



CS3281 / CS5281

Exceptional Control Flow

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**Some lecture slides borrowed and adapted from CMU's
"Computer Systems: A Programmer's Perspective"*



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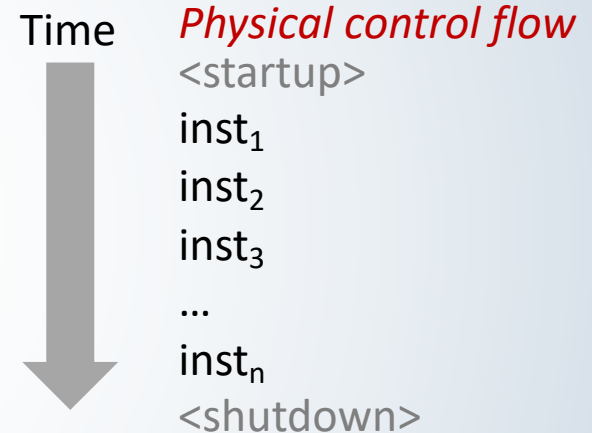


This Lecture

- **Exceptional Control Flow**
- Exceptions
- Processes
- Process Control

Control Flow

- Processors do only one thing:
 - From startup to shutdown, a CPU reads and executes (interprets) a sequence of instructions, one at a time
 - This sequence is the CPU's *control flow* (or *flow of control*)



Altering the Control Flow

- You know two mechanisms for changing control flow:
 - Jumps and branches
 - Call and return
- Insufficient for a useful system: difficult to react to events and changing system state
 - Examples of changes in system state:
 - Data arrives from a disk or a network adapter
 - Instruction divides by zero
 - User hits Ctrl-C at the keyboard
 - System timer expires
- System needs mechanisms for “exceptional control flow”

Exceptional Control Flow

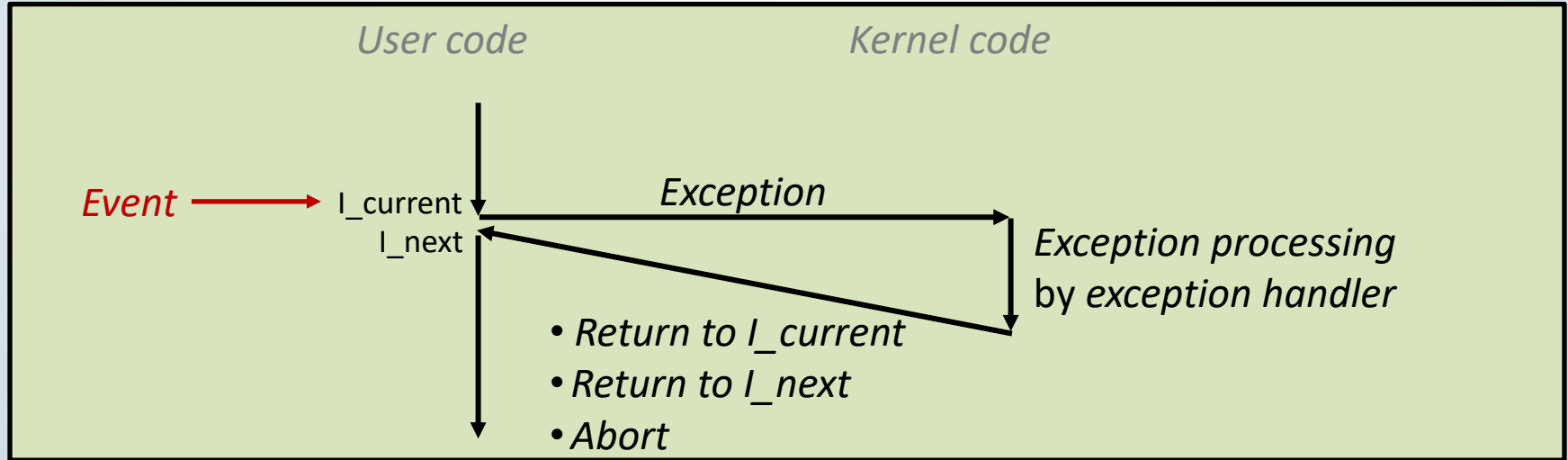
- Exists at all levels of a computer system
- Low-level mechanisms:
 - **Exceptions:** change in control flow in response to a system event
 - Implemented using combination of hardware and OS software
- High-level mechanisms:
 - **Process context switch**, i.e., stop running one program and start running another
 - Implemented by OS software and hardware timer
 - **Signals:** a means of sending a (limited) message to a process that they should respond to
 - Implemented by OS software
 - **Nonlocal jumps:** `setjmp()` and `longjmp()`
 - Implemented by C runtime library

