Data Structures & Algorithms

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Lecture 11 Binary Heap Tree Tree Based

Course Roadmap



Part 2: Non-Linear Data Structures

Lecture 8: Binary Tree

Lecture 9: Binary Search Tree

Lecture 10: Self Balancing Binary Search Tree

Lecture 11: Binary Heap Tree

Lecture 12: Hash Table

Lecture 13: Graph

Lecture 14: STL in C++ (Non-Linear Data Structures)

Lecture Agenda

We will discuss in this lecture the following topics

- 1- Introduction to Binary Heap Tree
- 2- Insertion Operation
- 3- Deletion Operation
- 4- Top Operation
- 5- Time Complexity & Space Complexity



Lecture Agenda



Section 1: Introduction to Binary Heap Tree

Section 2: Insertion Operation

Section 3: Deletion Operation

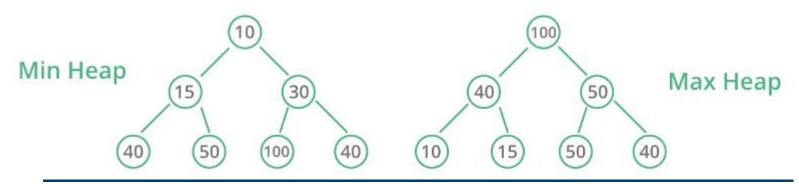
Section 4: Top Operation

Section 5: Time Complexity & Space Complexity



Introduction to Binary Heap Tree

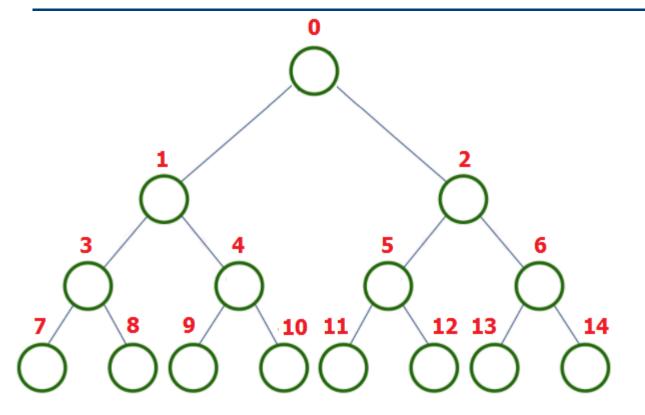
- A heap is a specific tree based data structure in which all the nodes of tree are in a specific order. Let's say if X is a parent node of Y, then the value of X follows some specific order with respect to value of Y and the same order will be followed across the tree. The maximum number of children of a node in the heap depends on the type of heap. However in the more commonly used heap type, there are at most 2 children of a note and it's known as a Binary heap. In binary heap, if the heap is a complete binary tree with N nodes, then it has smallest possible height which is log2 N.
- There can be two types of heap:
- Max Heap: In this type of heap, the value of parent node will always be greater than or equal to the value of child node across the tree and the node with highest value will be the root node of the tree.
- Min Heap: In this type of heap, the value of parent node will always be smallest than or equal to the value of child node across the tree and the node with lowest value will be the root node of the tree.





Introduction to Binary Heap Tree



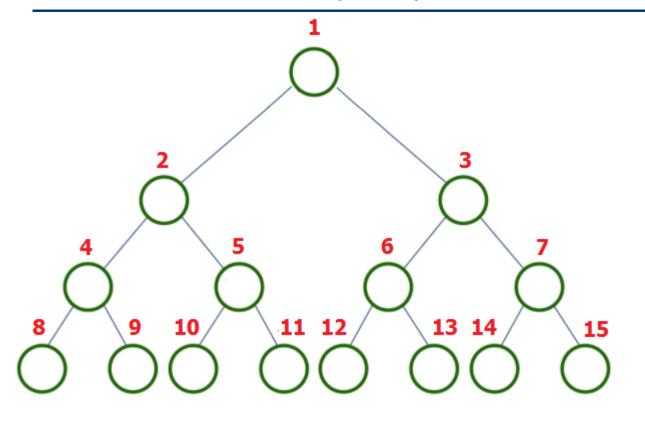


```
1st Parent: (i - 1) / 2
2<sup>nd</sup> Parent: ((i - 1) / 2 - 1) / 2
        = (i/4 - 1/4 - 1/2)
        = (i - 3) / 4
3<sup>rd</sup> Parent: ((i - 3) / 4 - 1) / 2
        = (i/8 - 3/8 - 1/2)
        = (i - 7) / 8
     Parent: (i - 1) / 2
2<sup>nd</sup> Parent: (i - 3) / 4
     Parent: (i - 7) / 8
```

