

# CS187 – Project Segment 1 // Text Classification

September 30, 2020

```
[ ]: # Please do not change this cell because some hidden tests might depend on it.
import os

# Otter grader does not handle ! commands well, so we define and use our
# own function to execute shell commands.
def shell(commands, warn=True):
    """Executes the string `commands` as a sequence of shell commands.

    Prints the result to stdout and returns the exit status.
    Provides a printed warning on non-zero exit status unless `warn`
    flag is unset.
    """
    file = os.popen(commands)
    print(file.read().rstrip('\n'))
    exit_status = file.close()
    if warn and exit_status != None:
        print(f"Completed with errors. Exit status: {exit_status}\n")
    return exit_status

shell("""
ls requirements.txt >/dev/null 2>&1
if [ ! $? = 0 ]; then
    rm -rf .tmp
    git clone https://github.com/cs187-2020/project1.git .tmp
    mv .tmp/requirements.txt ./
    rm -rf .tmp
fi
pip install -q -r requirements.txt
""")
```

```
[ ]: # Initialize Otter
import otter
grader = otter.Notebook()
```

# 1 CS187

## 1.1 Project segment 1: Text classification

In this project segment you will build several varieties of text classifiers using PyTorch.

1. A majority baseline.
2. A naive Bayes classifier.
3. A logistic regression classifier.
4. A multilayer perceptron classifier.

## 1.2 Preparation

```
[ ]: import copy
import re
import torch
import torch.nn as nn
import torchtext as tt

from collections import Counter
from torch import optim
from tqdm import tqdm
```

```
[ ]: # Random seed
random_seed = 1234
torch.manual_seed(random_seed)

## GPU check
device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
print(device)
```

## 1.3 The task: answer types for ATIS queries

For this and future project segments, you will be working with a standard natural-language-processing dataset, the [ATIS \(Airline Travel Information System\) dataset](#). This dataset is composed of queries about flights – their dates, times, locations, airlines, and the like. Over the years, the dataset has been annotated in all kinds of ways, with parts of speech, informational chunks, parse trees, and even corresponding SQL database queries. You’ll use various of these annotations in future assignments. For this project segment, however, you’ll pursue an easier classification task: **given a query, predict the answer type**.

Below is an example taken from this dataset:

*Query:*

show me the afternoon flights from washington to boston

*SQL:*

```
SELECT DISTINCT flight_1.flight_id FROM flight flight_1 , airport_service airport_service_1 , city
WHERE flight_1.departure_time BETWEEN 1200 AND 1800
AND ( flight_1.from_airport = airport_service_1.airport_code
AND airport_service_1.city_code = city_1.city_code
AND city_1.city_name = 'WASHINGTON'
AND flight_1.to_airport = airport_service_2.airport_code
AND airport_service_2.city_code = city_2.city_code
AND city_2.city_name = 'BOSTON' )
```

In this problem set, we will consider the answer type for a natural-language query to be the target field of the corresponding SQL query. For the above example, the answer type would be *flight\_id*.

## 1.4 Loading and preprocessing the data

Read over this section, executing the cells, and **making sure you understand what's going on before proceeding to the next parts.**

First, let's download the dataset.

```
[ ]: data_dir = "https://raw.githubusercontent.com/nlp-course/data/master/ATIS/"
for file in ["train.nl",
            "train.sql",
            "dev.nl",
            "dev.sql",
            "test.nl",
            "test.sql"]:
    shell(f"wget -nv -N -P data {data_dir}{file}")
```

We use `torchtext` to prepare the data, as in lab 1-5. More information on `torchtext` can be found at <https://mlexplained.com/2018/02/08/a-comprehensive-tutorial-to-torchtext/>.

To begin, `torchtext` requires that we define a mapping from the raw data to featurized indices, called a `Field`. We need one field for processing the question (TEXT), and another for processing the label (LABEL). These fields make it easy to map back and forth between readable data and lower-level representations like numbers.

```
[ ]: TEXT = tt.data.Field(lower=True,          # lowercase all tokens
                          sequential=True,     # sequential data
                          include_lengths=False, # do not include lengths
                          batch_first=True,    # batches will be batch_size X max_len
                          tokenize=tt.data.get_tokenizer("basic_english"))
LABEL = tt.data.Field(batch_first=True, sequential=False, unk_token=None)
```