This Lecture

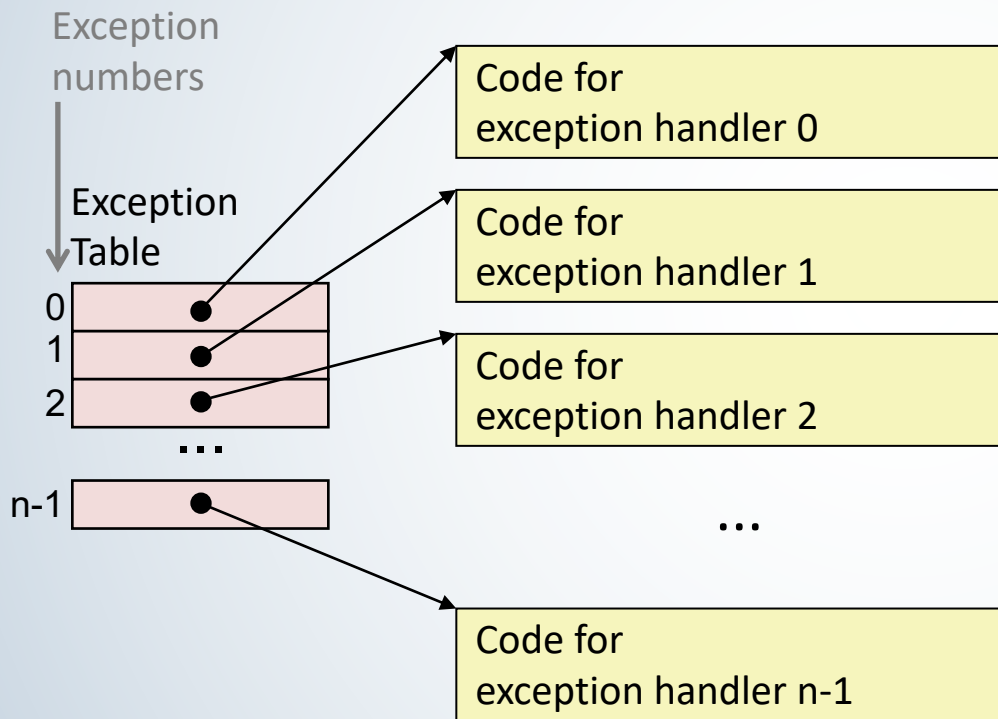
- Exceptional Control Flow
- **Exceptions**
- Processes
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Exceptions

- An exception is a transfer of control to the OS kernel in response to some event (i.e., change in processor state)
 - Examples of events: Divide by 0, arithmetic overflow, page fault, I/O request completes, typing Ctrl-C



Exception Tables



- Each type of event has a unique exception number k
- k = index into exception table (a.k.a. interrupt vector)
- Handler k is called each time exception k occurs

Asynchronous Exceptions

- Caused by events external to the processor
 - Indicated by setting the processor's interrupt pin
 - Handler returns to “next” instruction
- Examples:
 - Timer interrupt
 - Every few ms, a timer chip trigger an interrupt
 - Used by kernel to take back control from user programs
 - I/O interrupt from external device
 - Arrival of network packet
 - Arrival of data from disk

Synchronous Exceptions

- Caused by events that occur as a result of executing an instruction:
 - Traps
 - Intentional
 - Examples: syscalls, breakpoint traps, special instructions
 - Returns control to “next” instruction
 - Faults
 - Unintentional but possibly recoverable
 - Examples: page faults (recoverable), protection faults (unrecoverable), floating-point exceptions
 - Either re-execute faulting instruction or abort
 - Aborts
 - Unintentional and unrecoverable
 - Examples: illegal instruction, parity error, machine check
 - Aborts current program

System Calls

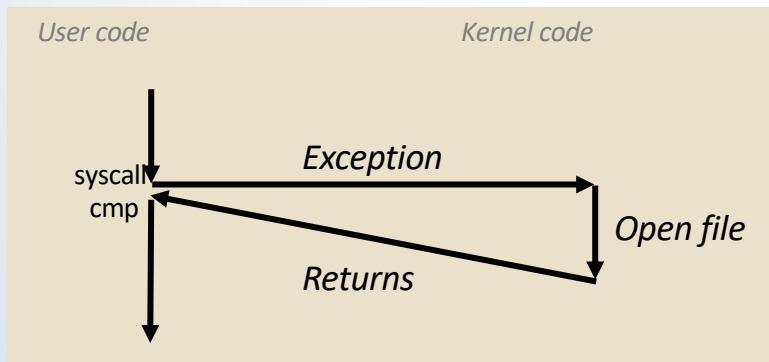
- Each system call has a unique ID number
- xv6 syscall numbers defined in kernel/syscall.h
- Linux has many more system calls as it is much more complex
- You will be implementing your own system calls, so you will have to add to this

```
// System call numbers
#define SYS_fork      1
#define SYS_exit      2
#define SYS_wait      3
#define SYS_pipe      4
#define SYS_read      5
#define SYS_kill      6
#define SYS_exec      7
#define SYS_fstat     8
#define SYS_chdir     9
#define SYS_dup      10
#define SYS_getpid    11
#define SYS_sbrk      12
#define SYS_sleep     13
#define SYS_uptime    14
#define SYS_open      15
#define SYS_write     16
#define SYS_mknod     17
#define SYS_unlink    18
#define SYS_link      19
#define SYS_mkdir     20
#define SYS_close     21
```

