```
In []: # Initialize Otter
import otter
grader = otter.Notebook()

In []: # Please do not change this cell because some hidden tests might depend on i
import os

# Only install packages if not in cs187-env
if 'cs187-env' not in os.environ.get('CONDA_PREFIX', ''):
    import subprocess
    import sys
    subprocess.run([sys.executable, '-m', 'pip', 'install', '-q', '-r', 'rec check=True)
```

```
In [ ]: # Graphviz verification
        print("Checking graphviz availability...")
        try:
            import graphviz
            print(f"√ graphviz Python package is available (version: {graphviz. ver
            # Test if graphviz executable is available
            try:
                test graph = graphviz.Digraph()
                test graph.node('A', 'Test')
                test graph.render('test graphviz', format='png', cleanup=True)
                print(" graphviz executable is available and working")
                # Clean up test file
                try:
                    os.remove('test graphviz')
                except:
                    pass
            except Exception as e:
                print(f"x graphviz executable not available or not working: {e}")
                print("You may need to install graphviz system package:")
                print(" - macOS: brew install graphviz")
                print(" - Ubuntu/Debian: sudo apt-get install graphviz")
                print(" - Windows: download from https://graphviz.org/download/")
        except ImportError:
            print("x graphviz Python package not found")
            print("Install with: pip install graphviz")
        print("Graphviz check complete.\n")
```

In this lab, you'll use Pytorch to implement an RNN that performs a useful application, punctuation restoration.

New bits of Python used for the first time in the *solution set* for this lab, and which you may therefore find useful:

- torch.clamp: restricts all elements of a tensor to a specific range
- torch.diag: creates a tensor with the given inputs as the diagonal
- torch.eye : creates an identity matrix
- torch.mv (typically invoked via the @ operator): matrix-vector multiplication
- torch.prod: takes the product of elements in a vector
- torch.T: returns the transpose of a tensor
- torch.zeros: creates a matrix of zeros

# Preparation - Loading packages

```
In [ ]: import sys
        import torch
        import wget
        import math
        import torch.nn as nn
        import random
        import csv
        from datasets import load dataset
        from tokenizers import Tokenizer
        from tokenizers.models import WordLevel
        from tokenizers.pre tokenizers import WhitespaceSplit
        from tokenizers.trainers import WordLevelTrainer
        from transformers import PreTrainedTokenizerFast
        from collections import Counter
        from tqdm.notebook import tqdm
        import copy
In [ ]: # Script for visualizing computation graphs
        data dir = "data/"
        sys.path.append(data dir)
        os.makedirs(data dir, exist ok=True)
        wget.download('https://raw.githubusercontent.com/nlp-course/data/master/scri
        from makedot import make dot
        # Fix random seed for replicability
        SEED=1234
        random.seed(SEED)
        torch.manual seed(SEED)
In [ ]: | ## GPU check
        device = torch.device("cuda" if torch.cuda.is available() else "cpu")
        print(device)
```

# Punctuation restoration as RNN sequence labeling

In this lab, you will use recurrent neural networks (RNNs) for sequence labeling.

We consider the task of *automatic punctuation restoration* from unpunctuated text, which is useful for post-processing transcribed speech from speech recognition systems (since we don't want users to have to utter all punctuation marks). We can formulate this task as a sequence labeling task, predicting for each word the punctuation that should follow. If there's no punctuation following the word, we use a special tag 0 for "other".

The dataset we use is the Federalist papers. We use text without punctuation as our input, and predict the punctuation following each word. An example constructed from the dataset looks like below, which correponds to the punctuated sentence the powers to make treaties and to send and receive ambassadors , speak their own propriety .

