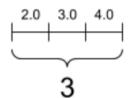


# Reminder: Scalars, Vectors, Matrices & Tensors

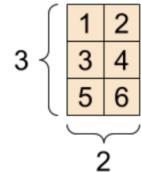
A scalar, shape: [ ]

4

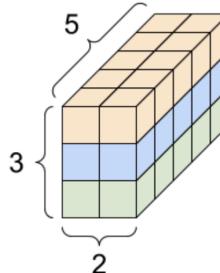
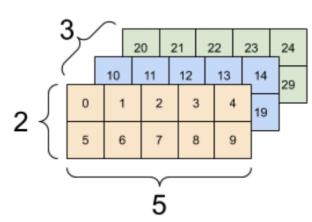
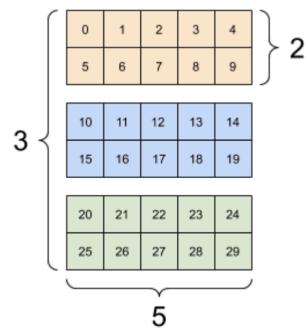
A vector, shape: [ 3 ]



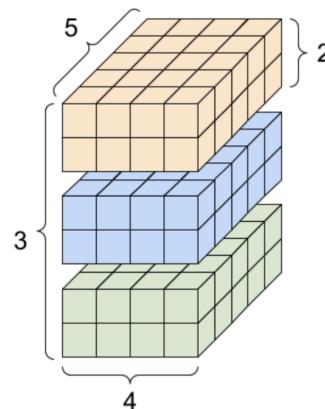
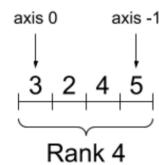
A matrix, shape: [ 3, 2 ]



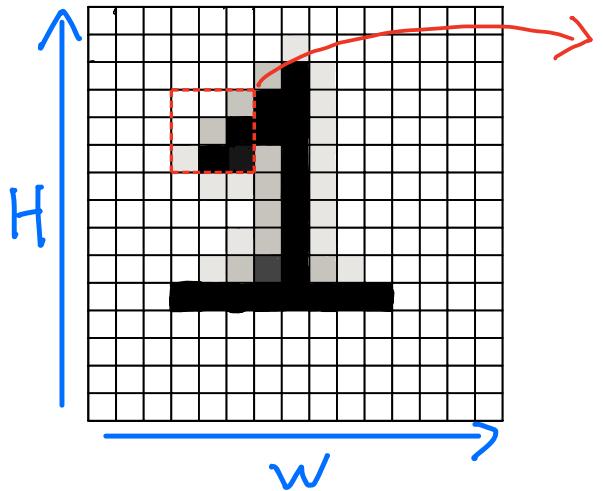
A 3-axis tensor, shape: [ 3, 2, 5 ]



A rank-4 tensor, shape: [ 3, 2, 4, 5 ]

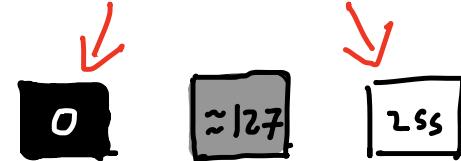


# Representing Images



255	255	127
255	127	0
192	0	62

- Images composed of pixel features
- Typically 8-bit values 0 - 255 (int)

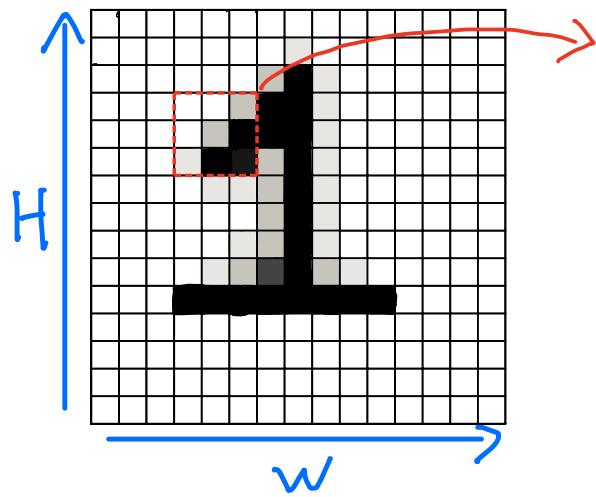


0 → Black      ≈127      255 → White

Single obs x is a matrix:  $H \times W$

Batch  $\underline{X}$  is a 3-D Tensor:  $N \times H \times W$

# Representing Images



→

255	255	127
255	127	0
192	0	62

0 to 1  
good

1.	1.	0.5
1.	0.5	0.
0.7	0.	0.2

-1 to 1  
better

1.	1.	0.
1.	0.	-1.
1.2	-1.	-0.5

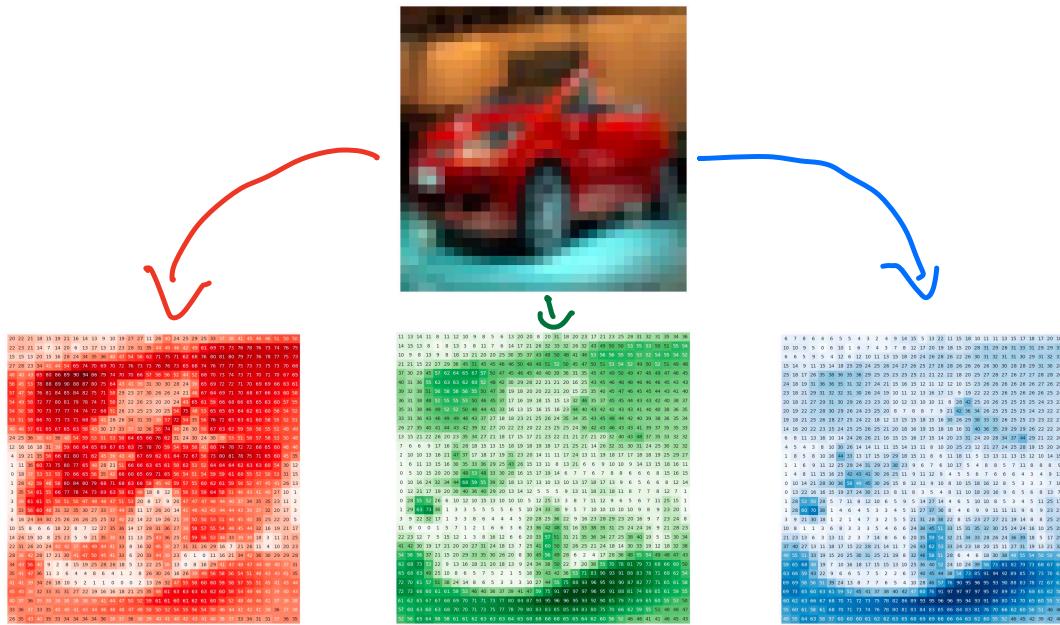
For Neural Networks Want  
to convert to float and rescale

Norm  
best!  
[But dataset  
specific :-]

3.1	3.1	0.1
3.1	0.1	-3.
1.4	-3.	-1.1

# RGB Images

Represent color pixels w/ 3-Channels  
[3-Values per pixel]



Single obs.  $x$  is 3-D tensor:  $H \times W \times 3$

Batch  $\mathcal{X}$  is 4-D tensor:  $N \times H \times W \times 3$

Purple  $\rightarrow$  [240] High red

[ ]  $\rightarrow$  [10] Low green

[ ]  $\rightarrow$  [200] High blue

White  $\rightarrow$  [255] Max red

[ ]  $\rightarrow$  [255] Max green

[ ]  $\rightarrow$  [255] Max blue

black  $\rightarrow$  [0] No red

[ ]  $\rightarrow$  [0] No green

[ ]  $\rightarrow$  [0] No blue

# RGB Images - PyTorch

## Normal

Single obs.  $x$  is 3-D tensor:  $H \times W \times 3$

Batch  $\mathcal{X}$  is 4-D tensor:  $N \times H \times W \times 3$

## PyTorch

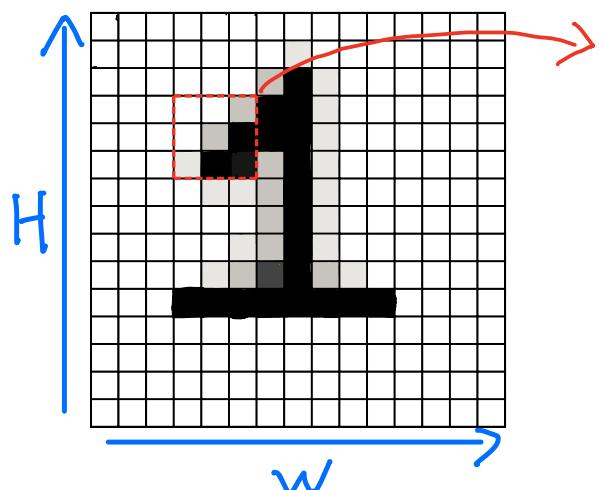
Single obs.  $x$  is 3-D tensor:  $3 \times H \times W$

Batch  $\mathcal{X}$  is 4-D tensor:  $N \times 3 \times H \times W$

$X.\text{permute}(0, 3, 1, 2)$

# Representing Images (So far)

For standard neural network Reshape into vector

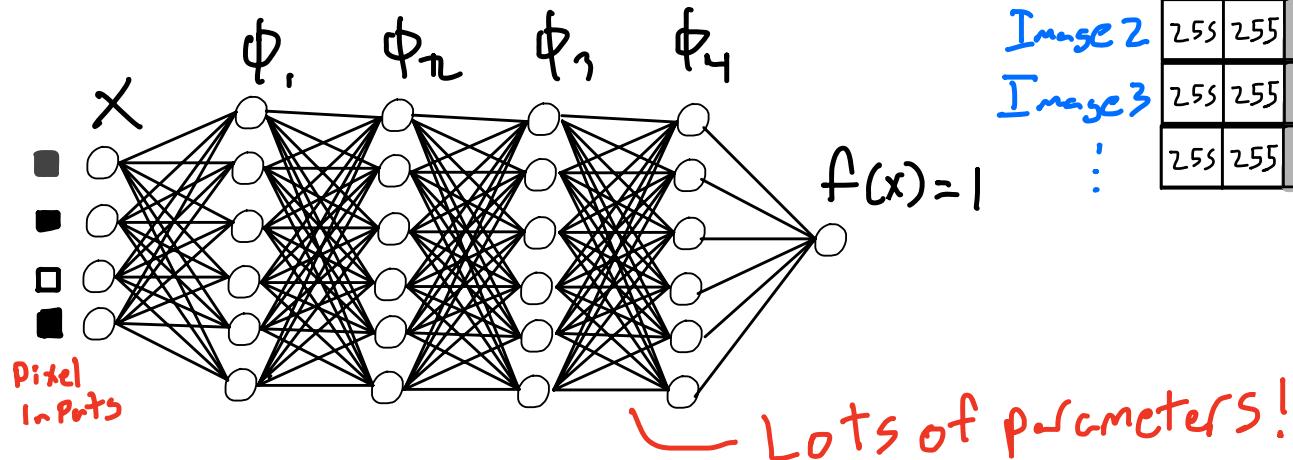


255	255	127
255	127	0
192	0	62

Single Obs.  $x : \underbrace{\text{Pixels}}_{H \times W} \dots$

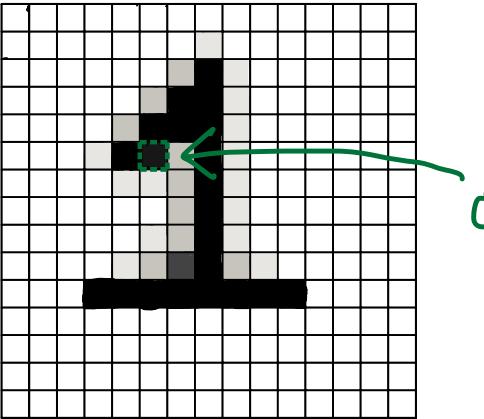
Batch  $X : N \times \underbrace{\text{Pixels}}_{H \times W}$

Image 1	255	255	127	255	127	0	192	0	64
Image 2	255	255	127	255	127	0	192	0	64
Image 3	255	255	127	255	127	0	192	0	64
:	255	255	127	255	127	0	192	0	64



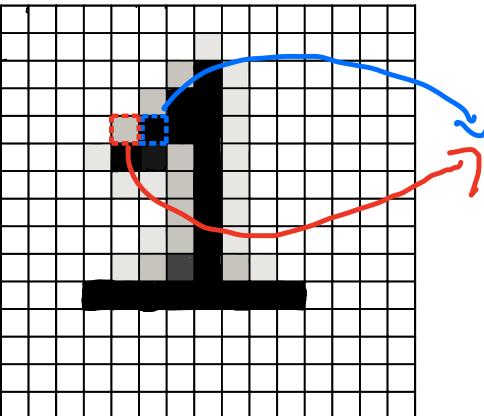
# Image Structure

- Class determined by relationships between features

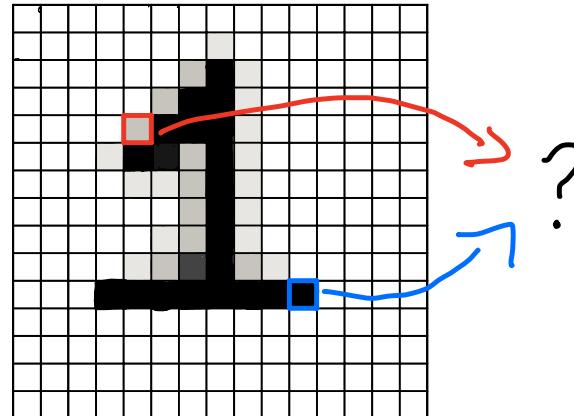


One pixel has little info by itself!