 N^{th} Parent: (i - (2 x -1)) / 2 x

Introduction to Binary Heap Tree





```
1st Parent: i / 2

2nd Parent: (i / 2) / 2

= i / 4

3rd Parent: (i / 4) / 2

= i / 8
```

```
1st Parent: i / 2

2nd Parent: i / 4

3rd Parent: i / 8

N^{th} Parent: i / 2^x
```

Get Parent Node Method - Binary Heap Tree

```
// This function gets the node based on the index
node* get parent node(node* curr, int idx, int target, int level) {
    // base case we reach a null node
    if (curr == NULL)
        return NULL;
    // return the target node
    if (target == 2*idx+1 | | target == 2*idx+2)
        return curr:
    int child = (target - (1 << level) + 1) / (1 << level);
    // repeat the same definition of get node at left or right subtrees
    if (child == 2*idx+1)
        return get parent node(curr->left, child, target, level-1);
    if (child == 2*idx+2)
        return get parent node(curr->right, child, target, level-1);
    return NULL;
```







Lecture Agenda





✓ Section 1: Introduction to Binary Heap Tree

Section 2: Insertion Operation

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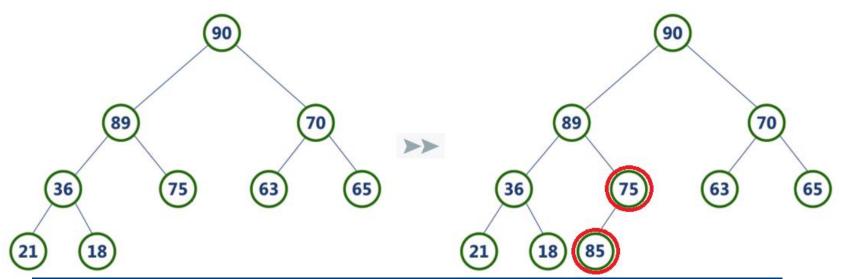


Process of Insertion:

Elements can be inserted to the heap following an approach. The idea is to:

- 1- First increase the heap size by 1, so that it can store the new element.
- **2-** Insert the new element at the end of the Heap.
- 3- This newly inserted element may distort the properties of Heap for its parents.

 So, in order to keep the properties of Heap, heapify this newly inserted element following a bottom-up approach.



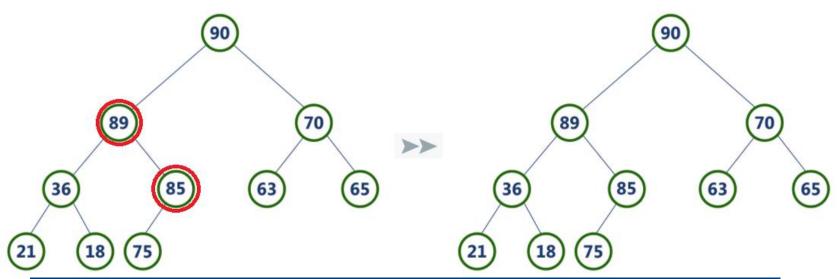


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```
// This function inserts an element at the given index in the max-heap
void push(int item) {
   // update the size of the max-heap
   n = n + 1;
   // the first node in the heap tree
    if (n == 1) {
       node* new node = new node();
       new node->data = item;
       root = new node;
        return;
   // get the parent of the last node
   node* parent last node = get parent node(root, 0, n-1, log2(n)-1);
    // insert the new element
   node* new node = new node();
   new node->data = item;
```





