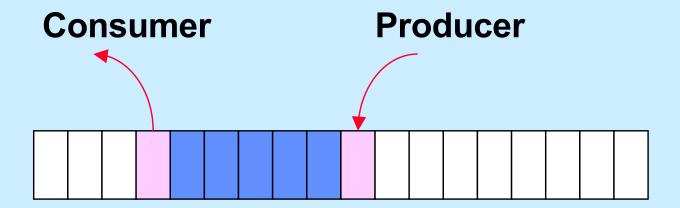
**CS 33** 

Multithreaded Programming III

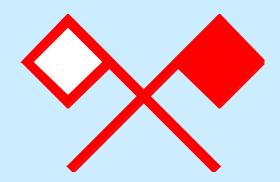
#### **Producer-Consumer Problem**



#### **Guarded Commands**

```
when (guard) [
 /*
    once the guard is true, execute this
    code atomically
   * /
```

### **Semaphores**



P(S) operation:

V(S) operation:

$$[S = S + 1;]$$

# Producer/Consumer with Semaphores

```
Semaphore empty = BSIZE;
             Semaphore occupied = 0;
             int nextin = 0:
             int nextout = 0;
P(empty);
                             char item;
                             P(occupied);
 buf[nextin] = item;
                             item = buf[nextout];
 if (++nextin >= BSIZE)
   nextin = 0;
                             if (++nextout >= BSIZE)
                               nextout = 0;
 V (occupied);
                             V(empty);
                             return item;
```

## **POSIX Semaphores**

```
#include <semaphore.h>
int sem init(sem t *semaphore, int pshared, int init);
int sem destroy(sem t *semaphore);
int sem wait(sem t *semaphore);
    /* P operation */
int sem trywait(sem t *semaphore);
    /* conditional P operation */
int sem post(sem t *semaphore);
    /* V operation */
```

# Producer-Consumer with POSIX Semaphores

```
sem init(&empty, 0, BSIZE);
             sem init(&occupied, 0, 0);
             int nextin = 0;
             int nextout = 0;
void produce(char item) {         char consume() {
                                char item;
  sem wait(&empty);
                                 sem wait (&occupied);
                                item = buf[nextout];
 buf[nextin] = item;
  if (++nextin >= BSIZE)
                                if (++nextout >= BSIZE)
   nextin = 0;
                                  nextout = 0;
  sem post(&occupied);
                                 sem post(&empty);
                                return item;
```

#### Quiz 1

Does the POSIX version of the producerconsumer solution work with multiple producers and consumers?

- a) Yes
- b) No, but it can be made to work by using mutexes to make sure that only one thread is executing the producer code at a time and only one thread is executing the consumer code at a time
- c) It can't easily be made to work





```
void wait_for_start(state_t *s);

void start(state_t *s);

void stop(state t *s);
```





```
void wait for start(state t *s) {
  if (s->state == stopped)
    sleep();
void start(state t *s) {
  state = started;
  wakeup all();
void stop(state t *s) {
  state = stopped;
```





```
void wait for start(state t *s) {
  pthread mutex lock(&s->mutex);
  if (s->state == stopped) {
    pthread mutex unlock(&s->mutex);
    sleep();
  else pthread mutex unlock(&s->mutex);
void start(state t *s) {
  pthread mutex lock(&s->mutex);
  state = started;
  wakeup all();
  pthread mutex unlock(&s->mutex);
```



```
void wait for start(state t *s) {
  pthread mutex lock(&s->mutex);
  if (s->state == stopped) {
    sleep();
  pthread mutex unlock(&s->mutex);
void start(state t *s) {
  pthread mutex lock(&s->mutex);
  state = started;
  wakeup all();
  pthread mutex unlock(&s->mutex);
```





```
void wait for start(state t *s) {
  pthread mutex lock(&s->mutex);
  while (s->state == stopped)
    pthread cond wait(&s->queue, &s->mutex);
  pthread mutex unlock(&s->mutex);
void start(state t *s) {
  pthread mutex lock(&s->mutex);
  s->state = started;
  pthread cond broadcast(&s->queue);
  pthread mutex unlock(&s->mutex);
```