- Relationships between nearby pixels are more important than far pixels

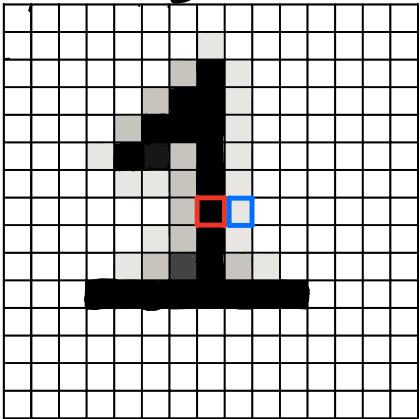


There is an  
edge here



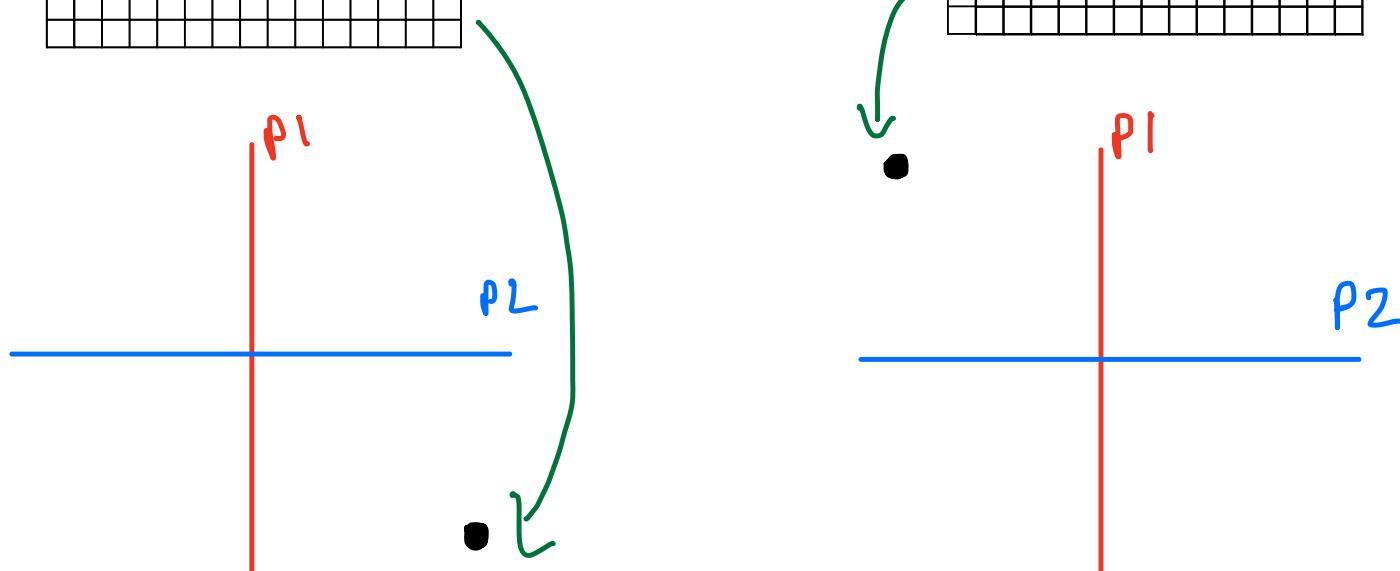
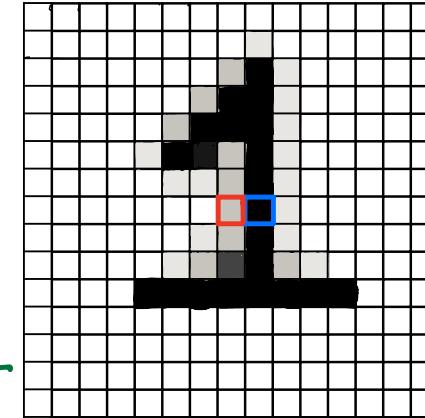
# Image Structure

Original



Translation  
shouldn't change  
prediction

Shifted right



But features can change a lot!

# Logistic Regression

Input  $w_c$   $x_{0:1}$  Pred  $\overset{\text{Input}}{(w_c \cdot x_{0:1})}$   $w_c$   $x_{0:1}$  Pred

$$3 \times \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \times \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

- Regression weights  
from HW 3

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

- Shifting Image  
Changes predicted  
class!

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \boxed{2}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

Remember prediction  
function for multiclass  
Classification

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

→ Class w/ max output

Pred.  $y = f(x) = \arg \max_w w_c^T x$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

$$3 \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \quad \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$$

# Convolutional Networks (CNNs)

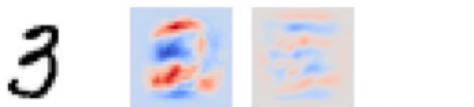
- 1) Maintain Image Structure  
(Don't flatten)
- 2) Shift weights to find best alignment
- 3) Make network sparse  
(Remove weights)

# Shifting Weights

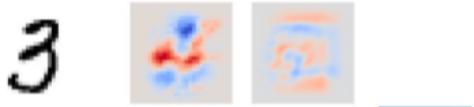
Input  
(shifted)  $w$      $c$      $\xrightarrow{x^T w}$  At every location



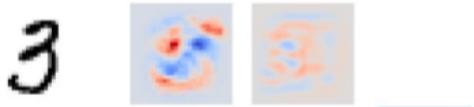
i.e.  $c_{ij} \rightarrow$  Shift  $w$  to be  
centered at  $i, j$   
and compute  $x^T w$



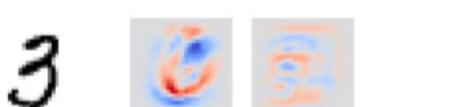
Predict 3 again!



Predict with  $\max(c)$



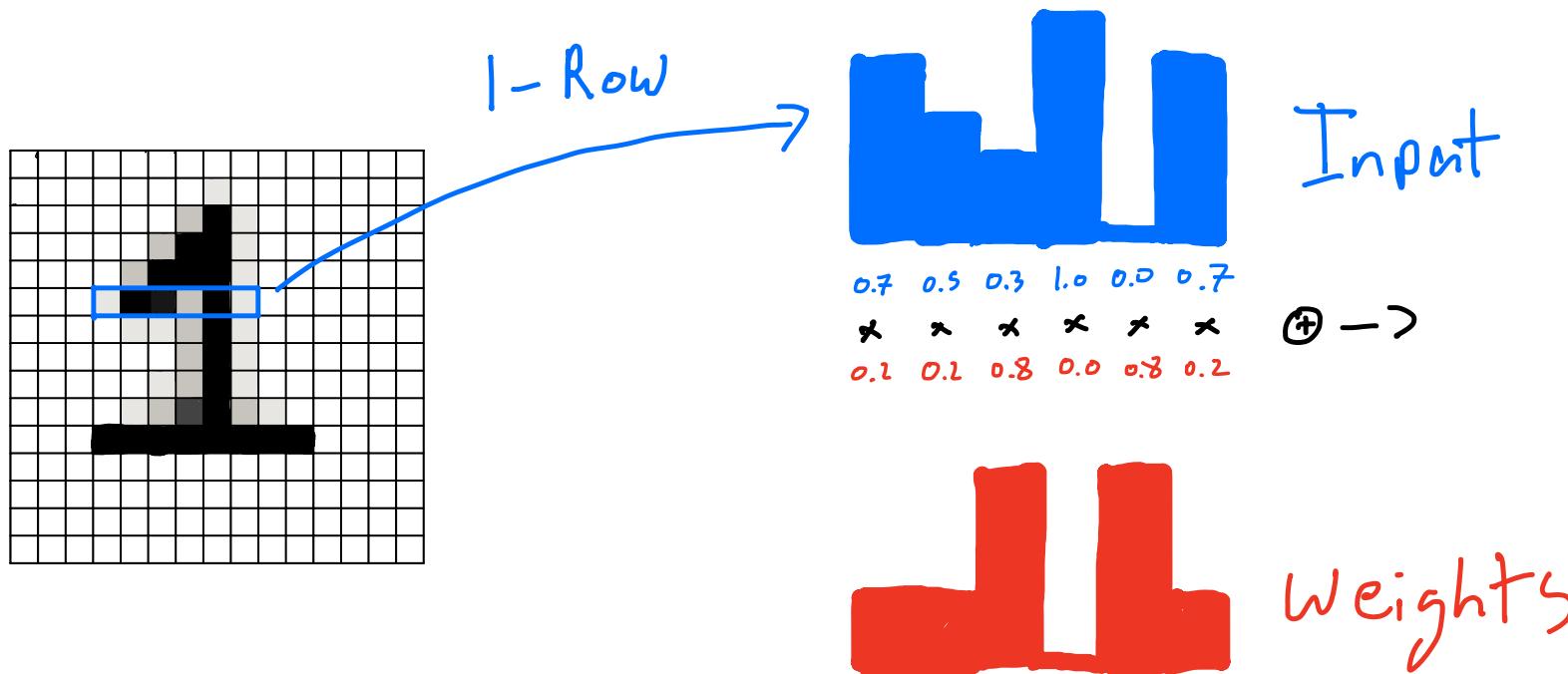
$\rightarrow$  Location Where  $x$  and  
 $w$  most closely match



Convolution!



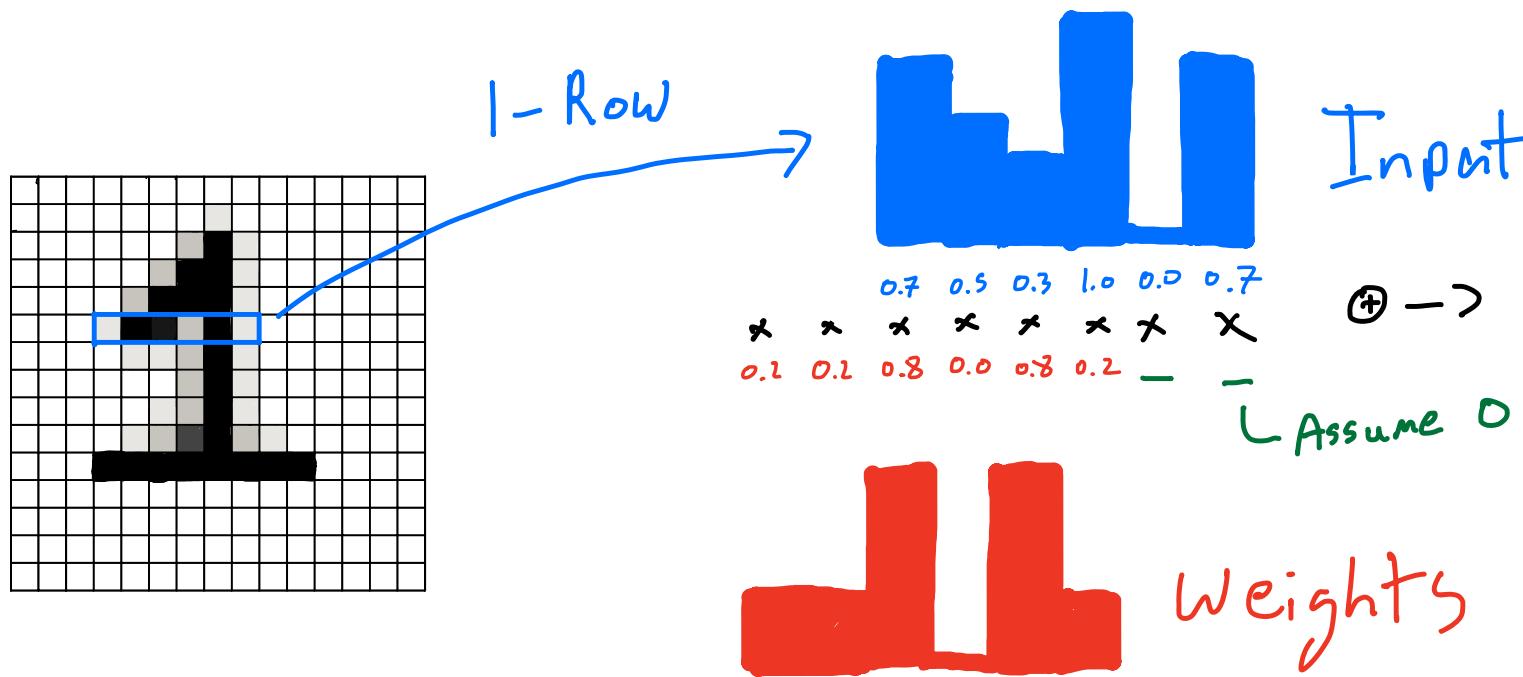
# Convolution in 1-dimension



$$(0.7)(0.2) + (0.5)(0.2) + (0.3)(0.8) + (1.0)(0.0) + (0.0)(0.8) + (0.7)(0.2)$$

$$= 0.77 \{ \boxed{ } \}$$

# Convolution in 1-dimension

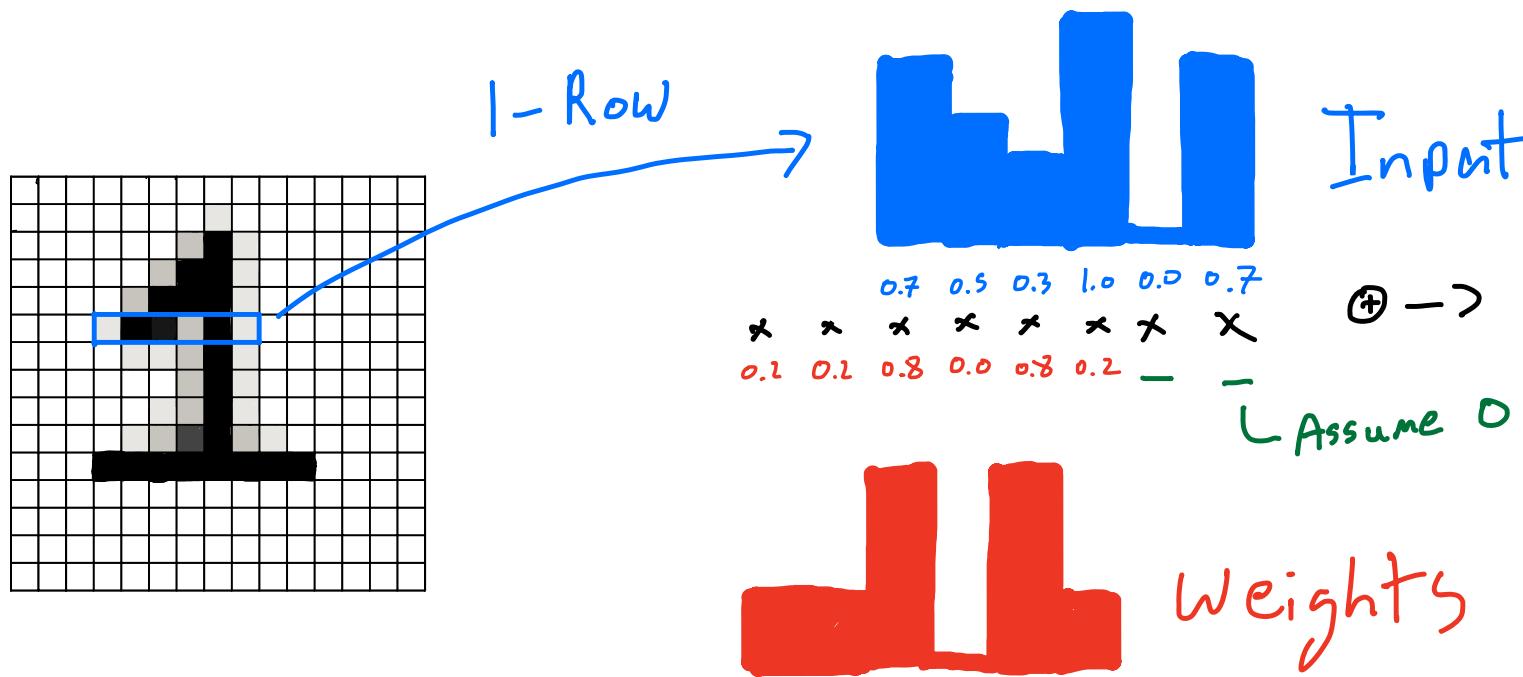


Try different alignment

$$(0.7)(0.8) + (0.5)(0.0) + (0.3)(0.8) + (1.0)(0.2)$$
$$= 1.0$$

Better than before!