We provide an interface for loading ATIS data, built on top of `torchtext.data.Dataset`.

```
[ ]: class ATIS(tt.data.Dataset):
    @staticmethod
    def sort_key(ex):
        return len(ex.text)
```

```

def __init__(self, path, text_field, label_field, **kwargs):
    """Creates an ATIS dataset instance given a path and fields.
    Arguments:
        path: Path to the data file
        text_field: The field that will be used for text data.
        label_field: The field that will be used for label data.
        Remaining keyword arguments: Passed to the constructor of
            tt.data.Dataset.
    """
    fields = [('text', text_field), ('label', label_field)]

    examples = []
    # Get text
    with open(path+'.nl', 'r') as f:
        for line in f:
            ex = tt.data.Example()
            ex.text = text_field.preprocess(line.strip())
            examples.append(ex)

    # Get labels
    with open(path+'.sql', 'r') as f:
        for i, line in enumerate(f):
            label = self._get_label_from_query(line.strip())
            examples[i].label = label

    super(ATIS, self).__init__(examples, fields, **kwargs)

def _get_label_from_query(self, query):
    """Returns the answer type from `query` by dead reckoning.
    It's basically the second or third token in the SQL query.
    """
    match = re.match(r'\s*SELECT\s+(DISTINCT\s*)?(\w+\.)?(?P<label>\w+)', query)
    if match:
        label = match.group('label')
    else:
        raise RuntimeError(f'no label in query {query}')
    return label

@classmethod
def splits(cls, text_field, label_field, path='./',
           train='train', validation='dev', test='test',
           **kwargs):
    """Create dataset objects for splits of the ATIS dataset.

    Arguments:
        text_field: The field that will be used for the sentence.

```

```

label_field: The field that will be used for label data.
root: The root directory that the dataset's zip archive will be
expanded into; therefore the directory in whose trees
subdirectory the data files will be stored.
train: The filename of the train data. Default: 'train.txt'.
validation: The filename of the validation data, or None to not
load the validation set. Default: 'dev.txt'.
test: The filename of the test data, or None to not load the test
set. Default: 'test.txt'.
Remaining keyword arguments: Passed to the splits method of
Dataset.
"""

train_data = None if train is None else cls(
    os.path.join(path, train), text_field, label_field, **kwargs)
val_data = None if validation is None else cls(
    os.path.join(path, validation), text_field, label_field, **kwargs)
test_data = None if test is None else cls(
    os.path.join(path, test), text_field, label_field, **kwargs)
return tuple(d for d in (train_data, val_data, test_data)
             if d is not None)

```

We split the data into training, validation, and test corpora, and build the vocabularies from the training data.

```

[ ]: # Make splits for data
train_data, val_data, test_data = ATIS.splits(TEXT, LABEL, path='./data/')

# Build vocabulary for data fields
MIN_FREQ = 3 # words appearing less than 3 times are treated as 'unknown'
TEXT.build_vocab(train_data, min_freq=MIN_FREQ)
LABEL.build_vocab(train_data)

# Compute size of vocabulary
vocab_size = len(TEXT.vocab.itos)
num_labels = len(LABEL.vocab.itos)
print(f"Size of vocab: {vocab_size}")
print(f"Number of labels: {num_labels}")

```

To get a sense of the kinds of things that are asked about in this dataset, here is the list of all of the answer types in the training data.

```

[ ]: for i, label in enumerate(sorted(LABEL.vocab.itos)):
    print(f"{i:2d} {label}")

```

### 1.4.1 Handling unknown words

Note that we mapped words appearing fewer than 3 times to a special *unknown* token (we're using the `torchtext` default, `<unk>`) for two reasons:

1. Due to the scarcity of such rare words in training data, we might not be able to learn generalizable conclusions about them.
2. Introducing an unknown token allows us to deal with out-of-vocabulary words in the test data as well: we just map those words to `<unk>`.

```
[ ]: unk_token = TEXT.unk_token
print (f"Unknown token: {unk_token}")
unk_index = TEXT.vocab.stoi[unk_token]
print (f"Unknown token id: {unk_index}")

# UNK example
example_unk_token = 'IAmAnUnknownWordForSure'
print (f"An unknown token: {example_unk_token}")
print (f"Mapped back to word id: {TEXT.vocab.stoi[example_unk_token]}")
print (f"Mapped to <unk>?: {TEXT.vocab.stoi[example_unk_token] == unk_index}")
```

### 1.4.2 Batching the data

To load data in batches, we use `data.BucketIterator`. This enables us to iterate over the dataset under a given `BATCH_SIZE` which specifies how many examples we want to process at a time.

```
[ ]: BATCH_SIZE = 32
train_iter = tt.data.BucketIterator(train_data, batch_size=BATCH_SIZE,
    ↪device=device)
val_iter = tt.data.BucketIterator(val_data, batch_size=BATCH_SIZE,
    ↪device=device)
test_iter = tt.data.Iterator(test_data, batch_size=BATCH_SIZE, sort=False,
    ↪device=device)
```

