# project4\_semantics

# October 9, 2020

```
[]: # Please do not change this cell because some hidden tests might depend on it.
     import os
     # Otter grader does not handle ! commands well, so we define and use our
     # own function to execute shell commands.
     def shell(commands, warn=True):
         """Executes the string `commands` as a sequence of shell commands.
            Prints the result to stdout and returns the exit status.
            Provides a printed warning on non-zero exit status unless `warn`
           flag is unset.
         file = os.popen(commands)
         print (file.read().rstrip('\n'))
         exit_status = file.close()
         if warn and exit_status != None:
             print(f"Completed with errors. Exit status: {exit_status}\n")
         return exit_status
     shell("""
     ls requirements.txt >/dev/null 2>&1
     if [ ! $? = 0 ]; then
     rm -rf .tmp
     git clone https://github.com/cs187-2020/project4.git .tmp
     mv .tmp/requirements.txt ./
     rm -rf .tmp
     fi
     pip install -q -r requirements.txt
```

```
[]: # Initialize Otter
import otter
grader = otter.Notebook()
```

# 1 Project 4: Semantic Parsing for Question Answering

Semantic parsing is an important task in Natural Language Processing (NLP), where the goal is to convert natural language to its logical form, such as SQL. In the last project, you have built a parsing system to extract parse trees from the questions in the ATIS dataset. However, that only solves an intermediary task, not any end-user task.

In this project, you will go one step further to build a semantic parsing system to convert the questions to SQL queries, such that by consulting a database you will be able to answer those questions. You will implement both a rule-based approach and an end-to-end sequence-to-sequence (seq2seq) approach. Both algorithms come with their pros and cons, and by the end of this homework you should have a basic understanding of the characteristics of the traditional computational linguistic approach and the recent neural approach.

#### 1.1 Goals

- 1. Build a semantic parsing algorithm to convert text to SQL queries based on the syntactic parse trees from the last project.
- 2. Build an end-to-end seq2seq system to convert text to SQL.
- 3. Discuss the pros and cons of the rule-based system and the end-to-end system.

This will be a very challenging homework, so we recommend you to start early.

# 1.2 Setup

```
[]: !pip install -q dateparser
!pip install -q nltk
!pip install -q cryptography
!pip install -qU torchtext
!pip install -q mysql-connector
```

```
[]: import math
  import copy
  import requests
  import torch
  import torch.nn as nn
  from torch.nn.utils.rnn import pack_padded_sequence as pack
  import torchtext as tt

from tqdm import tqdm
  import dateparser

import nltk
```

```
from nltk.tree import Tree
from nltk import treetransforms

from cryptography.fernet import Fernet

import mysql.connector
from mysql.connector import errorcode

# Set random seeds
seed = 1234
torch.manual_seed(seed)

# GPU check, make sure to set runtime type to "GPU" where available
device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
print (device)
```

#### 1.2.1 Load data

In this segment, we only consider flight\_id-type questions.

```
[]: !wget -nv -N -P data https://raw.githubusercontent.com/nlp-course/data/master/
→ATIS/test_flightid.nl
!wget -nv -N -P data https://raw.githubusercontent.com/nlp-course/data/master/
→ATIS/test_flightid.sql
!wget -nv -N -P data https://raw.githubusercontent.com/nlp-course/data/master/
→ATIS/dev_flightid.nl
!wget -nv -N -P data https://raw.githubusercontent.com/nlp-course/data/master/
→ATIS/dev_flightid.sql
```

Let's take a look at the data: the questions are in .nl files, and the SQL queries are in .sql files. The goal of this project is to convert a question to its corresponding SQL.

#### 1.2.2 Data preprocessing

We use torchtext to process data. We use two Fields: TEXT for the questions, and SQL for the SQL queries.

```
[]: def reverse(tokens):
       """Reverse a list"""
       return list(reversed(tokens))
     TEXT = tt.data.Field(lower=True, # lowercased
                          sequential=True, # sequential data
                          include_lengths=True, # include lengths
                          batch_first=False, # batches will be max_len X batch_size
                          tokenize=lambda x: x.split(), # use split to tokenize
                          preprocessing=reverse)
     SQL = tt.data.Field(sequential=True,
                         include lengths=False,
                         batch_first=False,
                         tokenize=lambda x: x.split(),
                         init token="<bos>", # prepend <bos>
                         eos_token="<eos>") # append <eos>
     fields = [('text_reversed', TEXT), ('sql', SQL)]
```

Note that we reversed the tokens in question by passing in preprocessing=reverse. We did that because in seq2seq (w/o attention) this trick improves performance. You can refer to Section 3.3 in the seminal seq2seq paper for more details. Another difference is that we use batch\_first=False, such that the returned batched tensors would be of size max\_length X batch\_size, which facilitates seq2seq implementation.

Now, we load data using torchtext. We use TranslationDataset class here because our task is essentially a translation task: "translating" questions into the corresponding SQL queries. Therefore, we also refer to the questions as the source side, the SQL queries as the target side.

```
[]: # Make splits for data train_data, val_data, test_data = tt.datasets.TranslationDataset.splits(
```

```
('_flightid.nl', '_flightid.sql'), fields, path='./data/',
    train='train', validation='dev', test='test')

MIN_FREQ = 3
TEXT.build_vocab(train_data.text_reversed, min_freq=MIN_FREQ)
SQL.build_vocab(train_data.sql, min_freq=MIN_FREQ)

print (f"Size of English vocab: {len(TEXT.vocab)}")
print (f"Most comman English words: {TEXT.vocab.freqs.most_common(10)}")

print (f"Size of SQL vocab: {len(SQL.vocab)}")
print (f"Most comman SQL words: {SQL.vocab.freqs.most_common(10)}")

print (f"Start of sequence: {SQL.vocab.stoi[SQL.init_token]}") # word id for bos
print (f"End of sequence: {SQL.vocab.stoi[SQL.eos_token]}") # word id for eos
```

Next, we batch our data to facilitate processing on GPU. Batching is a bit tricky because source/target will be of different lengths. Fortunately, torchtext allows us to pass in a sort\_key function. This will minimize the amount of padding on the source side, but since there is still some padding, we need to handle them with pack later on in the seq2seq part.

```
[]: BATCH_SIZE = 32 # batch size for training/validation

TEST_BATCH_SIZE = 1 # batch size for test, we use 1 to make implementation easier

train_iter, val_iter = tt.data.BucketIterator.splits((train_data, val_data), u

→batch_size=BATCH_SIZE, device=device,

repeat=False, sort_key=lambda x: u

→len(x.text_reversed), sort_within_batch=True)

test_iter = tt.data.BucketIterator(test_data, batch_size=1, device=device,

repeat=False, sort=False, u

→train=False)
```

Let's look at a single batch from one of these iterators.

Note that the question is reversed, and that the size of the batch is max\_length X batch\_size.