# Convolution in 1-dimension



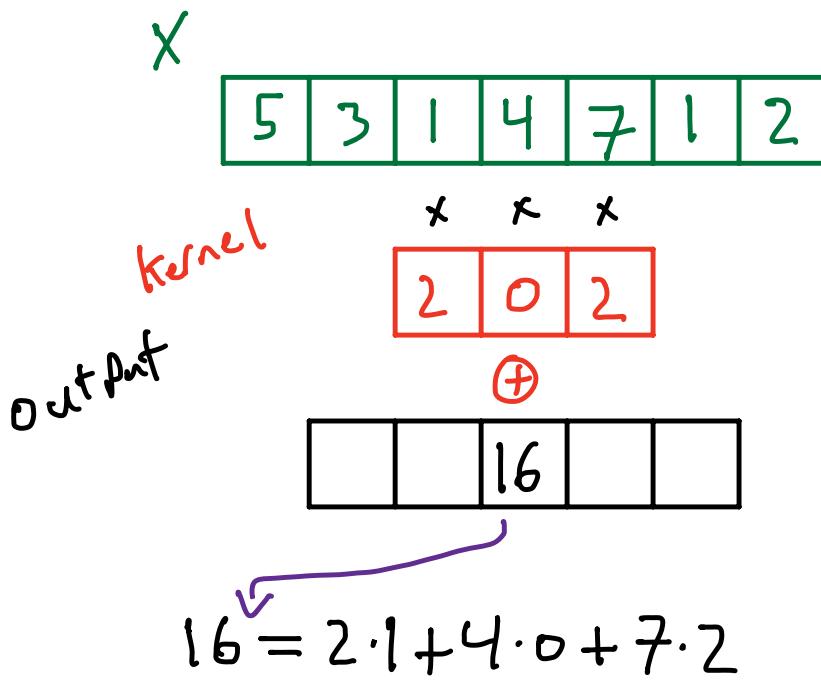
Try different  
alignment

$$(0.7)(0.8) + (0.5)(0.0) + (0.3)(0.8) + (1.0)(0.2) \\ = 1.0$$

Better than before!

# Convolution Operator (1-D)

Inputs:  $x$ : Array of length  $d$   
Kernel: Array of length  $s$  (weights)



Typically:  $s < d$

Only compute alignments  
where kernel fully overlaps  $x$

In torch: Padding = 'Valid'

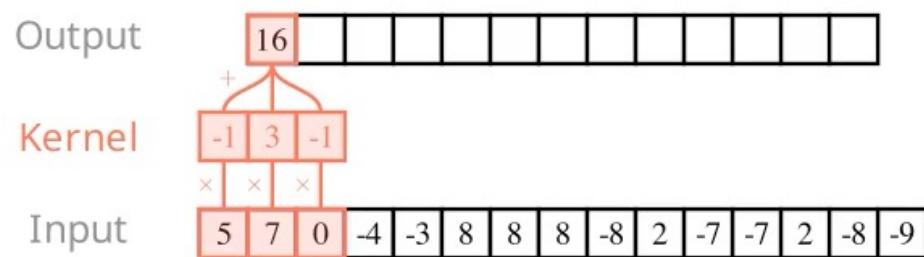
$$\text{Conv}(x, k)_i = \sum_{j=1}^s x_{i+j} k_j$$

Output length =  $d - (s - 1)$

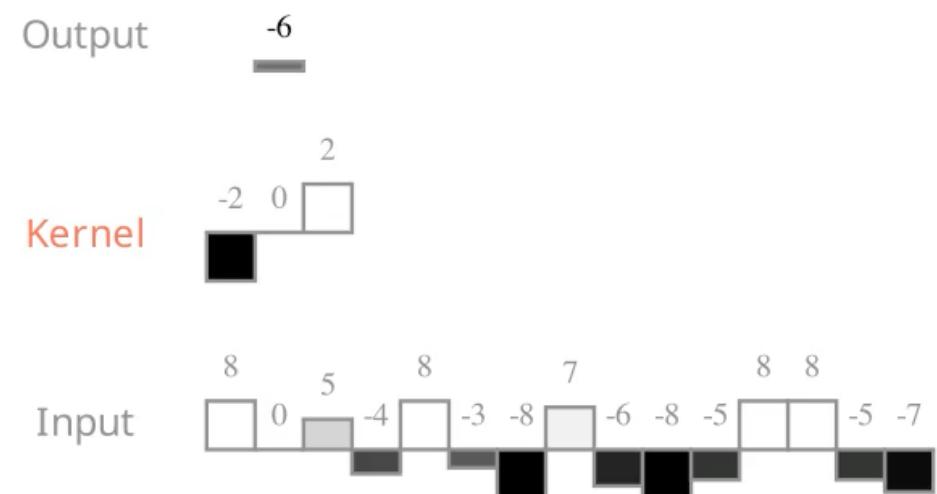
# Convolution Animated!

Convolution (kernel size: 3)

$$\text{Output}[0] = (5)(-1) + (7)(3) + (0)(-1) = 16$$



Convolution (kernel size: 3)



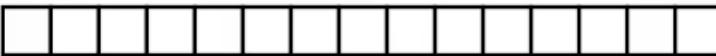
# Padding

- If we want to try every possible alignment we need to pad the input w/ 0s
- In torch: padding='full'

$$\text{Output length} = d + 2(s-1)$$

# Padding

Convolution (size: 3, padding: 1)

Output 

Kernel

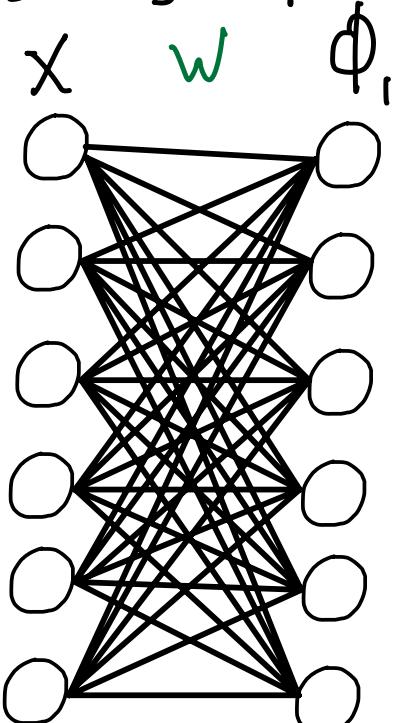
Input 0  0

- Often want Padding In-between
- e.g. If we want an output size of  $d$
- In PyTorch: `padding='Same'`

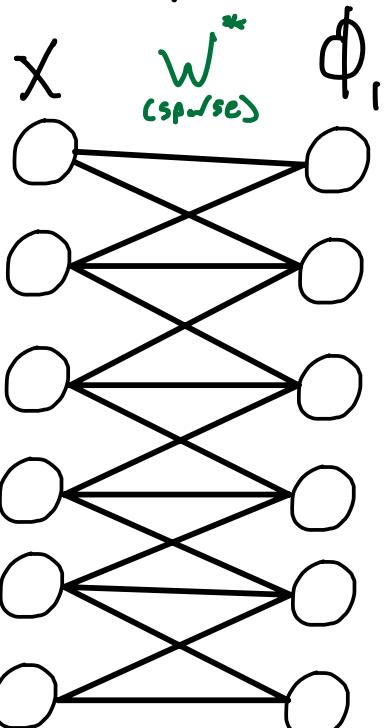
Output length =  $d$

# Convolution as a Layer

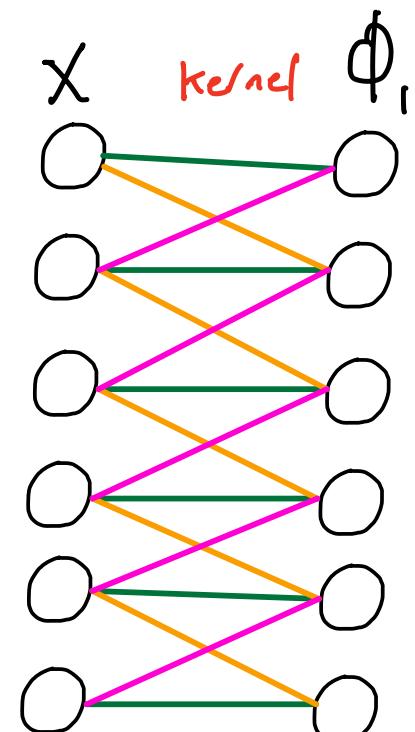
Standard  
(Dense) Layer /



Locally-Connected  
Layer /



Convolutional  
Layer



$$\phi_i = \sigma(x^T W + b)$$

Every output depends  
on every input

$$\phi_i = \sigma(x^T W^* + b)$$

Every output depends  
only on Local inputs

$$\phi_i = \sigma(\text{conv}(x, k) + b)$$

And weights are  
Shared for each output!

# Derivatives of convolutions

In general:

$$\frac{dL}{dx_i} = \sum_{j=1}^d \frac{dL}{d\phi_j} \cdot \frac{d\phi_j}{dx_i}$$

but only  $\phi_{i-1}, \phi_i, \phi_{i+1}$  depend on  $x_i$  so:

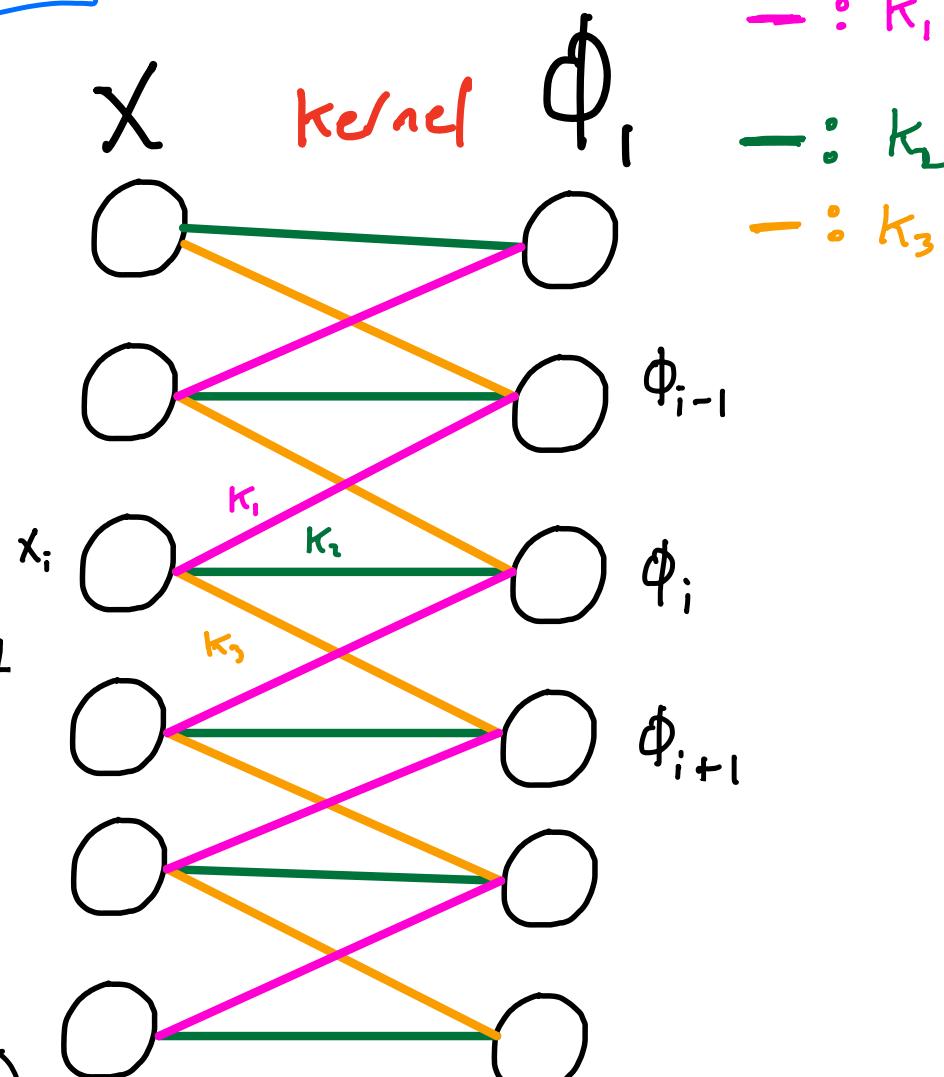
$$\frac{dL}{dx_i} = \frac{dL}{d\phi_{i-1}} \frac{d\phi_{i-1}}{dx_i} + \frac{dL}{d\phi_i} \frac{d\phi_i}{dx_i} + \frac{dL}{d\phi_{i+1}} \frac{d\phi_{i+1}}{dx_i}$$