Token	Label
<bos></bos>	0
the	0
powers	0
to	0
make	0
treaties	0
and	0
to	0
send	0
and	0
receive	0
ambassadors	,
speak	0
their	0
own	0
propriety	

First, we load the data.

```
In [ ]: # Prepare to download needed data
        def download if needed(source, dest, filename):
            os.makedirs(data path, exist ok=True) # ensure destination
            os.path.exists(f"./{dest}{filename}") or wget.download(source + filename
        source path = "https://raw.githubusercontent.com/nlp-course/data/master/Fede
        data path = "data/"
        # Download the files
        for filename in ["federalist tag.train.txt",
                          "federalist tag.dev.txt",
                         "federalist tag.test.txt"
            download if needed(source path, data path, filename)
        # Read in the dataset, extracting the token sequences and the
        # corresponding tag sequences and generate a CSV file of the
        # processed data
        for split in ['train', 'dev', 'test']:
            in file = f'data/federalist tag.{split}.txt'
            out file = f'data/federalist tag.{split}.csv'
            with open(in file, 'r') as f in:
                with open(out file, 'w') as f out:
                    text, tag = [], []
                    writer = csv.writer(f out)
                    writer.writerow(('text','tag'))
                    for line in f in:
                         if line.strip() == '':
                            writer.writerow((' '.join(text), ' '.join(tag)))
                            text, tag = [], []
                         else:
                            token, label = line.split('\t')
                            text.append(token)
                            tag.append(label.strip())
        Let's take a look at what each data file looks like.
In [ ]: print('\n'.join(open('data/federalist tag.train.csv').read().splitlines()[:1
        We'll use the HuggingFace datasets package to further prepare the data.
```

```
In []: print('\n'.join(open('data/federalist_tag.train.csv').read().splitlines()[:]

We'll use the HuggingFace datasets package to further prepare the data.

In []: federalist_dataset = load_dataset('csv', data_files={'train':'data/federalist 'val': 'data/federalist 'test': 'data/federalist 'test': 'data/federalist federalist_dataset
In []: # Split out the training, validation, and test sets

train_data = federalist_dataset['train']
val_data = federalist_dataset['val']
test data = federalist_dataset['test']
```

We build a tokenizer from the training data to tokenize text and convert tokens into word ids.

We use datasets.Dataset.map to convert text into word ids. As shown in lab 1-5, first we need to wrap text\_tokenizer with the transformers.PreTrainedTokenizerFast class to be compatible with the datasets library.

# the string of tags, and the list of token ids. The token type ids # and attention mask can be ignored for the time being.

train\_data[0]

We also need to convert the string of tags into a list of tag ids.

```
In []: tag_tokenizer = Tokenizer(WordLevel())
    tag_tokenizer.pre_tokenizer = WhitespaceSplit()

    tag_trainer = WordLevelTrainer(special_tokens=[pad_token])
    tag_tokenizer.train_from_iterator(train_data['tag'], trainer=tag_trainer)

    hf_tag_tokenizer = PreTrainedTokenizerFast(tokenizer_object=tag_tokenizer, prediction of tag ids.)

# encode_tag(example) -- Updates the `example` by tokenizing and encoding the into a list of tag ids.
```

```
def encode_tag(example):
    example['tag_ids'] = hf_tag_tokenizer(example['tag']).input_ids
    return example

train_data = train_data.map(encode_tag)
val_data = val_data.map(encode_tag)
test_data = test_data.map(encode_tag)
```

```
In []: # An example from the training dataset, showing the string of tokens,
    # the string of tags, and the list of token ids. The token type ids
    # and attention mask can be ignored for the time being.
    train_data[0]
```

You can see from above that the most common punctuation is comma, on which we will evaluate precision, recall, and F-1 scores later.

We mapped words that are not among the most frequent words (specified by MAX\_VOCAB\_SIZE ) to a special unknown token:

To facilitate batching sentences of different lengths into the same tensor we also reserved a special padding symbol <code>[PAD]</code> for both <code>text\_vocab</code> and <code>tag\_vocab</code>.

```
In []: print (f"Padding token: {pad_token}")
    text_pad_index = text_vocab[pad_token]
    print (f"Padding text_vocab token id: {text_pad_index}")
    tag_pad_index = tag_vocab[pad_token]
    print (f"Padding tag_vocab token id: {tag_pad_index}")
```