Let's look at a single batch from one of these iterators.

```
[ ]: batch = next(iter(train_iter))
text = batch.text
print (f"Size of text batch: {text.size()}")
print (f"Third sentence in batch: {text[2]}")
print (f"Converted back to string: {' '.join([TEXT.vocab.itos[i] for i in
    ↪text[2]])}")

label = batch.label
print (f"Size of label batch: {label.size()}")
print (f"Third label in batch: {label[2]}")
print (f"Converted back to string: {LABEL.vocab.itos[label[2].item()]}")
```

You might notice some padding tokens <pad> when we convert word ids back to strings, or equivalently, padding ids 1 in the corresponding tensor. The reason why we need such padding is because the sentences in a batch might be of different lengths, and to save them in a 2D tensor for parallel processing, sentences that are shorter than the longest sentence need to be padded with some placeholder values. `torchtext` does all this for us automatically. Note that during training we need to make sure that the paddings do not affect the final results.

```
[ ]: padding_token = TEXT.pad_token
      print (f"Padding token: {padding_token}")

      padding_id = TEXT.vocab.stoi[padding_token]
      print (f"Padding word id: {padding_id}")
```

Alternatively, we can also directly iterate over the individual examples in `train_data`, `val_data` and `test_data`. Here the returned values are the raw sentences and labels instead of their corresponding ids, and you might need to explicitly deal with the unknown words, unlike using bucket iterators which automatically map unknown words to an unknown word id.

```
[ ]: for example in train_iter.dataset[:5]: # train_iter.dataset is just train_data
      print(f"{example.label:10} -- {' '.join(example.text)}")
```

## 1.5 Notations used

In this project segment, we'll use the following notations.

- Sequences of elements (vectors and the like) are written with angle brackets and commas ( $\langle w_1, \dots, w_M \rangle$ ) or directly with no punctuation ( $w_1 \cdots w_M$ ).
- Sets are notated similarly but with braces, ( $\{v_1, \dots, v_V\}$ ).
- Maximum indices ( $M$  and  $V$  in the preceding examples) are written as uppercase italics.
- Variables over sequences and sets are written in boldface ( $\mathbf{w}$ ), typically with the same letter as the variables over their elements.

In particular,

- $\mathbf{w} = w_1 \cdots w_M$ : A text to be classified, each element  $w_j$  being a word token.
- $\mathbf{v} = \{v_1, \dots, v_V\}$ : A vocabulary, each element  $v_k$  being a word type.
- $\mathbf{x} = \langle x_1, \dots, x_X \rangle$ : Input features to a model.
- $\mathbf{c} = \{c_1, \dots, c_N\}$ : The output classes of a model, each element  $c_i$  being a class label.
- $\mathbf{T} = \langle \mathbf{w}^{(1)}, \dots, \mathbf{w}^{(T)} \rangle$ : The training corpus of texts.
- $\mathbf{C} = \langle c^{(1)}, \dots, c^{(T)} \rangle$ : The corresponding gold labels for the training examples in  $T$ .

## 1.6 Part 1: Establish a majority baseline

A simple baseline for classification tasks is to always predict the most common class. Given a training set of texts  $\mathbf{T}$  labeled by classes  $\mathbf{C}$ , we classify an input text  $\mathbf{w} = w_1 \cdots w_M$  as the class  $c_i$  that occurs most frequently in the training data, that is, specified by

$$\operatorname{argmax}_i \#(c_i)$$

and thus ignoring the input entirely (!).

**Implement the majority baseline and compute test accuracy using the starter code below.** Note that for this baseline, and the naive Bayes classifier later, we don't need to use the validation set since we don't tune any hyper-parameters.

```
[ ]: # TODO
def majority_baseline_accuracy(train_iter, test_iter):
    """Returns the most common label in the training set, and the accuracy of
        the majority baseline on the test set.
    """
    ...
    return most_common_label, test_accuracy
```

How well does your classifier work? Let's see:

```
[ ]: # Call the method to establish a baseline
most_common_label, test_accuracy = majority_baseline_accuracy(train_iter,
    ↪test_iter)

print(f'Most common label: {most_common_label}\n'
      f'Test accuracy:      {test_accuracy:.3f}')
```