||                    ||                    ||  
 $K_1$                $K_2$                $K_3$

$$\phi = \text{Conv}(x, [K_1, K_2, K_3])$$

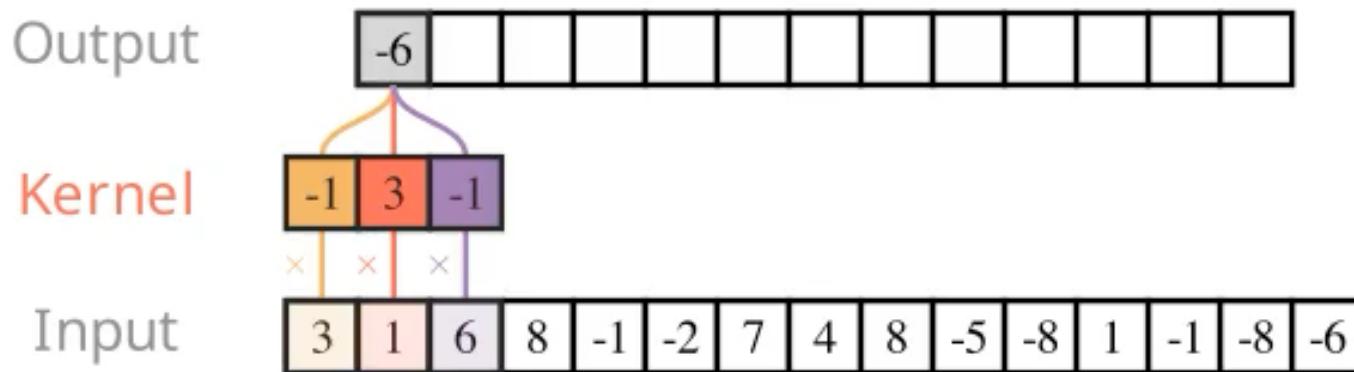
$$\frac{dL}{dx} = \text{Conv}\left(\frac{dL}{d\phi_j}, [K_3, K_2, K_1]\right)$$

Ignoring activations!

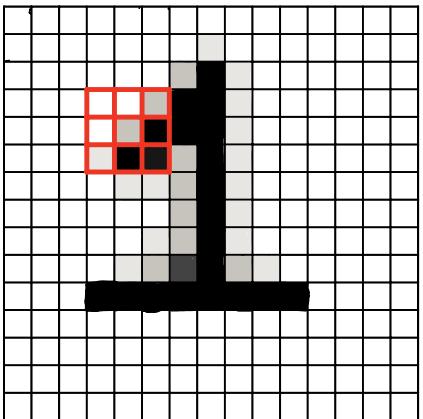


# Derivatives Animated!

## Convolution (kernel size: 3)



# Convolutions in 2-D



Align kernel in every 2-d location

$$\text{CONV2d}(x, k)_{ij} = \sum_{a=1}^s \sum_{b=1}^s x_{i+a, j+b} \cdot k_{ab}$$

[For padding = 'Valid']

5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0

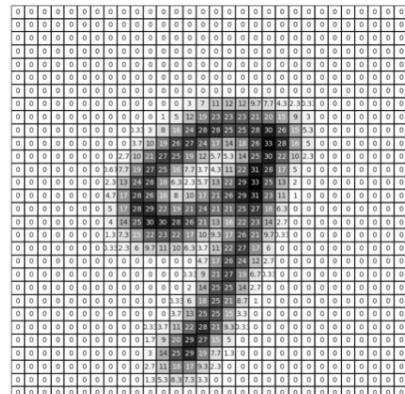
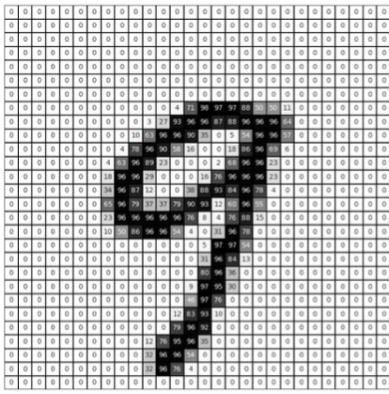
-1	-2	-1
0	0	0
1	2	1


$$I = 1 \cdot (-1) + 1 \cdot (-2) + 7 \cdot (-1) + 2 \cdot 0 + 1 \cdot 0 + (-2) \cdot 0 + 5 \cdot 1 + 4 \cdot 2 + (-2) \cdot 1$$

# Convolutions in 2-D (Blur)

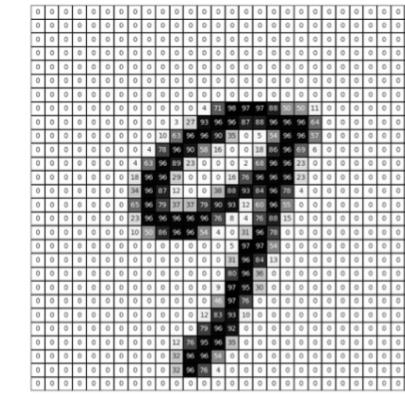
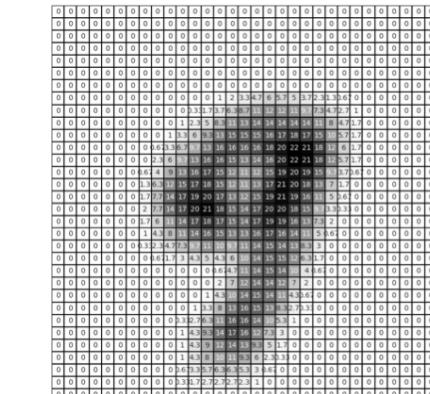
Small Blur

0.12	0.12	0.12
0.12	0.12	0.12
0.12	0.12	0.12



Large Blur

0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04



# Convolutions in 2-D (Edge detect)

# Vertical edges

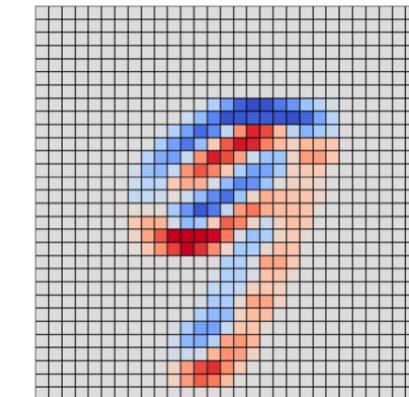
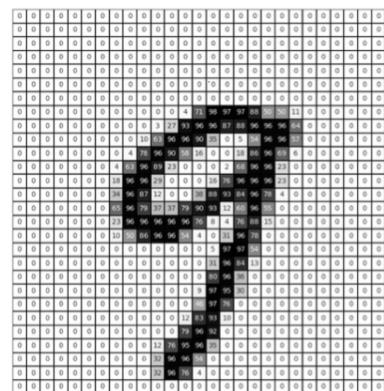
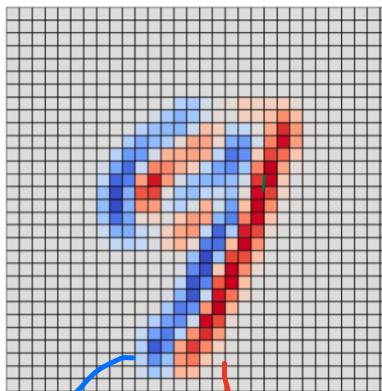
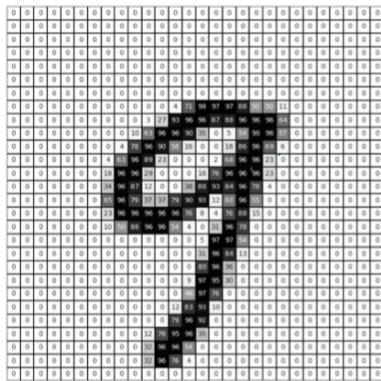
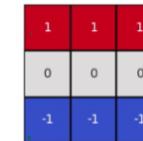
# Horizontal Edges

Pos.



- neg.

most outputs  
→ near 0

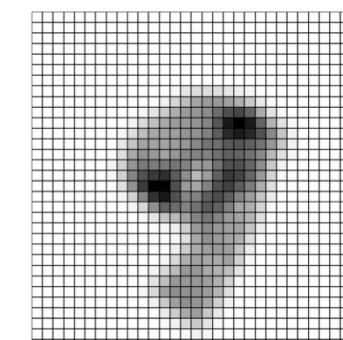
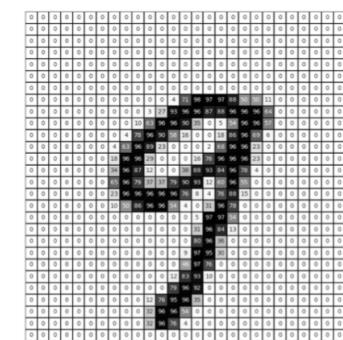
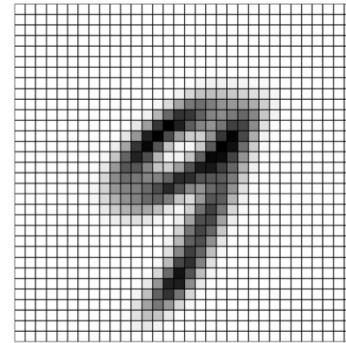
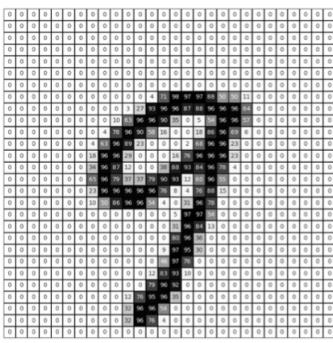
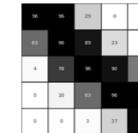
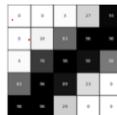


Low Values at neg.  
of kernel

High Values Where pattern matches kernel

# Convolutions in 2-D (Edge detect)

Diagonal edges

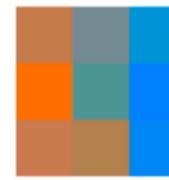


# CONVolutions for Color images

Image( $\mathbf{I}$ )



Kernel ( $\mathbf{k}$ )



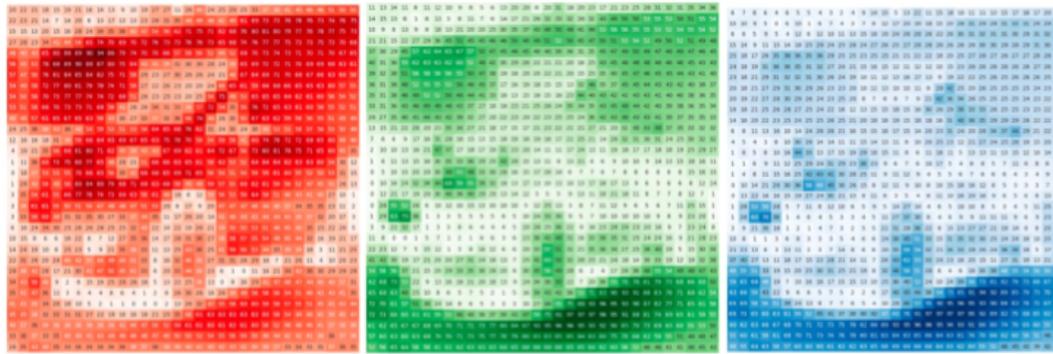
Kernel has 3  
Channels like Image!

$$\text{Conv}(\mathbf{x}, \mathbf{k}) = \sum_{i=1}^3 \mathbf{x}_i \cdot \mathbf{k}_i$$

$$\text{Conv}(\mathbf{x}_r, \mathbf{k}_r) +$$

$$\text{Conv}(\mathbf{x}_g, \mathbf{k}_g) +$$

$$\text{Conv}(\mathbf{x}_b, \mathbf{k}_b)$$



Red

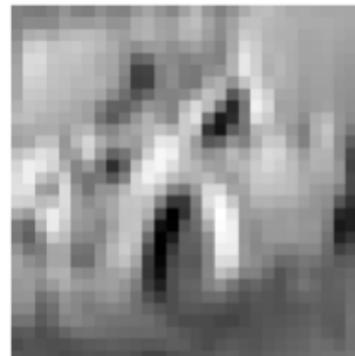
73	47	17
96	37	0
75	66	9

49	53	57
46	57	49
49	51	52

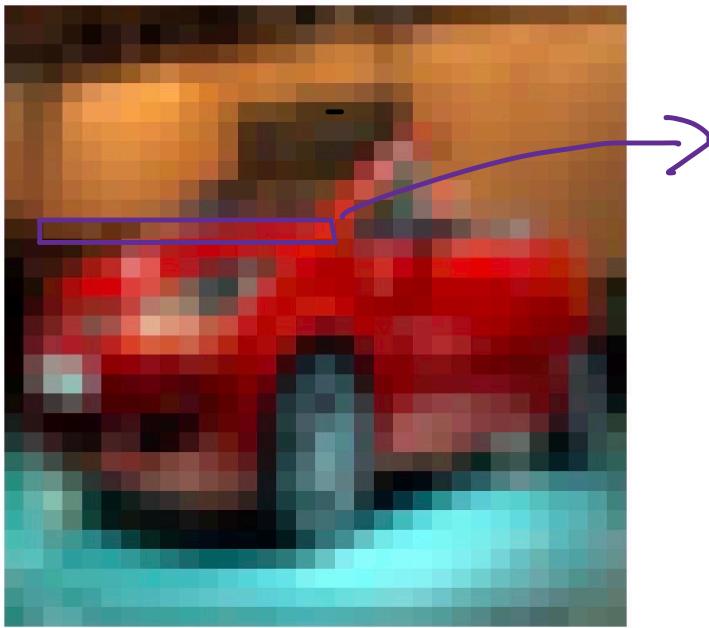
Blue

32	56	80
10	57	99
33	34	92

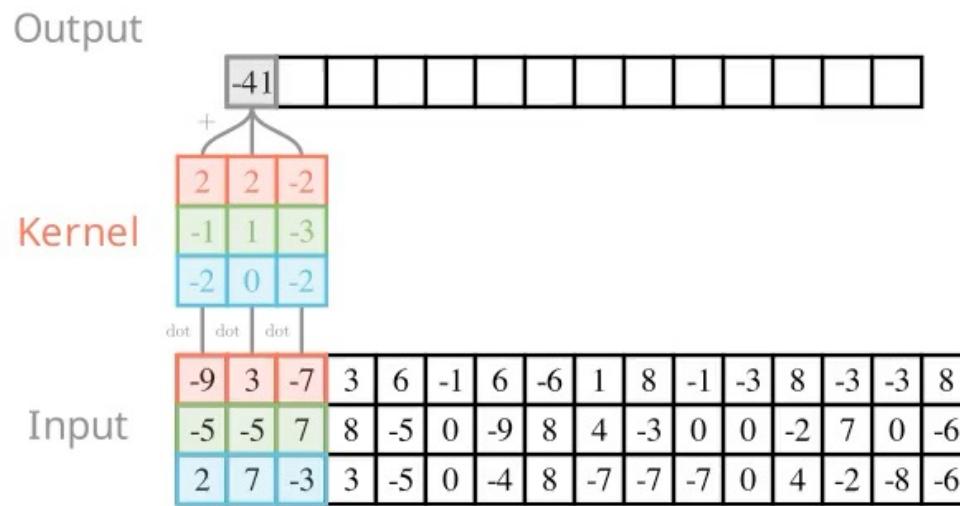
Result



# Multi-Channel Convolution (1-D)



Convolution (size: 3, channels: 3, output channels: 1)



