

# Lecture 12

Bellman-Ford, Floyd-Warshall,  
and Dynamic Programming!

# Announcements

- HW5 due Friday!
- Late days!
  - As it says on the course website, you are responsible for keeping track of your own late days.
  - But! To remind you, everyone should have recently gotten an email with a reminder about how many late days (we think) you have left.
  - If you think there's something wrong in our accounting, please reply directly to that email to check in!

# Announcements

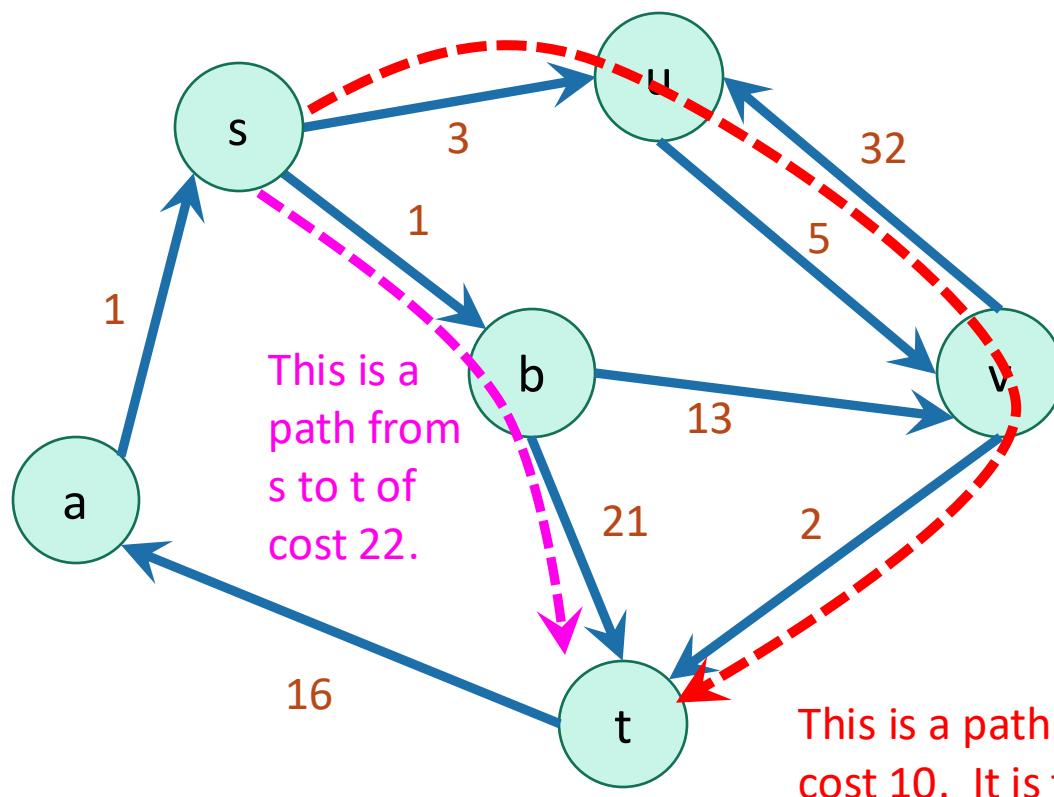
- Great job on Midterm 2!
  - Median: 69
  - Mean: 69.26
  - Max: 100
  - Standard Dev: 14.32
- Same reminder as after Midterm 1: we'll curve things up at the end of the quarter as needed!

# Today

- Bellman-Ford Algorithm
- Bellman-Ford is a special case of ***Dynamic Programming!***
- What is dynamic programming?
  - Warm-up example: Fibonacci numbers
- Another example:
  - Floyd-Warshall Algorithm

# Recall

- A weighted directed graph:



- Weights on edges represent costs.
- The cost of a path is the sum of the weights along that path.
- A shortest path from s to t is a directed path from s to t with the smallest cost.
- The single-source shortest path problem is to find the shortest path from s to v for all v in the graph.

# Last time

- Dijkstra's algorithm!
  - Solves the single-source shortest path problem in weighted graphs with non-negative edge weights.

Dijkstra pseudocode:

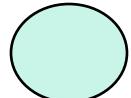
(Note: the presentation in the textbook is slightly different)

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] = \min(d[v], d[u] + \text{edgeWeight}(u,v))$
- Mark  $u$  as **sure**.
- Repeat

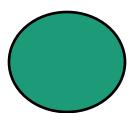
# Dijkstra by example

SAME SLIDES AS  
LAST TIME –  
real fast!

How far is a node from CoDa?



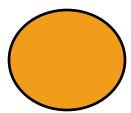
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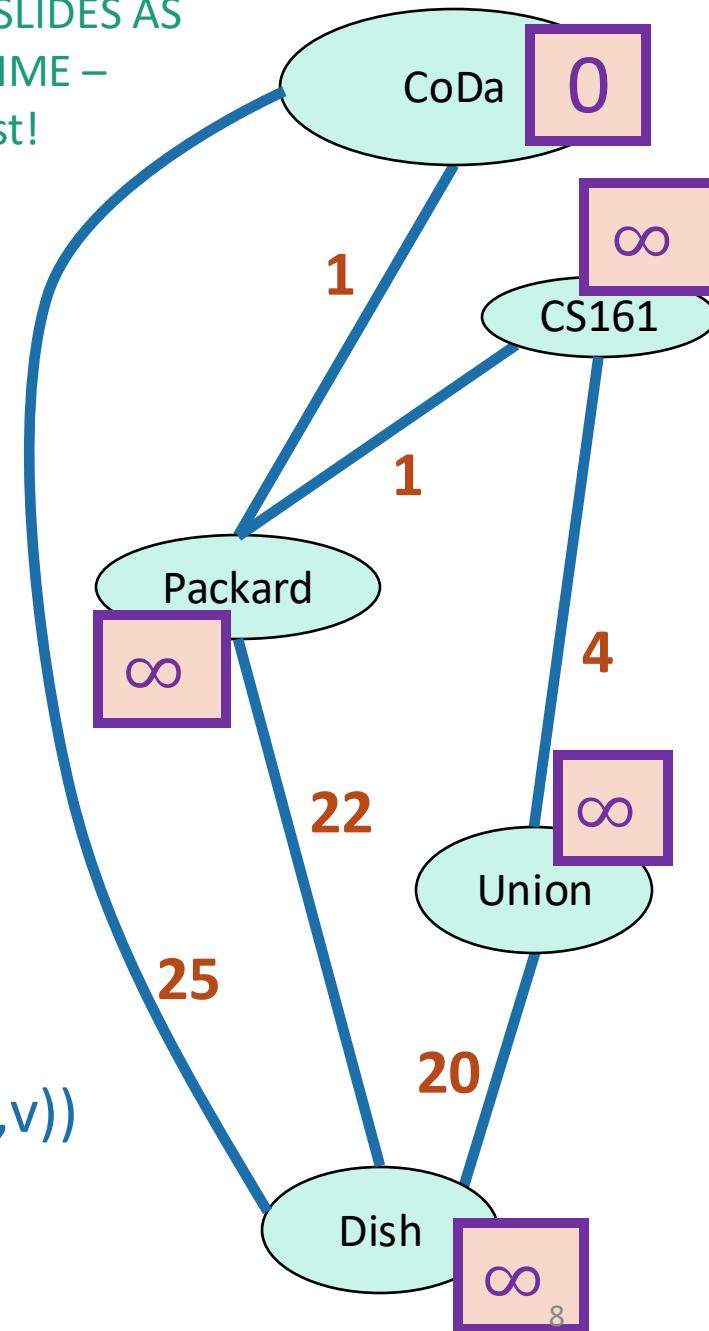


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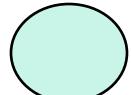
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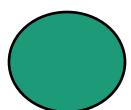


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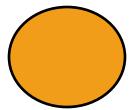
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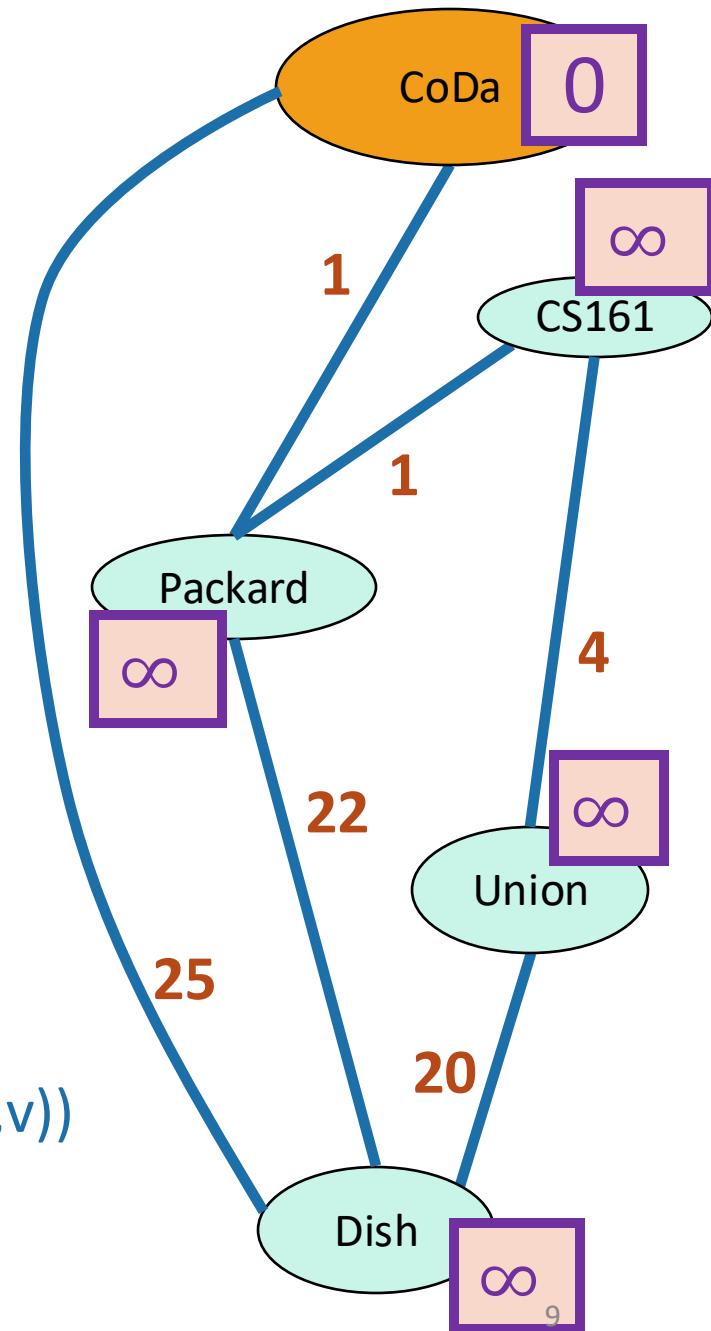


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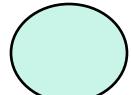
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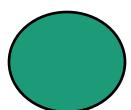


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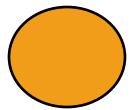
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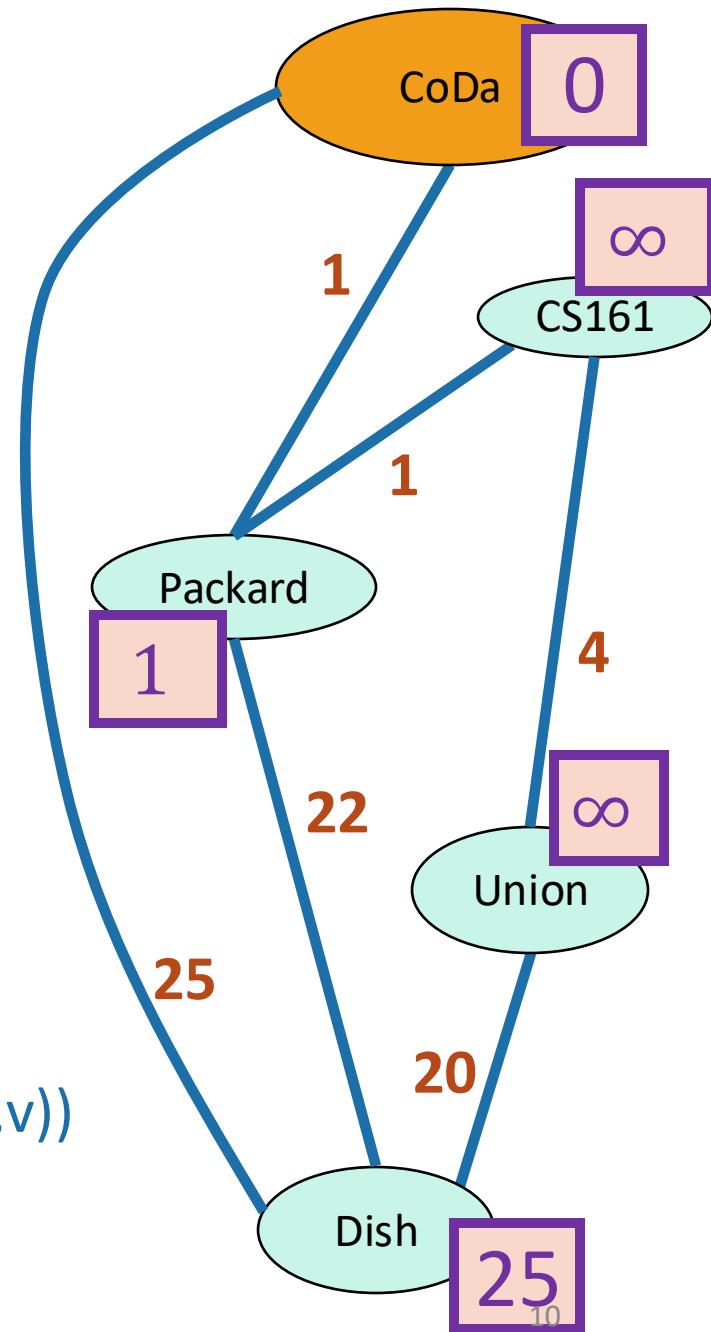


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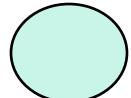
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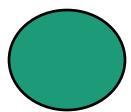


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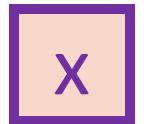
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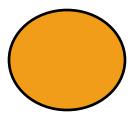
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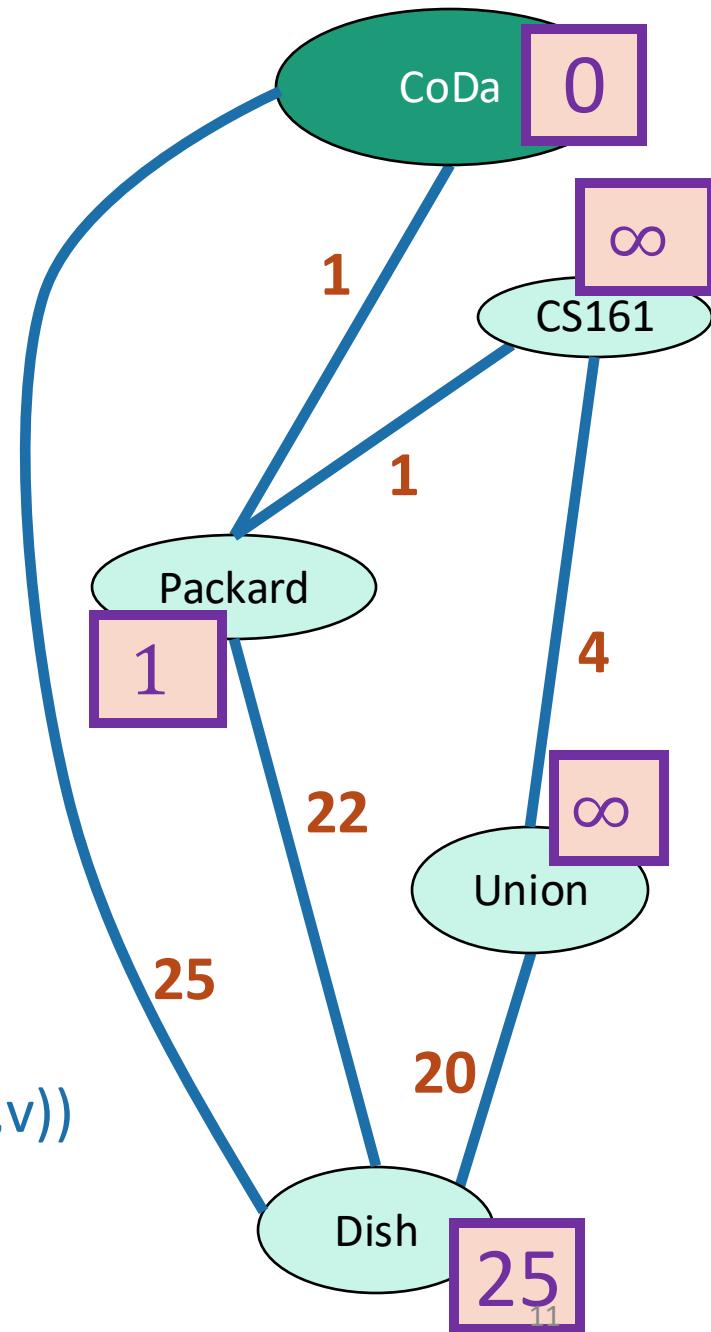


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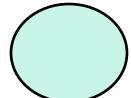
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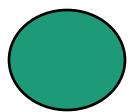


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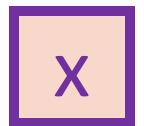
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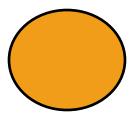
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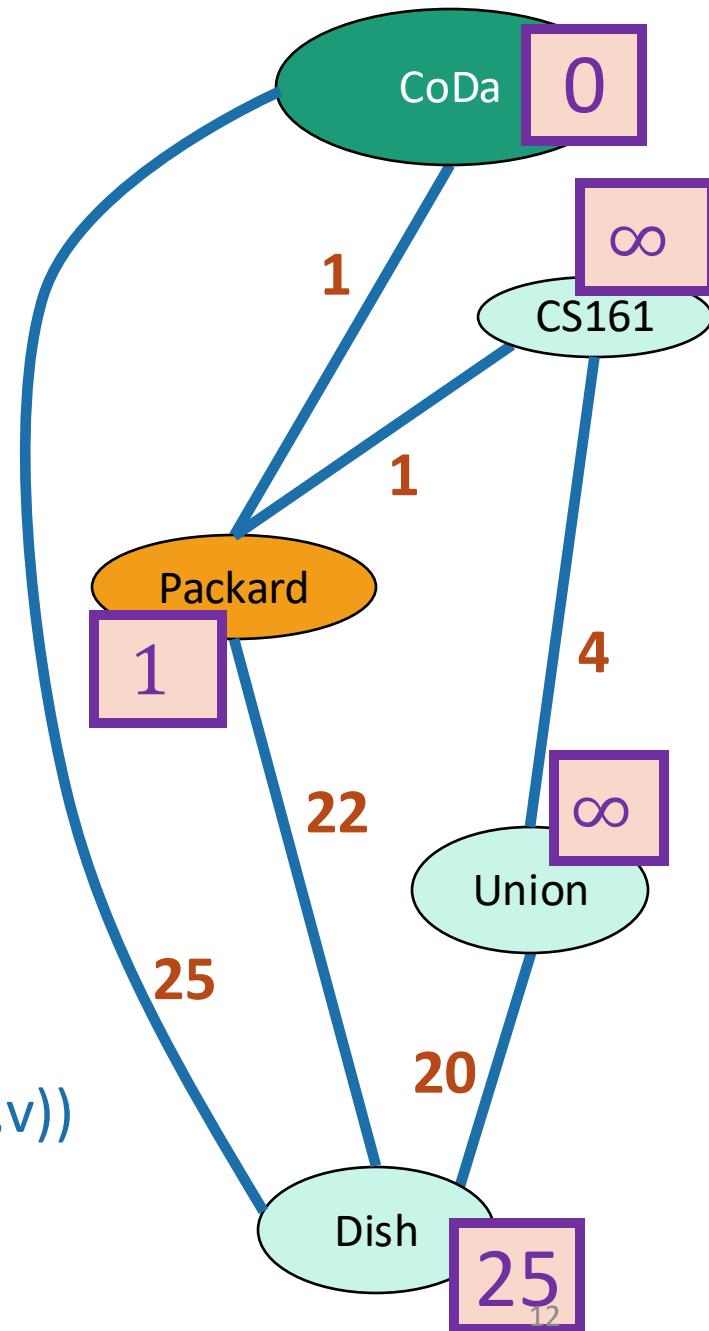