#### **Condition Variables**

```
when (guard) [
                                    pthread mutex lock(&mutex);
  statement 1;
                                    while(!quard)
                                      pthread cond wait (
                                         &cond var, &mutex);
  statement n;
                                    statement 1;
                                    statement n;
                                    pthread mutex unlock (&mutex);
// code modifying the guard:
                                    pthread mutex lock(&mutex);
                                    // code modifying the guard:
                                    pthread cond broadcast (
                                         &cond var);
                                    pthread mutex unlock(&mutex);
```

### **Set Up**

## PC with Condition Variables (1)

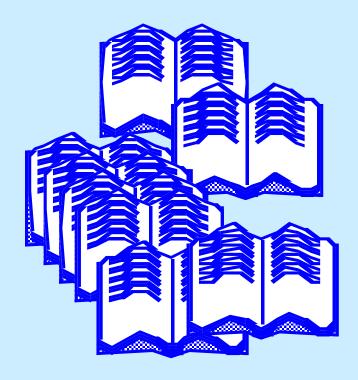
```
typedef struct buffer {
   pthread_mutex_t m;
   pthread_cond_t more_space;
   pthread_cond_t more_items;
   int next_in;
   int next_out;
   int empty;
   char buf[BSIZE];
}
```

## PC with Condition Variables (2)

```
void produce(buffer_t *b,
    char item) {
  pthread mutex lock(&b->m);
  while (!(b->empty > 0))
   pthread cond wait (
       \&b->more space, \&b->m);
  b->buf[b->nextin] = item;
  if (++(b->nextin) == BSIZE)
    b->nextin = 0;
  b->empty--;
  pthread cond signal (
     &b->more items);
  pthread mutex unlock(&b->m);
```

```
char consume(buffer t *b) {
  char item;
  pthread mutex lock(&b->m);
  while (!(b->empty < BSIZE))</pre>
   pthread cond wait (
       &b->more items, &b->m);
  item = b->buf[b->nextout];
  if (++(b->nextout) == BSIZE)
    b->nextout = 0;
  b->empty++;
  pthread cond signal (
     &b->more space);
  pthread mutex unlock(&b->m);
  return item;
```

#### **Readers-Writers Problem**





#### **Pseudocode**

```
reader() {
    when (writers == 0) [
        readers++;
    ]

    /* read */
    [readers--;]
}
```

#### **Pseudocode with Assertions**

```
reader() {
  when (writers == 0) [
    readers++;
]

assert((writers == 0) &&
    (readers > 0));
/* read */
[readers--;]
}
```

```
writer() {
 when ((writers == 0) &&
     (readers == 0)) [
   writers++;
  assert((readers == 0) &&
     (writers == 1));
  /* write */
  [writers--;]
```

#### **Solution with POSIX Threads**

```
reader() {
 pthread mutex lock(&m);
 while (! (writers == 0))
    pthread cond wait (
        &readersQ, &m);
  readers++;
 pthread mutex unlock(&m);
  /* read */
 pthread mutex lock(&m);
  if (--readers == 0)
    pthread cond signal (
        &writers();
  pthread mutex unlock(&m);
```

```
writer() {
  pthread mutex lock(&m);
  while(!((readers == 0) &&
      (writers == 0))
   pthread cond wait (
       &writersQ, &m);
  writers++;
  pthread mutex unlock(&m);
  /* write */
  pthread mutex lock(&m);
  writers--;
  pthread cond signal (
       &writersO);
  pthread cond broadcast (
     &readers();
  pthread mutex unlock (&m);
```

#### Quiz 2

## If a thread calls writer, it will eventually return from writer (assuming well behaved threads).

- a) yes, always
- b) it will usually return, but it's possible that it will not return
- c) it might return, but it's highly likely that it will never return
- d) no, never

#### **New Pseudocode**

```
writer() {
reader() {
 when (writers == 0) [
                               [writers++;]
                               when ((readers == 0) &&
   readers++;
                                  (active writers == 0)) [
                                active writers++;
 /* read */
                               /* write */
  [readers--;]
                               [writers--;
                               active writers--;]
```