## 1.7 Part 2: Naive Bayes classifier

### 1.7.1 Review of the naive Bayes method

Recall from lab 1-3 that the Naive Bayes classification method classifies a text  $\mathbf{w} = \langle w_1, w_2, \dots, w_M \rangle$  as the class  $c_i$  given by the following maximization:

$$\operatorname{argmax}_i \Pr(c_i | \mathbf{w}) \approx \operatorname{argmax}_i \Pr(c_i) \cdot \prod_{j=1}^m \Pr(w_j | c_i)$$

or equivalently (since taking the log is monotonic)

$$\operatorname{argmax}_i \Pr(c_i | \mathbf{w}) = \operatorname{argmax}_i \log \Pr(c_i | \mathbf{w}) \tag{1}$$

$$\approx \operatorname{argmax}_i \left( \log \Pr(c_i) + \sum_{j=1}^m \log \Pr(w_j | c_i) \right) \tag{2}$$



All we need, then, to apply the Naive Bayes classification method is values for the various log probabilities: the priors  $\log \Pr(c_i)$  and the likelihoods  $\log \Pr(w_j | c_i)$ , for each feature (word)  $w_j$  and each class  $c_i$ .

We can estimate the prior probabilities  $\Pr(c_i)$  by examining the empirical probability in the training set. That is, we estimate

$$\Pr(c_i) \approx \frac{\#(c_i)}{N}$$

We can estimate the likelihood probabilities  $\Pr(w_j | c_i)$  similarly by examining the empirical probability in the training set. That is, we estimate

$$\Pr(w_j | c_i) \approx \frac{\#(w_j, c_i)}{\sum_{j'} \#(w_{j'}, c_i)}$$

To handle cases in which the count  $\#(w_j, c_i)$  is zero, we can adjust this estimate using add- $\delta$  smoothing:

$$\Pr(w_j | c_i) \approx \frac{\#(w_j, c_i) + \delta}{\sum_{j'} \#(w_{j'}, c_i) + \delta \cdot V}$$

### 1.7.2 Two conceptions of the naive Bayes method implementation

We can store all of these parameters in different ways, leading to two different implementation conceptions. We review two conceptions of implementing the naive Bayes classification of a text  $\mathbf{w} = \langle w_1, w_2, \dots, w_M \rangle$ , corresponding to using different representations of the input  $\mathbf{x}$  to the model: the index representation and the bag-of-words representation.

Within each conception, the parameters of the model will be stored in one or more matrices. The conception dictates what operations will be performed with these matrices.

**Using the index representation** In the first conception, we take the input elements  $\mathbf{x} = \langle x_1, x_2, \dots, x_M \rangle$  to be the *vocabulary indices* of the words  $\mathbf{w} = w_1 \cdots w_M$ . That is, each word token  $w_i$  is of the word type in the vocabulary  $\mathbf{v}$  at index  $x_i$ , so

$$v_{x_i} = w_i$$

In this representation, the input vector has the same length as the word sequence.

We think of the likelihood probabilities as forming a matrix, call it  $\mathbf{L}$ , where the  $i, j$ -th element stores  $\log \Pr(v_j | c_i)$ .

$$\mathbf{L}_{ij} = \log \Pr(v_j | c_i)$$

Similarly, for the priors, we'll have

$$\mathbf{P}_i = \log \Pr(c_i)$$

Now the maximization can be implemented as

$$\operatorname{argmax}_i \log \Pr(c_i) + \sum_{j=1}^m \log \Pr(w_j | c_i) = \operatorname{argmax}_i \mathbf{P}_i + \sum_{j=1}^m \mathbf{L}_{x_j i} \quad (3)$$

Implemented in this way, we see that the use of the inputs  $x_i$  is as an *index* into the likelihood matrix.

**Using the bag-of-words representation** Notice that since each word in the input is treated separately, the order of the words doesn't matter. Rather, all that matters is how frequently each word type occurs in a text. Consequently, we can use the bag-of-words representation introduced in lab 1-1.

Recall that the bag-of-words representation of a text is just its frequency distribution over the vocabulary, which we will notate  $\text{bow}(\mathbf{w})$ . Given a vocabulary of word types  $\mathbf{v} = \langle v_1, v_2, \dots, v_V \rangle$ , the representation of a sentence  $\mathbf{w} = \langle w_1, w_2, \dots, w_M \rangle$  is a vector  $\mathbf{x}$  of size  $V$ , where

$$\text{bow}(\mathbf{w})_j = \sum_{i=1}^M 1[w_i = v_j] \quad \text{for } 1 \leq j \leq V$$

We write  $1[w_i = v_j]$  to indicate 1 if  $w_i = v_j$  and 0 otherwise. For convenience, we'll add an extra  $(V+1)$ -st element to the end of the bag-of-words vector, a single 1 whose use will be clear shortly. That is,

$$\text{bow}(\mathbf{w})_{V+1} = 1$$

Under this conception, then, we'll take the input  $\mathbf{x}$  to be  $\text{bow}(\mathbf{w})$ . Instead of the input having the same length as the text, it has the same length as the vocabulary.