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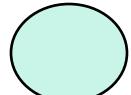
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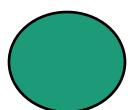


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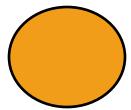
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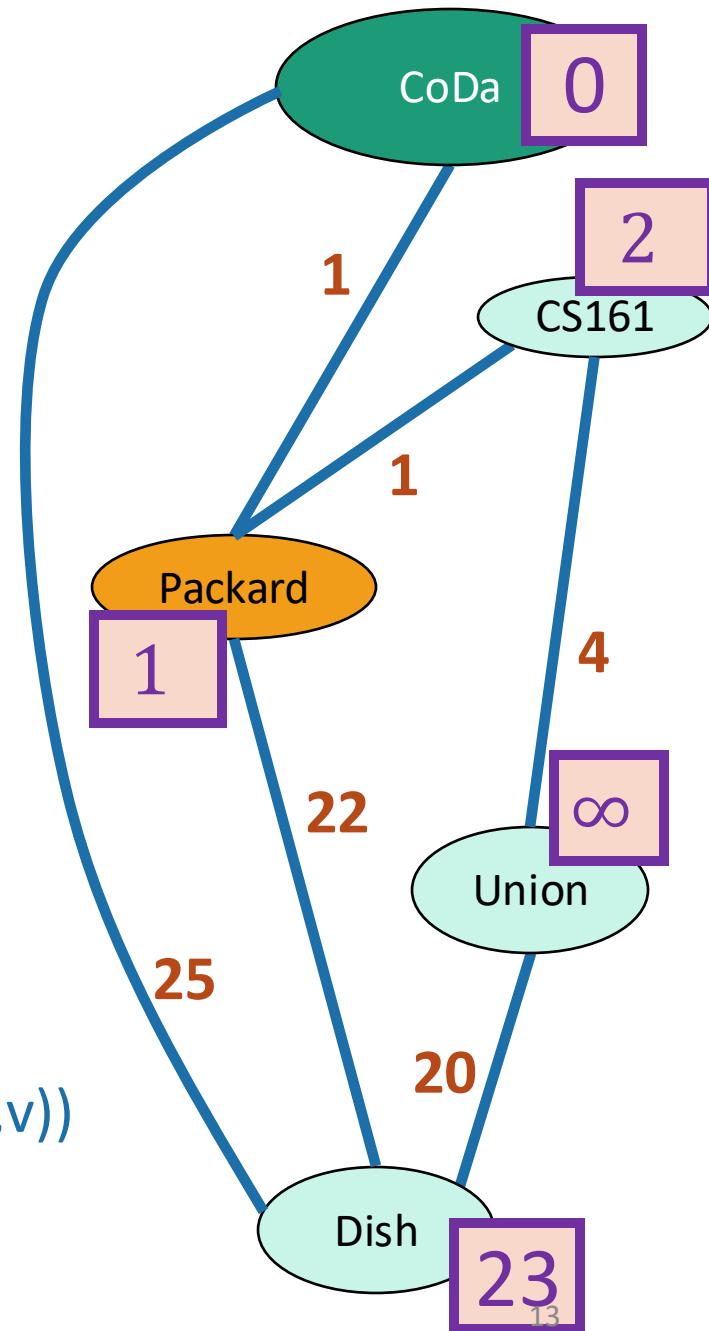


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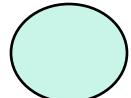
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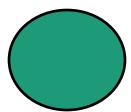


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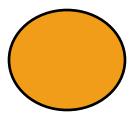
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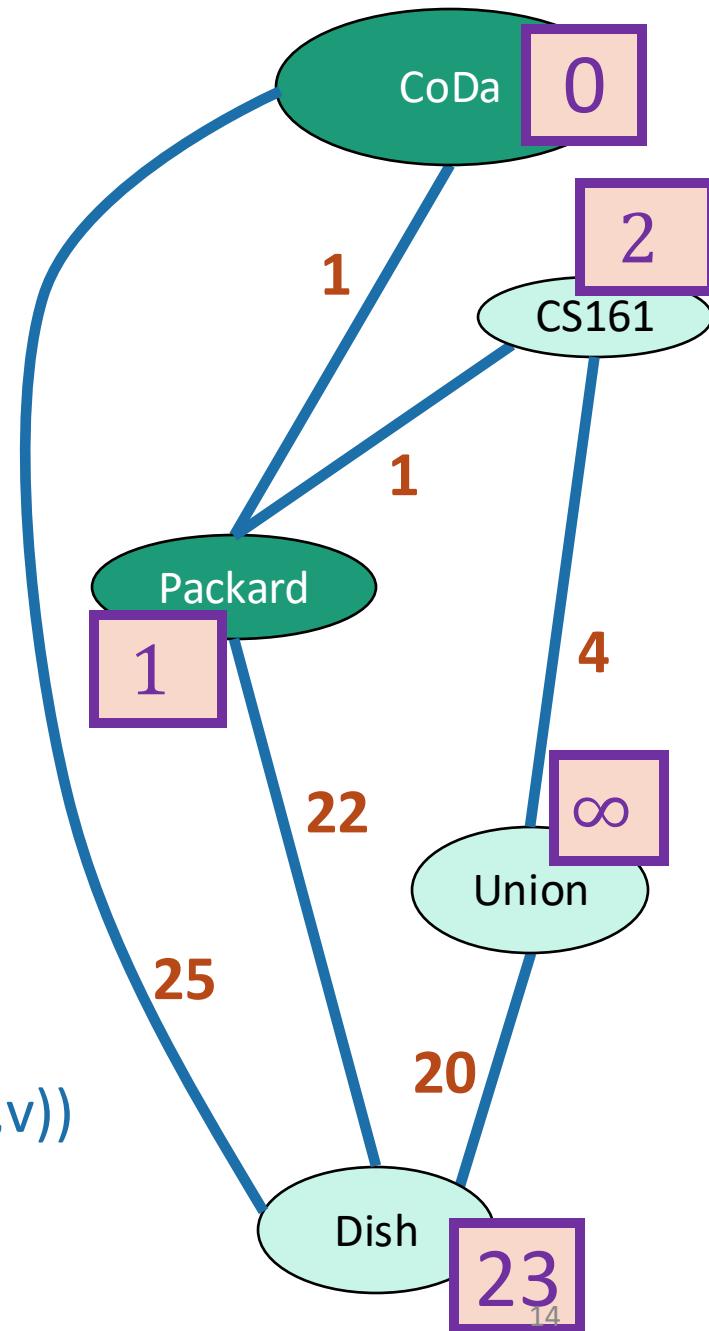


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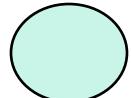
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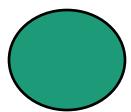


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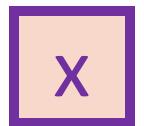
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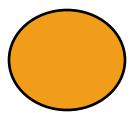
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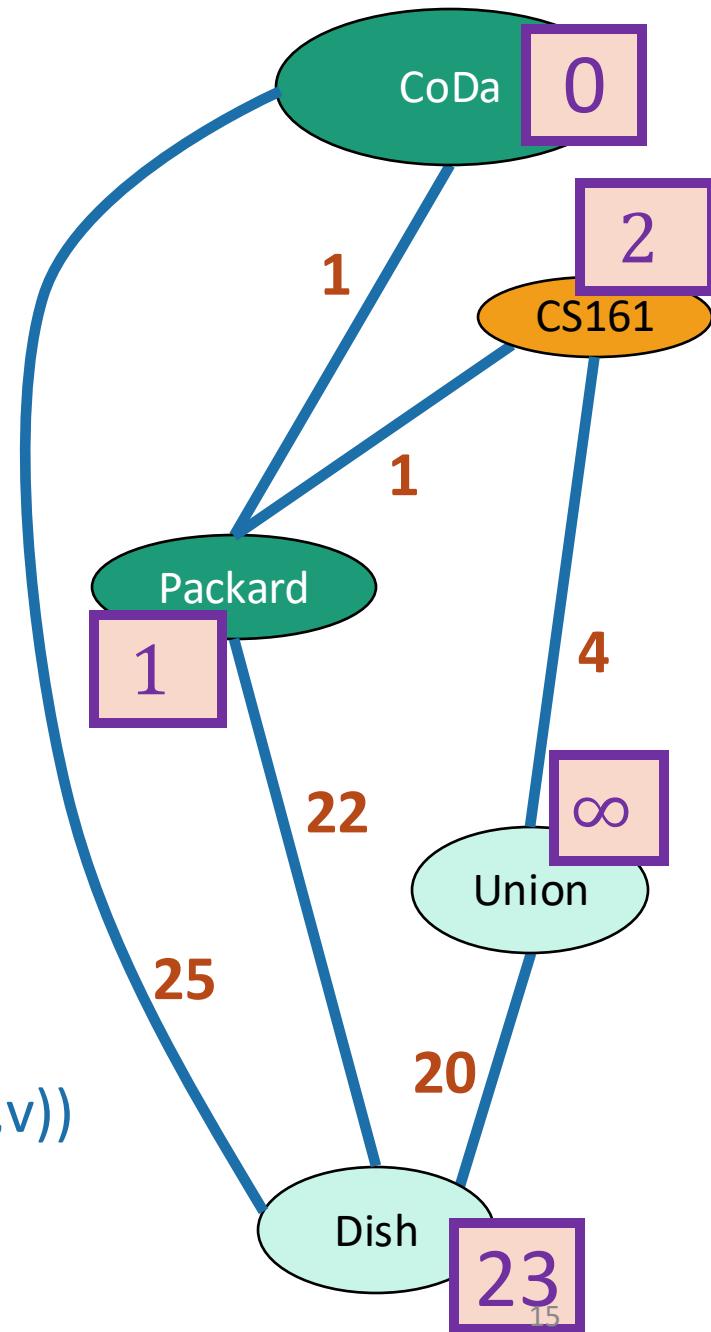


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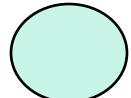
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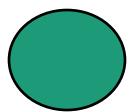


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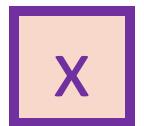
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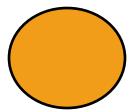
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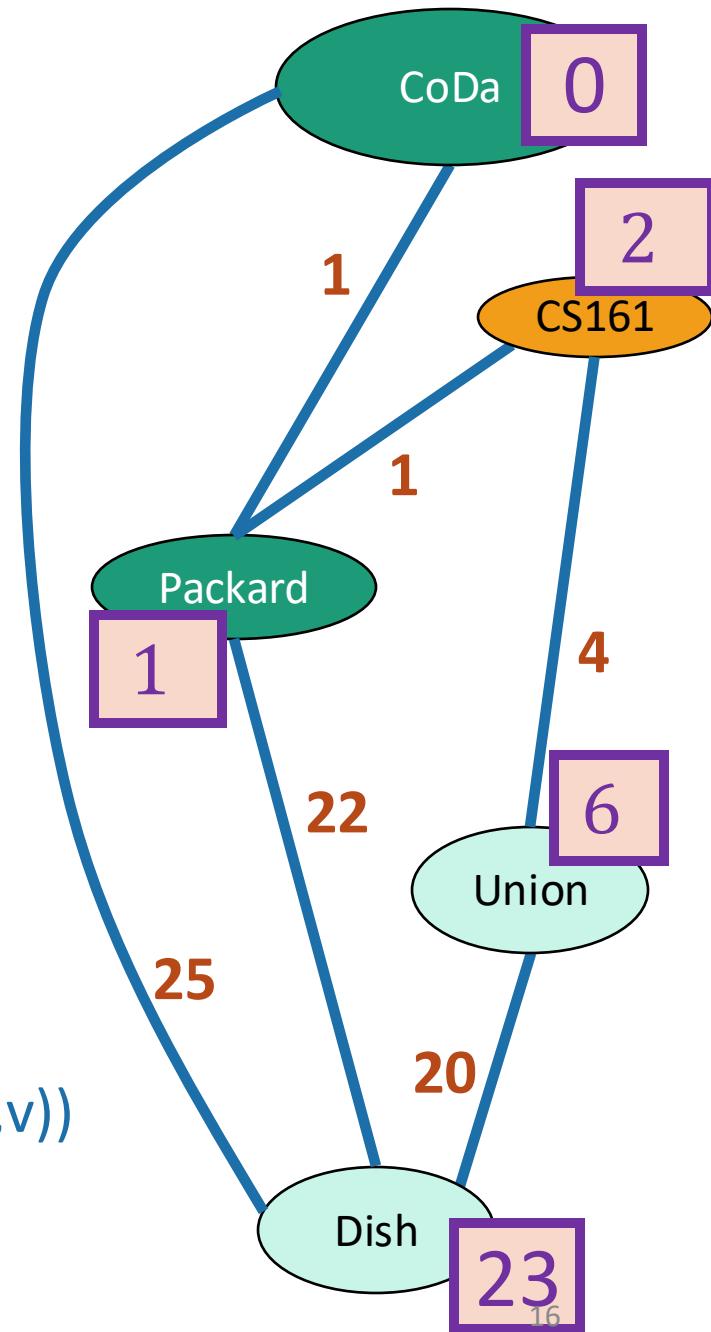


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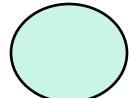
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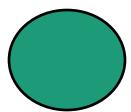


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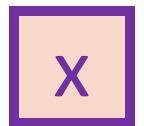
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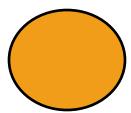
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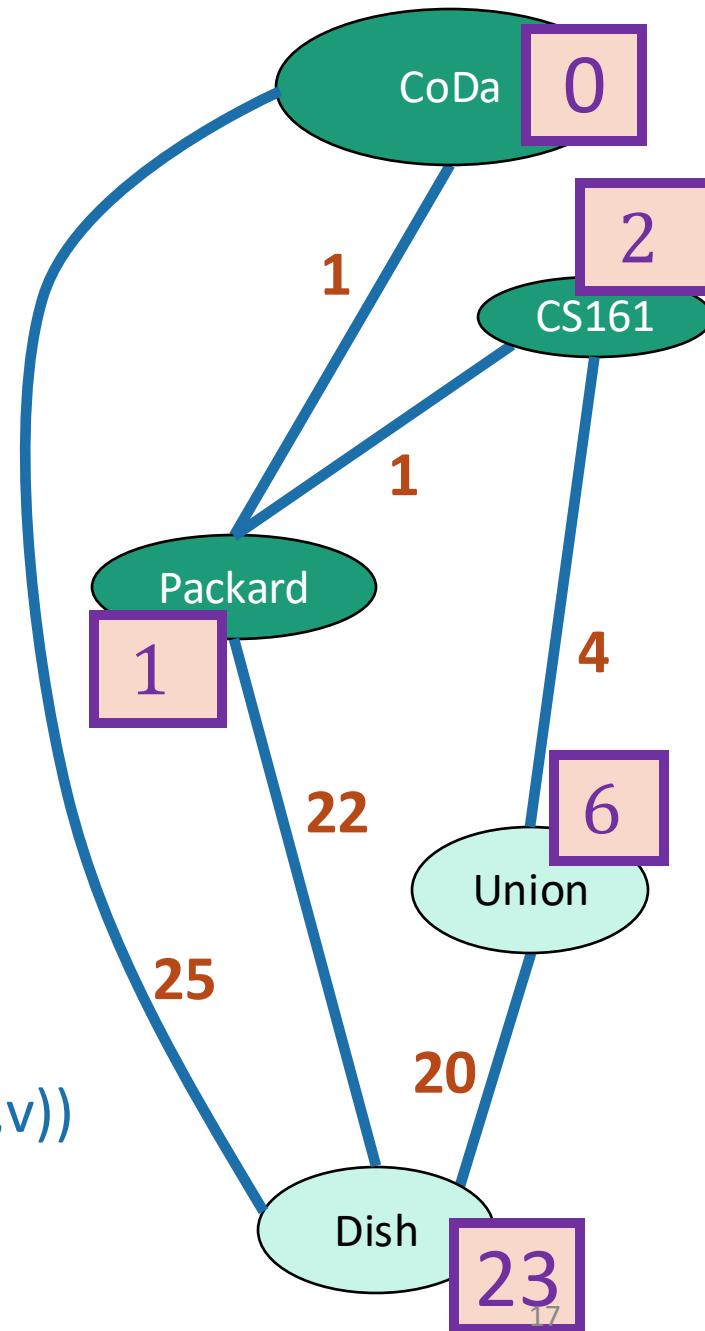


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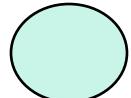
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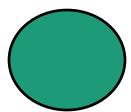