## Improved Reader

```
reader() {
 pthread mutex lock(&m);
                                  pthread mutex lock(&m);
 while (!(writers == 0)) {
                                  if (--readers == 0)
   pthread cond wait (
                                   pthread cond signal (
        &readersQ, &m);
                                         &writersQ);
 readers++;
                                  pthread mutex unlock (&m);
 pthread mutex unlock(&m);
 /* read */
```

## **Improved Writer**

```
writer() {
  pthread mutex lock(&m);
                                        pthread mutex lock(&m);
                                        writers--;
  writers++;
                                          active writers--;
  while (!((readers == 0) &&
                                        if (writers)
        (active writers == 0))) {
                                         pthread cond signal (
   pthread cond wait (
                                              &writers();
         &writersO, &m);
                                        else
                                         pthread cond broadcast (
  active writers++;
                                               &readersO);
  pthread mutex unlock (&m);
                                        pthread mutex unlock (&m);
  /* write */
```

#### Quiz 3

## If a thread calls reader, it will eventually return from writer (assuming well behaved threads).

- a) yes, always
- b) it will usually return, but it's possible that it will not return
- c) it might return, but it's highly likely that it will never return
- d) no, never

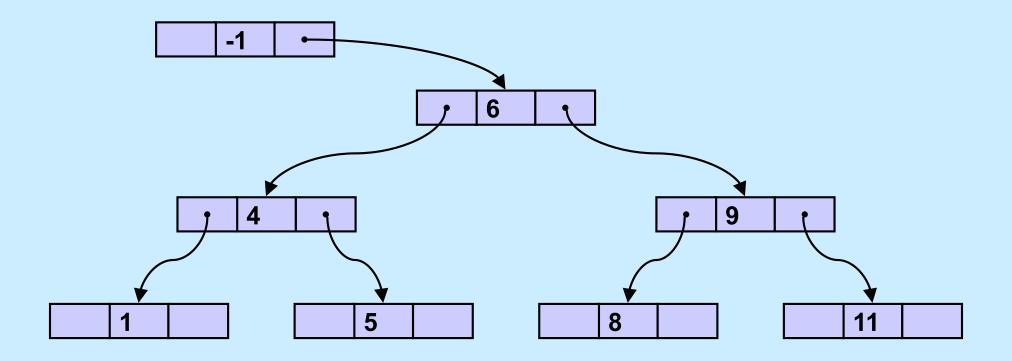
### New, From POSIX!

```
int pthread rwlock init (pthread rwlock t *lock,
      pthread rwlockattr t *att);
int pthread rwlock destroy(pthread rwlock t *lock);
int pthread rwlock rdlock (pthread rwlock t *lock);
int pthread rwlock wrlock(pthread rwlock t *lock);
int pthread rwlock tryrdlock(pthread rwlock t *lock);
int pthread rwlock trywrlock(pthread rwlock t *lock);
int pthread timedrwlock rdlock (pthread rwlock t *lock,
      struct timespec *ts);
int pthread timedrwlock wrlock (pthread rwlock t *lock,
      struct timespec *ts);
int pthread rwlock unlock(pthread rwlock t *lock);
```

