

# Everything You Need To Know About Algebraic Geometry

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These notes will be a compilation of my knowledge of Algebraic Geometry. It will assume a strong understanding of Commutative Algebra, Differential Geometry, and Algebraic Topology; essentially, anything non-optional material from EYNTKA Undergraduate Algebra, EYNTKA Differential Geometry, and EYNTKA Algebraic Topology will be considered as assumed prerequisites.

The general structure of this book will be as follows:

1. Affine and Projective Varieties: There will be a quick review of the essential facts about  $\text{Spec}(R)$  and  $\text{Proj}(R)$ .
2. *Sheaves*: The essential if Sheave theory
3. *Schemes*: The essential of Scheme theory (and can be thought of as algebro-geometric objects)

All essential category theory will be assumed; it can be reviewed in EYNTKA Undergraduate Algebra.

Throughout this book, we shall use the following terminology:

- Let  $k$  be a field,  $\bar{k}$  the algebraic closure of  $k$
- Let  $R$  an arbitrary ring,  $M_n(R)$  the matrix ring over  $R$ ,  $R_p$  the localization of  $R$  by  $R - p$ ,  $R_f$  the localization of  $R$  by  $[f, f^2, \dots]$ ,  $\text{Frac}(R)$  the field of fractions of  $R$  is  $R$  is an integral domain
- $A$  is an arbitrary  $R$ -algebra.

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# *Recall Classical Algebraic Geometry*

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In this chapter, we shall quickly review elementary concepts linking algebra and geometry without going any proofs, that is we are going to explore some classical algebraic geometry. For all the important details, see [3].

## 0.1 Interpreting Rings as Function Spaces

If  $A$  is an  $R$ -algebra, then by the universal property of free  $R$ -algebras we have if  $S$  is a set of generators for  $A$ ,

$$\begin{array}{ccc} R[S] & \xrightarrow{\varphi} & A \\ \iota \uparrow & \nearrow \iota & \\ S & & \end{array}$$

If  $S$  is finite, then  $R[S] \cong R[x_1, x_2, \dots, x_n]$  by relabelling  $S$ . Since every ring is a  $\mathbb{Z}$ -algebra, we see that polynomial rings are central to the study of general rings. It is thus fruitful to realize that polynomial rings can naturally be interpreted as functions, that is:

$$f \in R[S], \quad R^S \rightarrow R[S]^\vee \quad f \mapsto f(s_i)_{i \in S}$$

In the finite case:

$$f \in R[x_1, x_2, \dots, x_n], \quad R^n \rightarrow R[x_1, x_2, \dots, x_n]^\vee \quad (a_1, a_2, \dots, a_n) \mapsto (f \mapsto f(a_1, a_2, \dots, a_n))$$

We don't want to consider  $R^S$  as having any structure besides being an affine space, hence we shall label it as  $\mathbb{A}_R^S$  or in the finite case  $\mathbb{A}_R^n$ . If the ring is evident in context, we shall write  $A^n$ .

For now, we shall stick to the finitely generated case. Note that in  $\mathbb{A}_R^n$ ,  $(0, \dots, 0)$  has no special property, as we are treating this space as simply a collection of points. To emphasize this geometric

interpretation, any  $p \in \mathbb{A}_R^n$  will be called a *point*, and for any

$$p = (r_1, r_2, \dots, r_n)$$

Each  $r_i$  are the *coordinates* of  $p$ . Hence, we may more accurately any  $f \in R[x_1, x_2, \dots, x_n]$  as a function as

$$f : \mathbb{A}_R^n \rightarrow R \quad (r_1, r_2, \dots, r_n) \mapsto f(r_1, r_2, \dots, r_n)$$

As is common knowledge to the reader, the zeros of polynomials form many interesting algebraic shapes, a prototypical example being  $x^2 + y^2 - 1$  over  $\mathbb{R}$ . Hence, we define:

$$Z(S) = \{p \in \mathbb{A}_R^n \mid f(p) = 0, \forall f \in S\}$$

A subset  $X \subseteq \mathbb{A}_R^n$  is called an *algebraic set* if there exists  $S \subseteq R[x_1, x_2, \dots, x_n]$  such that  $Z(S) = X$ . The collection of algebraic sets are closed under finite union and intersection, and the sets  $\mathbb{A}_R^n$  and  $\emptyset$  are algebraic, hence the collection of algebraic sets form a topology on  $\mathbb{A}_R^n$  known as the *Zariski topology*. commonly, we shall have  $R = \mathbb{C}$ .

(a lot more words here)

In many ways, the classical Nullstellensatz with finitely generated reduced rings (over algebraically closed fields) shows the symmetries of our “usual” geometry, that is the geometry of zero-sets of polynomials in  $\mathbb{R}^n$  or some extension of  $\mathbb{Q}^n$ . The geometry is naturally trivial in the case of  $\mathbb{F} = \mathbb{C}$ , however there is a point to only focusing on such rings and studying their properties. Modern algebraic geometry is a departure from this and generalizes the types of rings we use.

## 0.2 Projective Space

Projective is the natural algebraic setting to solve equations, adding distinct solutions to rational polynomials. (put a summary of basic results from EYNTKA algebra here)

**Part I**

**Scheme Theory**

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I believe there will be more than just basic alg geo. This part will go over the core theory, Hartshorne, Vakil, Eisenbud, and so forth. Other parts will surely be specializations.



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# *Sheaves*

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Roughly speaking, Sheaf Theory is the theory of augmenting a topological space<sup>1</sup> that adds information to each open set (adds “local” information) which can be “glued” to get information in larger open sets. The prototypical example of a sheaf is the set of smooth functions on a manifold. Smooth functions on a manifold can be restricted to open subsets of a manifold, and if a smooth function on each part of the manifold is defined in a compatible way (i.e. they agree on intersections), they can be glued back together to form a function on the entire manifold.

A key motivator behind sheaves is that they can hold the “geometric” information of a space. The reader may recall that a vector-space and subspaces can be characterized via their dual spaces, that is all functions from the space to  $\mathbb{F}$ . A similar result holds for Varieties with the coordinate ring uniquely characterizing a Variety. This duality was found to exist everywhere in mathematics, to name three more examples:

- Riemann manifolds can be characterized by spectral triples
- Radon measure’s can be characterized using the duals of  $C_c(X)$  where  $X$  is a locally compact space.
- Sets with set-function can be thought of as the most “abstract” geometric object (they only have information about containment). There is an appropriate dual called the *complete atomic Boolean algebras*. The reader may be interested in knowing that Boolean algebra’s characterize logical operations, which gives an interesting geometric interpretation to logic<sup>2</sup>.

There is a long list of such examples (the reader may be curious to checkout [this link](#)). This gave rise to the idea that a geometric space can be understood by studying some subset of function on this

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<sup>1</sup>more generally, a topoi, which concretely translates in our case to a category whose objects are open sets and morphisms are inclusions

<sup>2</sup>This connection is further refined using Topoi

space with certain. In many cases, there are relatively few functions that are defined globally on a space, but many that are defined on local components (the prototypical example of this would be  $\mathbb{C}^3$ ).<sup>4</sup>

Why do functions capture this geometric information? One can think of functions as tools for representing relations. If our function is of the form  $f : X \rightarrow \mathbb{R}$ , then this is relating  $X$  to numbers, i.e. quantification, usually  $X$  being a topological space and  $f$  being continuous for some notion of closeness. If we have a continuous function of the form  $f : X \rightarrow \mathbb{R}^n$  (or  $\mathbb{C}^n$ ), with  $X$  a topological space, then we may pullback a lot of geometric results from  $\mathbb{R}^n$  (or  $\mathbb{C}^n$ ) onto  $X$ , depending on how  $f$  is defined (ex. it can be shown that  $f$  is a diffeomorphism if and only if it pulls back the differential structure of  $\mathbb{R}^n$ ). Very often, it is not possible to define a diffeomorphism  $f : X \rightarrow \mathbb{R}^n$ , however we may define it on components. Functions have the property that we may restrict them or construct them by defining what happening at each point (or in the continuous case, on each open set where they agree on intersections<sup>5</sup>). But you may say that morphisms in a category already capture this intuition. This is indeed the case, what makes functions special is that you can construct larger morphisms from smaller morphisms with an appropriate notion of *gluing* and *restricting*. In particular, the closeness given by the notion of topological spaces and the locality properties inspired by functions shall be the two main properties of sheaves. As is the course of mathematics, it may be asked if these properties of functions can be generalized, and we may ask if we can take special categories which have these features; this is called a *site*. For an introduction to algebraic geometry, limiting to the sites defined on topological spaces is plenty sufficient and shall be the focus of this book.

A particularly important realization will be that commutative rings will in many ways behave like functions on a space, that is if  $R$  is a ring, there is a sense in which the elements of  $R$  will be the “functions” on a special space (namely, the space  $\text{Spec}(R)$ ). This shall be elaborated on in chapter 2 and was commented on in the front-matter. At this stage it is motivating to know that there is a reason why we may care about sheaves other than the sheaf of functions, namely the interpolation between commutative rings and functions.

## Motivating Example

Before exploring sheaves in generality, it is useful to have the prototypical example of a sheaf in mind in more detail:

### Example 1.1: Sheaf Of Differentiable Functions On Manifold

Let  $M$  be a smooth manifold. Then we shall construct the sheaf of differentiable function on  $M$ . For each open subset  $U \subseteq M$ , we shall associate to it the set of smooth function  $C^\infty(U)$ . Denote this collection by  $\mathcal{O}(U)$  (this will be common notation for sheaves). Then if we have an open subset of  $U$ ,  $V \subseteq U$ , we may restrict the function from  $U$  to function on  $V$ , namely we have a map  $\text{res}_{U,V} : \mathcal{O}(U) \rightarrow \mathcal{O}(V)$  mapping  $f \mapsto f|_V$ . Naturally, if we have  $W \hookrightarrow V \hookrightarrow U$ , then the

<sup>3</sup>entire holomorphic are necessarily power series, while holomorphic on (not necessarily simply connected) open sets are analytic and may even have non-trivial Laurent expansion around holes

<sup>4</sup>see this link for more details

<sup>5</sup>by the gluing lemma

following commutative diagram.

$$\begin{array}{ccc} & V & \\ \text{res}_{U,V} \nearrow & & \searrow \text{res}_{V,W} \\ U & \xrightarrow{\text{res}_{U,W}} & W \end{array}$$

This collection of maps satisfy two important properties. In the following let  $U = \bigcup_i U_i$ .

1. *Defining Locally*: Let's say  $f_1, f_2 \in \mathcal{O}(U)$ , Then if  $f_1$  and  $f_2$  agree on all restriction, that is  $\text{res}_{U,U_i} f_1 = \text{res}_{U,U_i} f_2$ , then  $f_1 = f_2$
2. *Gluing*: If there exists a collection  $f_i \in \mathcal{O}(U_i)$  such that  $f_i$  and  $f_j$  agree on intersections, that is  $\text{res}_{U_i, U_i \cap U_j} f_i = \text{res}_{U_j, U_i \cap U_j} f_j$ , then there is a  $f \in \mathcal{O}(U)$  such that  $\text{res}_{U, U_i} f = f_i$  for all  $i$ .

Then a sheaf will respect these properties. Note that in fact, we may define a manifold  $M$  to be  $(M, \mathcal{O}_M)$  where  $M$  is a topological space and  $\mathcal{O}_M$  is a sheaf of smooth functions on  $M$ . If instead  $\mathcal{O}(U)$  was the collection of continuous functions, we would get a topological manifold. Even more generally, we may let the functions be set functions, for set functions work well under restrictions and we may define set functions locally and construct set function via gluing.

Note that Diffeology can be thought of as a generalization of this result or of a special case of sheaf theory, and we shall explore it briefly at the end of this chapter.

So if even set functions can form a sheaf, then why did I write “nice” function earlier? As it turns out, what we shall do is abstract the notion of function! In particular, we shall look at systems where we may have the behavior of localizing and gluing “functions” on a topological space. Hence, in the following abstraction, we will consider  $\mathcal{O}_M$  to be the data that stores a collection of objects that *behave like functions*.

A key property that distinguishes functions from general morphisms is the notion of evaluation (taking  $f(x)$  for some element  $x$  and function  $f$ ). Since we are abstracting the notion of function, we shall need a notion of *evaluation*. To motivate this generalization, let's come back to  $(M, \mathcal{O}_M)$  and consider some  $\mathcal{O}(U) = C^\infty(U)$  for some open  $U \subseteq M$  and take  $p \in U$ . Recall that  $\mathcal{O}(U)$  is a ring and that the *germ* at  $p$  is collection of equivalence relations:

$$\begin{aligned} [f] &= \{(f, U) \mid p \in U, f \in \mathcal{O}(U)\} \\ (f, U) &\sim (g, V) \Leftrightarrow W \subseteq U, W \subseteq V, p \in W, f|_W = g|_W \end{aligned}$$

that is,  $[f] = [g]$  if and only if there is an open neighborhood  $W \subseteq U, V$  containing  $p$  ( $p \in W$ ) such that  $f|_W = g|_W$  (in the language of sheaf theory,  $\text{res}_{U,W} f = \text{res}_{V,W} g$ ). Denote this collection  $\mathcal{O}(U)_p$ . The key is that the germ of  $\mathcal{O}(U)$  at  $p$  is a *local ring* with maximal ideal  $\mathfrak{m}_p$  of germs such that  $[f](p) = 0$  (or simply  $f(p) = 0$  if unambiguous). Then:

$$0 \rightarrow \mathfrak{m}_p \rightarrow \mathcal{O}(U)_p \xrightarrow{f \mapsto f(p)} \mathbb{R} \rightarrow 0$$

and all elements  $\mathcal{O}(U) \setminus \mathfrak{m}_p$  are invertible. Hence, we shall see that we may think of “evaluation” of  $f$  at some point  $p \in U$  as taking first the germ  $\mathcal{O}(U)_p$  and quotient it by the maximal ideal  $\mathfrak{m}_p$ ,  $\mathcal{O}(U)_p / \mathfrak{m}_p$ , and then taking  $\bar{f} \in \mathcal{O}(U)_p / \mathfrak{m}_p$  to be the value of  $f$ . Note that the above construction required that  $\mathcal{O}(U)$  is a *local ring*. This is evidently the case when  $\mathcal{O}(U) = C^\infty(U)$ , however in general sheaf theory this ring will not in general be local. In fact,  $\mathcal{O}(U)$  will not even need to be a ring! When each  $\mathcal{O}(U)$  is a ring, then we shall say that  $X$  is a *ringed space*, and when all the germs are local rings, then we shall say that  $X$  is a *locally ringed space*.

A final word on generalization: we have been working over a topological space, however we may abstract further and work over a general category; in this way, we would define the notion of a “topology” on a category, known as a *Grothendieck topology* (a category with this structure is called a *site*), and develop sheaf theory accordingly. Though this is fruitful, this generalization shall be omitted for now to concentrate on the geometric properties at hand when using a topological space.

## 1.1 Sheaves

We start by formalizing the above intuitions.

### Definition 1.1.1: Presheaf Axiomatic Definition

Let  $X$  be a topological space. Then a *presheaf* over a category  $\mathbf{C}$  on  $X$ , denoted  $\mathcal{F}_X$  contains the following data:

1. for each open set  $U \subseteq X$ , there is an object  $c$  of  $\mathbf{C}$  and denote it by  $\mathcal{F}(U)$ . If  $\mathbf{C}$  is a category whose objects are sets with additional structure, then the elements of  $\mathcal{F}(U)$  are called the *sections* of  $\mathcal{F}$  over  $U$ . If we say that  $s$  is a section of  $\mathcal{F}$ , then we mean that  $s$  is a section of  $\mathcal{F}$  over  $X$ .
2. For each inclusion map  $U \hookrightarrow V$ , there is a morphism  $\text{res}_{V,U} : \mathcal{F}(V) \rightarrow \mathcal{F}(U)$  called the *restriction* morphism (or *restriction map*) such that
  - (a)  $\text{res}_{U,U} = \text{id}_U$
  - (b) If  $W \hookrightarrow V \hookrightarrow U$ , then the following diagram commutes

$$\begin{array}{ccc}
 & \mathcal{F}(V) & \\
 \text{res}_{U,V} \nearrow & & \searrow \text{res}_{V,W} \\
 \mathcal{F}(U) & \xrightarrow{\text{res}_{U,W}} & \mathcal{F}(W)
 \end{array}$$

The collection of all presheaves on a topological space  $X$  is denoted  $\mathbf{PSh}_{\mathbf{C}}(X)$  (or  $\mathbf{PSh}(X)$  if unambiguous). If  $U$  is clear from context, we shall often write  $\text{res}_{U,V} f$  as  $f|_V$ .

The nomenclature “section” will be made clear in proposition 1.1.9. Notice that it is equivalent to define a presheaf as a *contravariant functor*. Namely

### Definition 1.1.2: Presheaf Functorial Definition

Let  $X$  be a topological space and let  $O(X)$  be the category whose objects are the open sets of  $X$  and whose morphisms are  $\subseteq$  (i.e. the category induced by the partial order given by  $\subseteq$ ). Then a *presheaf* over  $\mathbf{C}$  is a contravariant functor from  $O(X)$  to  $\mathbf{C}$ , that is a functor  $\mathcal{F} : O(X)^{op} \rightarrow \mathbf{C}$ .

Usually, our presheaves shall be over  $\mathbf{Set}$ ,  $\mathbf{Mod}_k$  or  $\mathbf{Ring}$ <sup>6</sup>.

<sup>6</sup>Technically,  $\mathbf{Ring}$  is  $\mathbf{Mod}_{\mathbb{Z}}$ , however distinguishing these two is useful as we usually mean  $k$  to be a field

An important notion for geometric objects is their behavior locally. The extreme (or limit) of the notation of locality is the behavior around a point  $p$ . This idea originated when studying germs of differential functions in Differential Geometry. These are well-defined on presheaves;

**Definition 1.1.3: Stalk as Equivalence Relation**

let  $(X, \mathcal{O}_X)$  be a presheaf. Then the *stalks* at  $p \in X$  is the collection of equivalence class:

$$[f] = \{(f, U) \mid p \in U, f \in \mathcal{O}(U)\}$$

$$(f, U) \sim (g, V) \quad \Leftrightarrow \quad W \subseteq U, W \subseteq V, p \in W, \text{res}_{U,W} f = \text{res}_{V,W} g$$

The stalks at  $p$  is usually denoted  $\mathcal{O}_{X,p}$  or  $\mathcal{O}_p$  if unambiguous in context.

If  $\mathbf{C}$  is a category whose objects are augmented sets<sup>a</sup>, then if  $p \in U$  and  $f \in \mathcal{F}(U)$ ,  $[f] \in \mathcal{F}_p$  is called the *germ* of  $f$  at  $p$ .

<sup>a</sup>ex. **Set**, **Ring**, **Grp**, etc.

Note that in general, the notion of “evaluation” of a stalk/germ as it is usually defined on differential geometry won’t make sense; though the symbol  $f$  is written the open sets need not contain functions or objects that act like function. We shall later define nice presheaves in which we may recover the notion of evaluation and treat the elements like functions. There is again a categorical way of interpreting stalks that is useful to us:

**Definition 1.1.4: Stalks As Colimits**

Let  $\mathcal{F} \in \mathbf{PSh}(X)$  be a presheaf. Then a *stalk* at  $p \in X$  is:

$$\mathcal{F}_p := \varinjlim \mathcal{F}(U)$$

that is  $\mathcal{F}_p$  is the colimit over all  $\mathcal{F}(U)$  where  $p \in U$ .

Hence, this shows us that as long as the colimit exist in our category, we may define the notion of stalks for presheaves over that category without needing to reference the notion of elements. Note that it is the colimit and not the limit since we need  $\mathcal{F}_p$  to “represent” the behaviour around  $p$  rather than “embed” germs.

One of the most important properties of stalks is their ability to be defined “locally”. First, if  $U \subseteq X$  is an open set, we can define the sub-presheaf on  $U$  by letting  $\mathcal{F}|_U(V) = \mathcal{F}(V \cap U)$ . The following proposition will be really useful later when we need to work with stalks between larger and smaller (pre)sheaves, and mirrors the property in differential geometry (recall [6, chapter 2.1])

**Proposition 1.1.5: Stalk Isomorphism**

Let  $X$  be a presheaf of sets and  $U \subseteq X$  an open set. Then for any  $x \in U$ :

$$\mathcal{F}_{X,x} \cong_{\mathbf{C}} \mathcal{F}_{U,x}$$

**Proof :**

We shall prove this in the case where  $\mathbf{C} = \mathbf{Set}$ . The general case is left as an exercise.

Take  $[(f, V)] \in \mathcal{F}_{X,x}$  such that  $x \in V$ . As  $x \in U$ ,  $x \in U \cap V$ . Hence, notice that:

$$(f, V) \sim (f|_{U \cap V}, U \cap V) \quad \text{as} \quad \text{res}_{U, U \cap V} f = \text{res}_{U \cap V, U \cap V} f|_{U \cap V} = f|_{U \cap V}$$

Then define the map between stalk via:

$$(f, V) \sim (f|_{U \cap V}, U \cap V) \mapsto (f|_{U \cap V}, U \cap V)$$

This map is well-defined. Take  $(f, V_1) \sim (g, V_2)$  so that we have to show that  $(f|_{U \cap V_1}, U \cap V_1) \sim (g|_{U \cap V_2}, U \cap V_2)$ . As  $(f, V_1) \sim (g, V_2)$  there exists  $W \subseteq V_1, V_2$  such that

$$f|_W = g|_W$$

Take  $U \cap W \subseteq W \subseteq V_1, V_2$ . Then by the restriction axioms we have that:

$$f|_{U \cap W} = g|_{U \cap W}$$

So that  $(f|_{U \cap W}, U \cap W) \sim (g|_{U \cap W}, U \cap W)$ . Then realizing that

$$(f|_{U \cap W}, U \cap W) \sim (f|_{U \cap V_1}, U \cap V_1) \quad \text{and} \quad (g|_{U \cap W}, U \cap W) \sim (g|_{U \cap V_2}, U \cap V_2)$$

we have that the map is well-defined. This map has a natural inverse, namely

$$\varphi^{-1} : \mathcal{F}_{U,x} \rightarrow \mathcal{F}_{X,x} \quad (f, V) \mapsto (f, V)$$

which can be shown to be well-defined and an inverse, and hence these sets are bijective, which are the isomorphisms in set. To generalize to categories that are augmented sets, take advantage of the restriction maps being morphisms.

Now, presheaves allow for the notion of restricting and localizing, but as we shall show soon not all presheaves are amenable to gluing local information to create global information (think of bounded holomorphic functions on open subsets of  $\mathbb{C}$ ). We must add this condition axiomatically:

**Definition 1.1.6: Sheaf using Axioms**

Let  $\mathcal{F} \in \mathbf{PSh}_C(X)$  be a presheaf over a category  $\mathbf{C}$  whose objects are sets possibly with additional structure. Then  $\mathcal{F}$  is also a sheaf if it satisfies the following two axioms;

1. *Identity Axiom.* Let  $U = \bigcup_i U_i$ . If  $f_1, f_2 \in \mathcal{F}(U)$ , then if  $\text{res}_{U, U_i} f_1 = \text{res}_{U, U_i} f_2$  for all  $i$ , then  $f_1 = f_2$
2. *Gluing Axiom:* Let  $U = \bigcup_i U_i$ . If there exists a collection  $f_i \in \mathcal{F}(U_i)$  such that  $\text{res}_{U_i, U_i \cap U_j} f_i = \text{res}_{U_j, U_i \cap U_j} f_j$ , then there is a  $f \in \mathcal{F}(U)$  such that  $\text{res}_{U, U_i} f = f_i$  for all  $i$ .
3.  $\mathcal{F}(\emptyset)$  is associated with the terminal object (if we're working over set,  $\mathcal{F}(\emptyset)$  is a singleton).

If only the identity axiom is satisfied, then our preseaf is called a *separated presheaf*. The collection of all sheaves on a topological space  $X$  is denoted  $\mathbf{Sh}_C(X)$  (or  $\mathbf{Sh}(X)$  if unambiguous)

We may think of the identity axiom telling us there is *at most* one way to glue, while the second axiom is telling us there is *at least* one way to glue. Sometimes, we also specify that  $\mathcal{F}(\emptyset)$  is the terminal object in our category (in **Set**, that would be a singleton).

Notice that we are taking elements of each  $\mathcal{F}(U)$  because  $\mathcal{F}(U)$  is an object in a category whose objects are sets with possibly additional structure. This is a deviation from the generalization presented in the definition of presheaves as  $\mathbf{C}$  may be more general. There is in fact a way to define a sheaf for general categories, the solution to this is called the *Grothendieck topology* on a category. This something we shall cover in REF:HERE.

**Definition 1.1.7: Sheaf Categorical Interpretation**

Let  $\mathcal{F} \in \mathbf{PSh}(X)$  be a presheaf, and let  $\cdot$  be a terminal object (in **Set**, an arbitrary 1-point set). Let  $U = \bigcup_i U_i$ , and consider:

$$\cdot \rightarrow \mathcal{F}(U) \rightarrow \prod \mathcal{F}(U_i) \rightrightarrows \prod \mathcal{F}(U_i \cap U_j)$$

for any  $i, j$ . Then if the sequence is exact at  $\mathcal{F}(U)$ , the identity axiom holds, and if it is exact at  $\prod \mathcal{F}(U_i)$ , the gluing axiom holds.

**Example 1.2: Presheaves And Sheaves**

Most of these examples will be on sheaves of sets.

1. Verify that the sheaf of differentiable functions over  $M$ , namely  $\mathcal{O}_M$ , is indeed a sheaf. More generally, if  $X$  is any topological space, then the data defined by  $\mathcal{F}(U) = \text{Hom}_{\mathbf{C}}(U, \mathbb{R})$  where  $\mathbf{C}$  is either **Top** or **Set** is a sheaf.
2. (*Restriction of Sheaves*) Let  $\mathcal{F} \in \mathbf{Sh}(X)$  and  $U \subseteq X$  an open subset of  $X$ . Then the *restriction* of  $\mathcal{F}$  to  $U$ , denoted  $\mathcal{F}|_U$  has as data for each open  $V \subseteq U$   $\mathcal{F}|_U(V) = \mathcal{F}(V)$ . We shall later see how we may define restrictions of sheaves to arbitrary sets<sup>a</sup>
3. (*Skyscraper Sheaf*) Let  $X$  be a topological space,  $p \in X$ , and  $S$  be any set. Let  $\iota_p : \{p\} \rightarrow X$

be the inclusion map. Then define the sheaf of sets  $\iota_{p,*}S$  on  $X$  (not on  $S!$ ) to be:

$$\iota_{p,*}S(U) = \begin{cases} S & p \in U \\ \{e\} & p \notin U \end{cases}$$

This sheaf is called the *skyscraper sheaf* (sometimes denoted  $(\iota_p)_*S$ ). The name comes from the fact that the informal picture for this sheaf looks like a skyscraper at  $p$ . For sheaves of Abelian groups, we would  $\{e\}$  be the trivial group. More generally,  $\iota_{p,*}(U)$  would be the final object if  $p \notin U$ .

4. (*Constant Presheaf*) Let  $X$  be a topological space and  $S$  be any set. Define  $\underline{S}_{\text{pre}}(U) = S$  for all open  $U \subseteq X$ . Then it should be verified that  $\underline{S}_{\text{pre}}$  forms a presheaf on  $X$ , called the *constant presheaf associated to  $S$* . This set is not in general a sheaf if  $|S| > 1$ : take  $X = U_1 \sqcup U_2$  to be the disjoint union of two (open) sets, take the constant presheaf so that all restriction maps are constant maps. Choose  $s_1 \in S$  and  $s_2 \in S$  which are distinct:  $s_1 \neq s_2$ . Then by the gluing axiom, there would have to exist a  $s \in S$  such that  $s|_{U_1} = s_1$  and  $s|_{U_2} = s_2$  and their intersection is empty and hence tautologically follow the gluing axiom, however as we have the identity map we have  $s_1 = s = s_2$  however  $s_1 \neq s_2$ .

What makes the sky-scraper sheaf special is the “distinguishing” of a point making it act like a generalization of an indicator function. In the above example, one of the two sets will not have the point  $p$ .

5. A great example of a pre-sheaf on  $\mathbb{C}$  that is not a sheaf is the sheaf of bounded holomorphic functions on  $\mathbb{C}$ . Recall that bounded entire holomorphic functions (defined on all of  $\mathbb{C}$ ) must be constant by Liouville’s Theorem, however there do exist bounded functions on open subsets of  $\mathbb{C}$ . For example, on each disk of radius  $r$ ,  $\mathbb{D}_r^2$ ,  $z^2$  is bounded, but  $\mathbb{C} = \bigcup_r \mathbb{D}_r^2$  has no holomorphic function for which the restriction to any disk  $\mathbb{D}_r^2$  is  $z^2$ ; hence the gluing axiom fails.

Another example would be the sheaf composed of holomorphic functions admitting holomorphic square roots, as there is no global square-root function on  $\mathbb{C}$  due to non-trivial monodromy.

6. (*Constant Sheaf*) Again let  $X$  be a topological space and  $S$  be any set. For every open  $U \subseteq X$ , let  $\mathcal{F}(U)$  be the collection of all *locally constant* functions from  $U$  to  $S$ , that is if  $f \in \mathcal{F}(U)$ , for each  $p \in U$  there is an open neighborhood  $V \subseteq U$  containing  $p$ ,  $p \in V \subseteq U$ , such that  $f|_V : V \rightarrow S$  is constant (on euclidean space, this is equivalent to having a constant function on each connected component). Then this sheaf is called the *constant sheaf associated to  $S$* , and is denoted  $\underline{S}$ .

Another way of describing this sheaf is if we endow  $S$  with the discrete topology and let  $\mathcal{F}(U)$  be the continuous maps  $U \rightarrow S$ .

7. (*Sheaf of continuous maps*) Let  $X$  and  $Y$  be topological spaces. Define  $\mathcal{F}(U) = \text{Hom}_{\text{Top}}(U, Y)$ . This sheaf is a generalization sheaf of differentiable functions and the constant sheaf, namely

- The sheaf of differentiable functions has  $Y = \mathbb{R}$
- the constant sheaf has  $Y = S$  with the discrete topology.

If  $Y$  is also a topological group, then the continuous maps to  $Y$  form a sheaf of groups.

This to me feels like a “local duality” or “local representation via  $Y$ ”



8. (*Sheaf of section of a maps*) This is an important special case of the above sheaf. Let  $X, Y$  be topological spaces and let  $\mu : Y \rightarrow X$  be a continuous map. Then the sections of  $\mu$  (in the sense of the existence of a map  $s : X \rightarrow Y$  such that  $\mu \circ s = \text{id}$ ) form a sheaf. In particular, for each open  $U \subseteq X$ ,  $\mathcal{F}(U)$  is the set of section maps  $s : U \rightarrow Y$  (continuous maps  $s : U \rightarrow Y$  such that  $\mu \circ s = \text{id}|_U$ ). Vector bundles and vector fields are a good example of such a sheaf.

<sup>a</sup>see ref:HERE

An important class of sheaves are sheaves over rings ( $\mathbf{Sh}_{\mathbf{Ring}}(X)$ ).

### Definition 1.1.8: Ringed Space And Structure Sheaf

Let  $X$  be a topological space. Then if we have a sheaf of [commutative] rings over  $X$ , we shall denote it  $\mathcal{O}_X$ , and the tuple  $(X, \mathcal{O}_X)$  is called a *ringed space*. The sheaf  $\mathcal{O}_X$  is called the *structure sheaf*, and the sections of the structure sheaf  $\mathcal{O}_X$  over an open subset  $U$  are called *[regular] functions on  $U$* .

**1.1.1 Warning** Though these are called functions, they are elements of an arbitrary [commutative] ring. We shall see that elements of rings can be thought of as functions, and they are sometimes better characterized as partial functions. The reader should have regular function from [3, chapter 22.3] in mind.

The simplest and prototypical ringed space is again the sheaf of [real/complex] continuous/smooth functions on a manifold/variety with component-wise addition and multiplication.

If  $\mathcal{F} \in \mathbf{PSh}(U)$  and  $f \in \mathcal{F}(U)$  for some open  $U \subseteq X$ , then  $f$  is called a section. The following proposition motivates this idea

### Proposition 1.1.9: Space Of Sections Of (Pre)Sheaf

Let  $\mathcal{F}$  be a presheaf of sets. Then there exists a topological space  $F$  and a local homeomorphism  $\pi : F \rightarrow X$  so that  $\mathcal{F}$  can be represented as the sheaf of sections from  $F$  to  $X$ . The space  $F$  is called the *étalé space*. In particular,  $F$  is the *étalé space* of  $\mathcal{F}$ .

Furthermore, there is an equivalence between the category  $\mathbf{Sh}(X)$  and the category of étalé spaces over  $X$  (with bundle morphisms as morphisms).

The idea is that (pre)sheaves can be thought of as continuous sections with the property that around each  $x \in F$ , there exists an open neighborhood  $U \subseteq F$  such that  $\pi : U \rightarrow \pi(U)$  is a homeomorphism, that is it must remember some information about it's neighborhood. In other words, it is locally homeomorphic to  $X$ .

#### Proof :

Let  $F$  be the disjoint union of the stalks on  $F$ .

$$F = \bigsqcup_{x \in X} \mathcal{F}_x$$

Then  $\pi : F \rightarrow X$  is naturally a set map. Define a basis for  $F$  as follows: for each  $s \in \mathcal{F}(U)$ , take

the collection

$$\{[s]_x \in \mathcal{F}_x \mid x \in U\}$$

These form a basis for  $F$  (note that each stalk has the discrete topology). With the setup, it is now easy to see the equivalence of categories.

The étale space may seem like it ought to be pretty similar to  $X$  being locally homeomorphic, however this can be far from the case:

### Example 1.3: Non-Hausdorff Étale Space

Take  $X = \mathbb{R}$  be the real numbers and take  $\mathcal{F}$  to be the smooth functions on  $\mathbb{R}$ . Take the constant germ around 0 as well as the germ which can be represented by the function:

$$g(x) = \begin{cases} x \sin(1/x) & x \sin(1/x) > 0 \\ 0 & x \sin(1/x) \leq 0 \\ 0 & x < 0 \end{cases}$$

In other words,  $g$  is zero when  $x$  is negative and when the function  $x \sin(1/x)$  would be negative; we want to keep when  $x \sin(1/x)$  is positive. Then these two are different points in the étale space, but *cannot* be separated by open sets.

Note that if we were working with  $X = \mathbb{C}$  and took analytic or holomorphic functions, then this cannot happen as we have a non-isolated 0 without all of  $g$  being zero. This turns out to be the main impingement for the étale space being Hausdorff. In general sheaves of analytic functions shall form Hausdorff étale spaces.

## 1.1.1 Morphisms of (pre)Sheaves and build-up for Cohomology

Now that we have defined (pre)sheaves, it is natural to define morphism between sheaves to show that  $\mathbf{PSh}(X)$  and  $\mathbf{Sh}(X)$  form a category. Sheaf morphisms shall be over the same object, and hence can be thought of as the equivalent of looking at section maps between two étale spaces:

### Definition 1.1.10: Morphism Of Sheaves

Let  $\mathcal{F}, \mathcal{G} \in \mathbf{PSh}(X)$  (note that  $\mathbf{Sh}(X) \subseteq \mathbf{PSh}(X)$ ). Then a *morphism of (pre)sheaves* (over the chosen category)  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  contains the data  $\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  such that if  $V \subseteq U$ :

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\varphi(U)} & \mathcal{G}(U) \\ \text{res}_{U,V} \downarrow & & \downarrow \text{res}_{U,V} \\ \mathcal{F}(V) & \xrightarrow{\varphi(V)} & \mathcal{G}(V) \end{array}$$

In other words,  $\varphi$  is a natural transformation from  $\mathcal{F}$  to  $\mathcal{G}$ . The collection of morphisms between two sheaves is denoted  $\text{Hom}_{\mathbf{Sh}(X)}(\mathcal{F}, \mathcal{G})$  or  $\text{Mor}_{\mathbf{Sh}(X)}(\mathcal{F}, \mathcal{G})$

The image of a presheaf is a presheaf, however the image of a sheaf need not be a sheaf (see example 1.4). Since the morphisms are the same, the category  $\mathbf{Sh}(X)$  is a full subcategory of  $\mathbf{PSh}(X)$ . Given

this definition, there is a natural notion of morphism of  $\mathcal{O}_X$ -module. With morphisms and objects defined, it is easy to show that they form a category. We shall denote the category of sheaves over a category  $\mathbf{C}$  on a space  $X$  as  $\mathbf{C}_X$ . Thus:

$$\mathbf{Set}_X \quad \mathbf{Ab}_X \quad \mathbf{Ring}_X$$

are the categories of sheaves over sets, abelian groups, and rings. The collection of ringed spaces  $(X, \mathcal{O}_X)$  with the above mentioned morphisms will be the category denoted by  $\mathbf{Mod}_{\mathcal{O}_X}$ . For presheaves, we shall denote the category with an extra superscript:

$$\mathbf{Set}_X^{\text{pre}} \quad \mathbf{Ab}_X^{\text{pre}} \quad \mathbf{Ring}_X^{\text{pre}}$$

When working with abelian groups or  $\mathcal{O}_X$ -modules, both these categories are abelian categories. We shall see that sheaves also form abelian categories, however it requires the notion of sheafification (see section 1.1.3). To be more precise, as the definition of sheaf morphism is that of pre-sheaf morphism, it can be shown that the presheaf kernel of a sheaf morphism is in fact a sheaf, the cokernel need not be a sheaf. As pre-sheaves are Abelian categories, show how to define kernels and cokernels. To see this:

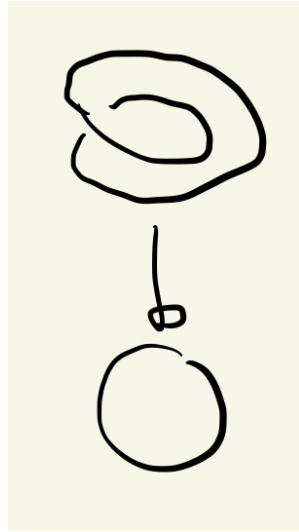
#### Example 1.4: Difficulty With Cokernels and Images

1. Let  $X = \mathbb{C}$  with the usual topology,  $\underline{\mathbb{Z}}$  be the constant sheaf on  $X$ ,  $\mathcal{O}_X$  the sheaf of holomorphic functions, and  $\mathcal{F}$  the *presheaf* of functions admitting a holomorphic logarithm. Then there is an exact sequence of presheaves on  $X$ :

$$0 \rightarrow \underline{\mathbb{Z}} \rightarrow \mathcal{O}_X \rightarrow \mathcal{F} \rightarrow 0$$

where  $\underline{\mathbb{Z}} \rightarrow \mathcal{O}_X$  is the natural inclusion, and  $\mathcal{O}_X \rightarrow \mathcal{F}$  is given by  $f \mapsto \exp(2\pi i f)$ . Then it should be clear that  $\mathcal{F}$  is *not* a sheaf, namely there are functions that don't have a global logarithm but do locally!

2. If you are less comfortable with complex analysis, here is a more rudimentary example, we shall be taking the sheaf of continuous sections of the circle  $S^1$  with the total space for the first sheaf being  $S^1$  and for the second sheaf being the twisted circle, which can be visualized as:



Label this shape  $S_2^1$ . Then the first sheaf is all continuous sections on all open subsets of the codomain for the map  $\pi : S^1 \rightarrow S^1$ , while the second is all the continuous sections of all the open subsets of the codomain for the map  $\pi : S_2^1 \rightarrow S^1$  with a reasonable definition of projection map. Then there is certainly a surjective continuous map  $\varphi$  satisfying the commutative diagram:

$$\begin{array}{ccc} S_2^1 & \xrightarrow{\varphi} & S^1 \\ & \searrow & \swarrow \\ & S^1 & \end{array}$$

However, there is no surjective maps:

$$\mathcal{F}_2(X) \rightarrow \mathcal{F}_1(X)$$

namely since there no continuous section from  $S^1$  to  $S_2^1$  that would satisfy the projection condition.

As presheaves store all local information, we may want that there are some natural functors that preserve some exactness to extract that information. The two natural candidates for functors to would be  $- (U)$  taking a (pre)sheaf to its sections over an open set  $U$ , and  $(-)_p$  the functor taking a (pre)sheaf to it's stalk at  $p$ .

Let us start with the stalk map. Let us show that it is indeed a functor:

**Proposition 1.1.11: Morphism Of (Pre)Sheaves Induces Morphism On Stalks**

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of (pre)sheaves (for simplicity, of sets). Then there is an induced morphism on stalks  $\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$ , that is, it induced a functor  $\mathbf{Sh}_{\mathbf{C}}(X) \rightarrow \mathbf{C}$ .

**Proof :**

This is definition pushing: For each open  $O \subseteq X$  we have the morphism  $\varphi(O) : \mathcal{F}(O) \rightarrow \mathcal{G}(O)$ . Define the map:

$$[(f, U)]_p \mapsto [(\varphi(U)(f), U)]_p$$

For this map to be well-defined if  $(f, U) \sim (f', V)$  then we need:

$$[(f', V)]_p \mapsto [(\varphi(V)(f'), V)]_p = [(\varphi(U)(f), U)]_p$$

Hence we need that  $((\varphi(V)(f'), V) \sim ((\varphi(U)(f), U)$ . As  $(f, U) \sim (f', V)$  we have there exists  $W \subseteq U, V$  such that

$$\text{res}_{U,W} f = \text{res}_{V,W} f' = g$$

Then as  $\varphi$  is a pre-sheaf morphism we have:

$$\begin{array}{ccc} \varphi(U) : f \longmapsto \varphi(U)(f) & & \varphi(V) : f' \longmapsto \varphi(V)(f') \\ \downarrow \text{res}_{U,W} & & \downarrow \text{res}_{V,W} \\ \varphi(W) : \text{res}_{U,W}(f) = g \longmapsto \varphi(W)(g) & & \varphi(W) : \text{res}_{V,W}(f') = g \longmapsto \varphi(W)(g) \end{array}$$

which implies  $\text{res}_{U,W} \varphi(U)(f) = \text{res}_{V,W} \varphi(V)(f')$ . But that means they are similar,  $((\varphi(V)(f'), V) \sim ((\varphi(U)(f), U)$ , as we sought to show

From this, it is easy to see that functoriality is given, and hence  $(-)_p$  is a functor. It is even an exact functor, but we shall relegate that proof till section 1.3 as it requires more build-up.