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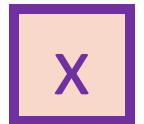
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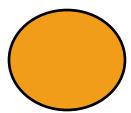
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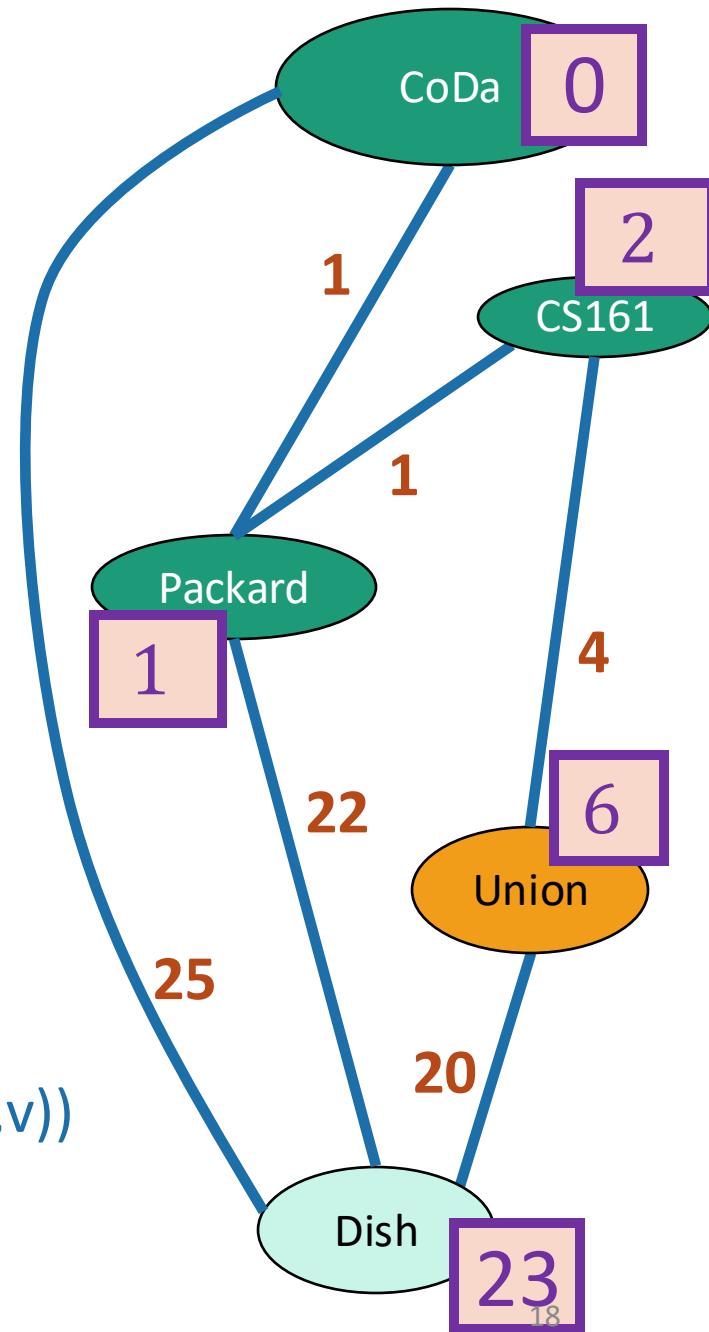


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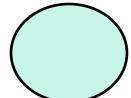
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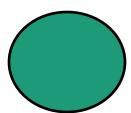


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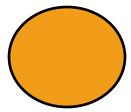
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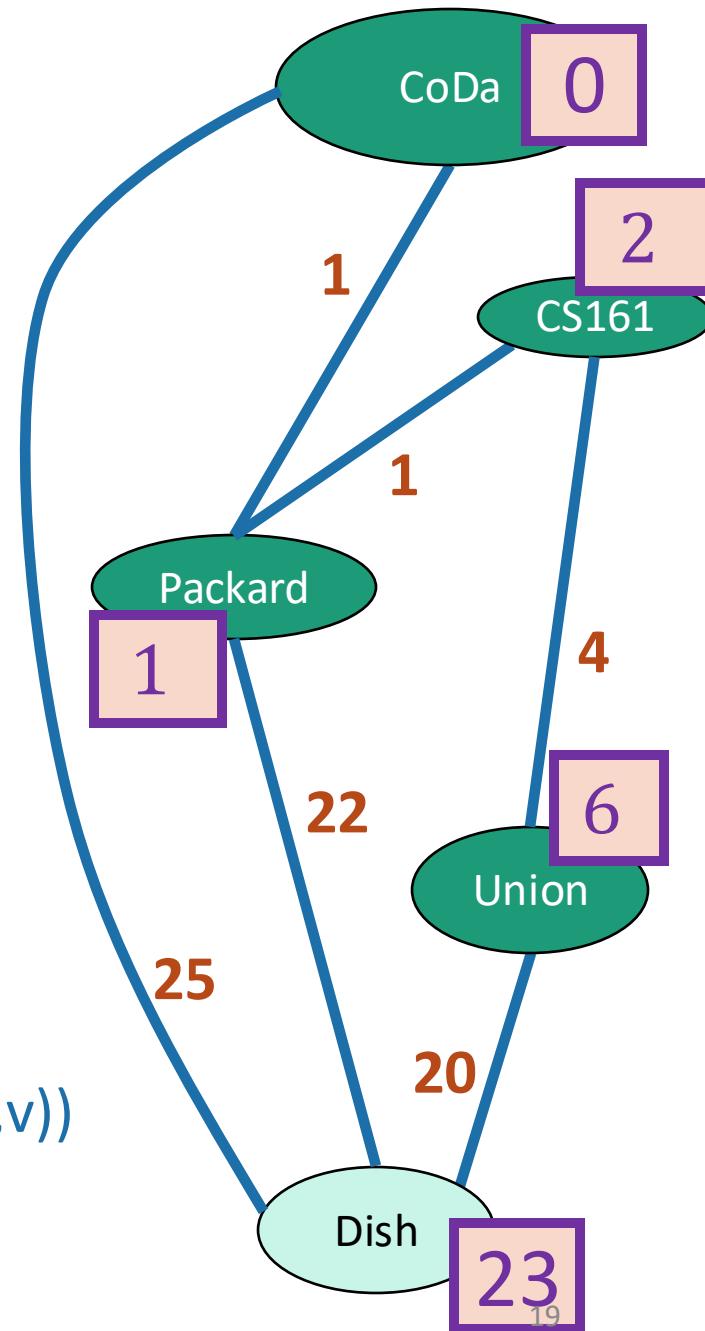


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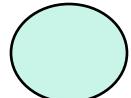
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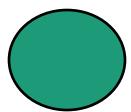


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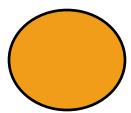
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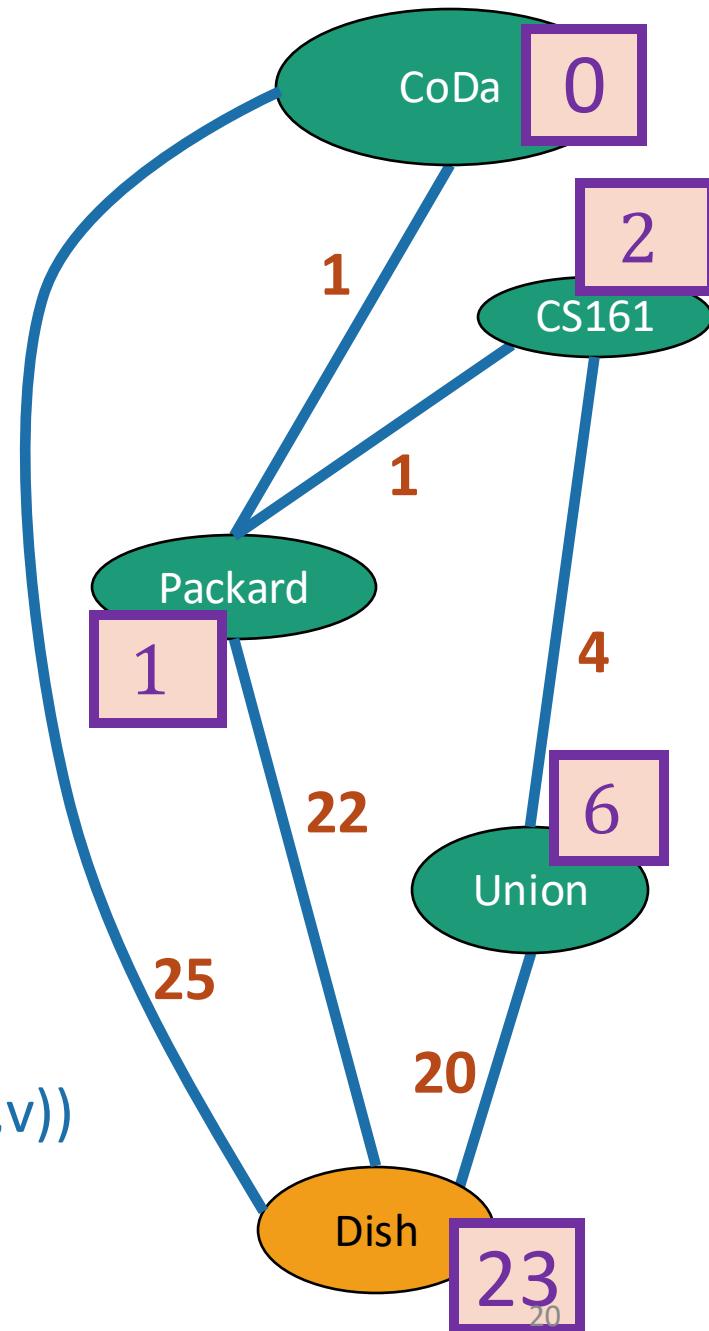


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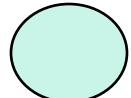
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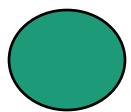


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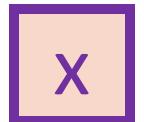
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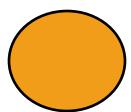
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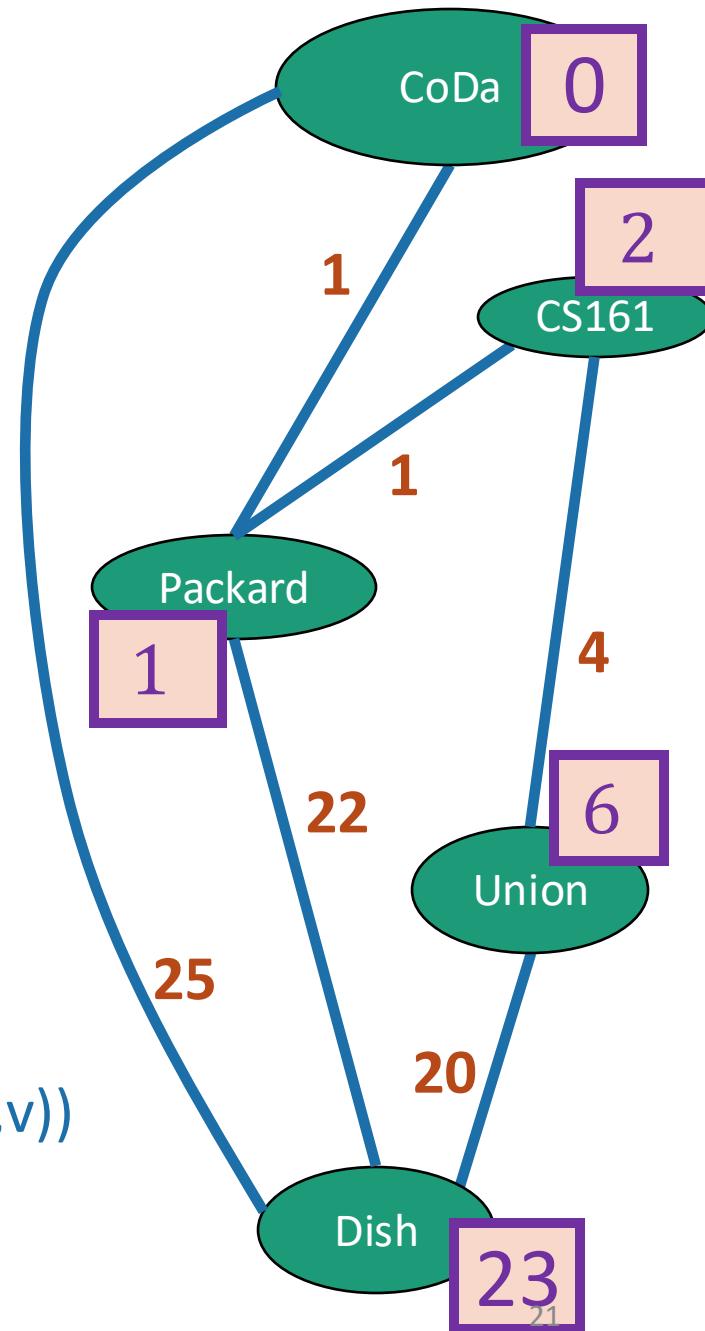


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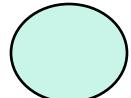
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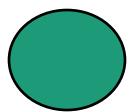


# Dijkstra by example

How far is a node from CoDa?



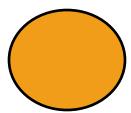
I'm not sure yet



I'm sure

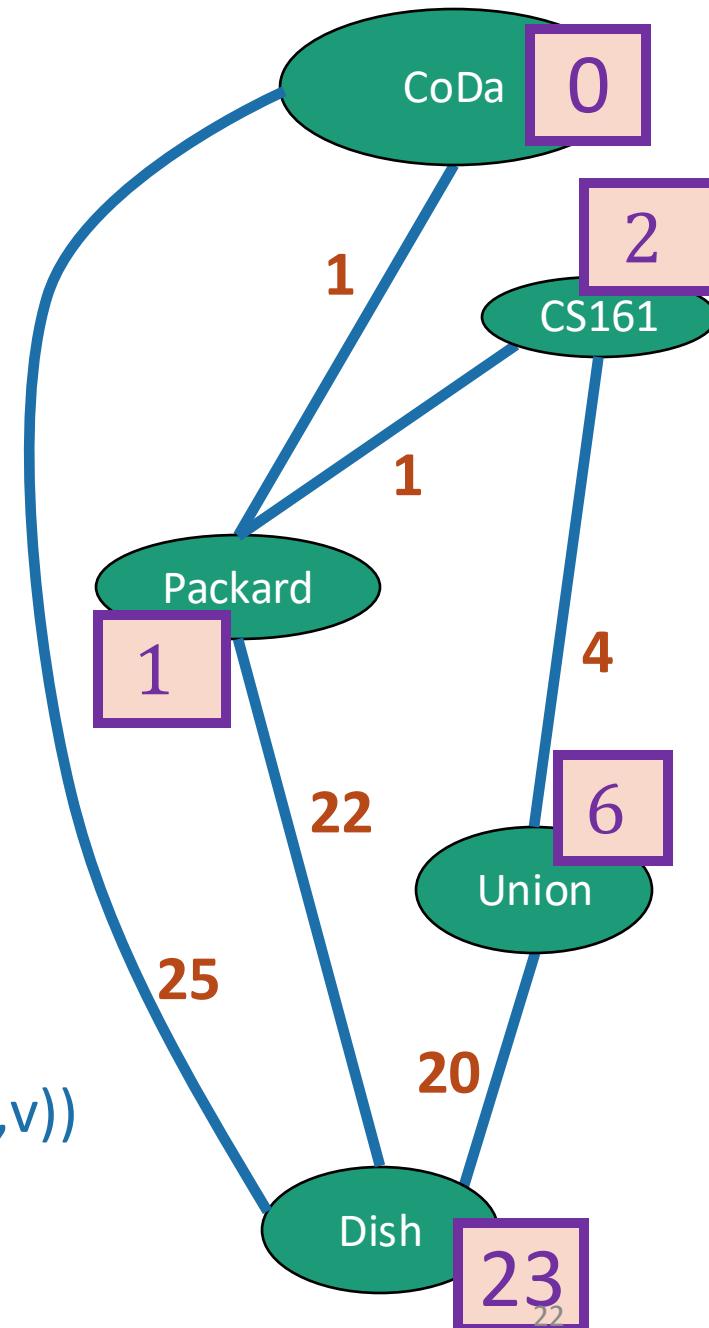


$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{CoDa}, v)$ .



Current node u

- Pick the **not-sure** node u with the smallest estimate  $d[u]$ .
- Update all u's neighbors v:
  - $d[v] = \min( d[v] , d[u] + \text{edgeWeight}(u,v) )$
- Mark u as **SURE**.
- Repeat
- After all nodes are **SURE**, say that  $d(\text{CoDa}, v) = d[v]$  for all v



# How do we actually implement Dijkstra?

- We need a data structure that holds (key, value) pairs ( $d[v]$ ,  $v$ )
- That data structure needs to support:
  - FindMin
  - RemoveMin
  - UpdateKey
- We can use a RBTree for  $O(\log n)$  time for each of these!
  - $O((n + m) \log n)$  time for Dijkstra
- We can use a *Fibonacci heap* for slightly better amortized performance.
  - $O(n \log n + m)$  time for Dijkstra

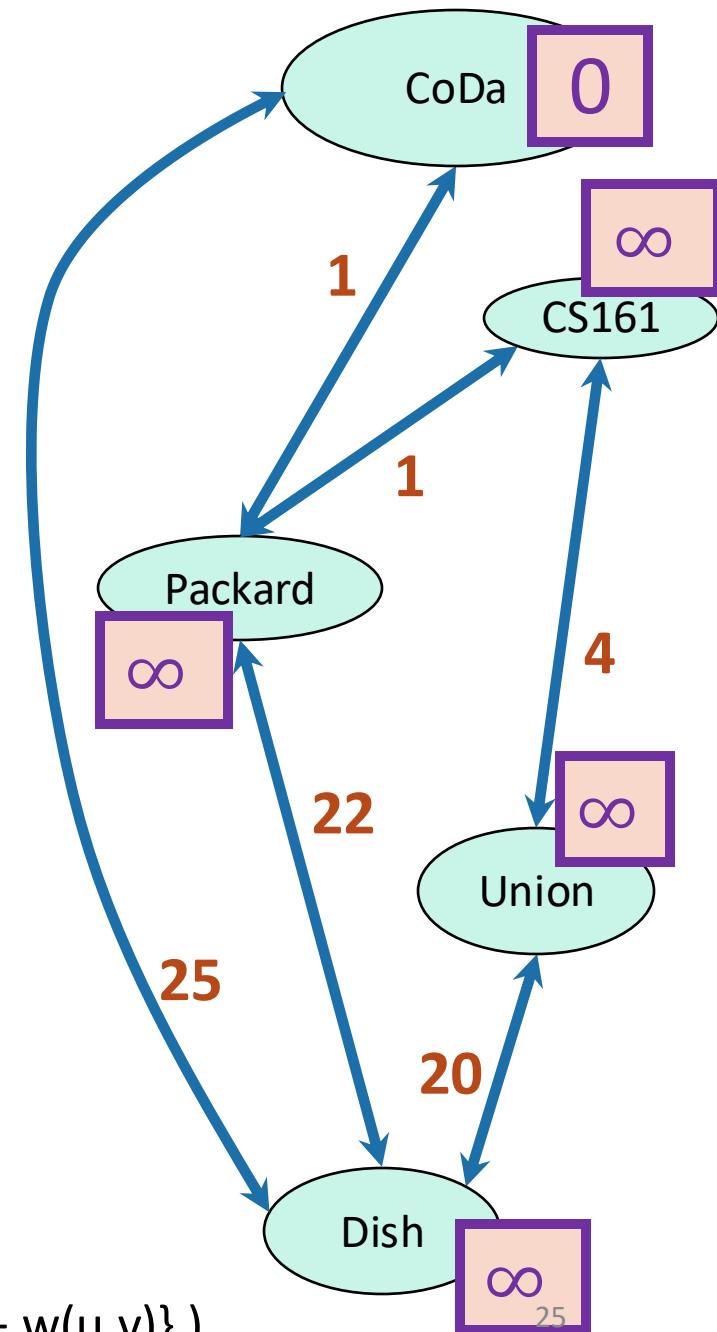
# Let's see another way to do it!