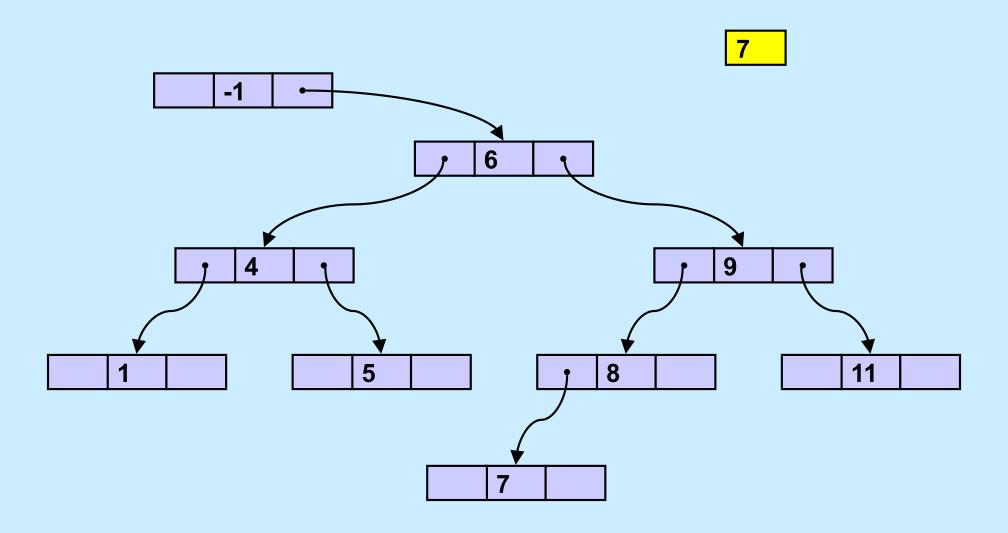
#### Quiz 4

- Missing in the rwlock API is a function to "upgrade" a readers lock into a writers lock. It's not included because
  - a) it's rarely needed, so there's no point to including it
  - b) the same effect could be achieved by unlocking the readers lock, then taking a writers lock
  - c) using such a function would be likely to cause deadlock