Alternatively, we can directly iterate over the raw examples in train\_data, val\_data and test\_data.

```
[]: for example in val_iter.dataset: # val_iter.dataset is just val_data
    text_reversed = example.text_reversed
    text = ' '.join(reversed(text_reversed)) # detokenized question
    sql = ' '.join(example.sql) # detokenized sql
    print (f"Question: {text}")
    print (f"SQL: {sql}")
    break
```

## 1.2.3 Remote ATIS Database

The output of our systems are SQL queries, but to get the actual answer, we need to execute those queries on a database. We have set up a remote MySQL database, and we will connect to it using mysql-connector later.

# 1.3 Rule-based Semantic Parsing

First, we will implement a rule-based semantic parser using the parse trees from our last project.

# 1.3.1 CKY Parsing

We use our parse trees from the previous segment. We provide a function parse\_tree which returns the parse tree as an nltk.Tree object. parse\_tree is able to parse about 50% of ATIS questions, and it returns None if a question is not parsable. For higher coverage, feel free to use your own implementation.

```
[]: question = 'flights to boston'
tree = parse_tree(question)
tree.pretty_print()
```

## 1.3.2 Semantic Parsing: The Basics

The high-level idea of rule-based semantic parsing is to associate each grammar rule with a semantic rule. Given a sentence, we first construct its parse tree, then compose semantic rules bottom-up, until eventually we arrive at the root node with a finished SQL statement.

We use the above parse tree as an example.

1. First, let the rule

## FLIGHT -> flights

be accompanied by the semantic rule:

## SELECT DISTINCT flight\_fight\_id FROM flight.

2. To handle origin/destination constraint 'boston', we associate

#### Place -> boston

with

(SELECT airport\_service.airport\_code FROM airport\_service WHERE airport\_service.city\_code IN (SELECT city.city\_code FROM city WHERE city.city\_name = 'boston')).

Note that we look up the airport code instead of directly using city code, because the flight table which we later use expects the airport code.

3. To distinguish destination from origin, we need to add a rule for:

#### $PPLACE \rightarrow to.$

We use lambda calculus here, since the SQL statement it produces is dependent on its siblings ('to boston' is different from 'to dallas'):

```
\lambda x. "(flight.to_airport IN (" + x + "))".
```

4. Now we need to merge *PPLACE* and *PLACE* at node *PP*:

#### PP -> PPLACE PLACE.

We simply use:

# left\_child(right\_child),

which denotes evaluating the left child to get a function, then applying that function with the right child as the input. In this case, this would evaluate to:

(flight.to\_airport\_IN (SELECT airport\_service.airport\_code FROM airport\_service WHERE airport\_service.city\_code IN (SELECT city.city\_code FROM city WHERE city.city\_name = 'boston')))

5. For the rule

## $PPS \rightarrow PP$

we simply copy the evaluation result of the child:

#### child.

6. Finally, the last piece to complete the puzzle is at the root node:

#### $S \rightarrow FLIGHT PPS$ ,

for which we only need to join the evaluation results of its left child and right child with a 'WHERE':

#### left child WHERE right child.

Putting all these together, the final SQL statement we get (at root 'S') is:

SELECT DISTINCT flight.flight\_id FROM flight WHERE (flight.to\_airport IN (SELECT airport\_service.airport\_code FROM airport\_service WHERE airport\_service.city\_code IN (SELECT city.city\_code FROM city WHERE city.city\_name = 'boston'))),

which should return the answer to the original question when used to query a MySQL database containing relevant flight information.

#### 1.3.3 Goal 1: Construct SQL queries from a parse tree and evaluate the results

Implement a rule-based semantic parsing system to successfully answer at least 25% of flight\_id type questions in the test set.

Starter Code We provide starter code for some functions that you will implement.

HINT: You may find it useful to use WHERE TRUE AND (condition) instead of WHERE (condition) in your queries. This way, if you want to add more conditions you can write it as such: WHERE TRUE AND (condition1) AND (condition2)...

First, we provide a lexicon from our grammar.

```
[ ]: #TODO
     # Lexicon
     lexicon = {
       'ADJ': {
         "days": set(
           "monday's",
             "tuesday's",
             "wednesday's",
             "thursday's",
             "friday's",
             "saturday's",
             "sunday's",
           ]
         ),
         "availability": set(["available", "possible"]),
         "seat_types": set(["first class", "economy", "thrift economy"]),
         "price": set(["cheapest", "lowest cost", "least expensive", "most⊔
      →expensive"]),
         "time": set(["weekday", "daily", "last", "first"]),
         "attributes": set(["dinner", "transcontinental"]),
       },
       'PDAY': {
         "arrive_on": set(
           "returning on",
             "arriving",
             "arriving on",
             "that arrive on",
             "which arrive on",
           1
         ),
         "depart_on": set(
             "on",
```

```
"of",
      "for",
      "next",
      "the next",
      "in the next",
      "of next",
      "leaving",
      "which leave",
      "leaving on",
  ),
},
'PPLACE': {
  "dest": set(
    [
      "to",
      "that arrive at",
      "that arrives in",
      "coming back to",
      "that go to",
      "and then to",
      "arriving in",
      "and arriving in",
      "and arrive in",
      "to arrive in",
      "arrive in",
      "going to",
      "into",
      "with the destination city of",
      "arriving",
      "goes to",
      "flying into",
      "goes on to",
      "reaching",
      "in",
      "and then",
      "arriving to",
    1
  ),
  "source": set(
    "from",
      "leaving",
      "return from",
      "leaving from",
      "departing from",
```

```
"are departing from",
      "departing",
      "go from",
      "going from",
      "back from",
      "that goes from",
      "that departs",
      "which leaves from",
      "which leave",
      "that leave",
      "originating in",
      "leave",
      "out of",
      "leaves from",
      "to get from"
    ]
  ),
  "through": set(
    "via",
      "with a stopover in",
      "with a layover in",
      "with a stopover at",
      "and a stopover in",
      "stop in",
      "stopping in",
      "make a stop in",
      "with a stop in",
      "with one stop in",
      "go through",
      "which go through",
      "makes a stopover in",
      "that stops in",
      "that stops over in",
      "by way of",
      "connecting through",
      "that will stop in",
      "which connects in",
    1
  ),
},
'PTIME': {
  "arrive_by": set(
      "that arrive before",
      "that arrives before",
      "arriving before",
```

```
"arrival by",
      "arrives",
      "before",
      "departing before",
      "that leaves before",
      "which arrive before",
      "by",
   ]
 ),
  "arrive_at": set(
    Γ
     "around",
      "that return around",
     "that gets in around",
     "at",
      "arriving around",
     "arriving about",
   ]
 ),
  "arrive_after": set(["that arrive soon after", "arriving after"]),
  "depart_at": set(
   Ε
     "leaving at",
     "leaving",
      "which leave after",
      "leaving after",
      "after",
      "departing after",
      "that depart after",
      "departing at",
     "are departing at",
   ]
 ),
  "depart_in": set(["in", "departing in", "on", "that leaves in"]),
'TIME': {
  "morning": set(
      "the morning",
     "the early am",
      "mornings",
      "as early as possible",
      "earliest possible time",
     "as soon thereafter as possible",
   ]
 ),
  "afternoon": set(
```

```
["the afternoon", "the late afternoon", "the day", "afternoons"]
),
    "evening": set(["the evening", "evenings"]),
},
}
```

