



CS 3110

Proofs about Programs, part 3

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Fall 2019

Today's music: U Plus Me by Mary J. Blige

CLICKER QUESTION 1

Review

Previously in 3110:

- Equational reasoning
- Induction on natural numbers, lists, trees
- Proofs about recursive functions on those types

Today: Algebraic specifications of data structures


STACKS

Stack

```
module type Stack = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val peek       : 'a t -> 'a
  val push       : 'a -> 'a t -> 'a t
  val pop        : 'a t -> 'a t
end
```

Specification comment

```
(** [push x s] is the stack [s]  
    with [x] pushed on the top *)  
val push : 'a -> 'a stack -> 'a stack
```



Not suitable for
verification: no
equational proof
suggested by spec

Equational specification

aka *algebraic specification*

1. `is_empty empty = true`

2. `is_empty (push x s) = false`

3. `peek (push x s) = x`

4. `pop (push x s) = s`

Every equation shows how to simplify an expression

Simplification

peek (pop (push 1 (push 2 empty)))
= { simplify pop/push with eq 4 }
peek (push 2 empty)
= { simplify peek/push with eq 3 }
2

Algebraic specification

$$(a + b) + c = a + (b + c)$$

$$a + b = b + a$$

$$a + 0 = a$$

$$a + (-a) = 0$$

$$(a * b) * c = a * (b * c)$$

$$a * b = b * a$$

$$a * 1 = a$$

$$a * 0 = 0$$

$$a * (b + c) = a * b + a * c$$

Stack implementation, as list

```
module Stack = struct
  type 'a t = 'a list
  let empty = []
  let is_empty s = (s = [])
  let peek = List.hd
  let push = List.cons
  let pop = List.tl
end
```

All of our equations hold simply “by evaluation” for this impl.

Example proof: eq 4

```
pop (push x s)
=    { eval push and pop }
    tl (x :: s)
=    { eval tl }
    s
```

QUEUES

Queue

```
module type Queue = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val front      : 'a t -> 'a
  val enq        : 'a -> 'a t -> 'a t
  val deq        : 'a t -> 'a t
end
```

Stack

```
module type Stack = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val peek       : 'a t -> 'a
  val push       : 'a -> 'a t -> 'a t
  val pop        : 'a t -> 'a t
end
```

Queue specification

- `is_empty empty` = true
- `is_empty (enq x q)` = false
- `front (enq x q)`
= x if `is_empty q` = true
= front q if `is_empty q` = false
- `deq (enq x q)`
= empty if `is_empty q` = true
= enq x (deq q) if `is_empty q` = false

Queue implementation, as list

```
module ListQueue : Queue = struct  
  type 'a t = 'a list  
  let empty = []  
  let is_empty s = (s = [])  
  let front = List.hd  
  let enq x s = s @ [x]  
  let deq = List.tl  
end
```

All of our equations hold simply “by evaluation” for this impl.

Queue implementation, as two lists

```
module TwoListQueue : Queue = struct
  (* AF: (f, b) represents the queue f @ (List.rev b).
     RI: given (f, b), if f is empty then b is empty. *)
  type 'a t = 'a list * 'a list
  let empty = [], []
  let is_empty (f, _) = f = []
  let enq x (f, b) =
    if f = [] then [x], []
    else f, x :: b
  let front (f, _) = List.hd f
  let deq (f, b) =
    match List.tl f with
    | [] -> List.rev b, []
    | t -> t, b
end
```

Proofs in notes...

AF and RI in proofs

RI is a precondition for every operation.

E.g., for enqueue, if f is empty, then b must also be:

```
let enq x (f, b) =  
    if f = [] then [x], []  
    else f, x :: b
```

AF and RI in proofs

AF specifies when two concrete values should be treated as equal:

if $AF(e) = AF(e')$ then $e = e'$

Extends our
notion of equality

In proof we end up needing that
 $(\text{rev } f, [x]) = (\text{rev } (x :: f), [])$

e.g.,

$AF(\text{rev } [1;2;3], [4]) = (\text{rev } [1;2;3]) @ (\text{rev } [4]) = [3;2;1;4]$

$AF(\text{rev } (4 :: [1;2;3]), []) = (\text{rev } (4 :: [1;2;3])) @ (\text{rev } []) = [3;2;1;4]$

CLICKER QUESTION 2

DESIGNING EQUATIONS

Canonical form

canonical: conforming to some rule

Only build up structure

- Not canonical: **pop** (**push** 1 (**push** 2 **empty**))
- Canonical: **push** 2 **empty**

