```
In []: # Initialize Otter
import otter
grader = otter.Notebook()

In []: # Please do not change this cell because some hidden tests might depend on i
import os

# Only install packages if not in cs187-env
if 'cs187-env' not in os.environ.get('CONDA_PREFIX', ''):
    import subprocess
    import sys
    subprocess.run([sys.executable, '-m', 'pip', 'install', '-q', '-r', 'rec check=True)
```

As you've seen, a typical pipeline for NLP applications based on supervised machine learning involves several standard components:

- 1. Loading of annotated textual corpora.
- 2. Tokenization and normalization of the text.
- 3. Distributing instances into subcorpora, for instance, training, development, and test corpora.
- 4. Training of models on training data, using development data for model selection.
- 5. Evaluation of the models on test data.

Rather than recapitulate all of these component tasks for each application, standard packages have been developed to facilitate them. In order to facilitate your own experimentation, it's time to make use of some of these packages to scale up your ability to build and test models. That is the subject of this lab.

Huggingface is a supplier of open tools for a variety of machine learning applications. Huggingface's datasets and tokenizers libraries provide a uniform system for establishing dataset objects that contain multiple examples, each of which may have multiple features. These libraries provide functions to preprocess, tokenize, or postprocess the data. Dataset objects can be easily split into parts (training and test, for instance), or turned into a sequence of small batches for processing by models. Most importantly, as you will see in future labs, these data loading libraries can be easily integrated with Huggingface's transformers library which is widely used in NLP applications these days.

This lab provides an introduction to using datasets, tokenizers, and PyTorch in preparation for its appearance in later labs and homework problem sets.

After this lab, you should be able to

- Read data loading code implemented using datasets and tokenizers and understand what it is intending to accomplish.
- Run experiments training and testing simple feed-forward neural networks using PyTorch.

New bits of Python used for the first time in the distribution version of this lab include:

- Datasets
 - datasets.load dataset
 - datasets.Dataset
 - datasets.Dataset.shuffle
 - datasets.Dataset.train_test_split
 - datasets.Dataset.add_column
 - datasets.Dataset.map
 - datasets.Dataset.class_encode_column
 - datasets.Dataset.align_labels_with_mapping
- Tokenizers
 - tokenizers.Tokenizer
 - tokenizer.Tokenizer.encode
 - tokenizer.Tokenizer.decode
 - tokenizer.Tokenizer.get_vocab
 - tokenizer.Tokenizer.train_from_iterator
 - tokenizer.processors.TemplateProcessing
- Transformers
 - transformers.PreTrainedTokenizerFast
- Torch
 - torch.utils.data.DataLoader
 - torch.nn.Module.eval
 - torch.nn.Linear
 - torch.nn.Sigmoid
 - torch.distributions.normal.Normal
 - torch.distributions.uniform.Uniform
- tqdm.tqdm (for generating progress bars)

New bits of Python used for the first time in the *solution set* for this lab, and which you may therefore find useful:

- torch.Tensor.backward
- torch.optim.Optimizer.step

Preparation – Loading packages and data {-}

```
In [ ]: import copy
        import math
        import random
        import matplotlib.pyplot as plt
        import os
        import re
        import sys
        import warnings
        import wget
        import csv
        import torch
        import torch.distributions as ds
        import torch.nn as nn
        import torch.nn.functional as F
        import datasets
        from copy import deepcopy
        from datasets import load dataset
        from tokenizers import Tokenizer
        from tokenizers.pre_tokenizers import Whitespace
        from tokenizers.processors import TemplateProcessing
        from tokenizers import normalizers
        from tokenizers.models import WordLevel
        from tokenizers.trainers import WordLevelTrainer
        from transformers import PreTrainedTokenizerFast
        from torch import optim
        from tqdm.notebook import tqdm
```

```
In []: # Set up plotting
plt.style.use('tableau-colorblind10')

# Fix random seed for replicability
random_seed = 1234
random.seed(random_seed)
torch.manual_seed(random_seed)

## GPU check
device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
print(device)
```

Manipulating text corpora with datasets

You'll use Huggingface's datasets to load the Green Eggs and Ham (GEaH) dataset.