```
// get the parent of the last node
node* parent last node = get parent node(root, 0, n-1, log2(n)-1);
// insert the new element
node* new node = new node();
new node->data = item;
if (parent last node->left == NULL)
   parent last node->left = new node;
else
   parent last node->right = new node;
new node->parent = parent last node;
// loop to shif the values of the parents till the root of this path
node* curr = new node;
while (curr != root && curr->parent->data < curr->data) {
    swap(curr->data, curr->parent->data);
   curr = curr->parent;
```





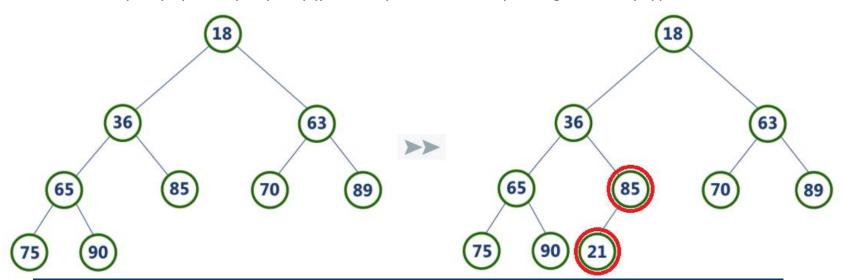


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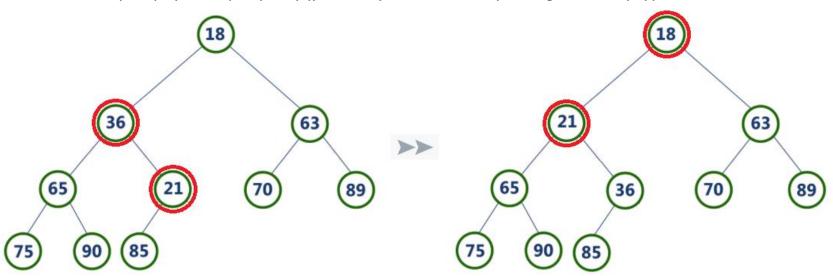


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```
// This function inserts an element at the given index in the min-heap
void push(int item) {
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        return;
   // get the parent of the last node
    node* parent last node = get parent node(root, 0, n-1, log2(n)-1);
    // insert the new element
   node* new node = new node();
   new node->data = item;
```





```
// get the parent of the last node
node* parent last node = get parent node(root, 0, n-1, log2(n)-1);
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new node->data = item;
if (parent last node->left == NULL)
   parent last node->left = new node;
else
   parent last node->right = new node;
new node->parent = parent last node;
// loop to shif the values of the parents till the root of this path
node* curr = new node;
while (curr != root && curr->parent->data > curr->data) {
    swap(curr->data, curr->parent->data);
   curr = curr->parent;
```





19

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- ✓ Section 1: Introduction to Binary Heap Tree
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Section 5: Time Complexity & Space Complexity



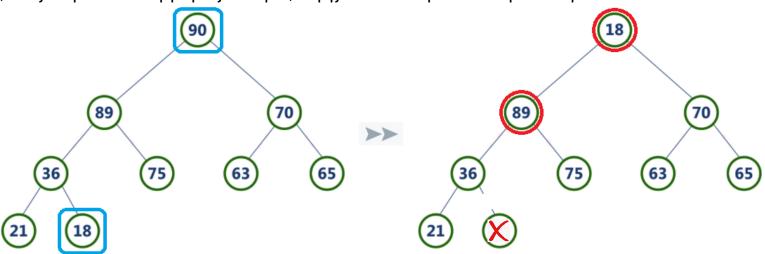


> Process of Deletion:

- 1- Since deleting an element at any intermediary position in the heap can be costly,

 So we can simply replace the element to be deleted by the last element and delete the last element of the Heap.
- **2-** Replace the root or element to be deleted by the last element.
- **3-** Delete the last element from the Heap.
- 4- Since, the last element is now placed at the position of the root node.

 So, it may not follow the heap property. Therefore, heapify the last node placed at the position of root.