In addition to the provided lexicon, we also provide some helper functions. You will need to implement eval\_S, which returns the SQL query based on a parse tree.

```
[ ]: #TODO
     def eval_S(tree):
       Construct the SQL query based on a parse tree.
       Arguments:
           tree: an nltk.Tree.
       Returns:
           a string of the corresponding SQL query
       #TODO: implement this method.
      PREJ = None
      DET = None
       ADJS = None
      FLIGHT = None
      PPS = None
       for child in tree:
         if child.label() == "PREJ":
           PREJ = child
         elif child.label() == "DET":
           DET = child
         elif child.label() == "ADJS":
           ADJS = child
         elif child.label() == "FLIGHT":
           FLIGHT = child
         elif child.label() == "PPS":
           PPS = child
       ### Implement these Rules
       # S -> (PREJ) (DET) ADJS FLIGHT PPS
       # S -> (PREJ) (DET) ADJS FLIGHT
       # S -> (PREJ) (DET) FLIGHT PPS
       # S -> (PREJ) (DET) FLIGHT
       ### YOUR CODE HERE
      raise NotImplementedError
     def eval_FLIGHT(tree):
       ### Implement these Rules
```

```
# FLIGHT -> 'flights' | 'flight' | 'to' 'fly'
  ### YOUR CODE HERE
 raise NotImplementedError
def eval_PPS(tree):
  ### Implement these Rules
  # PPS -> PP
 # PPS -> PP PPS
 ### YOUR CODE HERE
 raise NotImplementedError
def eval_PP(tree):
  # List of the labels of the children (e.g. ['PPLACE', 'PLACE'])
 child_labels = [child.label() for child in tree]
 ### Implement these Rules
 # PP -> PPLACE PLACE OR PLACE
  # PP -> PPLACE EITHER PLACE OR PLACE
 # PP -> PPLACE PLACE
 # PP -> BETWEEN PLACE AND PLACE
 ### YOUR CODE HERE
 raise NotImplementedError
def eval_PPLACE(tree):
 PPLACE lexicon = lexicon['PPLACE']
 # Join multiword phrases
 val = ' '.join(tree).strip()
 ### Implement these Rules
 # PPLACE -> <departing>
 # PPLACE -> <arriving>
  # PPLACE -> < layover>
 ### YOUR CODE HERE
 raise NotImplementedError
def eval_PLACE(tree):
  # Join multiword phrases
 val = ' '.join(tree)
 ### Implement these Rules
  # PLACE -> <city_name>
 ### YOUR CODE HERE
 raise NotImplementedError
```