System Call Example: Opening File

- User calls: `open(filename, options)`
- Calls `__open` function, which invokes system call instruction `syscall`

```
00000000000e5d70 <__open>:  
...  
e5d79:  b8 02 00 00 00      mov  $0x2,%eax  # open is syscall #2  
e5d7e:  0f 05               syscall          # Return value in %rax  
e5d80:  48 3d 01 f0 ff ff    cmp  $0xffffffffffffffff001,%rax  
...  
e5dfa:  c3                 retq
```



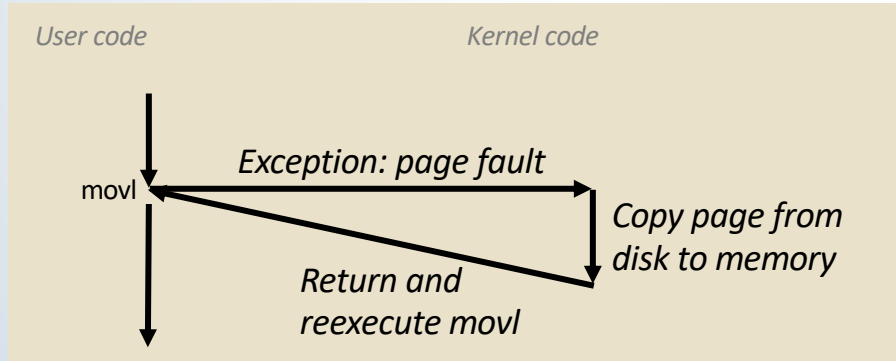
- `%eax` contains syscall number
- Other arguments in `%rdi`, `%rsi`, `%rdx`, `%r10`, `%r8`, `%r9`
- Return value in `%rax`
- Negative value is an error corresponding to negative `errno`

Fault Example: Page Fault

- User writes to memory location
- That portion (page) of user's memory is currently on disk

```
80483b7: c7 05 10 9d 04 08 0d    movl    $0xd,0x8049d10
```

```
int a[1000];  
main ()  
{  
    a[500] = 13;  
}
```

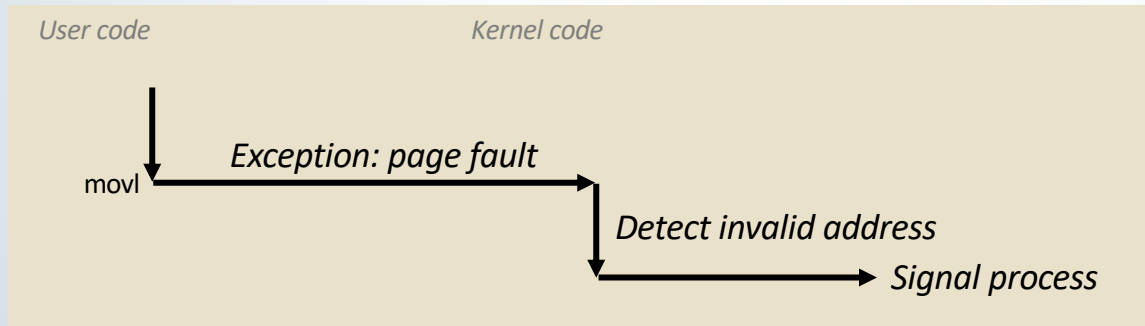


Fault Example: Invalid Memory Reference

- Sends `SIGSEGV` signal to user process
- User process exits with “segmentation fault”

```
int a[1000];  
main ()  
{  
    a[5000] = 13;  
}
```

80483b7: c7 05 60 e3 04 08 0d movl \$0xd,0x804e360

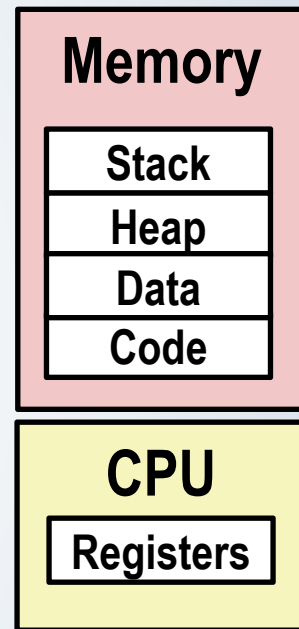


This Lecture

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- **Processes**
- Process Control