- Bellman-Ford Algorithm!

# Bellman-Ford

How far is a node from CoDa?

	CoDa	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(2)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(3)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(4)}$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$

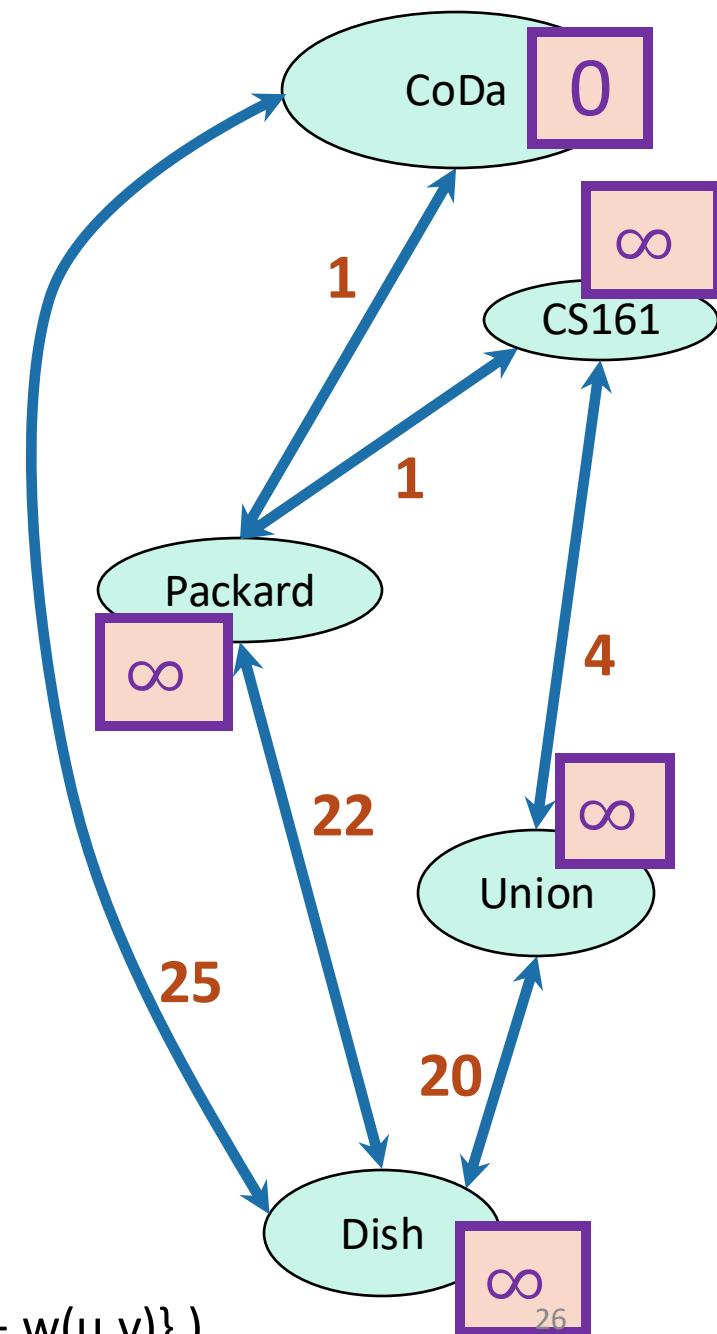


- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.inNbrs} \{d^{(i)}[u] + w(u,v)\})$

# Bellman-Ford

How far is a node from CoDa?

	CoDa	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$					
$d^{(3)}$					
$d^{(4)}$					

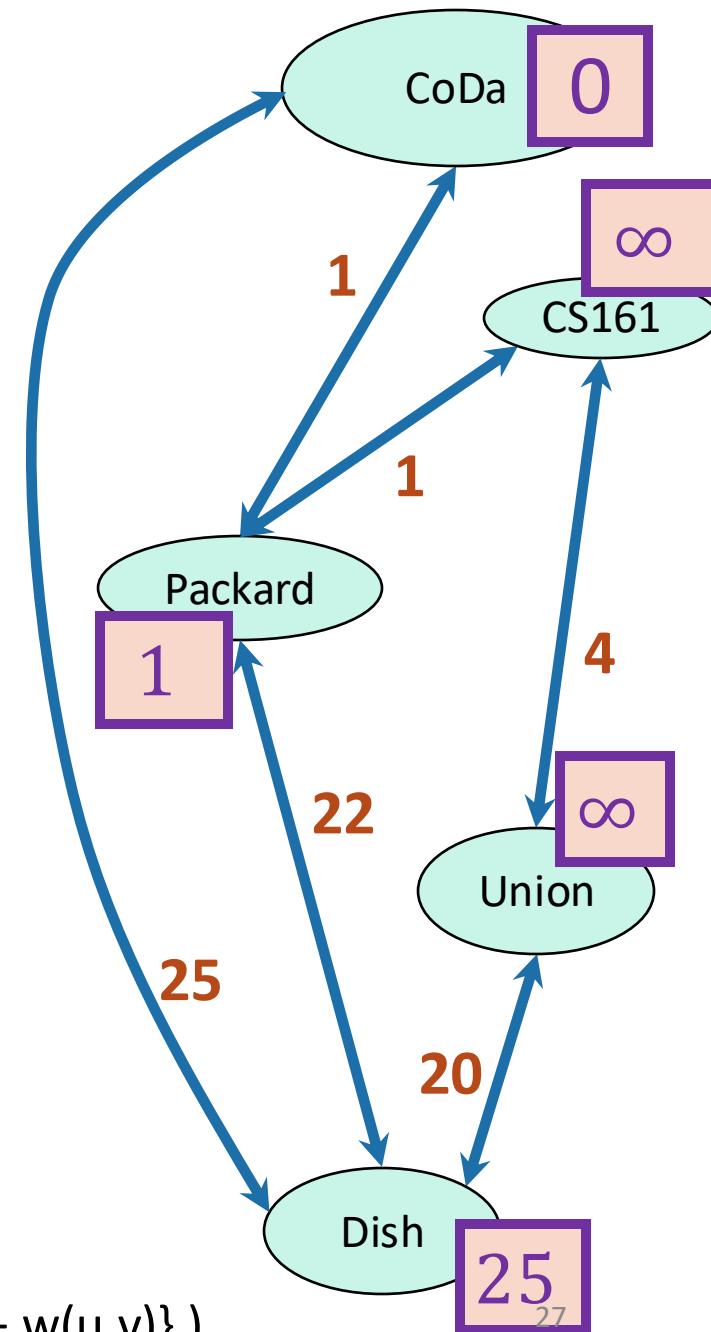


- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.inNbrs} \{d^{(i)}[u] + w(u,v)\})$

# Bellman-Ford

How far is a node from CoDa?

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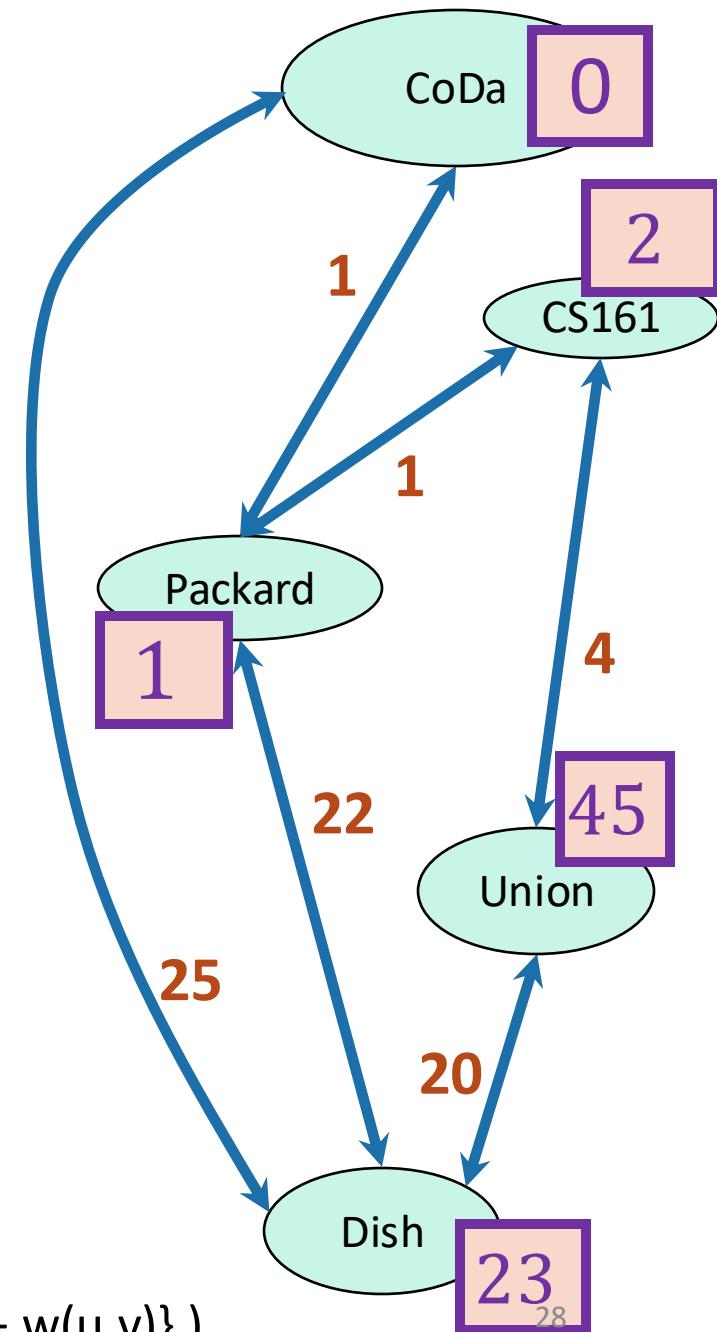


- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.inNbrs} \{d^{(i)}[u] + w(u,v)\})$

# Bellman-Ford

How far is a node from CoDa?

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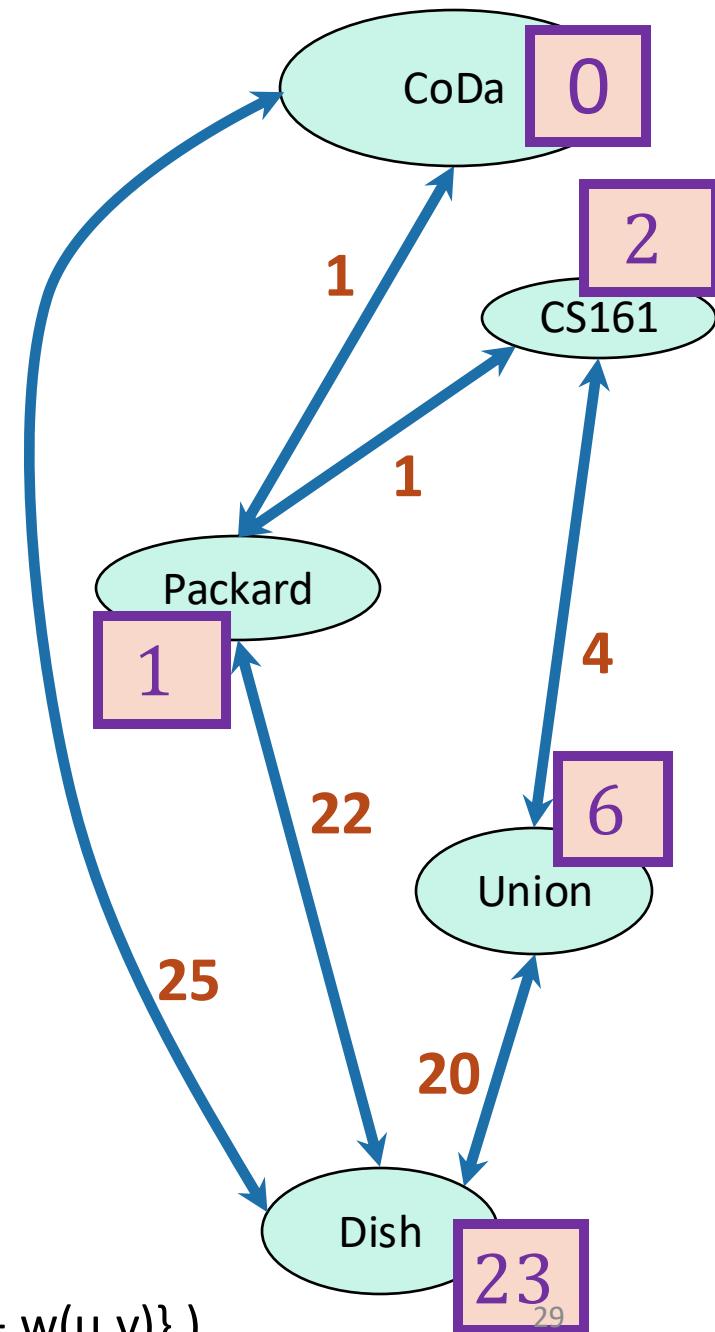


- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.inNbrs} \{d^{(i)}[u] + w(u,v)\})$

# Bellman-Ford

How far is a node from CoDa?

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$d^{(3)}$	0	1	2	6	23
$d^{(4)}$					



- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.inNbrs} \{d^{(i)}[u] + w(u,v)\})$

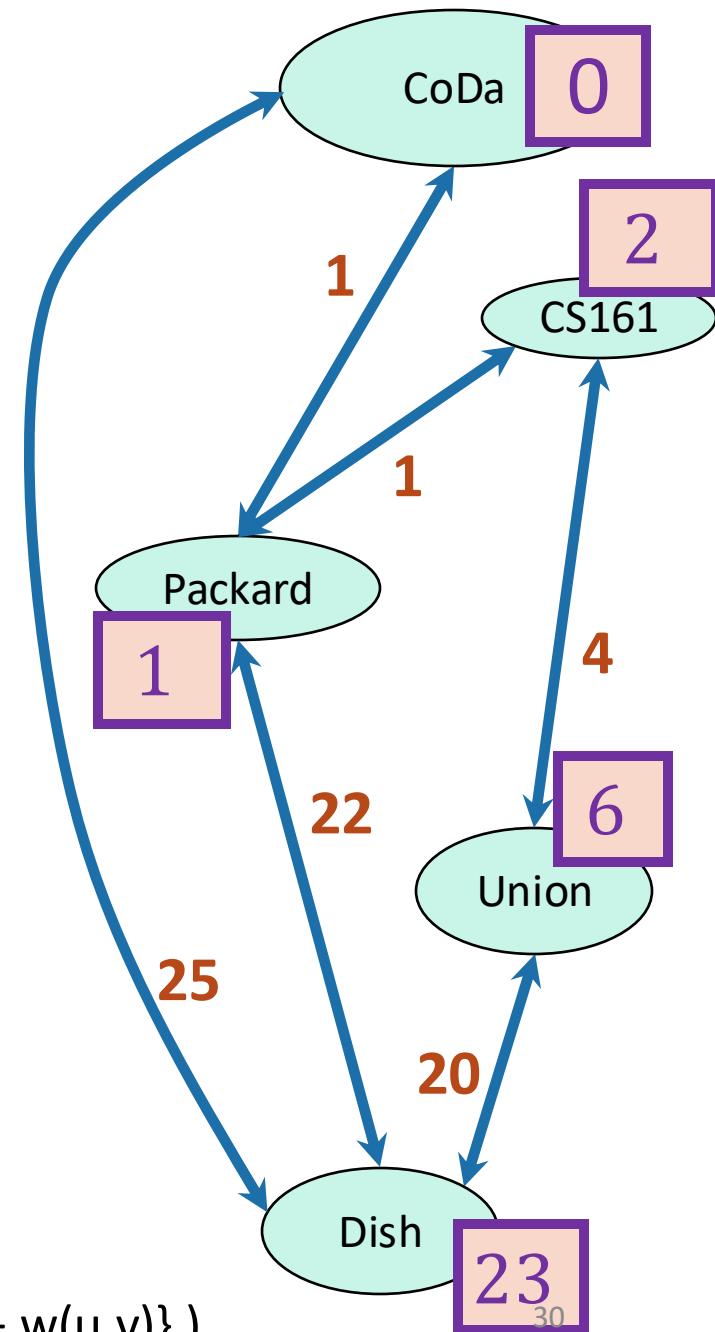
# Bellman-Ford

How far is a node from CoDa?

	CoDa	Packard	CS161	Union	Dish
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$d^{(2)}$	0	1	2	45	23
$d^{(3)}$	0	1	2	6	23
$d^{(4)}$	0	1	2	6	23

These are the final distances!

- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.\text{inNbrs}}\{d^{(i)}[u] + w(u,v)\})$



$G = (V, E)$  is a graph with  $n$  vertices and  $m$  edges.

# Bellman-Ford\* algorithm

**Bellman-Ford\*(G,s):**

- Initialize arrays  $d^{(0)}, \dots, d^{(n-1)}$  of length  $n$
- $d^{(0)}[v] = \infty$  for all  $v$  in  $V$
- $d^{(0)}[s] = 0$
- **For**  $i=0, \dots, n-2$ :
  - **For**  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.\text{inNbrs}}\{d^{(i)}[u] + w(u, v)\})$
- Now,  $\text{dist}(s, v) = d^{(n-1)}[v]$  for all  $v$  in  $V$ .
  - (Assuming no negative cycles)

Here, Dijkstra picked a special vertex  $u$  and updated  $u$ 's neighbors – Bellman-Ford will update *all* the vertices.