Every value of data structure can be created solely with operations that create canonical forms

Categories of operations

- Generator: create canonical form
- Manipulator: create non-canonical form
- Query: create value of different type

Stack

```
module type Stack = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val peek       : 'a t -> 'a
  val push       : 'a -> 'a t -> 'a t
  val pop        : 'a t -> 'a t
end
```

generator

query

generator

manipulator

Queue

```
module type Queue = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val front      : 'a t -> 'a
  val enq        : 'a -> 'a t -> 'a t
  val deq        : 'a t -> 'a t
end
```

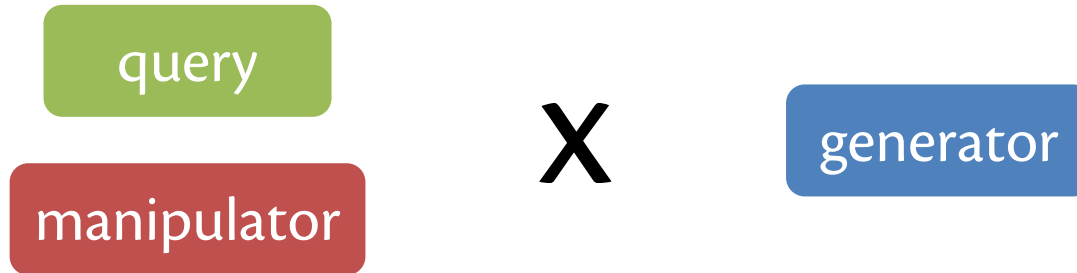
generator

query

generator

manipulator

Designing equations



```
is_empty empty = true
is_empty (push x s) = false
peek (push x s) = x
pop (push x s) = s
```

Note what's missing: `peek empty`, `pop empty`

SETS

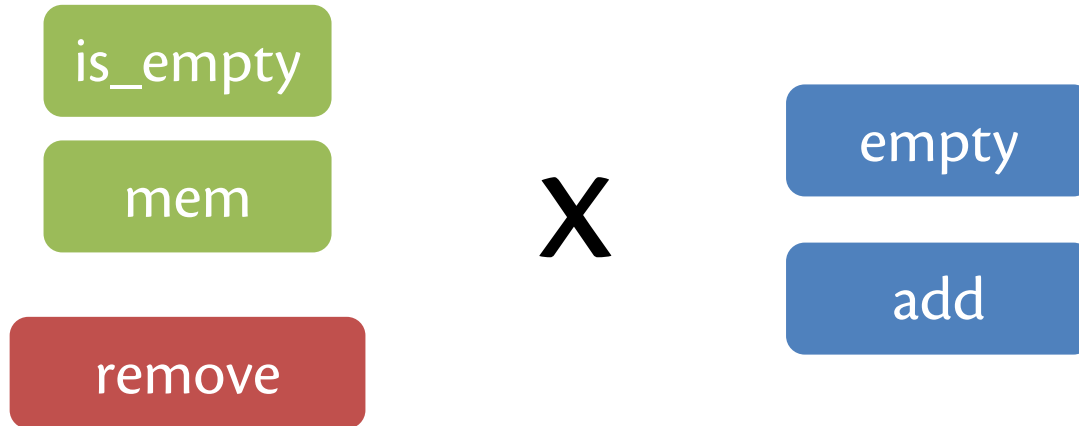
Sets

```
module type Set = sig
  type 'a t
  val empty : 'a t
  val is_empty : 'a t -> bool
  val add : 'a -> 'a t -> 'a t
  val mem : 'a -> 'a t -> bool
  val remove : 'a -> 'a t -> 'a t
end
```

Sets

```
module type Set = sig
  type 'a t
  val empty : 'a t
  val is_empty : 'a t -> bool
  val add : 'a -> 'a t -> 'a t
  val mem : 'a -> 'a t -> bool
  val remove : 'a -> 'a t -> 'a t
end
```

Designing equations



Equational specification

- `is_empty empty` = true
- `is_empty (add x s)` = false
- `mem x empty` = false
- `mem y (add x s)` = true if $x = y$
- `mem y (add x s)` = `mem y s` if $x \neq y$
- `remove x empty` = empty
- `remove y (add x s)` = `remove y s` if $x = y$
- `remove y (add x s)` = `add x (remove y s)` if $x \neq y$

RHS of eqn applies non-generator to
smaller input than LHS

Upcoming events

- [Tue/Wed]: MS2 demos
- [Thur]: MS2 due in CMS, no late submissions

This is verified.

THIS IS 3110