It is easier to show that  $-(U)$  is an exact functor. By definition, a morphism of schemes  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  give a morphisms in  $\mathbf{C}$ ,  $\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ . What is left to show is that monomorphisms/epimorphisms are preserved, or if  $\mathbf{C} = \mathbf{Set}$  that injectives and surjective are preserved

**Proposition 1.1.12: On Presheaf: Open Set Functor is Exact**

The functor  $-(U) : \mathbf{PSh}(X) \rightarrow \mathbf{C}$  (where  $\mathbf{C}$  is abelian) is an exact functor  
On  $\mathbf{Sh}$ , the functor  $-(U)$  is left-exact.

**Proof :**

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a monomorphism so that if  $f : \mathcal{H} \rightarrow \mathcal{F}$  and  $g : \mathcal{H} \rightarrow \mathcal{F}$  are morphisms such that  $\varphi \circ f = \varphi \circ g$  then  $f = g$ . Then after applying the functor we get:

$$\varphi(U) \circ f(U) = \varphi(U) \circ g(U) \quad \text{implies} \quad f(U) = g(U)$$

Note that any element  $f(U), g(U)$  is associated to a presheaf an “indicator sheaf” which is the sheaf where if  $V \subseteq U$  then  $\mathcal{F}(U) = \{e\}$  and if  $V \not\subseteq U$  then  $\mathcal{F}(U) = \emptyset$ , and so we have that this holds for every possible element (or function)  $f(U), g(U)$ , giving they are monomorphism, or in the right category injective. A similar argument can be done for epimorphisms/surjective maps using the sky-scraper sheaf.

The argument for left-exactness works just as well for the sheaf case, and example 1.4 shows why it cannot be extended to be right-exact in general.

**Proposition 1.1.13: Exactness of Presheaves On The Level of Open Sets**

An exact sequence of presheaves  $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \cdots \rightarrow \mathcal{F}_n \rightarrow 0$  is exact if and only if the sequence  $0 \rightarrow \mathcal{F}(U)_1 \rightarrow \mathcal{F}(U)_2 \rightarrow \cdots \rightarrow \mathcal{F}(U)_n \rightarrow 0$  is exact for all  $U$ .

**Proof :**  
exercise.

**Proposition 1.1.14: Presheaves Over Ab Category Form Ab Category**

The category  $\mathbf{PSh}_{\mathbf{C}}(X)$  over an abelian category  $\mathbf{C}$  is an abelian category

**Proof :**

Certainly, if  $\varphi, \psi : \mathcal{F} \rightarrow \mathcal{G}$  then  $\varphi + \psi$  defined via open sets as:

$$\varphi + \psi(U) := \varphi(U) + \psi(U)$$

is a map of presheaf (it respects restriction by the commutativity of both natural transformation), and so the hom-sets form an abelian group. Then as we also have a 0-object, and we have finite products (again, something the reader could verify), we have an additive category.

To show kernels and cokernels exist, by proposition 1.1.13 it suffices to check on the level of open sets. Then we define:

$$(\ker_{\text{pre}} \varphi)(U) = \ker \varphi(U)$$

The key is to consider the following diagram where  $U \hookrightarrow V$ :

$$\begin{array}{ccccccc} 0 & \longrightarrow & \ker_{\text{pre}}(\varphi(V)) & \longrightarrow & \mathcal{F}(V) & \longrightarrow & \mathcal{G}(V) \\ & & \downarrow \exists! & & \downarrow \text{res}_{V,U} & & \downarrow \text{res}_{V,U} \\ 0 & \longrightarrow & \ker_{\text{pre}}(\varphi(U)) & \longrightarrow & \mathcal{F}(U) & \longrightarrow & \mathcal{G}(U) \end{array}$$

which shows that this is indeed well-defined. The reader can further check that this does indeed satisfy the universal property of the kernel. By dualizing the argument, we can do the same for the cokernel, and hence the additive category of presheaves has kernels and cokernels, making it abelian.

Hence, the cohomology of presheaves is well-defined can be reduced to the study of it's open sets. By example 1.4, this argument does not work for sheaves as the cokernel is *not* preserved (however kernels are preserved, as shall be proven soon). However, the category shall be shown to be abelian in section 1.1.3. For future reference, we box the following definition:

**Definition 1.1.15: Sheaf Hom**

Let  $\mathcal{F}, \mathcal{G} \in \mathbf{Sh}(X)$ . Then the *sheaf hom* is defined to be:

$$\text{Hom}(\mathcal{F}, \mathcal{G})(U) := \text{Mor}(\mathcal{F}|_U, \mathcal{G}|_U)$$

Just like  $\text{Hom}$ ,  $\text{Hom}$  is a (co/contra)variant functor, depending on which position you choose. For future purposes, the dual of  $\mathcal{F}$ , denoted  $\mathcal{F}^\vee$  is  $\text{Hom}_{\mathbf{Mod}_{\mathcal{O}_X}}(\mathcal{F}, \mathcal{O}_X)$ .

**1.1.2 On commuting Hom and stalks** You may recall that localizing commutes with many operations. It is tempting to then think that  $\text{Hom}(\mathcal{F}, \mathcal{G})_p \cong \text{Hom}(\mathcal{F}_p, \mathcal{G}_p)$ . However this is *not* the case (think of a counter-example). There is a map from one of these sets to another, what is it?

The concept of the sheaf-Hom has many technicalities, so to practice do the following exercises:

**Example 1.5: Sheaf Hom practice**

1. Let  $\mathcal{F}$  be a sheaf of sets, show that  $\text{Hom}(\{p\}, \mathcal{F}) \cong \mathbb{F}$
2. Let  $\mathcal{F}$  be a sheaf of groups, show that  $\text{Hom}(\mathbb{Z}, \mathcal{F}) \cong \mathbb{F}$
3. If  $\mathcal{F}$  is an  $\mathcal{O}_X$ -module, show that  $\text{Hom}(\mathcal{O}_X, \mathcal{F}) \cong \mathbb{F}$  as  $\mathcal{O}_X$ -modules.

### 1.1.2 Stalks and Sheaves

As mentioned earlier, presheaves induce stalks. It was mentioned that sheaves but *not* presheaves can be characterized on the level of stalks, meaning the stalks of a sheaf shall give us all the information about a sheaf. This in fact almost characterizes sheaves from presheaves, as it in fact characterizes the notion of a *separated presheaf* which is a presheaf along with the identity axiom (but not necessarily Glueability). Though we may carry out the construction on separated presheaves, it shall bring us no strong benefit and so we stick to working with sheaves.

Let us first see that morphisms between sheaves can be determined by their stalks:

**Lemma 1.1.16: Sections Determined By Germs**

Let  $\mathcal{F}$  be a sheaf. Then the map:

$$\mathcal{F}(U) \rightarrow \prod_{p \in U} (\mathcal{F}_p)$$

is injective

**Proof :**

Let  $f$  be the map and consider  $f(s) = (s_x)_{x \in U}$  Say:

$$(s_x)_{x \in U} = (t_x)_{x \in U} \quad \text{equiv.} \quad [(s, V_x)]_{x \in U} = [(t, W_x)]_{x \in U}$$

Then by definition there exists a  $C_x \subseteq V_x \cap W_x$  such that

$$s|_{C_x} = t|_{C_x}$$

Now as  $x \in C_x$  for each  $x \in U$  we have  $U = \bigcup_{x \in U} C_x$  and furthermore for any pair  $C_x, C_y$  in the open cover we have:

$$\text{res}_{C_x, C_x \cap C_y} s = \text{res}_{C_y, C_x \cap C_y} t$$

hence, by the gluing axiom  $s = t$ , as we sought to show.

Note that this is *not* true for presheaves:

**Example 1.6: Injectivity Failure For Presheaves**

Let  $X$  be any topological space and define:

$$\mathcal{F}(X) = \mathbb{Z} \quad \mathcal{F}(U) = 0 \ (U \subsetneq X)$$

Then this sheaf is gluable, but not separated. If  $U \subsetneq X$  is covered, then it is clear that gluing must hold. If  $U = X = \cup_i U_i$ , then that restrictions holds as any  $z \in \mathbb{Z}$  has  $z|_{U_i} = 0$  and so they certainly agree on all intersections. Hence, we see that each  $z \in \mathbb{Z}$  is glued by a bunch of 0-elements!

Then  $\mathcal{F}(X) \rightarrow \prod_{x \in X} \mathcal{F}_x$  is *not* injective, as each  $\mathcal{F}_x$  consist of a single equivalence class representing the zero element (and hence  $\prod_{x \in X} \mathcal{F}_x \equiv \{[0]\}$ , and  $\mathcal{F}(X)\mathbb{Z}$ .

This example shows that we can somehow construct a nonzero object from a bunch of zero-objects. This can be thought of as being the key-blocker, especially in the case of abelian categories where most of the time the pre-image of 0 is only 0. This motivate the following:

**Definition 1.1.17: Support of Sections**

Let  $\mathcal{F}$  be a sheaf of an abelian group on  $X$ , and  $s$  a global section of  $\mathcal{F}$ . Then the *support* of  $S$ , denoted  $\text{Supp}(S)$ , is the points  $p \in X$  where  $s$  has a nonzero germ:

$$\text{Supp}(s) := \{p \in X \mid s_p \neq 0 \text{ in } \mathcal{F}_p\}$$

This notion differs a bit from the usual notion of support in analysis, in particular notice that it is the germ itself that is nonzero and not that the evaluation of the germ  $s_p$  at the point  $p$  that is nonzero. Using this, show that  $\text{Supp}(s)$  is closed. The equivalent in analysis is the definition of the *closed support* of a function. Due to this, the compliment of the support of an element  $s$  is the 0-section. The section can also be defined on presheaves, however there are examples of presheaves where there are nonzero sections that “live nowhere” because it is 0 in every stalk<sup>7</sup>

Let us now take a closer look at  $\prod_{p \in U} (\mathcal{F}_p)$ . This set is much bigger than sections of a sheaf. We thus take the special sub-collection that associates with  $\mathcal{F}(U)$  (essentially characterizing the image of  $\mathcal{F}(U)$ ):

<sup>7</sup>Take  $\mathcal{F}$  to be the sheaf of holomorphic functions on  $\mathbb{C}$ ,  $\mathcal{G}$  the sheaf of bounded holomorphic functions. Then take the sheaf  $\mathcal{H} = \mathcal{F}/\mathcal{G}$ . Then show that  $\mathcal{H}_x = 0$ , however  $\mathcal{H}(\mathbb{C}) = \{\text{entire functions}\}/\mathbb{C}$



**Definition 1.1.18: Compatible Germs**

Let  $\mathcal{F}$  be a sheaf on  $X$  and  $U \subseteq X$  an open set. Then we say an elements  $(s_p)_{p \in U} \in \prod_{p \in U} \mathcal{F}_p$  consists of *compatible germs* if there exists some representative open sets  $U_p$  for  $s_p$ :

$$U_p \subseteq U \text{ open, } \tilde{s}_p \in \mathcal{F}(U_p)$$

such that the germ of  $\tilde{s}_p$  for all  $q \in U_p$  is  $s_q$ . In other words, if  $\{U_i\}$  is an open cover of  $U$  where  $p \in U_i$  for all  $i$ , then  $s_p$  is the germ of  $f_i$  at  $p$ .

Hence, a compatible germ is the collection of germs that are “compatible” when taking subsets, that is *restrictions*. Using the gluing axiom, it is a good exercise to show that any choice of compatible germs for a sheaf  $\mathcal{F}$  over  $U$  is the image of a section of  $\mathcal{F}$  over  $U$ , that is we have successfully identified the right hand side of the equation in lemma 1.1.16. Note how important it is that we use sheaves (or at least separated presheaves) for the proof. The proof also motivate the agricultural terminology of “sheaf”, for in agriculture a sheaf is a collection of “Stalks” (which is the main stem of a plant).

**1.1.3 Remark** When working with sheaves of sets or some structures on sets, the elements of the section can literally be thought of as functions using the above definition, namely function of the form  $s : U \rightarrow \bigsqcup_{p \in U} \mathcal{F}_p$ . The generalization presented above allows for more categories to be considered.

With the right notion of compatible stalks defined, we may show how to determine morphisms of sheaves at the level of stalks:

**Proposition 1.1.19: Morphisms Determined By Stalks**

Let  $\varphi_1, \varphi_2$  be morphisms from a presheaf of sets  $\mathcal{F}$  to a sheaf of sets  $\mathcal{G}$  that induce the same maps on each stalk. Then  $\varphi_1 = \varphi_2$

**Proof :**

Consider the diagram:

$$\begin{array}{ccc} \mathcal{F}(U) & \longrightarrow & \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \prod_{p \in U} \mathcal{F}_p & \longrightarrow & \prod_{p \in U} \mathcal{G}_p \end{array}$$

**Proposition 1.1.20: Isomorphisms Determined By Stalks**

Let  $\varphi$  be a morphism of sheaves. Then  $\varphi$  is an isomorphism if and only if it induces an isomorphism for all stalks.

**Proof :**

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a sheaf morphism. If  $\varphi$  is an isomorphism, then certainly each  $\varphi_p$  is an isomorphism, hence say each  $\varphi_p$  is an isomorphism. Then it must be shown that each  $\varphi(U)$  is an isomorphism. For injectivity, say for  $s \in \mathcal{F}(U)$   $\varphi(s) = 0 \in \mathcal{G}(U)$ . Then for each  $p \in U$ ,  $\varphi(s)_p = 0 \in \mathcal{G}_p$ . As  $\varphi_p$  is injective at each  $p$ , we have  $s_p = 0 \in \mathcal{F}_p$ . Using the definition of stalk, we have that  $s$  is zero on some open set, namely  $s|_{W_p} = 0$  for  $W_p \subseteq U$ . As  $U$  is covered by such neighborhoods, by the gluing axiom we have  $s = 0$ .

For surjectivity, let  $t \in \mathcal{G}(U)$ . Consider  $t_p \in \mathcal{G}_p$ . As  $\varphi_p$  is surjective, we have  $s_p \in \mathcal{F}_p$  such that  $\varphi_p(s_p) = t_p$ . Now, let  $(s(p), V_p)$  be a representative of  $s_p$  on the set  $V_p$  containing the point  $p$ . Then  $\varphi(s(p))$  and  $t|_{V_p}$  are two elements of  $\mathcal{G}(V_p)$  whose germs at  $p$  are the same. Thus, replacing  $V_p$  by a smaller neighborhood if necessary, we have  $\varphi(s(p)) = t|_{V_p} \in \mathcal{G}(V_p)$ .

Now,  $U$  is covered by open sets  $V_p$  where on each  $V_p$  we have  $s(p) \in \mathcal{F}(V_p)$  and  $\varphi(s(p)) = t|_{V_p}$ . If  $p, q$  are two points, then  $s(p)|_{V_p \cap V_q}$  and  $s(q)|_{V_p \cap V_q}$  are two sections of  $\mathcal{F}(V_p \cap V_q)$  which are both sent to  $t|_{V_p \cap V_q}$  via  $\varphi$ . As  $\varphi$  was shown to be injective, they are equal. then by the gluing axiom, there exists an  $s \in \mathcal{F}(U)$  such that

$$s|_{V_p} = s(p) \quad \forall p \in U$$

Finally, to show that  $\varphi(s) = t$ , notice that  $\varphi(s)$  and  $t$  are two section of  $\mathcal{F}(U)$  where for each  $p$   $\varphi(s)|_{V_p} = t|_{V_p}$ , hence they glue to create  $\varphi(s) = t$ , as we sought to show.

This does not imply that if two sheaves have isomorphic stalks, then they are isomorphic! You need to already have a sheaf homomorphism which in turn induces the isomorphic stalks. Conceptually, this is saying that being locally isomorphic does not imply it is globally isomorphic, which there are plenty concrete example from differential geometry to choose from.

**Example 1.7: Isomorphic Stalks, Not Isomorphic Sheafs**

1. Let  $X$  be a Hausdorff space. Consider the constant sheaf  $\underline{\mathbb{Z}}$  and the sky-scraper sheaf  $\iota_*(\mathbb{Z})$ . Show that the stalks at each point is  $\mathbb{Z}$ , however these two sheaves are not isomorphic.
2. The following example will rely on Spec for full understanding that is covered in the next chapter, however the reader may rely on their intuitions from [3]. A more geometric example is the following: Take

$$A = \mathbb{R}[x, y, z]/(x^2 + y^2 + z^2 - 1)$$

which is the 2-sphere in  $\mathbb{R}^3$ . Take the tangent bundle, i.e.  $A$ -module, of all derivations  $E = \text{Der}_{\mathbb{R}}(A)$ . Then  $E$  is a rank 2 locally trivial module since for any open subset or distinguished open subset,  $E_x \cong A_x^2$ , showing each stalk is isomorphic. However,  $E \not\cong A^2$ .

3. Another famous example would be the trivial line bundle on  $S^1$  vs. the möbius bundle.

It is still useful to know under what conditions do the stalk's of a map induce a sheaf morphism:

**Proposition 1.1.21: Characterizing Stalks Inducing Sheaf Morphism**

Let  $X$  be a topological space with two sheaves  $\mathcal{F}, \mathcal{G}$ . Let  $f_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$  be a collection of stalk morphisms for all  $p \in X$ . Then this gives a map of sheaves  $f : \mathcal{F} \rightarrow \mathcal{G}$  if and only if for all open subsets  $U \subseteq X$ , for all sections  $s \in \mathcal{F}(U)$ , there is an open cover  $U = \cup_i U_i$  such that

$$f_p(s_p) = (t_i)_p$$

for all  $p \in U_i$  and all  $i$

**Proof :**

write down

**1.1.3 Sheafification: Sub and Quotient Sheaves**

Just like we can form an abelian group from an abelian semi-group via groupification, we can form a sheaf from a presheaf via sheafification. As is usual, this is done in a universal way that is adjoint to the forgetful functor. Namely:

**Theorem 1.1.22: Sheafification**

Let  $\mathcal{F}$  be a presheaf on  $X$ . Then there exists a sheaf  $\mathcal{F}^{\text{sh}}$  and a map  $\text{sh} : \mathcal{F} \rightarrow \mathcal{F}^{\text{sh}}$  such that for any sheaf  $\mathcal{G}$  and any presheaf morphism  $g : \mathcal{F} \rightarrow \mathcal{G}$ , there exists a unique morphism of sheaves  $f : \mathcal{F}^{\text{sh}} \rightarrow \mathcal{G}$  such that the following diagram commutes:

$$\begin{array}{ccc} & & \mathcal{F}^{\text{sh}} \\ & \nearrow \text{sh} & \downarrow g \\ \mathcal{F} & \xrightarrow{f} & \mathcal{G} \end{array}$$

The idea will be to remove all section that violate locality, and add sections to respect gluability. Another good take is the following post [here](#).

**Proof :**

Let  $\mathcal{F}$  be the presheaf in the question. Define  $\mathcal{F}^{\text{sh}}$  as the set of compatible germs of the presheaf  $\mathcal{F}$  over  $U$ , namely:

$$\mathcal{F}^{\text{sh}}(U) := \{(f_p \in \mathcal{F}_p)_{p \in U} \mid \forall p \in U, \exists p \in V \subseteq U \text{ open and } s \in \mathcal{F}(V) \text{ such that } s_q = f_q, \forall q \in V\}$$

Note this resemble to the étale space. Verify that this is a sheaf, that  $\mathcal{F} \rightarrow \mathcal{F}^{\text{sh}}$  induces an isomorphism so stalks, and that it satisfies the free-forgetful adjoint.

As practice, show that the constant sheaf is the sheafification of the constant presheaf, that is

$$(\underline{S}_{\text{pre}})^{\text{sh}} = \underline{S}$$

**Corollary 1.1.23: Sheafification and Stalks**

Let  $\mathcal{F} \in \mathbf{PSh}(X)$  be a presheaf. Show that for all  $p \in X$

$$\mathcal{F}_p \cong \mathcal{F}_p^{\text{sh}}$$

**Proof :**

Use something similar given in the proof of proposition 1.1.5

In chapter 5, we shall measure the “failure” of a presheaf from being a sheaf using Čech cohomology.

**Sub and Quotient Sheaves**

We can now return to how cokernels exist in  $X_{\text{sh}}$ . The main problem with cokernels is that images fail gluing and cokernels fail locality. Sheafification will add enough sections to images to satisfy gluability, and this will give the condition for the cokernel to have locality.

**Proposition 1.1.24: Mono- and Epimorphisms of Sheaves Equivalence**

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves on  $X$  (over **Set**, or any abelian category). Then the following are equivalent and characterize kernels:

1.  $\varphi$  is a monomorphism in the category of sheaves
2.  $\varphi$  is injective on the level of stalks:  $\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$  for all  $p \in X$
3.  $\varphi$  is injective on the level of pen sets:  $\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  for all  $U \subseteq X$

Furthermore, the following are equivalent and characterize cokernels:

1.  $\varphi$  is an epimorphism in the category of sheaves
2.  $\varphi$  is surjective on the level of stalks:  $\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$  for all  $p \in X$

Furthermore, if  $\varphi$  is surjective on open sets, it implies the two above conditions (but not the other way around).

**Proof :**

This is combining the results from earlier. In particular, apply the results just proven about stalks to the proof of proposition 1.1.12.

It is important to stress that the last condition given for surjectivity is not implied by the other two:

**Example 1.8: Failure of Surjectivity On Open Sets**

Let  $X = \mathbb{C}$ ,  $\mathcal{O}_X$  be the sheaf of holomorphic functions, and  $\mathcal{O}_X^*$  the sheaf of invertible holomorphic functions. Let  $\exp : \mathcal{O}_X \rightarrow \mathcal{O}_X^*$ . Then show that there is an open subset  $U \subseteq \mathbb{C}$  which fails surjectivity.

**Corollary 1.1.25: Exactness of Stalk Functor**

Let  $\mathbf{Sh}_{\mathbf{C}}(X)$  be the category of sheaves over  $X$  on  $\mathbf{C}$ . Then  $(-)_p$  is an exact functor.

**Proof :**

Direct result of proposition 1.1.24

## 1.2 Sheaf from a base

When defining a differential structure on a manifold, one of the strategies that is taken is to define pieces of the manifold in a compatible way, or said differently define it on some basis of the manifold. This section endeavours to do this for sheaves.

Let  $X$  be a topological space with a sheaf  $\mathcal{F}$ . Take a basis  $\{B_i\}$  for  $X$  and take the subset of  $\mathcal{F}$  consisting of  $\mathcal{F}(B_i)$  along with all the appropriate restriction maps. Then there is enough Stalk information to recover all of  $\mathcal{F}$  (show this), and hence we may want to start with such a collection to generate a sheaf. This collection alone will generate a presheaf, we will require the basis to satisfy the identity and gluability axioms:

**Definition 1.2.1: Sheaf On A Base**

Let  $X$  be a topological space and  $\mathcal{B}$  be the collection defined above. Then this collection is called a *sheaf on a base* if it satisfies:

1. **Base identity axiom:** If  $B = \cup_i B_i$ , then if  $f, g \in \mathcal{F}(B)$  are such  $\text{res}_{B, B_i} f = \text{res}_{B, B_i} g$  for all  $i$ , then  $f = g$ .
2. **Base Gluability:** If  $B = \cup_i B_i$ , and  $f_i \in \mathcal{F}(B_i)$  such that  $f_i$  agrees with  $f_j$  on any basic open set contained in  $B_i \cap B_j$ , then there exists  $f \in \mathcal{F}(B)$  such that  $\text{res}_{B, B_i} f = f_i$  for all  $i$

**Theorem 1.2.2: Define Sheaf From Base**

Let  $\{B_i\}$  be a base for the topological space  $X$  and let  $F$  be a of sets on the base. Then there is a sheaf  $\mathcal{F}$  extending  $F$  with isomorphisms  $\mathcal{F}(B_i) \cong F(B_i)$  agreeing with restrictions. Furthermore, this sheaf is unique up to unique isomorphism

**Proof :**

The sheaf  $\mathcal{F}$  will be defined as the sheaf of compatible germs of  $F$ . To this end, define the stalk of a presheaf  $F$  on a base at  $p \in X$  by taking:

$$F_p = \varinjlim F(B_i)$$

Define:

$$\mathcal{F}(U) = \{(f_p \in F_p)_{p \in U} \mid \forall p \in U, \exists B \text{ with } p \in B \subseteq U, s \in F(B) \text{ that is compatible: } s_q = f_q \forall q \in B\}$$

Then the map  $F(B) \rightarrow \mathcal{F}(B)$  is an isomorphism.

Lots more to cover here that is worth going over

## 1.3 Sheaves and Abelian Category

What's left is to show that cokernels exist. The main idea is to use stalks. Let us first show that the case for kernels is already dealt with:

### Proposition 1.3.1: Sheaf Morphisms Have Kernels

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a sheaf morphism. Show that it has a kernel

**Proof :**

Go over the proof of proposition 1.1.14 and verify that the kernel argument works sheaves

The above can be proven on the level of open subsets. Let us show that we can bring it to the level of stalks:

### Corollary 1.3.2: Commuting Kernel and Stalk

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then the stalk of the kernel is the kernel of the stalk:

$$(\ker(\mathcal{F} \rightarrow \mathcal{G}))_p \cong \ker(\mathcal{F}_p \rightarrow \mathcal{G}_p)$$

**Proof :**

exercise.

Let us now put together the universal properties we've shown so far to get that the category of sheaves also have cokernels:

### Proposition 1.3.3: Sheaf Morphisms Have Cokernels

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a sheaf morphism. Then it has a cokernel

**Proof :**

Recall that the universal property of the cokernel can be seen as the colimit of the following diagram:

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} \\ \downarrow & & \\ 0 & & \end{array}$$

As we know, the cokernel forms a presheaf  $\mathcal{H}_{\text{pre}}$ . By sheafification we have a unique map

$\text{sh} : \mathcal{H}_{\text{pre}} \rightarrow \mathcal{H}$ . Now let  $\mathcal{A}$  be any sheaf. Then consider the following diagram:

$$\begin{array}{ccccc}
 \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} & & \\
 \downarrow & & \downarrow & \searrow & \\
 0 & \rightarrow & \mathcal{H}_{\text{pre}} & \xrightarrow{\text{sh}} & \mathcal{H} \\
 & \searrow & & & \downarrow \exists! \\
 & & & & \mathcal{A}
 \end{array}$$

By the universal property of cokernels for presheaves, we have a unique map  $\mathcal{H}_{\text{pre}} \rightarrow \mathcal{A}$ . By the universal property of sheafification, we will get a unique map composed from the two unique universal maps giving us  $\mathcal{H} \rightarrow \mathcal{A}$ , as we sought to show.

#### Corollary 1.3.4: Commuting Cokernel and Stalk

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then the stalk of the kernel is the kernel of the stalk:

$$(\text{coker}(\mathcal{F} \rightarrow \mathcal{G}))_p \cong \text{coker}(\mathcal{F}_p \rightarrow \mathcal{G}_p)$$

**Proof :**  
exercise.

Combining this all, we may finally conclude that sheaves on  $X$  over abelian category form an abelian category, and we showed that kernels and cokernels can be verified on the level of stalks.

#### Corollary 1.3.5: Image and Sheafification

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves over an abelian category. Then  $\text{im}(\varphi)$  is the sheafification of the image presheaf.

**Proof :**  
exercise

Note how due to the sheafification, the surjectivity of a sheaf is no longer necessarily conditional on the surjectivity of on all open sets, even on  $U = X$

#### Example 1.9: Surjective, But Not On Open Sets

Take  $X = \mathbb{C} \setminus \{0\}$ ,  $\mathcal{F}$  the collection of meromorphic functions, and  $\mathcal{G}$  the collection of differential 1-forms. Take  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  given by  $f \mapsto df$ . This map is surjective on stalks: indeed locally, each differential 1-form  $\omega = df$  for appropriate  $f$  (namely as around every point there is a simply-connected open neighborhood). However, on all of  $X$ , the form  $dz/z$  has nothing mapping to it: indeed the only possible candidate is  $\log(z)$  which is not a meromorphic function on  $\mathbb{C} \setminus \{0\}$ .

Thus,  $\varphi(X) : \mathcal{F}(X) \rightarrow \mathcal{G}(X)$  is *not* surjective, even though  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is surjective!

For a purely algebraic examples, take  $X = \mathbb{R}$ ,  $\mathcal{F} = \underline{\mathbb{Z}}$ , and  $\mathcal{G} = \iota_p(\mathbb{Z}) \oplus \iota_q(\mathbb{Z})$  (i.e. the direct sum of two skyscraper sheaves at two distinct points  $p, q$ ). Take the natural restriction map  $\varphi$ , show it

is surjective on each stalk, but  $\text{im}(\mathcal{F}(X)) = \{(n, n) \mid n \in \mathbb{Z}\} \neq \mathbb{Z} \oplus \mathbb{Z}$ .

### Corollary 1.3.6: Commuting Image and Stalk

Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then the stalk of the image is the image of the stalk:

$$(\text{im}(\varphi))_p \cong \text{im}(\varphi_p)$$

This last corollary can be interpreted as saying that we can take the stalk of the presheaf image or the stalk of the sheaf image, and get the same result.

Overall, the reason why presheaves are an abelian category is because of the computation “open set by open set”, and sheaves are abelian categories because all abelian-categorical notions make sense “stalk by stalk”.

### Exercise 1.3.1

1. Show that  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is surjective if and only if for every  $s \in \mathcal{G}(U)$ , there exists an open covering  $\bigcup_i U_i = U$  and elements  $t_i \in \mathcal{F}(U_i)$  such that  $\varphi(t_i) = s|_{U_i}$  (hint: recall  $\varphi$  is surjective if and only if it is surjective on stalks).

This exercise will come back in proposition 4.1.8

## 1.4 Sheaves between Topological Spaces

The next important question is if we can pushforward a sheaf given a continuous map  $f : X \rightarrow Y$ . If  $U \subseteq V \subseteq Y$  and  $f : X \rightarrow Y$ , then  $f^{-1}(U) \subseteq f^{-1}(V)$ . Hence,  $f^{-1}$  can be thought of as a contravariant functor preserving  $\subseteq$ . In fact, more is true:  $f^{-1}$  also preserves the properties in definition 1.1.7, and hence works on sheaves as well:

### Definition 1.4.1: Pushforward Of (Pre)sheaf

Let  $f : X \rightarrow Y$  be a continuous map and  $\mathcal{F} \in \mathbf{PSh}(X)$ . Then the *pushforward* or *direct image* of  $\mathcal{F}$  through  $f$  is defined on every open set  $U \subseteq Y$  to be

$$f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$$

and induces a (per)sheaf on  $Y$ . The pushforward defines a [contravariant] functor  $f^\# : \mathbf{Sh}(Y) \rightarrow \mathbf{Sh}(X)$  sending:

$$f^\# : \mathcal{F} \rightarrow f_*(\mathcal{F})$$

If working with a particular category, for example  $\mathbf{Set}$ , we would write  $f_* : \mathbf{Set}_Y \rightarrow \mathbf{Set}_X$ .

It is perhaps unsurprising that  $f^{-1}$  preserves pre-sheaves, however it is a little less obvious that it preserves sheaves as well; it is worth thinking this through. To me, this shows that sheaves are a very natural concept, as the usually topological function  $f^{-1}$  naturally preserves them. This also motivates the Grothendieck topology that will be introduced much later. We have already seen an example of a pushforward: the skyscraper sheaf is induced that pushing forward along the inclusion map  $\iota_p : \{p\} \rightarrow X$  the constant sheaf  $\underline{\mathbb{Z}}$ .



Using the pushforward, we can define maps between ringed space

### Definition 1.4.2: Isomorphism Of Ringed Spaces

Let  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  be two ringed spaces. Then an isomorphism of ringed spaces is a map  $\pi : X \rightarrow Y$  where:

1.  $\pi$  is a homeomorphism
2. There is an isomorphism of sheaves Between  $\mathcal{O}_X$  and  $\mathcal{O}_Y$  where two sheaves are given via the pushforward  $\pi_*$  (i.e.  $\mathcal{O}_Y \cong \pi_* \mathcal{O}_X$  on  $Y$ )<sup>a</sup>, namely

$$\varphi(U) : \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(f^{-1}(U))$$

<sup>a</sup>Once the inverse image sheaf is defined, by adjointness we may also consider  $\pi^{-1} : \mathcal{O}_Y \rightarrow \mathcal{O}_X$  of sheaves on  $X$

There shall be much more to this once it is shown that schemes are ringed spaces. For now, on the level of sheaves, we can see that they behave well with stalks:

### Proposition 1.4.3: Pushforward Induces Map On Stalks

Let  $f : X \rightarrow Y$  be a continuous map and  $\mathcal{F} \in \mathbf{Sh}(X)$ . Then for each  $\pi(p) = q$ , there exists a map

$$(f_* \mathcal{F})_q \rightarrow \mathcal{F}_p$$

between the stalks of  $f_* \mathcal{F}$  and  $\mathcal{F}$ .

#### **Proof :**

exercise. This can be verified using the equivalence class definition or the universal property. Doing it using equivalence classes, define the map

$$[s]_q \mapsto [f^{-1}(s)]_p$$

## 1.4.1 Inverse Image Sheaf

So far, most of the discussion of sheaves where with the assumption of a topological  $X$ . In example 1.2, there were examples of sheaves being “pushed-forward” (Definition 1.4.1) via a continuous map  $\pi : X \rightarrow Y$ . Namely there is a sheaf  $\pi_* \mathcal{F}$  on  $Y$  defined via:

$$\pi_* \mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$$

There is an adjoint to it known as the inverse image sheaf<sup>8</sup>. It is not as clear as the case for the push-forward as the image of an open set need not be open, hence the existence is more subtle (similar to the existence of cokernels for groups). The adjoint will be important not only for having more than one perspective on sheaves, but for definition morphisms of schemes.

<sup>8</sup>This is not the pullback, as this will be for a concept with quasi-coherent sheaves

**Definition 1.4.4: Inverse Image Sheaf**

Let  $f : X \rightarrow Y$  be a function. and  $\mathcal{F}, \mathcal{G}$  be sheaves on  $X$  and  $Y$  respectively. Then the *inverse image sheaf*  $f^{-1}\mathcal{G}$  on  $X$  is the sheaf associated to the presheaf:

$$f_{\text{pre}}^{-1}\mathcal{G}(U) = \varinjlim_{V \supseteq f(U)} \mathcal{G}(V)$$

or more concisely

$$f^{-1}\mathcal{G} = (f_{\text{pre}}^{-1}\mathcal{G})^{\text{sh}}$$

The construction of the sheaf is done in the usual quotient way: the elements of  $f_{\text{pre}}^{-1}\mathcal{G}(U)$  are equivalent classes  $(s, U), (t, V)$  where  $(s, U) \sim (t, V)$  if there exists a  $W \subseteq U \cap V$  where  $f(U) \subseteq W$  and the restriction of  $s, t$  are equal on  $W$ .

The sheafification is crucial:

**Example 1.10: Presheaf Inverse Image**

Let  $f : Y \rightarrow X$  where  $Y = X \sqcup X$  and  $f$  is the natural projection map and a sheaf  $\mathcal{G}$  defined on  $X$ . Then by definition, we would get  $f_{\text{pre}}^{-1}\mathcal{G}(U) = \mathcal{G}(U)$ , however the sheafification will get:

$$f^{-1}\mathcal{G}(U) = \mathcal{G}(U) \times \mathcal{G}(U)$$

showing the two are in general not equal.

**Proposition 1.4.5: push-forward Sheaf Adjoint**

Let  $f : X \rightarrow Y$  be a continuous functions and  $\mathcal{F}, \mathcal{G}$  be sheaves on  $X$  and  $Y$  respectively. Then there is a bijection:

$$\text{Mor}_X(f^{-1}\mathcal{G}, \mathcal{F}) \leftrightarrow \text{Mor}_Y(\mathcal{G}, f_*\mathcal{F})$$

That is, they are adjoints

**Proof :**

exercise.

One advantage of the inverse image sheaf is the computation of stalk

**Proposition 1.4.6: Stalks Via Inverse Image Sheaf**

Let  $f : X \rightarrow Y$  be a continuous function with  $\mathcal{G}$  a sheaf on  $Y$ . Then:

$$(f^{-1}\mathcal{G})_p = \mathcal{G}_{f(p)}$$

**Proof :**

exercise, follows more naturally via the definition.

In fact, the adjoint of this map is the *skyscraper sheaf*, namely given  $f : \{p\} \rightarrow X$  where  $p \in X$ , then  $f^{-1}\mathcal{G} = \mathcal{G}_p$ . Conversely, if we define the sheaf  $\mathcal{F}(\{p\}) = S$ , then  $f_*\mathcal{F}(U)$  is the skyscraper sheaf.

will get back to this when it is more needed

### Exercise 1.4.1

1. Let  $U \subseteq Y$  open and  $\iota : U \hookrightarrow Y$  be the inclusion. Show that  $\iota^{-1}\mathcal{G} \cong \mathcal{G}|_U$ .
2. Show that  $f^{-1}$  is an exact functor (naturally on abelian categories, however is just as good to show it for any  ${}_R\mathbf{Mod}$ )
3. Let  $U \subseteq X$  be an open subset of a topological space so that  $V = X \setminus U$  is closed. Let  $\mathcal{F}$  be a sheaf on  $V$ , and  $\iota : V \hookrightarrow X$  the inclusion.
  - a) Show that  $(\iota_*\mathcal{F})_p \cong \mathcal{F}_p$  if  $p \in V$  and 0 otherwise.
  - b) Let  $j_!(\mathcal{F})$  be a sheaf on  $X$  given by

$$W \mapsto \mathcal{F}(W) \quad W \mapsto 0$$

where the first happens when  $W \subseteq V$ , and the second in all other cases. Show that  $(j_!\mathcal{F})_p \cong \mathcal{F}_p$  if  $p \in U$  and 0 otherwise. .

- c) conclude there is a short exact sequence  $0 \rightarrow j_!(\mathcal{F}|_U) \rightarrow \mathcal{F} \rightarrow \iota_*(\mathcal{F}|_V) \rightarrow 0$ .

## 1.5 \*Diffeology

(would love to add a word here)

## 2

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# *Schemes*

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Recall the following correspondence:

$$\{\text{Affine Varieties}\} \begin{matrix} \xrightarrow{\mathcal{I}(V)} \\ \xleftarrow{\mathcal{Z}(S)} \end{matrix} \left\{ \begin{array}{c} \text{Finitely Generated,} \\ \text{Reduced rings} \\ \text{over algebraically closed fields} \end{array} \right\} \quad (2.1)$$

This allows for a certain subset of commutative rings to be interpreted as geometric objects, namely zero sets of polynomials, and vice-versa to interpret affine varieties as being determined by the set of functions on them up to functions that vanish on the given variety  $V$ . The question naturally arises then if this duality can be extended to general commutative rings. In particular, does there exist some topological space such that:

$$\left\{ ??? \right\} \begin{matrix} \xrightarrow{\mathcal{I}(V)} \\ \xleftarrow{\mathcal{Z}(S)} \end{matrix} \left\{ \text{Commutative Ring} \right\}$$

The necessity of commutativity stems from many of the theorem on prime ideals relying on commutativity<sup>1</sup>.

Why do this? This abstraction is not simply for abstractions-sake. It is because the dictionary between algebra and geometry that has been built up to is incredibly useful, and will fix some of the problems of algebraic varieties, for example:

1. There are many algebras over more general rings that have geometric interpretations. For a rather trivial but illustrative example, the coordinate ring of a line whose points are in some

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<sup>1</sup>The theory of “non-commutative geometry” using non-commutative rings can be explored in *Spectral Theory* in a class on functional analysis (see [4])

arbitrary ring  $k$  (the coordinate ring being  $k[x]$ ) currently does not have a good representation since the Nullstellensatz limits to Algebraically closed fields. Another example that may at first seem “genun-geometric” but turns out to have many strong geometric properties are rings such as  $\mathbb{Z}$ ,  $\mathbb{Z}[i]$  (the Gaussian integers), and more generally *algebraic number rings* (see [3, chapter 22]). Mathematicians have found that these corresponds naturally to curves in a plane (see [3, chapter 22]).

2. As we saw in front-matter, localization gives us powerful tools to explore the behavior of a variety at a point. In the current theoretical framework, we cannot consider such rings, for example, if we take the coordinate ring of an affine line,  $k[x]$ , takes the closure  $k(x)$ , or study  $k[x]_{(x)}$ , we loose the fact that we are finitely generated. However, as we saw these certainly still have interpretations as algebraic correspondences to geometric objects.
3. Finally, varieties loose multiplicity information. Saw we have the variety over  $\mathbb{C}$  defined by the equations

$$y = x^2 \quad y = 0$$

then if we take their intersection, we get a point  $(0, 0)$ . The corresponding affine variety is given by taking the union of quotient of the two coordinate rings, namely:

$$\frac{k[x, y]}{(y, x^2 - y)} = \frac{k[x]}{(x^2)}$$

Usually, we would say omit the  $x^2$  term and just use  $k[x]/(x)$  for the coordinate ring, however this eliminate the multiplicity information, namely that the variety  $y = x^2$  “doubly intersects” the variety  $y = 0$  the variety  $y = 0$ . The ring  $k[x]/(x^2)$  keeps track that we have a “double-point”, while  $k[x]/(x)$  does not. This is achieved by the fact that the ring has nilpotent elements.

This build-up will introduce to us the *affine scheme*. Many familiar geometric objects shall look like affine schemes, but not all familiar geometric objects shall look like them. We will need to generalize a bit more and define a *scheme* which is locally an affine scheme. For example, we shall see that projective space is not an affine scheme, but is a scheme. This shall complete liaison that algebraic geometry promises between algebra and geometry.

## 2.1 Defining Spec

Recall that the maximal ideals of  $k[x_1, x_2, \dots, x_n]/V$  correspond to points in a variety  $V$ . It may then be natural to say that the collection of maximal ideals should be considered the “points” of an arbitrary ring  $R$ . However, the pre-image of a maximal ideal need not be maximal breaking functoriality. In particular, we would want that the functor translating a ring homomorphism  $\varphi : R \rightarrow S$  to the associated continuous function mapping the maximal ideal  $M \subseteq S$  to a maximal ideal  $\varphi^{-1}(M)$  (recall that regular function corresponds contra-variantly to  $k$ -algebra homomorphisms), however pre-image of maximal ideals need not be maximal (ex. take  $\mathbb{Z} \hookrightarrow \mathbb{Q}$ . Then  $(0)$  is maximal in  $\mathbb{Q}$ , but certainly not maximal in  $\mathbb{Z}$ ).