We start with reading in the data and performing some ad hoc cleaning (removing comment lines and blank lines).

```
In [ ]: def strip_file(text):
             """strip #comments and empty lines from `text` string"""
             result = ""
             for line in text.split("\n"):
                 line = line.strip()
                                                   # trim whitespace
                 line = re.sub('#.*$', '', line) # trim comments
                 if line != '':
                                                   # drop blank lines
                     result += line + '\n'
             return result
        # Read the GEaH data and write out a corresponding CSV file
        os.makedirs('data', exist_ok=True)
        wget.download("https://github.com/nlp-course/data/raw/master/Seuss/seuss - 1
        with open('data/seuss - 1960 - green eggs and ham.txt', 'r') as fin:
    with open('data/geah.csv', 'w') as fout:
                 writer = csv.writer(fout)
                 writer.writerow(('label','text'))
                 text = strip file(fin.read())
                 for line in text.strip().split('\n'):
                     label, text = line.split('\t')
                     writer.writerow((label, text))
```

Constructing training and test datasets

Take a look at the first few lines of the file <code>geah.csv</code> , which we've just processed and placed into the sibling <code>data</code> folder.

```
In [ ]: print('\n'.join(open('data/geah.csv').read().splitlines()[:10]))
```

Notice the structure of this corpus. Each line contains a sentence from the book, preceded by a label that provides the speaker of that sentence. The speaker and sentence are separated by a comma. The data is thus set up properly for using Huggingface's datasets.load_dataset using its "CSV" (comma-separated values) format.

Now, you can set up the dataset using <code>load_dataset</code> . It should look for the CSV data in the file <code>data/geah.csv</code>, and should pass the argument <code>split='all'</code> such that a <code>dataset.Dataset</code> object instead of <code>dataset.DatasetDict</code> is returned. We'll call the dataset <code>geah</code> .

```
In [ ]: grader.check("dataset_setup")
```

We can see that the loaded dataset has two features: label and text.

```
In [ ]: geah
```

We can access examples from this dataset simply by indexing it similar to how we index a Python list.

```
In []: # The first example
geah[0]
```

```
In []: # The second to the fifth examples
  geah[1:5]
```

All dataset.Dataset objects have a train_test_split method that splits the dataset into two pieces, for instance, to have a separate training and test set. Use the train_test_split method to generate a 70%/30% split of the GEaH corpus into two subsets called train and test. You should pass seed=random_seed to make sure the splitting is deterministic and to pass the unit tests.

```
In [ ]: grader.check("dataset_split")
```

For the label feature, we can convert it to integer label ids to facilitate processing it with models such as neural networks using datasets.Dataset.class_encode_column.

```
In []: train = train.add_column('label_id', train['label'])
   test = test.add_column('label_id', test['label'])
   train = train.class_encode_column('label_id')

label2id = train.features['label_id']._str2int
   test = test.class_encode_column('label_id')
   test = test.align_labels_with_mapping(label2id, "label_id")
```

The new feature label_id stores the label ids.

```
In []: train[1:5]
```

Tokenization

Now let's turn our attention to text features. As we have explored in lab 1-1, text can be represented as a sequence of integer word ids. In Huggingface datasets, we need to create a tokenizer which both specifies how to tokenize text into a sequence of tokens and also internally maintains a *vocabulary* which establishes the mapping from types to indices. We will use the training corpus to establish the vocabulary.

```
In []: unk_token = '[UNK]' # a token representing unknown (out-of-vocabulary) token
pad_token = '[PAD]' # a padding token

# Instantiate a tokenizer
tokenizer = Tokenizer(WordLevel(unk_token=unk_token)) # WordLevel is a simple # that doesn't split
tokenizer.pre_tokenizer = Whitespace() # pre-tokenizer split
tokenizer.normalizer = normalizers.Lowercase() # normalizer lowercas

# Build the vocabulary from training data
trainer = WordLevelTrainer(special_tokens=[pad_token, unk_token]) # trainer
# building
tokenizer.train_from_iterator(train['text'], trainer=trainer)
```

Let's try out the tokenizer on some examples.

A digression: Postprocessing

In future labs we will also use tokenizer.post_processor which can be used to post-process the tokenized text. In the following example we will add exclamation points ! in the beginning and end of the tokenized text using TemplateProcessing.

```
print (f'word ids: {encoded.ids}')
print (f'converted back: {post_processing_tokenizer.decode(encoded.ids, skip...)
```

With a tokenizer, we can convert text strings into integer word ids using datasets.Dataset.map. But first we need to wrap tokenizer with the transformers.PreTrainedTokenizerFast class.

transformers.PreTrainedTokenizerFast provide a convenient interface for tokenizers that are compatible with PyTorch tensors.