To load data in batched tensors, we use torch.utils.data.DataLoader for data splits, which enables us to iterate over the dataset under a given BATCH\_SIZE, which is set to be 1 throughout this lab. We still batch the data because other torch functions expect data to be batched.

```
In [ ]: # We use batch size 1 for simplicity
        BATCH SIZE = 1
        # collate(examples) -- Combines a list of examples into a single batch
        def collate fn(examples):
            batch = {}
            bsz = len(examples)
            input ids, tag ids = [], []
            for example in examples:
                input ids.append(example['input ids'])
                tag ids.append(example['tag ids'])
            max length = max([len(word ids) for word ids in input ids])
            tag batch = torch.zeros(bsz, max length).long().fill (tag vocab[pad toke
            text batch = torch.zeros(bsz, max length).long().fill (text vocab[pad to
            for b in range(bsz):
                text batch[b][:len(input ids[b])] = torch.LongTensor(input ids[b]).t
                tag batch[b][:len(tag ids[b])] = torch.LongTensor(tag ids[b]).to(dev
            batch['tag ids'] = tag batch
            batch['input ids'] = text batch
            return batch
        train iter = torch.utils.data.DataLoader(train data,
                                                  batch size=BATCH SIZE,
                                                  shuffle=True,
                                                  collate fn=collate fn)
        val iter = torch.utils.data.DataLoader(val data,
                                                batch size=BATCH SIZE,
                                                shuffle=False,
                                                collate fn=collate fn)
        test iter = torch.utils.data.DataLoader(test data,
                                                 batch size=BATCH SIZE,
                                                 shuffle=False,
                                                 collate fn=collate fn)
```

Let's take a look at the dataset. Recall from project 1 that there are two different ways of iterating over the dataset, one by iterating over individual examples, the other by iterating over batches of examples.

```
In []: # Iterating over individual examples:
    # Note that the words are the original words, so you'd need to manually
    # replace them with `[UNK]` if not in the vocabulary.
    example = train_data[1]

text = example["text"].split() # a sequence of unpunctuated words
tags = example["tag"].split() # a sequence of tags indicating the proper page of tags indicating tags.
```

```
print(f'{"TYPE":15}: {"TAG"}')
for word, tag in zip(text, tags):
    print(f"{word:15}: {tag}")
```

Alternatively, we can produce the data a batch at a time, as in the example below. Note the "shape" of a batch; it's a two-dimensional tensor of size batch\_size x max\_length. (In this case, batch\_size is 1.) Thus, to extract a sentence from a batch, we need to index by the *first* dimension.

```
In [ ]: # Iterating over batches of examples:
        # Note that the collat fn returns input ids and tag ids only, so you
        # need to manually convert them back to strings.
        # Unknown words have been mapped to unknown word ids
        batch = next(iter(train iter))
        text ids = batch['input ids']
        example text = text ids[0]
        print (f"Size of first text batch: {text ids.size()}")
        print (f"First sentence in batch: {example text}")
        print (f"Mapped back to string: {hf text tokenizer.decode(example text)}")
        print ('-'*20)
        tag ids = batch['tag ids']
        example tags = tag ids[0]
        print (f"Size of tag batch: {tag ids.size()}")
        print (f"First sentence in batch: {example tags}")
        print (f"Mapped back to string: {hf tag tokenizer.decode(example tags, clear
```

Given the tokenized tags of an unpunctuated sequence of words, we can easily restore the punctuation:

```
In [ ]: def restore_punctuation(word_ids, tag_ids):
    words = hf_text_tokenizer.convert_ids_to_tokens(word_ids)
    tags = hf_tag_tokenizer.convert_ids_to_tokens(tag_ids)
    words_with_punc = []
    for word, tag in zip(words, tags):
        words_with_punc.append(word)
        if tag != "0":
            words_with_punc.append(tag)
    return " ".join(words_with_punc)
```

```
In [ ]: print(restore_punctuation(example['input_ids'], example['tag_ids']))
```

### **Majority Labeling**

We start by implementing a simple baseline without RNNs.