Not limited to  
3-channels!

$$\text{Conv}(X, k) = \sum_{c=1}^C \text{Conv}(X_c, k_c)$$

$H \times W \times C$      $S \times S \times C$      $H \times W$      $S \times S$

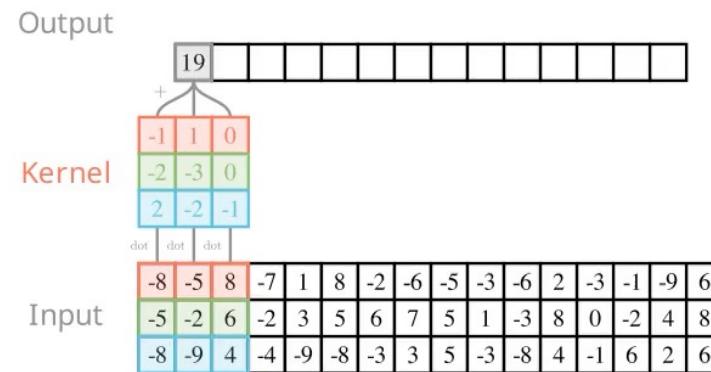
Sum over  
channels!

# Multiple Output channels

Kernel can also produce multiple channels ( $\neq$  1 value at each location)

1-D

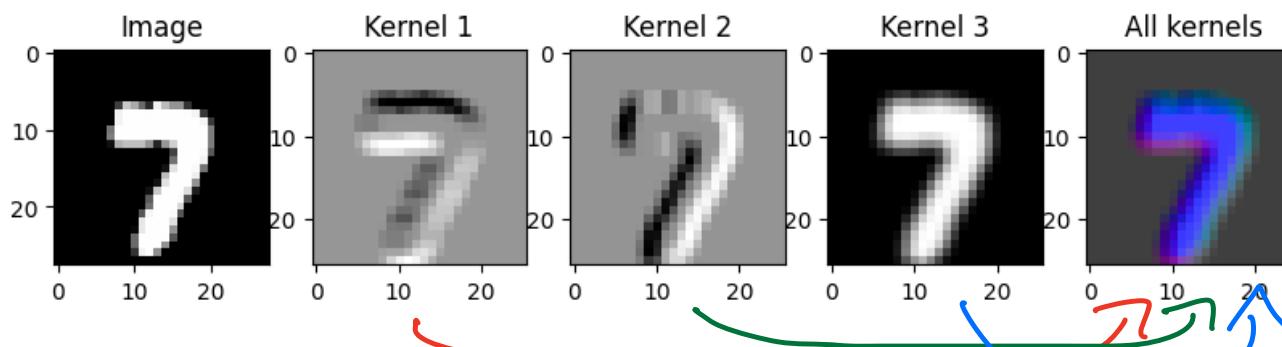
Convolution (size: 3, channels: 3, output channels: 3)



In 2-D

Combine 3 filters into a multi-channel

Image



# General 2-D Convolutional Layer

Input ( $X$ ):  $N \times H \times W \times C$

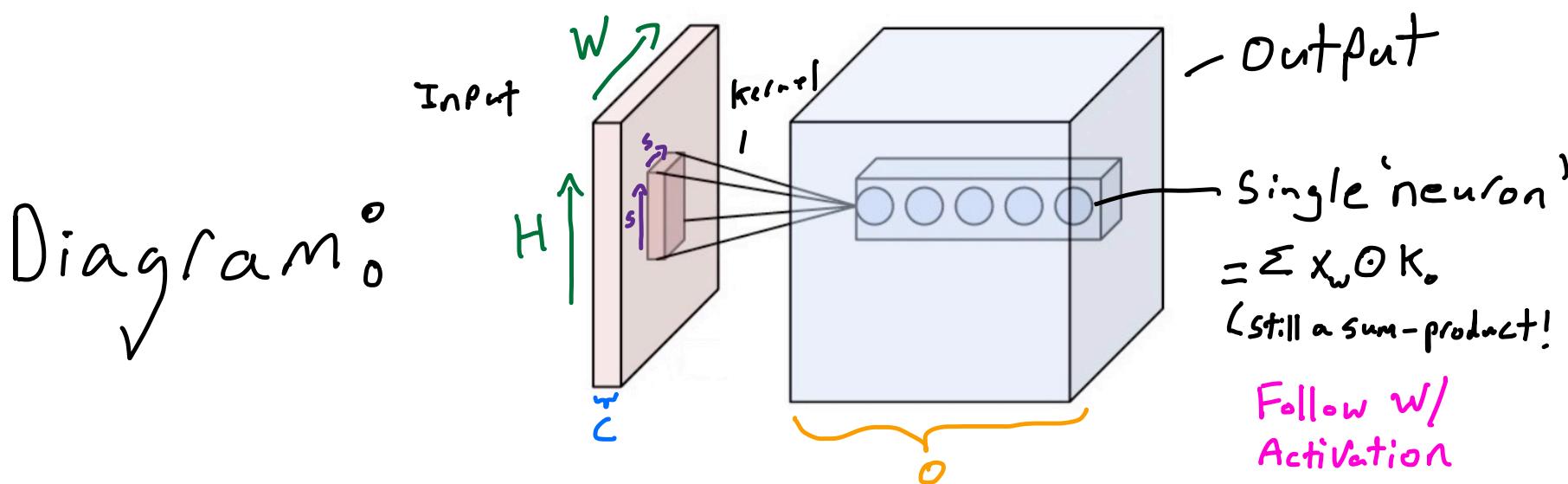
# Images  
(Batch)      Height      Width      # Channels

Kernel ( $K$ ):  $S \times S \times C \times O$

Kernel size      # channels      # output  
                      channels

Output ( $\phi$ ):  $N \times H \times W \times O$

May change for padding ≠ 'same'



# PyTorch 2-D Convolutional Layer

Input ( $X$ ):  $N \times C \times H \times W$   
Channels  
before  $\rightarrow$  Kernel ( $K$ ):  $C \times O \times S \times S$   
Image size  
CONV2D  $\rightarrow$  Output ( $\phi$ ):  $N \times O \times H \times S$

```
CLASS torch.nn.Conv2d(in_channels, out_channels, kernel_size, stride=1, padding=0,  
dilation=1, groups=1, bias=True, padding_mode='zeros', device=None,  
dtype=None) [SOURCE]
```

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\text{in}}, H, W)$  and output  $(N, C_{\text{out}}, H_{\text{out}}, W_{\text{out}})$  can be precisely described as:

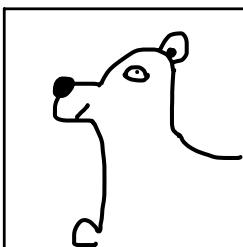
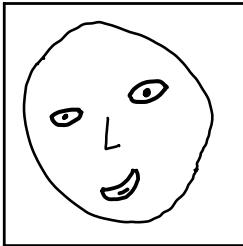
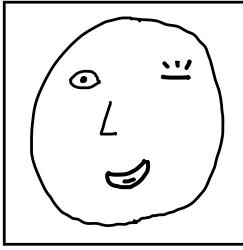
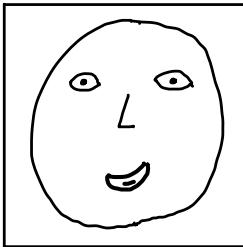
$$\text{out}(N_i, C_{\text{out}_j}) = \text{bias}(C_{\text{out}_j}) + \sum_{k=0}^{C_{\text{in}}-1} \text{weight}(C_{\text{out}_j}, k) \star \text{input}(N_i, k)$$

where  $\star$  is the valid 2D cross-correlation operator,  $N$  is a batch size,  $C$  denotes a number of channels,  $H$  is a height of input planes in pixels and  $W$  is width in pixels.

Technically what we call Convolution is Cross Correlation

# Convolutions as feature detectors

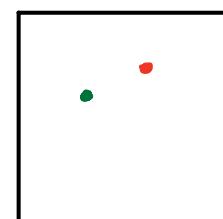
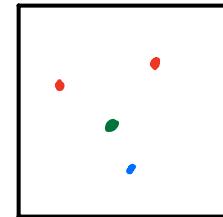
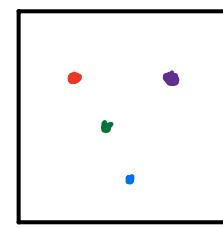
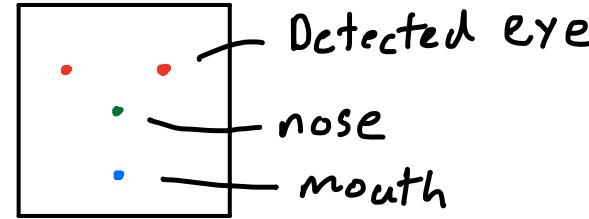
Images