When the theory was originally being developed within functional analysis (see [4]), the spaces were compact Hausdorff spaces, in which case it would have sufficed to work with the maximal ideals as

the pre-image of maximal ideals is usually maximal (where the ring was the ring of real continuous functions). However, as presented in the example above this doesn't hold for general rings.

Fortunately, if we loosen our requirement a bit and consider prime ideals, then it is indeed the case that  $\varphi^{-1}(P)$  is prime making it the more natural choice of “points” for functorial purposes, even though it shall produce some more “complicated” points (see example 2.1). Working with primes also brings the added benefit of incurring a lot more structure which shall turn out to be very insightful and make the addition of primes not only necessary for the definition to work, but to explain a lot of phenomena<sup>2</sup>.

### Definition 2.1.1: Spectrum

Let  $R$  be an [arbitrary] commutative ring. Then  $\text{Spec } R$  is the collection of prime ideals of  $R$ . Let  $\text{mSpec}(R)$  represent the collection of maximal ideals of  $R$ .

We call the collection of elements of  $R$  *regular functions*

In the special case where  $R$  is a coordinate ring,  $\text{Spec } R$  corresponds to points of an affine variety along “extra” points associated to irreducible primes that are not maximal (ex.  $(y - x^2) \subseteq k[x, y]$ ) as well as the zero ideal which is prime in coordinate rings. More Spectrum's of rings shall be described shortly.

To avoid confusion between points of  $\text{Spec } R$  and ideals of  $R$ , we shall write points in square brackets, for example  $[\mathfrak{p}] \in \text{Spec } R$  for  $\mathfrak{p} \subseteq R$ . There is some merit in writing it in the same notation as the equivalence relation notation, as points on in the topology are defined up to the radical of an ideal, for example for  $(x^2) \subseteq \mathbb{C}[x]$ ,  $V(\{(x^2)\}) = [(x)]$  (the  $V(-)$  function will be defined in section 2.1.2, or see [3, chapter 21]). As we shall see, once a structure sheaf is introduced, the sets  $\text{Spec } \mathbb{C}[x]/(x^2)$  and  $\text{Spec } \mathbb{C}[x]/(x)$  which each have one element shall not be the same scheme, even though they are the same as sets, and even topologically (which shall be seen once the Zariski topology is introduced on Spectra).

Another advantage of working with spectra is that there is no need to reference an ambient space for the algebraic subsets, the information is “within” the space!

When working with coordinate rings, we may consider  $R$  to be the set of functions on  $V$ , since we may have a notion of “evaluation” for each element of  $R$ . We can do the same for a general commutative ring  $R$ , motivating the notation  $f$  for a general element of a commutative ring  $R$  ( $f \in R$ ) and for calling such elements *regular functions*. Notice that if  $p(x) \in k[x]$ , then we may find  $p(a)$  for some  $a \in k$  by doing

$$\frac{p(x)}{(x - a)} = p(a) \mod (x - a)$$

To represent the above more formally every element  $f \in k[x]$  (for some algebraically closed field  $k$ ) is

<sup>2</sup>For example, recall that if  $k$  was not algebraically closed, there exists regular functions that are not polynomials. Another is the distinct characterization of divisors in number theory which unifies as describing the same cohomological property of schemes

associated to an element of  $\text{Hom}_{\text{Set}} \left( \text{Spec}(k[x]), \bigsqcup_{(x-a) \in \text{Spec}(k[x])} k[x]/(x-a) \right)$ , in particular:

$$k[x] \rightarrow \text{Hom}_{\text{Set}} \left( \text{Spec}(k[x]), \bigsqcup_{(x-a) \in \text{Spec}(k[x])} \frac{k[x]}{(x-a)} \right)$$

$$f \mapsto ((x-a) \mapsto f \bmod (x-a))$$

Stare at the above until it makes sense. Notice that the codomain of the hom-set can be thought of as the disjoint union of field of fractions of the germs  $k[x]_{(x-a)}$  (as  $k[x]_{(x-a)}/\mathfrak{m}_{(x-a)} \cong \text{Frac}(k[x]/(x-a)) = k[x]/(x-a) \cong k$ ), which motivates the idea that sheaves are the proper object keeping track of evaluation. Generalizing the above, we get the following:

**Definition 2.1.2: Value Of Element**

Let  $f \in R$ . Then the “value” of  $f$  at the “point”  $\mathfrak{p} \in \text{Spec}(R)$  is the element:

$$f(\mathfrak{p}) := \bar{f} \in R/\mathfrak{p}$$

Let us now give multiple examples to get some grasp on spec and “functions” on Spec

**Example 2.1: Spectrums and [regular] functions**

1. Take  $\text{Spec}(\mathbb{C}[x])$  which is all prime (even maximal) ideal  $(x-a)$  for  $a \in \mathbb{C}$  and the prime ideal  $(0)$ . This spectrum is labeled  $\mathbb{A}_{\mathbb{C}}^1$ ; more generally the spectrum of  $R[x_1, \dots, x_n]$  is labeled  $\mathbb{A}_R^n$ . As  $\mathbb{C}[x]$  is a finitely generated reduced ring over an algebraically closed field, these points correspond exactly to  $\mathbb{A}_{\mathbb{C}}$  by the Nullstellensatz, with the exception of the special point  $(0)$  called the *generic point* which we shall return too soon. To visualize this set, imagine a real line (to remember that it is a 1-dimensional object over  $\mathbb{C}$ ):

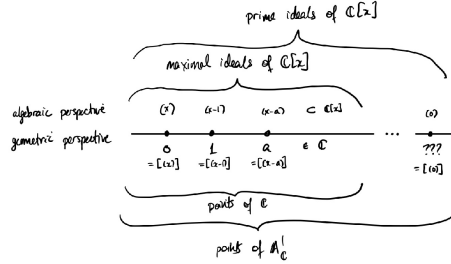


Figure 2.1: Visual Picture of  $\text{Spec}(\mathbb{C}[x])$

The generic point was located “away” from the line, but that is not in fact accurate. The problem is that it is a single point that is near every other point, while remaining to be a single point. What it means for points to be near shall be defined shortly when the Zariski topology on Spectra is introduced, but for now you can imagine that this causes issues when trying to draw out such point on a euclidean piece of paper. There are many ways to visualize it, some write it as a cloud of points in the corner, others at some edge of the visual, and eventually some omit the point when it is clear.

Let us evaluate some regular functions  $f \in \mathbb{C}[x]$ . Let's say  $f = x^2 + 2x + 1$  and input input  $[(x - 3)]$ . Then the answer is

$$16 \mod (x - 3) = f(2) \mod (x - 3)$$

which can be thought of as our usual answer  $f(2)$ ! Notice that  $\mathbb{C}[x]/(x - a) \cong \mathbb{C}$ , and hence the codomain when evaluating at each point is the same. We shall see this usually not the case soon.

Let us now plug in the generic point  $(0)$  into our function  $f$  (i.e. the point that isn't in our usual visualization of the complex line). We get:

$$f \mod 0 \in \mathbb{C}[x]$$

that it, it gives back the function itself, and is the only point for which the codomain of  $f$  is not isomorphic to  $\mathbb{C}$ . You can think of  $0$  as a “1” [complex] dimensional point. When we have spectrum's of higher dimension, say  $n$ , then the point will be “ $n$ ” dimensional. We can't yet define dimension as we are still working generically with sets, this shall be rectified in section ref:HERE.

More generally, this entire discussion works just as well on algebraically closed fields  $k = \bar{k}$ , as the completeness of  $\mathbb{C}$  did not factor into the above results.

Often when working with  $\bar{k}$ -polynomials over several variables, we shall write the maximal ideals as tuples of point, ex  $[(x - 2, y - 3)]$  will be represented as  $(2, 3)$ .

2.  $\text{Spec}(\mathbb{Z}) = \{(2), (5), (7), \dots, (0)\}$ . In other words,  $\text{Spec}(\mathbb{Z}) = \{(p) \mid p \text{ is prime}\}$  and  $\text{mSpec}(\mathbb{Z}) = \text{Spec}(\mathbb{Z}) \setminus \{(0)\}$ .

Let us evaluate some of the regular function  $n \in \mathbb{Z}$  on the points  $\text{Spec}(\mathbb{Z})$ . The regular function 15 at the point  $[(7)] \in \text{Spec}(\mathbb{Z})$  is  $15 \mod (7) = 1 \mod (7)$ . The regular function 9 evaluated at  $[(3)]$  is  $0 \mod (3)$ . We may even say it has a double-zero at 3.

Hence, functions on  $\text{Spec}(\mathbb{Z})$  return some information about divisibility by primes.

3. For any field  $k$ ,  $\text{Spec}(k) = \text{mSpec}(k) = \{(0)\}$ . For example,  $\text{Spec}(\mathbb{Z}/3\mathbb{Z}) = \{0\}$ . Thus, fields have singular geometries within algebraic and their study in it of themselves is not interesting in scheme theory. The term “singular” instead of “trivial” was used as we shall see that as schemes  $\text{Spec}(K) \not\cong \text{Spec}(L)$  as their sheaves will differ. Though the geometric picture as Spectra is trivial, they shall still hold valuable non-trivial information.
4. Take  $\text{Spec}(\mathbb{R}[x])$ . This consists of  $[(0)]$ , all prime ideals of the form  $[(x - a)]$ , and all prime ideals that can be represented by an irreducible 2nd degree polynomial  $[(x^2 + bx + c)]$  (ex.  $[(x^2 + 1)]$ ). Evaluating at any of these points (besides  $[(0)]$ ) will give a field: if evaluating points of the form  $(x - a)$  the field will be isomorphic to  $\mathbb{R}$ , and if evaluating points of the form  $(x^2 + ax + b)$ , the field will be isomorphic to  $\mathbb{C}$ . As every irreducible quadratic splits into conjugate pairs of roots over  $\mathbb{C}$ , we can think of  $\text{Spec}(\mathbb{R}[x])$  as being a copy of  $\mathbb{C}$  folded over itself attaching the conjugate pairs.

Let us take the function  $x^3 - 1$  and evaluate it as some point. At the point  $(x - 2)$  we get  $7 \mod (x - 2)$  or in our classical interpretation,  $f(2)$ . At the point  $(x^2 + 1)$ , we get:

$$x^3 - 1 \equiv -x - 1 \mod (x^2 + 1)$$

which we can think of as being  $-i - 1$ . Hence, the Spectra of polynomials over fields that are not algebraically closed will behave more like algebraically closed fields as the extra non-maximal primes shall play the role of the required “additional points”!



5. Do the same as above but for  $\text{Spec}(\mathbb{Q}[x])^a$ . Do it for  $\text{Spec}(\mathbb{Z}[x])$ .
6. Take  $\text{Spec}(k[x]/(x^2))$ , which the spectrum of is a ring with nilpotent elements. This ring can be thought of as an extension of  $k$  by an element  $\epsilon = x \bmod x^2$  that is thought to be “infinitely small”. It has the interesting property that  $\epsilon^2 = 0$ , even though  $\epsilon$  itself is nonzero. This can also be thought of as there being “two” point at the origin, 0 and  $\epsilon$ , and if square  $\epsilon$  you get 0, though be careful with this intuition as this Spectrum contains only 1 points. The ring  $k[x]/(x^2)$  is called the *dual numbers* and shall return often. This ring has the interesting property of having the function  $[x]$  which always evaluates to 0 even though it is *not* zero! This gives us our first example of a function<sup>b</sup> that is not defined by what it does at every point, namely because the functions 0 and  $x$  have the same outputs.
7. Take  $\text{Spec} k[x]_{(x)}$ , the localization of  $k[x]$  at  $(x)$ . This ring only has the prime ideal  $(0)$  and  $(x)$ . It shall be shown later that this is a way to look very closely at a point on a particular smooth curve, where most of the information about this point shall be within the sheaf over the spectrum rather than the topology.
8. Take  $\text{Spec} \mathbb{F}_p[x] = \mathbb{A}_{\mathbb{F}_p}^1$ . As it is a euclidean domain, the points are  $(0)$  and  $(f(x))$  for all irreducible polynomials over  $\mathbb{F}_p$ . One of the interesting facets of  $\mathbb{A}_{\mathbb{F}_p}^1$  is that there are infinitely many points that correspond to each point in  $\mathbb{F}_p$ . This richness in points will allow us to distinguish functions on  $\mathbb{F}_p$  by the evaluation, namely recall that a polynomial is not distinguished by evaluation at all points in  $\mathbb{F}_p$ , however a polynomial *will* be distinguished by the evaluation of all points in  $\text{Spec}(\mathbb{F}_p[x])$ , as we shall show using proposition 2.1.4.
9. Take  $\text{Spec}(\bar{k}[x, y]) = \mathbb{A}_{\bar{k}}^2$  (ex.  $\bar{k} = \mathbb{C}$ ). Then it should be proven that the points in the set are

$$(0) \quad (x - a, x - b) \quad (f(x, y))$$

where  $f(x, y)$  is an irreducible polynomial in  $\bar{k}[x, y]$ . Visually, all the primes of the form  $(x - a, x - b)$  correspond to the usual points in  $\mathbb{C}^2$ . The points  $(f(x, y))$  can be thought of as tracing out their curve in  $\mathbb{C}^2$ , for example  $(y - x^2)$  is the point that is the curve  $y = x^2$ . Notice how The maximal ideal correspond to “0” dimensional points, while the ideal  $(y - x^2)$  correspond to “1” dimensional points. Similarly,  $(0)$  is a “2” dimensional point. We shall come back to that through krull dimensions in section ref:HERE.

More generally, by the Nullstellensatz the maximal points of  $\bar{k}[x_1, \dots, x_n]$  are the ideals of the form:

$$(x_1 - a_1, x_2 - a_2, \dots, x_n - a_n)$$

The prime ideals of this ring don’t lend themselves to a nice classification **I think?**. Each prime ideal corresponds to an irreducible variety of a certain dimension, and hence the classification of the prime ideals is equivalent to the classification of irreducible varieties, which is a rather difficult task that is carried out in special cases, and more generally leads to the theory of moduli spaces. Some context on this classification in a classical setting was covered in [3, chapter 21.4].

10. Find the points of  $\text{Spec}(k[x_1, \dots, x_n])$  where  $k$  is not algebraically closed, ex.  $\mathbb{Q}$ ; generalize example 5<sup>c</sup>.

<sup>a</sup>Answer: think of  $\bar{\mathbb{Q}}$  with all the Galois-conjugates glued together

<sup>b</sup>granted, a generalization of the notion of function

<sup>c</sup>If you solved it, note that  $(\sqrt{2}, \sqrt{2})$  is glued to  $(-\sqrt{2}, -\sqrt{2})$  but *not*  $(\sqrt{2}, -\sqrt{2})$

### 2.1.1 Maps Between Spectra

As spectra are given by rings, define the following natural map induced by rings:

**Definition 2.1.3: Induced Spectra Map**

let  $Y = \text{Spec}(S)$  and  $X = \text{Spec}(R)$  be two spectrum. Then given the ring homomorphism:  $\varphi : R \rightarrow S$ , the induced *spectrum map*  $\varphi^a : \text{Spec}(S) \rightarrow \text{Spec}(R)$  is given by:

$$\varphi^a(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$$

The map is well-defined as the pre-image of prime ideals is prime. The reader ought to verify that if  $\varphi : R \rightarrow S$  is a ring homomorphism, then  $\varphi^a : \text{Spec}(S) \rightarrow \text{Spec}(R)$  sending  $Q \mapsto \varphi^{-1}(Q)$  is functorial (recall or reprove that the pre-image of a prime ideal is prime). We now have two functions happening:  $\varphi^a$  given by ring homomorphisms, and regular functions given by ring elements.

**Example 2.2: Maps between Spectra:  $\varphi^a$**

1. Show that the surjective map  $R \rightarrow R/I$  and the map  $R \rightarrow S^{-1}R$  induce an injective maps  $\text{Spec}(R/I) \rightarrow \text{Spec}(R)$  and  $\text{Spec}(S^{-1}R) \rightarrow \text{Spec}(R)$ .
2. Here is a concrete example: Take  $\mathbb{A}_{\mathbb{C}}^1 = \text{Spec } \mathbb{C}[x]$  which by Nullstellensatz we can think of as the traditional points  $\mathbb{C}$  along with the generic point  $(0)$ . Consider the ring homomorphism between these rings defined by:

$$\varphi : \mathbb{C}[y] \rightarrow \mathbb{C}[x] \quad y \mapsto x^2$$

Note this is not the squaring map, as that is not a ring morphism, but rather the map that maps the variable  $y$  to  $x^2$  and then extends linearly. Then the map on the sets Spec's is given by:

$$\begin{aligned} \pi : \text{Spec}(\mathbb{C}[x]) &\rightarrow \text{Spec}(\mathbb{C}[y]) \\ \pi((p)) &= \varphi^{-1}((x-a)) = \{f(y) \in \mathbb{C}[y] \mid f(x^2) \in (x-a)\} = (y-a^2) \end{aligned}$$

In one line:

$$\varphi^a(x-a) = (y-a^2)$$

Then verify that

$$\pi^{-1}((x-a)) = \{(x-\sqrt{a}), (x+\sqrt{a})\}$$

Perhaps more intuitively, this is the Spec-equivalent of the more usual map  $\mathbb{C} \rightarrow \mathbb{C}$  given by  $x \rightarrow y = x^2$  which visually can be thought of as the map that projects the parabola  $x = y^2$  onto the line  $y$  (namely, interpret the domain as the  $x$ -axis, the codomain as the  $y$ -axis).

3. More generally, any regular map is representable as a spectra map: let  $k$  be any field and take polynomial  $f_1, \dots, f_n \in k[x_1, \dots, x_m]$ . Then define:

$$\varphi : k[y_1, \dots, y_n] \rightarrow k[x_1, \dots, x_m] \quad y_i \mapsto f_i$$

Let  $A$  represent the domain and  $B$  the codomain. Then show that for any ideal  $I \subseteq A$ ,  $J \subseteq B$  such that  $\varphi(I) \subseteq J$   $\varphi$  induces a map of sets:

$$\text{Spec}(k[x_1, \dots, x_m]/J) \rightarrow \text{Spec}(k[y_1, \dots, y_n]/I)$$

More particularly, show that this maps sends the point  $[(x_1 - a_1, \dots, x_m - a_m)]$  (traditionally,  $(a_1, \dots, a_m) \in k^m$ ) to the point traditionally represented as:

$$(f_1(a_1, \dots, a_m), \dots, f_n(a_1, \dots, a_m)) \in k^n$$

4. This example gives a way of thinking of  $\mathbb{A}_{\mathbb{Z}}^n = \text{Spec}(\mathbb{Z}[x_1, \dots, x_n])$  as an “ $\mathbb{A}^n$ -bundle” on  $\text{Spec}(\mathbb{Z})$ . Define the map  $\pi : \mathbb{A}_{\mathbb{Z}}^n \rightarrow \text{Spec}(\mathbb{Z})$  given by the inclusion  $\mathbb{Z} \hookrightarrow \mathbb{Z}[x_1, \dots, x_n]$ . Then show there is a bijection between  $\pi^{-1}([(p)])$  where  $(p) \neq (0)$  and  $\mathbb{A}_{\mathbb{F}_p}^n$ ! If  $(p) = (0)$  then the pre-image is  $\mathbb{A}_k^n$ . The picture of this bundle can be seen as follows:

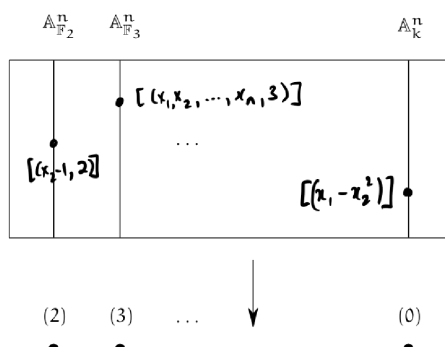


Figure 2.2: Bundle on  $\text{Spec } \mathbb{Z}$

5. Let  $\varphi : R \rightarrow S$  be an integral extension. Show that  $\text{Spec}(S) \rightarrow \text{Spec}(R)$  is surjective (hint: Lying Over). This results also motivates the terminology “lying over” geometrically. Recall that if  $R$  or  $S$  is a field, the other must be too. Show that  $S$  being a field and the map being surjective can be used to conclude  $R$  is a field. What is the geometric argument if  $R$  was a field?

With  $\varphi^a$  defined, we may introduce our first interesting theoretical result. Recall that there exist functions on a Spectrum of a ring that are not determined by their value at every-point (recall  $\text{Spec}(k[\epsilon]/(\epsilon^2))$  with the regular function  $\epsilon$ ). As it turns out, this behaviour can completely be put at the feet of nilpotents.

#### Proposition 2.1.4: Spectrum And Nilpotent Elements

Let  $R$  be a ring and  $I$  an ideal of nilpotent elements. Then

$$\text{Spec } R/I \rightarrow \text{Spec } R$$

is a bijection. As a consequence, two regular functions (elements of  $R$ ) have the same value if they differ by a nilpotent element.

Thus, as sets (and even as topologies once introduced), nilpotents have no effect. Their presence is felt within the structure sheaf we shall define.

**Proof :**

Hint: recall that the nilradical is equal to the intersection of all prime elements (see [3, chapter 22]).

Hence if the nilradical is zero,  $\sqrt{(0)} = (0)$ , functions are determined by their points! In the new language we developed, this says that a function vanishes at every point if and only if it is nilpotent! Note how this contrasts to the case where we required  $k$  to be algebraically closed for the same result, showing the greater generality achieved by having the extra prime points!

### 2.1.2 Zariski Topology

Having defined the set that represents the space, the next thing to do is give a topology to this set. This topology would have to be a generalization of the Zariski topology defined on  $k[x_1, \dots, x_n]$ , and with the definition of regular function this is readily available:

#### Definition 2.1.5: Zariski Topology

Let  $R$  be a commutative ring and  $\text{Spec}(R)$  the collection of prime ideals of  $R$ . Then define the *closed sets* for the Zariski topology on  $\text{Spec}(R)$  to be:

$$V(S) = \{x \in \text{Spec}(R) \mid f(x) = 0, \forall f \in S\} = \{[\mathfrak{p}] \in \text{Spec}(R) \mid S \subseteq \mathfrak{p}\}$$

Take a moment to see how this parallels the condition that all the functions in the coordinate ring must equal zero when evaluated at  $\mathfrak{p}$ . It is an exercise in commutative algebra to check that this is indeed a topology, namely

1. it contains the empty set and  $\text{Spec}(R)$  (these are both the vanishing set of single elements)
2. It is closed under arbitrary unions and finite intersections. Recall (or reprove) that

$$\cap_i V(I_i) = V\left(\sum_i I_i\right)$$

for arbitrary indexing set, and that

$$V(I_1) \cup V(I_2) = V(I_1 I_2)$$

see [3, chapter 21.1].

Note that the vanishing set  $V(-)$  defined for the spectra of rings usually have more points than their counterpart with coordinate rings as they will contain primes that are not maximal ideals (particularly for rings of dimension  $\geq 2$  over algebraically closed field), and these primes will be within  $V(S)$  for appropriate  $S$ .

#### Lemma 2.1.6: Induced Spectrum Map Is Continuous

Let  $\varphi : R \rightarrow S$  be a ring homomorphism. Then  $\varphi^a$  is a continuous map

**Proof :**

It suffices to show the pre-image of a closed set is closed, so take  $V(S) \subseteq \operatorname{Spec}(R)$  and consider  $(\varphi^a)^{-1}(V(I))$ . Show that this is equal to  $V(\varphi(I))$ , showing it is closed.

The map  $\varphi^a$  given by the natural inverting function is a naturally continuous map, that is  $\varphi^a$  is a continuous function between the Spec of two rings with the Zariski topology. Hence Spec is a [contravariant] functor from **CRing** to **Top**.

Note that there will be continuous maps between the spectrum of rings that are not  $\varphi^a$ ,

**Example 2.3: Continuous Not Induced By Ring Homomorphism**

The map on  $\mathbb{A}_{\mathbb{C}}^1$  to itself that is the identity on all points except  $[(x)]$  and  $[(x-1)]$  (which will be represented with 0 and 1) where it swaps these two values is *not* a polynomial and hence cannot have been induced by a ring homomorphism, however it *is* continuous. If  $0, 1 \notin V(S)$ , then  $\varphi^{-1}(V(S)) = V(S)$ . Similarly if both values are inside. If 0 or 1 is in  $V(S)$ , say  $0 \in V(S)$ , then  $\varphi^{-1}(V(S)) = V(S) \setminus \{0\} \cup \{1\}$  which is closed as all finite subsets of  $\mathbb{A}_{\mathbb{C}}^1$  are closed.

Can you now find two ring homomorphisms that induce the same Spectra map? There are many example, the idea is to consider nilpotence.

The correspondence is terse as Spec does not carry enough information to fully and faithfully correspond to ring homomorphism. In chapter 3 it shall be shown that scheme morphisms are the right object to carry this information.

Just like for varieties, we may define the inverse function  $I(-)$ . Namely for [nonempty]  $S \subseteq \operatorname{Spec}(R)$ ,

$$I(S) \subseteq R \quad I(S) = \bigcap_{[\mathfrak{p}] \in S} \mathfrak{p}$$

that is,  $I(S)$  is a radical ideal (recall the intersection of prime ideals is a radical ideal, think  $6\mathbb{Z}$ ). As usual:

**Proposition 2.1.7: Properties of  $I(-)$  and  $V(-)$** 

1.  $I(S)$  is an ideal of  $R$ , and furthermore it is always *radical*.
2.  $I(-)$  is inclusion-reversing
3.  $I(A \cup B) = I(A) \cap I(B)$ , and  $I(A \cap B) = \sqrt{I(A) + I(B)}$ .
4.  $I(\overline{S}) = I(S)$
5.  $V(I(S)) = \overline{S}$  and  $I(V(J)) = \sqrt{J}$ .
6. If  $S$  is closed,  $V(I(S)) = S$  is  $S$ . If  $I$  is radical then  $I(V(J)) = J$ .
7.  $I(-)$  and  $V(-)$  are an inclusion-reversing bijection between closed subsets of  $\operatorname{Spec}(R)$  and radical ideals of  $R$ .

Hence:

$$\text{closed subset } \subseteq \operatorname{Spec}(R) \quad \Leftrightarrow \quad \text{radical ideal of } R$$

**Proof :**  
exercise.

Thus, we get the bijective correspondence:

$$\{\text{Radical ideals of } R\} \xrightleftharpoons[V(-)]{I(-)} \{\text{closed sets of } \text{Spec}(R)\} \quad (2.2)$$

Notice that prime ideals are radical and hence are part of this association. This correspondence does not extend to all ideals until a sheaf, the structure sheaf, is introduced (see section 2.2.1).

As we see, the Zariski topology on  $\text{Spec}(R)$  is a generalization of the Zariski topology on  $k[x]$ . The closed sets of  $\text{Spec}(R)$  will contain the “traditional” points as well as the new points, for example  $V(xy, yz)$  will contain all the “traditional” points where  $y = 0$  or  $x = z = 0$ , as well as the generic point of the  $xz$ -plane (i.e.  $[(y)]$ ) and the generic point of the  $y$  axis (i.e.  $[(x, z)]$ ). Just like for affine varieties,  $\text{Spec}(R)$  is almost never Hausdroff. This is even clearer in  $\text{Spec}(R)$ , for if there are primes that are not maximal, these are *not* closed points (in the Hausdroff topology every point is closed)

#### Example 2.4: Non-closed Points

Take  $\mathbb{A}_{\mathbb{C}}^2$  with  $[(y^2 - x)]$  and  $[(x - 2, y - 4)]$ . The point  $[(y^2 - x)]$  is not closed. Notice that:

$$[(y - 4, x - 2)] \in V(I([(y^2 - x)])) = V(y^2 - x) \stackrel{\text{pr. 2.1.7}}{=} \overline{\{[(y^2 - x)]\}}$$

Showing that the point is *not* closed, namely points associated to non-maximal prime ideals are not closed. However, notice the set  $V(I([(y^2 - x)]))$  is closed by definition. In a moment, the point  $[(y^2 - x)]$  will be seen as the generic point of the above closed set.

In fact, due to Hilbert’s Nullstellensatz, we know that in  $\mathbb{A}_{\bar{k}}^n$  the maximal ideals correspond to the “traditional points”, that is the closed points. From here on, we shall call the “traditional points” (more generally points associated to maximal ideals) the *closed points*, and the “extra” or “bonus” points that were being referred to shall be called the *non-closed points*.

With a topology, we can now link points like  $[(x - 2, y - 4)]$  to points like  $[(y - x^2)]$ . As these are point, it doesn’t make sense to say one point contains another. However we we be able to link these points using closure:

#### Definition 2.1.8: Specialization And Generization

Let  $x, y \in X$  be two points in a topological space. Then we say that  $x$  is a *specialization* of  $y$  and  $y$  a *generization* of  $x$  if

$$x \in \overline{\{y\}}$$

Algebraically,  $[\mathfrak{q}]$  is a specialization of  $[\mathfrak{p}]$  if and only if  $\mathfrak{p} \subseteq \mathfrak{q}$ , hence  $V(\mathfrak{p}) = \overline{\{[\mathfrak{p}]\}}$ .

We can now define generic point more precisely:

**Definition 2.1.9: Generic Point**

Let  $X$  be a topological space. Then  $p \in X$  is a *generic point* for a closed subset  $K$  iff

$$\overline{\{p\}} = K$$

With generic points and the Zariski topology defined, the idea that generic points of a closed set (including all of  $\text{Spec}(R)$ ) are close to all the points in the closed subset (it is contained in all the open subsets (or all the open subsets of  $V(S) \cap U$ ).

Let us end with upgrading proposition proposition 2.1.4

**Proposition 2.1.10: Nilpotent Spectrum Homeomorphism**

Let  $R$  be a ring and  $I$  an ideal of nilpotent elements. Then

$$\text{Spec } R/I \rightarrow \text{Spec } R$$

is a homeomorphism.

**Proof :**

The map is a bijective continuous map. Show the inverse is continuous.

**2.1.3 Distinguished Open Sets**

As the topology of  $|\text{Spec}(R)|$  is generate by the closed set s  $V(S)$ , and that these sets are the intersection of:

$$V(S) = \bigcap_{f \in S} V(f)$$

Hence, the sets  $V(f)$  generate the topology of  $\text{Spec}(R)$ . We may want to call these sets “distinguished closed sets”, however we shall favour their open counter-part due sheaves being defined on open sets (we are building up to constructing a sheaf on a basis):

**Definition 2.1.11: (Distinguished) Open Sets**

The sets  $D(f)$  are called the *distinguished open sets* and are defined to be

$$D(f) = X_f = |\text{Spec}(R)| \setminus V(f) = \{\mathfrak{p} \in \text{Spec}(R) \mid f \notin \mathfrak{p}\}$$

that is, it is the collection of prime ideals of  $R$  that do not contain  $f$ . Any open set  $U$  can be written as:

$$U = \text{Spec}(R) \setminus V(S) = \text{Spec}(R) \setminus \bigcap_{f \in S} V(f) = \bigcup_{f \in S} D(f)$$

Writing it as  $D(f)$  may be helpful, Prof. Ravi Vakil calls these “doesn’t-vanish sets” which may serve as a helpful mnemonic. These sets are usually not closed (especially is  $\text{Spec}(R)$  is connected, in which case unless  $D(f) = 0$  it cannot be), and hence they are not the vanishing set for any functions in  $R$ . However, they are still isomorphic to a spectrum:

**Proposition 2.1.12: Correspondence: Distinguished Open Sets and Localization**

Let  $D(f) \subseteq \operatorname{Spec}(R)$  be a distinguished open set. Then:

$$D(f) \cong_{\mathbf{Top}} \operatorname{Spec}(R_f)$$

Justifying the  $X_f$  notation. Furthermore, if  $g = f_1 f_2 \cdots f_n$ , then

$$\bigcap_{i=1, \dots, n} (\operatorname{Spec} R)_{f_i} = (\operatorname{Spec} R)_g$$

**Proof :**

Recall that  $R_f = R[f^{-1}]$ , and that  $\mathfrak{p} \subseteq R[f^{-1}]$  iff “ $f \notin \mathfrak{p}$  and  $\mathfrak{p} \in R$ ”. This is exactly the points of  $D(f)$ , it is left to check that the map is bi-continuous. The second part is left as an exercise.

A mistake the reader may do is believe that each  $D(f)$  can be thought of as the spectrum minus some points, but this need not be the case:

**Example 2.5: Spectrum And Distinguished Open Sets**

Take  $X = \operatorname{Spec}(k[x, y])$  and take  $U = X \setminus \{(x, y)\}$ , i.e.  $X$  minus the origin (which is a closed set as it is a maximal ideal). Show that  $U \not\cong D(f)$  for any  $f$  (hint: notice that  $(x, y)$  is not principle). This shows the important between distinguishing points and functions.

Show further that  $U$  can be represented as the union of two distinguished open sets.

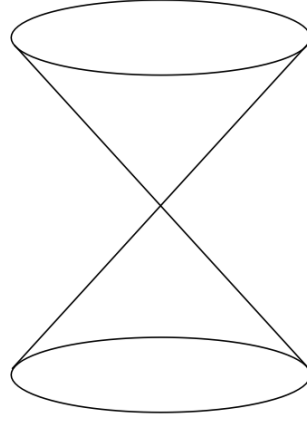
Another result we may want to conclude is that each open set  $U \subseteq \operatorname{Spec}(R)$  corresponds to  $\operatorname{Spec}(S)$  for an appropriate  $S$ , i.e.  $U \cong_{\mathbf{Top}} \operatorname{Spec}(S)$ . This shall be shown to be *false*, the curious reader may jump to example 2.9 for a counter-example.

**2.1.4 Subsets of Spectra via quotient and Localization**

Two common constructions that came up in a few example is the process of taking the quotient and the localization of a ring  $R$ . These form important subsets of  $\operatorname{Spec}(R)$ , namely by the 4th isomorphism theorem and the prime-correspondence theorem for prime ideals in localization (see [3, chapter 9.3] and [3, chapter 20.5]). By these theorems,  $\operatorname{Spec}(R/I), \operatorname{Spec}(S^{-1}R) \subseteq \operatorname{Spec}(R)$ . If  $I = \mathfrak{p}$  and  $S = R \setminus \mathfrak{p}$ , the first corresponds to all prime ideals containing  $\mathfrak{p}$ , while the second corresponds to all prime ideals that are contained in the prime ideal  $\mathfrak{p}$ .<sup>3</sup> These two sets need not union to the entire  $\operatorname{Spec}(R)$  (take  $\mathbb{Z}$  and 5), however they do correspond to two “opposite” ways of carving out a part of  $\operatorname{Spec}(R)$ . In the following visual is a very common illustration:

<sup>3</sup>More generally it is all prime ideals not having trivial intersection with the multiplicative set.



Figure 2.3: partial decomposition of  $\text{Spec}(R)$ 

Geometrically,  $\text{Spec}(R/I)$  can be thought of as “carving out” a part of  $\text{Spec}(R)$ . For example, take  $R = \mathbb{C}[x, y, z]$  and  $\mathfrak{p} = (x^2 + y^2 - z)$ . If we were working with affine complex varieties, then we would say this is the 3D-parabola in  $\mathbb{C}^3$ , however as we are on  $\text{Spec}(R/\mathfrak{p})$  this would come with some extra points (which ones?). For  $\text{Spec}(S^{-1}R)$ , we may think it as the opposite (we shall cover the special case of  $R_{\mathfrak{p}}$  afterwards). For example let’s say  $S = \{1, f, f^2, \dots\}$  and take  $S^{-1}R$ . Then the primes of  $\text{Spec}(S^{-1}R)$  would be all primes that do not containing  $f$ , that is all points where  $f$  doesn’t vanish! As an illustration, the set  $\text{Spec}(\mathbb{C}[x, y]_{y-x^2})$  are the points in the affine plane, minus all the points on the parabola  $y = x^2$ , namely all the singletons  $(a, a^2)$  for  $a \in \mathbb{C}$  (so  $[(x - a, y - a^2)]$ ), as well as the point  $[(y - x^2)]$ .

#### Proposition 2.1.13: Closed And Open Subsets

Let  $R$  be a commutative ring,  $I \subseteq R$  an ideal,  $f \in R$ ,  $\mathfrak{p} \subseteq R$  prime, and  $S$  a multiplicative set. Then:

1.  $\text{Spec}(R/I) \subseteq \text{Spec}(R)$  is a closed subset
2.  $\text{Spec}(R_f)$  is an open subset
3.  $\text{Spec}(S^{-1}R) \subseteq \text{Spec}(R)$  need not be open or closed in general. However, it is an embedding, namely it is bijective onto it’s image, continuous, and closed.

#### Proof :

1. Think of  $V(I)$  (induce the topology on  $\text{Spec}(R/I)$  via the inclusion map)
2. Think  $\text{Spec}(R) \setminus V(f)$
3. think of  $\text{Spec}(\mathbb{Q}) \subseteq \text{Spec}(\mathbb{Z})$  and  $\text{Spec}(\mathbb{Z}_{\mathfrak{p}}) \rightarrow \text{Spec}(\mathbb{Z})$  induced by  $\mathbb{Z} \rightarrow \mathbb{Z}_{\mathfrak{p}}$  (this shows that

$\text{Spec}(R_{\mathfrak{p}})$  need not be open.

For the case of  $S = R - \mathfrak{p}$ , we can think of  $\text{Spec}(R_{\mathfrak{p}})$  as keeping near the  $\mathfrak{p}$ , namely since prime ideals away from  $\mathfrak{p}$ , within  $R \setminus \mathfrak{p}$  will be eliminated. For example, if  $R = \mathbb{C}[x, y]$  and  $\mathfrak{p} = (x, y)$ , then we keep all the points corresponding to being “near” the origin, that is the point  $[(x, y)]$ , i.e.  $(0, 0)$ , and the 1-dimensional points  $[(f(x, y))]$  where  $f(0, 0) = 0$  (i.e. where  $f \equiv 0 \pmod{(x, y)}$ ) that is irreducible curves that pass through the origin. In the special case where  $R = k[x]$ , then the localization will always only have two points, usually called the classical point and the generic point. These shall eventually be seen as geometric interpretations of DVR.

Using these geometric results, they can lend intuition towards algebraic manipulation:

**Example 2.6: Illustration Of Quotient And Localization**

Using the above intuitions, we can quickly come up with some intuition isomorphisms. Take  $R = \mathbb{C}[x, y]/(xy)$ . Visually, this is the union of the  $y$  and  $x$ -axis. If we localize this at  $x$ , then by our above intuition this should eliminate all points where the “function”  $x$  vanishes. The function  $x$  vanishes at the  $y$ -axis, and so we must be left with the  $x$ -axis minus the origin. This happens to be  $\text{Spec } \mathbb{C}[x]_x$ . In fact, these two rings are isomorphic!

$$\left( \frac{\mathbb{C}[x, y]}{(xy)} \right)_x \cong \mathbb{C}[x]_x$$

which the reader should check.

### 2.1.5 Spectra: Quasicompactness, Connectedness, Irreducibility

Another important consequence is that for any open cover of  $\text{Spec}(R)$  given by distinguished open sets, there exists a finite open sub-cover. To see this, notice that  $\cup_{i \in I} D(f_i) = \text{Spec}(R)$  For some indexing set  $I$  if and only if  $(\{f_i\}_{i \in I}) = R$  that is the ideal generated by the set  $\{f_i\}_{i \in I}$ . Then 1 must be inside that ideal, and must be a finite linear combination. Using the correspondence should then make it follow. This shows that  $\text{Spec}(R)$  is always compact in the topological sense. We may wish that this implies that  $\text{Spec}(R)$  has the usual nice properties of compactness, this turns out not to be the case (notice this implies  $\mathbb{A}_{\mathbb{C}}^1$  is compact). This turns out to be a consequence lacking Hausdorffness. For this reason, a different name is given to compactness:

**Definition 2.1.14: Quasicompact**

Let  $X$  be a topological space. Then if  $X$  is compact and non-Hausdorff,  $X$  is *quasicompact*

As an exercise, show that if  $R$  is Noetherian, every subset of  $\text{Spec}(R)$  is quasicompact. In section 3.7, we shall show how the notion of proper maps from analysis is the right way of defining the notion of compactness, or in particular *properness* for schemes.

The companion property of [quasi]compactness is connectedness. Recall a set is connected if it cannot be written as a disjoint of two nonempty open sets. Disconnectedness of  $\text{Spec}$  is equivalent to a ring representable as the product of two rings,  $R = R_1 \times R_2$ , or if the reader remembers the brief discussion in Representation theory (see [3, chapter 23.2]), if  $R$  has idempotent elements

**Proposition 2.1.15: Spectrum Connectedness And Idempotent Elements**

If  $R = R_1 \times \cdots \times R_n = \prod_i^n R_i$  is a ring, then show that

$$\operatorname{Spec} \left( \prod_i^n R_i \right) = \bigsqcup_i \operatorname{Spec} (R_i)$$

More generally, show that  $\operatorname{Spec} (R)$  is not connected if  $R$  is isomorphic to the product of two zero-rings, that is there exists  $a_1, a_2 \in R$  st  $a_1^2 = a_2, a_2^2 = a_2, a_1 + a_2 = 1$ .

**Proof :**

good exercise.

Note that for the homeomorphism, the product must be finite, if the product is infinite then  $\operatorname{Spec} (\prod_i R_i)$  is larger than the right hand side. In fact, an infinite disjoint union of  $\operatorname{Spec} (R_i)$  cannot be represented as  $\operatorname{Spec} (R)$  for an appropriate  $R$ ; it can be seen as the “simplest” example of a scheme that is not an affine scheme (see example 2.8(6)).

A notion similar to connectedness is *irreducibility*. Recall that a topological space is said to be *irreducible* if it is nonempty and it is not the union of two proper closed subsets. Written differently,  $X$  is irreducible if whenever  $X = Y \cup Z$  with  $Y, Z$  closed in  $X$ , then  $Y = X$  or  $Z = X$ <sup>4</sup>. Note that  $Y, Z$  may have nonempty intersection. In the euclidean topology, this notion is much less important (think  $\mathbb{C}$ ), however in the Zariski topology we shall see that many interesting sets are irreducible. The intuition stems from traditional varieties where irreducibility corresponds to irreducible polynomials, and indeed this intuition when properly generalized translates over to irreducible [closed] sets in the Zariski topology of Spectra.