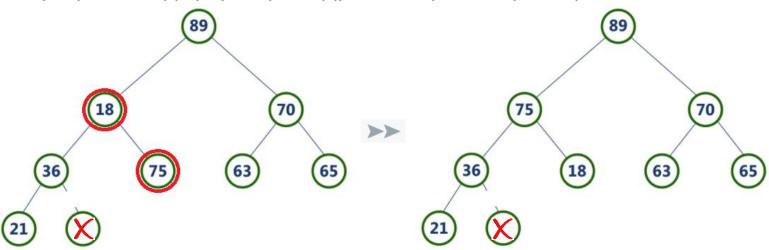


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```
// This function deletes an element at index idx in the max-heap
void pop() {
    // check if the max-heap is empty
    if (n == 0)
       return;
    // update the size of the max-heap
   n = n - 1:
    // the first node in the heap tree
    if (n == 0) {
       node* temp = root;
       delete(temp);
       root = NULL;
       return;
    // get the last node
    node* parent last node = get parent node(root, 0, n, log2(n+1)-1);
```





```
// get the last node
node* parent last node = get parent node(root, 0, n, log2(n+1)-1);
// delete the root of the max-heap by replace it with the last element
if (parent last node->right != NULL) {
    swap(root->data, parent last node->right->data);
   node* temp = parent last node->right;
   delete(temp);
   parent last node->right = NULL;
else {
    swap(root->data, parent last node->left->data);
   node* temp = parent last node->left;
   delete(temp);
   parent last node->left = NULL;
```





```
// loop to shif the values of the parents till the root of this path
node* curr = root:
node* prev = NULL;
while (curr != prev) {
    // store the prev index
    prev = curr;
    // get the left and right children
    node* left child = curr->left;
    node* right child = curr->right;
    // get the index of the maximum child
    if (left child != NULL && left child->data > curr->data)
        curr = left child;
    if (right child != NULL && right child->data > curr->data)
        curr = right child;
    // swap the old parent with the maximum child
    swap(prev->data, curr->data);
```



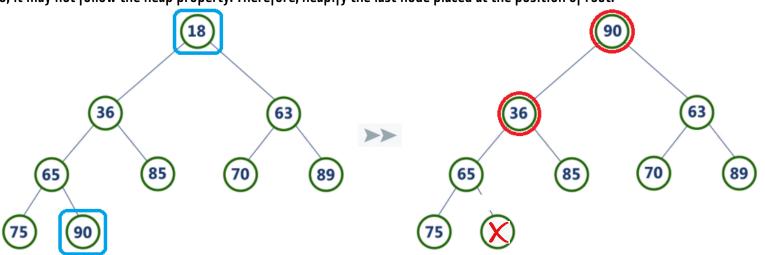


CODEFORCES AtCoder

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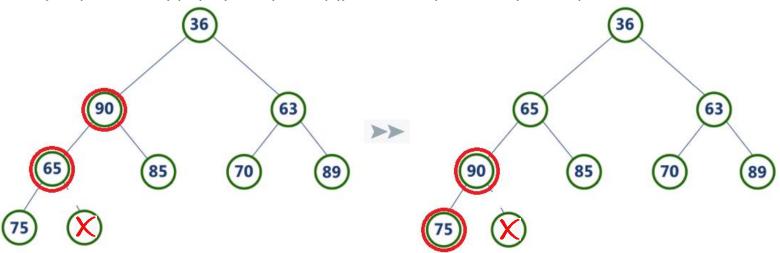


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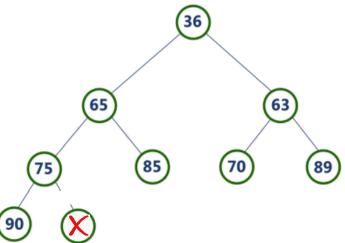


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    if (n == 0)
        return;
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   n = n - 1;
    // the first node in the heap tree
    if (n == 0) {
        node* temp = root;
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        root = NULL;
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    // get the last node
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```
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if (parent last node->right != NULL) {
    swap(root->data, parent last node->right->data);
   node* temp = parent last node->right;
   delete(temp);
   parent last node->right = NULL;
else {
    swap(root->data, parent last node->left->data);
   node* temp = parent last node->left;
   delete(temp);
   parent last node->left = NULL;
```





```
CODEFORCES
```

```
// loop to shif the values of the parents till the root of this path
node* curr = root:
node* prev = NULL;
while (curr != prev) {
    // store the prev index
    prev = curr;
    // get the left and right children
    node* left child = curr->left;
    node* right child = curr->right;
    // get the index of the minimum child
    if (left child != NULL && left child->data < curr->data)
        curr = left child;
    if (right child != NULL && right child->data < curr->data)
        curr = right child;
    // swap the old parent with the minimum child
    swap (prev->data, curr->data);
```