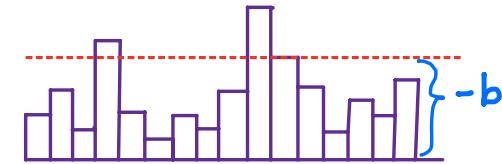
Kernel  
channels



Outputs



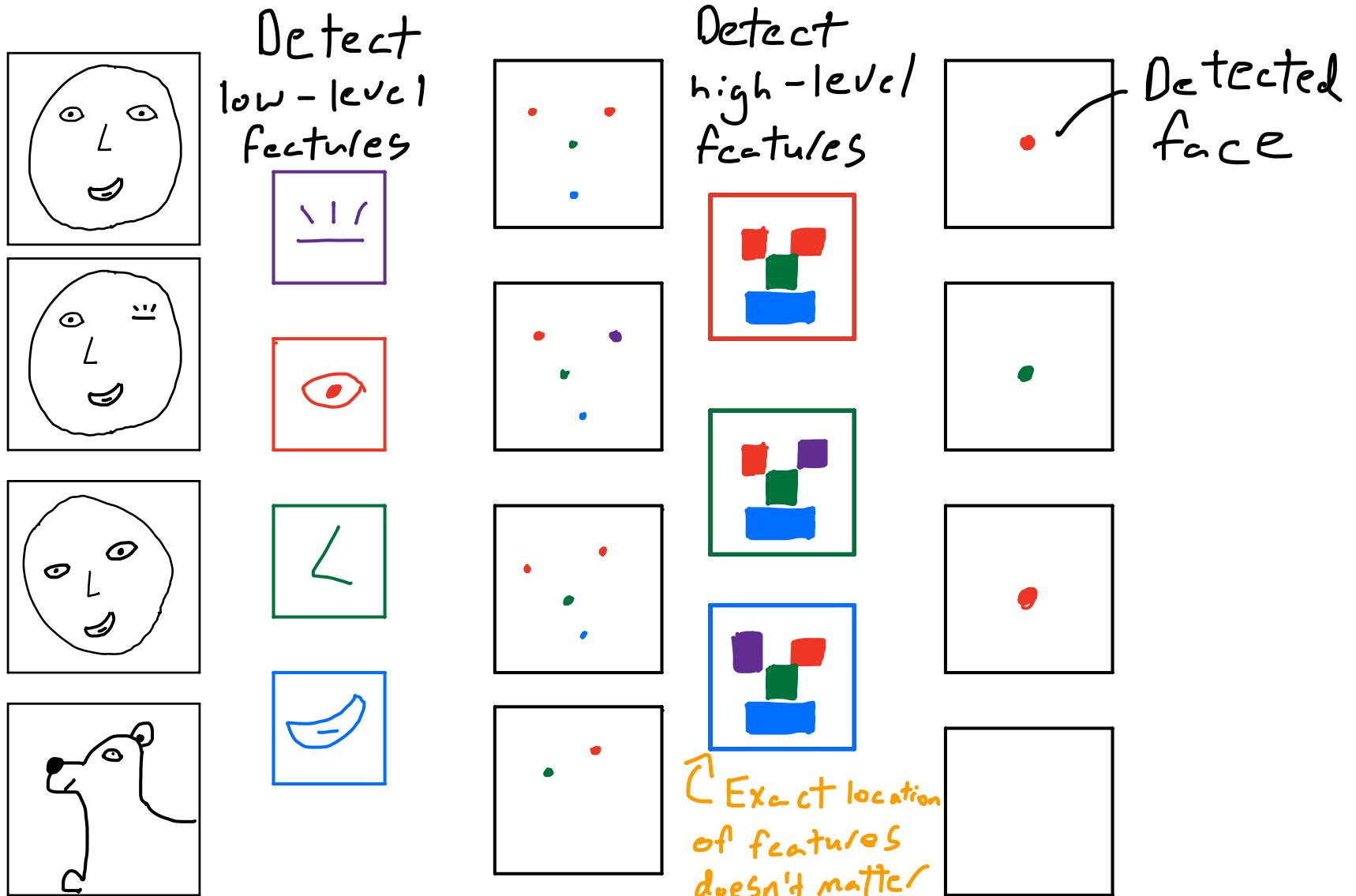
ReLU as threshold



$$\text{Max}(\text{conv}(x, k) + b, 0)$$

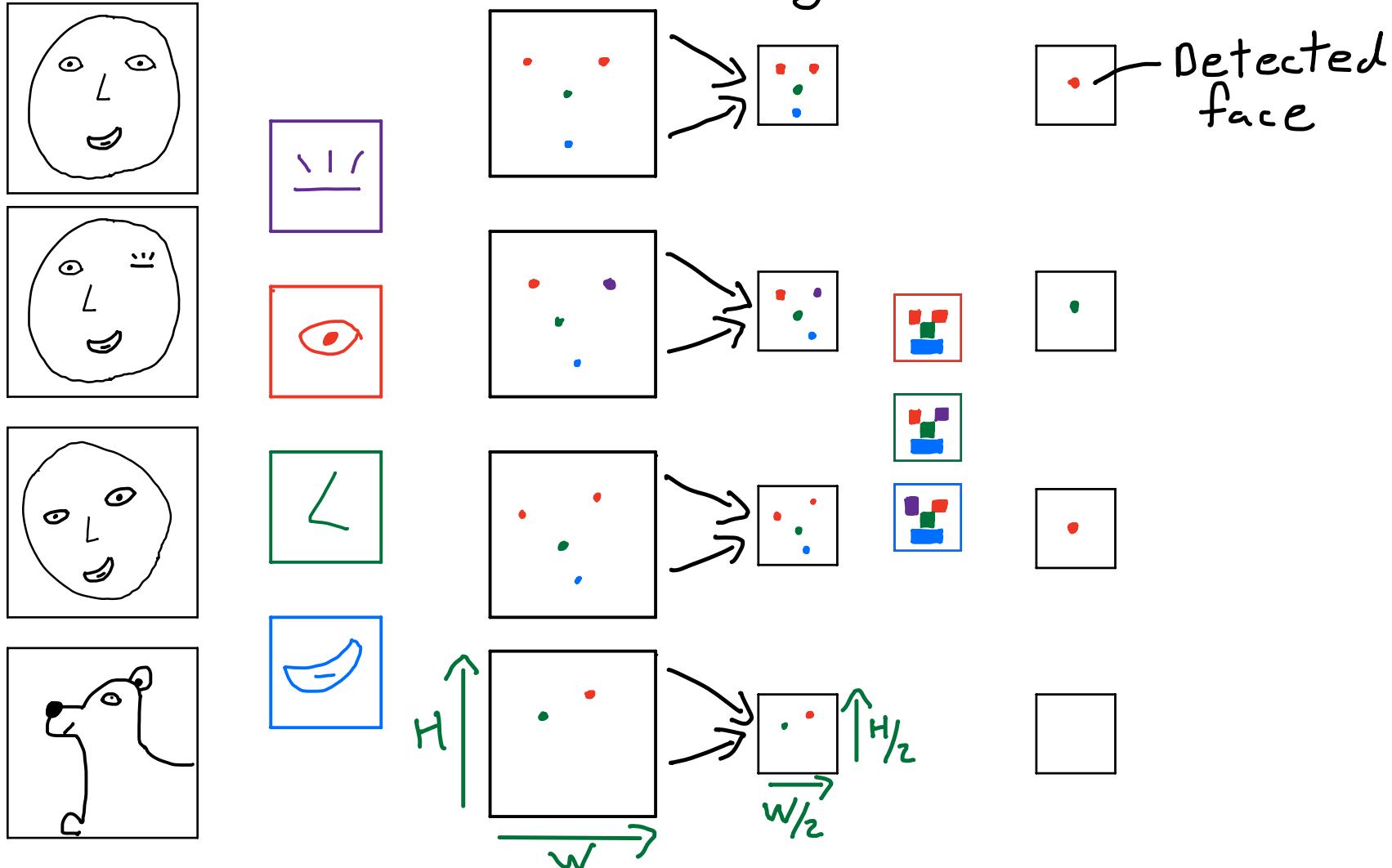


# Multi-Layer CNNs



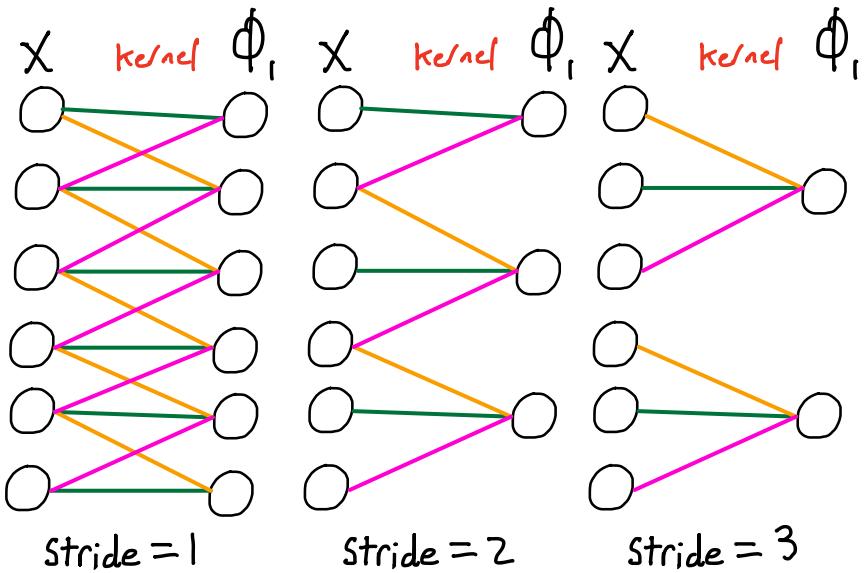
# Down Sampling

Reduce resolution → Easier and less expensive  
to find high-level features

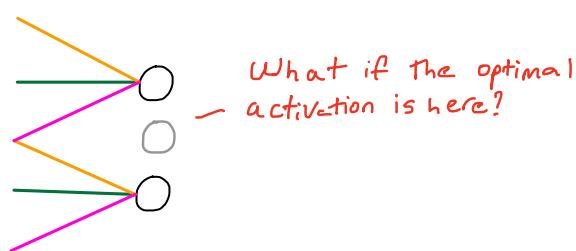
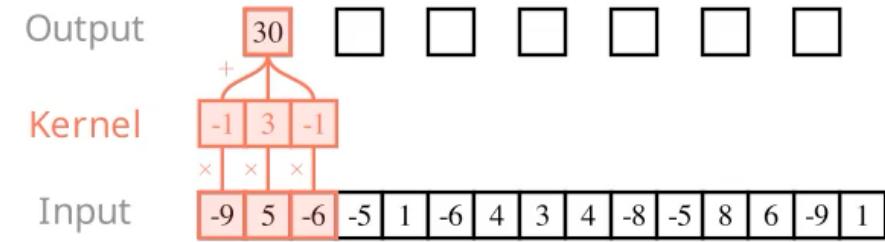


# Strided Convolution (1-D)

- Take only  $\frac{1}{\text{stride}} \times \text{Outputs}$

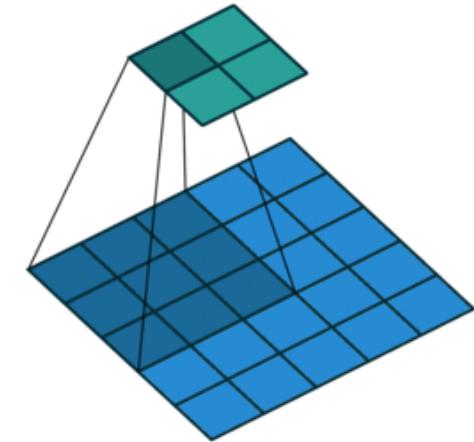
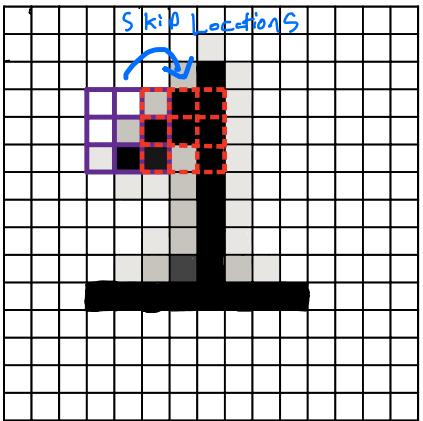


Convolution (size: 3, stride: 2)



# Strided Convolutions (2-D)

- Apply stride in each dimension



5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0

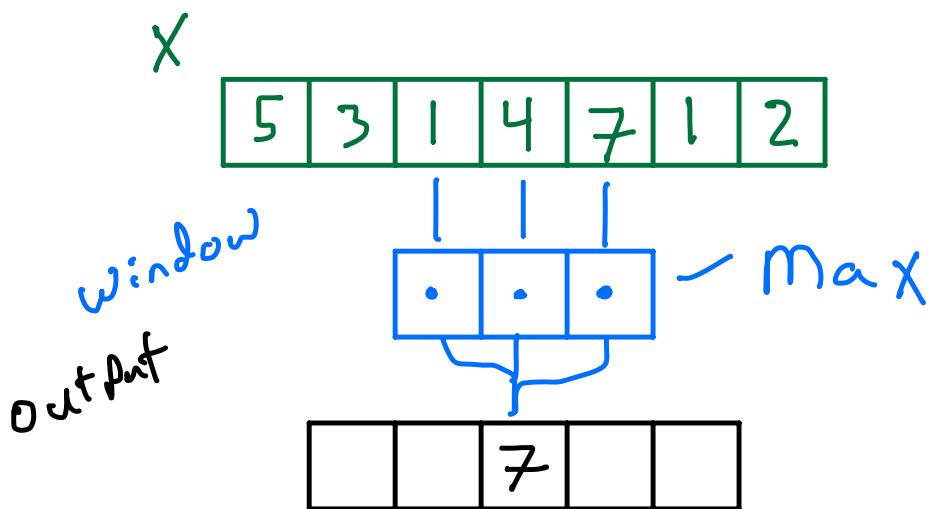
-1	-2	-1
0	0	0
1	2	1

1	2	3
4	5	6
7	8	9

Stride = 2

# Pooling Operator (1-D)

Inputs:  $x$ : Array of length  $d$   
window size  $s$



$$\cdot 7 = \text{Max}(1, 4, 7)$$

- Take max output around each location before downsampling
- Make sure we don't miss any high activations
- Can also take Avg. (Average Pooling)

# Convolution + Max Pooling Animated!

Convolution (size: 3) + Max Pooling (size: 3, stride: 2)

Output



Pooling

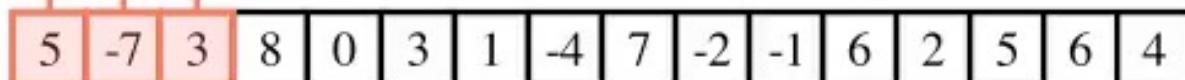
Conv. output



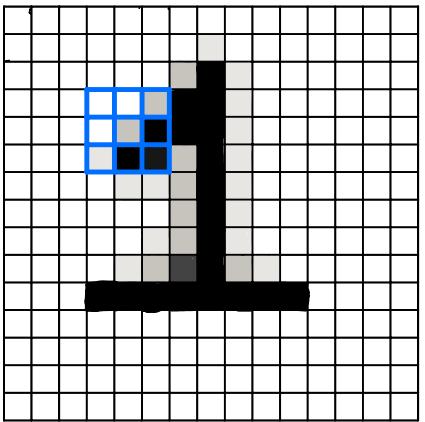
Kernel



Input



# Pooling in 2-D



Align window in every 2-d location

$$\text{Max-Pool}(x)_{ij} = \max_{a=1}^s \left( \max_{b=1}^s (x_{i+a, j+b}) \right)$$

$$\text{Avg.-Pool}(x)_{ij} = \frac{1}{s^2} \sum_{a=1}^s \sum_{b=1}^s x_{i+a, j+b}$$