Let  $X = Y \cup Z$  for closed sets  $Y, Z$ . Then there are radical ideals  $J_X, J_Y, J_Z$  such that  $V(J_X) = V(J_Y) \cup V(J_Z) = V(J_Y J_Z) = V(J_Y \cap J_Z)$ . As these are all radical ideals,  $J_X = J_Y \cap J_Z$ . Then if  $J_X$  is prime, it is an exercise in commutative algebra to show that either  $J_Y \subseteq J_Z$  or  $J_Z \subseteq J_Y$ . Hence, irreducibility is in correspondence with prime ideals:

$$\{\text{prime ideals of } R\} \xrightleftharpoons[V(-)]{I(-)} \{\text{closed irreducible sets of } \operatorname{Spec} (R)\} \quad (2.3)$$

If we look at irreducible closed sets, then  $V(-)$  and  $I(-)$  gives a bijection between the irreducible closed subsets of  $\operatorname{Spec} (R)$  and the prime ideals of  $R$ , in other words there is a bijection between the *points* of  $\operatorname{Spec} (R)$  and the irreducible closed subsets of  $\operatorname{Spec} (R)$ . Since it is a bijection, every irreducible closed subset of  $\operatorname{Spec} (R)$  has *exactly* one generic point associated to it.

As usual, we define the Noetherian property on  $\operatorname{Spec} (R)$  to mean that the descending chain condition for closed sets is satisfied (to mirror the ascending chain of ideals in  $R$ ). In the Noetherian case, all closed sets can be decomposed into irreducibles:

<sup>4</sup>The finiteness is important, for there may be irreducible sets that contain within them reducible sets of lower dimension

**Proposition 2.1.16: Noetherian Decomposition**

Let  $X$  be a Noetherian topological space. Then every nonempty closed subset  $Z$  can be expressed uniquely as a finite union

$$Z = Z_1 \cup \cdots \cup Z_n$$

of irreducible closed subsets, none contained in another. That is, any closed subset  $Z$  has a finite number of pieces.

**Proof :**  
see [3].

The reader should check the equivalent result for prime ideals

**Exercise 2.1.1**

1. Show that  $D(f) \cap D(g) = D(fg)$ .
2.  $D(f) \subseteq D(g)$  iff  $f^n \in (g)$  iff  $g$  is invertible in  $R_f$ . How would you prove this via “geometric explanation”? For an algebraic explanation, change to  $V(f) \supseteq V(g)$  and take  $I(-)$  to inverse and conclude.
3.  $D(f) = \emptyset$  iff  $f$  is in the Nilradical.
4. Show that any connected component is the union of irreducible components (think of the  $+$  shape, i.e.  $\text{Spec}(\mathbb{C}[x, y]/(xy))$ ).
5. Show that  $\mathbb{A}_{\mathbb{C}}^1$  is irreducible.
6. Show that in an irreducible topological space, any nonempty open set is dense.
7. If  $X$  is a topological and  $Z$  an irreducible subspace, then  $\overline{Z}$  is irreducible as well.
8. Show that an irreducible space is connected.
9. If  $A$  is a integral domain,  $\text{Spec}(A)$  is irreducible.
10. Show that  $\text{Spec}(\mathbb{C}[x, y]/(xy))$  is connected but reducible.
11. these next two exercises show us how the theory of classical varieties persists with Schemes
  - a) Let  $A$  be a finitely generated  $k$ -algebra. Then show that the closed points of  $\text{Spec}(A)$  are dense; show that if  $f \in A$  and  $D(f)$  is nonempty, then  $D(f)$  contains a closed point of  $\text{Spec}(A)$ <sup>5</sup>
  - b) Let  $A = \overline{k}[x_1, \dots, x_n]/I$  where the Nilradical is trivial, and let  $X = \text{Spec}(A) \subseteq \mathbb{A}_{\overline{k}}^n$ . Show that [regular] functions on  $X$  are determined by their valued on the closed points. Hint: if  $f, g$  are different [regular] functions on  $X$ , then  $f - g$  is nowhere zero on an open subset of  $X$ . Use the above result.

<sup>5</sup>Hint:  $A_f$  is also a finitely generated  $k$ -algebra use generalized Nullstellensatz. Show the closed points of the Spec of a finitely generated  $k$ -algebra are those for which the residue field is a finite extension of  $k$

12. Show that there is a natural bijection irreducible components (maximal irreducible subsets) of  $\text{Spec}(R)$  and the *minimal* prime ideals of  $R$ .

## 2.2 Affine Scheme: the Structure Sheaf

Complex manifold have a sheaf of meromorphic functions. It is natural to want to extend these concepts to  $\text{Spec}(R)$ , namely since holomorphic functions are locally infinite polynomials (in a sense, the “completion” of the case for Spectra). To motivate the following sheaf, recall that on  $\mathbb{C}^n$ , if we take open subsets there are many more holomorphic functions that could be defined on that open subset, namely that rational functions are well-defined when the roots of the denominator (which are not cancel by the numerator) are outside the open set. For example, on  $\mathbb{C}^2 \setminus \{x\text{-axis} \cup y\text{-axis}\}$ , the function

$$\frac{x^2 + 4x + y + 1}{x^5 y^4}$$

is well-defined. Moving this to  $\text{Spec}$ , in  $\mathbb{A}_{\mathbb{C}}^2$ , we ought to the above function to be well-defined on  $D(xy)$ . Furthermore, we want the collection of global sections,  $\mathcal{O}_X$ , to be  $R$ , mirroring the “global section” on  $\mathbb{C}^2$  being the (non-rational) holomorphic functions in two variables.

As we saw in theorem 1.2.2, it suffice to define a sheaf on a base of  $\text{Spec}(R)$ , namely on the distinguished open sets. Thus, we may define on  $D(f) \subseteq \text{Spec}(R)$  the ring that is the localization of  $R$  at the multiplicative subset of all function that do not vanish on  $V(f)$  (note how this is subtly different than functions that do not vanish on  $f$ , what if  $f$  is nilpotent?). This can be represented as:

$$\mathcal{O}_X(D(f)) = \mathcal{O}_X(X_f) \cong R_f$$

First off, this is a presheaf: if  $D(f) \supseteq D(g)$ , by exercise 2.1.1  $g^n \in (f)$  so  $rf = g^n$ . Then we may define the restriction map:

$$\text{res}_{X_f, X_g} : R_f \rightarrow R_{fg} = R_g \quad \frac{s}{f^m} \mapsto \frac{r^m s}{r^m f^m} = \frac{r^m s}{g^{nm}}$$

which shows we have defined a presheaf on a base. We next must show that it satisfies the sheaf on base axioms.

### Proposition 2.2.1: Checking Sheaf Axioms For $\text{Spec } R$

Let  $X = \text{Spec } R$ , and suppose that  $X_f$  is covered by distinguished open sets  $X_{f_a} \subseteq X_f$ . Then:

1. If  $g, h \in R_f$  are equal in  $R_{f_a}$  when passing through the restriction map, they are equal
2. If for all  $a \in R$ , there exists a  $g_a \in R_{f_a}$  such that for each pair  $a, b \in R$ , the images of  $g_a$  and  $g_b$  in  $R_{f_a f_b}$  are equal, then there is an element  $g \in R_f$  whose image in  $R_{f_a}$  is  $g_a$ .

In other words, we define a  $\mathcal{B}$ -sheaf, and by theorem 1.2.2,  $\mathcal{O}_X$  extends to a sheaf on  $X$ .

#### **Proof :**

Let  $\text{Spec}(R) = \cup_{i \in I} D(f_i)$  where  $i$  indexes over the elements of  $R$  or  $I$  is the ideal generated by the  $f_i$  that creates the entire ring  $R$ . What we shall be showing is the identity and Glueability

Axiom, or in categorical terms the exactness of:

$$0 \longrightarrow R \longrightarrow \prod_{i \in I} R_{f_i} \longrightarrow \prod_{i \neq j \in I} R_{f_i f_j}$$

Starting with the identity. As  $s_1 = s_2$  is equivalent to  $s_1 - s_2 = 0$ , it suffices to show the result in the case where  $s = 0$ . By quasicompactness we know there is some finite subset of  $I$  where

$$\text{Spec}(R) = \bigcup_{i=1}^n D(f_i) \quad (f_1, \dots, f_n) = A$$

Let's first suppose that for some  $s \in R$ ,  $\text{res}_{\text{Spec}(R), D(f_i)} s = 0$ . It has to be shown that  $s = 0$ . By definition of  $R_{f_i}$  and by the finite number of  $f_i$ , there must exist some  $m \geq 0$  such that

$$f_i^m s = 0 \quad \forall i \in \{1, \dots, n\}$$

Now, as  $D(f_i) = D(f_i^m)$ ,  $(f_1^m, \dots, f_n^m) = R$ . Thus, for appropriate choices  $r_i \in R$  where  $\sum_i^n r_i f_i^m = 1$ , we get:

$$s = \left( \sum_i r_i f_i^m \right) s = \sum_i r_i (f_i^m s) = 0$$

For general  $D(f)$ , do the above argument with minor modifications changing  $R$  to  $R_f$  (why can the sum still be finite? How do you adjust when working with  $s/f$ ?)

We next show Gluability<sup>a</sup>. Starting with the case of  $\text{Spec}(R) = \cup_i D(f_i)$ , let's say that there are elements in each  $R_{f_i}$  that agree on overlaps  $R_{f_i f_j}$  (note that intersections of distinguished open sets are distinguished open sets). As there may be infinitely many sets on which there are overlaps, it cannot be assumed that  $I$  is finite. However, the case where  $I$  is finite is a good start, say  $I = \{1, \dots, n\}$ . Then we have element  $a_i / f_i^{l_i} \in R_{f_i}$  agreeing on the overlaps  $R_{f_i f_j}$ , meaning:

$$(f_i^{l_i} f_j^{l_j})^{m_{ij}} (f_j^{l_j} a_i - f_i^{l_i} a_j) = 0$$

simplifying notation by writing  $f_i^{l_i} = g_i$ :

$$(g_i g_j)^{m_{ij}} (g_j a_i - g_i a_j) = 0$$

As there are finitely many  $m_{ij}$ , take  $m = \max_{i,j} (m_{ij})$ :

$$(g_i g_j)^m (g_j a_i - g_i a_j) = 0$$

Next, we'll convert to a different set of distinguished open bases: take  $b_i = a_i g_i^m$  and  $h_i = g_i^{m+1}$  so that  $D(h_i) = D(g_i)$ . Then on each  $D(h_i)$ , we have the function  $b_i/h_i$ , and the overlap condition is:

$$h_j b_i = h_i b_j$$

Noting that  $\cup_i D(h_i) = \text{Spec}(R)$  giving  $1 = \sum_i^n r_i h_i$  for appropriate  $i$ , replace  $h_i$  with  $b_i$  to get :

$$r = \sum_i r_i b_i$$

Then  $r$  is the element of  $R$  that restricts to each  $b_j/h_j$ :

$$r h_j = \sum_i r_i b_i h_j = \sum_i r_i h_i b_j = b_j$$

showing Gluability in the finite case. For the infinite case, we will use the previously shown base-identity axiom. By quasi-compactness, to choose appropriate  $f_i$  so that  $(f_1, \dots, f_n) = R$ . Construct  $r$  as was just shown. Now, it shall be shown that for any  $z \in I \setminus \{1, \dots, n\}$ ,  $r$  restricts to the desired element  $a_z$  of  $A_{f_z}$ . To do this, repeat the argument above on  $\{1, \dots, n, z\}$  to get  $r' \in R$  which restricts to  $a_i$  for  $i \in \{1, \dots, n, z\}$ . But then by the base identity,  $r' = r$ . Hence,  $r$  restricts to  $a_z/f_z^{l_z}$ .

To finish of the proof, we must do the same for an arbitrary  $D(f)$ , which shall then be what we wanted to show.

<sup>a</sup>Vakil pointed out that Serre called this as “partition of unity argument”, which is the local-to-global principle physically manifested in differential geometry, which is a rather good perspective on what is about to happen

It may be tempting to say that  $\mathcal{O}_{\text{Spec}(R)}(U)$  for any open subset  $U$  is the localization of  $R$  at the multiplicative set of all functions that do not vanish at any point of  $U$ , however this is not true:

### Example 2.7: Subtle Local Section Of Affine Scheme

Let  $\text{Spec}(R)$  be two copies of  $\mathbb{A}_k^2$  glued together at their origins (it shall later be shown this is the spectrum of the ring  $R = k[w, x, y, z]/(xy, wz, xy, xz)$ ). Let  $U$  be the compliment of the origin. Then there is a function which is 1 on the first copy and 0 on the second copy (minus the origin). This function will not be of the aforementioned described form.

This is because each ring of section's is given by:

$$\mathcal{O}(U) = \varinjlim_{D(f) \subseteq U} \mathcal{O}(D(f))$$

which does not preserve the localization structure in general.

Adding this information to  $\text{Spec}(R)$  gives us our first definition we need:

#### Definition 2.2.2: Affine Scheme

Let  $X = \text{Spec}(R)$  be the spectrum of the ring  $R$  with the Zariski topology, and let  $\mathcal{O}_{\text{Spec}(R)}$  be the structure sheaf. Then  $(\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$  is called an *Affine Scheme*.

With the sheaf defined, we have now “justified” thinking of elements on an arbitrary ring  $R$  as functions, as

$$\mathcal{O}_{\text{Spec}(R)}(\text{Spec}(R)) = \mathcal{O}_{\text{Spec}(R)}(\text{Spec}(1)) = R_1 = R$$

Even more is true, due to the section's being constructed through localization of  $R$ , they may be ratio's of functions, and we give elements of section a particular name:

#### Definition 2.2.3: Rational Functions

The set  $\mathcal{O}_X(U)$  is called the set of *rational functions*

Note that like complex manifolds (and unlike general smooth manifolds), there are in general very few functions on an affine scheme (in the global section of an affine scheme, all rational functions are regular, that is they are  $R$ ), many of which will not have any non-constant global regular functions. On the other hand,  $\mathcal{O}_X(U)$  may have many interesting functions. In fact, in many cases all open

subsets of  $X$  are dense meaning the local behavior can give a lot of fruitful information on the entire scheme.

### 2.2.1 Fuzzy Points: Ideal Correspondence in Affine Schemes

So far, the dictionary between certain types of ideals of  $R$  and the geometric equivalent in  $\text{Spec}(R)$  has been establish, namely through  $V(-)$  and  $I(-)$ :

maximal ideal of $R$	$\Leftrightarrow$	closed points of $\text{Spec}(R)$
prime ideal of $R$	$\Leftrightarrow$	irreducible closed subset of $\text{Spec}(R)$
radical ideals of $R$	$\Leftrightarrow$	closed subsets of $\text{Spec}(R)$

What of a general ideal  $J \subseteq R$ ? In section 2.1.2, this information was “lost” as  $I(V(J)) = \sqrt{J}$ . However, a sheaf would capture this information!

Let’s start with the simplest case of  $R = \mathbb{C}[x]/(x^2)$  with  $X = \text{Spec}(R)$  that was mentioned in example 2.1(6). If we consider the set  $\text{Spec}(R)$ , then this is just the origin, i.e.  $[(x)]$ . Namely, by proposition 2.1.10,

$$\text{Spec}(\mathbb{C}[x]/(x^2)) \cong \text{Spec}(\mathbb{C}[x]/(x)) \cong \{(\overline{x})\} \equiv \{0\}$$

Showing that topologically, these two spaces are the same (i.e. they are a single point). For the elements of the structure sheaf, Consider the image of a polynomial  $f(x)$  (i.e. an element in the global section) in  $\mathbb{C}[x]/(x^2)$ . For example, take

$$\overline{f} = \overline{(x-a)^2} = \overline{x^2 - 2ax + a^2} = \overline{-2ax + a^2} \in \mathcal{O}_X(X)$$

Then this image will hold both information about the evaluation of  $f$  at 0, and the derivative information of  $f$  at 0! How to then visualize the affine scheme  $\text{Spec}(R)$  along with its structure sheaf? It is usually done by picturing a “cloud” or “fuzz” around the point:



Figure 2.4: Picture of  $(X, \mathcal{O}_X)$ , not just  $X = \text{Spec}(R)$

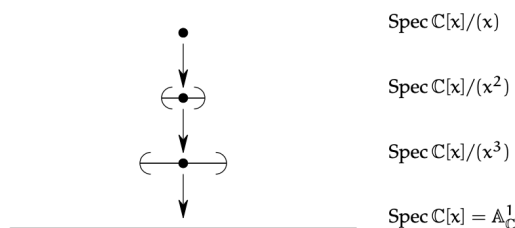
The sequence of restrictions  $\mathbb{C}[x] \rightarrow \mathbb{C}[x]/(x^2) \rightarrow \mathbb{C}[x]/(x)$  should be thought of as inching closer to  $f(0)$ :

$$\mathbb{C}[x] \longrightarrow \mathbb{C}[x]/(x^2) \longrightarrow \mathbb{C}[x]/(x)$$

$$f(x) \longmapsto f(0),$$

If we take,  $\mathbb{C}[x]/(x^3)$ , then then the spectrum will again be a single point, and when finding an element from the global section, the value, 1st derivative, and 2nd derivative will be remembered. This can be thought of a larger fuzz. We can have the following chain of inclusions:

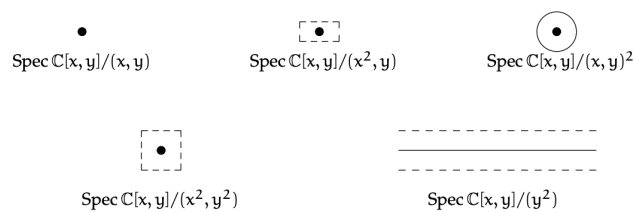




Going to higher dimensions and considering:

$$\text{Spec} \left( \frac{\mathbb{C}[x, y]}{(x, y)^2} \right)$$

this can be thought of as remembering the derivative in all directions, and can be represented by a circle. The reader should now stare at this image to make sure it makes sense:



Next consider,

$$\text{Spec} \left( \frac{\mathbb{C}[x, y]}{(y^2, xy)} \right)$$

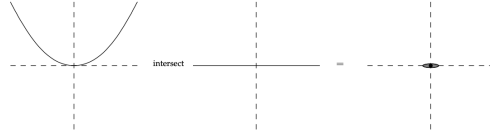
Then this can be thought of as the intersection of a thickened  $x$ -axis and the “+” created by  $xy$ , that is this affine scheme can be thought of as the  $x$ -axis as well as the first-order differential information around the origin.



Once we introduce stalks in section 2.3.1, these points will be more detectible. Finally, consider the following:

$$\begin{aligned} \text{Spec} (\mathbb{C}[x, y]/(y - x^2)) \cap \text{Spec} (\mathbb{C}[x, y]/(y)) &= \text{Spec} (\mathbb{C}[x, y]/(y - x^2, y)) \\ &= \text{Spec} (\mathbb{C}[x, y]/(y, x^2)) \end{aligned}$$

That is, intersecting the parabola  $y = x^2$  with the  $y$  axis should produce a fuzzy point to remember the multiplicity:



## 2.3 Schemes

With varieties generalized to affine schemes, we may finally address the main definition for contemporary algebraic geometry:

### Definition 2.3.1: Scheme

Let  $X$  be a topological space. Then  $X$  is a *scheme* if it comes with a sheaf of rings  $\mathcal{O}_X$  such that the ringed space  $(X, \mathcal{O}_X)$  is locally affine, that is,  $X$  is covered in open sets  $U_i$

$$X = \bigcup_i U_i$$

such that there exists a ring  $R_i$  and a homeomorphism  $U_i \cong |\mathrm{Spec}(R_i)|$  such that

$$\mathcal{O}_X|_{U_i} \cong_{\mathbf{Sh}} \mathcal{O}_{\mathrm{Spec} R_i}$$

The following will define a scheme isomorphism, but *not* a scheme morphism. This is because not all stalks of ringed-space morphisms will preserve the necessary properties (see example 3.1).

### Definition 2.3.2: Isomorphism of Schemes

Let  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  be two schemes. Then an *isomorphism of schemes* is an isomorphism of ringed space  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ , namely it is a homeomorphism  $f : X \rightarrow Y$  and a sheaf isomorphism  $f^\# : f_* \mathcal{O}_Y \rightarrow \mathcal{O}_X$  (or equiv.  $f^\# : \mathcal{O}_Y \rightarrow f^{-1} \mathcal{O}_X$ )

Before developing some more theory, here are some immediate examples of schemes:

#### Example 2.8: Schemes

1. Naturally, all affine schemes are schemes covered by one open set
2. If  $k \not\cong k'$  are distinct fields, then as schemes  $\mathrm{Spec}(k) \not\cong \mathrm{Spec}(k')$  as their sheaves are different. as there is only one point  $[(0)]$  in all such Spectra, the functions (elements of the sheaf) will all return themselves, which the reader can think of as there only being constant functions, where each sheaf have different constant functions.
3. The Riemann sphere is an affine scheme covered by two open sets, the usual stereographic projection maps. Why is it a scheme instead “simply” a complex manifold? What is the structure sheaf?

4. The following is the smallest non-affine scheme. Take

$$X = \{p, q_1, q_2\}$$

Define the topology by making

$$X_1 = \{p, q_1\}, \quad X_2 = \{p, q_2\}$$

be a sub-base. Define the presheaf  $\mathcal{O}$  of rings on  $X$  by lettings

$$\mathcal{O}(X) = \mathcal{O}(X_1) = \mathcal{O}(X_2) = K[x]_{(x)} \quad \mathcal{O}(\{p\}) = K(x)$$

(this is the *completion* at  $(x)$ , not the localization at  $x$ ) with the restriction map  $\mathcal{O}(X) \rightarrow \mathcal{O}(X_i)$  the identify and  $\mathcal{O}(X_i) \rightarrow \mathcal{O}(\{p\})$  the natural inclusion. Then this presheaf is also a sheaf, and in fact  $(X, \mathcal{O})$  is a scheme, but *not* an affine scheme (see exercise ref:HERE). In the geometric context, this is thought of as the “germ of doubled points”.

5. By using proposition 2.1.15, the finite disjoint union of schemes is a scheme. Note that an arbitrary disjoint union of affine schemes is not an affine scheme (notice it is not quasicompact).
6. Let  $R = K[x]_{(x)}$ . Then  $\text{Spec } R$  has 3 open sets, namely

$$\emptyset \quad \{0\} \quad \{0, (x)\} = \text{Spec } R$$

Note that  $U$  and  $\emptyset$  are distinguished open sets (since  $\{(0)\} = X_x$ ). The corresponding structure sheaf is:

$$\begin{aligned} \mathcal{O}_X(X) &= R = K[x]_{(x)} \\ \mathcal{O}_X(U) &= K(x) \end{aligned}$$

The restriction map is the natural inclusion. This also a simple example showing why in the hierarchy of “localization” completion is considered to be more “refined”.

7. If  $X, Y$  are affine scheme then the product of  $X$  and  $Y$  are:

$$\text{Spec}(R) \times \text{Spec}(S) = \text{Spec}(R \otimes_{\mathbb{Z}} S) = \text{Spec}(R \otimes S)$$

where the tensor product with no base ring by default assume it is over the initial ring. The generalization of this concept shall be shown in section 3.2.

8. Projective space  $\mathbb{P}_k^n$  is a scheme, however we shall delay covering it till later as there is a lot of useful theory that should all be covered at once.

With the extra structure, we shall now denote  $|X|$  to represent the topological space of  $X$  rather than the underlying set. The elements of  $\mathcal{O}_X(U)$  are naturally called sections, and sections of  $\mathcal{O}_X(X)$  are called *global sections*. Section can be variously denoted as:

$$\mathcal{O}_X(U) \quad \Gamma(U, \mathcal{O}_X) \quad H^0(U, \mathcal{O}_X)$$

Since stalks of schemes are isomorphic to the stalk in the affine scheme, we call stalks at a point  $x \in X$  a *local ring*, and quotient field  $\mathcal{O}_{X,x}$  is called the residue field, which is denoted by  $\kappa(x)$ . We

may ask what ringed spaces are isomorphic to locally ringed spaces. The following addresses this question:

**Proposition 2.3.3: Locally Ringed Spaces And Affine Schemes**

Let  $(X, \mathcal{O}_X)$  be a ringed space such that  $R = \mathcal{O}(X)$ . Then if:

1.  $\mathcal{O}(U_f) = R[f^{-1}]$
2. The stalks of  $\mathcal{O}_x$  of  $\mathcal{O}$  are local rings
3. The map  $X \rightarrow |\mathrm{Spec}(\mathcal{O}(X))|$  is a homeomorphism

Then  $(X, \mathcal{O}) \cong (|\mathrm{Spec} R|, \mathcal{O}_{\mathrm{Spec} R})$ , and we call  $X$  a *affine space*. A ring satisfying the first two conditions is called a *locally ringed space*.

**Proof :**  
exercise.

**Theorem 2.3.4: Correspondence Of Ring Homomorphisms And Affine Schemes**

Let  $R, S$  be two rings. Then if the ringed-space isomorphism  $\pi : \mathrm{Spec}(R) \rightarrow \mathrm{Spec}(S)$  induces an isomorphism  $\pi^\sharp : S \rightarrow R$ , which induces a ringed-space isomorphism  $\rho : \mathrm{Spec}(R) \rightarrow \mathrm{Spec}(S)$ ,

$$\rho = \pi$$

**Proof :**  
corollary of theorem ref:HERE.

The following are useful results for proofs for later:

**Proposition 2.3.5: Distinguished Open Sets And Schemes**

Let  $f \in R$ . Then:

$$(D(f), \mathcal{O}_{\mathrm{Spec}(R)|_{D(f)}}) \cong (\mathrm{Spec}(R_f), \mathcal{O}_{\mathrm{Spec}(R_f)})$$

If  $f = 1$ , then:

$$(D(1), \mathcal{O}_{\mathrm{Spec}(R)|_{D(1)}}) \cong (\mathrm{Spec}(R), \mathcal{O}_{\mathrm{Spec}(R)})$$

More generally, the natural set-inclusion map  $\mathrm{Spec}(R_f) \hookrightarrow \mathrm{Spec}(R)$  gives a restriction from the Zariski topology on  $\mathrm{Spec}(R)$  to the Zariski topology on  $\mathrm{Spec}(R_f)$  and the structure sheaf restricts to the structure sheaf on  $\mathrm{Spec}(R_f)$

**Proof :**

As  $X$  is an affine scheme, it is generated by the distinguished open sets for some (not yet known but existing) ring  $R$ . Then as  $1 \in R$ ,  $X = D(1)$ . Then:

$$\mathcal{O}_X(X) = \mathcal{O}_X(\mathrm{Spec}(R)) = \mathcal{O}_X(D(1)) \stackrel{!}{=} R_1 \cong R$$

Where the  $\stackrel{!}{=}$  inequality came from the definition of the structure sheaf, namely localizing  $R$  at 1, but 1 is already a unit and so we get:

$$\mathcal{O}_X(X) \cong R$$

From this, show that we have an isomorphism  $\pi$

$$(\mathrm{Spec}(R), \mathcal{O}_{\mathrm{Spec}(R)}) = (\mathrm{Spec}(\mathcal{O}_X(X)), \mathcal{O}_{\mathrm{Spec}(\mathcal{O}_X(X))}) \xrightarrow{\sim} (X, \mathcal{O}_X)$$

### Lemma 2.3.6: Global Section Of Affine Scheme

Let  $X$  be an *affine* scheme, meaning  $(X, \mathcal{O}_X) \cong (\mathrm{Spec}(R), \mathcal{O}_{\mathrm{Spec}(R)})$  for some  $R$  not necessarily known. Then we can recover  $R$  through the global sections of  $X$ . Furthermore, given this ring  $R$ , we have  $(X, \mathcal{O}_X) \cong (\mathrm{Spec}(R), \mathcal{O}_{\mathrm{Spec}(R)})$  as schemes.

The motivation behind this lemma can come if the reader recalls that it wasn't immediate what the global sections of projective space should be, while it was in a sense intrinsic in the definition of an affine variety.

### Proposition 2.3.7: Open Subscheme

Let  $X$  be a scheme and  $U \subseteq X$  an open subset. Then  $(U, \mathcal{O}_X|_U)$  is a scheme.

#### **Proof :**

$U$  is certainly a subspace and a subsheaf with local rings as stalks; it must be shown that  $U$  is locally affine.

Let  $U \subseteq X$  be open and let  $X = \bigcup_i \mathrm{Spec}(R^{(i)})$  an affine open cover. As each  $\mathrm{Spec}(R^{(i)})$  is open, consider the open set  $U^{(i)} = U \cap \mathrm{Spec}(R^{(i)}) \subseteq \mathrm{Spec}(R^{(i)})$ . Now consider:

$$\mathrm{Spec}(R^{(i)}) = \bigcup_j D(f_j)$$

The same is done to  $U$ , that is make it a union of distinguished open sets, however  $U$  may be the union of distinguished open sets from many different  $\mathrm{Spec}(R^{(\ell)})$ , however these shall be “eliminated” since if  $D(g_k) \not\subseteq \mathrm{Spec}(R^{(i)})$ , by definition  $D(g_k) \cap \mathrm{Spec}(R^{(\ell)}) = \emptyset$  for  $i \neq \ell$ . Then we get:

$$U^{(i)} = \bigcup_{j,k} D(f_j) \cap D(g_k) = \bigcup_{j,k} D(f_j g_k) = \bigcup_{j,k} \mathrm{Spec}(R_{f_j g_k})$$

showing that each  $U^{(i)}$  is locally affine, hence  $U$  is locally affine.

### Definition 2.3.8: Open Subscheme

Let  $X$  be a scheme. Then  $(U, \mathcal{O}_X|_U)$  is called an *open subscheme*. If  $U$  is also an affine scheme, then  $U$  is often said to be an *affine open subscheme* or *affine open subset*.

Note that an open sub-scheme of an affine sub-scheme need not be affine:

**Example 2.9: Open Sub-scheme From An Affine Scheme**

Let  $R = k[x, y]$ ,  $X = \mathbb{A}_k^2 = \text{Spec}(k[x, y])$ , and consider  $U = \mathbb{A}_k^2 \setminus \{(x, y)\}$  which may be considered the plane without the origin. First, Show that  $U$  is indeed an open subset (it is the compliment of intersection of certain closed subsets, equivalently the union of certain open sets, there is more than one way of creating it).

Now, there does not exist an  $R$  such that  $\text{Spec}(R) \cong U$ . It may be tempting to say that there ought to be a distinguished open set of  $\mathbb{A}_k^2$  that produces  $U$ , but the reader should think through why this is not the case (hint:  $(x, y)$  is not principle). However,  $U$  can certainly be described as the union of two distinguished open sets, namely:

$$U = D(x) \cup D(y)$$

What is the global section on the open sub-scheme  $U$ ? This would be all functions in  $D(x) \cup D(y)$  that agree on the intersection,  $D(x) \cap D(y) = R_{x,y} = R(x, y)$ . As  $D(x)$  is all points in  $\mathbb{A}_k^2$  that do not vanish in the function  $x$ , and  $D(y)$  is all the points that do not vanish in the function  $y$ , we get:

$$\mathcal{O}_U(D(x)) = R_x = k\left[x, y, \frac{1}{x}\right] \quad \mathcal{O}_U(D(y)) = R_y = k\left[x, y, \frac{1}{y}\right]$$

Now consider  $R_x \cap R_y \subseteq R_{xy}$ . These are all rational functions with only powers of  $x$  in the denominator, and only powers of  $y$  in the denominator (as it must be in the intersection). But this leaves only the elements of  $R$ :  $R_x \cap R_y = R$  (where  $R$  is identified with its canonical embedding in  $R_{xy}$ ). Thus:

$$\mathcal{O}_{\mathbb{A}_k^2}(U) = k[x, y]$$

in other words, the local section of  $U$  is identical to the global section of  $\mathbb{A}_k^2$ . Now, if  $U$  was affine, then by lemma 2.3.6, we would have that the affine scheme is determined by the global ring, that is:

$$U \cong \mathbb{A}_k^2$$

that is, this is a scheme isomorphism, and hence also a homeomorphic map between prime ideals that must respect  $V(-)$  and  $I(-)$ . But in  $U$  we have:

$$V(x) \cap V(y) = \emptyset$$

while this is certainly not the case in  $\mathbb{A}_k^2$  (as it gives  $(x, y)$ ). Hence  $U$  is *not* an affine scheme!

Some more interesting things are happening here that is very reminiscent of complex geometry, namely this is an algebraic version of *Hartog's Lemma* (see [2]).

**useful comment addressing the Harsthorne confusion:** here

It was not too difficult to define an open subscheme in a natural way. Closed subscheme will be seen to have many natural options (due to how different ideals can have the same vanishing set, ex.  $(x)$  and  $(x^2)$ ). We shall define them in section ref:HERE.

Many of the topological properties inquired about affine schemes can be asked about for general schemes. For example, general schemes need not be quasi-compact, while all affine schemes are quasi-compact. The reader should think of examples of schemes that are:

(dis)connected, (ir)reducible, quasicompact, Noetherian

It would also be fruitful to look for points that are generization/specialization/generic, and find closed points of the scheme. For the closed points of a scheme, prove that if  $X$  is a quasi-compact scheme, the closure of each point contains a closed point (which tacitly implies there are schemes that do not have closed points!)

An important notion that “fixes” Hausdorffness is *separatedness* and *quasi-separatedness*

#### Definition 2.3.9: Separated And Quasiseperated Schemes

Let  $X$  be a scheme. Then  $X$  is a *separated scheme* if the intersection of any two affine open sets is affine. A scheme is *quasiseperated* if the intersection of any two quasicompact open subsets is quasicompact.

#### Lemma 2.3.10: Characterization Of Quasiseperated

Let  $X$  be a scheme. Then  $X$  is quasiseperated if and only if the intersection of any two affine open subsets is a finite union of affine open subsets.

**Proof :**  
exercise.

#### Lemma 2.3.11: Affine Scheme Are Quasiseperated

Let  $X$  be an affine scheme. Then  $X$  is quasiseperated

Example 2.9 show why the “quasi” condition is necessary

**Proof :**  
exercise.

Not all schemes are quasiseperated

#### Example 2.10: Non-quasiseperated Scheme

Let  $X = \text{Spec}([x_1, x_2, \dots])$  and let  $U = X \setminus [\mathfrak{m}]$  where  $\mathfrak{m}$  is the maximal ideal  $(x_1, x_2, \dots)$ . Take two copies of  $X$  and glue along  $U$  to create a double-origin scheme, namely the infinite version of the double-origin scheme seen in example 2.14. Show this is not quasiseperated.

#### Lemma 2.3.12: Quasicompact And Quasiseperated Scheme

Let  $X$  be a scheme. Then if  $X$  is quasicompact and quasiseperated,  $X$  can be covered by a finite number of affine open subsets, any two of which have an intersection that is also covered by a finite number of affine open subsets.

**Proof :**  
exercise.

One final definition that is useful when studying schemes with a focus towards a single ring:

**Definition 2.3.13:  $R$ -scheme and Finite Type Schemes**

Let  $X$  be a scheme. Then it is an  $R$ -scheme (or a *scheme over  $R$* ) if all the ring of sections are  $R$ -algebras, and all restriction maps are  $R$ -algebra homomorphisms.

Furthermore, if an  $R$ -scheme  $X$  can be covered by  $\text{Spec}(S_i)$  where  $S_i$  is a finitely generated  $R$ -algebra, we say that  $X$  is *locally finite type over  $R$* . If  $X$  is quasicompact, then  $X$  is said to be *finite type over  $R$* .

**Example 2.11: Finite type  $R$ -scheme**

1. Show that  $\mathbb{A}_k^n$  is a  $k$ -scheme of finite type.
2. Show that  $\text{Spec } R[x_1, \dots, x_n]/(f)$  for some polynomial  $f \in R[x_1, \dots, x_n]$  is a finite type  $R$ -scheme. More generally, for a finite collection of polynomials  $f_1, \dots, f_m \in R[x_1, \dots, x_n]$ ,  $\text{Spec } R[x_1, \dots, x_n]/(f_1, \dots, f_m)$  is of finite type. Hence, most intuitions from algebraic sets are about affine type  $k$ -schemes (we additionally require a variety to be reduced, and hence finite-type)

### 2.3.1 Stalks of Schemes and Generalizing Evaluation of Functions

In differential geometry, the stalks of a manifold can be used to define the evaluation of a function. This same trick is endeavored for scheme:

**Proposition 2.3.14: Stalk Of Schemes**

Let  $\text{Spec}(R)$  be a scheme. Then the stalk at  $\mathcal{O}_{\text{Spec}(R)}$  at the point  $[\mathfrak{p}]$  is isomorphic to the local ring  $R_{\mathfrak{p}}$ .

$$\mathcal{O}_{\text{Spec}(R), [\mathfrak{p}]} \cong R_{\mathfrak{p}}$$

Furthermore, for any  $[\mathfrak{p}] \in X$ , as  $[\mathfrak{p}] \in \text{Spec}(R_i)$  for appropriate  $R_i$ , we have

$$\mathcal{O}_{X, [\mathfrak{p}]} \cong \mathcal{O}_{\text{Spec}(R_i), [\mathfrak{p}]}$$

**Proof :**

For the first part, prove that  $R_{\mathfrak{p}}$  is the colimit of  $R_f$  where  $f \notin \mathfrak{p}$ . The second part comes from proposition 1.1.5.

A similar argument shows that the stalk of the sheaf  $\widetilde{M}$  at  $[\mathfrak{p}]$  is  $M_{\mathfrak{p}}$ . Thus, the local-to-global results in [3, chapter 22] will eventually be very useful for many arguments. The fact that germs are local rings is not exclusive to sheaves, the same holds for smooth manifolds. Hence, the following definition aims to capture this more general behaviour:



**Definition 2.3.15: Locally Ringed Space**

Let  $X$  be a ringed space. Then  $X$  is a *locally ringed space* if its stalks are local rings.

As all local rings have a maximal ideal, this motivates remembering the following notion from commutative algebra:

**Definition 2.3.16: Residue Field**

Let  $R$  be a commutative ring and  $\mathfrak{p} \subseteq R$  a prime ideal. Then the ring of fractions of the integral domain  $R/\mathfrak{p}$  is called the *residue field*, i.e.  $\text{Frac}(R/\mathfrak{p})$  is the residue field of  $\mathfrak{p}$  (with respect to  $R$ ). This field is often denoted  $\kappa(\mathfrak{p})$ .

Using residue fields, we can generalize the evaluation of a function. Recall that:

$$R_{\mathfrak{p}}/\mathfrak{m}_{\mathfrak{p}} \cong \text{Frac}(R/\mathfrak{p})$$

as localization and taking the quotient commutes (see [3, section 20.5]). This makes it much easier to evaluate a function if we can determine  $R$ . Then as the valuation of a function is usually in  $R/\mathfrak{p}$ , as rational functions are now permitted on (proper) open subsets of  $X$ , the evaluation will be in  $\text{Frac}(R/\mathfrak{p})$ . For a general point  $x \in X$  in a scheme, we may diminish to the affine scheme to define evaluation.

**Example 2.12: Valuation On Affine Scheme's**

These examples are straight from Vakil, but I would like to make these my own. The key idea is that the stalk,  $R_{\mathfrak{p}}$  gives all function's that will not vanish at  $\mathfrak{p}$ , and hence can divide by the function at that point, and then taking the quotient by the maximal ideal evaluate the function at that point, equivalently considering the value of the rational function in  $\text{Frac}(R/\mathfrak{p})$ :

1. Take  $\mathbb{A}_k^2$  for a field  $k$  where  $\text{char} \neq 2$ . Then in the open set  $U$  away from the  $y$ -axis and the curve  $y^2 - x^5$  has in it's local section  $\mathcal{O}_{\mathbb{A}_k^2}(U)$  the rational function  $(x^2 + y^2)/x(y^2 - x^5) \in \mathcal{O}_{\mathbb{A}_k^2}(U)$ . It's value at the point  $(2,4)$  (i.e.  $[(x-2, y-4)]$ ) give a values in  $\text{Frac}(R/(x-2, y-4)) = \text{Frac}(k[x, y]/(x-2, y-4)) \cong R_{(x-2, y-4)}/\overline{(x-2, y-4)}$ . Then:

$$\frac{x^2 + y^2}{x(y^2 - x^5)} \mod \overline{(x-2, y-4)} = \frac{2^2 + 4^2}{2(4^2 - 2^5)} \mod \overline{(x-2, y-4)}$$

The value at the point  $[(y)]$ , which is the generic point of the  $x$  axis, is

$$\frac{x^2}{-x^6} = -1 \frac{1}{x^4}$$

2. Take  $X = \text{Spec}(\mathbb{Z})$  and take the open set  $U = \text{Spec}(\mathbb{Z}) \setminus \{(2), (7)\}$ . This open set has many rational function, but let's take  $27/4$ . Then evaluating this function at different points of  $U$  we get:

$$(5) : 2/(-1) \equiv -2 \equiv 3 \mod 5$$

$$(0) : 27/4$$

$$(3) : 0/1 = 0 \mod 3$$

Notice that at 3, the function became 0. A (rational) function that whose output is 0 is said to *vanish* at that point. If  $\mathfrak{m}_{\mathfrak{p}}$  represents the maximal ideal of  $\mathcal{O}_{X,\mathfrak{p}}$ , then functions on an open subset  $U$  (i.e. section in  $\mathcal{F}(U)$ ) have values at each point of  $U$  whose value lies in  $\kappa(\mathfrak{p})$ . A function *vanishes* at  $\mathfrak{p}$  if its value at  $\mathfrak{p}$  is 0. This idea is not well-defined on ringed spaces in general! There is furthermore the following properties mirroring manifold theory:

**Proposition 2.3.17: Properties Of Functions On Locally Ringed Spaces**

Let  $f$  be function on a locally ringed space  $X$ . Then:

1. The subset of  $X$  where  $f$  vanishes is closed
2. if  $f$  vanishes nowhere, then  $f$  is invertible

**Proof :**

1. the subset of  $X$  where the germ of  $f$  is invertible is open
2. If  $f \not\equiv 0 \pmod{\mathfrak{p}}$ , then in particular  $f \not\equiv 0 \pmod{\mathfrak{m}}$  for all maximal ideal, meaning  $f$  is contained in no maximal ideal. But then  $f$  must be a unit. If  $f$  were not a unit, then there would exist an  $\mathfrak{m}$  that  $m$  belongs to, namely the maximal ideal containing  $f$ . But only units are not contained in any maximal ideal.

Note how this is *not* true on a variety defined in the classical sense:

**Example 2.13: On Variety: Vanishes Nowhere, Not Invertible**

Take

$$\overline{x - 100} \in \frac{\mathbb{R}[x, y]}{(x^2 + y^2 - 1)}$$

The reader should check that this function vanishes nowhere on  $V(x^2 + y^2 - 1)$  as a variety, but it is *not* invertible (i.e it is not a unit). However,  $\overline{x - 100}$  *does* vanish on  $\text{Spec } \mathbb{R}[x, y]/(x^2 + y^2 - 1)$ ; can the reader find what (non-maximal) prime ideal it vanishes on?