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- ✓ Section 1: Introduction to Binary Heap Tree
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Section 4: Top Operation

Section 5: Time Complexity & Space Complexity



Top Operation

- Extract Maximum (Max-Heap):
- In this operation, the maximum element will be returned and the last element of heap will be placed at index 1 and max_heapify will be performed on node 1 as placing last element on index 1 will violate the property of max-heap.
- **Extract Minimum (Min-Heap):**
- In this operation, the minimum element will be returned and the last element of heap will be placed at index 1 and min_heapify will be performed on node 1 as placing last element on index 1 will violate the property of min-heap.



Top Operation - Max Heap

```
// This function gets the value of the root in the max-heap
int get_root() {
    // check if the max-heap is empty
    // to return the smallest integer value as an invalid value
    if (n == 0)
        return INT_MIN;
    // otherwise return the real value
    else
        return root->data;
}
```





Top Operation - Min Heap

```
// This function gets the value of the root in the min-heap
int get_root() {
    // check if the min-heap is empty
    // to return the biggest integer value as an invalid value
    if (n == 0)
        return INT_MAX;
    // otherwise return the real value
    else
        return root->data;
}
```





Functionality Testing - Max Heap

Max-Heap root: -2147483648



```
Initialize a global Struct #include <bits/stdc++.h>
                    using namespace std;
                    // A binary heap node
                    struct node {
                        int data:
                        node* left;
                        node* right;
                        node* parent;
                    };
                    // Initialize a global pointer for root and size of the heap
                    node* root;
                    int n;
  In the Main function:
   cout << "Max-Heap root: " << get root() << '\n';</pre>
Expected Output:
```

Max-Heap-Tree-Based.cpp



```
In the Main function:
```

```
cout << "Max-Heap root: " << get root() << '\n';</pre>
push (10);
cout << "Max-Heap root: " << get root() << '\n';</pre>
push (20);
cout << "Max-Heap root: " << get root() << '\n';</pre>
push (15);
cout << "Max-Heap root: " << get root() << '\n';</pre>
push (30);
cout << "Max-Heap root: " << get root() << '\n';</pre>
push (25);
cout << "Max-Heap root: " << get root() << '\n';</pre>
Expected Output:
                  Max-Heap root: -2147483648
                   Max-Heap root: 10
                   Max-Heap root: 20
                   Max-Heap root: 20
                   Max-Heap root: 30
                   Max-Heap root: 30
```



CODEFORCES AtCoder

In the Main function:

```
while (n > 0) {
    pop();
    cout << "Max-Heap root has been deleted and the current root is: ";
    cout << get_root() << '\n';
}
cout << "Max-Heap is empty now\n";</pre>
```

```
Max-Heap root has been deleted and the current root is: 25
Max-Heap root has been deleted and the current root is: 20
Max-Heap root has been deleted and the current root is: 15
Max-Heap root has been deleted and the current root is: 10
Max-Heap root has been deleted and the current root is: -2147483648
Max-Heap is empty now
```



In the Main function:

```
for (int i = 1; i <= 16; i++) {
    push(i);
    cout << "Max-Heap root: " << get_root() << '\n';
}</pre>
```

```
Max-Heap root: 1
Max-Heap root: 2
Max-Heap root: 3
Max-Heap root: 4
Max-Heap root: 5
Max-Heap root: 6
Max-Heap root: 7
Max-Heap root: 8
Max-Heap root: 9
Max-Heap root: 10
Max-Heap root: 11
Max-Heap root: 12
Max-Heap root: 13
Max-Heap root: 14
Max-Heap root: 15
Max-Heap root: 16
```