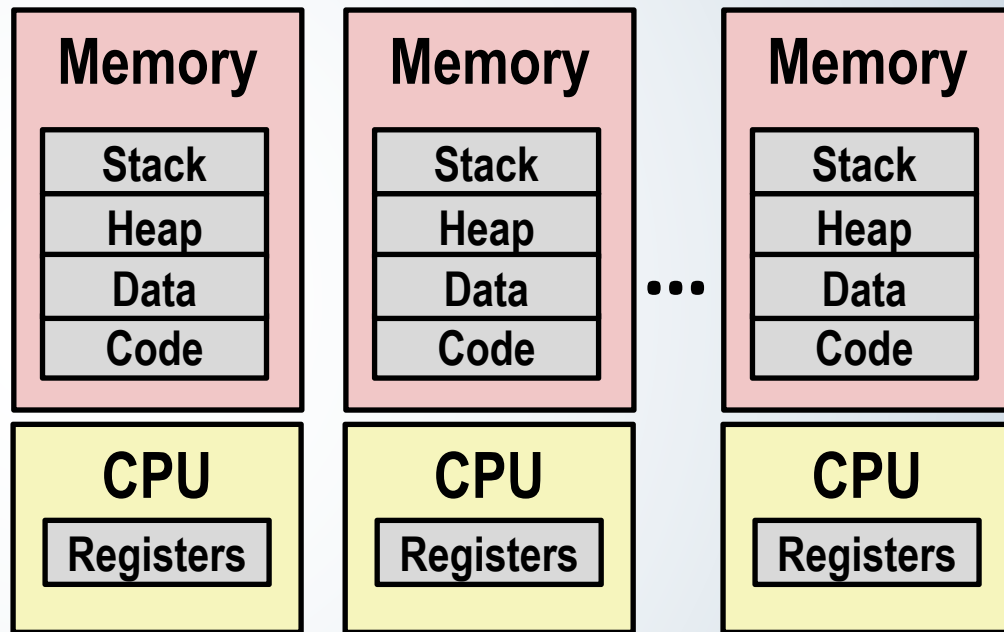
Processes

- Definition: A process is an instance of a running program
 - One of the most profound ideas in computer science
 - Not the same as “program” or “processor”
- Process provides each program with two key abstractions:
 - Logical control flow
 - Each program seems to have exclusive use of the CPU
 - Provided by kernel mechanism called context switching
 - Private address space
 - Each program seems to have exclusive use of main memory
 - Provided by kernel mechanism called virtual memory



Multiprocessing: The Illusion

- Computer runs many processes simultaneously
 - Applications for one or more users
 - Web browsers, email clients, editors, ...
 - Background tasks
 - Monitoring network & I/O devices



Multiprocessing Example

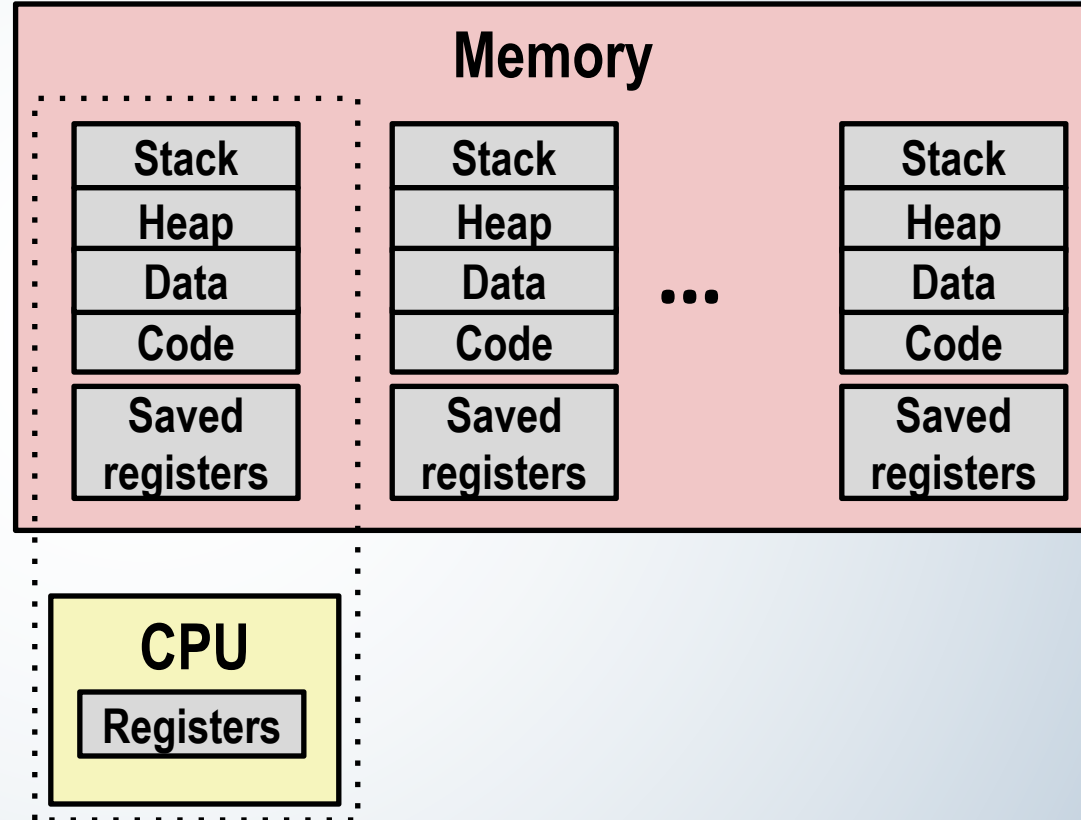
- Running program “top” on Mac
 - System has 123 processes, 5 of which are active
 - Identified by Process ID (PID)