This ties in with the intuition that the spectrum of  $\mathbb{R}[x, y]/(x^2 + y^2 - 1)$  would be some “gluing” of  $\mathbb{C}[x, y]/(x^2 + y^2 - 1)$  via galois conjugate.

### 2.3.2 Gluing Schemes

In Differential Geometry, one of the most common way of constructing a manifold, and indeed what is at the heart of geometry, is the process of gluing pieces together with some amount of consistency (see [6, chapter 1]). The same applies for constructing schemes:

**Proposition 2.3.18: Constructing Schemes Via Gluing**

Let  $\{X_i\}_{i \in I}$  be a collection of schemes. Then if there exists:

1. A collection of open subscheme, not necessarily a open cover,  $X_{ij} \subseteq X_i$  (where  $X_{ii} = X_i$ )
2. a collection of isomorphisms  $f_{ij} : X_{ij} \rightarrow X_{ji}$  (where  $f_{ii}$  would be the identity)

such that the isomorphisms agree on triple intersection<sup>a</sup>:

$$f_{ik}|_{X_{ij} \cap X_{ik}} = f_{jk}|_{X_{ji} \cap X_{jk}} \circ f_{ij}|_{X_{ij} \cap X_{ik}}$$

then there is a unique scheme  $X$  (up to unique isomorphism) with open subsets isomorphic to  $X_i$  respecting the above gluing conditions.

<sup>a</sup>this is called the *cocycle condition*

This is a translation of the techniques from differential geometry (this condition may look more familiar if written as  $\mathbf{y} \circ \mathbf{x}^{-1} : \mathbf{x}(U \cap V) \rightarrow \mathbf{y}(U \cap V)$ ). The cocycle condition can be visualized like so:

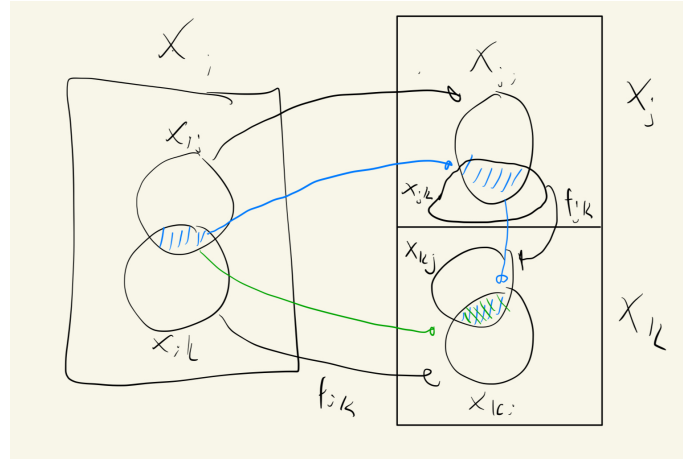


Figure 2.5: Green map must match blue map

**Proof :**

We shall present the high-level idea. Take the following topological space with the quotient topology and quotient-sheaf:

$$X = \frac{\bigsqcup X_i}{(X_{ij} \ni x \sim f_{ij}(x) \in X_{ji})}$$

In particular, we are gluing parts of the scheme together. This gluing is well-defined precisely because of the cocycle condition, it guarantee's transitivity.

This will produce a new topological space; what needs to be shown is that this space is a Scheme.

First, let  $X_i = \text{Spec}(R_i)$  be affine schemes so that

$$X = \frac{\bigsqcup \text{Spec}(R_i)}{(X_{ij} \ni x \sim f_{ij}(x) \in X_{ji})}$$

Then notice that  $\pi_i : X \rightarrow \text{Spec}(R_i)$ ,  $[x_i] \mapsto x_i$  is a well-defined surjective continuous map; since if  $x_i \in U_{ij}$  for any  $j$ , as  $f_{ij}$  (resp.  $f_{ji}$ ) is an isomorphism, there is only one point in  $\text{Spec}(R_i)$  representing it, making the map well-defined. It is certainly surjective. For continuity, by the cocycle condition  $\pi^{-1}(D(f_i))$  will be open if it intersects any  $X_{ij}$ . Then the sets  $U_i$  cover  $X$ , and I claim that  $U_i \cong_{\text{Sch}} \text{Spec}(R_i)$ , proving  $X$  is a scheme in the affine case. The map is bijective as the fibers of the map  $\pi_i$  over each point  $x_i \in \text{Spec}(R_i)$  have size 1. The inverse map  $\iota : \text{Spec}(R_i) \rightarrow U_i$  mapping  $x_i \mapsto [x_i]$  is also continuous since if  $V_i \subseteq U_i$  is open, it is representable by points in a set  $\cup_{ij} D(f_{ij})$ , and so we get a homeomorphism. Furthermore, by its construction it is naturally also a ringed-space morphism through careful application of sheaf quotients and the cocycle condition. This will give our covering.

Finally, in the general case, we take projection  $\pi_i : X \rightarrow X_i$  where as  $X_i = \bigcup_j \text{Spec}(R_{ij})$ , we take the covering  $\pi_i^{-1}(\text{Spec}(R_{ij}))$ , and proceed in a similar manner, which shall give the desired result.

What would the resulting global section's of a glued scheme look like? Let us do so for the case of two schemes  $X_1, X_2$  being glued on  $X_{12}$  and  $X_{21}$  with inclusion maps  $\iota_i : X_i \rightarrow X$ . Then we would get:

$$\mathcal{O}_X(U) = \left\{ (s_1, s_2) \mid s_1 \in \mathcal{O}_{X_1}(\iota_1^{-1}(U)), s_2 \in \mathcal{O}_{X_2}(\iota_2^{-1}(U)) \text{ such that } \varphi(s_1|_{\iota_1^{-1}(V) \cap U_1}) = s_2|_{\iota_2^{-1}(V) \cap U_2} \right\}$$

In this case, we have that the global section could be represented via an appropriate tensor product (note the tensor product is the coproduct in  $R$ -algebras, where in this case  $R$  would be the ring of the intersection). In general, the global sections will be the limit of the diagram of all the inclusion maps along with all the intersection. As it is highly dependent on how we do the gluing, as shall be demonstrated shortly.

#### Example 2.14: Gluing [affine] Schemes

Let  $X = \text{Spec}(k[x])$  and  $Y = \text{Spec}(k[y])$ . Take  $D(x) \subseteq X$  and  $D(y) \subseteq Y$ , which is the collection of all points that do not vanish at  $x$  and  $y$  respectively. As in example 2.5, these subsets are isomorphic to:

$$D(x) \cong \text{Spec}\left(k\left[x, \frac{1}{x}\right]\right) \quad D(y) \cong \text{Spec}\left(k\left[y, \frac{1}{y}\right]\right)$$

As a diagram, we have:

$$\begin{array}{ccc} \text{Spec}(k[x]) & & \text{Spec}(k[x]) \\ & \nwarrow \quad \nearrow & \\ & \text{Spec}(k[x, 1/x]) & \end{array} \quad \text{and} \quad \begin{array}{ccc} k[x] & & k[x] \\ & \searrow \quad \swarrow & \\ & k[x, 1/x] & \end{array}$$

These two open subsets will be glued in two different ways to produce two non-affine schemes that will be familiar to the reader:

1. (Spaces with double-origins). Define the isomorphism  $D(x) \cong D(y)$  via the isomorphism  $k[x, 1/x] \cong k[y, 1/y]$  with defined by mapping  $x \rightarrow y$ . This is a scheme by proposition 2.3.18.

Label this scheme  $S_1$ . This is *not* an affine scheme (what is the global section of  $S_1$ ?) The same can be done in higher dimensions (ex. plane with double-origin, more generally  $\mathbb{A}_k^n$  with double origin)

In differential geometry, such spaces are examples of non-Hausdorff spaces, however all [non-trivial] schemes are not Hausdorff. This behaviour is not due to a lack of Hausdorffness, but due to the lack of a different condition called *separatedness*. Spaces with double-origins are not separated, while  $\mathbb{A}_k^n$  will be an example of a separated space (as a hint: show that in the spaces with double origin, there exists two affine spaces whose intersection is not affine, it is a space that was already covered)

For a more classical proof (if you're comfortable with Hartog's lemma, or a continuity argument), is that any collection of polynomials that vanish everywhere except maybe at  $(x, y) = 0$  must in fact also vanish at 0.

2. (projective space) Define the isomorphism  $D(x) \cong D(y)$  via the isomorphism  $k[x, 1/x] \cong k[y, 1/y]$  with defined by mapping  $x \rightarrow 1/y$ . The reader will recognize this as  $\mathbb{P}_k^1$ . When  $k \in \{\mathbb{R}, \mathbb{C}\}$ , this space is isomorphic to a circle and a sphere, while in higher-dimension, say  $n$  it is an example of a space that cannot be embedded in  $\mathbb{R}^n$  or  $\mathbb{C}^n$  respectively. For schemes, we must be more prudent given the generic zero point (0) and the generic points given by non-linear irreducible polynomials (recall that the linear terms that are Galois conjugates are glued together).

Let us check that  $\mathbb{P}_k^1$  is not affine. Consider the global section  $\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1)$ . By construction, these are the global sections over  $X$  and global sections over  $Y$  that agree on the overlap. The sections on  $X$  and  $Y$  are the polynomials  $f(x)$  and  $g(y)$  respectively. For them to agree, we would need that, after passing through the isomorphism,  $f(x) = g(1/x)$ . But such polynomials are only the constant polynomial. Hence:

$$\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1) = k$$

Now by lemma 2.3.6 we have

$$\mathrm{Spec} \mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1) = \mathrm{Spec}(k) = \{0\}$$

However, the projective line certainly has more than one point, completing the proof<sup>a</sup>.

---

<sup>a</sup>Those familiar with complex analysis may recall that the projective space has only constant holomorphic functions defined on it

Vakil gives one more example of interest on p.145

### 2.3.3 Projective Schemes

Recall projective space from [6]. This space was completely constructed from linear spaces, and required no special property of real or complex fields, and in [3] we saw how to construct a projective variety by gluing affine varieties, and hence it may be no surprise that we may construct a projective scheme. With projective varieties, we had Bézout's theorem generalize The fundamental theorem of algebra. With projective schemes, we shall have an equivalent result in chapter ref:HERE with line bundles on projective schemes giving us "enough functions" (though functions are not quite the right concept, hence why line bundles shall be brought into the discussion). In fact, this can be thought of

as the key property of projective schemes; they have “enough” functions<sup>6</sup>.

Classically,  $n$ -projective space  $\mathbb{RP}^n$  or  $\mathbb{CP}^n$  is defined on  $\mathbb{R}^{n+1}$  or  $\mathbb{C}^{n+1}$  by taking an equivalence class:

$$(x_1, \dots, x_{n+1}) \sim (y_1, \dots, y_{n+1}) \iff (x_1, \dots, x_{n+1}) = (\lambda x_1, \dots, \lambda y_{n+1}) \quad \lambda \neq 0$$

This produces an  $n$ -dimensional smooth manifold with patches defined by:

$$U_i = \{[x] \in \mathbb{P}^n \mid x_i \neq 0\}$$

where the gluing was defined as

$$\begin{aligned} \varphi_i \circ \varphi_j^{-1}(x^1, x^2, \dots, x^n) &= \varphi_i(x^1 : \dots : x^{j-1} : 1 : x^{j+1} : \dots : x^n) \\ &= \left( \frac{x^0}{x^i}, \dots, \frac{x^{j-1}}{x^i}, \frac{1}{x^i}, \frac{x^{j+1}}{x^i}, \dots, \frac{x^{i-1}}{x^i}, \frac{x^{i+1}}{x^i}, \dots, \frac{x^n}{x^i} \right) \end{aligned}$$

For scheme, we do something similar. Take  $X = \operatorname{Spec} R[x_0, \dots, x_n]$  where we start at 0 as it would be nice if the highest value index would represent the dimension of the projective space (see[3, section 21.3] if dimension theory is a bit rusty). Take the open sets corresponding to:

$$U_i = D(x_i) = \operatorname{Spec} R[x_{0,i}, \dots, x_{n,i}] / (x_{i,i} - 1) \subseteq X = \operatorname{Spec} R[x_0, \dots, x_n]$$

that is, make one of the variables 1 (recall that taking the quotient corresponds to taking a subset, see section 2.1.4). The subscript has an extra  $i$  to show that these variable correspond to the  $U_i$  open set. Then glue  $U_i$  to  $U_j$  on their intersection via:

$$\operatorname{Spec} R \left[ x_{0,i}, \dots, x_{n,i}, \frac{1}{x_{j,i}} \right] / (x_{i,i} - 1) \cong \operatorname{Spec} R \left[ x_{0,j}, \dots, x_{n,i}, \frac{1}{x_{i,j}} \right] / (x_{j,j} - 1)$$

via  $x_{k,i} = x_{k/j} / x_{i/j}$  and  $x_{k/j} = x_{k/i} / x_{j,i}$  where this is well-defined due to the variables  $x_{j,i}$  and  $x_{i,j}$  being nonzero in the intersection (notice too that  $x_{i/j} / x_{j/i} = 1$ ).

This defines the *classical projective scheme* or *prototypical projective scheme* and will be denoted  $\mathbb{P}_R^n$ , or just  $\mathbb{P}^n$  for short. Notice that it the scalars can be defined for any ring, which generalizes the result from differential geometry. If  $R = k$  is an algebraically closed field, the closed points of  $\mathbb{P}_k^n$  will behave like the traditional points of the smooth manifold  $\mathbb{CP}^n$ . Just like for  $\mathbb{P}^1$ , the global section for each  $\mathbb{P}^n$  consists only of the constants  $R$  (check this), and  $\mathbb{P}^n$  is *not* an affine scheme.

The properties of the classical projective scheme turn out to be in common with a great deal of schemes, and shall motivate a new class of schemes called *projective schemes*. The rest of this section builds-up the intuition behind this generalization.

The first important property to notice is which polynomial functions are still well-defined under the equivalence in the classical projective scheme:

<sup>6</sup>In particular, they will have “ample line-bundles”

**Proposition 2.3.19: Projective Scheme And Polynomials**

Let  $\mathbb{P}_R^n$  be the classical projective scheme. Then the polynomial  $f \in R[x_0, \dots, x_n]$  that are well-defined in  $\mathbb{P}_R^n$  are the *homogeneous polynomials*, that is polynomial where for every  $f \in R[x_0, \dots, x_n]$ , there exists a  $d \in \mathbb{N}_{>0}$  such that for every  $\lambda \in R$ ,

$$f(\lambda x_0, \dots, \lambda x_n) = \lambda^d f(x_0, \dots, x_n)$$

**Proof :**

Translate the result from differential geometry

**Example 2.15: Projective Scheme Homogeneous Polynomial Cut**

1. Take  $C = V(x^2 + y^2 - z^2) \subseteq \mathbb{P}_k^2$  for an algebraically closed field  $k$ . **Come back here when you have a better grasp of the geometry.** Then if  $z = 0$ , we get that  $[(0, 0, 0)] \in C$ . If  $z \neq 0$ , then for each solution  $[(a, b, c)] = [(\lambda a, \lambda b, \lambda c)] \in C$ , we have that we get a cone of points that are solutions. Loosely visualizing  $\mathbb{P}_k^2$  as a sphere (which it is *not*) we see that this resemble the image:

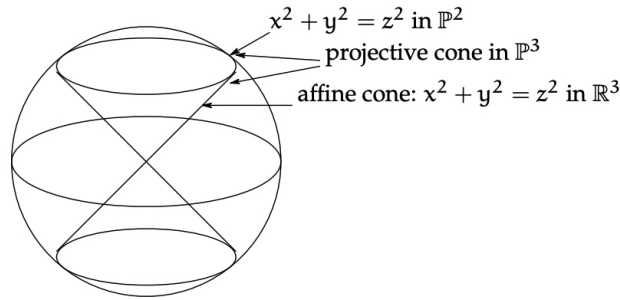


Figure 2.6: Visualization of  $V(x^2 + y^2 - z^2)$

This shows why the letter  $C$  was chosen to represent  $V(x^2 + y^2 - z^2)$ , as it looks like an infinite *cone*. Such vanishing sets of projective space are called *vanishing cones*

Let us now consider  $\text{Spec}(C) \subseteq \mathbb{P}_k^2$ . What does this look like for each  $U_i$ , the open sets that were glued together. **finish this**

2. In an affine scheme, two polynomials over a field cut out the same shape if they differ by a scalar. This is not the case for homogeneous polynomials cutting out parts of  $\mathbb{P}^n$ , consider  $x^2y = 0$  and  $xy^2 = 0$ . the problem is that these two are homogenizations of different polynomials that produce the same set. However, as we know from section 2.2.1 schemes remember multiplicity. This behaviour shall later be properly taken into account by saying that two polynomials shall cut out the same *closed subscheme* (rather than the same *closed subset*) if and only if they differ by a scalar multiple, as closed schemes shall essentially correspond to polynomial cut outs (as sets) that have sheaves that remember multiplicity information.

**Corollary 2.3.20: Parameterization Of Homogeneous Polynomials**

The collection of all homogeneous polynomials of dimension  $n + 1$  of degree  $d$  over a field form a vector-space of dimension  $\binom{n+d}{d}$ .

**Proof :**  
counting argument.

Hence, as is the theme in algebraic geometry, what is desired are rings that have similar homogeneous behaviour to homogeneous polynomials to be in correspondence to projective schemes. This set of rings has already been defined in [3, section 20.3], namely they are the *Graded Rings*.

**Construction of Proj**

Go over some more graded algebra, there is some more important results that I didn't put in EYTNA Algebra that I'd rather put here

Assuming  $\mathbb{Z}$ -grading, so  $S_+$  is well-defined

Let  $S_\bullet$  be a graded ring, the prototypical to keep in mind is  $S_\bullet = R[x_0, \dots, x_n]$  or  $R[x_0, \dots, x_n]/(f)$  where  $f$  is homogeneous. For each homogeneous  $f \in S_+$ , take the localisation of  $S_{(\bullet)_f}$  (which is a grading where  $\deg(1/f) = -\deg(f)$ ). Consider:

$$\text{Spec} \left( \left( (S_\bullet)_f \right)_0 \right) = \text{Spec} ((S_\bullet)_f)_0$$

where  $((S_\bullet)_f)_0$  is the 0 grading piece of the graded ring  $(S_\bullet)_f$ . What is this construction? Consider it on  $S_\bullet = k[x_1, \dots, x_n]$  and  $f = x_i$ . Then:

$$k[x_{0,i}, x_{1,i}, \dots, x_{n,i}]/(x_{i,i} - 1)$$

**Definition 2.3.21: Proj**

The collection of homogeneous prime ideals of  $S_\bullet$  not containing the irrelevant ideals of  $S_+$  is denoted  $\text{Proj} S_\bullet$  (i.e. it contains the “relevant” primes).

more important theoretical build up to jot down! p.149 Vakil

For some reason, Vakil now only limits to  $\mathbb{Z}$ -graded algebra. Idk why at this point, so I will move on

**Definition 2.3.22: Projective Scheme**

Let  $S_\bullet$  be a graded ring that is finitely generated over a ring  $R$ . A ringed space is a *projective scheme* if it is isomorphic to  $\text{Proj} S_\bullet$ . A *quasiprojective  $R$ -scheme* is a quasicompact open subscheme of a projective  $R$ -scheme.

**Proposition 2.3.23: Irreducibility of classical projective scheme**

The scheme  $\mathbb{P}_R^n$  is irreducible and is of finite type.



As irreducible spaces are connected,  $\mathbb{P}_k^n$  is connected.

**Proof :**

Recall that the affine scheme is irreducible. Notice the argument is invariant under gluing.

**Lemma 2.3.24: Projective Scheme Quasicompact And Quasiseperated**

Let  $X$  be a projective  $R$ -scheme. Then  $X$  is quasicompact and quasiseperated

**Proof :**

Recall that the graded ring in the definition is finitely generated.

## 2.4 Types of Schemes

Recall that a reduced ring is a ring with no nilpotent elements.

**Definition 2.4.1: Reduced Scheme**

Let  $X$  be a scheme. Then  $X$  is a *reduced scheme* if  $\mathcal{O}_X(U)$  is reduced for every open set  $U$  of  $X$

**Proposition 2.4.2: Reducedness Is Stalk-local**

Let  $X$  be a scheme. Then  $X$  is reduced if and only if non of the stalks have nonzero nilpotents. As a consequence, if  $f, g \in \mathcal{O}_X(X)$  are two (global) functions and agree on all points, then  $f = g$

**Proof :**

Recall the embedding  $\mathcal{O}_X(U) \hookrightarrow \prod_{p \in U} \mathcal{O}_{X,p}$  and an important characterization of the nilradical.

**Lemma 2.4.3: Reducedness For Affine And Projective Schemes**

Let  $R$  be a reduced ring. Then  $\text{Spec}(R)$  is reduced. Hence,  $\mathbb{A}^n$  and  $\mathbb{P}^n$  are reduced.

**Proof :**

exercise.

**Proposition 2.4.4: Reducedness On Quasicompact Schemes**

Let  $X$  be a quasicompact scheme. Then  $X$  is reduced if and only if it is reduced at closed points

**Proof :**  
exercise.

The above showed that reducedness for quasicompact schemes is a closed-point local condition. It is not an open set local condition:

**Example 2.16: reducedness not open-set local**

Vakil p. 155 type it up

The key is that if  $X$  is also locally Noetherian, then reducedness is a locally open condition.

Important intuition: here

A word of warning: let  $X$  be scheme where the global sections is reduced. This doesn't imply  $X$  is reduced (take the scheme cut out by  $x^2 = 0$  in  $\mathbb{P}_k^2$ ; note that the global section will be  $k$ , but  $X$  will be non-reduced).

(one more exercise)

**Definition 2.4.5: Integral Scheme**

Let  $X$  be a scheme. Then  $X$  is *integral* if it is nonempty and  $\mathcal{O}_X(U)$  is an integral domain for every nonempty open subset  $U \subseteq X$ .

**Proposition 2.4.6: Characterizing Integral Schemes**

Let  $X$  be a scheme. Then  $X$  is an integral scheme if and only if it is irreducible and reduced

Thus, integral schemes can be thought of as schemes that are in “one piece” and have “no fuzz”.

**Proof :**

exercise. Recall that an affine scheme is irreducible if and only if the nilradical is prime.

As integral schemes are irreducible, it has a generic point, say  $\gamma$ . Then if  $\text{Spec}(R) \subseteq X$  is a nonempty affine open subset, the stalk at  $\gamma$  (i.e.  $\mathcal{O}_{X,\gamma}$  is isomorphic to  $\text{Frac}(R)$ ). Thus, this is called the *function field* of  $X$ , and is often denoted  $K(X)$ . It can be computed on any nonempty open set of  $X$ , as any such set must have the generic point. Notice this can be computed on any nonempty open subset of  $X$ , and hence on irreducible schemes there is a straightforward way of defining a notion of rational functions on  $X$ !

may move this above discussion.

Continue on from Vakil exercise 5.3.F. Also include the definition of degree

**Exercise 2.4.1**

1. Let  $X$  be a scheme. Show that  $X$  is a locally Noetherian scheme if and only if every open affine subset corresponds to a Noetherian ring.

### 2.4.1 Locally Affine

If  $X$  is a scheme, it can be covered by affine schemes. Like manifolds, there can be multiple different coverings of  $X$ . Translating between these different covering shall be given by the *Affine Communication Lemma*. Given this lemma, there shall be properties for schemes that need only be checked on some affine cover, giving rise to affine-local properties.

#### Lemma 2.4.7: Build-up Affine Communication

Let  $\text{Spec}(R), \text{Spec}(S)$  be two affine sub-schemes of a scheme  $X$ . Then  $(\text{Spec}(R)) \cap (\text{Spec}(S))$  is the union of open sets that are distinguished open sets for both  $\text{Spec}(R)$  and  $\text{Spec}(S)$ .

#### Proof :

Let  $[\mathfrak{p}] \in \text{Spec}(R) \cap \text{Spec}(S)$ . As these are affine scheme, they are quasiseparated and quasicompact, hence their intersection is the finite union of affine schemes, namely they are given through the appropriate distinguished open sets (recall the proof of proposition 2.3.7). Then there exists an  $f \in R$  and  $g \in S$  such that  $[\mathfrak{p}] \in \text{Spec}(R_f), \text{Spec}(S_g) \subseteq \text{Spec}(R) \cap \text{Spec}(S)$ . Then  $g \in \mathcal{O}_X(\text{Spec}(S))$  restricts to an element  $g' \in \mathcal{O}_X(\text{Spec}(R_f))$ .

Now, the points of  $\text{Spec}(R_f)$  where  $g$  vanishes are precisely the points of  $\text{Spec}(R_f)$  where  $g'$  vanishes, hence:

$$\begin{aligned} \text{Spec}(S_g) &= \text{Spec}(R_f) \setminus \{[\mathfrak{p}] \mid g' \in \mathfrak{p}\} \\ &= \text{Spec}((R_f)_{g'}) \end{aligned}$$

Defining  $g''$  where  $g' = g'/f^n$  (note  $g'' \in R$ ), then

$$\text{Spec}((R_f)_{g'}) = \text{Spec}(R_{fg''})$$

which gives the desired set.

#### Theorem 2.4.8: Affine Communication Lemma

Let  $X$  be a scheme, and let  $P$  be a property that is satisfied by some affine open subsets of  $X$  set, say  $\text{Spec}(R^{(i)})$  for some index  $i$ , where  $X = \cup_i \text{Spec}(R^{(i)})$ . Then if

1. for each  $f \in R^{(i)}$ ,  $\text{Spec}(R_f^{(i)}) \hookrightarrow X$  satisfies the property too
2. If  $R^{(i)} = (r_1, \dots, r_n)$  and  $\text{Spec}(R_{r_i}^{(i)}) \rightarrow X$  satisfies the property, then so does  $\text{Spec}(R^{(i)}) \rightarrow X$

Then  $X$  satisfies this property.

#### Proof :

Let  $\text{Spec}(R) \subseteq X$  be an affine subscheme. As  $\text{Spec}(R)$  is quasi-compact, by lemma 2.4.7 cover it with a finite number of distinguished open sets  $\text{Spec}(R_{f_i})$ , each of which is distinguished in some  $\text{Spec}(R^{(i)})$ . By (1), each  $\text{Spec}(R_{f_i})$  has property  $P$ , and by (2)  $\text{Spec}(R)$  has property  $P$ , as we sought to show.

Note that stalk local implies affine local, but affine local does not imply stalk local, which we shall show is the case with an example that satisfies the following property

**Definition 2.4.9: Noetherian Scheme**

Let  $X$  be a scheme. If  $X$  can be covered by affine open sets where each ring  $R_i$  of  $\text{Spec}(R_i)$  is Noetherian, then  $X$  is said to be a *locally Noetherian Scheme*. If  $X$  is also quasicompact, then  $X$  is said to be a *Noetherian Scheme*.

Recall that If  $R$  is a Noetherian ring, then it's localization and extension (via exact sequence) is Noetherian. Conversely, if  $R = (f_1, \dots, f_n)$  and each  $R_{f_i}$  is Noetherian, so is  $R$ .

**Example 2.17: Affine Local But Not Stalk Local**

Take  $X = \text{Spec}(\prod_i^\infty \mathbb{Z}/2\mathbb{Z})$  (that is, infinite copies of a single point). This scheme is stalk-locally Noetherian, as the only prime ideals are those for which when taking the quotient the result is an integral domain (in this case, the quotient will always be isomorphic to a field, and hence every prime must be maximal). However, the ring is *not* Noetherian as can readily be identified, and hence it is not locally-affine Noetherian.

The converse is true

**Proposition 2.4.10: Stalk Local, Then Affine Local**

Let  $X$  be a scheme for which property  $P$  stalk local. Then property  $P$  is affine-local

**Proof :**  
exercise.

**Proposition 2.4.11: Characterizing Integrality in Noetherian Schemes**

Let  $X$  be a Noetherian Scheme. Then  $X$  is integral if and only if  $X$  is nonempty, connected, and all stalks  $\mathcal{O}_{X,p}$  are integral domains.

**Proof :**  
exercise.

## 2.4.2 Normality and Factoriality

Recall that a normal ring  $R$  has the property that it is an integral domain that is integrally closed in its field of fractions,  $\overline{R}^{\text{Frac}(R)} = R$ . We shall take the time to develop the theory of Schemes for which all the rings of sections are normal. These shall correspond to schemes that have some nicer behaviour for their singularities. It can be thought of as a condition weaker than smoothness (smoothness shall be called regular in section REF:HERE) as normality shall still allow for singularities, however these singularities shall be more tame. In particular, the reader can intuitively have the following geometric picture in mind on Noetherian Schemes (

1. In codimension 1, all singularities are resolved, meaning it is “smooth” in codim 1
2. In codimension  $\geq 2$ , being normal means being nice enough so that rational functions defined on the complement of the singularities can be extended to the singularity (i.e. Algebraic Hartogs’s lemma applies)

**Definition 2.4.12: Normal Scheme**

Let  $X$  be a scheme. Then  $X$  is said to be *normal* if every stalk  $\mathcal{O}_{X,p}$  is normal domains.

This shows normality is by definition a stalk-local property. Note that reducedness was stalk-local, and so it is immediate that normal schemes are reduced. As being integrally closed is well-behaved under localization (recall the proof that a UFD is normal), for an affine scheme if  $R$  is normal then  $\text{Spec}(R)$  is a normal scheme. If each stalk is a UFD, then it is immediately a Normal scheme. Let’s give a name to such a scheme

**Definition 2.4.13: Factorial Scheme**

Let  $X$  be a scheme. Then  $X$  is said to be *normal* if every stalk  $\mathcal{O}_{X,p}$  is a UFD.

**Example 2.18: Normal Schemes**

1. Starting on p.164 section 5.4.8, lots and lots of good examples

**2.4.3 Associated Points**

To motivate the concept, first do the followign exercise:

**Example 2.19: Support Of Non-reduced Ring**

Let  $X = \text{Spec}(k[x, y]/(y^2, xy))$ . Show that the support of any function  $X$  is either empty, the origin, or all of  $X$  (hint: for an example, consider the function  $y$ ). Note that the function  $y$  evaluated to 0 everywhere on  $X$ , but the support is only the origin!

This will be linked to the irreducible components of the above  $X$ , namely the  $x$ -axis and the origin. This gives the following definition tha shall be expanded upon:

**Definition 2.4.14: Associated Points**

Let  $X$  be a scheme. Then the associated points are precisely the generic points of irreducible components of the support of some element of  $X$

**Proposition 2.4.15: Affine Integral Schemes And Associated Points**

Let  $R$  be an integral domain. Then the generic point is the only associated point of  $\text{Spec}(R)$ .

**Proof :**  
exercise

Finish this here, p.167

### Exercise 2.4.2

- Find the affine scheme structure for the following rings:
  - $\text{Spec } \mathbb{C}[x]/(x^3 - x^2)$
  - $\text{Spec } \mathbb{C}[x]/(x^2 - d)$
  - $\text{Spec } \mathbb{C}[x]/(x^2 + 1)$
- Let  $X$  be a quasicompact scheme. Show that the closure of each point contains a closed point (this is not true for non quasicompact schemes in general)
- Let  $X$  be a quasicompact scheme. Suppose  $f$  vanishes at all point of  $X$ . Then there exists some  $N$  st  $f^N = 0$ . Show that this fails when  $X$  is not quasicompact (hint: take infinite disjoint unions of  $\text{Spec}(R_n)$  where  $R_n := k[x]/(x^n)$ )
- Let  $X$  be a quasicompact scheme. Then it suffices to check reducedness at closed points (show that any nonreduced point has a nonreduced closed point in its closure)
- Show that reducedness is not in general an open condition by considering:

$$X = \text{Spec} \left( \frac{\mathbb{C}[x, y_1, y_2, \dots]}{(y_1^2, y_2^2, y_3^3, \dots, (x-1)y_1, (x-2)y_2, (x-3)y_3, \dots)} \right)$$

and  $Y = \text{Spec}[x]$ . Show the nonreduced points of  $X$  are the closed points corresponding to the positive integers. The complement of this set is *not* Zariski open.

- Let  $X$  be an integral scheme. Show that if  $\emptyset \neq V \subseteq U$ , then the map  $\text{res}_{U,V} : \mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$  is an inclusion. **very important, see p.156 under exercise**
- Let  $X$  be an integral scheme,  $\gamma$  the generic point, and  $\text{Spec}(R) \cong U \subseteq X$  is any nonempty affine open subset of  $X$ . Then  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,\gamma} = K(A)$  is an inclusion. Conclude that gluing is easy: every  $f_i$  in  $U_i$  for an open cover for  $U$  glue together if they are same element in  $\text{Frac}(X)$  (recall that  $\text{Frac}(X)$  is defined to be  $\text{Frac}(R)$  where  $R$  is given by  $\mathcal{O}_X(\text{Spec}(R))$ )
- Show that integrality is not stalk local via the example  $\text{Spec}(A) \sqcup \text{Spec}(B) = \text{Spec}(A \times B)$ .
- Show that reducedness is an affine local property.
- Let  $X$  be a locally Noetherian Scheme. Then  $X$  is quasiseparated.
- Let  $X$  be a Noetherian Scheme. Then it has a finite number of connected components, each a finite union of irreducible components.
- Show that the ring of sections of a Noetherian Scheme need not be Noetherian.
- Let  $X$  be a scheme of locally finite type over  $\mathbb{Z}$  or  $k$ . Then  $X$  is locally Noetherian.
- A scheme that is of finite type over any Noetherian ring is Noetherian.
- Let  $X$  be a quasiprojective  $R$ -scheme. Then if  $R$  is Noetherian,  $X$  is a Noetherian scheme,

and hence has a finite number of irreducible components.

16. Let  $X$  be a locally Noetherian scheme. Then the reduced locus is open. (Vakil p.156)
17. Let  $U \subseteq X$  be an open subscheme of a projective  $R$ -scheme  $X$ . Show that  $U$  is locally of finite type  $R$
18. Find a counter-example showing that normal schemes are not in general integral schemes (hint: think of the disjoint union of two normal scheme. For more hint, Vakil p. 162).
19. Let  $X$  be a Noetherian scheme. Then  $X$  is normal if and only if it is a finite disjoint union of integral normal schemes.

## 2.5 Dimension

HERE

interpreting modules as fiber-bundles on a spectrum: <https://mathoverflow.net/questions/61678/what-is-the-general-geometric-interpretation-of-modules-in-algebraic-geometry>

### 2.5.1 Tangent Space

I'd like to get a bit into dimension, very particularly I want to bring this from classical alg geo form [3]

#### Definition 2.5.1: Tangent Space

Let  $X$  be a scheme and  $x \in X$  a point Then the *tangent space* at  $x$  is given by

$$\mathfrak{m}_x / \mathfrak{m}_x^2$$

where  $\mathfrak{m}_x \subseteq R_x$   $R$  is given by an affine local neighborhood of  $x$ ,  $x \in \operatorname{Spec}(R) \subseteq X$ .

---

## *Morphisms of Schemes*

---

smooth vs regular

### 3.1 Definition and Examples

Recall (Definition 1.4.2) that a morphism of ringed spaces  $\pi : X \rightarrow Y$  is a continuous map of topological spaces along with the map  $\mathcal{O}_Y \rightarrow \pi_* \mathcal{O}_X$ , i.e. the pullback map, or equivalently by adjointness the map  $\pi^{-1} : \pi^{-1}(\mathcal{O}_Y) \rightarrow \mathcal{O}_X$ . It turns out that these conditions are not enough for morphisms of locally ringed spaces:

**Example 3.1: Ringed Space Morphism Not Locally Ringed**

Take  $R = \mathbb{Z}_{(p)}$  and  $S = \mathbb{Q}$  so that  $\text{Spec}(R) = \{(p), (0)\}$  and  $\text{Spec}(S) = \{(0)\}$ . The open sets of  $\text{Spec}(R)$  are

$$\emptyset \quad \{(0)\} \quad \text{Spec}(R)$$

and the stalks of  $\text{Spec}(R)$  are  $\mathbb{Z}_{(p)}$  at  $(p)$  and  $\mathbb{Q}$  at  $(0)$  respectively. Then the reader can verify that there is only one possible homomorphism  $\iota : R \rightarrow S$ ; it must be the embedding.

Now,  $\iota$  induces a morphism

$$\{(0)\} = \text{Spec}(S) \rightarrow \text{Spec}(R) = \{(0), (p)\}$$

and  $\iota^\# : \mathcal{O}_{\text{Spec}(R)} \rightarrow \mathcal{O}_{\text{Spec}(S)}$  mapping the generic point 0 to the generic point 0. We have the following two identity maps for the sheaf morphisms on open sets:

$$\begin{aligned} \iota^\#(\{(0)\}) : \mathbb{Q} &\rightarrow \mathbb{Q} \\ \iota^\#(\text{Spec}(R)) : \mathbb{Z}_{(p)} &\rightarrow \mathbb{Q} \end{aligned}$$

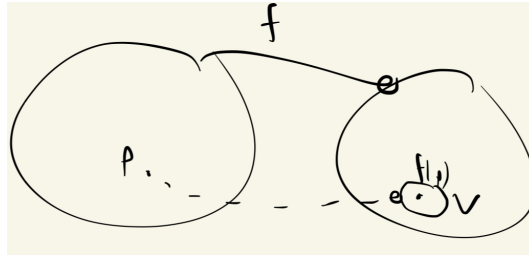


Now, we can also define a map  $\varphi$  that maps  $(0) \mapsto (p)$ . The pre-image of every open set (notably the pre-image of zero is now the empty-set). Now, to show the sheaf morphism is well-defined, we shall show that the map on stalks is well-defined, which suffices by proposition 1.1.21. Then the we need the stalk map between  $(p)$  and  $(0)$ . This means we need a map

$$\mathbb{Z}_p \rightarrow \mathbb{Q}$$

which is well-defined by being an inclusion map. Hence we have another distinct ringed-space morphism. However, we know there is only one ring homomorphism, and hence we managed to produce an extra map.

In general, a ring map  $R \rightarrow S$  will induce a ringed space map  $\text{Spec}(S) \rightarrow \text{Spec}(R)$  which induces a ring map  $R \rightarrow S$ , however the first mapping is in general injective but *not* surjective, while the second mapping is surjective but *not* injective. The geometric idea is as following, say that  $p \mapsto q$  and there is a function  $f$  that vanishes on  $q$ . Then we want that the pullback of  $f$  via  $\varphi$  vanish on  $p$ . Consider the following:



Let  $g$  be a function on the open neighborhood  $V$ . Then if we are dealing with functions,  $g$  vanishes at  $f(p)$  if and only if  $g \circ f$  vanishes at  $p$ ; this is “obvious” as it is given by definition:

$$(g \circ f)(p) = g(f(p))$$

hence, the left hand side vanishes if and only if the right hand side vanishes. In example 3.1, this doesn’t happen. To see this, notice that the vanishing point is a maximal ideal  $\mathfrak{m}$ . Then if it also vanishes at  $g \circ f$ , then that means the inverse of the maximal ideal is contained in the other maximal ideal. However this wasn’t the case in example 3.1. Translated in the language of distinguished open sets, we will want that if  $\varphi$  is a morphism of rings  $R \rightarrow S$ , then we want:

$$\mathcal{O}_{\text{Spec}(S)}(D(g)) \rightarrow \mathcal{O}_{\text{Spec}(R)}(f^{-1}(D(g))) = \mathcal{O}_{\text{Spec}(R)}(D(\varphi(g)))$$

We want to keep this property, for it means that our maps are dealing with “points”. This motivates the following

#### Definition 3.1.1: Locally Ringed Space Morphism

Let  $X, Y$  be locally ringed spaces. Then a *morphism of locally ringed spaces*  $\pi : X \rightarrow Y$  is a morphism of ringed spaces st the induced map of stalks  $\pi^\# : \mathcal{O}_{Y,q} \rightarrow \mathcal{O}_{X,q}$  sends maximal ideal of the former into (not necessarily equal to) the maximal ideal of the latter, that is, these are morphism of local rings.

Intuitive, we can think of the new condition thusly: If  $p \mapsto q$  and  $g$  is a function vanishing at  $q$ , then the pullback of  $g$  vanishes at  $p$ . An example of locally ringed space morphisms that are not between schemes would be smooth maps between manifolds. For schemes:

**Definition 3.1.2: Morphism Of Schemes**

Let  $X, Y$  be schemes. Then a morphism of locally ringed spaces  $\pi : X \rightarrow Y$  is called a *morphism of schemes*. Schemes along with scheme morphisms form a category **Sch**.

Recall that a ring homomorphism  $\varphi : R \rightarrow S$  induced a continuous map  $\varphi^a : \text{Spec}(S) \rightarrow \text{Spec}(R)$ . Importantly, there is enough information to now induce a ring homomorphism

**Theorem 3.1.3: Ring Homomorphism and Locally Ringed Space**

Let  $\varphi : R \rightarrow S$  be a ring homomorphism. Then

1.  $\varphi$  induces a natural morphism of locally ringed space<sup>a</sup>:

$$(\varphi, \varphi^\#) : (\text{Spec}(S), \mathcal{O}_{\text{Spec}(S)}) \rightarrow (\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)})$$

2. Any morphism of locally ringed space from  $\text{Spec}(S) \rightarrow \text{Spec}(R)$  induces a ring homomorphism  $\varphi : R \rightarrow S$
3. If the ring homomorphism  $\varphi$  induces a locally ringed space morphism  $\varphi^*$  which induces a ring homomorphism  $\psi$ , then  $\varphi = \psi$ .