A naive baseline is choosing the majority label for each word in the sequence, where the majority label depends on the word. We've provided an implementation of this baseline for you, to give you a sense of how difficult the punctuation restoration task is.

```
In [ ]: class MajorityTagger:
            def init (self):
                """Initializer"""
                self.most common label given word = {}
            def train all(self, train iter):
                """Finds the majority label for each word in the training set"""
                train counts given word = {}
                for batch in train iter:
                    for example input ids, example tag ids in zip(
                        batch["input ids"], batch["tag ids"]
                    ):
                        for word id, tag id in zip(example input ids, example tag id
                            if word id not in train counts given word:
                                train counts given word[word id.item()] = Counter([]
                            train counts given word[word id.item()].update([tag id.i
                for word id in train counts given word:
                    self.most common label given word[word id] = train counts given
                        word id
                    ].most common(1)[0][0]
            def predict all(self, test iter):
                """Predicts labels for each example in test iter
                Returns a list of list of strings. The order should be the same as
                in `test_iter.dataset` (or equivalently `test iter`).
                0.00
                predictions = []
                for batch in test iter:
                    batch predictions = []
                    for example input ids in batch["input ids"]:
                        example tag ids pred = []
                        for word id in example input ids:
                            tag id pred = self.most common label given word[word id.
                            example tag ids pred.append(tag id pred)
                        batch predictions.append(example tag ids pred)
                    predictions.append(batch predictions)
                return predictions # batch list -> example list -> tag list
            def evaluate(self, test iter):
                """Returns the overall accuracy of comma predictions, and the
                precision, recall, and F1
                correct = 0
                total = 0
                true positive comma = 0
                predicted positive comma = 0
                total positive comma = 0
```

```
comma id = tag vocab[","]
# get predictions
predictions = self.predict_all(test_iter)
assert len(predictions) == len(test iter)
# generate counts
for batch tag pred, batch in zip(predictions, test iter):
   for tag ids pred, example tag ids in zip(batch tag pred, batch["
        assert len(tag_ids_pred) == len(example tag ids)
        for tag id pred, tag id in zip(tag ids pred, example tag ids
           tag id = tag id.item()
           total += 1
           if tag id_pred == tag_id:
                correct += 1
            if tag id pred == comma id:
                predicted positive comma += 1 # predicted positive
           if tag id == comma id:
                total positive comma += 1 # gold label positive
            if tag id pred == comma id and tag id == comma id:
               true positive comma += 1 # true positive
precision comma = true positive comma / predicted positive comma
recall comma = true positive comma / total positive comma
F1 comma = 2.0 / (1.0 / precision comma + 1.0 / recall comma)
return correct / total, precision comma, recall comma, F1 comma
```

Now, we can train our baseline on training data.

```
In [ ]: maj_tagger = MajorityTagger()
    maj_tagger.train_all(train_iter)
```

Let's take a look at an example prediction using this simple baseline.

```
In []: # Get all predictions
    predictions = maj_tagger.predict_all(test_iter)

# Pick one example
    example_id = 2 # the third example
    example = test_data[example_id]
    prediction = predictions[example_id][0]

print('Ground truth punctuation:')
    print(restore_punctuation(example['input_ids'], example['tag_ids']), '\n')
    print('Predicted punctuation:')
    print(restore_punctuation(example['input_ids'], prediction))
```

This baseline model clearly grossly underpunctuates. It predicts the tag to be 0 almost all of the time.

We can quantitatively evaluate the performance of the majority labeling tagger, which establishes a baseline that any reasonable model should outperform.

**Question:** You can see that even though the overall accuracy is pretty high, the F-1 score for commas is very low. Why?

Type your answer here, replacing this text.

## **RNN Sequence Tagging**

Now we get to the real point, using an RNN model for sequence tagging.