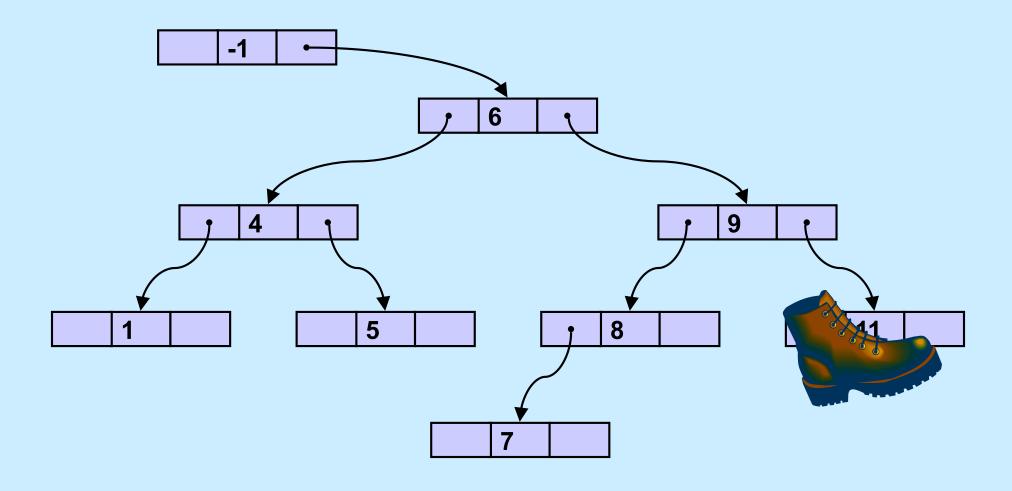
## **Binary Search Tree**



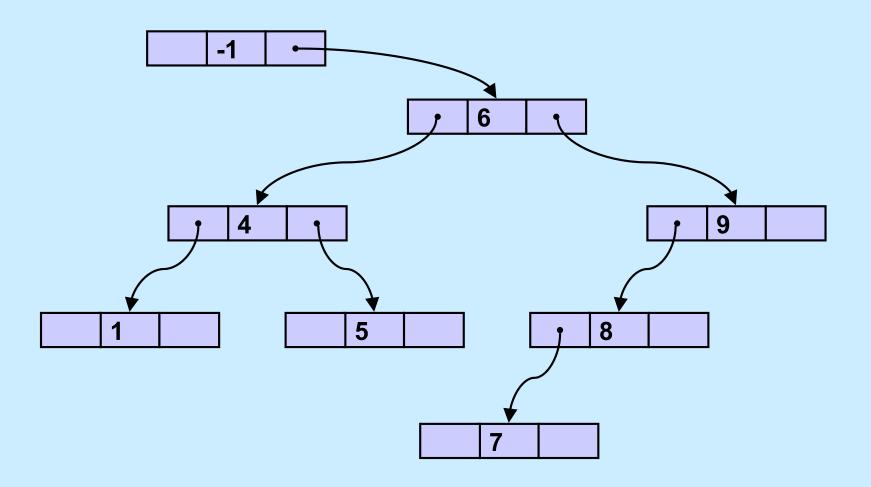
## **Binary Search Tree: Insertion**



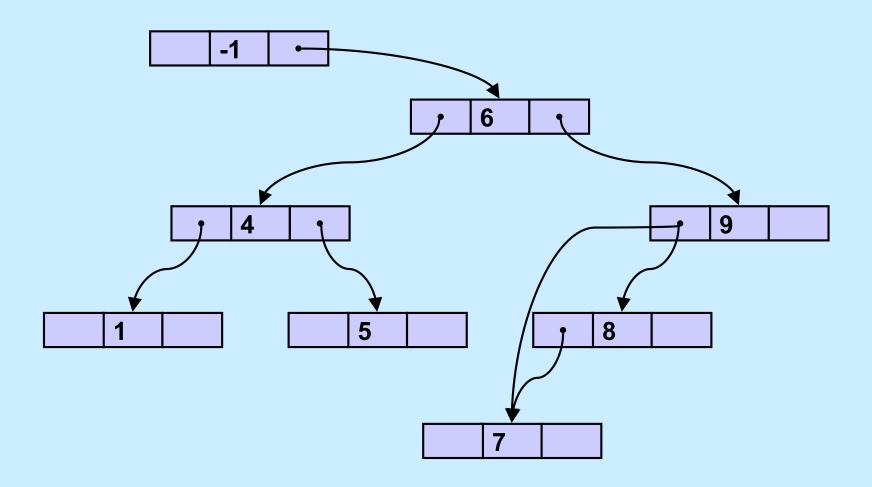
## **Binary Search Tree: Deletion of Leaf**



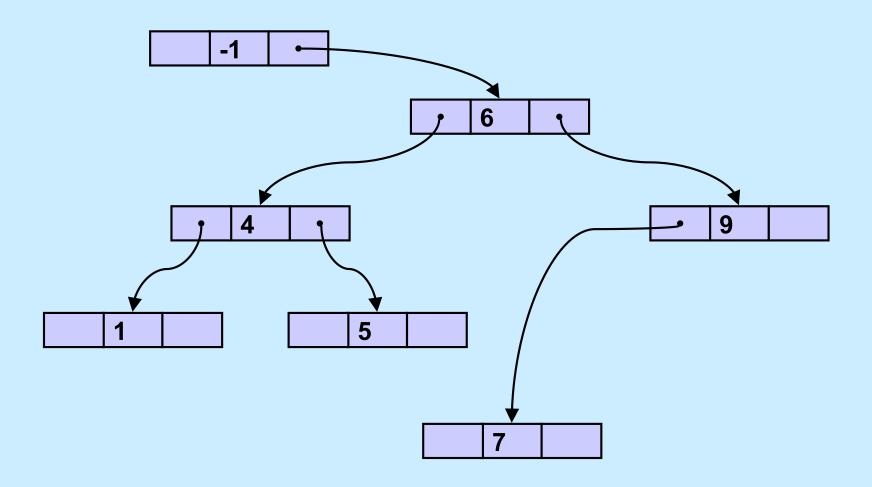
## **Binary Search Tree: Deletion of Leaf**



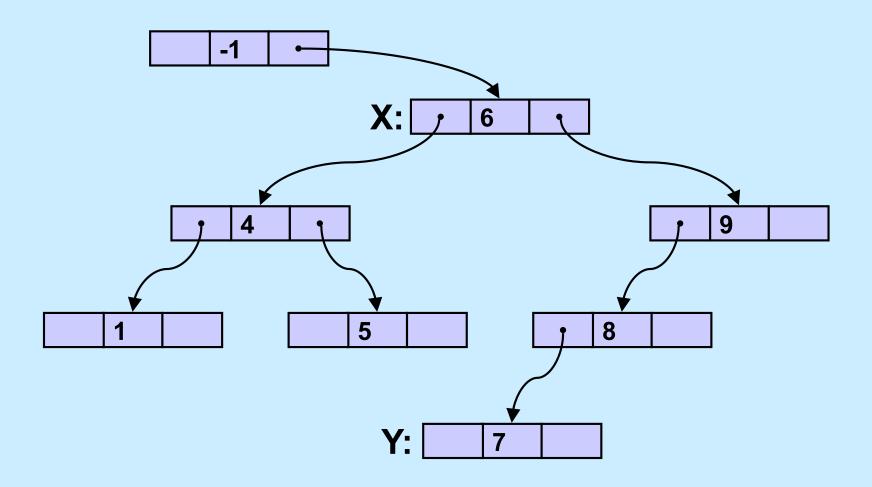
## **Binary Search Tree: Deletion of Node with One Child**