This hf_tokenizer takes in a text string and converts it to a sequence of word ids stored in input_ids of the returned dictionary. (You can ignore token_type_ids and attention mask for now.)

```
In []: text = 'I am Sam.'
hf_tokenizer(text)
```

We can use the hf_tokenizer to get a list of tokens.

```
In [ ]: hf_tokenizer.tokenize(text)
```

hf_tokenizer can also be used to decode some encoded text.

```
In []: encoded_text = hf_tokenizer(text).input_ids
    decoded_text = hf_tokenizer.decode(encoded_text)
    decoded_tokens = hf_tokenizer.convert_ids_to_tokens(encoded_text)
    print(f"text: {text}")
    print(f"encoded_text: {encoded_text}")
    print(f"decoded_text: {decoded_text}")
    print(f"decoded_token_list: {decoded_tokens}")
```

Note that <a href="https://https://https://html.nc.nlm.nc.

```
In []: print(f"hf_tokenizer.unk_token: {hf_tokenizer.unk_token}")
    print(f"hf_tokenizer.unk_token_id: {hf_tokenizer.unk_token_id}")
    print(f"hf_tokenizer.pad_token: {hf_tokenizer.pad_token}")
    print(f"hf_tokenizer.pad_token_id: {hf_tokenizer.pad_token_id}")
    print(f"hf_tokenizer.get_vocab():\n {hf_tokenizer.get_vocab()}")
    print(f"len(hf_tokenizer) == len(hf_tokenizer.get_vocab()): {len(hf_tokenizer)}
```

Now we can convert the training and test sets into word ids using datasets.Dataset.map.

```
In []: def encode(example):
    return hf_tokenizer(example['text'])
```

```
train = train.map(encode)
test = test.map(encode)
```

Let's take a look at one example from the mapped dataset. The new feature input_ids contain the word ids.

```
In []: train[0]
```

Again, we can view several examples at once.

```
In []: train[1:5]
```

The text and label features now have vocabularies that maps the elements of the vocabulary to integer index representations of the elements, accessible via the below code.

```
In []: text_vocab = tokenizer.get_vocab()
    label_vocab = train.features['label_id']._str2int

In []: label_vocab

In []: text_vocab
```

How many elements are there in these vocabularies? You can use the len function to find out.

Operations over datasets

We now have training and test datasets. You can experiment with the kinds of operations you'll need to do to implement models like Naive Bayes or logistic regression.

For instance, you can inspect an example from the dataset.

You might also need to iterate over the different class labels (label_vocab) or the word types (text_vocab). Define a function that iterates over a vocabulary and prints

each one out in alphabetical order along with their corresponding ids like this:

```
GUY: 0
SAM: 1

In []: #TODO: print out vocabulary in alphabetical order with ids
def print_vocab(vocab):
...

In []: grader.check("print vocab")
```

We can use the print_vocab function to print out the different class labels.

```
In [ ]: print_vocab(label_vocab)
```

Similarly, we can also print out text_vocab.

>>> print_vocab(label_vocab)

```
In [ ]: print_vocab(text_vocab)
```

Other simple calculations that will be useful in implementing the various models:

- 1. Counting how many instances there are in a dataset.
- 2. Counting how many instances of a certain class there are in a dataset.
- 3. Counting how many tokens of a certain type there are in the text of an instance.

Let's write functions for these. They'll come in handy in the first problem set.

Recall that the purpose of these tokenizer objects is to map back and forth between strings and word ids. Below provides an example of how to do that.

We can also do the conversion for a batch of examples, which will be very useful in future labs when we take advantage of the parallelism provided by parallel hardware such as GPUs.