We provide a base class RNNBaseTagger below, which implements training and evaluation.

```
In [ ]: class RNNBaseTagger(nn.Module):
            def __init__(self):
                super(). init ()
                self.N = ... # tag vocab size provided by subclass
                self.Vo = ... # text vocab size provided by subclass
            def init parameters(self, init low=-0.15, init high=0.15):
                """Initialize the parameters of the model. Initial parameter values
                chosen from a uniform distribution between a lowand a high limit. We
                usually use larger initial values for smaller models. See
                http://proceedings.mlr.press/v9/glorot10a/glorot10a.pdf for a more
                in-depth discussion.
                0.00
                for p in self.parameters():
                    p.data.uniform (init low, init high)
            def forward(self, text batch):
                """Performs forward computation, returns logits.
                Arguments:
                  text batch: a tensor containing word ids of size (bsz=1, seq len)
                Returns:
                 logits: a tensor of size (1, seq len, self.N)
                raise NotImplementedError # You'll implement this in the subclasse
            def compute loss(self, logits, tags):
                return self.loss function(logits.view(-1, self.N), tags.view(-1))
            def train_all(self, train_iter, val_iter, epochs=5, learning_rate=1e-3):
                # Switch the module to training mode
                self.train()
                # Use Adam to optimize the parameters
                optim = torch.optim.Adam(self.parameters(), lr=learning rate)
                best validation accuracy = -float("inf")
                best model = None
```

```
# Run the optimization for multiple epochs
    for epoch in tqdm(range(epochs), desc='Epoch'):
        total = 0
        running loss = 0.0
        for batch in tqdm(train iter, desc='Train bBatch', leave=False):
            # Zero the parameter gradients
            self.zero grad()
            # Input and target
            words = batch["input_ids"] # 1, seq_len
            tags = batch["tag ids"] # 1, seq len
            # Run forward pass and compute loss along the way.
            logits = self.forward(words)
            loss = self.compute loss(logits, tags)
            # Perform backpropagation
            (loss / words.size(1)).backward()
            # Update parameters
            optim.step()
            # Training stats
            total += 1
            running loss += loss.item()
        # Evaluate and track improvements on the validation dataset
        validation_accuracy, _, _, _ = self.evaluate(val_iter)
        if validation accuracy > best validation accuracy:
            best_validation_accuracy = validation_accuracy
            self.best model = copy.deepcopy(self.state dict())
        epoch loss = running loss / total
        print(
            f"Epoch: {epoch} Loss: {epoch loss:.4f} "
            f"Validation accuracy: {validation accuracy:.4f}"
        )
def predict(self, text batch):
    """Returns the most likely sequence of tags for a sequence of words
    Arguments:
      text batch: a tensor containing word ids of size (1, seq len)
     tag batch: a tensor containing tag ids of size (1, seg len)
    raise NotImplementedError # You'll implement this in the subclasse
def evaluate(self, iterator):
    """Returns the model's performance on a given dataset `iterator`.
    Arguments:
      iterator
    Returns:
      overall accuracy, and precision, recall, and F1 for comma
    correct = 0
```

```
total = 0
true positive comma = 0
predicted positive comma = 0
total positive comma = 0
comma id = tag vocab[',']
pad id = tag vocab[pad token]
for batch in tqdm(iterator, desc='Eval batch', leave=False):
   words = batch['input_ids'] # 1, seq_len
tags = batch['tag_ids'] # 1, seq_len
    tags pred = self.predict(words) # 1, seq len
    mask = tags.ne(pad id)
    cor = (tags == tags pred)[mask]
    correct += cor.float().sum().item()
    total += mask.float().sum().item()
    predicted positive comma += (
        (mask * tags pred.eq(comma id)).float().sum().item()
    true positive comma += (
        (mask * tags.eq(comma_id) * tags_pred.eq(comma id)).float().
    total positive comma += (mask * tags.eq(comma id)).float().sum()
precision comma = true positive comma / predicted positive comma
recall comma = true positive comma / total positive comma
F1_{comma} = 2.0 / (1.0 / precision_{comma} + 1.0 / recall comma)
return correct / total, precision_comma, recall comma, F1 comma
```

You will implement the forward pass of an RNN from scratch. You should implement the forward function from scratch and not use nn.RNN. We'll make use of this convenient PyTorch module in the next part.