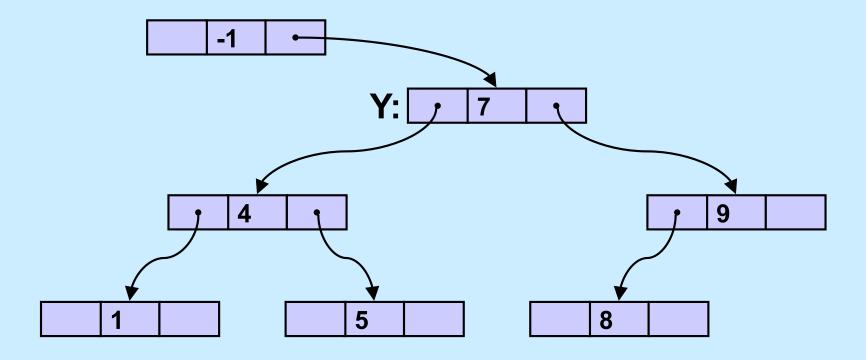
## **Binary Search Tree: Deletion of Node with One Child**



## **Binary Search Tree: Deletion of Node with Two Children**



## **Binary Search Tree: Deletion of Node with Two Children**



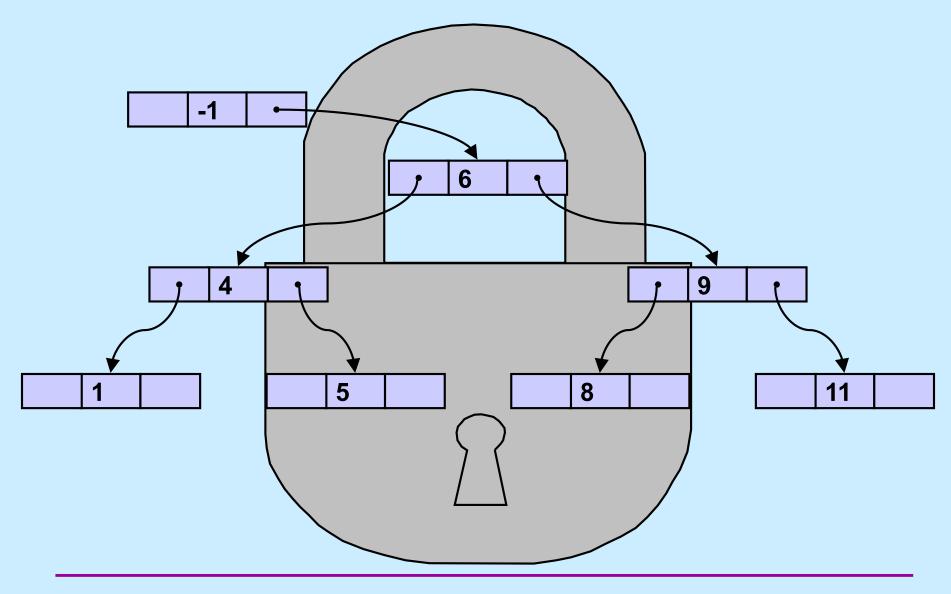
### C Code: Search

```
Node *search(int key,
                                        } else {
    Node *parent, Node **parentp) {
                                          if ((next = parent->rchild)
  Node *next;
                                              == 0)
  Node *result;
                                            result = 0;
  if (key < parent->key) {
                                          } else {
    if ((next = parent->lchild)
                                            if (key == next->key) {
        == 0)
                                              result = next;
      result = 0;
                                            } else {
    } else {
                                              result = search(key,
      if (key == next->key) {
                                                  next, parentpp);
        result = next;
                                              return result;
      } else {
        result = search(key,
            next, parentpp);
                                        if (parentpp != 0)
        return result;
                                          *parentpp = parent;
                                        return result;
```

#### C Code: Add

```
int add(int key) {
 Node *parent, *target, *newnode;
  if ((target = search(key, &head, &parent)) != 0) {
    return 0;
 newnode = malloc(sizeof(Node));
 newnode->key = key;
 newnode->lchild = newnode->rchild = 0;
  if (name < parent->name)
    parent->lchild = newnode;
 else
    parent->rchild = newnode;
  return 1;
```