CODEFORCES AtCoder

In the Main function:

```
while (n > 0) {
    pop();
    cout << "Max-Heap root has been deleted and the current root is: ";
    cout << get_root() << '\n';
}
cout << "Max-Heap is empty now\n";</pre>
```

```
Max-Heap root has been deleted and the current root is: 15
Max-Heap root has been deleted and the current root is: 14
Max-Heap root has been deleted and the current root is: 13
Max-Heap root has been deleted and the current root is: 12
Max-Heap root has been deleted and the current root is: 11
Max-Heap root has been deleted and the current root is: 10
Max-Heap root has been deleted and the current root is: 9
Max-Heap root has been deleted and the current root is: 8
```



CODEFORCES AtCoder

In the Main function:

```
while (n > 0) {
    pop();
    cout << "Max-Heap root has been deleted and the current root is: ";
    cout << get_root() << '\n';
}
cout << "Max-Heap is empty now\n";</pre>
```

```
Max-Heap root has been deleted and the current root is: 7

Max-Heap root has been deleted and the current root is: 6

Max-Heap root has been deleted and the current root is: 5

Max-Heap root has been deleted and the current root is: 4

Max-Heap root has been deleted and the current root is: 3

Max-Heap root has been deleted and the current root is: 2

Max-Heap root has been deleted and the current root is: 1

Max-Heap root has been deleted and the current root is: -2147483648

Max-Heap is empty now
```





Initialize a global struct #include <bits/stdc++.h> using namespace std; // A binary heap node struct node { int data: node* left; node* right; node* parent; }; // Initialize a global pointer for root and size of the heap node* root; int n; In the Main function: cout << "Min-Heap root: " << get root() << '\n';</pre>

Expected Output:

```
Min-Heap root: 2147483647
```



42



```
In the Main function:
```

```
cout << "Min-Heap root: " << get root() << '\n';</pre>
push (25);
cout << "Min-Heap root: " << get root() << '\n';</pre>
push (30);
cout << "Min-Heap root: " << get root() << '\n';</pre>
push (15);
cout << "Min-Heap root: " << get root() << '\n';</pre>
push (20);
cout << "Min-Heap root: " << get root() << '\n';</pre>
push (10);
cout << "Min-Heap root: " << get root() << '\n';</pre>
Expected Output:
                  Min-Heap root: 2147483647
                   Min-Heap root: 25
                   Min-Heap root: 25
                   Min-Heap root: 15
                   Min-Heap root: 15
                   Min-Heap root: 10
```



CODEFORCES AtCoder

In the Main function:

```
while (n > 0) {
    pop();
    cout << "Min-Heap root has been deleted and the current root is: ";
    cout << get_root() << '\n';
}
cout << "Min-Heap is empty now\n";</pre>
```

```
Min-Heap root has been deleted and the current root is: 15
Min-Heap root has been deleted and the current root is: 20
Min-Heap root has been deleted and the current root is: 25
Min-Heap root has been deleted and the current root is: 30
Min-Heap root has been deleted and the current root is: 2147483647
Min-Heap is empty now
```



In the Main function:

```
for (int i = 16; i >= 1; i--) {
   push(i);
   cout << "Min-Heap root: " << get_root() << '\n';
}</pre>
```

```
Min-Heap root: 16
Min-Heap root: 15
Min-Heap root: 14
Min-Heap root: 13
Min-Heap root: 12
Min-Heap root: 11
Min-Heap root: 10
Min-Heap root: 9
Min-Heap root: 8
Min-Heap root: 7
Min-Heap root: 6
Min-Heap root: 5
Min-Heap root: 4
Min-Heap root: 3
Min-Heap root: 2
Min-Heap root: 1
```





CODEFORCES AtCoder

In the Main function:

```
while (n > 0) {
    pop();
    cout << "Min-Heap root has been deleted and the current root is: ";
    cout << get_root() << '\n';
}
cout << "Min-Heap is empty now\n";</pre>
```

```
Min-Heap root has been deleted and the current root is: 2
Min-Heap root has been deleted and the current root is: 3
Min-Heap root has been deleted and the current root is: 4
Min-Heap root has been deleted and the current root is: 5
Min-Heap root has been deleted and the current root is: 6
Min-Heap root has been deleted and the current root is: 7
Min-Heap root has been deleted and the current root is: 8
Min-Heap root has been deleted and the current root is: 9
```