Processes: 123 total, 5 running, 9 stuck, 109 sleeping, 611 threads
 Load Avg: 1.03, 1.13, 1.14 CPU usage: 3.27% user, 5.15% sys, 91.56% idle
 SharedLibs: 576K resident, 0B data, 0B linkedit.
 MemRegions: 27958 total, 1127M resident, 35M private, 494M shared.
 PhysMem: 1039M wired, 1974M active, 1062M inactive, 4076M used, 18M free.
 VM: 280G vsize, 1091M framework vsize, 23075213(1) pageins, 5843367(0) pageouts.
 Networks: packets: 41046228/11G in, 66083096/77G out.
 Disks: 17874391/349G read, 12847373/594G written.

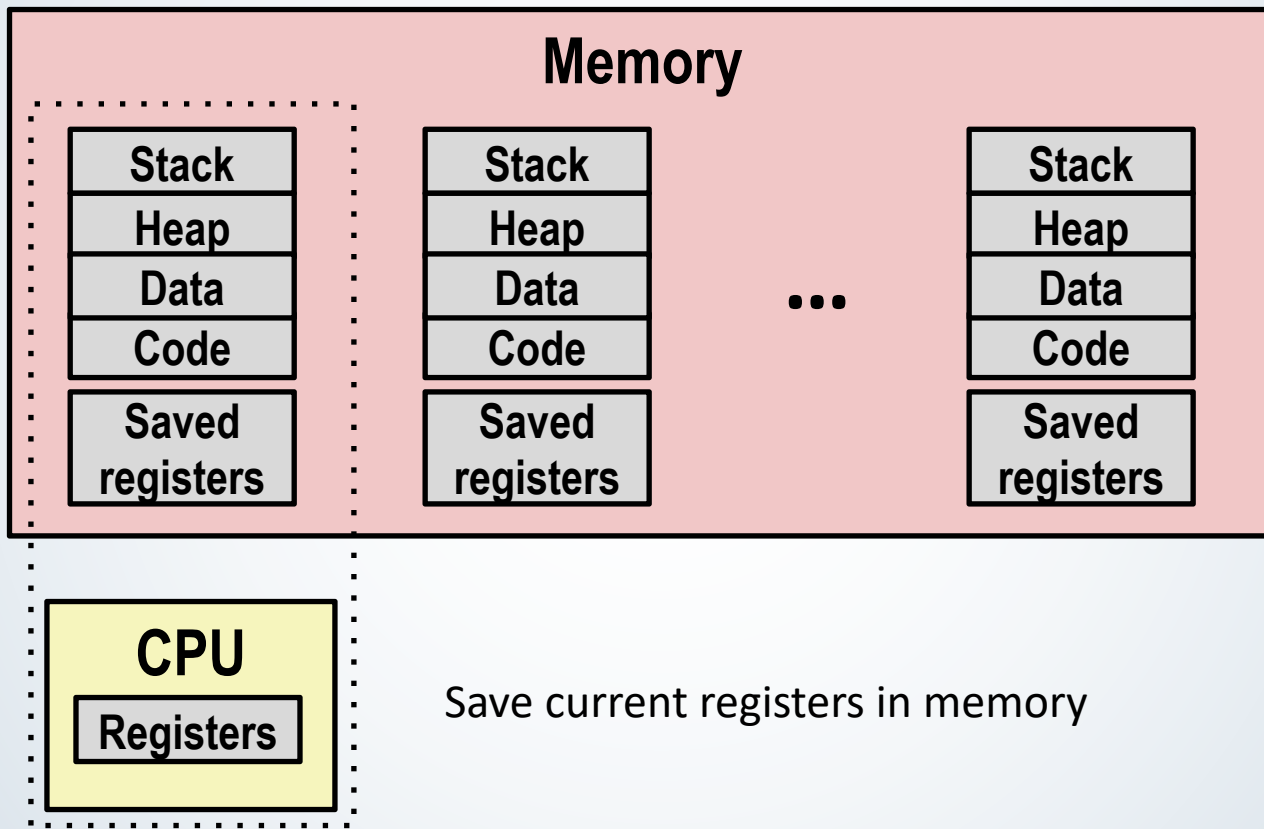
PID	COMMAND	%CPU	TIME	#TH	#WQ	#PORT	#HREG	RPRVT	RSHRD	RSIZE	VPRVT	VSIZE
99217-	Microsoft Of	0.0	02:28.34	4	1	202	418	21M	24M	21M	66M	763M
99051	usbmuxd	0.0	00:04.10	3	1	47	66	436K	216K	480K	60M	2422M
99006	iTunesHelper	0.0	00:01.23	2	1	55	78	728K	3124K	1124K	43M	2429M
84286	bash	0.0	00:00.11	1	0	20	24	224K	732K	484K	17M	2378M
84285	xterm	0.0	00:00.83	1	0	32	73	656K	872K	692K	9728K	2382M
55939-	Microsoft Ex	0.3	21:58.97	10	3	360	954	16M	65M	46M	114M	1057M
54751	sleep	0.0	00:00.00	1	0	17	20	92K	212K	360K	9632K	2370M
54739	launchdadd	0.0	00:00.00	2	1	33	50	488K	220K	1736K	48M	2409M
54737	top	6.5	00:02.53	1/1	0	30	29	1416K	216K	2124K	17M	2378M
54719	automountd	0.0	00:00.02	7	1	53	64	860K	216K	2184K	53M	2413M
54701	ocspd	0.0	00:00.05	4	1	61	54	1268K	2644K	3132K	50M	2426M
54661	Grab	0.6	00:02.75	6	3	222+	389+	15M+	26M+	40M+	75M+	2556M+
54659	cookied	0.0	00:00.15	2	1	40	61	3316K	224K	4088K	42M	2411M
53818	mdworker	0.0	00:01.67	4	1	52	91	7628K	7412K	16M	48M	2438M
50878	mdworker	0.0	00:11.17	3	1	53	91	2464K	6148K	9976K	44M	2434M
50410	xterm	0.0	00:00.13	1	0	32	73	280K	872K	532K	9700K	2382M
50078	emacs	0.0	00:06.70	1	0	20	35	52K	216K	88K	18M	2392M