As described in lecture, represented in this way, the quantity to be maximized in the naive Bayes method

$$\log \Pr(c_i) + \sum_{j=1}^M \log \Pr(w_j | c_i)$$

can be calculated as

$$\log \Pr(c_i) + \sum_{j=1}^V x_j \cdot \log \Pr(v_j | c_i)$$

which is just  $\mathbf{U}\mathbf{x}$  for a suitable choice of  $N \times (V+1)$  matrix  $\mathbf{U}$ , namely

$$\mathbf{U}_{ij} = \begin{cases} \log \Pr(v_j | c_i) & 1 \leq i \leq N \text{ and } 1 \leq j \leq V \\ \log \Pr(c_i) & 1 \leq i \leq N \text{ and } j = V + 1 \end{cases}$$

Under this implementation conception, we've reduced naive Bayes calculations to a single matrix operation. This conception is depicted in the figure at right.

You are free to use either conception in your implementation of naive Bayes.

### 1.7.3 Implement a naive Bayes classifier

For the implementation, we ask you to implement a Python class `NaiveBayes` that will have (at least) the following three methods:

1. `__init__`: An initializer that takes two `torchtext` fields providing descriptions of the text and label aspects of examples.
2. `train`: A method that takes a training data iterator and estimates all of the log probabilities  $\log \Pr(c_i)$  and  $\log \Pr(x_j | c_i)$  as described above. Perform add- $\delta$  smoothing with  $\delta = 1$ . These parameters will be used by the `evaluate` method to evaluate a test dataset for accuracy, so you'll want to store them in some data structures in objects of the class.
3. `evaluate`: A method that takes a test data iterator and evaluates the accuracy of the trained model on the test set.

You can organize your code using either of the conceptions of Naive Bayes described above.

You should expect to achieve about an **86% test accuracy** on the ATIS task.

```
[ ]: class NaiveBayes():
    def __init__(self, text, label):
        self.text = text
        self.label = label
        self.padding_id = text.vocab.stoi[text.pad_token]
        self.V = len(text.vocab.itos) # vocabulary size
        self.N = len(label.vocab.itos) # the number of classes
        # TODO: Add your code here
        ...

    def train(self, iterator):
        """Calculates and stores log probabilities for training dataset `iterator`.
        ↪ """
        # TODO: Implement this method.
        ...

    def evaluate(self, iterator):
        """Returns the model's performance on a given dataset `iterator`."""
        # TODO: Implement this method.
        ...
```

```
[ ]: # Instantiate and train classifier
nb_classifier = NaiveBayes(TEXT, LABEL)
nb_classifier.train(train_iter)

# Evaluate model performance
print(f'Training accuracy: {nb_classifier.evaluate(train_iter):.3f}\n'
      f'Test accuracy:      {nb_classifier.evaluate(test_iter):.3f}')
```

## 1.8 Part 3: Logistic regression classifier

In this part, you'll complete a PyTorch implementation of a logistic regression (equivalently, a single layer perceptron) classifier. We review logistic regression here highlighting the similarities to the matrix-multiplication conception of naive Bayes. Thus, we take the input  $\mathbf{x}$  to be the bag-of-words representation  $bow(\mathbf{w})$ . But as before you are free to use either implementation approach.

### 1.8.1 Review of logistic regression

Similar to naive Bayes, in logistic regression, we assign a probability to a text  $\mathbf{x}$  by merely multiplying an  $N \times V$  matrix  $\mathbf{U}$  by it. However, we don't stipulate that the values in the matrix  $\mathbf{U}$  be estimated from the training corpus in the "naive Bayes" manner. Instead, we allow them to take on any value, using a training regime to select good values.

In order to make sure that the output of the matrix multiplication  $\mathbf{U}\mathbf{x}$  is mapped onto a probability distribution, we apply a nonlinear function to renormalize the values. We use the softmax function, a generalization of the sigmoid function from lab 1-4, defined by

$$\text{softmax}(\mathbf{z})_i = \frac{\exp(z_i)}{\sum_{j=1}^N \exp(z_j)}$$

for each of the indices  $i$  from 1 to  $N$ .

