```
In []: # Initialize Otter
import otter
grader = otter.Notebook()
```

CS187

Lab 2-2-a – Sequence labeling with recurrent neural networks

```
In [ ]: # Please do not change this cell because some hidden tests might depend on i
        import os
        # Only install packages if not in cs187-env
        if 'cs187-env' not in os.environ.get('CONDA PREFIX', ''):
            import subprocess
            import sys
            subprocess.run([sys.executable, '-m', 'pip', 'install', '-q', '-r', 'rec
                           check=True)
In [ ]: # Graphviz verification
        print("Checking graphviz availability...")
        try:
            import graphviz
            print(f"√ graphviz Python package is available (version: {graphviz. ver
            # Test if graphviz executable is available
            try:
                test_graph = graphviz.Digraph()
                test_graph.node('A', 'Test')
                test_graph.render('test_graphviz', format='png', cleanup=True)
                print("/ graphviz executable is available and working")
                # Clean up test file
                try:
                    os.remove('test_graphviz')
                except:
                    pass
            except Exception as e:
                print(f"x graphviz executable not available or not working: {e}")
                print("You may need to install graphviz system package:")
                print(" - macOS: brew install graphviz")
                print(" - Ubuntu/Debian: sudo apt-get install graphviz")
                print(" - Windows: download from https://graphviz.org/download/")
        except ImportError:
            print("x graphviz Python package not found")
            print("Install with: pip install graphviz")
        print("Graphviz check complete.\n")
```

In this lab, you'll use Pytorch to implement an RNN that performs a useful application, punctuation restoration.

New bits of Python used for the first time in the *solution set* for this lab, and which you may therefore find useful:

- torch.clamp: restricts all elements of a tensor to a specific range
- torch.diag: creates a tensor with the given inputs as the diagonal
- torch.eye: creates an identity matrix
- torch.mv (typically invoked via the @ operator): matrix-vector multiplication
- torch.prod: takes the product of elements in a vector
- torch.T: returns the transpose of a tensor
- torch.zeros : creates a matrix of zeros

In []: import os

Preparation – Loading packages

```
import sys
        import torch
        import wget
        import math
        import torch.nn as nn
        import random
        import csv
        from datasets import load dataset
        from tokenizers import Tokenizer
        from tokenizers.models import WordLevel
        from tokenizers.pre tokenizers import WhitespaceSplit
        from tokenizers.trainers import WordLevelTrainer
        from transformers import PreTrainedTokenizerFast
        from collections import Counter
        from tqdm.notebook import tqdm
        import copy
In [ ]: # Script for visualizing computation graphs
        data_dir = "data/"
        sys.path.append(data dir)
        os.makedirs(data dir, exist ok=True)
        wget.download('https://raw.githubusercontent.com/nlp-course/data/master/scri
        from makedot import make dot
In [ ]: # Fix random seed for replicability
        SEED=1234
        random.seed(SEED)
        torch.manual seed(SEED)
```

```
In []: ## GPU check
    device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
    print(device)
```

Punctuation restoration as RNN sequence labeling

In this lab, you will use recurrent neural networks (RNNs) for sequence labeling.

We consider the task of *automatic punctuation restoration* from unpunctuated text, which is useful for post-processing transcribed speech from speech recognition systems (since we don't want users to have to utter all punctuation marks). We can formulate this task as a sequence labeling task, predicting for each word the punctuation that should follow. If there's no punctuation following the word, we use a special tag of the post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuated text, which is useful for post-processing transcribed speech from unpunctuation marks). We can formulate this task as a sequence labeling task, predicting for each word the punctuation that should follow. If there's no punctuation following the word, we use a special tag of the post-processing transcribed speech from unpunctuated text, which is useful for the processing transcribed speech from unpunctuation for the processing transcribed speech from the processing transcri

The dataset we use is the Federalist papers. We use text without punctuation as our input, and predict the punctuation following each word. An example constructed from the dataset looks like below, which correponds to the punctuated sentence the powers to make treaties and to send and receive ambassadors , speak their own propriety .