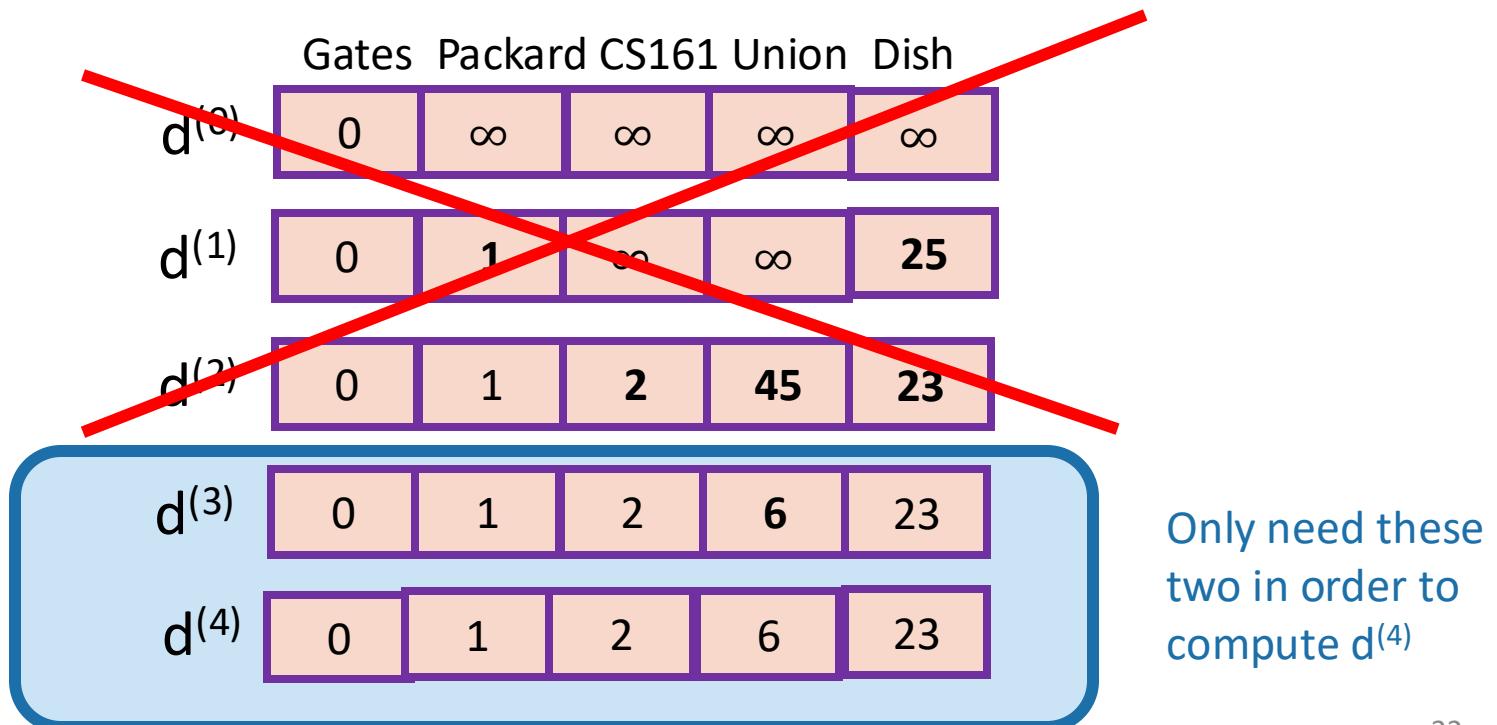


\*Slightly different than some versions of Bellman-Ford...but  
this way is pedagogically convenient for today's lecture.  
31

# Note on implementation

to save on space

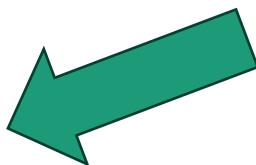
- Don't actually keep all  $n$  arrays around.
- Just keep two at a time: "last round" and "this round"



# Bellman-Ford Algorithm

- Does it work?

- Is it fast?



# Bellman-Ford Algorithm

- Does it work?

- Is it fast?

$O(nm)$

So... not that fast?



Compare to Dijkstra  
(with an RBTree):  
 $O((n + m) \log n)$

Technically, as written the running time would be  $O(n(n + m))$ ... can you see how to modify the algorithm to solve the single-source-shortest-path problem in time  $O(nm)$ ?



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Studious Stork

- For  $i=0, \dots, n-2$ :
  - For  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], \min_{u \text{ in } v.\text{inNbrs}}\{d^{(i)}[u] + w(u,v)\})$

# Bellman-Ford Algorithm

- Does it work?



Yes!

	CoDa	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$	0	1	2	45	23
$d^{(3)}$	0	1	2	6	23
$d^{(4)}$	0	1	2	6	23

**Lemma:**  $d^{(i)}[v]$  is the cost of the shortest path between  $s$  and  $v$  with at most  $i$  edges.

- Is it fast?

$O(nm)$

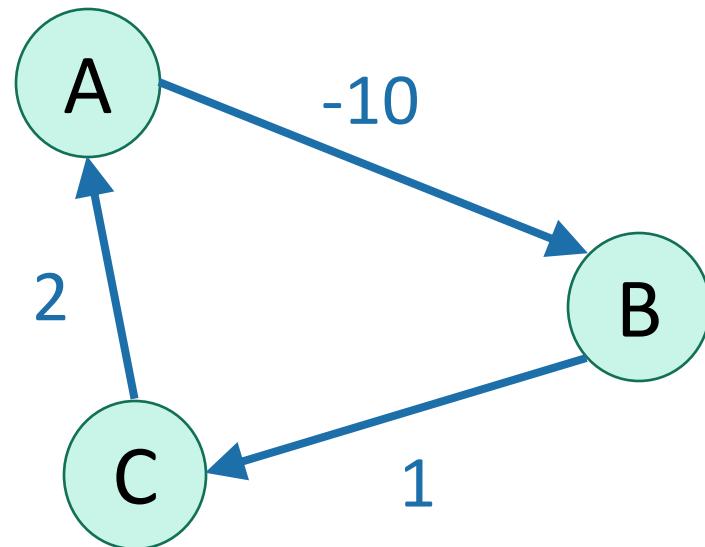
So... not that fast?

Compare to Dijkstra  
(with an RBTree):  
 $O((n + m) \log n)$

- For  $i=0, \dots, n-2$ :
  - For  $u$  in  $V$ :
    - For  $v$  in  $u.\text{neighbors}$ :
      - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i+1)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$

# Aside: Negative Cycles

- A **negative cycle** is a cycle whose edge weights sum to a negative number.
- Shortest paths aren't defined when there are negative cycles!



The shortest path from A to B has cost...negative infinity?

# Bellman-Ford Algorithm

- Does it work?

Yes!

	CoDa	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$	0	1	2	45	23
$d^{(3)}$	0	1	2	6	23
$d^{(4)}$	0	1	2	6	23

**Lemma:**  $d^{(i)}[v]$  is the cost of the shortest path between  $s$  and  $v$  with at most  $i$  edges.

**Corollary:** If there are no negative cycles,  $d^{(n-1)}[v]$  is the cost of the shortest path between  $s$  and  $v$ .

- Is it fast?

$O(nm)$

So... not really?

Compare to Dijkstra  
(with an RBTree):  
 $O((n + m) \log n)$

Some proof required to get from the Lemma to the Corollary!

- If there are no negative cycles, there is a shortest path from  $s$  to  $v$  with at most  $n - 1$  edges (why?)



- For  $i=0, \dots, n-2$ :
  - For  $u$  in  $V$ :
    - For  $v$  in  $u.\text{neighbors}$ :
      - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i+1)}[v], d^{(i)}[u] + \text{edgeWeight}(u,v))$

**Lemma:**  $d^{(i)}[v]$  is the cost of the shortest path between  $s$  and  $v$  with at most  $i$  edges.

# Detecting Negative Cycles

- Bellman-Ford can be adapted to **detect** negative cycles.
- If there are no negative cycles, after  $n$  iterations, the distance estimates should stop changing.
- If there is a negative cycle, then the distances will keep updating (down to  $-\infty$ )
- So run one more iteration of BF (to compute  $d^{(n)}$  as well as  $d^{(n-1)}$ ), and see if they are the same or not.

	CoDa	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$	0	1	2	45	23
$d^{(3)}$	0	1	2	6	23
$d^{(4)}$	0	1	2	6	23
$d^{(5)}$	0	1	2	6	23

No negative cycles, and these are the distances!

## Summary

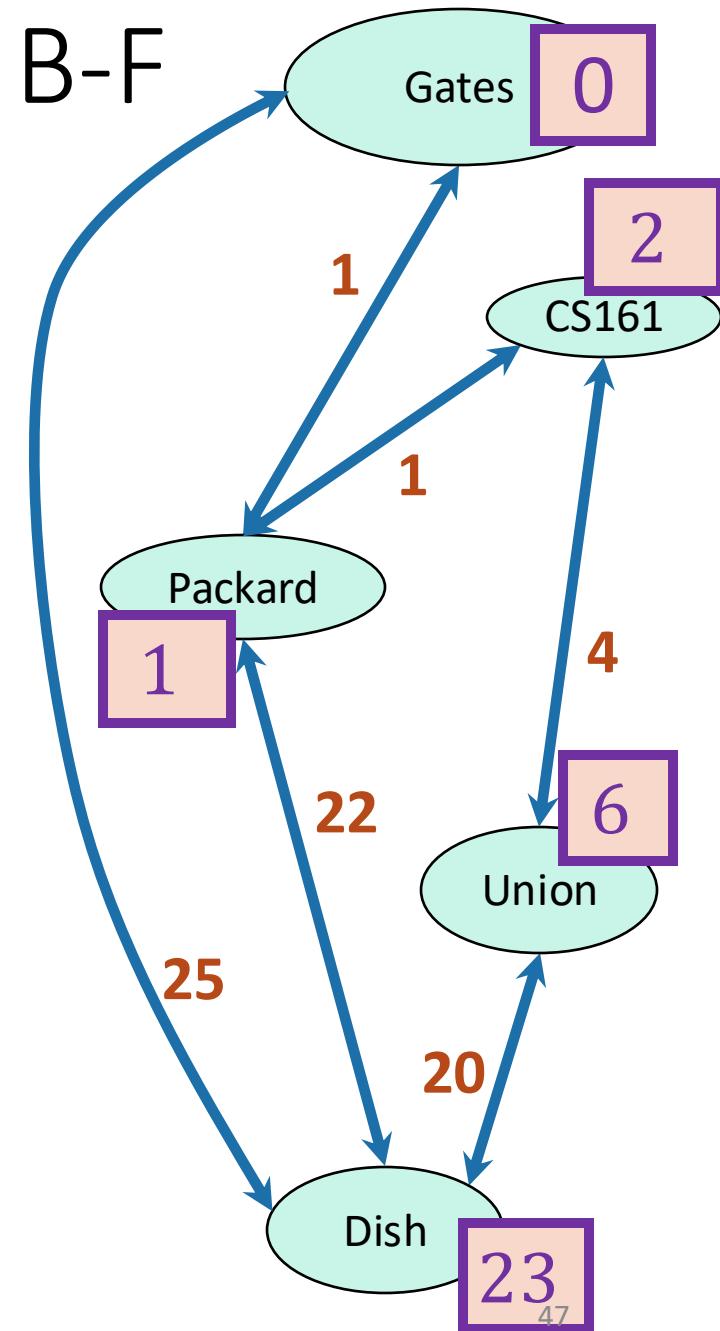
# Bellman-Ford vs. Dijkstra

- Both solve **single-source shortest path**
- Dijkstra:
  - Find the  $u$  with the smallest  $d[u]$
  - Update  $u$ 's neighbors:  $d[v] = \min(d[v], d[u] + w(u,v))$
  - Runs in time  $O((n+m) \log n)$  with a RBTree.
    - Or  $O(n \log n + m)$  amortized time with a Fibonacci heap
- Bellman-Ford:
  - Don't bother finding the  $u$  with the smallest  $d[u]$ 
    - Everyone updates!
  - Slower --  $O(nm)$  -- but more flexible:
    - Can handle negative edge weights (as long as there aren't negative cycles)
    - Can do updates in a decentralized way.

# Important thing about B-F for the rest of this lecture

For all vertices  $v$ ,  $d^{(i)}[v]$  is equal to the cost of the shortest path between  $s$  and  $v$  with at most  $i$  edges.

	Gates	Packard	CS161	Union	Dish
$d^{(0)}$	0	$\infty$	$\infty$	$\infty$	$\infty$
$d^{(1)}$	0	1	$\infty$	$\infty$	25
$d^{(2)}$	0	1	2	45	23
$d^{(3)}$	0	1	2	6	23
$d^{(4)}$	0	1	2	6	23



Bellman-Ford is an example of...

## *Dynamic Programming!*

Today:

- Example of Dynamic programming:
  - Fibonacci numbers.
  - (And Bellman-Ford)
- What is dynamic programming, exactly?
  - And why is it called “dynamic programming”?
- Another example: Floyd-Warshall algorithm
  - An “all-pairs” shortest path algorithm



# Pre-Lecture exercise:

## How not to compute Fibonacci Numbers

- Definition:

- $F(n) = F(n-1) + F(n-2)$ , with  $F(1) = F(2) = 1$ .
- The first several are:
  - 1
  - 1
  - 2
  - 3
  - 5
  - 8
  - 13, 21, 34, 55, 89, 144,...

- Question:

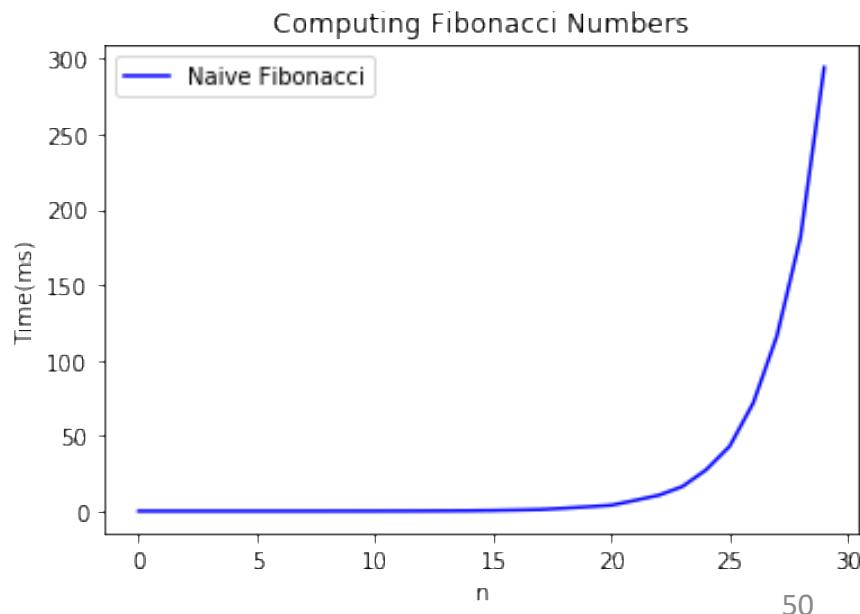
- Given  $n$ , what is  $F(n)$ ?

# Candidate algorithm

- **def** Fibonacci (n) :
  - **if** n == 0, **return** 0
  - **if** n == 1, **return** 1
  - **return** Fibonacci (n-1) + Fibonacci (n-2)

## Running time?

- $T(n) = T(n-1) + T(n-2) + O(1)$
- $T(n) \geq T(n-1) + T(n-2)$  for  $n \geq 2$
- So  $T(n)$  grows *at least* as fast as the Fibonacci numbers themselves...
- This is **EXPONENTIALLY QUICKLY!**



Why do the Fibonacci numbers grow exponentially quickly?

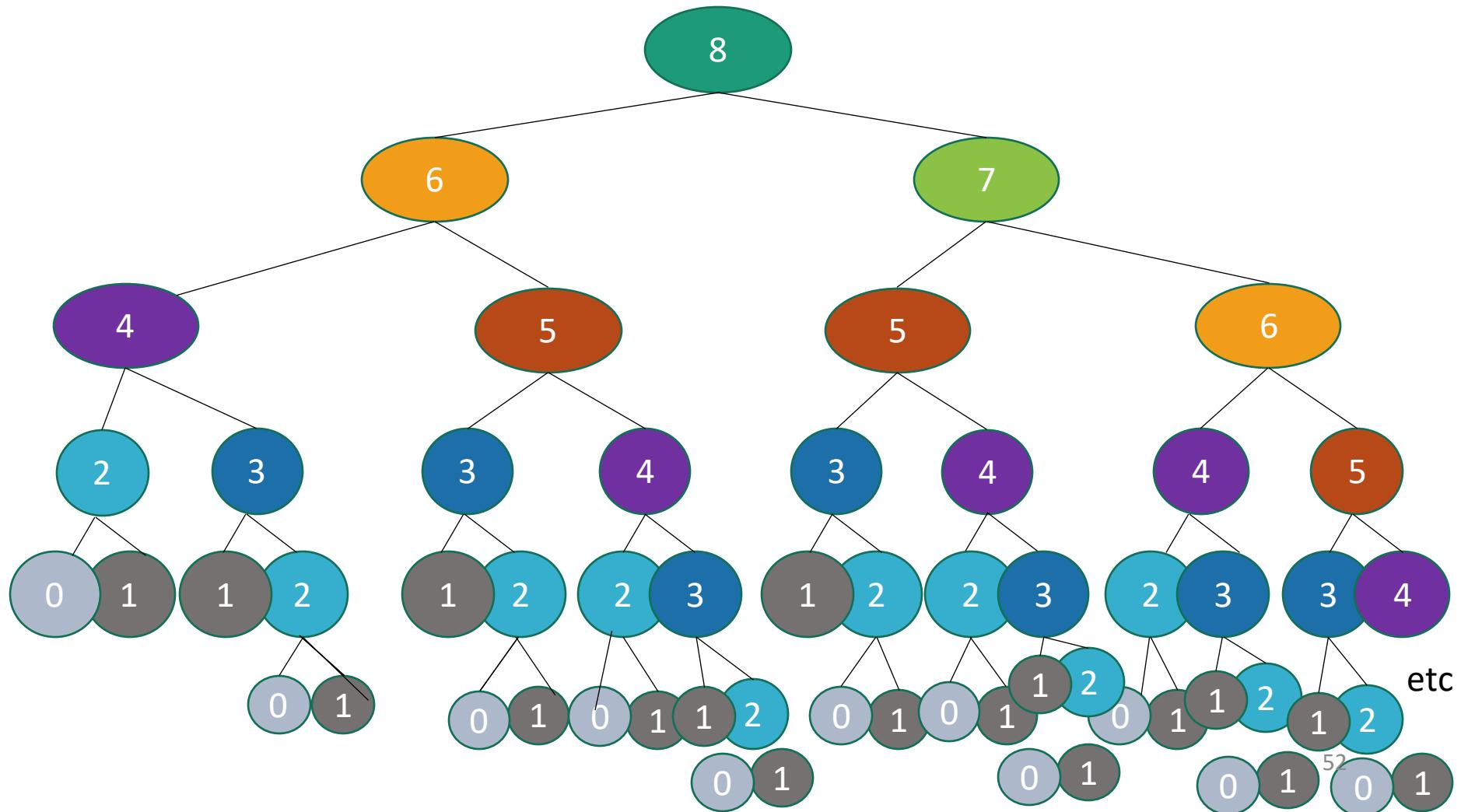
- $T(n) = T(n - 1) + T(n - 2)$
- $\geq 2T(n - 2)$
- Try unrolling this:
  - $T(n) \geq 2T(n - 2)$
  - $\geq 4T(n - 4)$
  - $\geq 8T(n - 6)$
  - ...  $\geq 2^j T(n - 2j)$  for any  $j < n/2$
  - ...  $\geq 2^{n/2} T(1)$  by plugging in  $j = \frac{n-1}{2}$
- So  $T(n) \geq 2^{n/2}$ , which is REALLY BIG!!!

To be really precise, we could use induction! Also here we are assuming n is odd for convenience.