Multiprocessing: The (Traditional) Reality

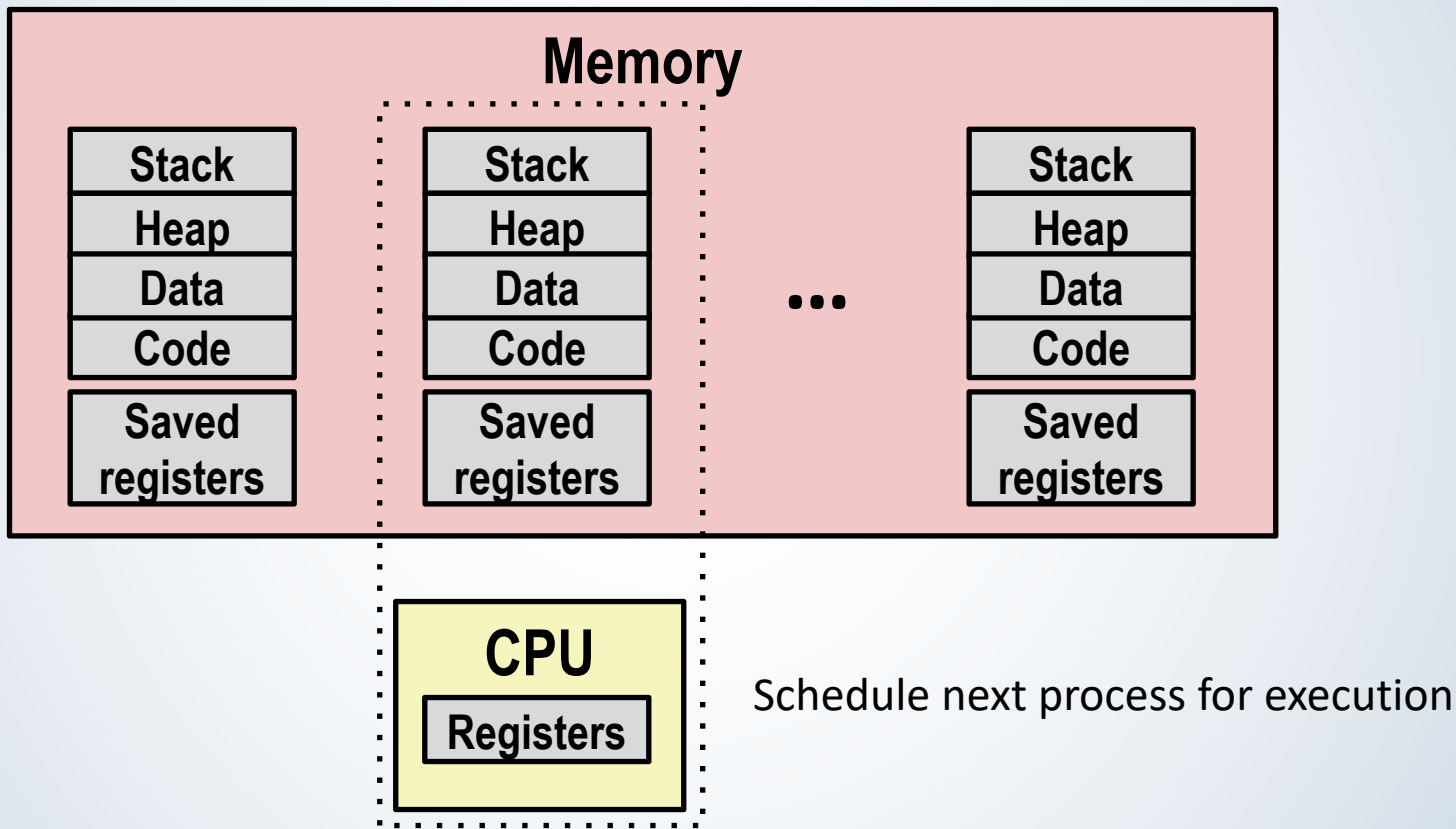
- Single processor executes multiple processes concurrently
 - Process executions interleaved (multitasking)
 - Address spaces managed by virtual memory system (later in course)
 - Register values for nonexecuting processes saved in memory