# Solution

```
"days": set(
       "monday's",
       "tuesday's",
       "wednesday's",
       "thursday's",
       "friday's",
       "saturday's",
       "sunday's",
    ]
   ),
   "availability": set(["available", "possible"]),
   "seat_types": set(["first class", "economy", "thrift economy"]),
   "price": set(["cheapest", "lowest cost", "least expensive", "most_{\sqcup}
→expensive"]),
   "time": set(["weekday", "daily", "last", "first"]),
   "attributes": set(["dinner", "transcontinental"]),
},
 'PDAY': {
   "arrive_on": set(
      "returning on",
       "arriving",
       "arriving on",
       "that arrive on",
       "which arrive on",
    ]
   ),
   "depart_on": set(
       "on",
       "of",
       "for",
       "next",
       "the next",
       "in the next",
       "of next",
       "leaving",
       "which leave",
       "leaving on",
    ]
   ),
},
 'PPLACE': {
   "dest": set(
       "to",
```

```
"that arrive at",
    "that arrives in",
    "coming back to",
    "that go to",
    "and then to",
    "arriving in",
    "and arriving in",
    "and arrive in",
    "to arrive in",
    "arrive in",
    "going to",
    "into",
    "for",
    "with the destination city of",
    "arriving",
    "goes to",
    "flying into",
    "goes on to",
    "reaching",
    "in",
    "and then",
    "arriving to",
  ]
),
"source": set(
  "from",
    "leaving",
    "return from",
    "leaving from",
    "departing from",
    "are departing from",
    "departing",
    "go from",
    "going from",
    "back from",
    "that goes from",
    "that departs",
    "which leaves from",
    "which leave",
    "that leave",
    "originating in",
    "leave",
    "out of",
    "leaves from",
    "to get from"
  ]
```

```
"through": set(
    "via",
      "with a stopover in",
      "with a layover in",
      "with a stopover at",
      "and a stopover in",
      "stop in",
      "stopping in",
      "make a stop in",
      "with a stop in",
      "with one stop in",
      "go through",
      "which go through",
      "makes a stopover in",
      "that stops in",
      "that stops over in",
      "by way of",
      "connecting through",
      "that will stop in",
      "which connects in",
    ]
  ),
},
'PTIME': {
  "arrive_by": set(
    "that arrive before",
      "that arrives before",
      "arriving before",
      "arrival by",
      "arrives",
      "before",
      "departing before",
      "that leaves before",
      "which arrive before",
      "by",
    ]
  ),
  "arrive_at": set(
    "around",
      "that return around",
      "that gets in around",
      "at",
      "arriving around",
```

```
"arriving about",
      ]
    ),
    "arrive_after": set(["that arrive soon after", "arriving after"]),
    "depart_at": set(
      "leaving at",
        "leaving",
        "which leave after",
        "leaving after",
        "after".
        "departing after",
        "that depart after",
        "departing at",
        "are departing at",
      ]
    ),
    "depart_in": set(["in", "departing in", "on", "that leaves in"]),
 },
  'TIME': {
    "morning": set(
      "the morning",
        "the early am",
        "mornings",
        "as early as possible",
        "earliest possible time",
        "as soon thereafter as possible",
      ]
    ),
    "afternoon": set(
      ["the afternoon", "the late afternoon", "the day", "afternoons"]
    "evening": set(["the evening", "evenings"]),
 },
}
```

```
[]: #Solution
def eval_S(tree):
    """
    Construct the SQL query based on a parse tree.
    Arguments:
        tree: an nltk.Tree.
    Returns:
        a string of the corresponding SQL query
    """
    PREJ = None
```

```
DET = None
  ADJS = None
  FLIGHT = None
  PPS = None
  for child in tree:
    if child.label() == "PREJ":
     PREJ = child
    elif child.label() == "DET":
     DET = child
    elif child.label() == "ADJS":
     ADJS = child
    elif child.label() == "FLIGHT":
     FLIGHT = child
    elif child.label() == "PPS":
     PPS = child
  # S -> (PREJ) (DET) ADJS FLIGHT PPS
  if ADJS and PPS:
   return "{} {} {}".format(eval_FLIGHT(FLIGHT), eval_ADJS(ADJS), eval_PPS(PPS))
  # S -> (PREJ) (DET) ADJS FLIGHT
  elif ADJS:
   return "{} {}".format(eval_FLIGHT(FLIGHT), eval_ADJS(ADJS))
  # S -> (PREJ) (DET) FLIGHT PPS
  elif PPS:
  return "{} {}".format(eval_FLIGHT(FLIGHT), eval_PPS(PPS))
  # S -> (PREJ) (DET) FLIGHT
  else:
   return eval_FLIGHT(FLIGHT)
def eval_FLIGHT(tree):
  # FLIGHT -> 'flights' | 'flight' | 'to' 'fly'
 return 'SELECT DISTINCT flight.flight_id FROM flight WHERE TRUE'
def eval PREJ(tree):
  # PREJ -> JUNK PREJ | JUNK
  # Not relevant for semantics
 return ''
def eval ADJS(tree):
  # ADJS -> ADJ
 if len(tree) == 1:
   return eval ADJ(tree[0])
  # ADJS -> ADJ ADJS
  else:
    return "{} {}".format(eval_ADJ(tree[0]), eval_ADJS(tree[1]))
```

```
def eval_ADJ(tree):
  # ADJ -> <anything>
 return ''
def eval_DET(tree):
  # DET -> 'all' 'the' | 'all' | A | 'an' | THE | 'any' | 'all' 'of' 'the'
  # All words in lexicon should show all flights
  # Might consider `THE` or `A` to only get one...
 return ''
def eval PPS(tree):
  # PPS -> PP
  if len(tree) == 1:
   return 'AND {}'.format(eval_PP(tree[0]))
  # PPS -> PP PPS
  else:
    return "AND {} {}".format(eval_PP(tree[0]), eval_PPS(tree[1]))
def eval PP(tree):
  child_labels = [child.label() for child in tree]
  # PP -> PPLACE PLACE OR PLACE
 if child labels == ['PPLACE', 'PLACE', 'OR', 'PLACE']:
    return '({} OR {})'.format(eval_PPLACE(tree[0])(eval_PLACE(tree[1])),u
→eval PPLACE(tree[0])(eval PLACE(tree[3])))
  # PP -> PPLACE EITHER PLACE OR PLACE
 elif child_labels == ['PPLACE', 'EITHER', 'PLACE', 'OR', 'PLACE']:
    return '({} OR {})'.format(eval_PPLACE(tree[0])(eval_PLACE(tree[2])),__
→eval_PPLACE(tree[0])(eval_PLACE(tree[4])))
  # PP -> PPLACE PLACE
  elif child labels == ['PPLACE', 'PLACE']:
    return eval_PPLACE(tree[0])(eval_PLACE(tree[1]))
  # PP -> BETWEEN PLACE AND PLACE
  elif child_labels == ['BETWEEN', 'PLACE', 'AND', 'PLACE']:
    return '(flight.from_airport IN {}) AND (flight.to_airport IN {})'.
→format(eval_PLACE(tree[1]), eval_PLACE(tree[3]))
  # PP -> BETWEEN TIME AND TIME
  elif child_labels == ['BETWEEN', 'TIME', 'AND', 'TIME']:
    return '(flight.departure time >= {} AND flight.departure time <= {})'.</pre>
→format(eval_TIME(tree[1]), eval_TIME(tree[3]))
  # PP -> WEEKDAY
  elif child_labels == ['WEEKDAY']:
   return eval_WEEKDAY(tree[0])
  # PP -> TIME
  elif child labels == ['TIME']:
   return eval_TIME(tree[0])
  # PP -> PTIME TIME
  elif child_labels == ['PTIME', 'TIME']:
```

```
return eval_PTIME(tree[0])(eval_TIME(tree[1]))
  # PP -> EITHER PLACE OR PLACE | PLACE | PLACE | PDAY WEEKDAY |
 → WEEKDAY TIME | PDAY WEEKDAY TIME | PDAY DATE | DATE | PAIRLINE AIRLINE |
 →AIRCRAFT | FLIGHTTYPE | FARETYPE | PRICE | FOOD | AVAIL | POSTJ
 else:
   return 'TRUE'
def eval_PPLACE(tree):
 lex = lexicon['PPLACE']
 val = ' '.join(tree).strip()
 if val in lex['dest']:
   return lambda dest_sql: '(flight.to_airport IN {})'.format(dest_sql)
 elif val in lex['source']:
   return lambda source_sql: '(flight.from_airport IN {})'.format(source_sql)
 elif val in lex['through']:
   return lambda through_sql: '(flight_stop.stop_airport IN {})'.
→format(through sql)
 else:
   return lambda place: 'TRUE'
def eval_PLACE(tree):
 val = ' '.join(tree)
  # Currently assumes PLACE is a city... may want to query for it and check and
\hookrightarrow handle other cases
 return "(SELECT airport_service.airport_code FROM airport_service WHERE_
→airport_service.city_code IN (SELECT city.city_code FROM city WHERE city.

city name = '{}'))".format(val.upper())

def eval PTIME(tree):
 lex = lexicon['PTIME']
 val = ' '.join(tree)
 if val in lex['arrive_by']:
   return lambda time: '(flight.arrival time <= {})'.format(int(time.

strftime('%H%M')))
 elif val in lex['arrive_at']:
   return lambda time: '(flight.arrival_time >= {} AND flight.arrival_time <=_\( \)
→{})'.format(int((time - datetime.timedelta(minutes=30)).strftime('%H%M')), ___
→int((time + datetime.timedelta(minutes=30)).strftime('%H%M')))
 elif val in lex['arrive_after']:
   return lambda time: '(flight.arrival_time >= {})'.format(int(time.

strftime('%H%M')))
 elif val in lex['depart_at']:
   return lambda time: '(flight.departure_time >= {} AND flight.departure_time_
→<= {})'.format(int((time - datetime.timedelta(minutes=30)).strftime('%H%M')), ⊔
→int((time + datetime.timedelta(minutes=30)).strftime('%H%M')))
  # Try to handle "depart in" by querying time
```

```
else:
    return lambda time: ''
def eval_TIME(tree):
  child_labels = [child.label() for child in tree]
  if child_labels == ['SIMPLETIME']:
    return eval_SIMPLETIME(tree[0])
  else:
    return 'TRUE'
def eval SIMPLETIME(tree):
  # uses natural langage parser for lexicon
  val = ' '.join(tree)
  try:
    time = dateparser.parse(val)
    return time
  except:
    return 'TRUE'
def eval_WEEKDAY(tree):
  child_labels = [child.label() for child in tree]
 if child_labels == ['SIMPLEWEEKDAY'] or child_labels == ['A', 'SIMPLEWEEKDAY']:
    return eval_SIMPLEWEEKDAY(tree[-1])
  else:
    return 'TRUE'
def eval_SIMPLEWEEKDAY(tree):
  val = ' '.join(tree)
  return "(flight.flight_days IN (SELECT days.days_code FROM days WHERE days.

day_name = '{}'))".format(val)
```

**Evaluation** With a rule-based semantic parsing system, we can generate SQL queries given questions, and then execute those queries on a MySQL database to answer the given questions. To evaluate the performance of the system, we compare the returned results against the results of executing the ground truth queries. Note that we do not directly compare the predicted SQL queries to the gold SQL queries due to there being multiple ways of writing semantically equivalent queries.