Token	Label
<bos></bos>	0
the	0
powers	0
to	0
make	0
treaties	0
and	0
to	0
send	0
and	0
receive	0
ambassadors	,
speak	0
their	0
own	0

```
Token Label propriety .
```

First, we load the data.

```
# processed data
for split in ['train', 'dev', 'test']:
    in_file = f'data/federalist_tag.{split}.txt'
   out_file = f'data/federalist_tag.{split}.csv'
   with open(in_file, 'r') as f_in:
        with open(out_file, 'w') as f_out:
            text, tag = [], []
            writer = csv.writer(f out)
            writer.writerow(('text', 'tag'))
            for line in f_in:
                if line.strip() == '':
                    writer.writerow((' '.join(text), ' '.join(tag)))
                    text, tag = [], []
                else:
                    token, label = line.split('\t')
                    text.append(token)
                    tag.append(label.strip())
```

Let's take a look at what each data file looks like.

```
In [ ]: print('\n'.join(open('data/federalist_tag.train.csv').read().splitlines()[:1
```

We'll use the HuggingFace datasets package to further prepare the data.

```
In []: # Split out the training, validation, and test sets
    train_data = federalist_dataset['train']
    val_data = federalist_dataset['val']
    test_data = federalist_dataset['test']
```

We build a tokenizer from the training data to tokenize text and convert tokens into word ids.

We use datasets.Dataset.map to convert text into word ids. As shown in lab 1-5, first we need to wrap text_tokenizer with the

transformers.PreTrainedTokenizerFast class to be compatible with the datasets library.

We also need to convert the string of tags into a list of tag ids.

train data[0]

and attention mask can be ignored for the time being.

```
In []: tag_tokenizer = Tokenizer(WordLevel())
    tag_tokenizer.pre_tokenizer = WhitespaceSplit()

tag_trainer = WordLevelTrainer(special_tokens=[pad_token])
    tag_tokenizer.train_from_iterator(train_data['tag'], trainer=tag_trainer)
```

the string of tags, and the list of token ids. The token type ids

```
hf_tag_tokenizer = PreTrainedTokenizerFast(tokenizer_object=tag_tokenizer, r
        # encode_tag(example) -- Updates the `example` by tokenizing and encoding th
        # into a list of tag ids.
        def encode tag(example):
            example['tag_ids'] = hf_tag_tokenizer(example['tag']).input_ids
            return example
        train data = train data.map(encode tag)
        val_data = val_data.map(encode_tag)
        test data = test data.map(encode tag)
In [ ]: # An example from the training dataset, showing the string of tokens,
        # the string of tags, and the list of token ids. The token type ids
        # and attention mask can be ignored for the time being.
        train data[0]
        text_vocab = hf_text_tokenizer.get_vocab()
        tag_vocab = hf_tag_tokenizer.get_vocab()
        vocab_size = len(text_vocab)
        num_tags = len(tag_vocab)
        most common tokens = Counter(token
```

You can see from above that the most common punctuation is comma, on which we will evaluate precision, recall, and F-1 scores later.

We mapped words that are not among the most frequent words (specified by MAX_VOCAB_SIZE) to a special unknown token:

To facilitate batching sentences of different lengths into the same tensor we also reserved a special padding symbol <code>[PAD]</code> for both <code>text_vocab</code> and <code>tag_vocab</code>.

```
In []: print (f"Padding token: {pad_token}")
  text_pad_index = text_vocab[pad_token]
  print (f"Padding text_vocab token id: {text_pad_index}")
```

```
tag_pad_index = tag_vocab[pad_token]
print (f"Padding tag_vocab token id: {tag_pad_index}")
```