You might have noticed that in the above example, different sentences are of different lengths. This makes it hard for processing on parallel hardware, and we need to *pad* all sentences to the length of the longest sentence in each batch before converting them to tensors, as shown below. (You will see the below code in project 1 and in future labs.)

```
In [ ]: pad id = hf tokenizer.pad token id
        BATCH_SIZE = 32
        # Defines how to batch a list of examples together
        def collate fn(examples):
            batch = {}
            bsz = len(examples)
            label ids = []
            for example in examples:
                label_ids.append(example['label_id'])
            label batch = torch.LongTensor(label ids).to(device)
            input ids = []
            for example in examples:
                input ids.append(example['input ids'])
            max_length = max([len(word_ids) for word_ids in input_ids])
            text_batch = torch.zeros(bsz, max_length).long().fill_(pad_id).to(device
            for b in range(bsz):
                text_batch[b][:len(input_ids[b])] = torch.LongTensor(input_ids[b]).t
            batch['label ids'] = label batch
            batch['input_ids'] = text_batch
            return batch
        train_iter = torch.utils.data.DataLoader(train, batch_size=BATCH_SIZE, colla
        test_iter = torch.utils.data.DataLoader(test, batch_size=BATCH_SIZE, collate
```

Let's look at a single batch from one of these iterators. Each sentence is the same length, with padding as needed with the PAD token, which has id 0.

```
In [ ]: next(iter(train_iter))
```

Training and testing with PyTorch

Past labs have shown that all of the detail about

- establishing models and their parameters,
- using them to calculate the outputs for some inputs,
- training them to optimize the parameters via stochastic gradient descent, and
- evaluating them by testing on held-out data

is tedious to manage. Fortunately, it is also so formulaic, at least for a certain class of models, that general tools can be deployed to manage the process. In the remainder of this lab, you'll use one such tool, PyTorch. For simplicity, rather than a natural-language task, you'll be training a model to fit a curve; it has an especially simple structure: one scalar input and one scalar output.

Generating training and test data

We start by generating some training and test data. The data is generated as a noisy sine function, calculated by the function sinusoid. (Here we make use of the PyTorch distributions package, which was imported above as ds.)

```
def sinusoid(x, amplitude=1., phase=0., frequency=1., noise=1e-5):
    """Returns the values on input(s) `x` of a sinusoid determined by `ampli
    `phase`, and angular `frequency`, with some added normal noise with v
        given by `noise`."""
    normal_noise = ds.normal.Normal(torch.tensor([0.0]), torch.tensor([noise
        noise_sample = normal_noise.sample(x.size()).view(-1)
        y = amplitude * torch.sin(x * frequency + phase) + noise_sample
        return y
```

We can generate data for training and testing by sampling this function.

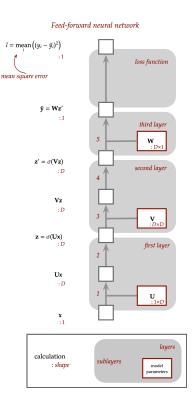
```
In []: def sample_input(func, count, bound, **kwargs):
    """Returns `count` samples of x-y pairs of function `func`, with the x
        values sampled uniformly between +/-`bound`. The `kwargs` are passed
        on to `func`."""
    input_unif = ds.uniform.Uniform(-bound, +bound)
    x = input_unif.sample(torch.Size([count]))
    y = func(x, **kwargs)
    return x, y
```

To give a sense of what a data sample looks like, we plot a sample of 100 points.

```
In []: def plot_sample(data):
    """Plots `data` given as a single pair of inputs and outputs."""
    X, Y = data
    plt.plot(X.tolist(), Y.tolist(), '.')
    plt.xlabel('Input')
    plt.ylabel('Output')
    # we cannot use plt.show() because otter-grader does not support it
In []: plot_sample(sample_input(sinusoid, 100, 5, noise=0.1))
```

Specifying a feed-forward neural network

The model that we will train to predict the output of this function based on a sample will consist of a series of sublayers as depicted in the figure at right. At the bottom of the figure, we start with \mathbf{x} , the scalar input (of dimensionality 1 as shown in the "shape" designation). The first layer is a perceptron layer, composed of a linear sublayer (with weights \mathbf{U}) followed by a sigmoid sublayer. Since **U** is of dimensionality $1 \times D$, the output is a vector of dimensionality D. (We refer to D as the *hidden* dimension.) Then comes another perceptron layer with input and output each of dimensionality D. Finally, a single linear layer reduces the dimensionality back to the predicted scalar output \tilde{y} of dimensionality 1. The loss is calculated as the mean square error of \tilde{y} relative to y. (In this case, taking the mean for a single example is irrelevant, since y is a scalar, though when training in "batches", the mean would be taken over the batch.)