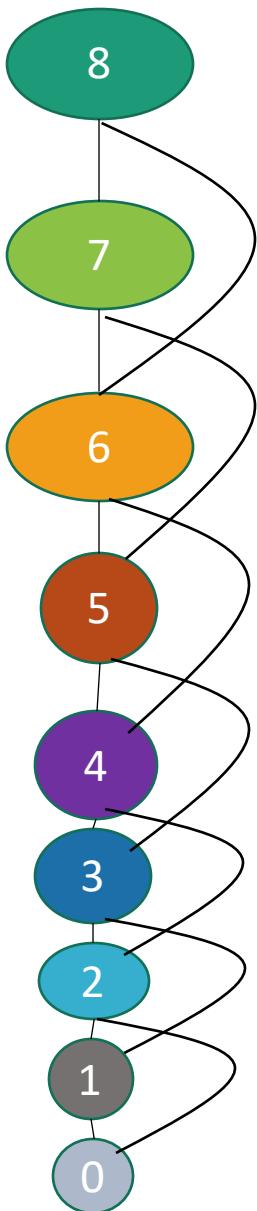


# What's going on? Consider Fib(8)

That's a lot of  
repeated  
computation!

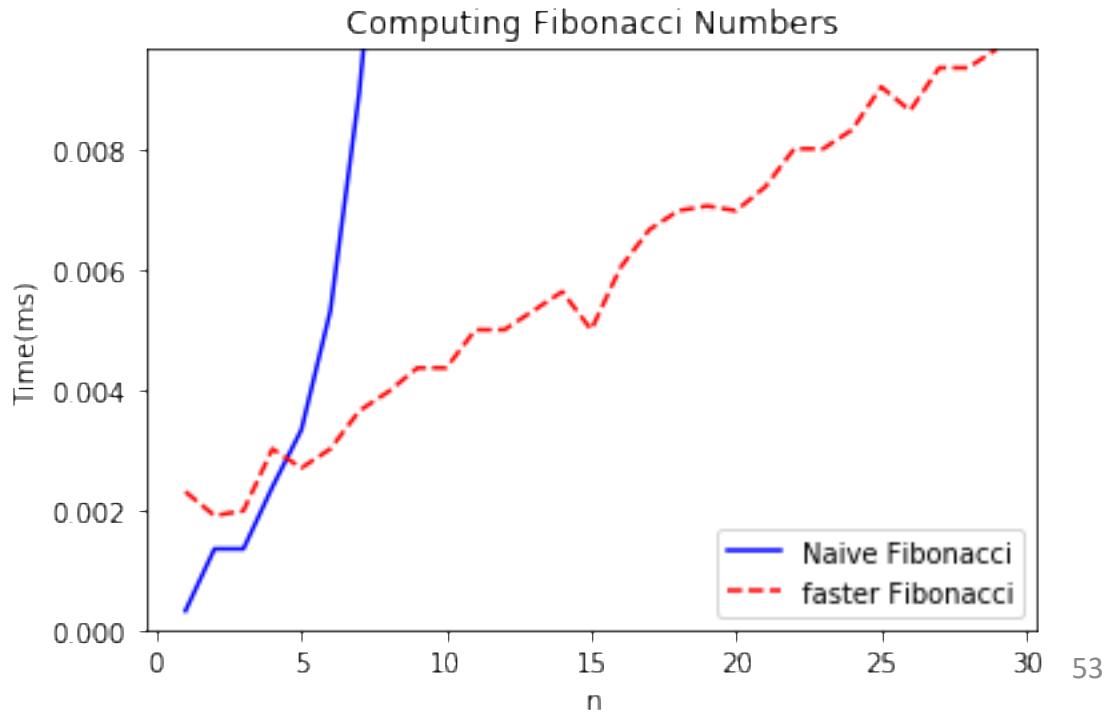


# Maybe this would be better:



```
def fasterFibonacci (n):
    • F = [0, 1, None, None, ..., None ]
        • \\F has length n + 1
    • for i = 2, ..., n:
        • F[i] = F[i-1] + F[i-2]
    • return F[n]
```

Much better running time!



This was an example of...

*Dynamic  
Programming!*

# What is *dynamic programming*?

- It is an algorithm design paradigm
  - like divide-and-conquer is an algorithm design paradigm.
- Useful for solving **optimization problems**
  - eg, *shortest* path
  - (Fibonacci numbers aren't an optimization problem, but they are a good example of DP anyway...)
- Also useful for counting problems
  - You'll see some examples on HW6

# Elements of dynamic programming

## 1. Optimal sub-structure:

- Big problems break up into sub-problems.
  - Fibonacci:  $F(i)$  for  $i \leq n$
  - Bellman-Ford: Shortest paths with at most  $i$  edges for  $i < n$
- The optimal solution to a problem can be expressed in terms of optimal solutions to smaller sub-problems.
  - Fibonacci:

$$F(i+1) = F(i) + F(i-1)$$

- Bellman-Ford:

$$d^{(i+1)}[v] \leftarrow \min\{ d^{(i)}[v], \min_u \{ d^{(i)}[u] + \text{weight}(u,v) \} \}$$

Shortest path with at  
most  $i$  edges from  $s$  to  $v$

Shortest path with at most  
 $i$  edges from  $s$  to  $u$ .

\*The word “optimal” makes sense in the context of optimization problems like shortest path, and is why this is called “Optimal Sub-structure.”

# Elements of dynamic programming

## 2. Overlapping sub-problems:

- The sub-problems overlap.
  - Fibonacci:
    - Both  $F[i+1]$  and  $F[i+2]$  directly use  $F[i]$ .
    - And lots of different  $F[i+x]$  indirectly use  $F[i]$ .
  - Bellman-Ford:
    - Many different entries of  $d^{(i+1)}$  will directly use  $d^{(i)}[v]$ .
    - And lots of different entries of  $d^{(i+x)}$  will indirectly use  $d^{(i)}[v]$ .
- This means that we can save time by solving a sub-problem just once and storing the answer.

# Elements of dynamic programming

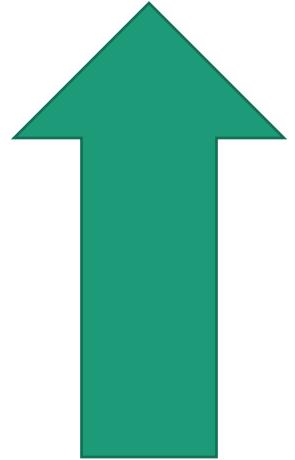
- Optimal substructure.
  - Optimal solutions to sub-problems can be used to find the optimal solution of the original problem.
- Overlapping subproblems.
  - The subproblems show up again and again
- Using these properties, we can design a ***dynamic programming*** algorithm:
  - Keep a table of solutions to the smaller problems.
  - Use the solutions in the table to solve bigger problems.
  - At the end we can use information we collected along the way to find the solution to the whole thing.

# Two ways to think about and/or implement DP algorithms

- Top down
- Bottom up

# Bottom up approach

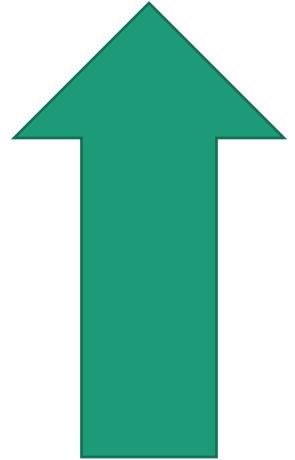
what we just saw.



- For Fibonacci:
- Solve the small problems first
  - fill in  $F[0], F[1]$
- Then bigger problems
  - fill in  $F[2]$
- ...
- Then bigger problems
  - fill in  $F[n-1]$
- Then finally solve the real problem.
  - fill in  $F[n]$

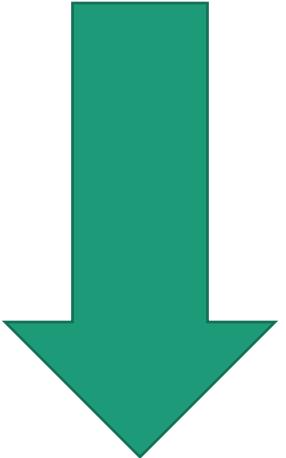
# Bottom up approach

what we just saw.



- For Bellman-Ford:
- Solve the small problems first
  - fill in  $d^{(0)}$
- Then bigger problems
  - fill in  $d^{(1)}$
- ...
- Then bigger problems
  - fill in  $d^{(n-2)}$
- Then finally solve the real problem.
  - fill in  $d^{(n-1)}$

# Top down approach



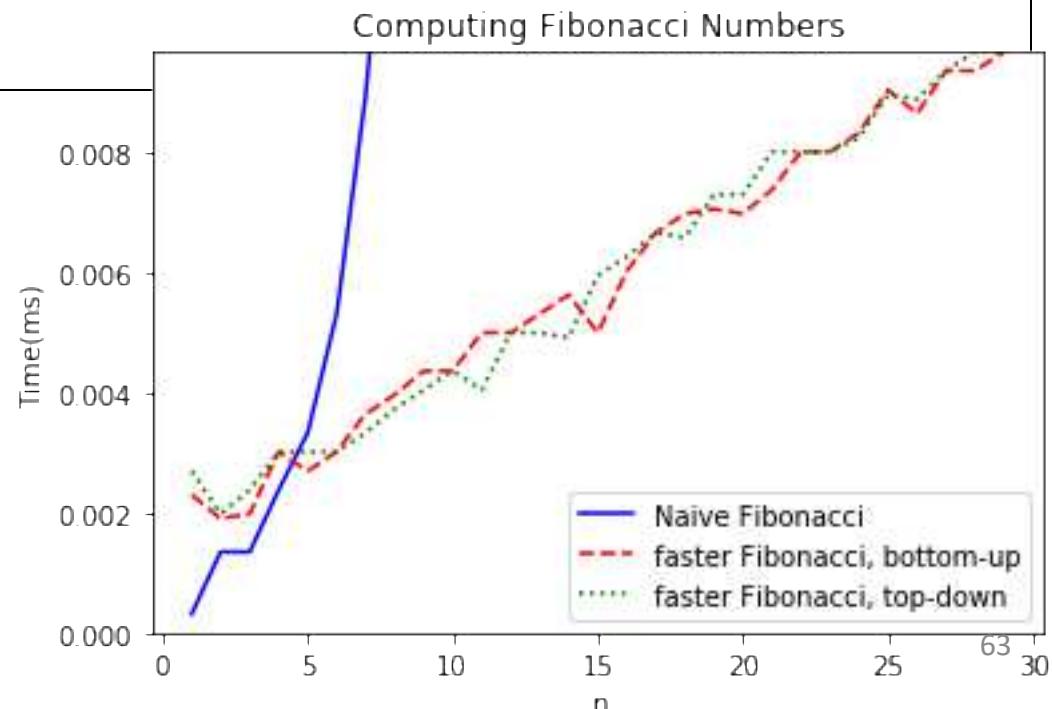
- Think of it like a recursive algorithm.
- To solve the big problem:
  - Recurse to solve smaller problems
    - Those recurse to solve smaller problems
      - etc..
- The difference from divide and conquer:
  - Keep track of what small problems you've already solved to prevent re-solving the same problem twice.
  - Aka, “**memo-ization**”



# Example of top-down Fibonacci

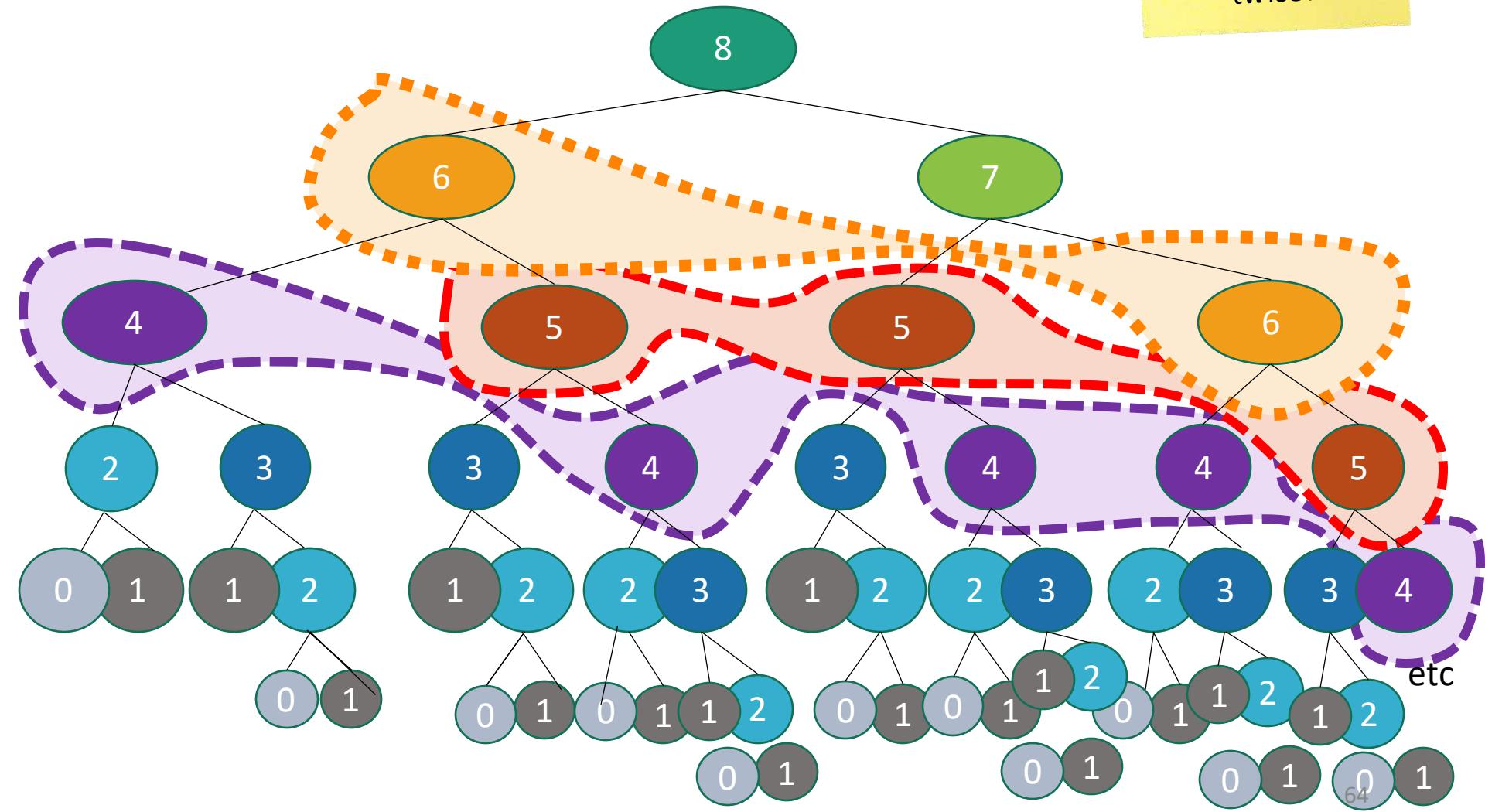
- define a global list  $F = [0, 1, \text{None}, \text{None}, \dots, \text{None}]$
- **def** Fibonacci(n):
  - **if**  $F[n] \neq \text{None}$ :
    - **return**  $F[n]$
  - **else**:
    - $F[n] = \text{Fibonacci}(n-1) + \text{Fibonacci}(n-2)$
  - **return**  $F[n]$

Memo-ization:  
Keeps track (in F) of  
the stuff you've  
already done.



# Memo-ization visualization

Collapse  
repeated nodes  
and don't do the  
same work  
twice!



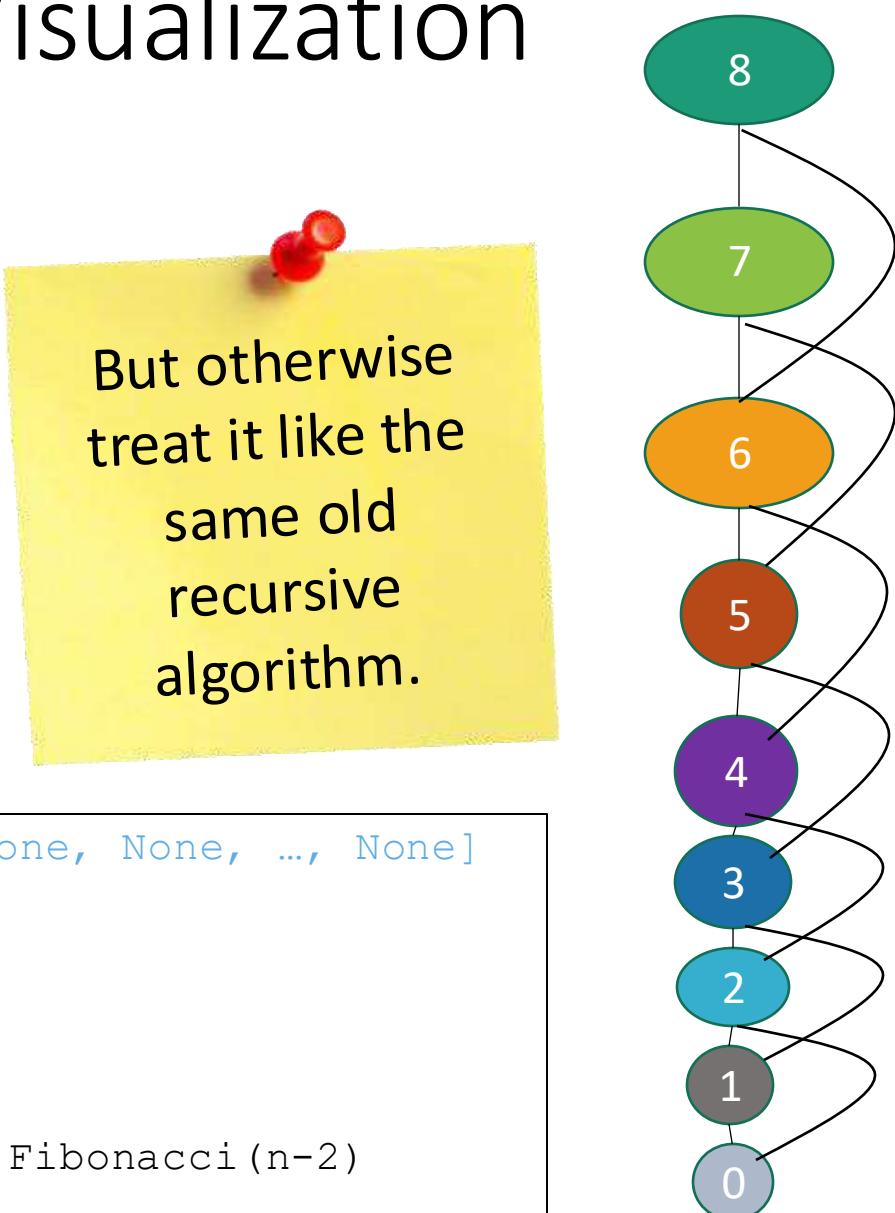
# Memo-ization Visualization

ctd

Collapse repeated nodes and don't do the same work twice!

But otherwise treat it like the same old recursive algorithm.

- define a global list `F = [0,1,None, None, ..., None]`
- **def** Fibonacci(n) :
  - **if** `F[n] != None`:
    - **return** `F[n]`
  - **else**:
    - `F[n] = Fibonacci(n-1) + Fibonacci(n-2)`
  - **return** `F[n]`



# What have we learned?

- ***Dynamic programming:***

- Paradigm in algorithm design.
- Uses **optimal substructure**
- Uses **overlapping subproblems**
- Can be implemented **bottom-up** or **top-down**.
- It's a fancy name for a pretty common-sense idea:



# Why “*dynamic programming*” ?

- Programming refers to finding the optimal “program.”
  - as in, a shortest route is a *plan* aka a *program*.
- Dynamic refers to the fact that it’s multi-stage.
- But also it’s just a fancy-sounding name.