To load data in batched tensors, we use torch.utils.data.DataLoader for data splits, which enables us to iterate over the dataset under a given BATCH_SIZE, which is set to be 1 throughout this lab. We still batch the data because other torch functions expect data to be batched.

```
In [ ]: # We use batch size 1 for simplicity
        BATCH SIZE = 1
        # collate(examples) -- Combines a list of examples into a single batch
        def collate fn(examples):
            batch = {}
            bsz = len(examples)
            input_ids, tag_ids = [], []
            for example in examples:
                input ids.append(example['input ids'])
                tag_ids.append(example['tag_ids'])
            max_length = max([len(word_ids) for word_ids in input_ids])
            tag batch = torch.zeros(bsz, max length).long().fill (tag vocab[pad toke
            text_batch = torch.zeros(bsz, max_length).long().fill_(text_vocab[pad_td
            for b in range(bsz):
                text batch[b][:len(input ids[b])] = torch.LongTensor(input ids[b]).t
                tag_batch[b][:len(tag_ids[b])] = torch.LongTensor(tag_ids[b]).to(dev
            batch['tag ids'] = tag batch
            batch['input ids'] = text batch
            return batch
        train_iter = torch.utils.data.DataLoader(train_data,
                                                  batch_size=BATCH_SIZE,
                                                  shuffle=True,
                                                  collate fn=collate fn)
        val_iter = torch.utils.data.DataLoader(val_data,
                                                batch size=BATCH SIZE,
                                                shuffle=False,
                                                collate_fn=collate_fn)
        test_iter = torch.utils.data.DataLoader(test_data,
                                                 batch size=BATCH SIZE,
                                                 shuffle=False,
                                                 collate_fn=collate_fn)
```

Let's take a look at the dataset. Recall from project 1 that there are two different ways of iterating over the dataset, one by iterating over individual examples, the other by iterating over batches of examples.

```
In []: # Iterating over individual examples:
    # Note that the words are the original words, so you'd need to manually
    # replace them with `[UNK]` if not in the vocabulary.
    example = train_data[1]
```

```
text = example["text"].split() # a sequence of unpunctuated words
tags = example["tag"].split() # a sequence of tags indicating the proper p

print(f'{"TYPE":15}: {"TAG"}')
for word, tag in zip(text, tags):
    print(f"{word:15}: {tag}")
```

Alternatively, we can produce the data a batch at a time, as in the example below. Note the "shape" of a batch; it's a two-dimensional tensor of size batch_size x max_length. (In this case, batch_size is 1.) Thus, to extract a sentence from a batch, we need to index by the *first* dimension.

```
In [ ]: # Iterating over batches of examples:
        # Note that the collat fn returns input ids and tag ids only, so you
        # need to manually convert them back to strings.
        # Unknown words have been mapped to unknown word ids
        batch = next(iter(train iter))
        text_ids = batch['input_ids']
        example_text = text_ids[0]
        print (f"Size of first text batch: {text ids.size()}")
        print (f"First sentence in batch: {example text}")
        print (f"Mapped back to string: {hf_text_tokenizer.decode(example_text)}")
        print ('-'*20)
        tag ids = batch['tag ids']
        example_tags = tag_ids[0]
        print (f"Size of tag batch: {tag_ids.size()}")
        print (f"First sentence in batch: {example tags}")
        print (f"Mapped back to string: {hf_tag_tokenizer.decode(example_tags, clear
```

Given the tokenized tags of an unpunctuated sequence of words, we can easily restore the punctuation:

```
In []: def restore_punctuation(word_ids, tag_ids):
    words = hf_text_tokenizer.convert_ids_to_tokens(word_ids)
    tags = hf_tag_tokenizer.convert_ids_to_tokens(tag_ids)
    words_with_punc = []
    for word, tag in zip(words, tags):
        words_with_punc.append(word)
        if tag != "0":
            words_with_punc.append(tag)
        return " ".join(words_with_punc)
In []: print(restore_punctuation(example['input_ids'], example['tag_ids']))
```

Majority Labeling

We start by implementing a simple baseline without RNNs.