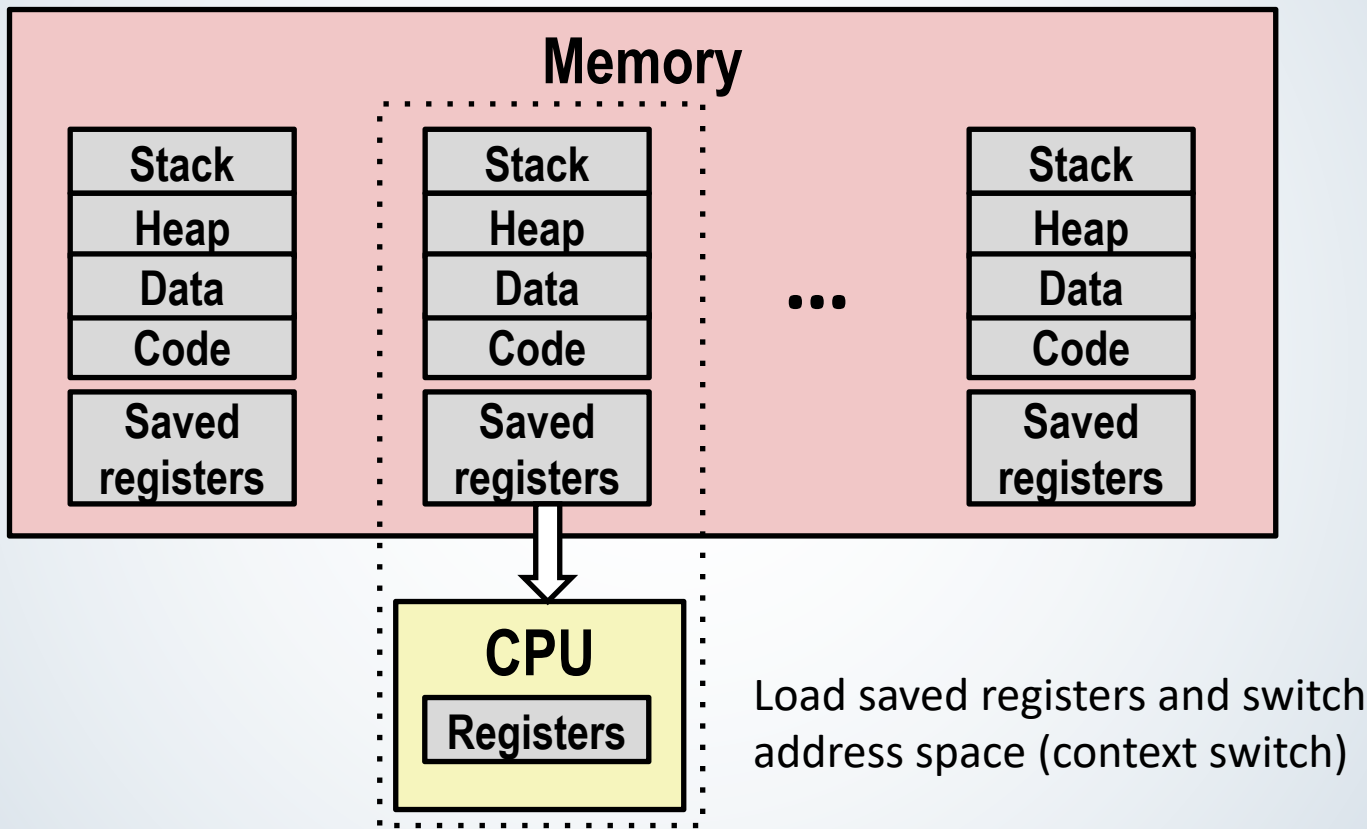
Multiprocessing: The (Traditional) Reality