Manipulating computer code in an action movie? ✓

# Why “*dynamic programming*” ?

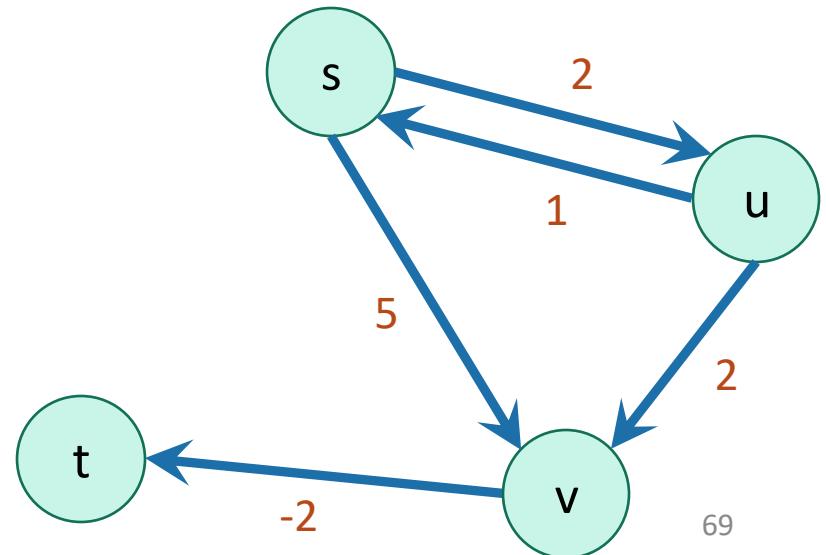
- Richard Bellman invented the name in the 1950's.
- At the time, he was working for the RAND Corporation, which was basically working for the Air Force, and government projects needed flashy names to get funded.
- From Bellman's autobiography:
  - “It's impossible to use the word, dynamic, in the pejorative sense...I thought dynamic programming was a good name. It was something not even a Congressman could object to.”

# Floyd-Warshall Algorithm

Another example of DP

- Solves **All-Pairs Shortest Paths (APSP)**
  - Goal in APSP: find shortest path from  $u$  to  $v$  for **ALL pairs**  $u,v$  of vertices in the graph.
    - Not just from a special single source  $s$ .

Destination		s	u	v	t
Source	s	0	2	4	2
u	1	0	2	0	
v	$\infty$	$\infty$	0	-2	
t	$\infty$	$\infty$	$\infty$	0	



# Floyd-Warshall Algorithm

Another example of DP

- Solves **All-Pairs Shortest Paths (APSP)**
  - Goal in APSP: find shortest path from  $u$  to  $v$  for **ALL pairs**  $u, v$  of vertices in the graph.
- Straightforward solution (if we want to handle negative edge weights):
  - For all  $s$  in  $G$ :
    - Run Bellman-Ford on  $G$  starting at  $s$ .
  - Time  $O(n \cdot nm) = O(n^2m)$ ,
    - may be as bad as  $\Omega(n^4)$  if  $m \approx n^2$

Can we do better?

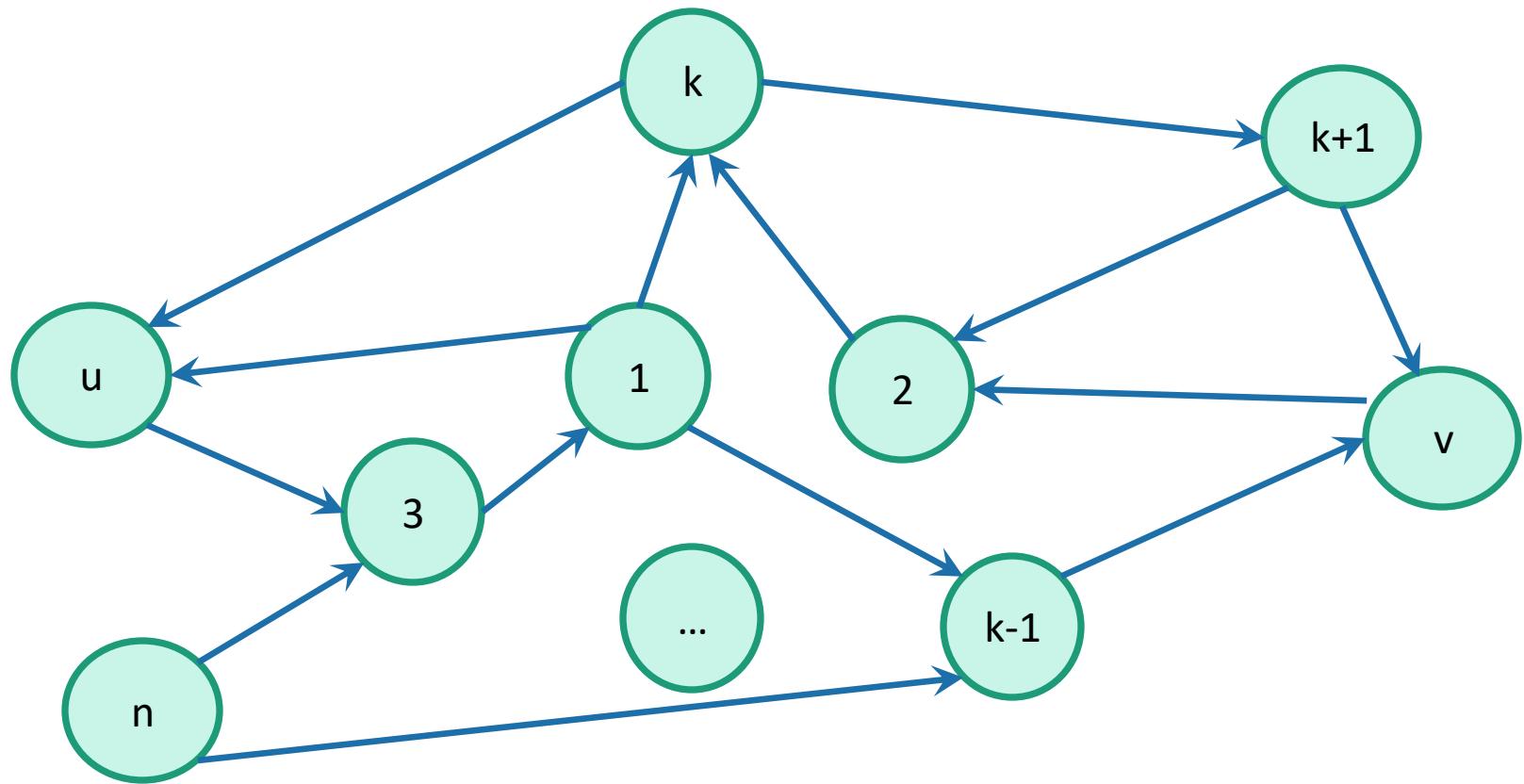
# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
  - What are our subproblems?
- **Step 2:** Find a recursive formulation for the subproblems
  - How can we solve larger problems using smaller ones?
- **Step 3:** Use dynamic programming to find the thing you want.
  - Fill in a table, starting with the smallest sub-problems and building up.
- **(Steps 4 and 5 coming next lecture!)**



Label the vertices 1,2,...,n

# Optimal substructure



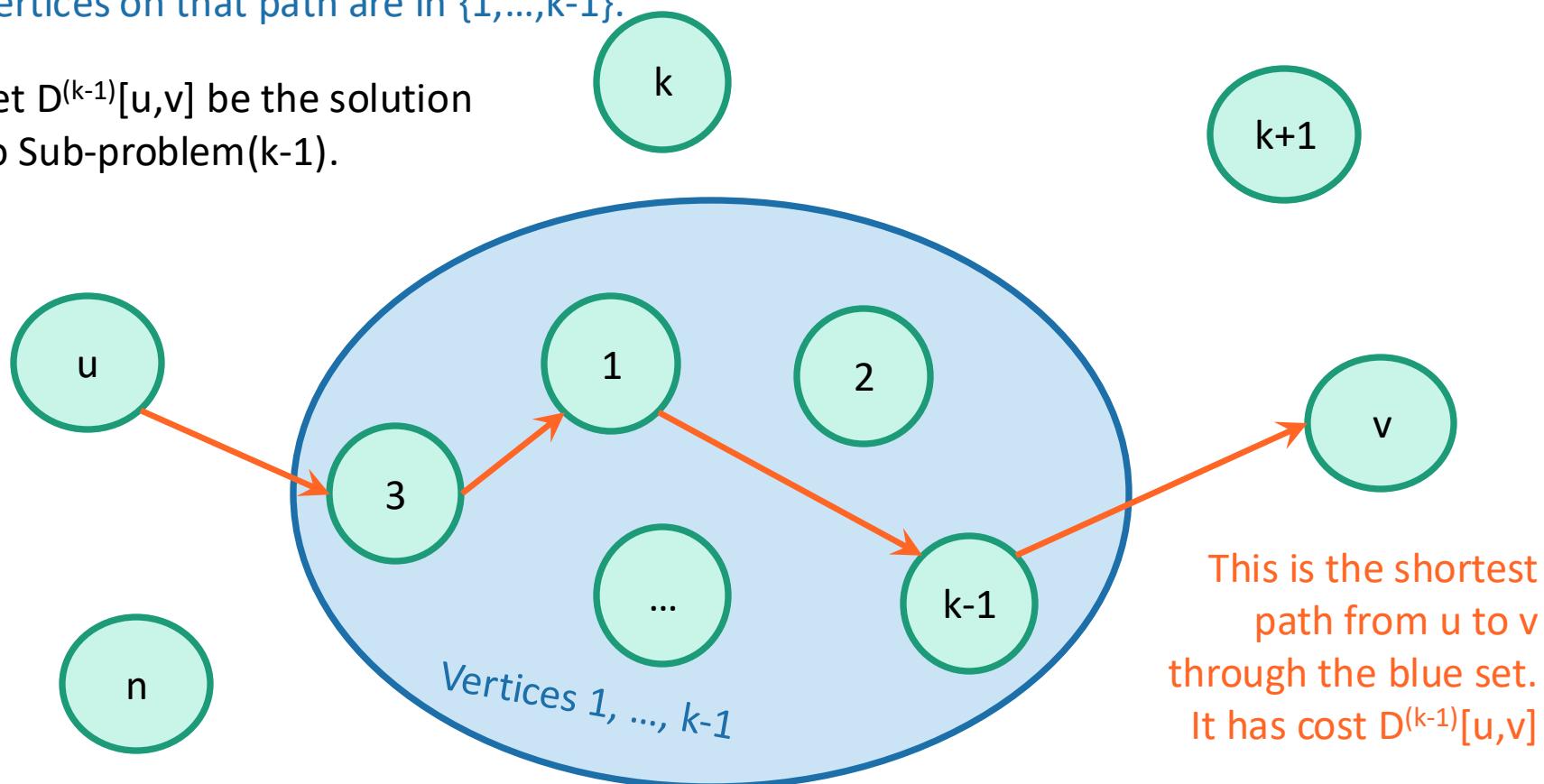
# Optimal substructure

Label the vertices 1,2,...,n  
(We omit some edges in the picture below – meant to be a cartoon, not an example).

## Sub-problem(k-1):

For all pairs,  $u,v$ , find the cost of the shortest path from  $u$  to  $v$ , so that all the internal vertices on that path are in  $\{1,\dots,k-1\}$ .

Let  $D^{(k-1)}[u,v]$  be the solution to Sub-problem(k-1).



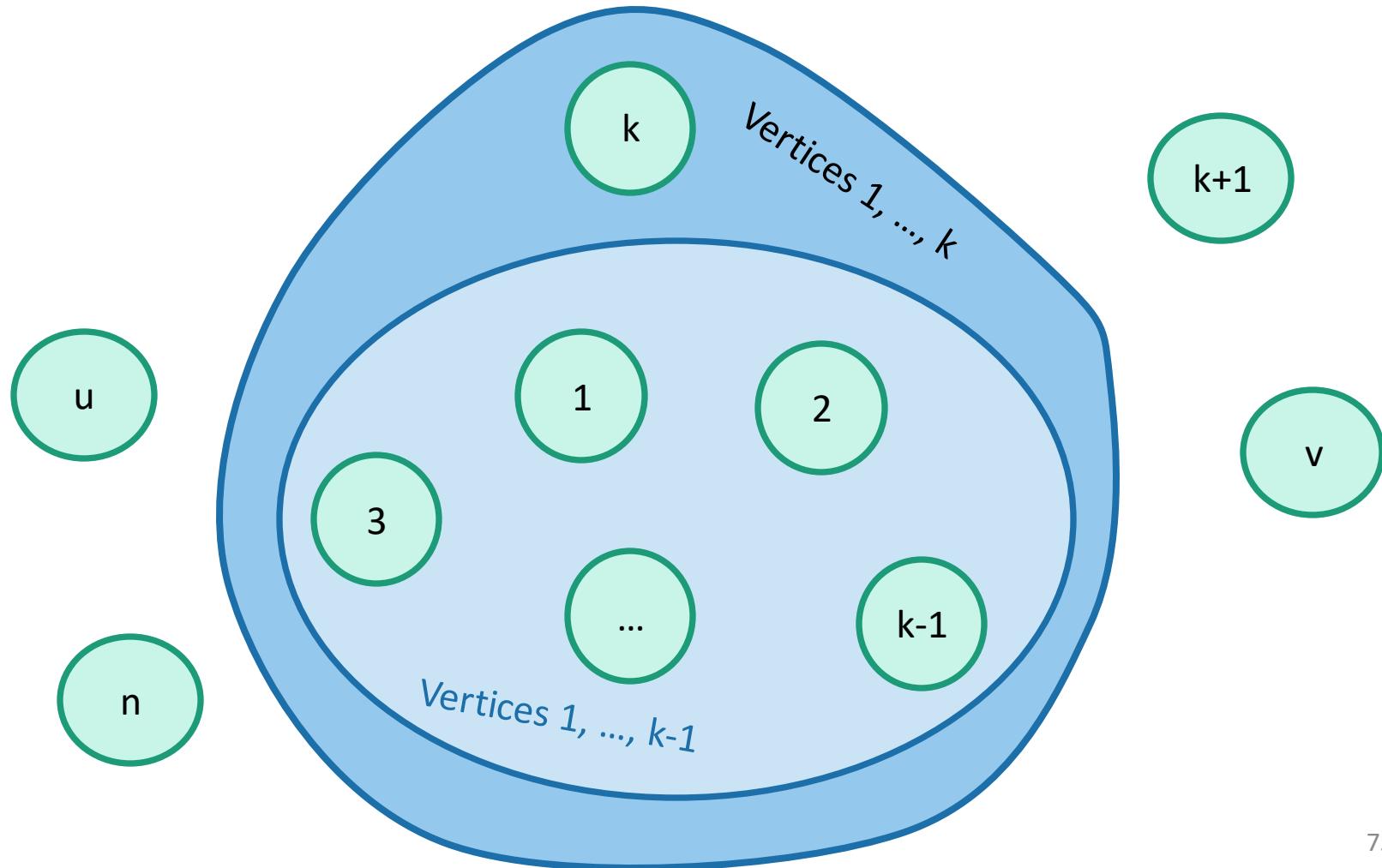
\*Note that  $u$  and  $v$  might live inside the blue blob...that's okay, just a slightly different picture.

# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
    - What are our subproblems?
  - **Step 2:** Find a recursive formulation for the subproblems
    - How can we solve larger problems using smaller ones?
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- 

# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

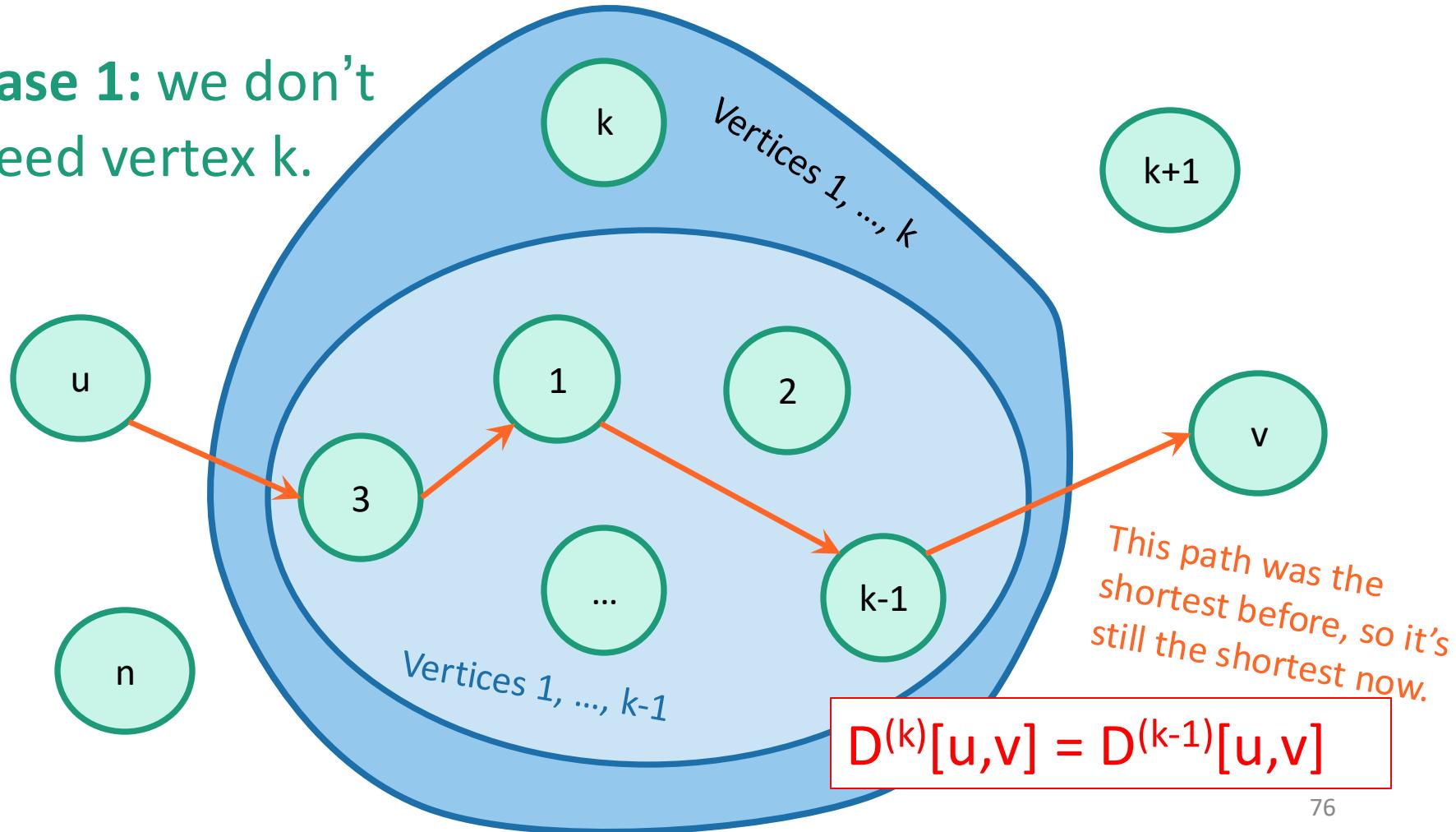
$D^{(k)}[u,v]$  is the cost of the shortest path from  $u$  to  $v$  so that all internal vertices on that path are in  $\{1, \dots, k\}$ .



# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

$D^{(k)}[u,v]$  is the cost of the shortest path from  $u$  to  $v$  so that all internal vertices on that path are in  $\{1, \dots, k\}$ .

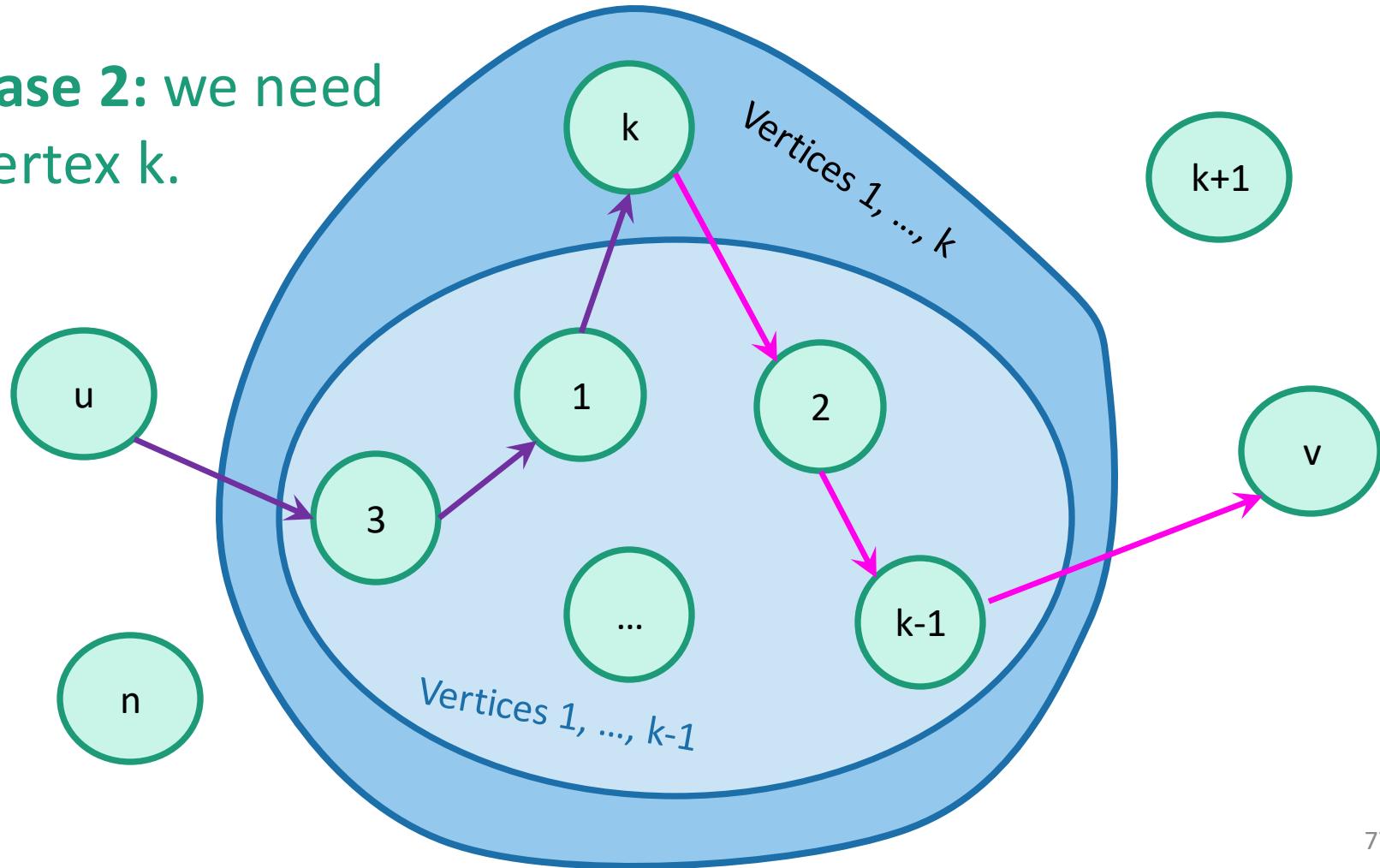
**Case 1:** we don't need vertex  $k$ .



# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

$D^{(k)}[u,v]$  is the cost of the shortest path from  $u$  to  $v$  so that all internal vertices on that path are in  $\{1, \dots, k\}$ .

**Case 2:** we need vertex  $k$ .



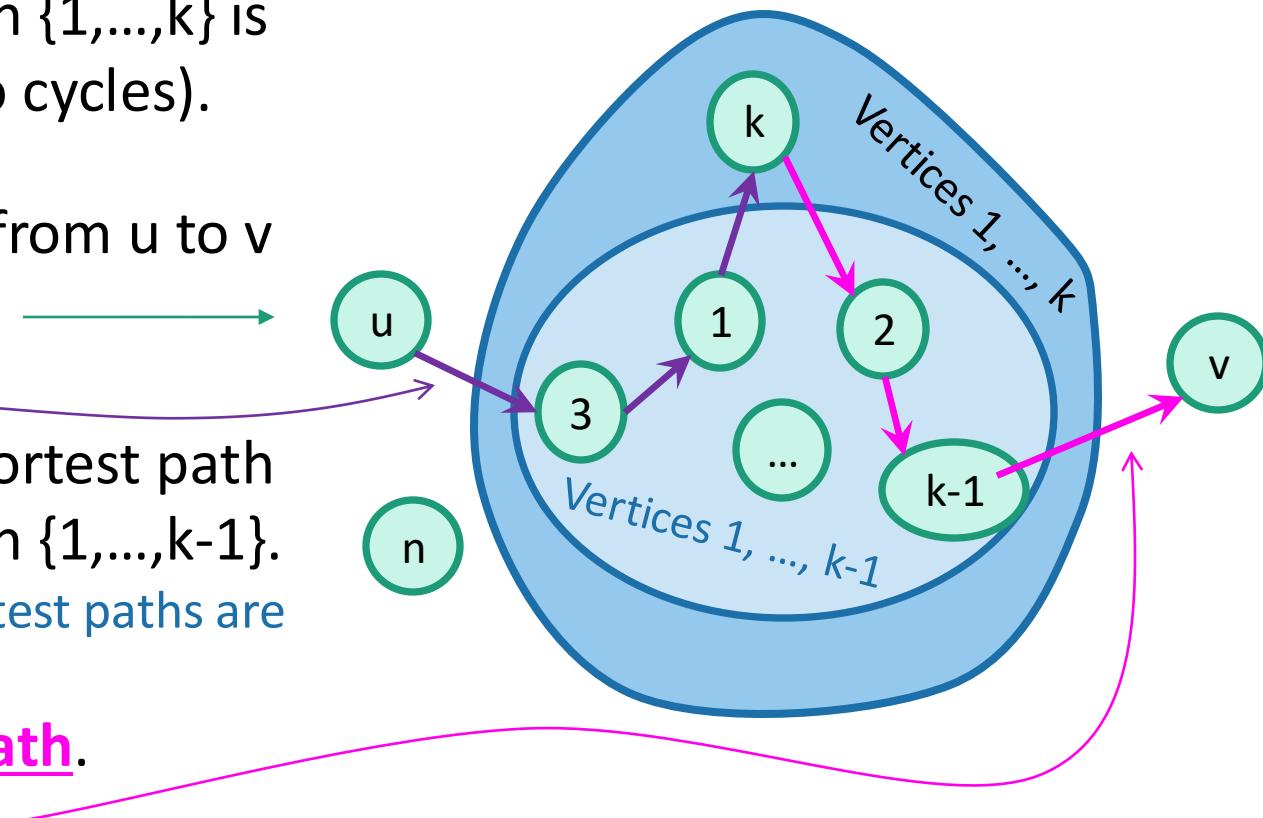
# Case 2 continued

- Suppose there are no negative cycles. WLOG the shortest path from  $u$  to  $v$  through  $\{1, \dots, k\}$  is *simple* (aka, has no cycles).

- The shortest path from  $u$  to  $v$  looks like this:

- This path is the shortest path from  $u$  to  $k$  through  $\{1, \dots, k-1\}$ .
  - sub-paths of shortest paths are shortest paths
- Similarly for this path.

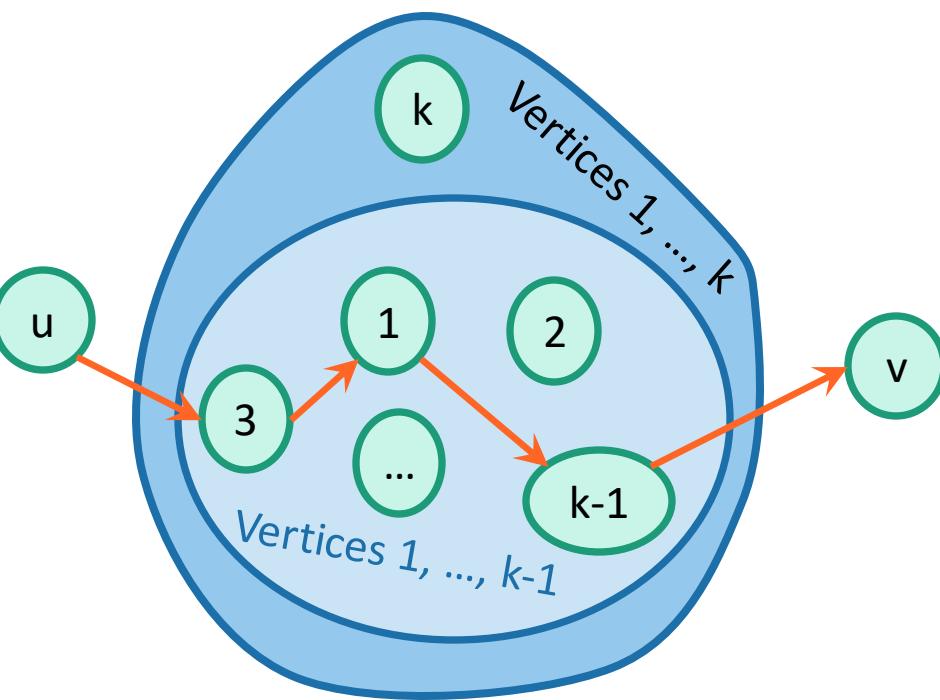
**Case 2: we need vertex  $k$ .**



$$D^{(k)}[u, v] = D^{(k-1)}[u, k] + D^{(k-1)}[k, v]$$

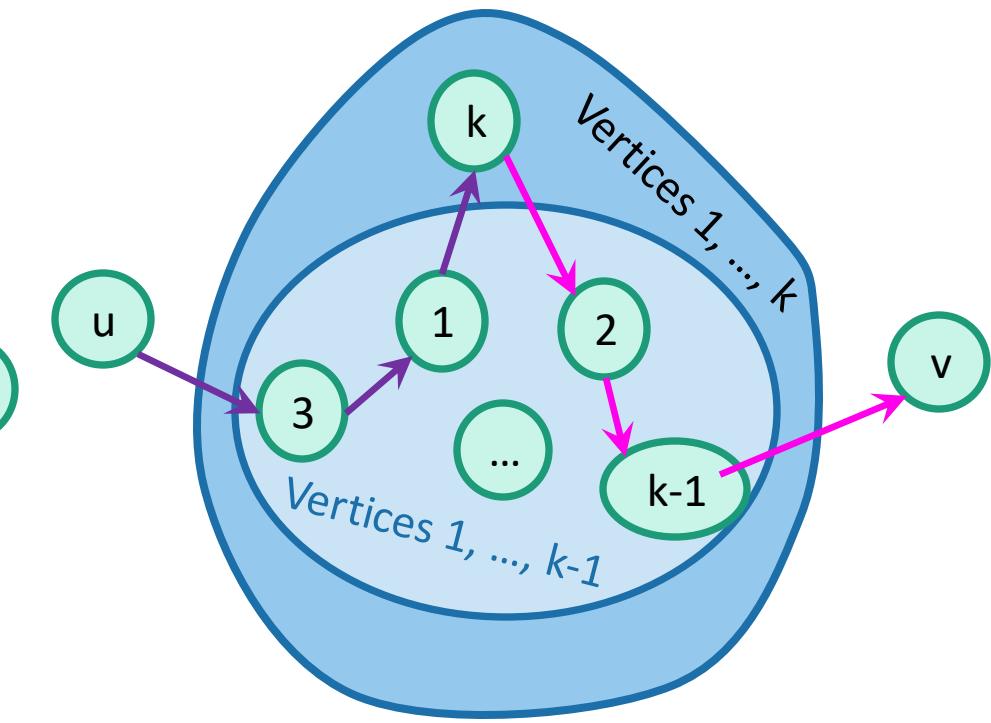
# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

**Case 1:** we don't need vertex k.



$$D^{(k)}[u,v] = D^{(k-1)}[u,v]$$

**Case 2:** we need vertex k.



$$D^{(k)}[u,v] = D^{(k-1)}[u,k] + D^{(k-1)}[k,v]$$

# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

- $D^{(k)}[u,v] = \min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

**Case 1:** Cost of  
shortest path  
through  $\{1,\dots,k-1\}$

**Case 2:** Cost of shortest path  
from **u** to **k** and then from **k** to **v**  
through  $\{1,\dots,k-1\}$

- Optimal substructure:
  - We can solve the big problem using solutions to smaller problems.
- Overlapping sub-problems:
  - $D^{(k-1)}[k,v]$  can be used to help compute  $D^{(k)}[u,v]$  for lots of different  $u$ 's.

# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

- $D^{(k)}[u,v] = \min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

**Case 1:** Cost of  
shortest path  
through  $\{1,\dots,k-1\}$

**Case 2:** Cost of shortest path  
from **u** to **k** and then from **k** to **v**  
through  $\{1,\dots,k-1\}$

- Using our ***Dynamic programming*** paradigm, this gives us an algorithm!



# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
    - What are our subproblems?
  - **Step 2:** Find a recursive formulation for the subproblems
    - How can we solve larger problems using smaller ones?
  - **Step 3:** Use dynamic programming to find the thing you want.
    - Fill in a table, starting with the smallest sub-problems and building up.
- 

# Floyd-Warshall algorithm

- Initialize  $n$ -by- $n$  arrays  $D^{(k)}$  for  $k = 0, \dots, n$

- $D^{(0)}[u,v] = \infty$  for all pairs  $(u,v)$

- $D^{(0)}[u,u] = 0$  for all  $u$

- $D^{(0)}[u,v] = \text{weight}(u,v)$  for all  $(u,v)$  in  $E$ .

The base case checks out: the only path through zero other vertices are edges directly from  $u$  to  $v$ .

- **For**  $k = 1, \dots, n$ :

- **For** pairs  $u,v$  in  $V^2$ :

- $D^{(k)}[u,v] = \min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

- **Return**  $D^{(n)}$

This is a bottom-up *Dynamic programming* algorithm.

# Our earlier logic shows

- Theorem:
  - If there are no negative cycles in a weighted directed graph  $G$ , then the Floyd-Warshall algorithm, running on  $G$ , returns a matrix  $D^{(n)}$  so that:

$D^{(n)}[u,v] = \text{distance between } u \text{ and } v \text{ in } G.$

- Running time:  $O(n^3)$ 
  - Better than running Bellman-Ford  $n$  times!
- Storage:
  - Need to store **two**  $n$ -by- $n$  arrays, and the original graph.

Work out the details of a proof!  
(Hint: your inductive hypothesis should be that  $D^{(i)}[u, v]$  has the interpretation we want it to have).



As with Bellman-Ford, we don't really need to store all  $n$  of the  $D^{(k)}$ , just the current one and the previous one.

# What if there *are* negative cycles?

- Just like Bellman-Ford, Floyd-Warshall can detect negative cycles:
  - “Negative cycle” means that there’s some  $v$  so that there is a path from  $v$  to  $v$  that has cost  $< 0$ .
  - Aka,  $D^{(n)}[v,v] < 0$ .
- Algorithm:
  - Run Floyd-Warshall as before.
  - If there is some  $v$  so that  $D^{(n)}[v,v] < 0$ :
    - **return** negative cycle.

# What have we learned?

- The Floyd-Warshall algorithm is another example of *dynamic programming*.
- It computes All Pairs Shortest Paths in a directed weighted graph in time  $O(n^3)$ .

# Can we do better than $O(n^3)$ ?

Nothing on this slide is required knowledge for this class

- There is an algorithm that runs in time  $O(n^3/\log^{100}(n))$ .
  - [Williams, “Faster APSP via Circuit Complexity”, STOC 2014]
- If you can come up with an algorithm for All-Pairs-Shortest-Path that runs in time  $O(n^{2.99})$ , that would be a really big deal.
  - Let me know if you can!
  - See [Abboud, Vassilevska-Williams, “Popular conjectures imply strong lower bounds for dynamic problems”, FOCS 2014] for some evidence that this is a very difficult problem!

# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the thing you want.
  - E.g, length of shortest paths
- **Step 3:** Use dynamic programming to find the thing you want.
  - Fill in a table, starting with the smallest sub-problems and building up.
- **(Steps 4 and 5 coming next lecture...)**

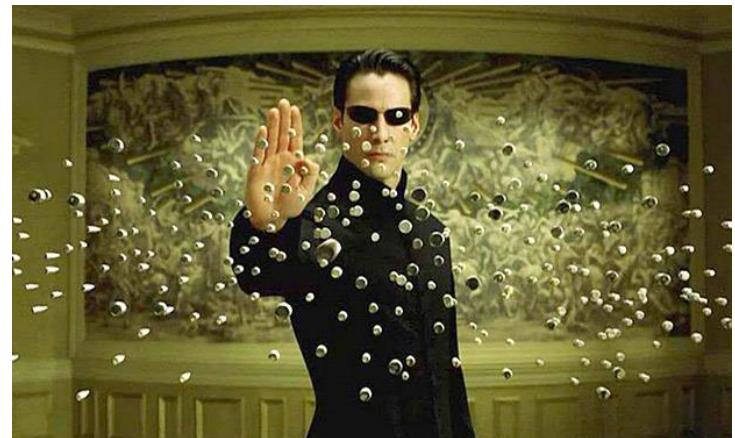
# Recap

- Two shortest-path algorithms:
  - Bellman-Ford for single-source shortest path
  - Floyd-Warshall for all-pairs shortest path
- ***Dynamic programming!***
  - This is a fancy name for not repeating work!

# Next time

- More examples of *dynamic programming!*

We will stop bullets with our action-packed coding skills, and also maybe find longest common subsequences.



# Before next time

- Pre-lecture exercise for Lecture 13!