A naive baseline is choosing the majority label for each word in the sequence, where the majority label depends on the word. We've provided an implementation of this baseline for you, to give you a sense of how difficult the punctuation restoration task is.

```
In [ ]: class MajorityTagger:
            def __init__(self):
                """Initializer"""
                self.most_common_label_given_word = {}
            def train all(self, train iter):
                """Finds the majority label for each word in the training set"""
                train_counts_given_word = {}
                for batch in train iter:
                    for example_input_ids, example_tag_ids in zip(
                        batch["input_ids"], batch["tag_ids"]
                    ):
                        for word_id, tag_id in zip(example_input_ids, example_tag_id
                            if word_id not in train_counts_given_word:
                                 train_counts_given_word[word_id.item()] = Counter([]
                            train counts given word [word id.item()].update([tag id.i
                for word id in train counts given word:
                    self.most_common_label_given_word[word_id] = train_counts_given_
                        word id
                    ].most_common(1)[0][0]
            def predict_all(self, test_iter):
                """Predicts labels for each example in test iter
                Returns a list of list of strings. The order should be the same as
                in `test_iter.dataset` (or equivalently `test_iter`).
                predictions = []
                for batch in test_iter:
                    batch_predictions = []
                    for example_input_ids in batch["input_ids"]:
                        example_tag_ids_pred = []
                        for word id in example input ids:
                            tag_id_pred = self.most_common_label_given_word[word_id.
                            example_tag_ids_pred.append(tag_id_pred)
                        batch_predictions.append(example_tag_ids_pred)
                    predictions.append(batch_predictions)
                return predictions # batch list -> example list -> tag list
            def evaluate(self, test_iter):
                """Returns the overall accuracy of comma predictions, and the
                precision, recall, and F1
                correct = 0
                total = 0
                true positive comma = 0
                predicted_positive_comma = 0
                total positive comma = 0
                comma_id = tag_vocab[","]
```

```
# get predictions
predictions = self.predict all(test iter)
assert len(predictions) == len(test_iter)
# generate counts
for batch_tag_pred, batch in zip(predictions, test_iter):
    for tag_ids_pred, example_tag_ids in zip(batch_tag_pred, batch["
        assert len(tag ids pred) == len(example tag ids)
        for tag_id_pred, tag_id in zip(tag_ids_pred, example_tag_ids
            tag_id = tag_id.item()
            total += 1
            if tag_id_pred == tag_id:
                correct += 1
            if tag id pred == comma id:
                predicted_positive_comma += 1 # predicted positive
            if tag_id == comma_id:
                total_positive_comma += 1 # gold label positive
            if tag_id_pred == comma_id and tag_id == comma_id:
                true_positive_comma += 1 # true positive
precision_comma = true_positive_comma / predicted_positive_comma
recall comma = true positive comma / total positive comma
F1_comma = 2.0 / (1.0 / precision_comma + 1.0 / recall_comma)
return correct / total, precision_comma, recall_comma, F1_comma
```

Now, we can train our baseline on training data.

```
In [ ]: maj_tagger = MajorityTagger()
    maj_tagger.train_all(train_iter)
```

Let's take a look at an example prediction using this simple baseline.

```
In []: # Get all predictions
    predictions = maj_tagger.predict_all(test_iter)

# Pick one example
    example_id = 2 # the third example
    example = test_data[example_id]
    prediction = predictions[example_id][0]

print('Ground truth punctuation:')
    print(restore_punctuation(example['input_ids'], example['tag_ids']), '\n')
    print('Predicted punctuation:')
    print(restore_punctuation(example['input_ids'], prediction))
```

This baseline model clearly grossly underpunctuates. It predicts the tag to be 0 almost all of the time.

We can quantitatively evaluate the performance of the majority labeling tagger, which establishes a baseline that any reasonable model should outperform.

```
In []: accuracy, precision_comma, recall_comma, F1_comma = maj_tagger.evaluate(test
print (f"Overall Accuracy: {accuracy:.4f}. \n"
```

```
f"Comma: Precision: {precision_comma:.4f}. Recall: {recall_comma:.4f}
```

Question: You can see that even though the overall accuracy is pretty high, the F-1 score for commas is very low. Why?

Type your answer here, replacing this text.

RNN Sequence Tagging

Now we get to the real point, using an RNN model for sequence tagging.