In summary, we model  $\Pr(c|\mathbf{x})$  as

$$\Pr(c_i|\mathbf{x}) = \text{softmax}(\mathbf{U}\mathbf{x})_i$$

The calculation of  $\Pr(c|\mathbf{x})$  for each text  $\mathbf{x}$  is referred to as the *forward* computation. In summary, the forward computation for logistic regression involves a linear calculation ( $\mathbf{U}\mathbf{x}$ ) followed by a nonlinear calculation (softmax). We think of the perceptron (and more generally many of these neural network models) as transforming from one representation to another. A perceptron performs a linear transformation from the index or bag-of-words representation of the text to a representation as a vector, followed by a nonlinear transformation, a softmax or sigmoid, giving a representation as a probability distribution over the class labels. This single-layer perceptron thus involves two *sublayers*. (In the next part of the problem set, you'll experiment with a multilayer perceptron, with two perceptron layers, and hence four sublayers.)

The loss function you'll use is the negative log probability  $-\log \Pr(c|\mathbf{x})$ . The negative is used, since it is convention to minimize loss, whereas we want to maximize log likelihood.

The forward and loss computations are illustrated in the figure at right. In practice, for numerical stability reasons, PyTorch absorbs the softmax operation into the loss function `nn.CrossEntropyLoss`. That is, the input to the `nn.CrossEntropyLoss` function is the vector of sums  $\mathbf{U}\mathbf{x}$  (the last step in the box marked "your job" in the figure) rather than the vector of probabilities  $\Pr(c|\mathbf{x})$ . That makes things easier for you (!), since you're responsible only for the first sublayer.

Given a forward computation, the weights can then be adjusted by taking a step opposite to the gradient of the loss function. Adjusting the weights in this way is referred to as the *backward* computation. Fortunately, `torch` takes care of the backward computation for you, just as in lab 1-5.

The optimization process of performing the forward computation, calculating the loss, and performing the backward computation to improve the weights is done repeatedly until the process converges on a (hopefully) good set of weights. You'll find this optimization process in the `train_all` method that we've provided. The trained weights can then be used to perform classification on a test set. See the `evaluate` method.

You'll be responsible for implementing the forward computation as a method `forward`. We have provided code for performing the optimization and evaluation (though you should feel free to change them).

### 1.8.2 Implement a logistic regression classifier

For the implementation, we ask you to implement a logistic regression classifier as a subclass of the `torch.nn module`. You will be adding the following two methods:

1. `__init__`: an initializer that takes two `torchtext` fields providing descriptions of the text and label aspects of examples.

During initialization, you'll want to define a `tensor` of weights, *initialized randomly*, which plays the role of  $\mathbf{U}$ . The elements of this tensor are the *parameters* of the `torch.nn` instance in the following special technical sense: It is the parameters of the module whose gradients will be calculated and whose values will be updated. Alternatively, you might find it easier to use the `nn.Embedding module` which is a wrapper to the weight tensor with a lookup implementation.

2. `forward`: given a text batch of size `batch_size X max_length`, return a tensor of logits of size `batch_size X num_labels`. That is, for each text  $\mathbf{x}$  in the batch and each label  $c$ , you'll be calculating  $\mathbf{U}\mathbf{x}$  as shown in the figure, returning a tensor of these values. Note that the softmax operation is absorbed into `nn.CrossEntropyLoss` so you won't need to deal with that.

Some things to consider:

1. The parameters of the model, the weights, need to be initialized properly. We suggest initializing them to some small random values. See `torch.uniform_`.
2. You'll want to make sure that padding tokens are handled properly. What should the weight be for the padding token?

3. In extracting the proper weights to sum up, based on the word types in a sentence, we are essentially doing a lookup operation. You might find `nn.Embedding` or `torch.gather` useful.