CODEFORCES AtCoder

In the Main function:

```
while (n > 0) {
    pop();
    cout << "Min-Heap root has been deleted and the current root is: ";
    cout << get_root() << '\n';
}
cout << "Min-Heap is empty now\n";</pre>
```

```
Min-Heap root has been deleted and the current root is: 10
Min-Heap root has been deleted and the current root is: 11
Min-Heap root has been deleted and the current root is: 12
Min-Heap root has been deleted and the current root is: 13
Min-Heap root has been deleted and the current root is: 14
Min-Heap root has been deleted and the current root is: 15
Min-Heap root has been deleted and the current root is: 16
Min-Heap root has been deleted and the current root is: 2147483647
Min-Heap is empty now
```



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Time Complexity & Space Complexity

> Time Analysis

Top

		worst case	Avelage case
•	Insert	⊙ (log n)	$\Theta(\log n)$
•	Delete	⊙ (log n)	$\Theta(\log n)$

Worst Case

 $\Theta(1)$



Δυρταπο Γαςο

 $\theta(1)$

Lecture Agenda



- ✓ Section 1: Introduction to Binary Heap Tree
- ✓ Section 2: Insertion Operation
- ✓ Section 3: Deletion Operation
- ✓ Section 4: Top Operation
- ✓ Section 5: Time Complexity & Space Complexity



Practice



Practice

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- 1- Check if a given array represents a binary heap
- 2- Connect n ropes with minimum cost
- 3- Check if a given binary tree is heap
- 4- Heap sort by iterative way
- 5- Maximum k sum combinations from two arrays
- 6- Maximum distinct elements after removing k elements
- 7- Maximum difference between two subsets of m elements
- 8- Height of a complete binary tree (or heap) with n nodes
- 9- Print all nodes less than a value x in a min heap
- 10- Median of stream of running integers using STL
- 11- Largest triplet product in a stream
- 12- Find k numbers with most occurrences in the given array
- 13- Convert BST to min heap
- 14- Merge two binary max heaps
- 15- K-th largest sum contiguous subarray

Practice

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- 16- Minimum product of k integers in an array of positive Integers
- 17- Leaf starting point in a binary heap data structure
- 18- Convert min heap to max heap
- 19- Check if the tree is a min-heap, given level order traversal of a binary tree
- 20- Rearrange characters in a string such that no two adjacent are same
- 21- Sum of all elements between k1'th and k2'th smallest elements
- 22- Minimum sum of two numbers formed from digits of an array
- 23- Find k'th largest element in a stream
- 24- Find k largest (or smallest) elements in an array
- 25- Median in a stream of integers
- 26- Connect n ropes with minimum cost
- 27- Check if the Tree is a Min-Heap, given level order traversal of a Binary Tree
- 28- K'th Smallest/Largest element in Unsorted Array
- 29- Median of Stream of Running Integers
- 30- Sum of all elements between k1'th and k2'th smallest elements

Assignment



Implement STL Priority Queue

- Priority queues are a type of container adaptors, specifically designed such that its first element is always the greatest of the elements it contains, according to some strict weak ordering criterion.
- This context is similar to a heap, where elements can be inserted at any moment, and only the max heap element can be retrieved (the one at the top in the priority queue).
- Priority queues are implemented as container adaptors, which are classes that use an
 encapsulated object of a specific container class as its underlying container, providing a specific
 set of member functions to access its elements. Elements are popped from the "back" of the
 specific container, which is known as the top of the priority queue.
- Priority queues are a type of container adapters, specifically designed such that the first element of the queue is the greatest of all elements in the queue and elements are in non increasing order(hence we can see that each element of the queue has a priority(fixed order)).



More Info: cplusplus.com/reference/queue/priority-queue/ More Info: geeksforgeeks.org/priority-queue-in-cpp-stl/ More Info: en.cppreference.com/w/cpp/container/priority-queue

Implement STL Priority Queue

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Member functions: (constructor) Construct priority queue (public member function)

(empty) Test whether container is empty (public member function)

(size) Return size (public member function)

(top) Access top element (public member function)

(push) Insert element (public member function)

(pop) Remove top element (public member function)

(swap) Swap contents (public member function)

More Info: <u>cplusplus.com/reference/queue/priority queue/</u>
More Info: en.cppreference.com/w/cpp/container/priority queue