We provide a function evaluate\_accuracy to compare the results from our generated SQL to the ground truth SQL.

```
[]: def evaluate_accuracy(predictions, sqls, questions=None):
    """

Evaluate accuracy by executing predictions on a remote MySQL database and comparing returned results.

Arguments:
    predictions: a list of predicted sqls or a single predicted sql.
```

```
sqls: a list of gold sql statements or a single gold sql.
             questions: a list of questions or a single question. Optional.
  Returns: accuracy.
  # Initial check for type of input
  sqls = [sqls] if not isinstance(sqls, (list)) else sqls
  predictions = [predictions] if not isinstance(predictions, (list)) else_
→predictions
  if questions is not None:
       questions = [questions] if not isinstance(questions, (list)) else questions
  else:
       questions = ['N/A',] * len(sqls)
  # Connect to remote database
       conn = mysql.connector.connect(host='54.202.209.190', user='CS187', user
→password='007')
  except mysql.connector.Error as err:
       if err.errno == errorcode.ER_ACCESS_DENIED_ERROR:
            print("Something is wrong with your user name or password")
       else:
            print(err)
  c = conn.cursor()
  c.execute('USE atis;')
   # Evaluate each query and compare results
  correct = 0
  total = len(sqls)
  for gold_sql, predicted_sql, question in zip(sqls, predictions, questions):
       is_correct = True
       if len(predicted_sql) == 0:
             is correct = False
       else:
             # Execute predicted sql
            try:
                  c.execute(predicted_sql)
                 predicted_ret = c.fetchall()
             except Exception as e:
                 predicted_ret = 'Syntax Error!'
             # Execute gold sql
            try:
                 c.execute(gold_sql)
                 gold_ret = c.fetchall()
             except Exception as e:
                 gold_ret = 'Syntax Error!'
```

```
if gold_ret == predicted_ret:
      correct += 1
    else:
      is_correct = False
  if not is_correct:
    print (f"\nINCORRECT!")
    print (f"Question: {question}")
    print (f"Gold SQL: {gold_sql}")
    if len(predicted sql) > 0:
      print (f"Gold Result: {gold ret}")
    print (f"Predicted SQL: {predicted_sql}")
    if len(predicted_sql) > 0:
      print (f"Predicted Result: {predicted ret}")
conn.commit()
c.close()
conn.close()
return correct/total
```

To make development faster, we recommend starting with a few examples before running the full evaluation script.

```
tree.pretty_print()
    predicted_sql = eval_S(tree)
    print (f"Accuracy: {evaluate accuracy(predicted_sql, gold_sql, question)}")
[]:  # Example 3
    question = 'what flights are departing from houston or austin leaving at 7am ∪
     ⇒sunday'
    gold_sql = "SELECT DISTINCT flight.flight_id FROM flight WHERE TRUE AND TRUE AND_
     →((flight.from airport IN (SELECT airport_service.airport_code FROM_
     →airport_service WHERE airport_service.city_code IN (SELECT city.city_code_
     →FROM city WHERE city.city_name = 'HOUSTON'))) OR (flight.from_airport IN_
     →(SELECT airport service.airport code FROM airport service WHERE
     →airport_service.city_code IN (SELECT city.city_code FROM city WHERE city.
     -city name = 'AUSTIN')))) AND (flight.departure time >= 630 AND flight.
     →departure_time <= 730) AND (flight.flight_days IN (SELECT days.days_code FROM_
     tree = parse_tree(question)
    tree.pretty_print()
    predicted sql = eval S(tree)
    print (f"Accuracy: {evaluate_accuracy(predicted_sql, gold_sql, question)}")
[]: # Example 4
    question = 'can i have a flight from san francisco that stops in dallas going to ...
     →new york arriving before 6pm'
    gold sql = "SELECT DISTINCT flight.flight id FROM flight WHERE TRUE AND (flight.
     ⇒from_airport IN (SELECT airport_service.airport_code FROM airport_service⊔
     →WHERE airport service.city code IN (SELECT city.city code FROM city WHERE
     →city.city_name = 'SAN FRANCISCO'))) AND (flight_stop.stop_airport IN (SELECT_
     →airport service.airport code FROM airport service WHERE airport service.
     →city_code IN (SELECT city.city_code FROM city WHERE city.city_name = 
     →'DALLAS'))) AND (flight.to_airport IN (SELECT airport_service.airport_code_
     →FROM airport_service WHERE airport_service.city_code IN (SELECT city.
     ⇒city_code FROM city WHERE city.city name = 'NEW YORK'))) AND (flight.

¬arrival_time <= 1800)"
</pre>
    tree = parse tree(question)
    tree.pretty_print()
```

Below is the full evaluation code. Note that you are required to get correct results on **at least** 25% of flight\_id type questions from the test set.

```
[]: questions = [] predictions = []
```

print (f"Accuracy: {evaluate\_accuracy(predicted\_sql, gold\_sql, question)}")

predicted\_sql = eval\_S(tree)

```
gold_sqls = []
for example in test_iter.dataset:
  # Input and output
  text_reversed = example.text_reversed
  question = ' '.join(reversed(text_reversed)) # detokenized question
  gold_sql = ' '.join(example.sql) # detokenized sql
  questions.append(question)
  gold sqls.append(gold sql)
  # Get parse tree
  tree = parse_tree(question)
  if tree is None:
    predictions.append('')
    continue
  # Predict
  try:
    predicted_sql = eval_S(tree)
  except Exception as e:
    predictions.append('')
    continue
 predictions.append(predicted_sql)
evaluate_accuracy(predictions, gold_sqls, questions)
```

# 1.4 End-to-End Seq2Seq Model

Nowadays neural networks dominate the field of NLP research. In this part, we investigate if it is possible to use an end-to-end system to directly learn the mapping from the natural language questions to the SQL queries.

## 1.4.1 Goal 2: Implement a seq2seq model

Model, Optimization and Decoding For the sequence-to-sequence model, you need to implement the class EncoderDecoder. We have provided starter code for performing optimization, but there are at least five methods that you need to implement:

- 1. \_\_init\_\_: an initializer where you can create network modules.
- 2. forward: given question word ids of size batch\_size X max\_length, question lengths of size batch\_size and SQL word ids batch\_size X max\_length\_sql, returns logits batch\_size X max\_length\_sql. Note that here the batch size can be greater than 1.
- 3. compute\_loss: computes loss by comparing output returned by forward to ground\_truth which stores the true SQL word ids.
- 4. evaluate\_ppl: evaluate the current model's perplexity on a given dataset iterator. Perplexity is defined as  $\exp(-\frac{\text{total log likelihood}}{\text{total number of words}})$ , which can be roughly understood as how many random guesses the model needs to make to get a word correct.