You should expect to achieve about **90%** accuracy on the ATIS classification task.

```
[ ]: class LogisticRegression(nn.Module):
    def __init__(self, text, label):
        super().__init__()
        self.text = text
        self.label = label
        self.padding_id = text.vocab.stoi[text.pad_token]
        # Keep the vocabulary sizes available
        self.N = len(label.vocab.itos) # num_classes
        self.V = len(text.vocab.itos) # vocab_size
        # Specify cross-entropy loss for optimization
        self.criterion = nn.CrossEntropyLoss()
        # TODO: Create and initialize a tensor for the weights,
        #         or create an nn.Embedding module and initialize
        ...

    def forward(self, text_batch):
        # TODO: Calculate the logits for the `text_batch`,
        #         returning a tensor of size batch_size x num_labels
        ...

    def train_all(self, train_iter, val_iter, epochs=8, learning_rate=3e-3):
        # Switch the module to training mode
        self.train()
        # Use Adam to optimize the parameters
        optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
        best_validation_accuracy = -float('inf')
        best_model = None
        # Run the optimization for multiple epochs
        for epoch in range(epochs):
            c_num = 0
            total = 0
            running_loss = 0.0
            for batch in tqdm(train_iter):
                # Zero the parameter gradients
                optim.zero_grad()

                # Input and target
                text = batch.text # a tensor of shape (bsz, max_len)
                logits = self.forward(text) # perform the forward computation
                target = batch.label.long() # bsz
                batch_size = len(target)

                # Compute the loss
```

```

        loss = self.criterion(logits, target)

        # Perform backpropagation
        loss.backward()
        optim.step()

        # Prepare to compute the accuracy
        predictions = torch.argmax(logits, dim=1)
        total += batch_size
        c_num += (predictions == target).float().sum().item()
        running_loss += loss.item() * batch_size

        # Evaluate and track improvements on the validation dataset
        validation_accuracy = self.evaluate(val_iter)
        if validation_accuracy > best_validation_accuracy:
            best_validation_accuracy = validation_accuracy
            self.best_model = copy.deepcopy(self.state_dict())
        epoch_loss = running_loss / total
        epoch_acc = c_num / total
        print (f'Epoch: {epoch} Loss: {epoch_loss:.4f} '
              f'Training accuracy: {epoch_acc:.4f} '
              f'Validation accuracy: {validation_accuracy:.4f}')

    def evaluate(self, iterator):
        self.eval()      # switch the module to evaluation mode
        total = 0        # running total of example
        c_num = 0        # running total of correctly classified examples
        for batch in tqdm(iterator):
            text = batch.text
            logits = self.forward(text)                # calculate forward probabilities
            target = batch.label.long()                 # extract gold labels
            predictions = torch.argmax(logits, dim=-1)  # calculate predicted labels
            total += len(target)
            c_num += (predictions == target).float().sum().item()
        return c_num / total

```

```

[ ]: # Instantiate the logistic regression classifier and run it
model = LogisticRegression(TEXT, LABEL).to(device)
model.train_all(train_iter, val_iter)
model.load_state_dict(model.best_model)
test_accuracy = model.evaluate(test_iter)
print (f'Test accuracy: {test_accuracy:.4f}')

```

## 1.9 Part 4: Multilayer perceptron

### 1.9.1 Review of multilayer perceptrons

In the last part, you implemented a perceptron, a model that involved a linear calculation (the sum of weights) followed by a nonlinear calculation (the softmax, which converts the summed weight values to probabilities). In a multi-layer perceptron, we take the output of the first perceptron to be the input of a second perceptron (and of course, we could continue on with a third or even more).

In this part, you'll implement the forward calculation of a two-layer perceptron, again letting PyTorch handle the backward calculation as well as the optimization of parameters. The first layer will involve a linear summation as before and a **sigmoid** as the nonlinear function. The second will involve a linear summation and a softmax (the latter absorbed, as before, into the loss function). Thus, the difference from the logistic regression implementation is simply the adding of the sigmoid and second linear calculations. See the figure for the structure of the computation.

### 1.9.2 Implement a multilayer perceptron classifier

For the implementation, we ask you to implement a two layer perceptron classifier, again as a subclass of the `torch.nn module`. You might reuse quite a lot of the code from logistic regression. As before, you will be adding the following two methods:

1. `__init__`: An initializer that takes two `torchtext` fields providing descriptions of the text and label aspects of examples, and `hidden_size` specifying the size of the hidden layer (e.g., in the above illustration, `hidden_size` is `D`).

During initialization, you'll want to define two tensors of weights, which serve as the parameters of this model, one for each layer. You'll want to [initialize them randomly](#).

The weights in the first layer are a kind of lookup (as in the previous part), mapping words to a vector of size `hidden_size`. The `nn.Embedding module` is a good way to set up and make use of this weight tensor.

The weights in the second layer define a linear mapping from vectors of size `hidden_size` to vectors of size `num_labels`. The `nn.Linear module` or `torch.mm` for matrix multiplication may be helpful here.

2. `forward`: Given a text batch of size `batch_size X max_length`, the `forward` function returns a tensor of logits of size `batch_size X num_labels`.

That is, for each text  $\mathbf{x}$  in the batch and each label  $c$ , you'll be calculating  $MLP(bow(\mathbf{x}))$  as shown in the illustration above, returning a tensor of these values. Note that the softmax operation is absorbed into `nn.CrossEntropyLoss` so you don't need to worry about that.

For the sigmoid sublayer, you might find `nn.Sigmoid` useful.