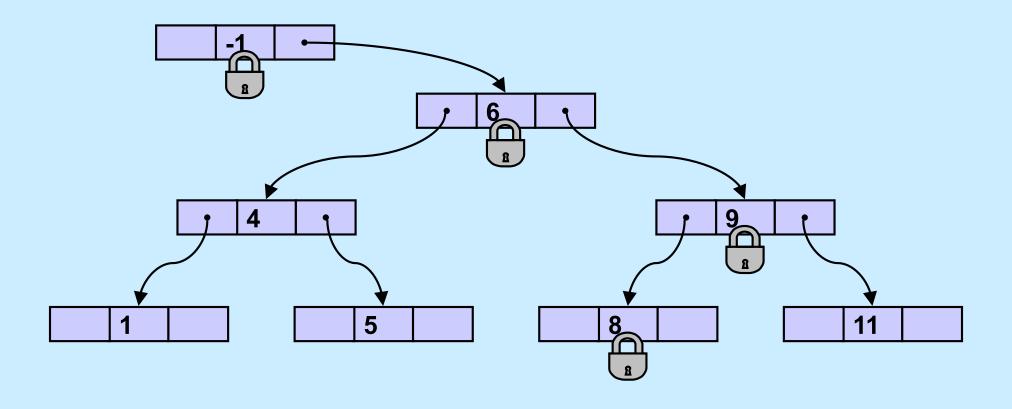
## **Binary Search Tree**with Coarse-Grained Synchronization



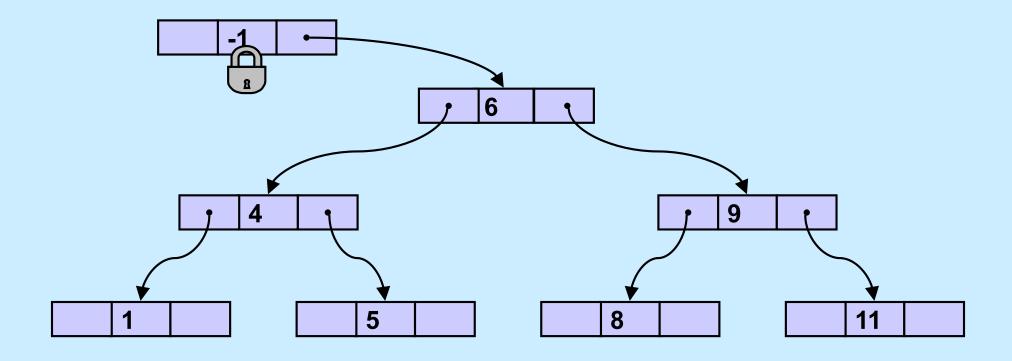
## C Code: Add with Coarse-Grained Synchronization

```
int add(int key) {
 Node *parent, *target, *newnode;
 pthread rwlock wrlock (&tree lock);
 if ((target = search(key, &head, &parent)) != 0) {
   pthread rwlock unlock (&tree lock);
   return 0:
 newnode = malloc(sizeof(Node));
 newnode -> key = key;
 newnode->lchild = newnode->rchild = 0;
 if (name < parent->name)
   parent->lchild = newnode;
 else
   parent->rchild = newnode;
 pthread rwlock unlock (&tree lock);
 return 1:
```

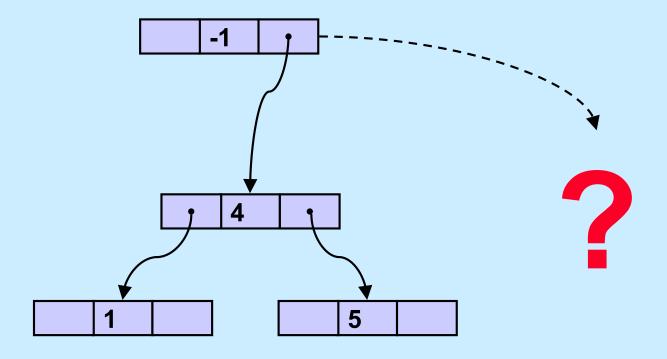
# **Binary Search Tree**with Fine-Grained Synchronization I