5. predict: Generates the target sequence (SQL) given the source sequence (question). Note that here you can assume the batch size to be always 1 for simplicity. Besides, you can use greedy decoding here, i.e., predicting the word with the highest probability at any time step, although in practice researchers use more complicated decoding methods such as beam search.

This implementation is essentially building an entire neural seq2seq system, so expect it to be very challenging. The code you write here can also be used for other seq2seq tasks such as machine translation and document summarization.

\*Hint: to handle source side paddings in torch, you can use somethine like packed\_src = pack(src, src\_lengths). To handle target side paddings, you can use ignore\_index when creating the loss function.

```
[ ]: #TODO
     class EncoderDecoder(nn.Module):
       def __init__(self, text, sql, embedding_size=512, hidden_size=512, layers=2,
                    dropout=0, bidirectional=False,
      →share_decoder_input_output_embeds=False,
                    add_encoder_out_to_decoder_input=False):
         11 11 11
         Initializer. Creates network modules and loss function. You do not need to
         implement all features as long as you can achieve 30%+ accuracy.
         Arguments:
             text: text field
             tag: sql field
             embedding_size: word embedding size
             hidden size: hidden layer size
             layers: number of layers
             dropout: dropout
             bidirectional: use bidirectional RNN cells
             share decoder input output embeds: if True, set the weight matrix of the
                 final projection layer to be the same as decoder word embeddings.
                 This reduces the number of parameters and is found to improve \sqcup
      \hookrightarrow performance.
                 See https://arxiv.org/pdf/1608.05859.pdf.
             add_encoder_out_to_decoder_input: if True, add encoder output to every
                 step of decoder input. This trick keeps the decoder from forgetting
                 encoder outputs as it decodes.
         11 11 11
         super(EncoderDecoder, self).__init__()
         self.text = text
         self.sql = sql
         # Keep the vocabulary sizes available
         self.V_src = len(text.vocab.itos)
         self.V_tgt = len(sql.vocab.itos)
         # Get special word ids or tokens
         self.padding_id_src = text.vocab.stoi[text.pad_token]
         self.padding_id_tgt = sql.vocab.stoi[sql.pad_token]
```

```
self.bos_id = sql.vocab.stoi[sql.init_token]
  self.eos_id = sql.vocab.stoi[sql.eos_token]
  self.eos_token = sql.eos_token
  # Keep parameters available
  self.embedding_size = embedding_size
  self.hidden_size = hidden_size
  self.layers = layers
  self.dropout = dropout
  self.share_decoder_input_output_embeds = share_decoder_input_output_embeds
  self.bidirectional = bidirectional
  self.add_encoder_out_to_decoder_input = add_encoder_out_to_decoder_input
  #TODO: implement this method
  # Create essential modules and loss function
  "your code here"
def forward(self, src_words, src_lengths, tgt_words):
  Performs forward computation, returns logits.
  Arguments:
      src_words: question batch of size batch_size X max_length
      src_lengths: question lengths of size batch_size
      tgt_words: sql batch of size batch_size X max_length
  11 11 11
  #TODO: implement this method
  "your code here"
  return logits
def compute_loss(self, logits, targets):
  Computes loss function with logits and target.
  Arguments:
      logits: tensor of size batch_size X max_length X V_tgt
      targets: tensor of size batch_size X max_length
  11 11 11
  #TODO: implement this method
  "your code here"
  return loss
def evaluate ppl(self, iterator):
  Returns the model's perplexity on a given dataset `iterator`. We will
  use it for model selection.
  11 11 11
  # Switch to eval mode
  self.eval()
```

```
#TODO: implement this method
 "your code here"
 return perplexity
def predict(self, src_words, src_lengths, max_tgt_length=200):
  Generates the target sequence (SQL) given the source sequence (question).
  You only need to implemnt greedy decoding, i.e., at each decoding step,
  find the word with the highest probability.
 Note that for simplicity, we only use batch size 1.
 Arguments:
      src_words: a tensor of size (max_length, 1) storing question word ids.
      src_lengths: a tensor of size (1) storing question length.
      max_tgt_length: at most proceed this many steps of decoding
  Returns:
      a string of the generated SQL.
  # Switch to eval mode
  self.eval()
  #TODO: implement this method
  "your code here"
 decoded = 'SELECT DISTINCE * FROM flight'
 return decoded
def fit(self, train_iter, val_iter, epochs=50, learning_rate=3e-4):
  """Train the model."""
  # Switch the module to training mode
 self.train()
  # Use Adam to optimize the parameters
 optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
 best_validation_ppl = float('inf')
 best_model = None
  # Run the optimization for multiple epochs
 for epoch in range(epochs):
   total_words = 0
   total_loss = 0.0
   for batch in tqdm(train_iter):
      # Zero the parameter gradients
     self.zero_grad()
      # Input and target
     text, text_lengths = batch.text_reversed # text: max_length_text, bsz
     sql = batch.sql # max_length_sql, bsz
     sql_in = sql[:-1] # Remove <eos> for decode input
      sql_out = sql[1:] # Remove <bos> as target
     batch_size = sql.size(1)
```

```
# Run forward pass and compute loss along the way.
  logits = self.forward(text, text_lengths, sql_in)
  loss = self.compute_loss(logits, sql_out)
  # Training stats
 num_sql_words = sql_out.ne(self.padding_id_tgt).float().sum().item()
  total words += num sql words
 total_loss += loss.item()
  # Perform backpropagation
  loss.div(batch size).backward()
  optim.step()
# Evaluate and track improvements on the validation dataset
validation_ppl = self.evaluate_ppl(val_iter)
self.train()
if validation_ppl < best_validation_ppl:</pre>
  best_validation_ppl = validation_ppl
  self.best_model = copy.deepcopy(self.state_dict())
epoch_loss = total_loss / total_words
print (f'Epoch: {epoch} Training Perplexity: {math.exp(epoch_loss):.4f} '
       f'Validation Perplexity: {validation_ppl:.4f}')
```