We define a class **FFNN** (**f**eed-**f**orward **n**eural **n**etwork), which inherits from the nn.Module class, PyTorch's class for neural network models. It takes an argument $hidden_dim$ which is the size of the hidden layers, D in the figure.

The parameters of this model – the values that will be adjusted to minimize the loss – are the elements of the tensors \mathbf{U} , \mathbf{V} , and \mathbf{W} . They don't appear explicitly in the code, but are PyTorch *parameters* created and tracked when the corresponding sublayers are created using $\begin{array}{c} \mathbf{nn.Linear} \end{array}$. That's the wonder of using PyTorch – so much happens under the hood. But we can get access to the parameters because the $\begin{array}{c} \mathbf{nn.Module} \end{array}$ class provides a $\begin{array}{c} \mathbf{parameters} \end{array}$ method that returns an iterator over the parameters. (We use it to initialize the parameters to random values.)

```
In [ ]: class FFNN(nn.Module):
            def __init__(self, hidden_dim, init_low=-2, init_high=2):
                super().__init__()
                # dimensionality of hidden layers
                self.hidden dim = hidden dim
                # establishing the sublayers —— two perceptrons (each with a linear
                # layer and a sigmoid) and a final linear layer —— and their paramet
                self.sublayer1 = nn.Linear(1, hidden dim)
                self.sublayer2 = nn.Sigmoid()
                self.sublayer3 = nn.Linear(hidden_dim, hidden_dim) # V: D X D
                self.sublayer4 = nn.Sigmoid()
                self.sublayer5 = nn.Linear(hidden_dim, 1) # W: D X 1
                # initialize parameters randomly
                torch.manual seed(random seed)
                for p in self.parameters():
                    p.data.uniform_(init_low, init_high)
                # save a copy of the parameters to allow resetting
                self.init_state = copy.deepcopy(self.state_dict())
            # Resetting state: If you want to rerun a model, say, with a different
            # training regime, you can reset the model's parameter state using
               model.reset state()
            # before retraining, e.g.,
            # train_model(model, criterion, optim, train_data, n_epochs=50)
            def reset state(self):
                self.load_state_dict(self.init_state)
            def forward(self, x):
                # first perceptron layer
                z = self.sublayer2(self.sublayer1(x))
                # second perceptron layer
                z prime = self.sublayer4(self.sublayer3(z))
                # final linear layer
                return self.sublayer5(z_prime)
```

We can build a model by instantiating the **FFNN** class. We'll do so with a hidden dimension of 4, being careful to move the model with its parameters to the device we're using for calculations (a GPU if one is available, as on Google Colab).

```
In []: HIDDEN_DIMENSION = 4
model = FFNN(HIDDEN_DIMENSION).to(device)
```

We specify the criterion to be optimized as the mean square error loss function provided by PyTorch.

```
In [ ]: criterion = nn.MSELoss(reduction='mean')
```

Evaluating data according to a model

To evaluate how well the model performs on some test data, we run the model forward on the x values and compute the loss relative to the y values. We define a function $eval_model$ to carry out this calculation.

All that remains is training the model. We'll use one of PyTorch's built in optimizers, the Adam optimizer. We set a few parameters for the training process: the learning rate, the number of "epochs" (passes through the training data) to perform, and the number of examples to train on at a time (the "batch size").

```
In []: ## Parameters of the training regimen
    LEARNING_RATE = 0.003
    NUMBER_EPOCHS = 25
    BATCH_SIZE = 20

## Choices for optimizers:

# Stochastic Gradient Descent (SGD) optimizer
# optim = torch.optim.SGD(model.parameters(), lr=learning_rate)

# The Adam optimizer, as described in the paper:
# Kingma and Ba. 2014. Adam: A Method for Stochastic Optimization.
# [https://arxiv.org/abs/1412.6980]
    optim = torch.optim.Adam(model.parameters(), lr=LEARNING_RATE)
```

Training the parameters of a model

Finally, we get to the function to train the parameters of the model so as to best fit the predictions to the actual values. We've provided the code, except for a few lines that you'll need to provide (marked #T0D0), making use of some of the tools defined above. Those lines, which form the heart of the computation, calculate "forwards" to get the output predictions for the inputs, calculate the loss for those predictions, and calculate "backwards" the gradients of the loss for each of the parameters of the model. This sets up the optimizer to take a step of updating the parameters, making use of the calculated gradients to determine the direction to step. The saved gradients can then be zeroed and the process repeated.