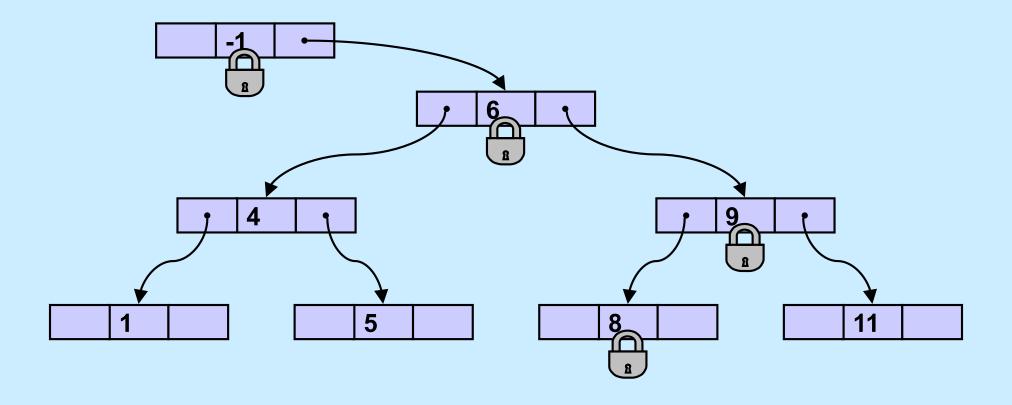
## **Binary Search Tree**with Fine-Grained Synchronization II



## **Binary Search Tree**with Fine-Grained Synchronization III



### Doing It Right ...



### C Code: Fine-Grained Search I

```
enum locktype {l read, l write};
                                         } else {
                                              lock(lt, &next->lock);
#define lock(lt, lk) ((lt) == l read)?
                                              if (key == next->key) {
      pthread rwlock rdlock(lk):
                                                result = next;
      pthread rwlock wrlock(lk)
                                              } else {
                                                pthread rwlock unlock (
Node *search(int key,
                                                     &parent->lock);
    Node *parent, Node **parentp,
                                                result = search(key,
    enum locktype lt) {
                                                    next, parentpp, lt);
   // parent is locked on entry
                                                 return result;
 Node *next;
 Node *result;
  if (key < parent->key) {
    if ((next = parent->lchild)
        == 0)
      result = 0;
```

### C Code: Fine-Grained Search II

```
} else {
                                           } else {
  if ((next = parent->rchild)
                                            pthread rwlock unlock (
      == 0)
                                                 &parent->lock);
     result = 0;
                                             result = search(key,
   } else {
                                                 next, parentpp, lt);
     lock(lt, &next->lock);
                                            return result;
     if (key == next->key) {
       result = next;
                                      if (parentpp != 0) {
                                        // parent remains locked
                                        *parentpp = parent;
                                      } else
                                        pthread rwlock unlock (
                                             &parent->lock);
                                      return result;
```

### Quiz 5

The search function takes read locks if the purpose of the search is for a query, but takes write locks if the purpose is for an add or a delete. Would it make sense for it always to take read locks until it reaches the target of the search, then take a write lock just for that target?

- a) Yes, since doing so allows more concurrency
- b) No, it would work, but there would be no increase in concurrency
- c) No, it would not work

## C Code: Add with Fine-Grained Synchronization I

## C Code: Add with Fine-Grained Synchronization II

```
newnode = malloc(sizeof(Node));
newnode->key = key;
newnode->lchild = newnode->rchild = 0;
pthread_rwlock_init(&newnode->lock, 0);
if (name < parent->name)
   parent->lchild = newnode;
else
   parent->rchild = newnode;
pthread_rwlock_unlock(&parent->lock);
return 1;
```

### Quiz 6

The add function calls malloc. Could we use the malloc that you'll finish by Wednesday for this, or do we need a different one that's safe for use in multithreaded programs?

- a) Since the calling thread has a write lock on the parent of the new node, it's safe to call the standard malloc
- b) Even if the calling thread didn't have a write lock on the parent, it would be safe to call the the standard malloc
- c) We will need a new malloc, one that's safe for use in multithreaded programs