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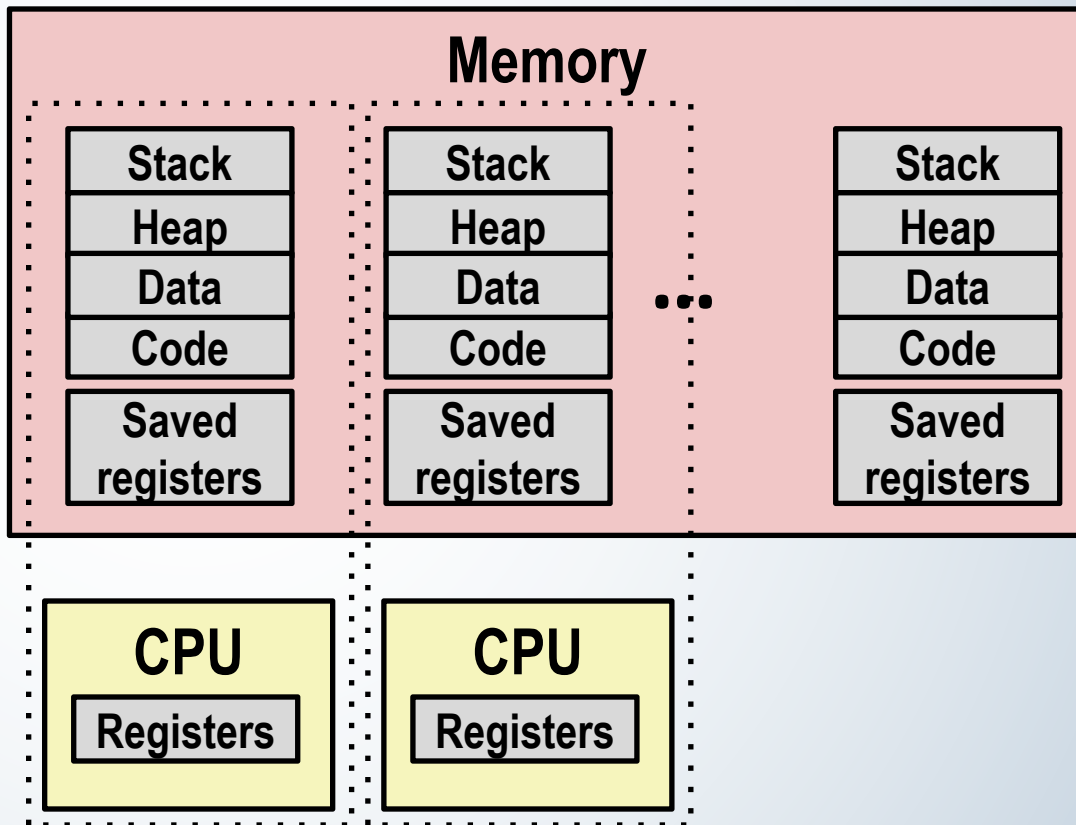
Multiprocessing: The (Traditional) Reality



Multiprocessing: The (Modern) Reality

- **Multicore processors**

- Multiple CPUs on single chip
- Share main memory (and some of the caches)
- Each can execute a separate process
- Scheduling of processors onto cores done by kernel



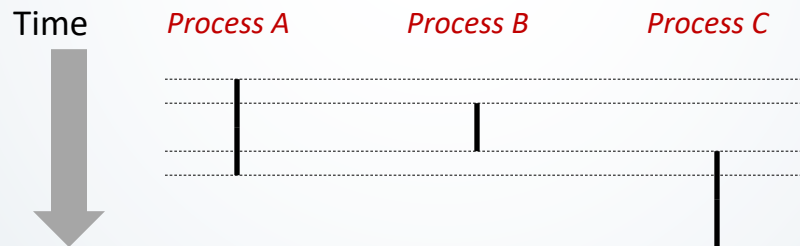
Concurrent Processes

- Each process is a logical control flow
- Two processes *run concurrently* (are *concurrent*) if their flows overlap in time
- Otherwise, they are *sequential*
- Examples (running on single core):
 - Concurrent: A & B, A & C
 - Sequential: B & C



User View of Concurrent Processes

- Control flows for concurrent processes are physically disjoint in time
- However, we can think of concurrent processes as running in parallel with each other



Context Switching

- Processes are managed by a shared chunk of memory-resident OS code called the *kernel*
 - Important:** the kernel is not a separate process, but rather runs as part of some existing process
- Control flow passes from one process to another via a *context switch*

