T$.
 (b) For decryption, we get $c - S^T u = (12, 20, 22, 10)^T$. Coefficients close to 0 mod 23 give 0 and coefficients close to 12 mod 23 give 1. Hence we recover the plaintext $v = (1, 0, 0, 1)^T$.
 (c) We can assume that the coefficients of $E^T a$ are integers between $-\frac{q}{2}$ and $\frac{q}{2}$. A decryption error occurs if the magnitude (absolute value) of a coordinate of $E^T a$ is greater than $\frac{q}{4} = \frac{23}{4}$. However, with the given matrix E and any binary vector a , the magnitude of the coefficients is 4 at most and encryption errors are impossible. In our example, we have $E^T a = (0, -3, -1, -2) \pmod{23}$.
 Now suppose one of the columns of E is $e = (0, 0, 1, 1, 2, 2, -1, -1)^T$. Then a decryption error occurs if $a = (0, 0, 1, 1, 1, 1, 0, 0)^T$, since $e \cdot a = 6$.

12. Follow the example on Kannan's embedding technique to attack LWE, but use the *second* column of P , i.e., $P[:, 1]$. For $M = 1, 2$ or 3 , the shortest vector of the lattice is $\begin{pmatrix} e \\ M \end{pmatrix}$, where $e = (-1, -1, -1, 0, 0, 0, -1, 0)^T$ is the second column of E . Choosing $M = 4$ gives $\begin{pmatrix} e \\ 4 \end{pmatrix}$ as the second shortest vector.
13. Follow the instructions. Note: in part (d), the last line should read `return ZZ(round(y)) % 2`. Alternatively, interpret multiples of 2 in the coordinates of w and $v - w$ as 0.

Decryption should recover the plaintext, possibly up to one or two bit errors, i.e., almost all coordinates of $v - w \bmod 2$ are zero. The difference $(c - S^T u) - 1002v$ is equal to the error vector $E^T a$. We observe that the coefficients of the difference between $c - S^T u$ and $1002v$ are close to multiples of 2003, with an error of less than $\frac{q}{4} = \frac{2003}{4}$, except at the error positions.

The public key (A, P) contains $2008 \cdot 136 + 2008 \cdot 136 = 546,176$ integers modulo 2003, the private key S has $136^2 = 18,496$ integers modulo 2003, the plaintext length is 136 bits, and the ciphertext length is $136 + 136 = 272$ integers modulo 2003.