<sup>a</sup>ringed space morphism that preserves local rings

**Proof :**

1. Let  $\varphi : R \rightarrow S$  be a morphism which induces the continuous map  $f : \text{Spec}(S) \rightarrow \text{Spec}(R)$  (where the notation  $f$  is used to not overcrowd notation later in the proof). For each  $\mathfrak{p} \in \text{Spec}(S)$ , localize  $S$  at  $\mathfrak{p}$  (i.e.  $S \setminus \mathfrak{p}$ ) to obtain the local homomorphism of the local rings  $\varphi_{\mathfrak{p}} : R_{\varphi^{-1}(\mathfrak{p})} \rightarrow S_{\mathfrak{p}}$ . Now, as these stalks follow the condition of proposition 1.1.21, the map  $f^\# : \mathcal{O}_{\text{Spec}(R)}(U) \rightarrow \mathcal{O}_{\text{Spec}(R)}(f^{-1}(U))$  is a ring homomorphism for all  $U \subseteq \text{Spec}(R)$ . Hence  $f^\#$  is a morphism of sheaves which induces local rings

Another proof by using distinguished open sets: given the ring homomorphism  $\varphi : R \rightarrow S$  to describe the morphism of sheaves  $f : \text{Spec}(S) \rightarrow \text{Spec}(R)$  it suffices to describe it on distinguished open sets  $D(g)$ , which we know that  $\mathcal{O}_{\text{Spec}(S)}(D(g)) = S_g$ . This defined via:

$$\mathcal{O}_{\text{Spec}(S)}(D(g)) \rightarrow \mathcal{O}_{\text{Spec}(R)}(f^{-1}(D(g))) = \mathcal{O}_{\text{Spec}(R)}(D(\varphi(g)))$$

i.e.  $S_g \rightarrow R_{\varphi(g)}$ . This map is well-defined (independent of the choice of  $g$ ), and hence it shall create a map of sheaves. Finally, by proposition 2.3.14, the stalks are local rings, and it follows without much difficulty that these are local homomorphisms.

Add the tikzcd diagram here, Vakil p.181

2. Let  $(f, f^\#) : \text{Spec}(S) \rightarrow \text{Spec}(R)$  be a morphism of locally ringed spaces with the usual

structure sheaf. By proposition 2.3.5, , the global section induce a ring homomorphism:

$$\varphi : \mathcal{O}_{\text{Spec}(R)}(\text{Spec}(R)) \rightarrow \mathcal{O}_{\text{Spec}(S)}(\text{Spec}(S)) \quad \text{i.e.} \quad \varphi : R \rightarrow S$$

Then certainly for any prime  $\mathfrak{p} \in \text{Spec}(S)$ , the induce map on the stalks  $R_{f^{-1}(\mathfrak{p})} \rightarrow S_{\mathfrak{p}}$  is compatible with  $\varphi$  (i.e. it fits well within a commutative diagram between  $\varphi : R \rightarrow S$  and  $\varphi_{\mathfrak{p}} : R_{f^{-1}(\mathfrak{p})} \rightarrow S_{\mathfrak{p}}$ ).

3. As  $f^{\#}$  is a local homomorphism,  $\varphi^{-1}(\mathfrak{p}) = f^{-1}(\mathfrak{p})$ , hence  $f$  concise with the map  $\text{Spec}(S) \rightarrow \text{Spec}(R)$  induced by  $\varphi$ . Furthermore,  $f^{\#}$  is also induced by  $\varphi$ , since the morphism  $(f, f^{\#})$  of locally ringed spaces comes from the homomorphism  $\varphi$ , as we sought to show.

Schemes are the correct category to be the opposite category of [commutative] rings:

#### Corollary 3.1.4: Equivalence Of Rings And Affine Schemes

The category of rings and the opposite category of affine schemes are equivalent.

Furthermore, the functor  $\text{Spec}$  and  $\Gamma$  (the global section functor) are adjoint.

This shows that schemes are the proper object to capture the information of rings.

**Proof :**  
exercise.

This result will allow us to completely control the morphisms of schemes by looking at ring homomorphisms, namely by reducing a morphism of schemes to a morphism of affine schemes which is then in correspondence with the morphism of affine schemes:

#### Proposition 3.1.5: Scheme Morphism Locally Affine

Let  $\pi : X \rightarrow Y$  be a morphism of schemes. Then it is a morphism of ringed spaces that locally looks like a morphism of affine schemes, that is if  $\text{Spec}(R) \subseteq X$  and  $\text{Spec}(S) \subseteq Y$  where  $\pi(\text{Spec}(R)) \subseteq \text{Spec}(S)$ , then the induced morphism of ringed spaces is a morphism of affine schemes. Conversely, a morphisms of ringed spaces that locally looks like a morphism of affine scheme is a morphism of schemes.

**Proof :**  
exercise.

A common way of constructing schemes is via gluing, hence it is important to have well-defined morphism with glued schemes. The result can work in the slightly more general context of ringed spaces:

**Lemma 3.1.6: Morphisms Defined on Open Sets**

Let  $X, Y$  be two ringed spaces where  $X = \cup_i U_i$  is an open cover for  $X$ . Then if there exists morphism of ringed spaces  $\pi_i : U_i \rightarrow Y$  that agree on overlaps

$$f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$$

then there is a unique morphism of ringed space  $f : X \rightarrow Y$  such that  $f|_{U_i} = f_i$

**Proof :**

Let us first consider an affine open cover  $X = \bigcup_i \text{Spec}(R_i)$  so that we get a restriction  $f_i : \text{Spec}(R_i) \rightarrow Y$ . Then we get the collection of sheaf morphisms

$$\begin{aligned} \varphi_i : f_i^{-1} \mathcal{O}_Y &\rightarrow \mathcal{O}_{\text{Spec}(R_i)} \\ \varphi_i(U) : \mathcal{O}_Y(\lim f(U)) &\rightarrow \mathcal{O}_{\text{Spec}(R_i)}(U) \end{aligned}$$

Now for  $\varphi : f^{-1} \mathcal{O}_Y \rightarrow \mathcal{O}_X$ , for any choice of open subset  $U \subseteq X$  we can define the ring homomorphisms given by the colimit of the appropriate diagram, which shows that we have a well-defined morphism, completing the proof.

**Example 3.2: Morphisms Of Schemes**

1. Recall the map  $\varphi : \mathbb{C}[y] \rightarrow \mathbb{C}[x]$  given by  $y \mapsto x^2$ , which on closed points can be interpreted as  $a \mapsto a^2$ . Let's look at the pullback of functions. Consider  $3/(y-4)$  on  $D((y-4)) = \mathbb{A}^1 \setminus \{4\}$ . The pull-back is  $3/(x^2-4)$  on  $\mathbb{A}^1 \setminus \{-2, 2\}$ .
2. Let  $U \subseteq Y$  be an open subsets of a scheme  $Y$ . Then there is a natural morphism of ringed space

$$(U, \mathcal{O}_Y|_U) \rightarrow (Y, \mathcal{O}_Y)$$

More is true, the above map is an *open embedding*, namely the image of  $U$  under the above map is an isomorphism, where the image is also open in  $Y$ . Later, this will be shown to be an immersion in the geometrical sense, that is the stalks maps are injective (mirroring the tangent-space maps being injective).

3. Show that

$$\mathbb{A}_k^{n+1} \setminus \{0\} \rightarrow \mathbb{P}_k^n \quad (x_0, x_1, \dots, x_n) \mapsto [x_0, x_1, \dots, x_n]$$

is a scheme morphism. It certainly continuous, the harder part is to show that all maps pull-back. Take an affine cover, show it works, and that it respects gluing.

4. Let  $S_\bullet$  be a finitely generated graded  $R$ -algebra. Describe the morphism

$$\text{Proj} S_\bullet \rightarrow \text{Spec}(R)$$

5. Take the map  $\pi : \text{Spec}(\mathbb{C}[x, y]) \rightarrow \text{Spec}(\mathbb{C}[x])$  induced by the inclusion  $\mathbb{C}[x] \hookrightarrow \mathbb{C}[x, y]$ . This map is the projection map, any closed point  $[(x-3, y-a)]$  for all  $a \in \mathbb{C}$  map to  $[(x-3)]$ . What about non-closed points such as  $[(y-x^2)]$ ? Check that this point maps to the generic point  $[(0)]$ !

There are certain scheme morphisms that are quickly classifiable or reducible to understandable cases:

**Proposition 3.1.7: Morphisms to Affine Schemes**

Let  $\mathrm{Hom}_{\mathbf{Sch}}(X, \mathrm{Spec}(R))$  be the collection of morphism of scheme from a scheme  $X$  to an affine scheme  $\mathrm{Spec}(R)$ . Then it is in natural bijection to the ring homomorphisms  $\mathrm{Hom}_{\mathbf{Ring}}(R, \mathcal{O}_X(X))$ :

$$\mathrm{Hom}_{\mathbf{Sch}}(X, \mathrm{Spec}(R)) \cong \mathrm{Hom}_{\mathbf{Ring}}(R, \mathcal{O}_X(X))$$

Furthermore, this is an isomorphism if and only if  $X$  is an affine scheme.

Note that the ring  $\mathcal{O}_X(X)$  can be very complex, even in nice cases where  $X$  is a finite-type  $k$ -scheme, for example it need not be finitely generated.

**Proof :**

If  $X = \mathrm{Spec}(S)$ , then theorem 3.1.3 gives the bijection. Next, map  $\pi : X \rightarrow \mathrm{Spec}(R)$  certainly induces a ring homomorphism  $\varphi : R \rightarrow \mathcal{O}_X(X)$ , we shall show that a ring homomorphism  $\varphi : R \rightarrow \mathcal{O}_X(X)$  induces a unique map  $\pi : X \rightarrow \mathrm{Spec}(R)$  and that this map is the inverse of the above map. By definition of an affine scheme:

$$\pi : \bigcup_i \mathrm{Spec}(S_i) \rightarrow \mathrm{Spec}(R)$$

where we get a restriction map  $\pi_i : \mathrm{Spec}(S_i) \rightarrow \mathrm{Spec}(R)$  that induces a unique map  $\varphi_i : R \rightarrow S_i$ . Then by lemma 3.1.6, this map is unique. By using theorem 2.3.4, we can conclude that only one ring homomorphism can correspond to this map, completing the proof.

Another proof uses stalks. Consider again  $\varphi : R \rightarrow \mathcal{O}_X(X)$ , which we want to induce a scheme morphism. It certainly induces a continuous map in the Zariski topology; call this map  $\pi$ . Pick  $U = \mathrm{Spec} R_f \subseteq \mathrm{Spec}(R) = Y$  and define the map  $\pi^\# : \mathcal{O}_Y(U) \rightarrow (\pi_* \mathcal{O}_X(U))$  given by

$$R_f \rightarrow \mathcal{O}_X(X)_{\varphi(f)} \rightarrow \mathcal{O}_X(\pi^{-1}(U))$$

This gives a map of sheaves. Now, if  $\pi(p) = q$ , then  $\pi^\#$  defines a local map of rings

$$R_q \rightarrow \mathcal{O}_{X,p}$$

hence  $(\pi, \pi^\#)$  is a scheme morphism, and certainly:

$$\pi^\#(Y) = \varphi$$

giving the desired result.

**Exercise 3.1.1**

1. Show that the canonical morphism  $X \rightarrow \mathrm{Spec}(\mathcal{O}_X(X))$  is an isomorphism if and only if  $X$  is a affine.

### 3.1.1 S-Schemes

Recall that all abelian groups can be seen as  $\mathbb{Z}$ -modules, and that  $\mathbb{Z}$  is initial in the category of commutative rings. From this perspective, all ring homomorphism  $\varphi : R \rightarrow S$  are in bijective correspondence with morphisms that make the following diagram commute:

$$\begin{array}{ccc} R & \xrightarrow{\quad} & S \\ & \nwarrow \quad \nearrow & \\ & \mathbb{Z} & \end{array}$$

Then we may generalize the notion of abelian groups to  $R$ -modules by replacing  $\mathbb{Z}$  with a ring  $R$ . We may further augment the morphisms to ring homomorphisms as we are working with commutative rings. This is the motivation to the following generalization; we can generalize the notion of  $R$ -schemes given in definition 2.3.13:

**Definition 3.1.8: S-Scheme**

The category consisting of all schemes  $X$  that have scheme morphisms  $X \rightarrow S$  and whose morphisms are:

$$\begin{array}{ccc} X & \xrightarrow{\quad} & Y \\ & \searrow \quad \swarrow & \\ & S & \end{array}$$

is denoted  $\mathbf{Sch}_S$ , and its objects are called  $S$ -scheme. The morphism of schemes  $X \rightarrow S$  is called the *structure morphism*.

It is called the structure morphism as it adds more structure in the case where  $S = \operatorname{Spec}(R)$ , namely if  $S = \operatorname{Spec}(R)$ , each open subset of  $X$  has the structure of an  $R$ -algebra, and these generalize definition 2.3.13. If  $S = \operatorname{Spec}(R)$ , then we would often say that the  $S$ -scheme (i.e.  $\operatorname{Spec}(R)$ -scheme) is an  $R$ -scheme.

**Example 3.3:  $S$ -scheme**

When working with polynomials, we are often interested in  $k$ -homomorphisms  $k[x] \rightarrow k[x]$  where  $k$  is fixed (or else our morphisms would include field automorphisms, which may be undesirable). We can consider this condition as the following diagram commuting:

$$\begin{array}{ccc} k[x] & \xrightarrow{\quad} & k[x] \\ & \nwarrow \text{id} \quad \nearrow \text{id} & \\ & k & \end{array}$$

This would correspond to  $k$ -scheme maps:

$$\begin{array}{ccc} \operatorname{Spec}(k[x]) & \xleftarrow{\quad} & \operatorname{Spec}(k[x]) \\ & \searrow \quad \swarrow & \\ & \operatorname{Spec}(k) & \end{array}$$

Note the importance of these maps commuting as scheme morphisms and not just topological maps.

**Definition 3.1.9: Locally Finite Type And Finite Type**

Let  $X, Y$  be  $S$ -schemes. Then a morphism  $f : X \rightarrow Y$  is *locally of finite type* if there exists an affine open cover  $V_j = \text{Spec}(B_j)$  of  $Y$  where  $f^{-1}(V_i)$  can be covered by affine open subsets  $U_{ij} = \text{Spec}(A_{ij})$  where  $A_{ij}$  is a finitely generated  $B_i$ -algebra. If furthermore each  $f^{-1}(V_j)$  can be covered by finite number of affine subsets,  $f$  is said to be of *finite type*. A scheme  $X$  is said to be of locally finite type (resp. finite type) over  $S$  if  $f : S \rightarrow X$  is of locally finite type (resp. finite type).

**Proposition 3.1.10: Final Object Of Scheme**

In **Sch**, the scheme  $\text{Spec}(\mathbb{Z})$  is the final object. This makes the category of schemes isomorphic to the category of  $\mathbb{Z}$ -schemes.  
If  $k$  is a field,  $\text{Spec}(k)$  is the final object in the category of  $k$ -schemes.

**Proof :**  
exercise.

**Proposition 3.1.11: Varieties And Schemes**

Let  $\bar{k}$  be an algebraically closed field. Then there exists a fully faithful functor  $\mathbf{Sch}_{\bar{k}} \rightarrow \mathbf{Var}$  where  $\mathbf{Var}$  is the usual category of varieties as defined in [3].

**Proof :**  
See Hartshorne, p.77

Working over  $S$ -schemes shall restrict to much “simpler” cases than over general schemes. For example, if studying complex analysis and complex varieties, we would expect  $\text{Spec}(\mathbb{C})$  to have trivial automorphisms, and that  $\text{Spec}(\mathbb{C}[x]/(x^2 + 1))$

**3.1.2  $\mathbb{Z}$ -valued Points**

Let's say we have the scheme  $\text{Spec } \mathbb{R}[x, y]/(x^2 + y^2 - 1)$  which is the real circle. Then if we are looking for the rational-valued points on the scheme, we are looking for the function:

$$\text{ev}_{a,b} : \frac{\mathbb{R}[x, y]}{(x^2 + y^2 - 1)} \rightarrow \mathbb{Q}$$

mapping  $x \rightarrow a$  and  $y \rightarrow b$  with the property (given by the quotient) that  $a^2 + b^2 = 1$ . Another way of looking at this situation is that we have a collection of functions  $\text{ev} : \mathbb{R}[x, y] \rightarrow \mathbb{Q}$  and we are taking the functions where  $a^2 + b^2 - 1 = 0$ , which is exactly the functions that factor through  $\text{ev}_{a,b} : \frac{\mathbb{R}[x, y]}{(x^2 + y^2 - 1)} \rightarrow \mathbb{Q}$ . Examples of such functions are  $\text{ev}_{1,0}$ ,  $\text{ev}_{\frac{3}{5}, \frac{4}{5}}$  for  $(\frac{3}{5})^2 + (\frac{4}{5})^2 - 1 = 0$

Now, if we change the ring to  $\mathbb{Z}$ , or more generally some (local or global) number ring, we shall get different sets of “legal” evaluation functions. For example, if  $R = \mathbb{Z}$ , then the only evaluation maps

are the 4 maps  $\text{ev}_{\pm 1,0}$  and  $\text{ev}_{0,\pm 1}$ . Hence, we may think of the collection of ring homomorphisms:

$$\text{ev}_{a,b} : \frac{\mathbb{R}[x,y]}{(x^2 + y^2 - 1)} \rightarrow R$$

as representing the solution to an equation. Dualizing this to affine schemes, this is equivalent to asking for a scheme morphism:

$$\text{Spec}(\text{ev}) : \text{Spec}(R) \rightarrow \text{Spec} \frac{\mathbb{R}[x,y]}{(x^2 + y^2 - 1)}$$

For simplicity, let us start with  $R = \mathbb{Q}$ , which as the spectrum of a field has a single element; the generic point  $[(0)]$ . Then as the codomain is an integral domain

$$\text{Spec}(\text{ev}_{\frac{3}{5}, \frac{4}{5}})([(0)]) = \text{ev}_{\frac{3}{5}, \frac{4}{5}}^{-1}((0)) = (0)$$

Hence, all the information come from the sheaf morphism, whose global section gives all the evaluation maps described above. More generally, we may imagine we want to have the values come from a more general object (for ample, we are evaluating points on a torus with values coming from a curve). Hence, we may more generally want to have any scheme morphism  $Z \rightarrow X$  to be considered the points of  $X$  that take on values on  $Z$ . We make this precise in the following definition:

**Definition 3.1.12: Z-valued Points**

Let  $X$  be a scheme. Then the *Z-valued points* of  $X$  are the morphisms  $Z \rightarrow X$ , and is denoted  $X(Z)$ . If  $Z = \text{Spec}(R)$ , then this is often denoted  $X(R)$ .

The reader should be aware that the morphism  $Z \rightarrow X$  is called the “Z-valued point”, and not any particular point in  $Z$  or the image of  $Z$ ; this terminology can be thought of from the following idea: a morphisms of schemes  $X \rightarrow Y$  is not determined by the underlying map of points (the continuous map).

**Proposition 3.1.13: Z-valued Points and Scheme Morphisms**

Let  $X \rightarrow Y$  be a scheme morphism. Show that it is determined by all the maps of Z-valued points, namely given all Z-valued point maps to  $X$  and  $Y$ , we get a unique scheme morphism map

**Proof :**

Here is the high-level: first show that any scheme morphism  $X \rightarrow Y$  induced a map  $X(Z) \rightarrow Y(Z)$ . Then, take  $Z = X$ . Then we have a version of Fonda’s lemma.

This proof may seem trivial, but the idea behind it will become important when looking at cohomological ideas.

**Example 3.4: Z-valued Points**

1. One of the most common example’s is given on  $k$ -schemes over an algebraically closed field  $k$ , which gives a collection of maps  $\varphi : \text{Spec}(k) \rightarrow X$  that map the unique closed point



$x \in \text{Spec}(k)$  to  $\varphi(x) = y \in X$  where on local rings we have

$$k(y) \rightarrow k$$

where we know that the stalk at  $y$  is  $k(y)$  as we may choose an affine open neighborhood of  $y$ ,  $y \in \text{Spec}(S) \subseteq X$ , where  $S$  is a  $k$ -algebra. If  $X$  is of finite type (meaning  $S$  is a finitely generated  $k$ -algebra, and can be represented as  $k[y]$ ), then if  $y$  is closed,  $k(y) = k$ . Hence it is always the case that there exists a natural map  $k(y) \rightarrow k$  from  $\text{Spec}(k)$  to the closed points of a scheme  $X$  (it is even an isomorphism). In fact, we have a one-to-one correspondence:

$$\{\text{Spec}(k) \rightarrow X\} \xrightarrow{\sim} X_{\max}$$

where  $X_{\max}$  is the set of closed points of a scheme  $X$  of finite type over  $k$ . Show this is even a functor.

2. Next, take  $D = k[\epsilon]/(\epsilon^2)$ , the dual numbers. Let us classify all scheme morphisms  $\text{Spec}(D) \rightarrow X$  of finite type over  $k$  (a scheme morphism is of finite type if every affine open subset  $\text{Spec}(S) \subseteq X$  has an affine pre-image,  $\text{Spec}(R) = \varphi^{-1}(\text{Spec}(S))$  whose ring  $R$  is a finitely generated  $S$ -algebra). As  $D$  has a unique maximal ideal  $(\epsilon)$ ,  $\text{Spec}(D)$  has a unique maximal closed point, let's label it  $\bar{o}$ . Then the homomorphism  $D \rightarrow k$  with kernel  $(\epsilon)$  is associated to the canonical embedding  $\iota : \text{Spec}(k) \hookrightarrow \text{Spec}(D)$  with  $\bar{o} = \iota(o)$  (hence the bar notation). Then any morphism  $\varphi : \text{Spec}(D) \rightarrow X$  is in correspondence to the composite-morphism  $\varphi \circ \iota : \text{Spec}(k) \rightarrow X$ , which itself is in correspondence to the closed points of  $X$ . If  $x \in X$  is a closed point, then for appropriate  $\varphi$  we have  $x = \varphi(\bar{o})$ .

Now, for a choice of  $x \in X$ , pick an affine open neighborhood  $x \in \text{Spec}(R) \subseteq X$ , and take  $\text{Hom}_x(\text{Spec}(D), X) \cong \text{Hom}_x(\text{Spec}(D), \text{Spec}(R))$  where  $\text{Hom}_x$  is the collection of scheme morphisms st  $\varphi(\bar{o}) = x$ . Then all these morphisms are determined by a local  $k$ -homomorphism  $f : R \rightarrow D$  where  $f(\mathfrak{m}_x) \subseteq (\epsilon)$  by locality. Then as  $R$ , as a vector-space, is equal to

$$R = k + \mathfrak{m}_x$$

we have that the homomorphism is determined by what it does to  $\mathfrak{m}_x$ , namely it defines some linear transformation  $\mathfrak{m}_x \rightarrow (\epsilon) \cong k$ . As  $\epsilon^2 = 0$ ,  $f(\mathfrak{m}_x^2) = 0$ , and so  $f$  is a *linear function* on  $\mathfrak{m}_x/\mathfrak{m}_x^2$ . Conversely, any function  $f$  extended to be 0 on  $\mathfrak{m}_x^2$  determines a homomorphism  $R \rightarrow D$  taking  $\mathfrak{m}_x$  into  $(\epsilon)$ .

Thus, if  $X$  is a  $k$ -scheme and  $x \in X$ , the set

$$\text{Hom}_x(\text{Spec}(D), X) \cong \mathfrak{m}_x/\mathfrak{m}_x^2 = \Theta_{X,x}$$

Furthermore, if  $f : X \rightarrow X'$  is another morphism, this induces a differential

$$d_x : \Theta_{X,x} \rightarrow \Theta_{X',f(x)}$$

A lot more when it is nicer, on locally closed embeddings, etc. Vakil p.238-240

### 3.1.3 Rational Maps

When working with [quasiprojective] varieties, we had the weaker notion of a rational function that was defined almost everywhere. A rational function was more flexible, allowing for some important

classification results, the definition of Kodaira dimension, study singularities, more invariances by looking at the birational automorphisms, and some “local to global” principles. The following brings these concepts over to schemes

**Definition 3.1.14: Rational Map**

Let  $X, Y$  be schemes. Then a *rational map*  $\pi : X \dashrightarrow Y$ , is an equivalence relation:

$$(\alpha : U \rightarrow Y) \sim (\beta : V \rightarrow Y) \quad \text{if and only if} \quad Z \subseteq U \cap V \text{ s.t. } \alpha|_Z = \beta|_Z$$

where  $U, V, Z$  are all dense open subsets<sup>a</sup>.

If  $X, Y$  are  $S$ -schemes, then rational maps of  $S$ -schemes are defined similarly.

If the image of one of the representatives is dense, then the rational map is said to be *dominant* or *dominating*.

<sup>a</sup>When  $Y$  is separated, we will let  $Z = U \cap V$

Note that a rational map is an equivalence relation, not necessarily defined on a single domain.

**Definition 3.1.15: Birational Map**

A rational map  $\pi : X \dashrightarrow Y$  is *birational* if it is dominant, and it has a dominant rational inverse. Two schemes  $X, Y$  are *birationally equivalent* if there is a birational map  $\pi : X \dashrightarrow Y$ .

**Example 3.5: Rational Map**

1. A scheme morphism always gives a rational map, similarly to how all regular functions are special rational functions
2. The projection  $\mathbb{P}_R^n \dashrightarrow \mathbb{P}_R^{n-1}$  projecting down a dimension is a rational map (recall the same reasoning for rational functions in [3, chapter 22.3])

**Lemma 3.1.16: Dominating Rational Map on Irreducible Schemes**

Let  $\pi : X \dashrightarrow Y$  be a rational map between irreducible schemes. Then  $\pi$  is dominating iff it sends the generic point of  $X$  to the generic point of  $Y$ .

**Proof :**

recall the properties of generic points in irreducible schemes.

We may naturally want to have a result that is similar to the correspondence of rational functions and field extensions as given in [3]. The full correspondence requires irreducible varieties (integral finite type  $k$ -schemes), however we may at least always induce a map of function fields:

**Proposition 3.1.17: Rational Maps of Integral Schemes induces Function Field Map**

The collection of integral schemes with dominant maps induces morphisms of function fields in the opposite direction.

**Proof :**

First show that they form a category (importantly, composition works). Then think of how to create this correspondence.

**Corollary 3.1.18: Birational Map on Irreducible Schemes**

Let  $\pi : X \dashrightarrow Y$  be a birational map between irreducible schemes. Then the induced map of function fields is an isomorphism.

For the converse failing

**Example 3.6: Function Field Not Inducing Rational Map**

Take  $\text{Spec}(k[x])$  and  $\text{Spec}(k(x))$  which have the same function field  $k(x)$ . Then certainly there is no corresponding rational map

$$\text{Spec } k[x] \dashrightarrow \text{Spec } k(x)$$

of  $k$ -schemes, as such a morphism would correspond to a morphism from  $U \subseteq \text{Spec } k[x]$  (ex.  $U = \text{Spec } k[x, 1/f(x)]$ ) to  $\text{Spec } k(x)$ , but no map of rings  $k(x) \rightarrow k[x, 1/f(x)]$  satisfies the right properties for any  $f(x)$ .

**Proposition 3.1.19: Reduced Schemes and Birational Maps**

Let  $X, Y$  be reduced schemes. Then  $X$  and  $Y$  are birational if and only if there exists a dense open subscheme  $U \subseteq X$  and  $V \subseteq Y$  such that

$$U \cong V$$

**Proof :**

Generalize the proof from [3] (If stuck, look at Vakil p. 190)

Vakil looks here at rational maps of irreducible varieties that look like translating the results over from varieties, so I will omit for now

I like his translation of the Pythagorean problem into a scheme problem

non-rational complex curve, cool presentation

### 3.1.4 Maps on Projective Schemes

I will definitely return to this

### 3.1.5 Group Schemes

I'm putting this here because I looked into algebraic groups and so I want a place to put it down

#### Exercise 3.1.2

1. If  $\varphi : A \rightarrow B$  is a ring homomorphism, then the corresponding morphism  $\text{Spec}(B) \rightarrow \text{Spec}(A)$  is dominant if and only if  $\ker \varphi$  is contained in the nilradical of  $A$ .

## 3.2 Fibered Product and Fibers

already saw gluing schemes, disjoint union of schemes, and taking subsets. We move on to the other constructions requiring morphisms

### Theorem 3.2.1: Fibered Product Of Schemes

Let  $X, Y$  be schemes over  $S$ . Then the fibered product of  $X$  and  $Y$  over  $S$  exists, that is the limit of the diagram exists:

$$\begin{array}{ccc} X & & Y \\ & \searrow & \swarrow \\ & S & \end{array}$$

The fibered product is denoted  $X \times_S Y$

More commonly, we would say that the fibered product satisfies the universal property that if  $Z \rightarrow X$  and  $Z \rightarrow Y$  commutes in the diagram, then there exists a unique morphism  $Z \rightarrow X \times_S Y$  such that

$$\begin{array}{ccccc} & & Z & & \\ & \swarrow & \downarrow & \searrow & \\ & X \times_S Y & & & \\ & \swarrow & & \searrow & \\ X & & & & Y \\ & \searrow & & \swarrow & \\ & S & & & \end{array}$$

commutes. If  $S$  is the final object, or more concisely it is empty or “doesn’t matter”, then we have the usual product. If  $S$  is not specified, the product shall be defined as  $X \times Y := X \times_{\text{Spec}(\mathbb{Z})} Y$

#### **Proof :**

We shall construct the products of affine schemes, and then glue them together.

Let  $X = \text{Spec}(A)$ ,  $Y = \text{Spec}(B)$ , and  $S = \text{Spec}(R)$  be affine. Then  $A, B$  are  $R$ -algebras, and the product of  $X$  and  $Y$  over  $S$  is:

$$\text{Spec}(A \otimes_R B)$$

This comes from the universal property of tensor products along with the property of adjoints.

Indeed, if  $Z \rightarrow \operatorname{Spec}(A \otimes_R B)$  is a scheme morphism, then this gives a ring homomorphism  $A \otimes_R B$  into  $\mathcal{O}_Z(Z)$ . By the universal property of tensor products, a morphism of  $A \otimes_R B$  into is the same as a morphism from  $A$  and  $B$  to that ring. Then by proposition 3.1.7, this gives a morphism of  $Z$  into  $\operatorname{Spec}(A \otimes_R B)$ , and this morphism will satisfy the universal property of fibered products given by the universal property of tensor products.

Let us now construct the more general case via gluing. Let  $X, Y$  be schemes. To do this, we shall reduce to a covering of  $X \times_S Y$ . For any open affine  $U \subseteq X$ , if the product existed then  $\pi_1^{-1}(U) \cong U \times_S Y$  (check this<sup>a</sup>). Now if  $\{X_i\}$  is an open covering for  $x$ , then I claim that if  $X_i \times_S X$  exists, so does  $X \times_S Y$ . Indeed, for each  $U_{ij} = \pi_1^{-1}(X_i) \subseteq X_i \times_S Y$ , by the uniqueness of the product there are unique isomorphisms:  $\varphi_{ij} : U_{ij} \rightarrow U_{ji}$  for each  $i, j$  for compatible projections. Furthermore, these isomorphisms are compatible with the gluing condition (as you should check if you are not convinced!). As a remind, the condition was:

$$\varphi_{ik}|_{X_{ij} \cap X_{ik}} = \varphi_{jk} \circ \varphi_{ij}|_{X_{ij} \cap X_{ik}}$$

Thus, we get that we may glue them together by lemma 3.1.6 we have that this glued scheme is isomorphic to  $X \times_S Y$ , and through this isomorphism we can check that the universal property is satisfied.

By interchanging  $X$  and  $Y$ , we can now conclude from the proof on affine schemes that if  $X$  and  $Y$  are any schemes, and  $S$  is an affine, then  $X \times_S Y$  exists. To show that  $S$  can be an arbitrary scheme, let  $\{S_i\}$  be an open affine cover of  $S$ . Let  $\alpha : X \rightarrow S$  and  $\beta : Y \rightarrow S$  be two scheme morphisms, and let  $X_i = \alpha^{-1}(S_i)$ ,  $Y_i = \beta^{-1}(S_i)$ . Then  $X_i \times_{S_i} Y_i$  is well-defined.

Now, what's key is that this scheme is the same as the scheme  $X_i \times_S Y$ . To see this, we must invoke the uniqueness of the universal property, and indeed if  $f : Z \rightarrow X_i$  and  $g : Z \rightarrow Y$  are scheme morphisms over  $S$ , by the properties of the commutative diagram we must have that the image of  $v$  must land inside  $Y_i$ . But by universality they must be equal. Thus  $X_i \times_S Y$  is well-defined for each  $i$ , and so by the above argument it glues to create  $X \times_S Y$ , as we sought to show.

<sup>a</sup>If  $f : Z \rightarrow U$ , compose with the inclusion map and invoke the appropriate universal properties

This gives a huge class of new object's that we may define. Let us start with defining fibers:

### Definition 3.2.2: Fibers

Let  $f : X \rightarrow Y$  be a morphism of scheme. Let  $y \in Y$ , and  $k(y)$  be the residue field of  $y$ . Let  $\operatorname{Spec}(k(y)) \rightarrow Y$  be the natural morphism<sup>a</sup>. Then the *fiber* of the morphism  $f$  over the point  $y$  is the scheme

$$X_y = X \times_Y \operatorname{Spec}(k(y))$$

In the case where  $X = \operatorname{Spec}(R), Y = \operatorname{Spec}(S)$  are affine, then for a point  $\mathfrak{p} \in Y$ :

$$f^{-1}(\mathfrak{p}) = \operatorname{Spec}(\kappa(\mathfrak{p})) \times_Y X = \operatorname{Spec}(R_{\mathfrak{p}}/\mathfrak{m}_{\mathfrak{p}} \otimes_S R) = \operatorname{Spec}(R_{\mathfrak{p}}/\mathfrak{m}_{\mathfrak{p}} \otimes_S R/\mathfrak{p}R)$$

<sup>a</sup>exercise ref:HERE

**Proposition 3.2.3: Fiber Property**

Let  $f : X \rightarrow Y$  be a morphism of schemes,  $y \in Y$ , and  $X_y$  the fiber of  $f$  over  $y$ . Then  $X_y$  is homeomorphic to  $f^{-1}(y) \subseteq X$ .

If this is unclear, take  $\mathbb{A}_{\mathbb{C}}^2 \times_{\mathbb{A}_{\mathbb{C}}^1} \text{Spec}(k(y))$  for some point  $y \in \mathbb{A}_{\mathbb{C}}^1$ .

**Proof :**  
exercise.

In some ways, fibers of schemes are more “regular” than fibers of sets, as the extra information will provide some very insight:.

**Example 3.7: Fibers**

1. Take the familiar projection of the parabola onto the  $x$  axis induced by the ring map  $\mathbb{Q}[x] \rightarrow \mathbb{Q}[y]$  mapping  $x \mapsto y^2$ . For computations, it will be easier to write  $\mathbb{Q}[x, y]/(y^2 - x)$  and appropriately update the morphism. Then the pre-image of 1 is:

$$\begin{aligned} \text{Spec } \mathbb{Q}[x, y]/(y^2 - x) \otimes_{\mathbb{Q}[x]} \mathbb{Q}[x]/(x - 1) &\cong \text{Spec } \mathbb{Q}[x, y]/(y^2 - x, x - 1) \\ &\cong \text{Spec } (\mathbb{Q}[y]/(y^2 - 1)) \\ &\cong \text{Spec } (\mathbb{Q}[y]/(y - 1)) \sqcup \text{Spec } (\mathbb{Q}[y]/(y + 1)) \end{aligned}$$

The pre-image of 0 is:

$$\text{Spec } \mathbb{Q}[x, y]/(y^2 - x, x) \cong \text{Spec } \mathbb{Q}[y]/(y^2)$$

The pre-image of  $-1$  exists! It is:

$$\text{Spec } \mathbb{Q}[x, y]/(y^2 - x, x + 1) \cong \text{Spec } \mathbb{Q}[y]/(y^2 + 1) \cong \text{Spec } \mathbb{Q}[i] = \text{Spec } \mathbb{Q}(i)$$

This can be thought of as “size 2” point over the base field. The pre-image of the generic point is a reduced point, and can be thought of as “size 2” over the residue field:

$$\text{Spec } \mathbb{Q}[x, y]/(y^2 - x) \otimes_{\mathbb{Q}[x]} \mathbb{Q}(x) \cong \text{Spec } \mathbb{Q}[y] \otimes_{\mathbb{Q}[y]} \mathbb{Q}(y^2)$$

Try working through the pre-image of other points that are not commonly associated to  $\mathbb{Q}$ . Notice that the pre-image is the two points, or you get nonreduced behaviour that is “of degree 2” or you get a field extension of degree 2.

2. Find the fibers of  $\text{Spec } \mathbb{Z}[i] \rightarrow \text{Spec } \mathbb{Z}$  over different points.
3. As  $X \rightarrow \text{Spec } (\mathbb{Z})$  is always well-defined and unique, there is always fibers over  $\text{Spec } (\mathbb{Z})$  and hence they are usually given a name: The fiber over  $(0)$  is often labeled  $X_{\mathbb{Q}}$  (over  $\mathbb{Q}$ ), and the fibers for all the primes are  $X_p$  (over  $\mathbb{F}_p$ ). A common terminology is that  $X_p$  arises by *reduction mod  $p$*  of the scheme  $X$ .

On p. 260 of Vakil he gives more on general fibers, generic fibers, and generically finite morphisms

The reader may recall that we used tensor products to extend  $R$ -modules to  $S$ -modules and called it a *base change*. We can now motivate this terminology. If we have collection  $S$ -scheme, i.e. scheme morphisms  $X \rightarrow S$ , then we may want to change the “base”  $S$  to another scheme  $S'$ . This would be done by a *base change map*  $S \rightarrow S'$ . The resulting new  $S'$ -scheme would be  $X' = X \times_S S'$ . More generally

**Definition 3.2.4: Scheme-theoretic Pullback**

Let  $Y \rightarrow Z$  and  $X \rightarrow Z$  be scheme morphisms. Then the map  $X \times_Z Y \rightarrow X$  is called the *scheme-theoretic pullback* of  $Y$  (or just pullback if it is clear we are working with schemes).

**Example 3.8: Parameterization of Curves using Schemes**

Take

$$X = \operatorname{Spec} \frac{k[x, y, t]}{(ty - x^2)}$$

$$Y = \operatorname{Spec} k[t]$$

Let  $f : X \rightarrow Y$  be given by the natural ring homomorphism  $k[t] \rightarrow \frac{k[x, y, t]}{(ty - x^2)}$ . Note both these schemes are integral schemes of finite type over  $k$ .

Now, identify the closed points of  $Y$  with  $k$ . For  $a \neq 0 \in k$ , we get the fibers correspond to the parabola's  $ay = x^2$  or  $y = x^2/a$ . All of these are irreducible, reduced curves. At  $a = 0$ , we get  $x^2 = 0$ , which is a reduced scheme.

More generally,  $S$ -schemes can be geometrically interpreted as schemes parameterized by the points of  $S$  whose maps are fiber-bundle morphisms.

The fiber product can be quite strange:

**Example 3.9: Strange Fibered Products**

1. Show that  $\operatorname{Spec} (\mathbb{Z}/m\mathbb{Z}) \times_{\operatorname{Spec} (\mathbb{Z})} \operatorname{Spec} (\mathbb{Z}/n\mathbb{Z}) = \emptyset$ .
2. Another product of taking the “absolute” fibered product is that if  $X = \operatorname{Spec} \mathbb{Z}[x]$  and  $Y = \operatorname{Spec} \mathbb{Z}[y]$  then:

$$\dim X \times Y = \dim(X) + \dim(Y) - \dim(\operatorname{Spec} \mathbb{Z}) = \dim X + \dim Y - 1$$

3. Show that the fibered product of  $\mathbb{C}$  and  $\mathbb{C}$  over  $\mathbb{R}$  is in fact the disjoint union  $\operatorname{Spec} (\mathbb{C}) \sqcup \operatorname{Spec} (\mathbb{C})$ . More generally show that if  $L/K$  is a finite galois extension, the  $nL \otimes_K L$  is isomorphic to  $L^{|G|}$  ( $|G|$  copies of  $L$ ), hint: this is the linear independence of characters proof in geometric form.

If we restrict to  $k$ -schemes for a field  $k$ , these two pathologies do not occur.

As these examples show, the fibered product of two schemes need not be the schemes of these two fibered products. In a concrete sense, this is because we must be more specific with what types of

points we are dealing with. If we specify the points, we in fact recover the usual “sets”<sup>1</sup>.

**Proposition 3.2.5: Fibered Product With  $Z$ -valued Points**

Let  $X, Y$  be schemes and take  $Z$ -valued points on each scheme (i.e. morphisms in  $\text{Hom}(Z, X)$  and  $\text{Hom}(Z, Y)$ ). Then show that the fibered product will be:

$$\text{Hom}(W, X \otimes_W Y) = \text{Hom}(Z, X) \times_{\text{Hom}(Z, W)} \text{Hom}(Z, Y)$$

**Proof :**  
exercise.

Put an appropriate definition here for the following

Hence, given a surjective map  $Y \rightarrow X$ ,  $Y$  can be regarded as a collection of schemes parameterized by  $X$ . Conversely, we may want to study a scheme  $X_0$ , and see if there are some deformations we may want to do. Then a common way of defining a *family of algebraic deformation* is by a scheme morphisms  $f : X \rightarrow Y$  where one of the fibers of  $f$  is isomorphic to  $X_0$ .

Some more here on representable Functors

### 3.2.1 Product of Projective Scheme: Serge Embedding

I will elaborate on this later, these are good exercises

#### Exercise 3.2.1

1. Let  $\varphi : X \rightarrow Y$  be a morphism of  $S$ -scheme of finite type. Show that if  $S \rightarrow S'$  is any base extension,  $\varphi' : X' \rightarrow Y'$  is an  $S'$ -scheme morphism preserves finite type.
2. Let  $\varphi : X \rightarrow Y$  be a scheme morphism of integral schemes. Show the fibers needn't be irreducible or reduced.
3. Let  $\mathfrak{p} \in X$  be a point in a scheme. Show there is a canonical map

$$\text{Spec}(\mathcal{O}_{X, \mathfrak{p}}) \rightarrow X$$

4. Using the above, define a canonical morphism  $\text{Spec}(\kappa(\mathfrak{p})) \rightarrow X$ .
5. Perhaps put here some base-change exercises relating the tensor product exercises you had in [3] and now give them their geometric counter-part.
6. Let  $\varphi : B \rightarrow A$  be a ring morphism,  $S \subseteq B$  a multiplicative subset, so  $\varphi(S)$  is a multiplicative subset of  $A$ . Show that  $\varphi(S)^{-1}A \cong A \otimes_B (S^{-1}B)$ . What does this imply for the relation between localization and the fibered product?
7. Let  $X$  be a  $k$ -scheme. Show that if  $L/k$  is a field extension,  $X \otimes_{\text{Spec}(k)} \text{Spec}(L)$  is an  $L$ -scheme. Show that this in fact gives a functor (define the appropriate maps, and verify).

<sup>1</sup>The topological product can also be thought of as being over the right valued points



### 3.3 Types of Morphism

Many of these concepts are the generalizations of the types of schemes covered so far. All of these concepts can be expressed in terms of morphisms.