Recall that

$$h_0 = 0 (1)$$

$$h_t = \sigma(\langle \mathbf{vect} U x_t + \langle \mathbf{vect} V h_{t-1} + b_h \rangle)$$
 (2)

$$o_t = \bigvee \mathbf{vect} W h_t + b_o \tag{3}$$

where we embed each word and use its embedding as  $x_t$ , and we use  $o_t$  as the output logits. (Again, the final softmax has been absorbed into the loss function so you don't need to implement that.) Note that we added bias vectors  $b_h$  and  $b_o$  in this lab since we are training very small models. (In large models, having a bias vector matters a lot less.)

You will need to implement both the forward function and the predict function.

**Hint:** You might find torch.stack useful for stacking a list of tensors to form a single tensor. You can also use torch.mv or @ for matrix-vector multiplication, torch.mm or @ for matrix-matrix multiplication.

**Warning:** Training this takes a little while, likely around three minutes for the full set of epochs. You might want to set the number of epochs to a small number (1?) until your code is running well. You should also feel free to move ahead to the next parts while earlier parts are running.

```
In [ ]: class RNNTagger(RNNBaseTagger):
            def init (self, text tokenizer, tag tokenizer, embedding size, hidder
                super().__init__()
                self.text tokenizer = text tokenizer
                self.tag tokenizer = tag tokenizer
                self.N = len(self.tag tokenizer) # tag vocab size
                self.Vo = len(self.text tokenizer) # text vocab size
                self.embedding size = embedding size
                self.hidden size = hidden size
                # Create essential modules
                self.word embeddings = nn.Embedding(self.Vo, embedding size) # Look
                self.U = nn.Parameter(torch.Tensor(hidden size, embedding size))
                self.V = nn.Parameter(torch.Tensor(hidden size, hidden size))
                self.b h = nn.Parameter(torch.Tensor(hidden size))
                self.sigma = nn.Tanh() # Nonlinear Layer
                self.W = nn.Parameter(torch.Tensor(self.N, hidden size))
                self.b o = nn.Parameter(torch.Tensor(self.N))
                # Create loss function
                pad id = self.tag tokenizer.pad token id
                self.loss function = nn.CrossEntropyLoss(reduction="sum", ignore ind
                # Initialize parameters
                self.init parameters()
            def forward(self, text batch):
                """Performs forward, returns logits.
                Arguments:
                 text batch: a tensor containing word ids of size (1, seq len)
                Returns:
                 logits: a tensor of size (1, seq len, self.N)
                h0 = torch.zeros(self.hidden size, device=device)
                word embeddings = self.word embeddings(text batch) # 1, seq len, em
                seq len = word embeddings.size(1)
                # TODO: your code below
                logits = ...
                return logits
            def predict(self, text batch):
                """Returns the most likely sequence of tags for a sequence of words
                Arguments:
                  text batch: a tensor containing word ids of size (1, seq len)
                Returns:
                  tag batch: a tensor containing tag ids of size (1, seq len)
```

rnn tagger.train all(train iter, val iter, epochs=5, learning rate=1e-3)

train\_accuracy1, train\_p1, train\_r1, train\_f1 = rnn\_tagger.evaluate(train\_it
test\_accuracy1, test\_p1, test\_r1, test\_f1 = rnn\_tagger.evaluate(test\_iter)
print(f'\nTraining accuracy: {train accuracy1:.3f}, precision: {train p1:.3f

rnn tagger.load state dict(rnn tagger.best model)

```
f'Test accuracy: {test_accuracy1:.3f}, precision: {test_p1:.3f}, recal
In [ ]: grader.check("rnn1")
```

Did your model outperform the baseline? Don't be surprised if it doesn't: the model is very small and the dataset is small as well.

#### Lab debrief

# Evaluate model performance

**Question:** We're interested in any thoughts you have about this lab so that we can improve this lab for later years, and to inform later labs for this year. Please list any issues that arose or comments you have to improve the lab. Useful things to comment on include the following, but you're not restricted to these:

- Was the lab too long or too short?
- Were the readings appropriate for the lab?
- Was it clear (at least after you completed the lab) what the points of the exercises were?
- Are there additions or changes you think would make the lab better?

Type your answer here, replacing this text.

# End of lab 2-2-a {-}

To double-check your work, the cell below will rerun all of the autograder tests.

```
In [ ]: grader.check_all()
```