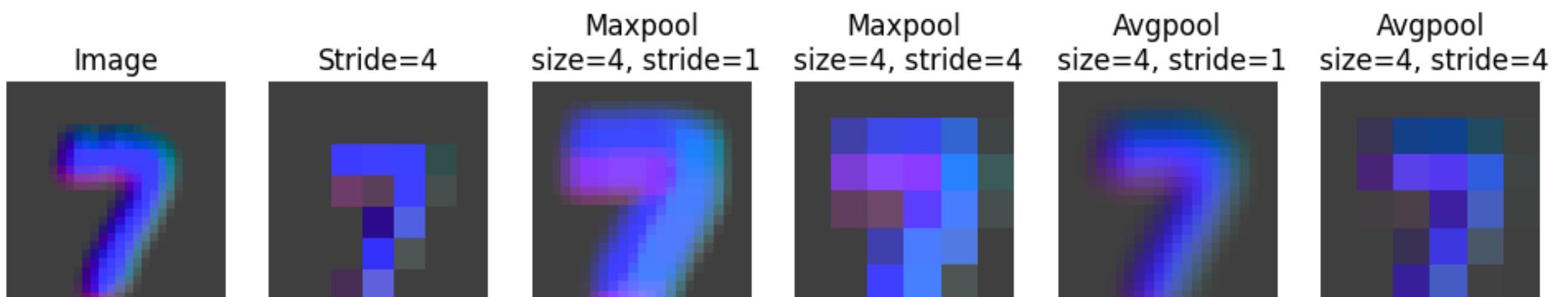
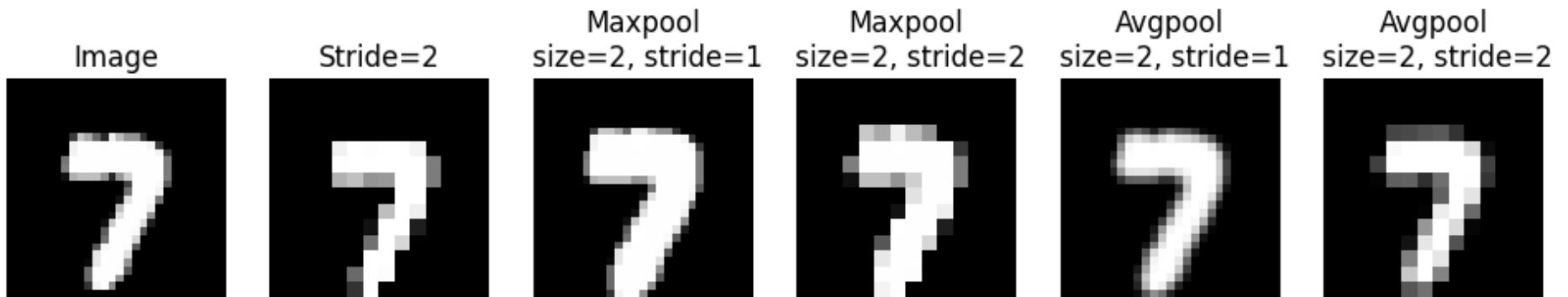
5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0

max

			7

$$7 = \max(1, 1, \underline{7}, 2, 1, -2, 5, 4, -2)$$

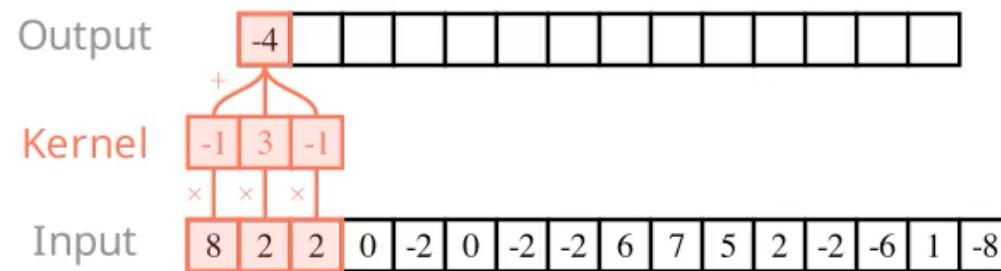
# DownSampling Comparison



# Pixel Shuffle

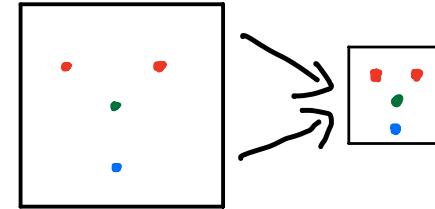
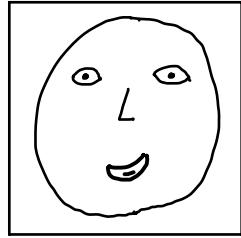
- Rearrange adjacent results into more channels — **Expensive!**

Convolution (size: 3) + Shuffle

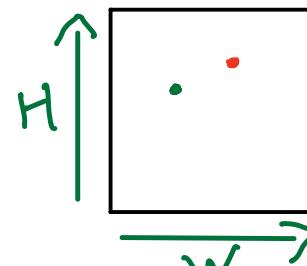
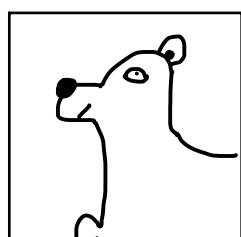
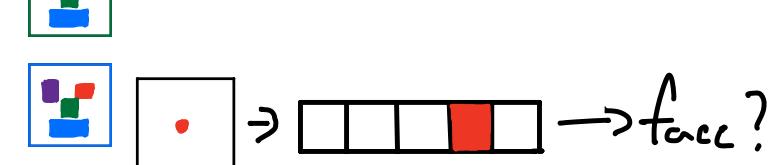
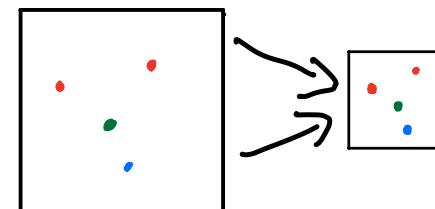
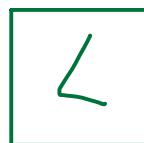
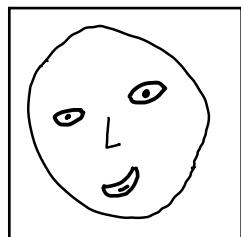
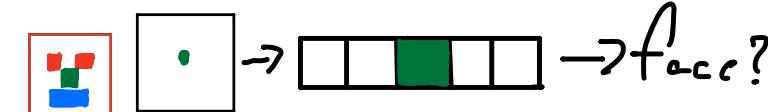
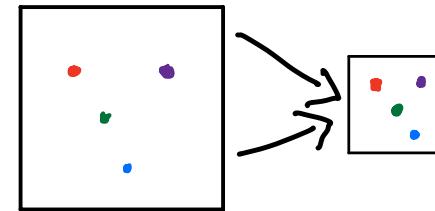
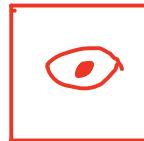
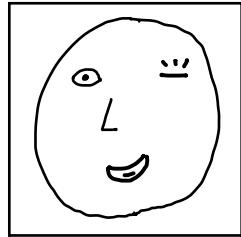
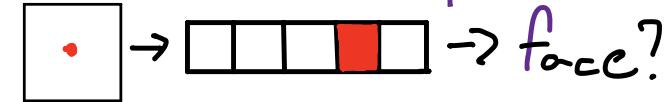


# Flattening

- After convolutions, flatten as before



can add 'dense'  
Layers here!



$$N \times H \times W \times C \xrightarrow{\text{flatten}} N \times (H \cdot W \cdot C)$$

# Global Avg. Pooling

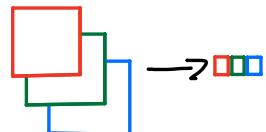
- Alt. to flattening, just Avg. over remaining Image

$$\text{Global Avg.-Pool}(x) = \frac{1}{w \cdot h} \sum_{a=1}^w \sum_{b=1}^h x_{a,b}$$

5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0



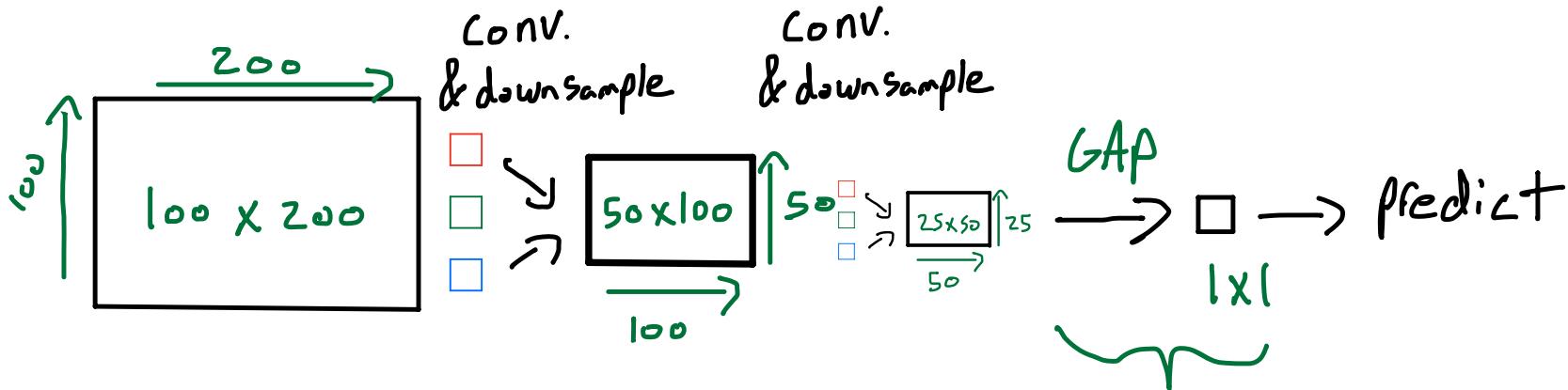
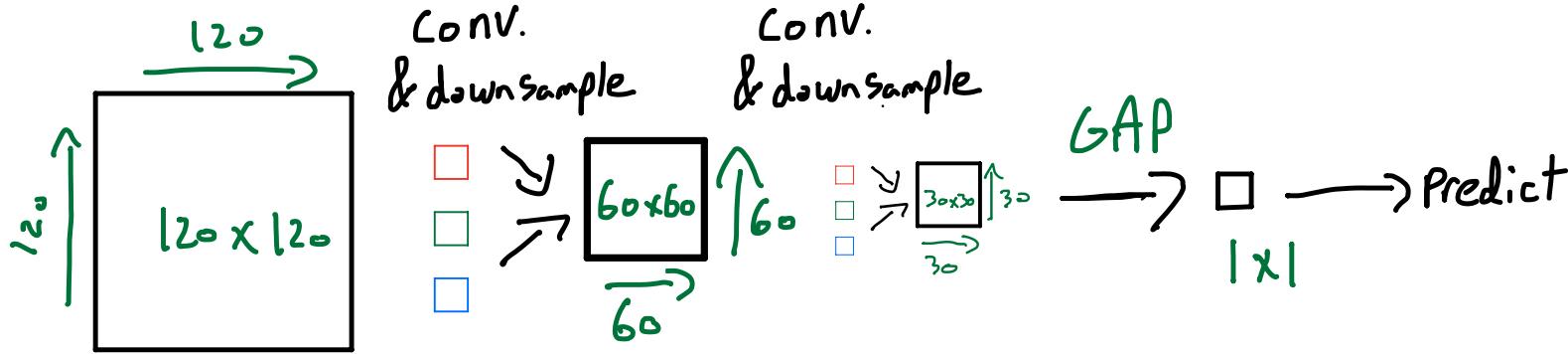
Remember: Still multiple channels!



$$N \times H \times W \times C \xrightarrow{\text{GAP}} N \times C$$

# Global Avg. Pooling

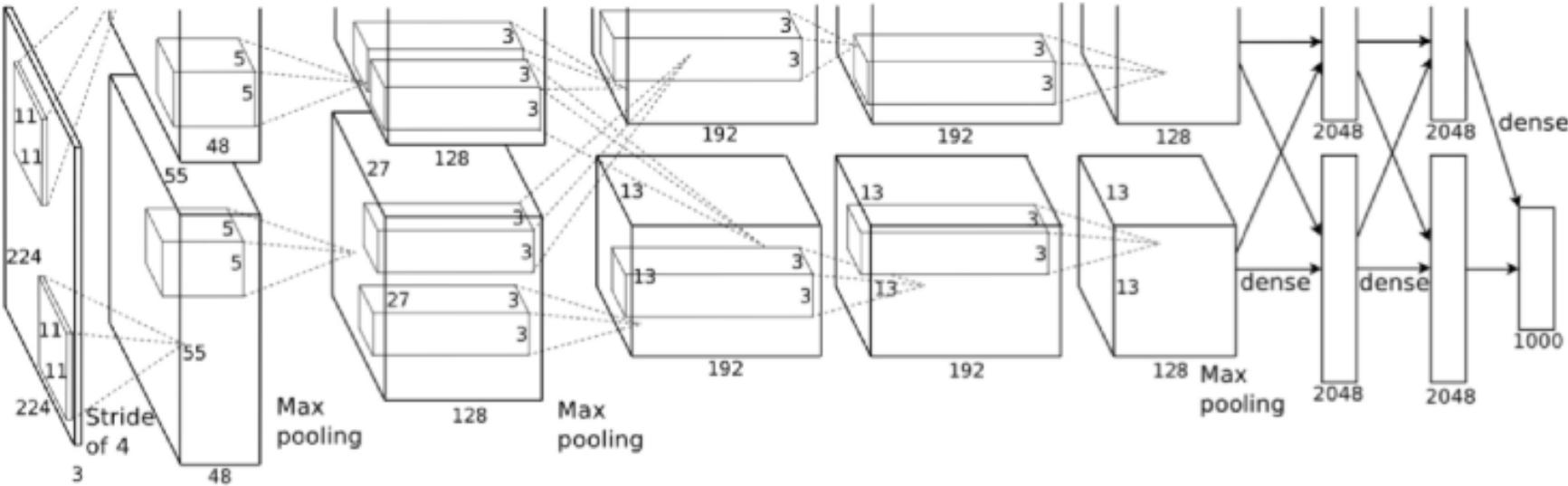
- Allows for inputs of different sizes!



Removes Handw!