Go over this entire section and do the exercises

As schemes are rather general notions, so to scheme morphisms can be very general. The following notions are guiding principles presented by Vakil on what a good type of morphism should have. This terminology will be very useful in labeling many of the following lemmas and propositions. Let's say that  $C$  is a collection of morphisms between schemes. Then:

1. it is *local on target* if
  - (a)  $\pi : X \rightarrow Y$  is in the class, then for any open subset  $V \subseteq Y$ , the restricted homomorphism  $\pi^{-1}(V) \rightarrow V$  is in the class
  - (b)  $\pi : X \rightarrow Y$  is a morphism,  $\cup_i V_i = Y$  is an open cover where each  $\pi^{-1}(V_i) \rightarrow V_i$  is in this class, then  $\pi$  is in this class

Usually we consider affine opens, but it need not be the case.

2. is *local on source* if there is
  - (a)  $\pi : X \rightarrow Y$  is in the class, then for any open subset  $U \subseteq X$ , the restricted homomorphism  $U \rightarrow \pi(U)$  is in the class
  - (b)  $\pi : X \rightarrow Y$  is a morphism,  $\cup_i U_i = X$  is an open cover where each  $U_i \rightarrow \pi(U_i)$  is in this class, then  $\pi$  is in this class
3. it is closed under composition
4. it is closed under fibered products (Go over exercise 9.4.B in Vakil, p.262. In general, that section can be put here)
  - (a) As a special case, is closed under change of basis from an  $S$ -scheme to a  $T$ -scheme

Let us start with finding the most natural sub-objects:

#### Definition 3.3.1: Open Embedding

a map of schemes  $\pi : X \rightarrow Y$  is an open embedding if:

$$(X, \mathcal{O}_X) \xrightarrow{\sim} (U, \mathcal{O}_Y|_U) \hookrightarrow (Y, \mathcal{O}_Y)$$

Each open subscheme is naturally an open embedding. It is easy to verify it satisfies the above conditions, and furthermore the fibered product condition would be  $U \times_Z V \cong U \cap V$ .

#### Definition 3.3.2: Quasicompact Morphism

Let  $\pi : X \rightarrow Y$  be a morphism of scheme. Then  $\pi$  is *quasicompact* if every open affine subset  $U \subseteq Y$  has quasicompact pre-image, that is  $\pi^{-1}(U)$  is quasicompact.

**Example 3.10: Quasicompact Morphism**

1. Any scheme morphism of affine schemes is quasicompact.
2. (give a non-affine example)
3. (give a non-quasicompact example, or at least outline it)

**Definition 3.3.3: Quasiseperated Morphism**

Let  $\pi : X \rightarrow Y$  be a morphism of scheme. Then  $\pi$  is *quasiseperated* if every open affine subset  $U \subseteq Y$  has quasicompact pre-image, that is  $\pi^{-1}(U)$  is a quasiseperated scheme (see definition 3.6.3)

**Example 3.11: Quasiseperated Morphism**

1. here

**Definition 3.3.4: Affine Morphism**

Let  $\pi : X \rightarrow Y$  be a morphism of schemes. Then  $\pi$  is *affine* if for every affine open subset  $U \subseteq Y$ ,  $\pi^{-1}(U)$  is an affine scheme (interpreted as an open subscheme of  $X$ )

This condition would be nice to be affine-local on target. It takes some build-up:

**Lemma 3.3.5: Affine Morphisms and Qcqs**

Let  $\pi : X \rightarrow Y$  be an affine morphism. Then it is quasicompact and quasiseperated.

**Proof :**

recall that affine schemes are quasiseperated.

**Lemma 3.3.6: Qcqs Lemma**

Let  $X$  be a quasicompact and quasiseperated scheem and  $s \in \mathcal{O}_X(X)$ . Then

$$\mathcal{O}_X(X)_s \cong \mathcal{O}_X(X_s)$$

This theorem can be thought of as the generalization of the result where  $X = \text{Spec}(R)$ , we we already know that:

$$\mathcal{O}_{\text{Spec}(R)}(\text{Spec}(R))_s \cong \mathcal{O}_{\text{Spec}(R)}(\text{Spec}(R)_s) = \mathcal{O}_{\text{Spec}(R)}(D(s))$$

**Proof :**

As  $X$  is quasicompact, cover it with finitely many affine open sets  $U_i = \text{Spec } R_i$ . take  $U_{ij} = U_i \cap U_j$ . Then the following is exact

$$0 \rightarrow \mathcal{O}_X(X) \rightarrow \prod_i R_i \rightarrow \prod_{i,j} \mathcal{O}_X(U_{ij})$$

As  $X$  is quasiseparated, each  $U_{ij}$  is covered by finitely many affine open sets  $U_{ijk} = \text{Spec}(R_{ijk})$  so that the following is exact:

$$0 \rightarrow \mathcal{O}_X(X) \rightarrow \prod_i R_i \rightarrow \prod_{(i,j),k} R_{ijk}$$

Now, localization (being an exact functor) gives:

$$0 \rightarrow \mathcal{O}_X(X)_s \rightarrow \left( \prod_i R_i \right)_s \rightarrow \left( \prod_{(i,j),k} R_{ijk} \right)_s$$

which is still exact. As localization commutes with finite products (importance on finiteness), we get for appropriate values:

$$0 \rightarrow \mathcal{O}_X(X)_s \rightarrow \prod_i (R_i)_{s_i} \rightarrow \prod_{i,j,k} (R_{ijk})_{s_{ijk}}$$

which again is still exact, where the  $s_i$  and  $s_{ijk}$  is the restriction to the rings  $R_i$  and  $R_{ijk}$ . Now, the scheme  $X_s$  can be covered by the affine open sets  $\text{Spec}(R_i)_{s_i}$  and  $\text{Spec}(R_i)_{s_i} \cap \text{Spec}(R_{ijk})_{s_{ijk}}$  giving almost the same exact sequence:

$$0 \rightarrow \mathcal{O}_X(X_s) \rightarrow \prod_i (R_i)_{s_i} \rightarrow \prod_{i,j,k} (R_{ijk})_{s_{ijk}}$$

Hence, the kernel of the map

$$\mathcal{O}_X(X)_s \rightarrow \mathcal{O}_X(X_s)$$

is the same, and we get an isomorphism.

### Proposition 3.3.7: Affine Morphisms Are Affine-local On Target

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is an affine morphism if and only if there is a affine open cover  $\cup_i U_i = Y$  such that  $\pi^{-1}(U_i)$  is affine.

**Proof :**

We shall want to apply the affine communication lemma. For that, we must show the conditions are satisfied. First, suppose  $\pi : X \rightarrow Y$  is affine over  $\text{Spec}(S)$  so that  $\pi^{-1}(\text{Spec}(S)) = \text{Spec}(R)$ . Then notice that for any  $s \in S$ :

$$\pi^{-1}(\text{Spec}(S_s)) = \text{Spec}(R)_{\pi^\# s}$$

showing the first condition. For the next, suppose that  $\pi : X \rightarrow \text{Spec}(S)$  and  $(s_1, \dots, s_n)$  with

$X_{\pi^\# s_i} = \text{Spec}(R_i)$ . We'll show that  $X$  is affine as well. To that end, let  $R = \mathcal{O}_X(X)$ . Then  $X \rightarrow \text{Spec}(S)$  factors through  $\alpha : X \rightarrow \text{Spec}(R)$  (given by the isomorphism  $R \rightarrow \mathcal{O}_X(X)$ ), giving us:

$$\begin{array}{ccc} \bigcup_i X_{\pi^\# s_i} & \xrightarrow{\alpha} & \text{Spec}(R) \\ & \searrow \pi & \swarrow \beta \\ & \bigcup_i D(s_i) = \text{Spec}(S) & \end{array}$$

Then, as we are working with scheme morphisms, the pre-image of a distinguished open set is a distinguished open set and so

$$\beta^{-1}(D(s_i)) = D(\beta^\#(s_i)) \cong \text{Spec } R_{\beta^\# s_i}$$

and  $\pi^{-1}(D(s_i)) = \text{Spec}(R_i)$ . Now, as  $X$  is quasicompact and quasiseparated, by the Qcqs lemma we have the isomorphism:

$$A_{\beta^\# s_i} = \mathcal{O}_X(X)_{\beta^\# s_i} = \mathcal{O}_X(X_{\beta^\# s_i}) = R_i$$

Hence,  $\alpha$  induces an isomorphism  $\text{Spec}(R_i) \cong \text{Spec}(R_{\beta^\# s_i})$ , and so  $\alpha$  is an isomorphism over each  $\text{Spec}(R_{\beta^\# s_i})$ , which covers  $\text{Spec}(R)$ , and hence  $\alpha$  is an isomorphism, and so  $X \cong \text{Spec}(R)$ , completing the proof.

#### Corollary 3.3.8: Hypersurfaces and Affine Morphism

Let  $X$  be a closed subset of  $\text{Spec}(R)$  which is locally cut out by one equation ( $\text{Spec}(R)$  is covered by open subsets  $Z$  which are each given by the quotient of one equation). Then the complement of  $Z$ ,  $Y$ , is affine

(note: in the global case,  $Y = \text{Spec}(R_f)$ , but  $Y$  need not be of this form for the local case)

**Proof :**  
exercise.

#### Example 3.12: Affine Morphisms

1. Let  $L/K$  be a field extension. Then  $\text{Spec}(K) \rightarrow \text{Spec}(L)$  is an affine morphism.

The next type of morphisms are finite morphisms.

#### Definition 3.3.9: Finite Morphism

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is said to be *finite* if for every affine open set  $\text{Spec}(S) \subseteq Y$ ,  $\pi^{-1}(\text{Spec}(S))$  is the spectrum of a  $S$ -algebra that is finitely generated as a  $S$ -module.

Naturally, all finite morphisms are finite morphisms. Note how being a finitely generated  $S$ -module is a rather stronger condition than being a finitely generated  $S$ -algebra, consider  $k[x]$  over  $k$ .

**Proposition 3.3.10: Finite Morphism Affine Local On Target**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then it is finite if there is an affine cover  $\bigcup_i \text{Spec}(S_i) = Y$  such that  $\pi^{-1}(\text{Spec}(S_i))$  is the spectrum of a finite  $S_i$ -algebra.

**Proof :**  
exercise.

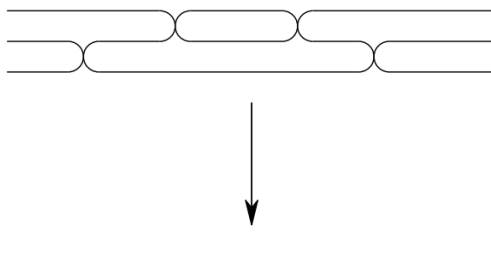
**Proposition 3.3.11: Finite Morphism Composition Closed**

The composition of two finite morphisms is finite

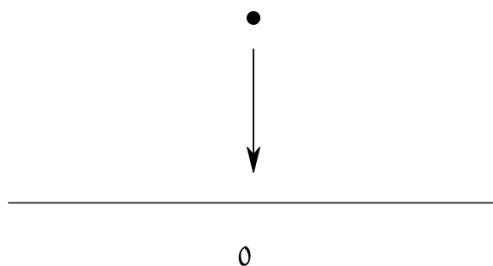
**Proof :**  
exercise.

**Example 3.13: Finite Morphism**

1. If  $L/K$  is a finite extension,  $\text{Spec}(K) \rightarrow \text{Spec}(L)$  is a finite morphism.
2. Take the map  $\text{Spec } k[t] \rightarrow \text{Spec } k[u]$  given by  $u \mapsto p(t)$  for some degree  $n$  polynomial  $p(t) \in k[t]$ . Then this map is finite: notice that  $k[t]$  is generated as a  $k[u]$ -module by  $1, t, \dots, t^{n-1}$ . This can be visualized as:



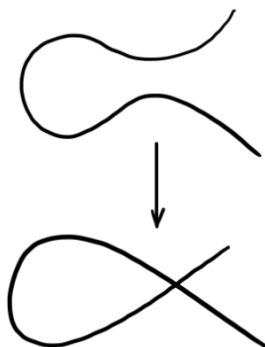
3. Let  $I \subseteq R$  be an ideal and take the natural schemes and map  $\text{Spec}(R/I) \rightarrow \text{Spec}(R)$ . Then this is a finite morphism, as  $R/I$  is generated as an  $R$ -module by  $1 \in R/I$ . This can be visualized as:



4. Take  $\text{Spec } k[t] \rightarrow \text{Spec } \frac{k[x,y]}{(y^2-x^2-x^3)}$  corresponding to the ring map given by:

$$x \mapsto t^2 - 1 \quad y \mapsto t^3 - t$$

Namely, this shows this curve is rational. This is a finite morphism, since  $k[t]$  is generated as a  $\frac{k[x,y]}{(y^2-x^2-x^3)}$ -module by 1 and  $t$ . This can be visualized as:



As the reader may have noticed, there is only one point that is 2-to-1. The reader should check that this will induce an isomorphism between  $D(t^2 - 1)$  and  $D(x)$ , i.e. if the node is removed there is an isomorphism. This shall come up many times as an example of normalizing a curve.

5. Let  $X \rightarrow \text{Spec } k$  be a scheme morphism. Show that if the morphism is finite,  $X$  is the finite union of points with the discrete topology, each point having as residue field a finite extension of  $k$ . This can be visualized as:



Notice that all the fibers were finite. This is no happenstance of the chosen examples:

**Proposition 3.3.12: Finite Morphism is Finite-to-one**

Let  $\pi : X \rightarrow Y$  be a finite morphism. Then its fibers are finite

**Proof :**

exercise. (Vakil p.214 for hint if you're stuck)

The converse is not the case

**Example 3.14: Finite Fibers But Not Finite Morphisms**

The open embedding  $\mathbb{A}_k^2 \setminus \{(0,0)\} \hookrightarrow \mathbb{A}_k^2$  has finite fibers (they are one-to-one), but it is not affine (as  $\mathbb{A}_k^2 \setminus \{(0,0)\}$  is not affine).

It is also possible to have finite fibers and affine, but not finite: Take  $\mathbb{A}_{\mathbb{C}}^1 \setminus \{0\} \hookrightarrow \mathbb{A}_{\mathbb{C}}^1$  (intuitively, localizing is “changing perspectives” to the infinitesimal).

It looks important to add 7.3.I to get a grasp on finite morphisms to affine schemes relation to projective scheme

**Definition 3.3.13: Integral Morphism**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. The map is an *integral morphism* if  $\pi$  is affine, and for every affine open subset  $\text{Spec}(S) \subseteq Y$ , where  $\pi^{-1}(\text{Spec}(S)) = \text{Spec}(R)$ , the induced map  $S \rightarrow R$  is an integral extension.

As integral extensions must be finitely generated over the source ring, an integral morphism is a finite morphism, however an integral morphism need not be finite (think  $\text{Spec } \overline{\mathbb{Q}} \rightarrow \text{Spec } \mathbb{Q}$ ). It is further easy to verify that the composition of integral maps is integral.

**Proposition 3.3.14: Integral Morphisms Affine-local On Target**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is an integral morphism if and only if there is a affine open cover  $\cup_i U_i = Y$  such that we get integral extension for each pre-image.

**Proof :**  
exercise.

**Proposition 3.3.15: Integral Morphism Are Closed Maps**

Let  $\pi : X \rightarrow Y$  be a Integral morphism. Then it is a closed map: it maps closed subsets to closed subsets

As a consequence, finite morphisms are closed

**Proof :**  
idea: reduce first to ring map., and consider the induced map. Now take  $I \subseteq R$  and  $J = (\pi^\#)^{-1}(I)$  and consider the integral extension  $S/J \subseteq A/I$ . Apply the Lying Over theorem.

**Definition 3.3.16: Locally Finite Type Morphism**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is said to be *locally of finite type* if for every affine open subset  $\text{Spec}(S) \subseteq Y$ , and every affine open subset  $\text{Spec}(R) \subseteq \pi^{-1}(\text{Spec}(S))$ , the induced morphism  $S \rightarrow R$  makes  $R$  a finitely generated  $S$ -algebra. Equivalently,  $\pi^{-1}(\text{Spec}(S))$  can be covered by affine open subsets  $\text{Spec}(R_i)$  where each  $R_i$  is a finitely generated  $S$ -algebra.

**Definition 3.3.17: Locally Finite Type Morphism**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is said to be *of finite type* if it is locally of finite type and quasicompact.

**Proposition 3.3.18: Locally Finite Type Morphism Affine-local On Target**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is locally of finite type (resp. of finite type) if there is a cover of  $Y$  by affine open sets  $\text{Spec}(S_i)$  such that  $\pi^{-1}(\text{Spec}(S_i))$  is locally finite type over  $S_i$ .

**Proof :**  
exercise.

**Example 3.15: Finite Type Morphisms**

1. All Schemes that are finite type over  $k$  are example of finite type scheme morphisms  $X \rightarrow \text{Spec } k$



2. The map  $\mathbb{P}_R^n \rightarrow \operatorname{Spec} R$  is of finite type ( $\mathbb{P}_R^n$  is covered by  $n + 1$  open sets)

**Proposition 3.3.19: Integral and Finite Type iff Finite**

1. finite morphisms are of finite type
2. A morphism is finite if it is integral and of finite type

**Proof :**  
exercise.

**Definition 3.3.20: Quasifinite Morphism**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is said to be *quasifinite* if it is of finite type, and for each  $\mathfrak{q} \in Y$ ,  $\pi^{-1}(\mathfrak{q})$  is a finite set.

By proposition 3.3.12 and proposition 3.3.19(1), finite morphisms are quasifinite. The converse is not true (think of  $\mathbb{A}_k^2 \setminus \{(0, 0)\} \rightarrow \mathbb{A}_k^2$ ). The finite type condition is necessary, there exists morphisms with finite fibers that are not quasifinite

**Example 3.16: Finite Fibers, But Not Quasifinite**

The morphism  $\operatorname{Spec}(\mathbb{C}(t)) \rightarrow \operatorname{Spec}(\mathbb{C})$  has finite fibers, but is not quasifinite. Similarly to  $\operatorname{Spec}(\mathbb{Q}) \rightarrow \operatorname{Spec}(\mathbb{Q})$ .

Quasifinite morphisms shall return when discussing Zariski Main Theorem (see ref:HERE).

**Definition 3.3.21: Locally Finite Presentation Morphism**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is said to be *locally of finite presentation* if for every affine open subset  $\operatorname{Spec}(S) \subseteq Y$ , and every affine open subset  $\operatorname{Spec}(R) \subseteq \pi^{-1}(\operatorname{Spec}(S))$ , the induced morphism  $S \rightarrow R$  makes  $R$  a finitely presented  $S$ -algebra. Equivalently,  $\pi^{-1}(\operatorname{Spec}(S))$  can be covered by affine open subsets  $\operatorname{Spec}(R_i)$  where each  $R_i$  is a finitely presented  $S$ -algebra.

There is one exception for the definition of finitely presented schemes that must be underlined in the following definition:

**Definition 3.3.22: Finite Type Morphism**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then  $\pi$  is said to be *finitely presented* if it is locally of finite type and quasicompact *and* *quasiseparated*.

Vakil promises exercise 9.3.H shows why this would naturally arise

**Exercise 3.3.1**

1. Let  $\pi : X \rightarrow Y$  be an open embedding. Then if  $Y$  is locally Noetherian,  $X$  is locally Noetherian. If  $Y$  is Noetherian,  $X$  is Noetherian.
2. Let  $\pi : X \rightarrow Y$  be an open embedding. Show that if  $Y$  is quasicompact,  $X$  need not be (there is an affine counter-example)
3. Show that being an open embedding is not local on the source.
4. Let  $B/A$  be an integral extension. Then show that any ring homomorphism  $B \rightarrow C$  induces an integral extensions  $C \otimes_B A/C$ . Conclude that integrality is preserved by base change (see scalar extensions in [3] if you are unsure what it means to change base).
5. Show that every open embedding is locally of finite type. Hence, every quasicompact open embedding is of finite type.
6. Every open embedding into a locally Noetherian scheme is of finite type
7. The composition of two morphisms locally of finite type is locally of finite type.
8. Let  $\pi : X \rightarrow Y$  be locally of finite type and  $Y$  locally Noetherian. Then  $X$  is locally Noetherian. If  $\pi : X \rightarrow Y$  is of finite type and  $Y$  is Noetherian, then  $X$  is Noetherian,
9. Show that quasifinite morphisms to  $\text{Spec}(k)$  are finite)
10. Let  $k = \overline{\mathbb{F}_p}$ . Show that the morphism  $F : \mathbb{A}_k^n \rightarrow \mathbb{A}_k^n$  of  $k$ -schemes corresponding to the bijection  $x_i \mapsto x_i^p$  is a bijection, and no power of  $F$  is the identity (as sets)
11. (Generalizing the above) Let  $X$  be an  $\mathbb{F}_p$ -scheme. Define an endomorphism  $F : X \rightarrow X$  such that for each affine open subset  $\text{Spec}(R) \subseteq R_i$   $F$  corresponds to the map  $R \rightarrow R$  given by  $f \mapsto f^p$ . The morphism  $F$  is called the *absolute Frobenius morphism*.

### 3.4 Chavelley's Theorem

Okay, this is really cool. I'll go over this in detail after I've done my due diligence in writing up the basics of everything else

gist; the image of morphisms contains an open dense subset of its closure; we will call sets that have this property constructible sets.

### 3.5 Closed Subschemes and Embeddings

write here the general idea

**Definition 3.5.1: Closed Embedding and Closed Schemes**

Let  $f : Y \rightarrow X$  be a morphism of schemes. Then if  $f$  induces a homeomorphism from  $Y$  onto its image which is *closed* in  $X$ , and  $f^\# : \mathcal{O}_X \rightarrow f_*\mathcal{O}_Y$  is surjective, then  $f$  is said to be a *closed embedding*. A closed subscheme is considered to be an equivalence class of closed embeddings where  $f : Y \rightarrow X$  and  $f' : Y' \rightarrow X$  are said to be representatives for the same equivalence class if there exists an isomorphism  $\varphi : Y' \rightarrow Y$  such that  $f' = f \circ \varphi$ .

Note that the complement of a closed subscheme is a unique open subscheme, but the complement of an open subscheme has multiple closed subschemes, often infinitely many!

**Lemma 3.5.2: Properties of Closed Embedding**

$\pi : Y \rightarrow X$  is a closed embedding. Then:

1. For every affine fine open subset  $\text{Spec}(S) \subseteq Y$  where  $\pi^{-1}(\text{Spec}(S)) = \text{Spec}(R)$ ,  $S \rightarrow R$  is surjective. More generally, it is affine-local on the target.
2. it is a finite morphism, and hence also of finite type
3. The composition two closed embeddings is a closed embedding.

Furthermore, every surjective ring homomorphism  $S \rightarrow R$  induces a closed embedding  $\text{Spec}(R) \rightarrow \text{Spec}(S)$

**Proof :**

1. Check this, it bugs me that we are only looking at affine sets that have affine pre-image. This was Vakil definition.
2. Show that each  $R$  is generated by a single element (namely 1)
3. the ring equivalent is immediate.
4. The same ring argument from above translates well to this one.

A common pattern is that schemes ought to generalize some basic results of rings. Here is one of these examples: given a closed embedding  $\pi : X \rightarrow Y$ , there is an *ideal sheaf* that we can put on  $Y$  that is given by the kernel of the map:

$$\mathcal{O}_Y \rightarrow \pi_*\mathcal{O}_X$$

Note that as we are working with ideals, this is not a ringed space, but if we treat  $\mathcal{O}_Y$  as a  $\mathcal{O}_Y$ -module over itself (the same way we can ring a ring  $R$  as a module over itself), we get the sub-module  $\mathcal{K}$  where each open subset of  $Y$  given an ideal  $\mathcal{K}(U) \subseteq \mathcal{O}_Y(U)$  (more on  $\mathcal{O}_Y$ -modules and their formal definition in chapter 4). This sheaf is called a *sheaf of ideals*. Then we get the following short exact sequence of  $\mathcal{O}_Y$ -modules:

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{O}_Y \rightarrow \pi_*\mathcal{O}(X) \rightarrow 0$$

For each affine open subset with a closed embedding  $\text{Spec}(R) \rightarrow Y$ , let  $I(R)$  be the corresponding ideal (they define a base for the sheaf). We may ask if we can study the closed embedding condition

locally using  $\mathcal{K}$  and the ideals  $I(R)$ . The first thing we may want is an equivalent of the fact that every ideal of a ring corresponds to the quotient of a quotient of a ring homomorphism. This is unfortunately not the case for schemes. The following gives some results on that

**Proposition 3.5.3: Sheaf Ideal For Closed Embedding**

Let  $\mathcal{K}$  be the sheaf of ideals corresponding to the scheme morphism  $\pi : X \rightarrow Y$ . Let  $\text{Spec}(R) \rightarrow Y$  be an affine open subscheme, and  $f \in R$  be any element. Then  $\pi : X \rightarrow Y$  is a closed embedding if and only if for every choice of  $R$  and  $f$ :

$$I(R)_f \cong I(R_f)$$

**Proof :**

The  $(\Rightarrow)$  is the easier direction. For the  $(\Leftarrow)$  direction, take an ideal  $I(R) \subseteq R$  corresponding to an affine open subset  $\text{Spec}(R) \subseteq X$ . Suppose that for each closed embedding  $\text{Spec}(R) \rightarrow Y$  and  $f \in R$ , the restriction  $R \rightarrow R_f$  induces an isomorphism  $I(R)_f \cong (R_f)$ . Using this, show you can glue together closed embeddings  $\text{Spec}(R/I) \rightarrow \text{Spec}(R)$  in a well-defined manner to get a closed embedding  $X \rightarrow Y$ . (Vakil p.226 for hint)

**Example 3.17: Not All Ideal Sheaves Correspond To Closed Embeddings**

Take  $X = \text{Spec } k[x]_{(x)}$ . This scheme has two points: the closed point and the generic point, say  $\eta$ . Then take:

$$\begin{aligned}\mathcal{K}(X) &= 0 \subseteq \mathcal{O}_X(X) = k[x]_{(x)} \\ \mathcal{K}(\eta) &= k(x) = \mathcal{O}_X(\eta)\end{aligned}$$

Then using the above proposition, we see that this sheaf cannot define a closed embedding.

Closed subscheme are in analogy to closed sets, which can be made very precise with the following generalization of the vanishing set

**Definition 3.5.4: Vanishing Scheme**

Let  $X$  be a scheme and  $s \in \mathcal{O}_X(X)$ . Then a *vanishing scheme* corresponding to  $s$ ,  $V(s)$ , is the scheme corresponding to gluing  $\text{Spec}(R/(s_R))$  where  $s_R = s|_{\text{Spec}(R)}$ . More generally, we can take the ideal  $(S) \subseteq \mathcal{O}_X(X)$  generated by the elements  $S$ .

The advantage of working with vanishing schemes over vanishing sets is that it remembers extra information, such as the multiplicity of intersection.

**Proposition 3.5.5: Properties of Vanishing Schemes**

Let  $X$  be a scheme. Then:

1.  $\cup_i^n V(S_i) = V(\cap_i^n S_i)$
2.  $\cap_{i \in \mathcal{I}} V(S_i) = V(\langle S_i \mid i \in \mathcal{I} \rangle)$

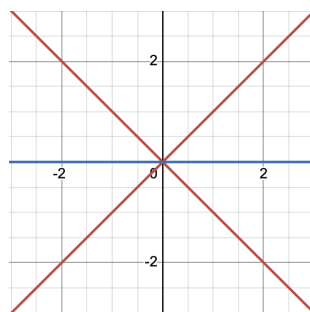
**Proof :**

Note that the underlying sets of the schemes will just be finite unions and intersections.

The harder point to verify is that the union becomes intersection. In the case of vanishing sets, the proof takes advantage of the fact that  $I \cap J \subseteq IJ \subseteq (I \cap J)^2$ . Note that it must be the intersection, as we would need that that  $V(S) \cap V(S) = V(S)$  and not  $V(S^2)$ .

**Example 3.18: Vanishing Scheme Intersection**

1. Take  $V(y - x^2), V(y) \subseteq \mathbb{A}_k^2$ . Then with vanishing sets, this would just be the point  $(0, 0)$ . However, this point now has multiplicity 2.
2. Take  $V(y^2 - x^2), V(y) \subseteq \mathbb{A}_k^2$ . The visual of these two are:



Use this to show that if  $X, Y, Z$  are closed subscheme of a scheme  $W$ , then

$$(X \cap Z) \cup (Y \cap Z) \neq (X \cup Y) \cap Z$$

Hence, not all properties of unions and intersection can carry over!

**3.5.1 Locally Closed Embedding**

Recall that the line without the origin is not a closed subscheme of  $\mathbb{A}_k^2$ . This is This is however still a pretty reasonable subset, and almost a closed subscheme: it is a closed subscheme of an open subscheme of  $\mathbb{A}_k^2$  (namely the subscheme given by  $k[x, 0]$ ). This motivates the following:

**Definition 3.5.6: Locally Closed Embedding**

Let  $\pi : X \rightarrow Y$  be a scheme morphism. Then it is a *locally closed embedding* if  $\pi$  can be factored into:

$$X \xrightarrow{\alpha} Z \xrightarrow{\beta} Y$$

where  $\alpha$  is a closed embedding, and  $\beta$  is an open embedding.

The above is such an example: more formally the morphism  $\text{Spec } k[t, t^{-1}] \rightarrow \text{Spec } k[x, y]$  is a locally closed embedding. Note that we could have also taken an open subset of a closed subset (in exercise

ref:HERE you make sure these are equivalent)

**Proposition 3.5.7: Locally Closed embedding is Locally Finite**

Let  $\pi : X \rightarrow Y$  be a locally closed embedding. Then it is locally finite.

**Proof :**  
exercise.

Give a characterization of intersection of locally closed embeddings as you've moved the fibred product to earlier

### 3.5.2 Projective Geometry

We shall not look at closed subschemes of projective schemes

**Definition 3.5.8: Projective Hypersurface**

A *hypersurface* of  $\mathbb{P}_k^n$  is a closed subscheme given by a single homogeneous polynomial  $f$ . The *degree* of the hypersurface is the degree of the homogeneous polynomial.

A hypersurface of degree 1 is called a *hyperplane*. A hypersurface of degree 2, 3, 4, 5... is called a *quartic*, *cubic*, *quartic*, *quintic*, and so forth.

If  $n = 2$  ( $\mathbb{P}_k^2$ ) a hypersurface is called a *curve*. A hypersurface of degree 1, 2, 3 is called a *line*, *conic curve* (or *conic*), and *surface* respectively.

Recall that  $\mathbb{P}_k^n$  has only constant functions defined globally, and hence any such cut-out would be done from regular functions defined on open subsets.

**Example 3.19: Closed Projective Subschemes**

1. *Twisted Cubic*: Take  $V(xy - zw, x^2 - wy, y^2 - xz) \subseteq \mathbb{P}_k^3$ . This shape is called the *twisted cubic*. This is a *curve*, and it is isomorphic to  $\mathbb{P}_k^1$  by the induced map:

$$k[w, x, y, z] \rightarrow k[s, t] \quad (w, x, y, z) \mapsto (s^3, s^2t, st^2, t^3)$$

To see it is an isomorphism, take the standard open sets and show it gives closed embeddings, for example if  $w \neq 0$ ,  $s \neq 0$  then:

$$k[x/w, y/w, z/w] \rightarrow k[t/s] \quad x/w \mapsto t/s, \quad y/w \mapsto t^2/s^2, \quad z/w \mapsto t^3/s^3$$

2. *Surjective graded ring map*: Show that  $\mathbb{R}_\bullet \rightarrow S_\bullet$  is a surjective graded ring homomorphism, then it induces a closed embedding  $\text{Proj} S_\bullet \rightarrow \text{Proj} \mathbb{R}_\bullet$ .
3. Let  $X \rightarrow \text{Proj} S_\bullet$  be a closed embedding in a projective  $R$ -scheme (so that  $S_\bullet$  is a finitely generated graded  $R$ -algebra). Show that  $X$  is projective as  $\text{Proj}(S_\bullet/I)$  (mirror the proof for the affine case, see Shafarevich).

4. Any injective linear transformation  $V \rightarrow W$  induces closed embedding  $\mathbb{P}V \rightarrow \mathbb{P}W$ . The closed subscheme defined here is called a *linear space*.
5. Take  $S_\bullet$  to be a finitely generated graded ring generated by elements of degree 1. Note that  $S_1$  is a finitely generated  $S_0$ -module, and the irrelevant ideal  $S_+$  is generated by degree 1 elements. Then  $\text{Proj} S_\bullet$  is isomorphic to a closed subscheme of  $\mathbb{P}_R^n$ . To see this, take the free module  $R^{n+1}$  generated by  $t_0, \dots, t_n$ . Then take:

$$\text{Sym}^\bullet(R^{n+1}) = R[t_0, \dots, t_n] \twoheadrightarrow S_\bullet \quad t_i \mapsto x_i$$

Then this implies:

$$S_\bullet = R[t_0, t_1, \dots, t_n]/I$$

Thus, we always get that such rings can be interpreted as closed projective subschemes! Conceptually, this is the generalization of the affine case where if  $S$  is a finitely generated  $R$ -algebra, we may choose  $n$  generators of  $S$  and describe  $\text{Spec}(S)$  as a closed subscheme of  $\mathbb{A}_R^n$ ; we are choosing coordinates (now in the projective case).

6. The following shows a way to parameterize certain projective varieties. Take  $V(wz - xy) \subseteq \mathbb{P}_k^3$  (with projective coordinates  $w, x, y, z$ ). Then for any two elements  $\alpha, \beta \in k$  that are not both zero, notice that as  $[c, d] \in \mathbb{P}_k^1$  varies,  $[\alpha c, \beta c, \alpha d, \beta d]$  is a line on the quadratic surface. Show there is one other parameterization of this surface via lines, and show it must be the case that these are the only 2 (hint: one parameterization contains  $V(w, x)$  and the other  $V(w, y)$ ). The following gives a visualization of the parameterization that contains  $V(w, x)$ :

A common important closed projective subscheme is those given by the different gradings  $S_{d\bullet}$ :

**Definition 3.5.9: Veronese Embedding**

Let  $S_\bullet = k[a_0, \dots, a_n]$ . Let  $N = \dim_k S_{d\bullet} = \binom{n+d}{d}$ . Then  $\text{Proj} S_{d\bullet} \subseteq \mathbb{P}^{N-1}$  is called the *d-uple Veronese Embedding*.

Most of the projective schemes are associated to graded rings generated by elements of degree 1. Having different degrees, or weighting, can

**Definition 3.5.10: Weighted Projective Space**

Let  $S_\bullet = k[a_1, \dots, a_n]$  where each  $a_i$  has degree  $d_i$ . Then  $\text{Proj} k[a_1, \dots, a_n]$  is called the weighted projective space and is denoted  $\mathbb{P}(d_1, \dots, d_n)$

**Example 3.20: Weighted Projective Space**

1. Show that  $\mathbb{P}(m, n) \cong \mathbb{P}$
2. Show that  $\mathbb{P}(1, 1, 2) \cong \text{Proj} k[u, v, w]/(uw - v^2)$

Finally, we link the fact that each projective scheme has a natural affine subscheme, and that each affine subscheme can be completed to a projective scheme

**Definition 3.5.11: Affine Cone**

Let  $S_\bullet$  be a graded ring. Then the *affine cone* of  $\text{Proj} S_\bullet$  is  $\text{Spec}(S_\bullet)$ .

Note that  $\text{Spec}(S_\bullet)$  is dependent on  $S_\bullet$ , not just  $\text{Proj}(S_\bullet)$ . Think of the affine cone as eliminating the points at infinity and adding the origin. In fact, given a field  $k$ , there is a natural map  $\text{Spec} S_\bullet \setminus V(S_+) \rightarrow \text{Proj} S_\bullet$  (more generally if  $S_\bullet$  is a finitely generated graded ring over a base ring  $R$ , there is a natural morphism).

**Definition 3.5.12: Projective Cone**

Take  $\text{Proj} S_\bullet$ . Then *projective cone* or *projective completion* is  $\text{Proj}(S_\bullet[t])$  for some indeterminate  $t$  of degree 1.

Take for example  $\text{Proj}(k[x, y, z]/(z^2 - x^2 - y^2))$ . Then the projective cone is  $\text{Proj}(k[x, y, z, t]/(z^2 - x^2 - y^2))$ . Taking  $t = 0$  returns the original projective scheme, and taking the complement (the distinguished set  $D(t)$ ) gives  $\text{Spec}(S_\bullet)$ . This construction can be thought of as the opposite of the affine cone, where we attach the points at infinity of a conic curve.

**Exercise 3.5.1**

1. Put Exercise 8.1.M from Vakil
2. Show that the composition of two locally closed embeddings is locally closed

**3.5.3 Image Scheme**

In general, the image of a scheme is badly behaved, recall in section 3.4 that  $(x, y) \mapsto (x, xy)$  does not give a scheme as an image [return to this](#)

**Definition 3.5.13: Image Scheme**

Let  $\iota : Z \rightarrow Y$  be a closed subscheme, which gives the short exact sequence:

$$0 \rightarrow \mathcal{K}_{Z/Y} \rightarrow \mathcal{O}_Y \rightarrow \iota_* \mathcal{O}_Z \rightarrow 0$$

Then the *image* of  $\pi : X \rightarrow Y$  *lies in*  $Z$  if the composition

$$\mathcal{K}_{Z/Y} \rightarrow \mathcal{O}_Y \rightarrow \pi_* \mathcal{O}_X$$

is zero. Then the *scheme-theoretic image* of  $\pi$  (a closed subscheme of  $Y$ ) is the smallest closed subscheme containing the image

Intuitively, locally functions vanishing on  $Z$  pullback to zero functions on  $X$ . If the image of  $\pi$  lies in some subschemes  $Z_j$ , it lies in their intersection, and hence it is the scheme-theoretic image. Note that the scheme theoretic image may not necessarily be an open subscheme:



**Example 3.21: Scheme-theoretic Image**

1. Take

$$\pi : \operatorname{Spec}(k[\epsilon]/(\epsilon^2)) \rightarrow \operatorname{Spec}(k)$$

given by  $x \mapsto \epsilon$ . Then the scheme-theoretic image of  $\pi$  is

$$\operatorname{Spec}(k[x]/(x^2))$$

as the polynomials pulling back to 0 are precisely the multiples of  $x^2$ .

2. Now take

$$\pi : \operatorname{Spec}(k[\epsilon]/(\epsilon^2)) \rightarrow \mathbb{A}_k^1$$

given by  $x \mapsto 0$ . Then the scheme-theoretic image is

$$\operatorname{Spec}(k[x]/(x))$$

which is reduced.

3. Take

$$\operatorname{Spec} k[t, t^{-1}] = \mathbb{A}_k^1 \setminus \{0\} \rightarrow \mathbb{A}_k^1 = \operatorname{Spec}(k[u])$$

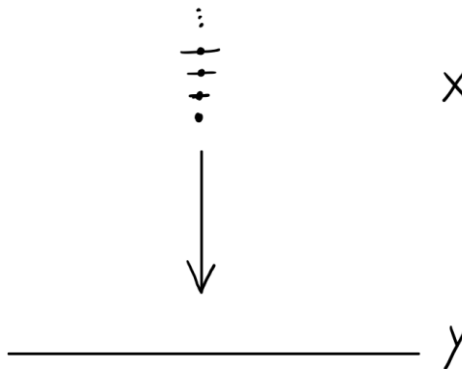
given by  $u \mapsto t$ . Then any function  $g(u)$  which pulls back to 0 as a function of  $t$  must be the zero function. Hence, the scheme-theoretic image is

$$\operatorname{Spec}(k[u])$$

4. Consider the following more pathological example:

$$\pi : \bigsqcup \operatorname{Spec} \left( \frac{k[\epsilon_n]}{(\epsilon_n)^n} \right) \rightarrow \operatorname{Spec} k[x]$$

which we'll denote  $\pi : X \rightarrow Y$ , and it is given by  $x \mapsto \epsilon_n$  on the  $n$ th component. Now, if  $g(x)$  on  $Y$  pulls back to 0 on  $X$ , then its Taylor expansion must be 0. But then the scheme-theoretic image is  $V(0)$  on  $Y$ , that is it is  $Y$ , even though the scheme-theoretic image is the origin (the closed point 0). Visually:



## 3.6 Separated Morphisms

We now fix the notion of Hausdorffness and compactness through the notion of *separatedness* and *properness*. In the topological setting, these are properties of spaces that imply certain properties on maps, for example  $X$  is Hausdorff if and only if  $\Delta \subseteq X \times X$  is closed, and  $K \subseteq X$  is compact if

HERE

### Definition 3.6.1: Separated Scheme

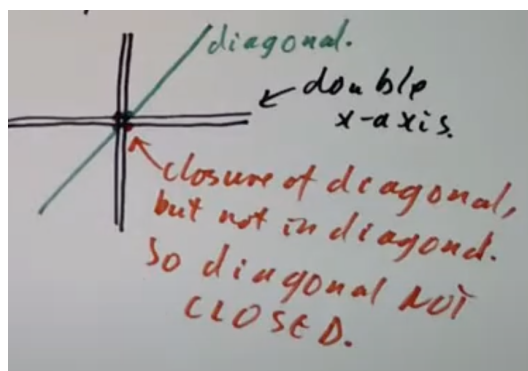
Let  $X$  be a scheme. Then  $X$  is *separated* if the map  $X \rightarrow X \times X$  is a closed embedding.

More generally, a map  $X \rightarrow S$  between schemes is *separated* if the map  $X \rightarrow X \times_S X$  is a closed embedding.

Note that the first condition is equivalent to saying that the morphism  $X \rightarrow \operatorname{Spec}(\mathbb{Z})$  is separated

### Example 3.22: Separated Morphisms

1. Take the line with two origins over  $k$ , label it  $X$ . Then when considering  $X \times_{\operatorname{Spec} k} X$ , we can think of it as in the image below. The double origin will correspond to 4 points, but the diagonal will only have 2 points. Taking the closure of the diagonal will include all 4 points, showing that it is *not* separated.



Note that if we take  $X \rightarrow X$  to be the identity map, then this *is* a separated morphism, since:

$$X \cong X \times_X X$$

2. Let  $X = \operatorname{Spec}(A) \rightarrow \operatorname{Spec}(B) = Y$  be any morphism of schemes between affine schemes. Then this is *always* a separated morphism. Unwind the definitions: when converting into rings we get the map:

$$A \otimes_B A \rightarrow A$$

which is surjective, and hence we get:

$$A \cong A \otimes_B A/\mathfrak{a}$$

that is, we are taking the quotient by an ideal, which we know will give us a closed subscheme of  $X \times_Y X$  isomorphic to  $X$ .