Note: The code we're asking you to write is *tiny*. If you find yourself writing more than a short line of code per #T0D0 , you're missing something.

```
In [ ]: def train_model(model, criterion, optimizer, data,
                        n_epochs=NUMBER_EPOCHS, batch_size=BATCH_SIZE):
            """Optimizes the parameters of the `model` by minimizing the `criterion`
               on the training `data`, using the `optimizer` algorithm for updates."
                                              # Turn on training mode
            model.train()
            X, Y = data
            trainX len = len(X)
            loss_per_epoch = math.inf
            with tqdm(range(n_epochs)) as pbar:
                for epoch in pbar:
                    pbar.set_postfix(epoch=epoch+1, loss=loss_per_epoch)
                    loss_per_epoch = 0.
                    for batch_i in range(int(trainX_len/batch_size)):
                        optimizer.zero_grad() # new batch; zero the gradients of
                        # Input tensors and their corresponding output values for th
                        batch_X = (X[batch_i * batch_size
                                     : (batch_i+1) * batch_size] # extract examples
                                   .view(-1, 1)
                                                                # reshape to columr
                                                                # move to device
                                   .to(device)
                        batch Y = (Y[batch i * batch size)
                                     : (batch_i+1) * batch_size]
                                   .view(-1, 1)
                                   .to(device)
                        #TODO: Calculate predictions for the x values in this batch
                        predictions = ...
                        #TODO: Calculate the loss for the predictions
                        loss = ...
                        #TODO: Perform backpropagation to calculate gradients
                        # Update all parameters
                        optimizer.step()
                        loss_per_epoch += loss.item()
```

Putting it all together

Let's try it out. We start by generating some training and test data. The training data will be 10,000 samples of a noisy sinusoid. The test data, 100 samples from the same sinusoid, will be noise-free, so we can see how close the predictions are to noise-free outputs.

```
In []: train_data = sample_input(sinusoid, 10000, 5., frequency=1.5, noise=0.05)
   test_data = sample_input(sinusoid, 100, 5., frequency=1.5)
   plot_sample(train_data)
```

We train the model.

```
In [ ]: model.reset_state()
    train_model(model, criterion, optim, train_data)
```

...and test the trained model by evaluating it on the the test data.

```
In [ ]: loss, predictions = eval_model(model, criterion, test_data)
In [ ]: grader.check("model_reduces_loss")
```

We can see how well the model works by plotting the test data (circles) along with the predicted values (crosses).

```
In []: def visualize_predictions(data, predictions):
    X, Y = data

# Plot the actual output values
    plt.plot(X.tolist(), Y.tolist(), '.', label = 'Target Values')

# Plot the predicted output values
    predictions = predictions.view(-1, 1)
    plt.plot(X.tolist(), predictions.tolist(), 'x', label = 'Predictions')

plt.xlabel('Input')
    plt.ylabel('Output')
    plt.legend()
    # we cannot use plt.show() because otter-grader does not support it
```

```
In []: # Visualize the predictions
visualize_predictions(test_data, predictions)
```

Trying different models

Now that we have the infrastructure, try experimenting with different models. Here are a few things you might play with. (No need to try them all.) What happens if you change

the hidden dimension, increasing it to 8 or decreasing it to 2? What happens if you drop the middle layer? What about no middle layer but a much higher hidden dimension size? Does running for more epochs improve performance? Does the SGD optimizer work better or worse than the Adam optimizer?

Perform any experimentation in cells below this point, so you don't modify the cells above that are being unit tested.

Question: What conclusions have you drawn from your experimentation?

Type your answer here, replacing this text.

Lab debrief

Question: We're interested in any thoughts you have about this lab so that we can improve this lab for later years, and to inform later labs for this year. Please list any issues that arose or comments you have to improve the lab. Useful things to comment on include the following, but you're not restricted to these:

- Was the lab too long or too short?
- Were the readings appropriate for the lab?
- Was it clear (at least after you completed the lab) what the points of the exercises were?
- Are there additions or changes you think would make the lab better?

Type your answer here, replacing this text.

End of lab 1-5 {-}

To double-check your work, the cell below will rerun all of the autograder tests.

```
In [ ]: grader.check_all()
```