We provide a base class RNNBaseTagger below, which implements training and evaluation.

```
In [ ]: class RNNBaseTagger(nn.Module):
            def init (self):
                super().__init__()
                self.N = ... # tag vocab size provided by subclass
                self.Vo = ... # text vocab size provided by subclass
            def init_parameters(self, init_low=-0.15, init_high=0.15):
                """Initialize the parameters of the model. Initial parameter values
                chosen from a uniform distribution between a lowand a high limit. We
                usually use larger initial values for smaller models. See
                http://proceedings.mlr.press/v9/glorot10a/glorot10a.pdf for a more
                in-depth discussion.
                for p in self.parameters():
                    p.data.uniform_(init_low, init_high)
            def forward(self, text batch):
                """Performs forward computation, returns logits.
                Arguments:
                  text batch: a tensor containing word ids of size (bsz=1, seg len)
                Returns:
                  logits: a tensor of size (1, seq_len, self.N)
                raise NotImplementedError # You'll implement this in the subclass\epsilon
            def compute loss(self, logits, tags):
                return self.loss_function(logits.view(-1, self.N), tags.view(-1))
            def train_all(self, train_iter, val_iter, epochs=5, learning_rate=1e-3):
                # Switch the module to training mode
                self.train()
                # Use Adam to optimize the parameters
                optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
                best_validation_accuracy = -float("inf")
                best model = None
                # Run the optimization for multiple epochs
                for epoch in tqdm(range(epochs), desc='Epoch'):
                    total = 0
```

```
running loss = 0.0
        for batch in tqdm(train_iter, desc='Train batch', leave=False):
            # Zero the parameter gradients
            self.zero_grad()
            # Input and target
            words = batch["input_ids"] # 1, seq_len
            tags = batch["tag_ids"] # 1, seq_len
            # Run forward pass and compute loss along the way.
            logits = self.forward(words)
            loss = self.compute loss(logits, tags)
            # Perform backpropagation
            (loss / words.size(1)).backward()
            # Update parameters
            optim.step()
            # Training stats
            total += 1
            running_loss += loss.item()
        # Evaluate and track improvements on the validation dataset
        validation_accuracy, _, _, _ = self.evaluate(val_iter)
        if validation_accuracy > best_validation_accuracy:
            best_validation_accuracy = validation_accuracy
            self.best_model = copy.deepcopy(self.state_dict())
        epoch_loss = running_loss / total
        print(
            f"Epoch: {epoch} Loss: {epoch loss:.4f} "
            f"Validation accuracy: {validation_accuracy:.4f}"
        )
def predict(self, text_batch):
    """Returns the most likely sequence of tags for a sequence of words
    Arguments:
     text_batch: a tensor containing word ids of size (1, seq_len)
    Returns:
     tag batch: a tensor containing tag ids of size (1, seg len)
    raise NotImplementedError # You'll implement this in the subclasse
def evaluate(self, iterator):
    """Returns the model's performance on a given dataset `iterator`.
    Arguments:
     iterator
    Returns:
     overall accuracy, and precision, recall, and F1 for comma
    0.00
    correct = 0
    total = 0
    true_positive_comma = 0
    predicted positive comma = 0
```

```
total positive comma = 0
comma_id = tag_vocab[',']
pad id = tag vocab[pad token]
for batch in tqdm(iterator, desc='Eval batch', leave=False):
   words = batch['input_ids'] # 1, seq_len
tags = batch['tag_ids'] # 1, seq_len
    tags_pred = self.predict(words) # 1, seq_len
    mask = tags.ne(pad_id)
    cor = (tags == tags pred)[mask]
    correct += cor.float().sum().item()
    total += mask.float().sum().item()
    predicted positive comma += (
        (mask * tags_pred.eq(comma_id)).float().sum().item()
    true positive comma += (
        (mask * tags.eq(comma_id) * tags_pred.eq(comma_id)).float().
    total_positive_comma += (mask * tags.eq(comma_id)).float().sum()
precision_comma = true_positive_comma / predicted_positive_comma
recall_comma = true_positive_comma / total_positive_comma
F1_comma = 2.0 / (1.0 / precision_comma + 1.0 / recall_comma)
return correct / total, precision_comma, recall_comma, F1_comma
```

You will implement the forward pass of an RNN from scratch. You should implement the forward function from scratch and not use nn.RNN. We'll make use of this convenient PyTorch module in the next part.