As a historical footnote, the term scheme used to mean separated scheme back before the 1960s, and the term pre-scheme was used for the modern term scheme.

**Proposition 3.6.2: Characterizing Separated Morphisms**

Let  $f : X \rightarrow Y$  be a scheme morphism. Then  $f$  is separated if and only if the image of the diagonal morphism is closed in  $X \times_Y X$

**Proof :**

exercise (if stuck, Hartshorne, p.96)

The next concept will not be too useful to us for awhile, and shall come back up when we see algebraic stacks and algebraic spaces, but is useful to define:

**Definition 3.6.3: Quasi-separated**

Let  $X$  be a scheme. Then  $X$  is *quasi-separated* if the image of  $X \rightarrow X \times X$  is quasi-compact.

More generally, a map  $X \rightarrow S$  between schemes is *quasi-separated* if the image map of  $X \rightarrow X \times_S X$  is quasi-compact.

**Proposition 3.6.4: Separated Implies Quasi-separated**

Let  $X$  be a separated scheme. Then  $X$  is quasi-separated

**Proof :**

Show that any closed embedding is quasi-compact.

We shall next characterize separated schemes and morphisms in using valuation rings. This will be analogous to the fact that in a Hausdorff space, the limit of a sequence has at most 1 point. From this result, think of a continuous map  $(0, 1) \rightarrow X$ . If we want to extend this to a map  $[0, 1] \rightarrow X$ , if  $X$  is Hausdorff, there is only one possible extension. If  $X$  wasn't, say it had two origins, then there can be more than one map that can extend (the new 0 point will have two possible places to map to).

Let us now extend this idea to a map  $X \rightarrow Y$ , and we have an embedding  $(0, 1) \rightarrow X$  an identity map  $(0, 1) \rightarrow [0, 1]$  and an embedding  $(0, 1) \rightarrow Y$  where we are only adding one point. Then there should only be one lift. Note that this lift is not dependent on  $X$  and  $Y$ . Let's say  $Y$  was the double-origin scheme and  $X$  was the double y-axis scheme. Then the embedding  $(0, 1)$  can be extended to two ways into  $Y$ , but that will correspond to a unique lift.

With this intuition in mind, let us now look at what is the equivalent of the open interval for schemes. This would be a Discrete valuation ring (DVR)  $R$ . It has only two points. We can think of  $\text{Spec}(\text{Frac}(R))$  as the open interval and  $\text{Spec}(R)$  as adding the closed 0 (notice that the new point in  $\text{Spec}(R)$  is also a closed point, while the other point is "open" and is the generic point that can

be thought of as closed to everywhere else. Then we have the following situation

$$\begin{array}{ccc} \mathrm{Spec}(\mathrm{Frac}(R)) & \longrightarrow & Y \\ \downarrow & \nearrow \text{dashed} & \downarrow \\ \mathrm{Spec}(R) & \longrightarrow & X \end{array}$$

With the hope that having at most one lift corresponding to the map being separable. This is *sometimes* the case

Go over Harshorne's proofs

#### Proposition 3.6.5: Intersection of Separated Affine Schemes

Let  $X \rightarrow S$  be a separated scheme morphism where  $S$  is affine. Then if  $U, V \subseteq X$  are open affine

$$U \cap V$$

is open affine.

**Proof :**

Here is the high-level

1. First show that  $U \times_S V \subseteq X \times_S X$  is open and affine (it is the tensor-product of the corresponding algebras)
2.  $U \cap V$  is the inverse image of  $U \times_S V$  of the diagonal map.
3. For any closed embedding, the inverse image of an open affine is open-affine. Hence as  $f$  is separated,  $U \cap V$  is affine, completing the proof.

### 3.6.1 Variety

Using the definitions above, we may recover the notion of a variety in the language of schemes:

#### Definition 3.6.6: $k$ -Variety

Let  $X$  be a  $k$ -scheme. Then if  $X$  is reduced, irreducible, separated, and of finite type over  $k$ , then  $X$  is said to be a *variety over  $k$*  or a  *$k$ -variety*. If  $X$  is affine/(quasi)projective, then it is an affine/(quasi)projective variety over  $k$  respectively.

It is important to note that in [3], a variety was an affine algebraic set that was irreducible, which motivates adding irreducible in the definition. However some authors drop the condition and “variety” would be “algebraic set”. Furthermore, some authors require the field  $k = \bar{k}$  be algebraically closed as part of the definition to more closely reflect the geometric results of the Nullstellensatz. Note too that we have define  $k$ -varieties and not varieties in general.

**Proposition 3.6.7: Affine and Projective Varieties**

1.  $\mathbb{A}_k^n/I$  is an affine  $k$ -variety if and only if  $I \subseteq k[x_1, \dots, x_n]$  is a radical ideal
2. Let  $I \subseteq k[x_1, \dots, x_n]$  be a radical graded ideal. Then  $\text{Proj} k[x_0, \dots, x_n]/I$  is a projective  $k$ -variety <sup>a</sup>

<sup>a</sup>I need not be radical, ex.  $I = (x_0^2, x_0x_1, \dots, x_0x_n)$

**Proof :**

exercise.

In proposition 3.1.11, we shall show that a certain subset of schemes will fully and faithfully map to the category of  $\bar{k}$ -varieties.

(Put somewhere that the fibered product of finite type  $k$ -schemes over a finite type  $k$ -scheme is a finite type  $k$ -scheme, and so varieties behave well under fibered product)

### 3.6.2 Rational maps to Separated Schemes

here

## 3.7 Proper Morphism

Proper morphisms capture the idea of compactness. The key in the compactness condition is that it lets any open cover be reduced to a finite cover. A morphism is *universally closed* if for every morphism  $Z \rightarrow Y$ , the induced morphism  $Z \times_Y X \rightarrow Z$  is closed. This idea is translating by insuring that there is finiteness in the pre-image. In particular

a continuous map  $f : X \rightarrow Y$  on locally compact Hausdroff spaces with a countable bases is universally closed if and only if it is proper (i.e. the pre-image of compact subsets are compact)

**Definition 3.7.1: Proper Morphism**

A morphism  $f : X \rightarrow Y$  is called *proper* if it is separated, finite type, and universally closed. A scheme  $X$  is said to be proper if the morphism  $X \rightarrow \text{Spec}(\mathbb{Z})$  is proper, and a  $k$ -scheme is proper if the maps  $X \rightarrow \text{Spec}(k)$  is proper. An  $R$ -scheme is said to be *proper over  $R$*  if the map  $X \rightarrow \text{Spec}(R)$  is proper.

The universality condition is crucial.

**Example 3.23: Proper Morphisms**

1. The map  $\mathbb{A}_{\mathbb{C}}^1 \rightarrow \text{Spec}(\mathbb{C})$  is separated, finite type, and closed. It is not proper, as:

$$\mathbb{A}_{\mathbb{C}}^2 \cong \mathbb{A}_{\mathbb{C}}^1 \times_{\mathbb{C}} \mathbb{A}_{\mathbb{C}}^1 \rightarrow \mathbb{A}_{\mathbb{C}}^1$$

- 2. All closed embeddings are proper
- 3. Finite morphisms are proper.

(Many more naturally useful results, Vakil p.29)

### Exercise 3.7.1

1. Show that two copies of  $\operatorname{Spec}(k[x_1, x_2, \dots])$  minus the origin, glued at the origin, is not quasi-separated.

## 3.8 Normalization

Normalization is the process by which the points of intersection of a scheme may be “unwind” (Should I put this here? Vakil covers this but this may be a later concept, not necessary for this chapter. I will wait on it)

okay, let's see if the performance is better

## 3.9 Smooth Morphisms

HERE

# 4

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## *Sheaves of Modules*

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So far, we have only worked with the structure sheaf over a scheme; we can put other sheaves that shall give use many important insights, similarity to how different [vector] bundles on manifolds provide a lot of useful information. If  $X = \operatorname{Spec}(R)$  is an affine scheme, then the structure sheaf can be thought of as the usual module structure of a ring  $R$  over itself (as we shall see shortly). Then ideals of  $R$  shall give useful submodules (as we've briefly seen in section 3.5.3).

### 4.1 Definition and Basic Properties

An important notion a smooth manifold is the vectors bundles we may put on them. The following definition shall be the appropriate generalization

**Definition 4.1.1:  $\mathcal{O}_X$ -Module**

Let  $\mathcal{O}_X \in \mathbf{Sh}_{\mathbf{Ring}}(X)$ . Then an  $\mathcal{O}_X$ -module on  $X$  is a sheaf  $\mathcal{F} \in \mathbf{Sh}_{\mathbf{Ab}}(X)$  that has the following data:

1. For each open  $U \subseteq X$   $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module
2. if  $U \subseteq V$ , then the following diagram commutes:

$$\begin{array}{ccc} \mathcal{O}_X(V) \times \mathcal{F}(V) & \xrightarrow{\sim} & \mathcal{F}(V) \\ \text{res}_{V,U} \times \text{res}_{V,U} \downarrow & & \downarrow \text{res}_{V,U} \\ \mathcal{O}_X(U) \times \mathcal{F}(U) & \xrightarrow{\sim} & \mathcal{F}(U) \end{array}$$

If  $\mathcal{F}, \mathcal{G}$  are  $\mathcal{O}_X$ -modules, then a *morphism of  $\mathcal{O}_X$ -modules*  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a sheaf-morphism such that for each open  $U \subseteq X$ ,  $\mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is a  $\mathcal{O}_X(U)$ -module homomorphism. Their collection is often denoted  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  or sometimes just  $\text{Hom}_X(\mathcal{F}, \mathcal{G})$  if it is unambiguous.

**Example 4.1:  $\mathcal{O}_X$ -Modules**

1. Take  $\mathcal{F} = \mathcal{O}_X$  with action on each section being defined by multiplication. Then this  $\mathcal{O}_X$ -module corresponds to the structure sheaf, showing that the theory of structure sheaves is subsumed in the theory of  $\mathcal{O}_X$ -modules.
2. Just like how every abelian group is a  $\mathbb{Z}$ -module, every sheaf of abelian groups is a  $\underline{\mathbb{Z}}$ -module (where  $\underline{\mathbb{Z}}$  is the constant sheaf associated to  $\mathbb{Z}$ ), showing how this is a natural generalization.
3. Let  $(M, \mathcal{O}_M)$  be a smooth manifold with the sheaf of smooth functions and let  $\pi : V \rightarrow X$  be a vector bundle over  $X$ . Then the sheaf of sections  $\sigma : X \rightarrow V$  is an  $\mathcal{O}_X$ -module.
4. If  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a  $\mathcal{O}_X$ -module homomorphism, check that the kernel, image, and cokernel are all  $\mathcal{O}_X$ -modules (generalizing the result from the case of sheaf morphisms)

In particular, we can define the *sheaf of ideals* on  $X$ ,  $\mathcal{I}$ , which has for its sheaf structure  $\mathcal{I}(U) \subseteq \mathcal{O}_X(U)$ .

5. For cohomological purposes, we can always define the sheaf-hom  $\mathcal{O}_X$  module given by

$$U \mapsto \text{Hom}_{\mathcal{O}_X|_U}(\mathcal{F}|_U, \mathcal{G}|_U)$$

where  $\mathcal{O}_X|_U$  is given the natural restriction to a  $\mathcal{O}_X|_U$ -module on  $U$ .

6. The tensor product  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  is the sheafification of the presheaf given by  $U \mapsto \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$ .

Recall that a vector-bundle can be thought of as a local-trivialization, that is for every point  $x \in M$  there is a open neighborhood  $x \in U \subseteq M$  such that there is a map  $\pi^{-1}(U) \cong_{\mathbf{Top}} U \times \mathbb{R}^k$  respecting projecting and isomorphic on fibers. In other words, it is locally free. If there is a global trivialization, then it is simply free. An  $\mathcal{O}_X$ -module is *free* if  $\mathcal{F} \cong_{\mathcal{O}_X} (\mathcal{O}_X)^n$ , and is called *locally free* if  $X$  can be covered in open sets  $U$  such that  $\mathcal{F}|_U$  is a free  $\mathcal{O}_X|_U$ -module. The rank of each  $U \subseteq X$  is the



number of copies of  $\mathcal{O}_X$  (the “dimension”, whether finite or infinite), and if  $X$  is connected then the rank must be globally the same. For cohomological reasons (namely some  $K$ -theory, see [1]) a locally free  $\mathcal{O}_X$ -module of rank 1 is called an *invertible sheaf*.

Next, let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a ringed space morphism, and  $\mathcal{F}$  is a  $\mathcal{O}_X$ -module on  $X$ . Then  $f_*\mathcal{F}$  is a  $f_*\mathcal{O}_X$ -module on  $Y$ . Via the map  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ , we see that this has a natural  $\mathcal{O}_Y$ -module structure, and it is called the *direct image* of  $\mathcal{F}$  via  $f$ . We can do a similar chain of reasoning for  $f^{-1}\mathcal{O}_Y$  creating the *inverse image* of  $\mathcal{F}$  which is adjoint to the direct image.

Now, we saw that every ring  $R$  has is naturally a scheme  $(\text{Spec } R, \mathcal{O}_{\text{Spec } R})$ . In the same vein, every  $R$ -module is naturally a  $\mathcal{O}_{\text{Spec } R}$ -module

**Definition 4.1.2: Sheaf Associated to  $M$**

Let  $R$  be a ring and  $M$  and  $R$ -module. Then the *sheaf associated to  $M$*  on  $\text{Spec } R$  is a sheaf given by the basis:

$$\widetilde{M}(D(f)) = M_f \quad (\text{an } R_f\text{-module})$$

that is, the localization of module  $M$  at the ring element  $f$ , and define the natural restriction maps. Denote this  $\mathcal{O}_{\text{Spec } R}$ -module  $\widetilde{M}$ .

As we have now introduced another sheaf structure on  $X$ , we will start being conscious of our representation of the local and global sections we may write  $\Gamma(X, \widetilde{M})$ .

The following proposition is a direct translation of the results from structure sheaves and affine schemes and is boxed here for reference:

**Proposition 4.1.3: Properties of Sheaf Module**

Let  $M$  be an  $R$ -module,  $X = \operatorname{Spec} R$ ,  $\widetilde{M}$  the associated sheaf of  $M$ , and  $f : R \rightarrow S$  a ring homomorphism with  $f^a : \operatorname{Spec}(S) \rightarrow \operatorname{Spec}(R)$ . Then:

1. The stalks of  $\widetilde{M}$  at  $\mathfrak{p}$  is isomorphic to  $M_{\mathfrak{p}}$
2.  $\Gamma(X, \widetilde{M}) \cong M$
3.  $M \rightarrow \widetilde{M}$  is an exact fully faithful functor from  $R\text{-Mod}$  to  $\mathcal{O}_{\operatorname{Spec} R}\text{-Mod}$ .
4. If  $N$  is another  $R$ -module

$$(\widetilde{M \otimes_R N}) \cong \widetilde{M} \otimes_{\mathcal{O}_{\operatorname{Spec} R}} \widetilde{N}$$

5. If  $\{M_i\}_{i \in I}$  is a collection of  $R$  modules:

$$\widetilde{\left(\bigoplus_{i \in I} M_i\right)} \cong \bigoplus_{i \in I} \widetilde{M}_i$$

6. If  $N$  is an  $S$ -module,

$$f_*(\widetilde{N}) = \widetilde{{}_R N}$$

where  ${}_R N$  is where we consider  $N$  with the natural  $R$ -module structure given by  $f$

7. If  $\widetilde{N}$  is the associated sheaf of  $N$  and  $\widetilde{M}$  is some sheaf module, then

$$f^*(\widetilde{M}) = \widetilde{M \otimes_R S}$$

**Proof :**  
exercise.

Let us now define a “sheaf module” on a scheme. We shall want sheaves that are locally like  $\widetilde{M}$ :

**Definition 4.1.4: Quasi-coherent Sheaf**

Let  $X$  be a scheme. Then a  $\mathcal{O}_X$ -module  $\mathcal{F}$  is called *quasi-coherent* if  $X$  can be covered by open affine subsets  $U_i = \operatorname{Spec} R_i$  such that for each  $i$  there exists an  $R_i$ -module  $M_i$  such that:

$$\mathcal{F}|_{U_i} \cong \widetilde{M}_i$$

If each  $M_i$  is a finitely generated  $R_i$ -module, we further call  $\mathcal{F}$  *coherent*.

**Example 4.2: Quasi-Coherent Sheaves**

1. Certainly, the usual structure sheaf  $\mathcal{O}_X$  on  $X$  is quasi-coherent (even coherent).
2. Let  $Y \subseteq X$  be a closed subscheme defined by an ideal  $\mathfrak{a} \subseteq R$ . Then given the inclusion map

- $\iota : Y \rightarrow X$ ,  $\iota_* \mathcal{O}_Y$  is a quasi-coherent  $\mathcal{O}_X$ -module (even coherent); show it is isomorphic to  $\widehat{R/\mathfrak{a}}$ .
3. Let  $U \subseteq X$  be an open subscheme with inclusion map  $\iota : U \rightarrow X$ . Inspired by exercise 1.4.1, define the sheaf  $\iota_!(\mathcal{O}_U)$  by extending  $\mathcal{O}_U$  by zero outside of  $U$ . Then this is an  $\mathcal{O}_X$ -module, but *not* in general quasi-coherent! To see this, let  $X$  be any integral scheme so that each  $\mathcal{O}_X(W)$  is an integral domain. Take  $V = \operatorname{Spec} R$  to be an open affine subset of  $X$  not contained in  $U$  but  $U \cap V \neq \emptyset$ . Then  $\iota_!(\mathcal{O}_U)|_V$  contains no global sections of  $V$ , but is *not* the zero sheaf. But then it cannot be of the form  $\widetilde{M}$  for any  $R$ -module  $M$ .
  4. It is important that we took the pushforward in example (2). Let  $Y$  be a closed subscheme of  $X$  (not necessarily from an ideal). Then  $\mathcal{O}_X|_Y$  is not in general quasi-coherent on  $Y$ , and usually not even a  $\mathcal{O}_Y$ -module. Let  $X = \operatorname{Spec} k[x, y]$  and  $Y$  be the closed subscheme given by  $(y^2)$ , so that  $Y = \operatorname{Spec} k[x, y]/(y^2)$ . Then in  $\mathcal{O}_Y(U)$  where  $U \subseteq Y$ , we have that the function  $y$  is nilpotent:  $y^2 = 0$ . However, on  $\mathcal{O}_X|_Y(U)$  there is no nilpotence! In particular, when restricting, we are restricting to  $Y$  as a *set*! Hence, we see that  $\mathcal{O}_X|_Y$  is not even a  $\mathcal{O}_Y$ -module.
  5. Let  $X$  be an integral Noetherian scheme. Put the constant sheaf  $\kappa(X)$  on it. Then this is a quasi-coherent  $\mathcal{O}_X$ -module, but not in general finitely generated on open subsets (and hence not coherent) (unless  $X$  restricted to a point).

#### Proposition 4.1.5: Quasi-coherence is Affine-local

Let  $X$  be a scheme. Then a  $\mathcal{O}_X$ -module  $\mathcal{F}$  is quasi-coherent if and only if for every open affine subset  $U = \operatorname{Spec} R \subseteq X$ , there is an  $R$ -module  $M$  such that

$$\mathcal{F}|_U \cong_R \widetilde{M}$$

If  $X$  is Noetherian, then we can replace quasi-coherent with coherent and  $M$  is a finitely generated  $R$ -module

#### Proof :

As  $X = \bigcup_i U_i$  can be covered in affine open sets and each of these are covered by distinguished open sets, we may reduce to proving this in the case of  $X = \operatorname{Spec} R$ . To that end, let  $M = \Gamma(X, \mathcal{F})$ . We need to show there is an isomorphism  $\varphi : \widetilde{M} \rightarrow \mathcal{F}$ . As  $\mathcal{F}$  is quasi-coherent, for each  $g_i \in X$  we have:

$$\mathcal{F}|_{D(g_i)} \cong \widetilde{M_i}$$

for some  $R_{g_i}$ -module  $M_i$ . But then

$$\mathcal{F}(D(g_i)) \cong M_{g_i}$$

and so  $M_i = M_{g_i}$ , and so raising these maps we get that  $\varphi$  is an isomorphism.

By simply addition the conditions of  $X$  being Noetherian and  $M$  finitely generated we get the corresponding strengthening.

Hence, we have the equivalence of the correspondence between rings and Affine schemes for [quasi] coherent sheaves:

**Corollary 4.1.6: Equivalence of Categories: Module Version**

If  $X = \operatorname{Spec} R$ , then the functor  $M \mapsto \widetilde{M}$  is an equivalence of categories between  $R$ -modules and quasi-coherent  $\mathcal{O}_X$ -modules with inverse function  $\mathcal{F} \mapsto \Gamma(X, \mathcal{F})$ . If  $R$  is Noetherian, then the equivalence is between finitely generated  $R$ -modules and coherent  $\mathcal{O}_X$ -modules.

**Proof :**

Follows immediately

**Lemma 4.1.7: Quasi-coherence and Extending From  $D(f)$** 

Let  $X = \operatorname{Spec} R$  be an affine scheme,  $f \in R$ ,  $D(f) \subseteq X$ , and  $\mathcal{F}$  a quasi-coherent sheaf on  $X$ . Then:

1. If  $s \in \Gamma(X, \mathcal{F})$  is a global section of  $\mathcal{F}$  whose restriction to  $D(f)$  is zero, then there exists some  $n > 0$  such that  $f^n s = 0$ .
2. If  $t \in \mathcal{F}(D(f))$ , then for some  $n > 0$ ,  $f^n t \in \mathcal{F}(X)$ .

The idea behind this lemma is that we can eliminate the denominator to have a globally defined function; think  $1/x \in \mathcal{O}_X(\mathbb{A}_k^1 \setminus \{0\})$ . For part (1), think of this as reflecting the same property as complex holomorphic functions, but having to take into consideration nilpotent elements. This idea works for “vector bundles” on affine schemes.

**Proof :**

1. Suppose  $s \in \Gamma(X, \mathcal{F})$  such that  $s|_{D(f)} = 0$ . Cover  $X$  in finitely many  $D(g_i)$  (as  $X$  is quasicompact), and consider  $s|_{g_i} = s_i \in M_i = \mathcal{F}(D(g_i))$ . Then as  $D(f) \cap D(g_i) = D(fg_i)$ ,

$$\mathcal{F}|_{D(fg_i)} = \widetilde{M_i}_f$$

Then the homomorphism restriction map must imply  $s_i = 0 \in (M_i)_f$ , which by definition of localization implies  $f^{n_i} s_i = 0$ . Doing this for each  $M_i$  and taking the  $n = \max_i n_i$ , by gluing we get that  $f^n s = 0$ .

2. Let  $t \in \mathcal{F}(D(f))$ , and like above consider  $t_i \in \mathcal{F}(D(fg_i)) = (M_i)_f$ . Then by definition, for some  $n > 0$  there is some element  $t_i \in M_i = \mathcal{F}(D(g_i))$  that restricts to  $f^{n_i} t$  on  $D(fg_i)$ . Again, take  $n = \max_i n_i$  to make it independent of choice.

With  $n$  chosen to be large enough, on  $D(g_i) \cap D(g_j) = D(fg_i g_j)$ , the two sections  $t_i, t_j$  restrict to  $f^n t$ . Hence, by part a there exists an  $m > 0$  such that

$$f^{m_{ij}}(t_i - t_j) = 0 \quad \text{on} \quad D(g_i g_j)$$

Naturally take  $m = \max_{i,j} m_{ij}$ . Now the local sections  $f^m t_i \in \mathcal{F}(D(g_i))$  glue to give a global section  $s \in \mathcal{F}(X)$  where  $s|_{D(f)} = f^{n+m} t$ .

When studying algebra, we saw that cokernel did not exist for rings, and this translated to cokernels

of ringed spaces needing to go through the process of sheafification. On the other hand, modules had cokernels. Corollary 4.1.6 would suggest that the category of quasi-coherent sheaves ought to have cokernels, and indeed:

**Proposition 4.1.8: Exactness of Global Section**

Let  $X$  be an affine scheme and  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  an exact sequence of  $\mathcal{O}_X$ -modules, where at least  $\mathcal{F}'$  is quasi-coherent. Then:

$$0 \rightarrow \Gamma(X, \mathcal{F}') \rightarrow \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{F}'') \rightarrow 0$$

is exact

**Proof :**

By proposition 1.1.12,  $\Gamma$  is left-exact, hence it suffices to show it is right-exact when working with  $\mathcal{O}_X$ -modules and at least  $\mathcal{F}'$  is quasi-coherent, namely we must show the map is surjective.

Let  $s \in \Gamma(X, \mathcal{F}'')$ . As  $\mathcal{F} \rightarrow \mathcal{F}''$  is surjective, by exercise 1.3.1[1] there is an open neighborhood  $D(f)$  of  $x$  such that  $s|_{D(f)} \in \mathcal{F}''(D(f))$  lifts to a section  $t_x \in \mathcal{F}(D(f))$ . I claim now that there exists an appropriate  $n > 0$  such that  $f^n s \in \Gamma(\mathcal{F}'', X)$  lifts to a global section  $t \in \mathcal{F}(X)$ .

As  $X$  is an affine scheme, it is quasicompact, and so cover it by a finite principal open sets  $D(g_i)$ , and for  $g_i$   $s|_{D(g_i)} \in \mathcal{F}''(D(g_i))$  lifts to a section  $t_i \in \mathcal{F}(D(g_i))$ . Then, on  $D(f) \cap D(g_i) = D(fg_i)$ , there is  $t_x, t_i \in \mathcal{F}(D(fg_i))$  which both lift  $s|_{D(fg_i)}$ .

$$t_x - t_i \in \mathcal{F}'(D(fg_i))$$

As  $\mathcal{F}'$  is quasi-coherent, by lemma 4.1.7[1], there exists some  $n_i > 0$  such that  $f^{n_i}(t_x - t_i)$  extends to a section:

$$u_i \in \mathcal{F}'(D(g_i))$$

Pick  $n = \max_i n_i$ . Now, taking the image of  $u_i$  (as the function is injective), consider  $t'_i = f^n t_i + u_i \in \mathcal{F}(D(g_i))$ . Then  $t'_i$  is a lifting of  $f^n s|_{D(g_i)}$ , and

$$t'_i|_{D(g_i)} = f^n t_x|_{D(g_i)}$$

Then on  $D(g_i g_j)$ ,  $t'_i, t'_j$  both lift  $f^n s$ , and so  $t'_i - t'_j \in \mathcal{F}'(D(g_i g_j))$ . As  $t'_i|_{D(fg_i g_j)} = t'_j|_{D(fg_i g_j)}$ , by lemma 4.1.7[2] there exists an  $m_{ij}$  such that  $f^{m_{ij}}(t'_i - t'_j) = 0$  on  $D(g_i g_j)$ . As usual, take  $m = \max_{i,j} m_{ij}$ . Then the section  $f^m t'_i \in \mathcal{F}(D(g_i))$  glue to a global section  $t'' \in \mathcal{F}(X)$ , which is a lift of  $f^{n+m} s$ .

Now, to show each  $s$  has a nonempty pre-image. As  $X$  is quasicompact, cover  $X$  by  $D(f_1), \dots, D(f_r)$ . Then we know that each  $s|_{D(f_i)} \in \mathcal{F}''(D(f_i))$  lifts to a section in  $\mathcal{F}(D(f_i))$ . Then by what was just shown there exists a global section  $t_i \in \mathcal{F}(X)$  such that  $t_i$  is a lift of  $f_i^n s$  where  $n$  is the maximum value of all lifts.

Now, As  $D(f_i)$  cover  $X$ ,  $(f_1^n, \dots, f_r^n) = R$ , so let

$$1 = \sum_i a_i f_i^n$$

Take  $t = \sum_i a_i t_i \in \mathcal{F}(X)$ . Then the image  $t$  is

$$\sum_i a_i f_i^n s = s$$

showing each  $s$  has a nonempty preimage, as we sought to show.

### Proposition 4.1.9: All Objects Are Quasi-Coherent

Let  $X$  be a scheme. Then

1. the kernel, cokernel, and image of any morphism of quasi-coherent sheaves are quasi-coherent.
2. Any extension are quasi-coherent.

If  $X$  is Noetherian, the same is true for coherent. If  $f : X \rightarrow Y$  is a morphism of schemes then:

1. If  $\mathcal{G}$  is a quasi-coherent  $\mathcal{O}_Y$ -module, then  $f^*\mathcal{G}$  is a quasi-coherent  $\mathcal{O}_X$ -module
2. If  $X, Y$  and Noetherian,  $\mathcal{G}$  is coherent, then  $f^*\mathcal{G}$  is coherent
3. If either  $X$  is Noetherian or  $f$  is quasicompact and separated, then if  $\mathcal{F}$  is quasi-coherent,  $f_*\mathcal{F}$  is quasi-coherent.

sheaves.

#### Proof :

As shown in chapter 1, these properties can be shown locally, so it suffices to assume  $X$  is affine. By proposition 4.1.3

Note that if  $X, Y$  are Noetherian, it is *not necessarily* the case that  $f_*$  of a coherent is a coherent sheaf!

#### Example 4.3: Image of Noetherian Not Coherent

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There are however many sufficient properties that can be added to  $f$  to make the image coherent, for example finite, projective, or proper. (see ref:HERE)

I think all here about ideal sheaves I can cover in the closed scheme section, and the quasi-coherent/coherent results can go under the example environment

## 4.2 Quasi-Coherent Sheaves on Projective Schemes

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## 4.3 Divisors

Divisors are a way of measuring how far away the geometry of your space is constructed by “principal” objects (think of principal ideals of a ring). There are two fundamental approaches to this: by seeing

how far away the zero set of functions are from being constructed by 1 element, and by looking at the irreducible codimension 1 subschemes. The first approach shall yield *Cartier Divisors* while the second approach shall yield *Weil Divisors*. In most nice cases (think Integral Noetherian Schemes), these two shall give the same notion of divisor. If you have read [3, chapter 22.7], then in this section we shall generalize the notion of divisors to general schemes and show they relate to a *Picard Scheme*.

The intuition for divisors works well when starting with examples from complex analysis (in particular, see [2, chapter 3 and 6]). In this case, the two notions of divisors shall coincide and so we shall be finding the divisors for Riemann surface  $X$ . Starting with  $X = \mathbb{C}$ , consider the free-abelian group generated by the points of  $X$ , so that each element of this group is of the form:

$$\sum_i n_i p_i \quad n_i \in \mathbb{Z}, p_i \in X$$

We may ask if there is a meromorphic function associated to this function. As we are interested in algebraic constructions, we limit ourselves to function with a finite number of poles and zero, that is all *rational functions*. Then we get that for each  $\sum_i n_i p_i$ , we can associate to it the meromorphic function;

$$(z - p_i)^{n_i}$$

Let us call the set of meromorphic functions the *principle divisors*, and the finite formal  $\mathbb{Z}$ -linear combinations the *divisors*. Then the quotient of these two groups is zero:

$$\frac{\text{Divisors}}{\text{Principal Divisors}} = 0$$

Let us now consider  $X$  to be the Riemann surface. We shall once again take the divisor group to be the free group. This time, the principal divisor group (the group of meromorphic functions) has a fundamental limitation placed on it: recall that the sum of the zero's and pole of a meromorphic function is zero<sup>1</sup>. In this case, the sum of each divisor is some integer  $n \in \mathbb{Z}$ . Using this, you can show that:

$$\frac{\text{Divisors}}{\text{Principal Divisors}} \cong \mathbb{Z}$$

Finally, consider an elliptic curve given by  $\mathbb{C}/L$  where  $L$  is a lattice. **Richard Borcherds, finish off!**

---

<sup>1</sup>Recall that by adding the point at infinite, we shall add the “compliment” of each zero/pole; convince yourself that  $z^2$  has two zeros and two poles

# 5

---

## *Cohomology of Schemes*

---

Let  $X$  be a scheme. There are a few natural cohomological questions we may ask about  $X$ :

1. Can we quantify the gluing of the affine open sets that make of  $X$  so that the cohomology of affine scheme's is trivial?
2. If we have open sets  $U \subseteq V \subseteq X$ , to what degree  $\text{res} : V \rightarrow U$  fails to be surjective?

These shall be answered in this chapter. There shall be many cohomological theories presented, which shall all turn out to be describing the same phenomena from different angles.

The reader may want to review [3, Part VII] to get a refresher on (co)homology theory.

### 5.1 Definition and Basic Properties

Recall that if  $R$  is a ring, then every  $R$ -module is isomorphic to a submodule of an injective module (see [3, chapter 16.3]). From this, we shall be able to conclude that ringed space have enough injectives

#### Proposition 5.1.1: Ringed Space Has Enough Injectives

Let  $(X, \mathcal{O}_X)$  be a ringed space. Then the category of sheaves of  $\mathcal{O}_X$ -modules,  $\mathcal{O}_X\mathbf{Mod}$  has enough injectives.

**Proof :**

Let  $\mathcal{F}$  be a sheaf of  $\mathcal{O}_X$ -modules. Then for each  $x \in X$ , the stalk  $\mathcal{F}_x$  is an  $\mathcal{O}_{x,X}$ -module. Then there is an injection

$$\mathcal{F}_x \rightarrow I_x$$



where  $I_x$  is an injective  $\mathcal{O}_{x,X}$ -module. We can do this for each point. Now, Let  $j : \{x\} \rightarrow X$  denote the inclusion of the one-point space for each point, let  $\{x\}$  have  $I_x$  as a sheaf, and define the sheaf:

$$\mathcal{I} = \prod_{x \in X} j_*(I_x)$$

where  $j_*$  is the usual pushforward. This shall be our injective object.

For any  $\mathcal{O}_X$ -module  $\mathcal{G}$ , consider

$$\mathrm{Hom}_{\mathcal{O}_X}(\mathcal{G}, \mathcal{I}) = \prod \mathrm{Hom}_{\mathcal{O}_X}(\mathcal{G}, j_*(I_x))$$

Then for each point  $x \in X$ , notice that:

$$\mathrm{Hom}_{\mathcal{O}_X}(\mathcal{G}, j_*(I_x)) \cong \mathrm{Hom}_{\mathcal{O}_{x,X}}(\mathcal{G}_x, I_x)$$

From this, we see that if we pick  $\mathcal{G} = \mathcal{G}$ , we get a natural injective  $\mathcal{O}_X$ -module map  $\mathcal{F} \rightarrow \mathcal{I}$  given by  $\mathcal{F}_x \rightarrow I_x$ . Furthermore,  $\mathrm{Hom}_{\mathcal{O}_X}(-, \mathcal{I})$  is exact as it is the product  $\mathrm{Hom}_{\mathcal{O}_{x,X}}(-, I_x)$  which is exact as  $I_x$  is injective, and the stalk functor  $\mathcal{G} \mapsto \mathcal{G}_x$  which is exact by 1.1.25. Hence,  $\mathrm{Hom}(-, \mathcal{I})$  is exact, making  $\mathcal{I}$  an injective  $\mathcal{O}_X$ -module, completing the proof.

#### Corollary 5.1.2: Sheaves of Abelian's Has Enough Injectives

Let  $X$  be a topological space. Then the category of sheaves of abelian groups on  $X$  has enough injectives

**Proof :**

Take  $\mathcal{O}_X = \mathbb{Z}$  and apply proposition 5.1.1

#### Definition 5.1.3: Global Section Functor

Let  $X$  be a topological space. Then the *global section functor*

$$\Gamma(X, -) : \mathrm{Ab}(X) \rightarrow \mathrm{Ab}$$

which is left- but not right-exact (by proposition 1.1.12). Then the cohomology functors  $H^i(X, -)$ , the right derived functors of  $\Gamma(X, -)$  are the *cohomology groups* (with respect to a sheaf).

To be able to create resolutions, recall that it is enough to find acyclic objects. We shall show that the following types of objects shall be acyclic:

#### Definition 5.1.4: Flasque Sheaf

Let  $X$  be a topological space. Then a sheaf  $\mathcal{F}$  on  $X$  is called *flasque* if for every inclusion  $U \subseteq V$ ,  $\mathrm{res} : \mathcal{F}(V) \rightarrow \mathcal{F}(U)$  is surjective.

**Proposition 5.1.5: Injective Sheaf Modules Are Flasque**

Let  $(X, \mathcal{O}_X)$  be a ringed space. Then any injective  $\mathcal{O}_X$ -module is flasque

**Proof :**

Proof. For any open subset  $V \subseteq X$ , let  $\mathcal{O}_V$  denote the sheaf  $j_!(\mathcal{O}_X|_V)$ , which is the restriction of  $\mathcal{O}_X$  to  $V$ , extended by zero outside  $V$ . Now let  $\mathcal{I}$  be an injective  $\mathcal{O}_X$ -module, and let  $V \subseteq U$  be open sets. Then we have an inclusion  $0 \rightarrow \mathcal{O}_U \rightarrow \mathcal{O}_V$  of sheaves of  $\mathcal{O}_X$ -modules. Since  $\mathcal{I}$  is injective, we get a surjection  $\text{Hom}(\mathcal{O}_V, \mathcal{I}) \rightarrow \text{Hom}(\mathcal{O}_U, \mathcal{I}) \rightarrow 0$ . But  $\text{Hom}(\mathcal{O}_U, \mathcal{I}) = \mathcal{I}(U)$  and  $\text{Hom}(\mathcal{O}_V, \mathcal{I}) = \mathcal{I}(V)$ , so  $\mathcal{I}$  is flasque.

**Corollary 5.1.6: Trivial Homology For Flask Sheaf**

Let  $\mathcal{F}$  be a flasque sheaf on  $X$ . Then

$$H^i(X, \mathcal{F}) = 0 \quad i > 0$$

**Proof :**

As there are enough injective, embed  $\mathcal{F}$  into  $\mathcal{I}$ , and take its quotient  $\mathcal{G}$ . Most importantly, check that the quotient sheaf of two flasque sheaves is flasque, and so we have a short exact sequence of flasque sheaves:

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I} \rightarrow \mathcal{G} \rightarrow 0$$

As  $\mathcal{F}$  is flasque, we have the  $\Gamma(X, -)$  will preserve this exact sequence:

$$0 \rightarrow \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{I}) \rightarrow \Gamma(X, \mathcal{G}) \rightarrow 0$$

As  $\mathcal{I}$  is injective,  $H^i(X, \mathcal{I}) = 0$  for  $i > 0$ , and so by taking the long exact sequence of cohomology:

$$H^1(X, \mathcal{F}) = 0, \quad H^i(X, \mathcal{F}) \cong H^{i-1}(X, \mathcal{G}), \quad i \geq 2$$

As  $\mathcal{G}$  is also flasque, we get by induction that  $H^i(X, \mathcal{F}) = 0$  for  $i > 0$ , completing the proof.

Hence, flasque sheaves are acyclic for  $\Gamma(X, -)$ , and so we may compute cohomology by taking Flasque resolutions! Now, let us link this to schemes. First, if  $(X, \mathcal{O}_X)$  is a ringed space, by taking  $R = \Gamma(X, \mathcal{O}_X)$ , we always have a natural  $R$ -module structure on any  $\mathcal{O}_X$ -modules  $\mathcal{F}$ . Thus, the cohomology groups of  $\mathcal{F}$  have a natural  $R$ -module structure on them, and the associated exact sequence are naturally  $R$ -modules. Then for  $R$ -schemes, the cohomology groups of any  $\mathcal{O}_X$ -module will have natural  $R$ -modules structure.

Let us now prove our first sheaf cohomology result: let us try to bring in our results on dimension and Noetherian space. To prove this, let us first build-up the appropriate results for the cohomology of Noetherian space

(Hartshorne p.207/208)

**Theorem 5.1.7: Noetherian Space and Dimension**

Let  $X$  be a Noetherian topological space of dimension  $n$ . Then for all  $i > n$ , all sheaves of abelian groups  $\mathcal{F}$  on  $X$  vanish:

$$H^i(X, \mathcal{F}) = 0 \quad i > n$$

**Proof :**

## 5.2 Čech Cohomology

The Čech Cohomology computes to what degree a topological space  $X$  with a sheaf of abelian groups is covered. In the case where  $X$  is a Noetherian separated scheme with a quasi-coherent sheaf and the covering is an affine open covering, then the Čech cohomology groups shall be equal to the cohomology groups defined by the Global section functor. Čech cohomology shall provide practical way to compute the cohomology. The following construction will be familiar to those who have seen the computation of group cohomology or have covered algebraic topology (see [5, chapter 4])

Let  $X$  be a topological space and let  $\mathcal{U} = (U_i)_{i \in I}$  be an open covering. Give  $I$  a well-ordering. Then for any finite set of indices  $i_0, \dots, i_p \in I$ , let

$$U_{i_0, \dots, i_p} = U_{i_0} \cap \dots \cap U_{i_p}$$

Let  $\mathcal{F}$  be a sheaf of abelian groups on  $X$ . Define  $C^*(\mathcal{U}, \mathcal{F})$  to be:

$$C^p(\mathcal{U}, \mathcal{F}) = \prod_{i_0 < \dots < i_p} \mathcal{F}(U_{i_0, \dots, i_p})$$

where each element  $\alpha \in C^p(\mathcal{U}, \mathcal{F})$  is given choosing an element  $\alpha_{i_0, \dots, i_p} \in \mathcal{F}(U_{i_0, \dots, i_p})$ . For each  $(p+1)$ -tuple  $i_0 < \dots < i_{p+1}$ . Define the coboundary map  $d : C^p \rightarrow C^{p+1}$  to be:

$$(d\alpha)_{i_0, \dots, i_{p+1}} = \sum_{k=0}^{p+1} (-1)^k \alpha_{i_0, \dots, \widehat{i_k}, \dots, i_{p+1}}|_{U_{i_0, \dots, i_{p+1}}}$$

As usual,  $d^2 = 0$ , and so

**Definition 5.2.1: Čech Cohomology**

Let  $X$  be a topological space and let  $\mathcal{U}$  be an open covering of  $X$ . For any sheaf of abelian groups  $\mathcal{F}$  on  $X$ , define the Čech cohomology group of  $\mathcal{F}$  with respect to  $\mathcal{U}$  to be:

$$\check{H}^p(\mathcal{U}, \mathcal{F}) = h^p(C^*(\mathcal{U}, \mathcal{F})) = \frac{\ker d^{p+1}}{\operatorname{im} d^p}$$

# ***6***

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## ***Application: Curves and Surfaces***

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Here

### **6.1 Curves**

Here

### **6.2 Surfaces**

Here

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