#### Solution

```
[]: #Solution
     class EncoderDecoder(nn.Module):
       def __init__(self, text, sql, embedding_size=512, hidden_size=512, layers=2,
                    dropout=0, bidirectional=False,
      →share_decoder_input_output_embeds=False,
                    add_encoder_out_to_decoder_input=False):
         Initializer. Creates network modules and loss function. You do not need to
         implement all features as long as you can achieve 30%+ accuracy.
         Arguments:
             text: text field
             tag: sql field
             embedding size: word embedding size
             hidden_size: hidden layer size
             layers: number of layers
             dropout: dropout
             bidirectional: use bidirectional RNN cells
             share_decoder_input_output_embeds: if True, set the weight matrix of the
                 final projection layer to be the same as decoder word embeddings.
                 This reduces the number of parameters and is found to improve \sqcup
      \hookrightarrow performance.
                 See https://arxiv.org/pdf/1608.05859.pdf.
```

```
add encoder out to decoder input: if True, add encoder output to every
        step of decoder input. This trick keeps the decoder from forgetting
        encoder outputs as it decodes.
super(EncoderDecoder, self).__init__()
self.text = text
self.sql = sql
# Keep the vocabulary sizes available
self.V src = len(text.vocab.itos)
self.V_tgt = len(sql.vocab.itos)
# Get special word ids or tokens
self.padding_id_src = text.vocab.stoi[text.pad_token]
self.padding_id_tgt = sql.vocab.stoi[sql.pad_token]
self.bos_id = sql.vocab.stoi[sql.init_token]
self.eos_id = sql.vocab.stoi[sql.eos_token]
self.eos_token = sql.eos_token
# Keep parameters available
self.embedding_size = embedding_size
self.hidden_size = hidden_size
self.layers = layers
self.dropout = dropout
self.share_decoder_input_output_embeds = share_decoder_input_output_embeds
self.bidirectional = bidirectional
self.add_encoder_out_to_decoder_input = add_encoder_out_to_decoder_input
# Create essential modules
self.word_embeddings_src = nn.Embedding(self.V_src, embedding_size)
self.word_embeddings_tgt = nn.Embedding(self.V_tgt, embedding_size)
self.dropout_layer = nn.Dropout(dropout)
# RNN cells
self.encoder_rnn = nn.LSTM(
  input_size = embedding_size,
 hidden_size = hidden_size//2 if bidirectional else hidden_size,
 num_layers = layers,
 dropout
             = dropout,
 bidirectional = bidirectional
self.decoder rnn = nn.LSTM(
  input_size = embedding_size,
 hidden_size = hidden_size,
 num_layers = layers,
 dropout
             = dropout,
)
# Final projection layer
```

```
self.hidden2output = nn.Linear(hidden_size, self.V_tgt)
   if share_decoder_input_output_embeds:
     self.hidden2output.weight = self.word_embeddings_tgt.weight
   # Create loss function
   self.loss_function = nn.CrossEntropyLoss(reduction='sum',
                                             ignore_index=self.padding_id_tgt)
 def encode(self, src words, src lengths):
   """Encode source words into a vector"""
   # Compute word embeddings
   src = self.word_embeddings_src(src_words) # max_len, bsz, embedding_size
   if isinstance(src lengths, torch.LongTensor) \
           or isinstance(src_lengths, torch.cuda.LongTensor):
     src_lengths = src_lengths.tolist()
   # Deal with paddings
   packed_src = pack(src, src_lengths)
   # Forward RNN and return final state
   encoder_out = self.encoder_rnn(packed_src)[-1] # num_layers*num_directions,_
→bsz, hidden_size/num_directions
   # Reshape encoder out for bidirectional case
   if self.bidirectional:
     batch_size = len(src_lengths)
     h, c = encoder_out
     h = h.view(-1, 2, batch_size, self.hidden_size//2) \
          .transpose(1, 2) \
          .contiguous().view(-1, batch_size, self.hidden_size) # num_layers,_
⇔bsz, hidden_size
     c = c.view(-1, 2, batch_size, self.hidden_size//2) \
          .transpose(1, 2) \setminus
          .contiguous().view(-1, batch_size, self.hidden_size) # num_layers,_
\hookrightarrow bsz, hidden size
     encoder_out = (h, c)
   return encoder_out
 def decode(self, tgt_words, encoder_out, feed_decoder_input):
   """Decode based on encoder output"""
   # Compute word embeddings
   tgt = self.word_embeddings_tgt(tgt_words) # len, bsz, hidden
   # Optionally add feed_decoder_input to every step
   if feed_decoder_input is not None: # bsz, hidden
     tgt = tgt + feed_decoder_input.unsqueeze(0) # unsqueeze to 1, bsz, hidden
   # Forward decoder RNN and return all hidden states
   return self.decoder_rnn(tgt, encoder_out)[0]
 def forward(self, src_words, src_lengths, tgt_words):
```

```
Performs forward computation, returns logits.
  Arguments:
       src_words: question batch of size batch_size X max_length
       src_lengths: question lengths of size batch_size
       tgt_words: sql batch of size batch_size X max_length
   # Forward encoder
  encoder_out = self.encode(src_words, src_lengths) # tuple of (h_final,_
\rightarrow c final)
  if self.share_decoder_input_output_embeds:
     # h_final/c_final size: num_layers, bsz, hidden_size
     # We only take the last layer to match shape of decoder inputs
     feed_decoder_input = encoder_out[0][-1] + encoder_out[1][-1] # bsz,__
\rightarrowhidden_size
  else:
    feed_decoder_input = None
   # Forward decoder
  decoder_out = self.decode(tgt_words, encoder_out, feed_decoder_input)
   # Final projection to target vocabulary
  logits = self.hidden2output(self.dropout_layer(decoder_out))
  return logits
def compute_loss(self, logits, targets):
   Computes loss function with logits and target.
  Arguments:
       logits: tensor of size batch size X max length X V tqt
       targets: tensor of size batch_size X max_length
  return self.loss_function(logits.view(-1, self.V_tgt), targets.view(-1))
def evaluate_ppl(self, iterator):
   """Returns the model's perplexity on a given dataset `iterator`."""
   # Switch to eval mode
   self.eval()
  total loss = 0
  total_words = 0
  for batch in iterator:
     # Input and target
    text, text_lengths = batch.text_reversed
     sql = batch.sql # max_length_sql, bsz
     sql_in = sql[:-1] # remove <eos> for decode input
     sql_out = sql[1:] # remove <bos> as target
     # Forward to get logits
     logits = self.forward(text, text_lengths, sql_in)
     # Compute cross entropy loss
     loss = self.compute_loss(logits, sql_out)
```

```
total_loss += loss.item()
     total_words += sql_out.ne(self.padding_id_tgt).float().sum().item()
   return math.exp(total_loss/total_words)
 def predict(self, src_words, src_lengths, max_tgt_length=200):
   Generates the target sequence (SQL) given the source sequence (question).
   You only need to implemnt greedy decoding, i.e., at each decoding step,
   find the word with the highest probability.
   Note that for simplicity, we only use batch size 1.
   Arguments:
       src_words: a tensor of size (max_length, 1) storing question word ids.
       src_lengths: a tensor of size (1) storing question length.
       max_tgt_length: at most proceed this many steps of decoding
   Returns:
       a string of the generated SQL.
   # Switch to eval mode
   self.eval()
   # Forward encoder
   encoder_out = self.encode(src_words, src_lengths) # tuple of (h_final,__
\hookrightarrow c final)
   if self.share_decoder_input_output_embeds:
     # h_final/c_final size: num_layers, bsz, hidden_size
     # We only take the last layer to match shape of decoder inputs
     \texttt{feed\_decoder\_input} = \texttt{encoder\_out[0][-1]} + \texttt{encoder\_out[1][-1]} \ \# \ bsz,_{\sqcup}
\hookrightarrow hidden_size
   else:
     feed_decoder_input = None
   batch_size = src_words.size(1)
   # Create initial decoder input
   initial_words = torch.zeros(1, batch_size, device=device).fill_(self.
→bos id).long()
   decoder_input = self.word_embeddings_tgt(initial_words) # 1, bsz,_u
\rightarrow embedding_size
   hidden = encoder_out # initialize decoder hidden state
   decoded = [] # stores partial decoding results
   # Forward one step at a time
   for _ in range(max_tgt_length):
     # Forward decoder for one step
     if self.add_encoder_out_to_decoder_input:
       decoder_input = decoder_input + feed_decoder_input.unsqueeze(0)
     output, hidden = self.decoder_rnn(decoder_input, hidden)
     # Forward final projection
```

```
logits = self.hidden2output(self.dropout_layer(output)).squeeze(0) # bsz,__
\rightarrow vocab
     # Take argmax to find the most probable word
     current words = logits.argmax(1) # bsz
     # Set next step decoder inputs
    words = current words.view(1, -1)
    decoder_input = self.word_embeddings_tgt(words)
     # Break if eos is encountered
    if current_words.item() == self.eos_id:
       break
     # Find the tokens
     decoded.append(self.sql.vocab.itos[current_words.item()])
  return ' '.join(decoded)
def fit(self, train_iter, val_iter, epochs=10, learning_rate=3e-4):
   """Train the model."""
   # Switch the module to training mode
  self.train()
   # Use Adam to optimize the parameters
  optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
  best validation ppl = float('inf')
  best model = None
   # Run the optimization for multiple epochs
  for epoch in range(epochs):
    total_words = 0
    total_loss = 0.0
    for batch in tqdm(train_iter):
       # Zero the parameter gradients
      self.zero_grad()
       # Input and target
      text, text_lengths = batch.text_reversed # text: max_length_text, bsz
      sql = batch.sql # max_length_sql, bsz
      sql_in = sql[:-1] # Remove <eos> for decode input
      sql_out = sql[1:] # Remove <bos> as target
      batch_size = sql.size(1)
       # Run forward pass and compute loss along the way.
      logits = self.forward(text, text_lengths, sql_in)
      loss = self.compute_loss(logits, sql_out)
       # Training stats
      num_sql words = sql_out.ne(self.padding_id_tgt).float().sum().item()
       total_words += num_sql_words
      total_loss += loss.item()
       # Perform backpropagation
```

```
loss.div(batch_size).backward()
    optim.step()

# Evaluate and track improvements on the validation dataset

validation_ppl = self.evaluate_ppl(val_iter)

self.train()

if validation_ppl < best_validation_ppl:
    best_validation_ppl = validation_ppl

self.best_model = copy.deepcopy(self.state_dict())

epoch_loss = total_loss / total_words

print (f'Epoch: {epoch} Training Perplexity: {math.exp(epoch_loss):.4f} '
    f'Validation_Perplexity: {validation_ppl:.4f}')</pre>
```