You should expect to achieve at least **90%** accuracy on the ATIS classification task.

```
[ ]: class MultiLayerPerceptron(nn.Module):  
      def __init__(self, text, label, hidden_size=128):
```



```

super().__init__()
self.text = text
self.label = label
self.padding_id = text.vocab.stoi[text.pad_token]
self.hidden_size = hidden_size
# Keep the vocabulary sizes available
self.N = len(label.vocab.itos) # num_classes
self.V = len(text.vocab.itos) # vocab_size
# Specify cross-entropy loss for optimization
self.criterion = nn.CrossEntropyLoss()
# TODO: Create and initialize neural modules
...

def forward(self, text_batch):
    # TODO: Calculate the logits for the `text_batch`,
    #         returning a tensor of size batch_size x num_labels
    ...

def train_all(self, train_iter, val_iter, epochs=8, learning_rate=3e-3):
    # Switch the module to training mode
    self.train()
    # Use Adam to optimize the parameters
    optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
    best_validation_accuracy = -float('inf')
    best_model = None
    # Run the optimization for multiple epochs
    for epoch in range(epochs):
        c_num = 0
        total = 0
        running_loss = 0.0
        for batch in tqdm(train_iter):
            # Zero the parameter gradients
            optim.zero_grad()

            # Input and target
            text = batch.text # a tensor of shape (bsz, max_len)
            logits = self.forward(text) # perform the forward computation
            target = batch.label.long() # bsz
            batch_size = len(target)

            # Compute the loss
            loss = self.criterion(logits, target)

            # Perform backpropagation
            loss.backward()
            optim.step()

```

```

        # Prepare to compute the accuracy
        predictions = torch.argmax(logits, dim=1)
        total += batch_size
        c_num += (predictions == target).float().sum().item()
        running_loss += loss.item() * batch_size

    # Evaluate and track improvements on the validation dataset
    validation_accuracy = self.evaluate(val_iter)
    if validation_accuracy > best_validation_accuracy:
        best_validation_accuracy = validation_accuracy
        self.best_model = copy.deepcopy(self.state_dict())
    epoch_loss = running_loss / total
    epoch_acc = c_num / total
    print (f'Epoch: {epoch} Loss: {epoch_loss:.4f} '
           f'Training accuracy: {epoch_acc:.4f} '
           f'Validation accuracy: {validation_accuracy:.4f}')

def evaluate(self, iterator):
    self.eval()    # switch the module to evaluation mode
    total = 0      # running total of example
    c_num = 0      # running total of correctly classified examples
    for batch in tqdm(iterator):
        text = batch.text
        logits = self.forward(text)                    # calculate forward probabilities
        target = batch.label.long()                     # extract gold labels
        predictions = torch.argmax(logits, dim=-1)      # calculate predicted labels
        total += len(target)
        c_num += (predictions == target).float().sum().item()
    return c_num / total

```

```

[ ]: # Instantiate classifier and run it
model = MultiLayerPerceptron(TEXT, LABEL).to(device)
model.train_all(train_iter, val_iter)
model.load_state_dict(model.best_model)
test_accuracy = model.evaluate(test_iter)
print (f'Test accuracy: {test_accuracy:.4f}')

```

## 1.10 Lesson learned

Take a look at some of the examples that were classified correctly and incorrectly by your best method.

**Question:** Do you notice anything about the incorrectly classified examples that might indicate *why* they were classified incorrectly?

Type your answer here, replacing this text.

## 1.11 Debrief

**Question:** We're interested in any thoughts you have about this project segment so that we can improve it for later years, and to inform later segments for this year. Please list any issues that arose or comments you have to improve the project segment. Useful things to comment on include the following:

- Was the project segment clear or unclear? Which portions?
- Were the readings appropriate background for the project segment?
- Are there additions or changes you think would make the project segment better?

*Type your answer here, replacing this text.*

## 1.12 Instructions for submission of the project segment

This project segment should be submitted to Gradescope at <http://go.cs187.info/project1-submit>, which will be made available some time before the due date.

Project segment notebooks are manually graded, not autograded using otter as labs are. (Otter is used within project segment notebooks to synchronize distribution and solution code however.)

**We will not run your notebook before grading it.** Instead, we ask that you submit the already freshly run notebook. The best method is to "restart kernel and run all cells", allowing time for all cells to be run to completion.

We also request that you **submit a PDF of the freshly run notebook**. The simplest method is to use "Export notebook to PDF", which will render the notebook to PDF via LaTeX. If that doesn't work, the method that seems to be most reliable is to export the notebook as HTML (if you are using Jupyter Notebook, you can do so using **File -> Print Preview**), open the HTML in a browser, and print it to a file. Then make sure to add the file to your git commit. Please name the file the same name as this notebook, but with a **.pdf** extension. (Conveniently, the methods just described will use that name by default.) You can then perform a git commit and push and submit the commit to Gradescope.

## 2 End of project segment 1