#### **Constraints**

INF 551 Wensheng Wu

#### Kinds of Constraints

- Keys
- Foreign-key, or referential-integrity
- Value-based constraints
  - Constrain values of a particular attribute
- Tuple-based constraints
  - Constrain relationship among attributes
- Assertions: any SQL boolean expression

## Keys

Specified using "primary key" or "unique"

## Foreign Keys

- Consider Relation Sells(bar, beer, price).
- We might expect that a beer value is a real beer --- something appearing in Beers.name.
- A constraint that requires a beer in Sells to be a beer in Beers is called a *foreign -key* constraint.

## Expressing Foreign Keys

- Use the keyword REFERENCES, either:
  - 1. Within the declaration of an attribute, when only one attribute is involved, or
  - 2. As an element of the schema, as:

```
FOREIGN KEY (<list of attributes>)
REFERENCES <relation> (<attributes>)
```

 Note MySQL seems to enforce FK only when defined as an element

### Example: Express FK with Attribute

```
CREATE TABLE Beers (
        CHAR(20) PRIMARY KEY,
 name
 manf CHAR(20);
CREATE TABLE Sells (
        CHAR (20),
 bar
 beer CHAR(20) REFERENCES Beers(name),
 price REAL );
```

## Example: Express FK as Element

```
CREATE TABLE Beers (
        CHAR(20) PRIMARY KEY,
 name
 manf CHAR(20);
CREATE TABLE Sells (
 bar CHAR(20),
 beer CHAR(20),
 price REAL,
 FOREIGN KEY(beer) REFERENCES
    Beers(name));
```

## Primary Key vs. Unique

- Referenced attributes must be declared as PRIMARY KEY or UNIQUE.
  - Primary key can not be null, but unique attribute can
  - (Otherwise, MySQL does not allow creation of the table)

- Null values can be inserted into attribute of foreign key
  - Even though it refers to primary key in referenced table

## Example of FKs with Unique Attributes

- create table R (a int primary key);
  - insert into R values(1);
  - Select \* from R;

Or "a int unique"

- create table S(b int, foreign key (b) references
   R(a));
  - insert into S values(1);
  - insert into S values(null); // this works even though"a" is primary key in R
  - select \* from S;

# **Enforcing Foreign-Key Constraints**

- If there is a foreign-key constraint from attributes of relation *S* to the primary key of relation *R*, two violations are possible:
  - 1. An insert or update to *S* introduces values not found in *R*.
  - 2. A deletion or update to R causes some tuples of *S* to "dangle."

#### **Actions Taken**

- Suppose R = Beers, S = Sells.
- An insert or update to Sells that introduces a nonexistent beer must be rejected.
- A deletion or update to Beers that removes a beer value found in some tuples of Sells can be handled in three ways.

  Beers

#### Actions Taken (Cont'd)

- The three possible ways to handle beers that suddenly cease to exist are:
  - 1. Default: Reject the modification.
  - 2. Cascade: Make the same changes in Sells.
    - Deleted beer: delete Sells tuple.
    - Updated beer: change value in Sells.
  - 3. Set NULL: Change the beers in Sells to NULL.

## Example: Cascade

- Suppose we delete the Bud tuple from Beers.
  - Then delete all tuples from Sells that have beer = 'Bud'.
- Suppose we update the Bud tuple by changing 'Bud' to 'Budweiser'.
  - Then change all Sells tuples with beer = 'Bud' so that beer = 'Budweiser'.

## Example: Set NULL

- Suppose we delete the Bud tuple from Beers.
  - Change all tuples of Sells that have beer = 'Bud' to have beer = NULL.
- Suppose we update the Bud tuple by changing 'Bud' to 'Budweiser'.
  - Same change.

## Choosing a Policy

- When we declare a foreign key, we may choose policies SET NULL or CASCADE independently for deletions and updates.
- Follow the foreign-key declaration by:
- ON [UPDATE, DELETE][SET NULL/CASCADE]
- Two such clauses may be used.
- Otherwise, the default (reject) is used.

### Example

```
CREATE TABLE Sells (
 bar CHAR(20),
 beer CHAR(20),
 price REAL,
 FOREIGN KEY (beer)
   REFERENCES Beers (name)
   ON DELETE SET NULL
   ON UPDATE CASCADE );
```

#### Kinds of Constraints

- Keys.
- Foreign-key, or referential-integrity.
- Value-based constraints.