# AlexNet (2012)

## Architecture:



## 1000 Classes

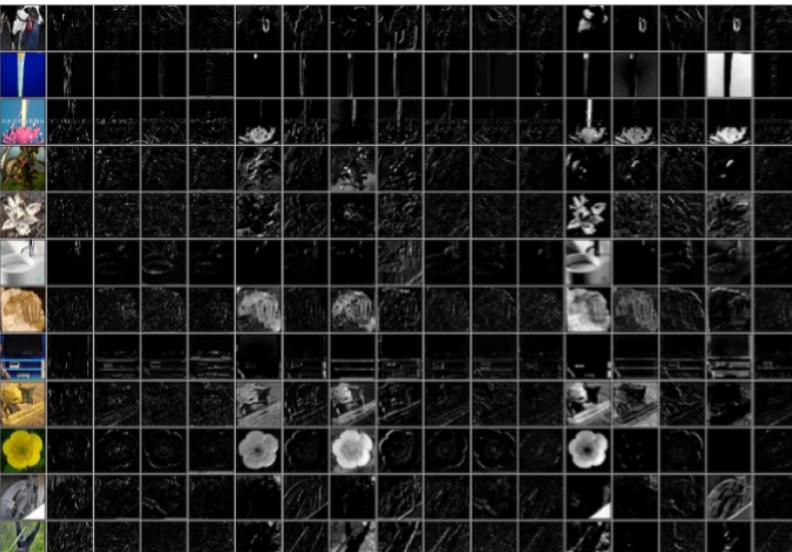


## Learned kernels



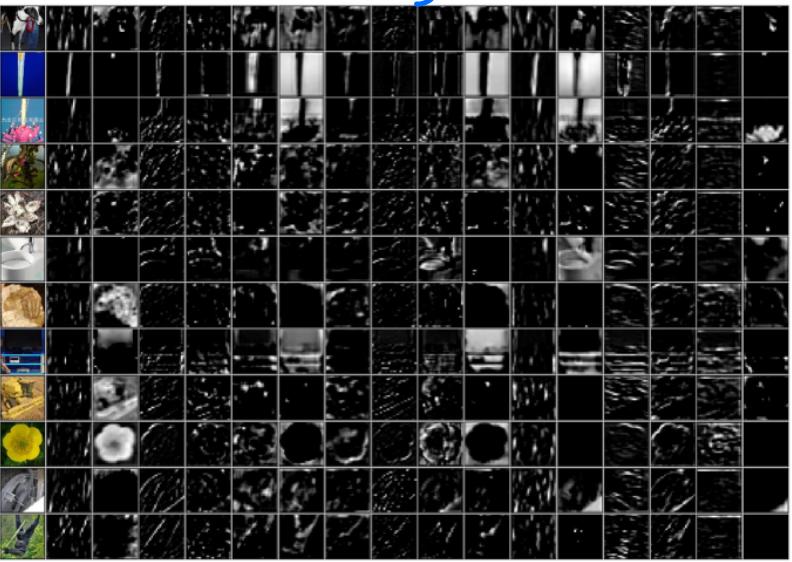
# CNN Features by Layer

Layer 1



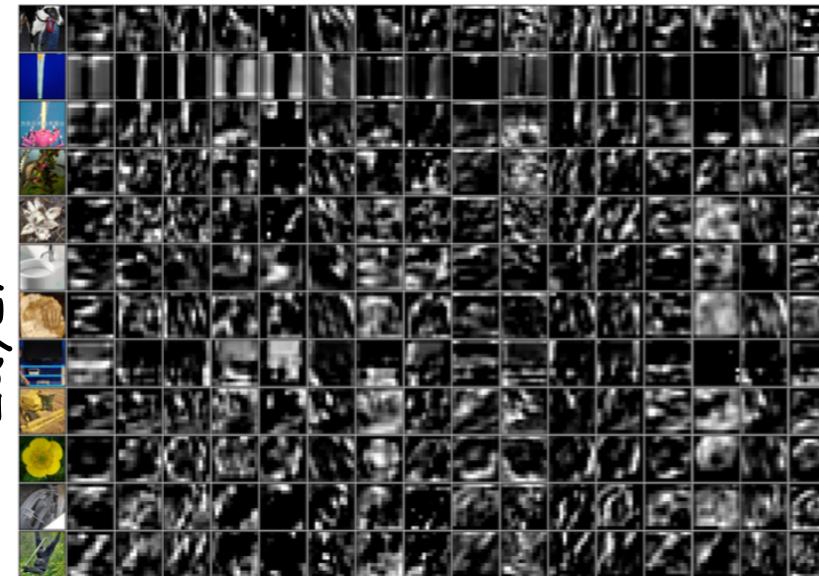
↑  
channels

Layer 2

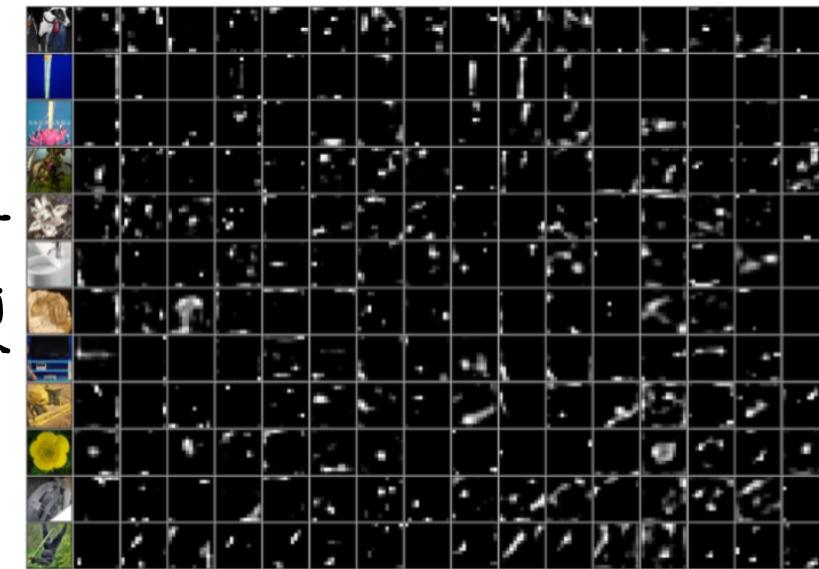


↑  
features

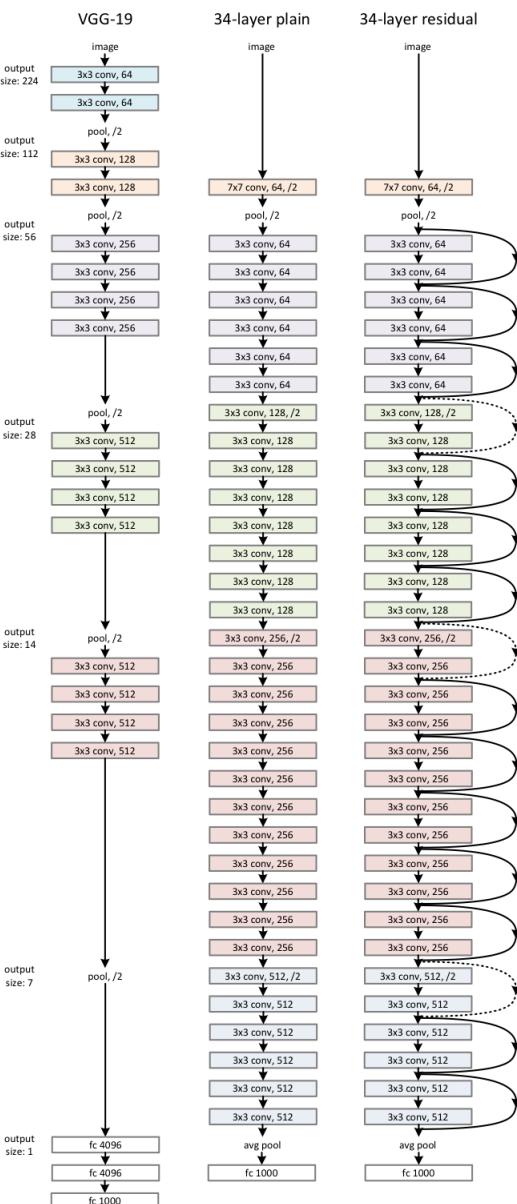
Layer 3



Layer 4



# Other Architectures



← VGG, Resnet-50, etc.

Wide Resnet ↓

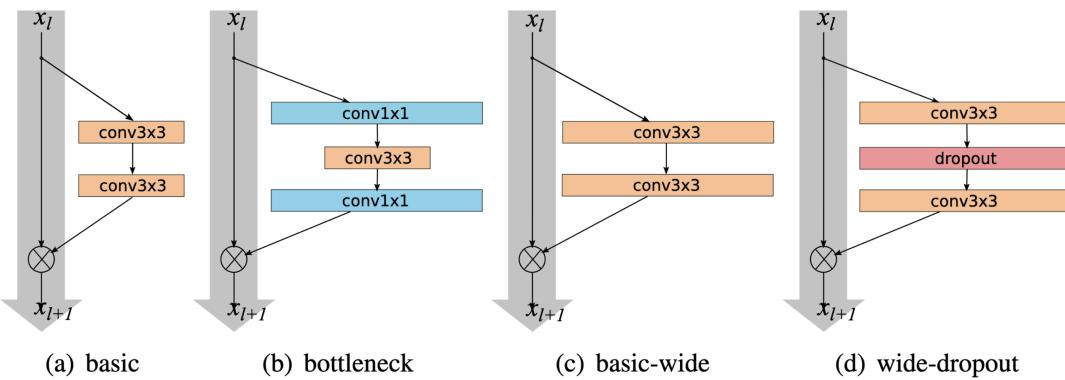


Figure 1: Various residual blocks used in the paper. Batch normalization and ReLU precede each convolution (omitted for clarity)

Many, Many more!

# Data Augmentation

- It takes a lot of data to train good Image classifiers!  
~ millions to billions of Images for general object recognition (1000+ classes)

# Data Augmentation

- CNNs (mostly) invariant to translation
  - What about scale, rotation, color etc. ?



↑  
Astronaut

Still Astronaut!

- Still shouldn't change class!

# Data Augmentation

- Augment existing data by randomly  
Scaling, rotating, Shifting colors etc.
- Much easier / Than collecting ~10x The data
- Can do this as we train!

# Common Augmentations

Rotation



Crop



Color shift



Shift/ scale/ shear



Flip



Cut-out

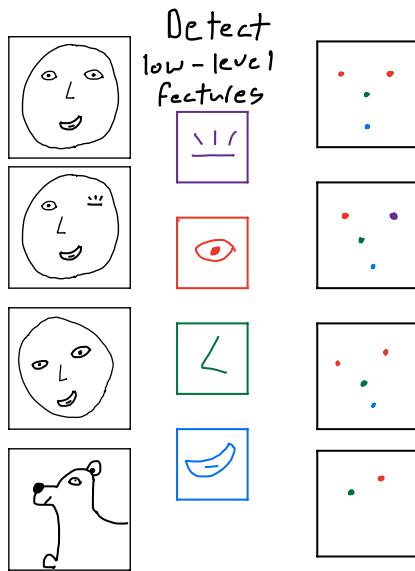


Mix-up



# Fine Tuning

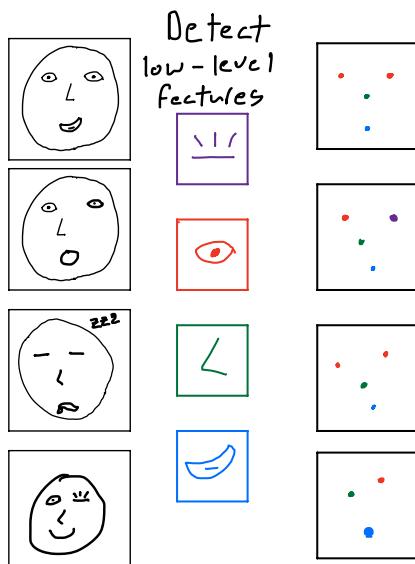
- Low-level features  
can often be shared  
between Models



Old model

... → Face?

...



New model

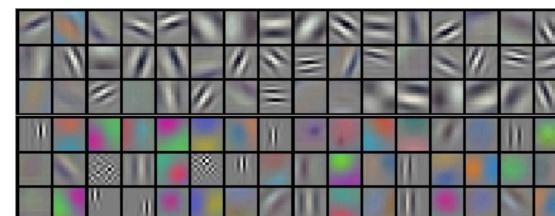
...

→ Smiling?

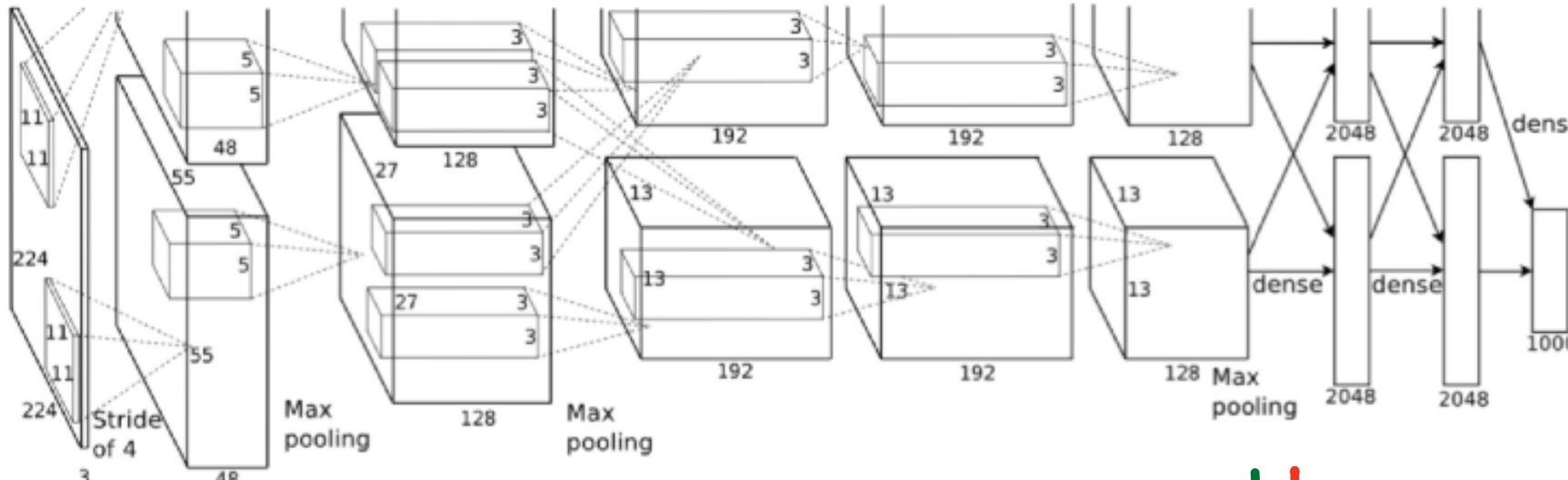
...

- Exploit this by copying  
Convolutional layers from  
a previously trained model

Actually more  
like this! ↓



# Fine Tuning For new task:



keep  
Convolutional Layers

Replace  
Dense Layers

Typical Approach, but could keep more or less

# Fine Tuning

- Better Starting point for Optimization