After implementing the EncoderDecoder class, you can use the below script to create the model and kick off training. You are free to tune the hyperparameters.

```
[]: EPOCHS = 10 # epochs, we highly recommend starting with a smaller number like 1
     LEARNING_RATE = 3e-4 # learning rate
     # Instantiate and train classifier
     model = EncoderDecoder(TEXT, SQL,
       embedding size = 1024,
      hidden size = 1024,
                    = 0.1,
      dropout
      layers
                    = 3,
      bidirectional = True,
      share_decoder_input_output_embeds = True,
      add_encoder_out_to_decoder_input = True,
     ).to(device)
     model.fit(train_iter, val_iter, epochs=EPOCHS, learning_rate=LEARNING_RATE)
     model.load_state_dict(model.best_model)
     # Evaluate model performance, the expected value shall be < 1.3
     # We use validation set because this particular test set has a different,
     \rightarrow distribution
     print (f'Validation perplexity: {model.evaluate_ppl(val_iter):.3f}')
```

**Evaluation** Now we are ready to run the full evaluation. For seq2seq, a proper implementation should reach at least 30% accuracy.

```
text_reversed_str = example.text_reversed
question = ' '.join(list(reversed(text_reversed_str))) # detokenized question
gold_sql = ' '.join(example.sql) # detokenized sql
questions.append(question)
gold_sqls.append(gold_sql)
# Predict
text, text_lengths = TEXT.process([text_reversed_str])
text = text.to(device)
text_lengths = text_lengths.to(device)
prediction = model.predict(text, text_lengths)
print (prediction)
predictions.append(prediction)
```

#### 1.5 Discussion

#### 1.5.1 Goal 3: Compare the pros and cons of rule-based and neural approaches.

Compare the pros and cons of both approaches with relevant examples from your experiments above. Concerning the accuracy, which approach would you choose to be used in a product? Explain.

**Solution** For rule-based semantic parsing, as long as the written semantic rules consider all possible cases (which is a nontrivial task), it can solve this task nicely. We list some pros and cons of this approach, but our answer is by no means exhaustive.

Pros \* Clearly interpretable. When the system makes a mistake we can easily pinpoint where the problem is, and write more rules to fix it. \* Robust. For the cases that we considered, even if at test time there are examples with many constraints, the generated SQL would still be correct. \* Low sample complexity. Developing the semantic rules does not need thousands of examples. We are very good at generalization and we only used dozens of examples to write those rules in the solution.

Cons \* High develop cost. It is a lot of work to develop those semantic rules. \* Poor transferability. For a new domain such as question answering in wikipedia, we need to develop a new set of rules to make this method work.

For the end-to-end seq2seq approach, as long as we have enough data (which is not always the case in reality), enough model capacity (limited by hardware and time), and if the test domain is similar to the training domain, then the approach would be expected to work well. Below lists some of its pros and cons.

Pros \* High performance. With enough training data, this approach performs well as evidenced by this project. \* Low develop cost. Developing the seq2seq model is much easier compared to writing semantic rules and does not require linguistic background. \* High transferability if we have training data. For a new domain, as long as we have enough training instances, we can train the same model on the new training set to solve the problem. However, we do want to note that without training anew the model trained on one domain is unlikely to work on another.

Cons \* Poor interpretability. When the model makes a mistake, there is no easy way of fixing it. The best we can do is to collect more data similar to the broken ones and add to the training set. \* High sample complexity. We need a huge training set to make this approach work. There's no way it'd work using dozens of training examples. \* Sensitive. By sensitive we meant if training set only contains compositions up to a certain level, then at test time the trained model is unlikely to work on any instance with a higher number of compositions. If we trained on sentences with length up to 100, then at test time it cannot work on sentences of length 150.

Best approach: If we only care about performance, it is most natural to select the seq2seq approach due to its higher performance. Though depending on the results from precision and recall, it may be best to choose the approach with the best precision scores when applying the approach for customer use (take in the case of Alexa).