- Constrain values of a particular attribute.
- Tuple-based constraints.
  - Relationship among components.
- Assertions: any SQL boolean expression.

#### **Attribute-Based Checks**

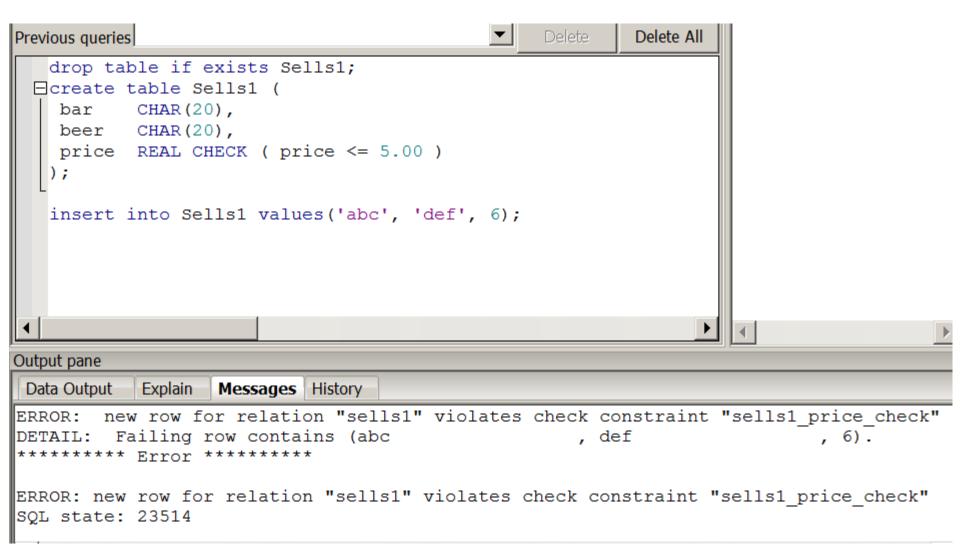
- Put a constraint on the value of a particular attribute.
- CHECK( <condition> ) must be added to the declaration for the attribute.
- The condition may use the name of the attribute, but any other relation or attribute name must be in a subquery.
- Note: MySQL does not seem to support this
  - Accept definition, but does not enforce it
- Other DBMS, e.g., PostgreSQL, support it

### Example

### Timing of Checks

- An attribute-based check is checked only when a value for that attribute is inserted or updated.
  - Example: CHECK (price <= 5.00) checks every new price and rejects it if it is more than \$5.
  - Example: CHECK (beer IN (SELECT name FROM Beers)) not checked if a beer is deleted from Beers (unlike foreign-keys).

## PostgreSQL example



### Tuple-Based Checks

- CHECK (<condition>) may be added as another element of a schema definition.
- The condition may refer to any attribute of the relation, but any other attributes or relations require a subquery.
- Checked on insert or update only.

## Example: Tuple-Based Check

• Only Joe's Bar can sell beer for more than \$5:

```
CREATE TABLE Sells (

bar CHAR(20),

beer CHAR(20),

price REAL,

CHECK (bar = 'Joe' OR

price <= 5.00)

);
```

## Example (work in PostgreSQL)

- insert into sells values('Joe', 'bud', 8);
  - This insert is ok

- update sells set bar = 'joe1'
  - This update is not ok

#### **Assertions**

- These are database-schema elements, like relations or views.
- Defined by:

CREATE ASSERTION < name>
CHECK ( < condition> );

• Condition may refer to any relation or attribute in the database schema.

- Very expensive to enforce
  - Neither PostgreSQL nor MySQL supports this

## **Example: Assertion**

• In Sells(bar, beer, price), no bar may charge an average of more than \$5.

CREATE ASSERTION NoRipoffBars CHECK (
NOT EXISTS (

SELECT bar FROM Sells
GROUP BY bar
HAVING 5.00 < AVG(price)

Bars with an average price above \$5

### **Example: Assertion**

• In Drinkers(name, addr, phone) and Bars(name, addr, license), there cannot be more bars than drinkers.

```
CREATE ASSERTION FewBar CHECK (
   (SELECT COUNT(*) FROM Bars) <=
   (SELECT COUNT(*) FROM Drinkers)
);</pre>
```

## Timing of Assertion Checks

- In principle, we must check every assertion after every modification to any relation of the database.
- A clever system can observe that only certain changes could cause a given assertion to be violated.
  - Example: No change to Beers can affect FewBar.
     Neither can an insertion to Drinkers.