Recall that

$$h_0 = 0 \tag{1}$$

$$h_t = \sigma(\mathbf{U}x_t + \mathbf{V}h_{t-1} + b_h) \tag{2}$$

$$o_t = \mathbf{W}h_t + b_o \tag{3}$$

where we embed each word and use its embedding as x_t , and we use o_t as the output logits. (Again, the final softmax has been absorbed into the loss function so you don't need to implement that.) Note that we added bias vectors b_h and b_o in this lab since we are training very small models. (In large models, having a bias vector matters a lot less.)

You will need to implement both the forward function and the predict function.

Hint: You might find torch.stack useful for stacking a list of tensors to form a single tensor. You can also use torch.mv or @ for matrix-vector multiplication, torch.mm or @ for matrix-matrix multiplication.

Warning: Training this takes a little while, likely around three minutes for the full set of epochs. You might want to set the number of epochs to a small number (1?) until your code is running well. You should also feel free to move ahead to the next parts while earlier parts are running.

```
In [ ]: class RNNTagger(RNNBaseTagger):
            def __init__(self, text_tokenizer, tag_tokenizer, embedding_size, hidder
                super().__init__()
                self.text tokenizer = text tokenizer
                self.tag_tokenizer = tag_tokenizer
                self.N = len(self.tag tokenizer) # tag vocab size
                self.Vo = len(self.text_tokenizer) # text vocab size
                self.embedding_size = embedding_size
                self.hidden size = hidden size
                # Create essential modules
                self.word embeddings = nn.Embedding(self.Vo, embedding size) # Look
                self.U = nn.Parameter(torch.Tensor(hidden_size, embedding_size))
                self.V = nn.Parameter(torch.Tensor(hidden_size, hidden_size))
                self.b h = nn.Parameter(torch.Tensor(hidden size))
                self.sigma = nn.Tanh() # Nonlinear Layer
                self.W = nn.Parameter(torch.Tensor(self.N, hidden_size))
                self.b_o = nn.Parameter(torch.Tensor(self.N))
                # Create loss function
                pad_id = self.tag_tokenizer.pad_token_id
                self.loss_function = nn.CrossEntropyLoss(reduction="sum", ignore_inc
                # Initialize parameters
                self.init parameters()
            def forward(self, text_batch):
                """Performs forward, returns logits.
                Arguments:
                  text_batch: a tensor containing word ids of size (1, seq_len)
                Returns:
                  logits: a tensor of size (1, seq_len, self.N)
                h0 = torch.zeros(self.hidden_size, device=device)
                word_embeddings = self.word_embeddings(text_batch) # 1, seq_len, en
                seg len = word embeddings.size(1)
                # TODO: your code below
                logits = ...
                return logits
            def predict(self, text_batch):
                """Returns the most likely sequence of tags for a sequence of words
                Arguments:
                  text_batch: a tensor containing word ids of size (1, seq_len)
                Returns:
                  tag_batch: a tensor containing tag ids of size (1, seq_len)
                # TODO: your code below
                tag_batch = ...
                return tag_batch
```

Did your model outperform the baseline? Don't be surprised if it doesn't: the model is

Lab debrief

Question: We're interested in any thoughts you have about this lab so that we can improve this lab for later years, and to inform later labs for this year. Please list any issues that arose or comments you have to improve the lab. Useful things to comment on include the following, but you're not restricted to these:

Was the lab too long or too short?

very small and the dataset is small as well.

- Were the readings appropriate for the lab?
- Was it clear (at least after you completed the lab) what the points of the exercises were?
- Are there additions or changes you think would make the lab better?

Type your answer here, replacing this text.

End of lab 2-2-a

To double-check your work, the cell below will rerun all of the autograder tests.

```
In [ ]: grader.check_all()
```