## **Entities**

- 1. Users (<u>userID</u>, email (UNIQUE), userPassword, userBalance, userSignedUpAt)
  - Assumptions:
    - A user record represents a person using the app
    - userEmail must be unique so that no two users share the same login
    - userBalance is initialized with the amount the user deposits at sign-up
    - userSignedUpAt is automatically recorded at registration
    - A user's data persists even if they leave all groups
  - Why this is an entity:
    - Users are the main actors in the system and interact with multiple parts of the app (trading, groups, watchlists)
    - They exist independently of other entities and are referenced by many relationships
- 2. Groups (groupID, groupName, groupBalance):
  - Assumptions:
    - A group record represents a trading group mutually formed by users
    - A user can make multiple groups
    - groupName need not be unique
    - groupBalance is initialized with the collective balances of all users' individual balances
    - groupCreatedAt is automatically recorded when a group is made
    - groupCreatedBy references the user who created the group
  - Why this is an entity:
    - Groups exist independently of users and can include multiple users
    - Storing them separately avoids repeating group information for every user and supports group features like shared portfolios or discussions
- 3. Tickers (<u>symbol</u>, name, assetType):
  - Assumptions:
    - A ticker record represents a tradable symbol (e.g., "AAPL", "BTC", etc.)
    - symbol uniquely identifies each ticker
    - assetType classifies the ticker into common types (e.g., stocks, crypto, etc.)
  - Why this is an entity:

- Tickers are referenced across transactions, news, and price data
- Keeping them as their own entity avoids redundancy and provides a single source of truth for all tradable instruments
- 4. Price-Bars (symbol, time, open, high, low, close, volume, source):
  - Assumptions:
    - A price bar record represents market data for a specific ticker at a specific time
    - symbol and time combined uniquely identifies a record
    - source shows whether data is real or simulated
    - Market prices change frequently, leading to many records per ticker
  - Why this is an entity:
    - Price data forms a repeating time series for each ticker
    - Storing it separately allows the system to efficiently manage large amounts of market data without duplicating ticker information
- 5. News-Articles (<u>articleID</u>, publishedAt, source, title, URL, sentiment, impactTags):
  - Assumptions:
    - A news article record represents an news article
    - source, title, and URL describe where the news article came from and what it is about
    - sentiment and impactTags are optional fields describing potential market effects
    - A single news article can mention multiple tickers
  - Why this is an entity:
    - News articles have their own metadata and aren't tied to a single ticker or user
    - Keeping them separate allows one news article to be linked to many tickers and easily reused or analyzed
- 6. Transactions (<u>transactionID</u>, accountID, ticker, time, side, quantity, price, kind, status, requestedBy, approvedBy):
  - Assumptions:
    - A transaction record represents a single trade event
    - transactionID uniquely identifies each transaction
    - accountID links the trade to the account the trade originated from
    - Each trade involves one ticker and is requested by one user, as denoted with requestedBy
    - Some transactions may require approval from another user, as denoted with approvedBy
    - Transactions cannot be edited after its creation; in other words, they're treated as permanent records
  - Why this is an entity:

- Transactions are the core log of trading activity
- Since multiple accounts and users can each have many trades, storing them as their own entity allows for accurate tracking, auditing, and analysis

## Relationships

- 1. Users ↔ Groups via Group-Memberships(role, joinedAt)
  - Cardinality: M:N (optional participation)
    - Users can belong to many groups
    - Groups can have many users
- 2. Users ↔ Groups via Created-By(createdAt, createdBy)
  - Cardinality: 1:M
    - Each group is created by exactly one user
    - A user can create many groups
- 3. Users ↔ Tickers via User-Watchlist
  - Cardinality: M:N (optional participation)
    - Each user can follow many tickers
    - Each ticker can be followed by many users
- 4. Users ↔ News-Articles via Users-News-Feed
  - Cardinality: M:N (optional participation)
    - Tracks which articles a user has seen/read
- 5. Users ↔ Transactions via User-Transactions-Requests(requestedBy)
  - Cardinality: 1:M
    - Each transaction request involves exactly one user
    - A user can request many transactions
- 6. Users ↔ Transactions via User-Transactions-Approvals(approvedBy)
  - Cardinality: 0..1:M
    - Each transaction can have either zero or one approver
    - Each user can approve many transactions
- 7. Groups ↔ Transactions via Groups-Transactions
  - Cardinality: 1:M
    - Each transaction involves exactly one group
    - A group can have many transactions
- 8. Tickers ↔ Transactions via Involves
  - Cardinality: 1:M
    - Each transaction involves exactly one ticker
    - A ticker can appear in many transactions
- 9. Tickers ↔ Price-Bars via HasPriceData
  - Cardinality: 1:M
    - Each ticker has many price bars

- 10. Tickers ↔ News-Articles via Mentions
  - Cardinality: M:N (optional participation)
    - An article can mention many tickers
    - A ticker can appear in many articles

## Normalizing

Fortunately enough, our schema is already in 3NF, seeing as how all non-key attributes depend on the whole key and there are no transitive dependencies

## Relational Schema

- Users(userID:INT [PK], userEmail:VARCHAR(255) UNIQUE, userPassword:VARCHAR(255), userBalance:DECIMAL(12,2), userSignedUpAt:DATETIME)
- 2. Grps(groupID:INT [PK], groupName:VARCHAR(255), groupBalance:DECIMAL(12,2), groupCreatedAt:DATETIME, groupCreatedBy:INT [FK to Users.userID])
- Group-Memberships(userID: INT [FK to Users.userID],groupID: INT [FK to Groups.groupID], role: VARCHAR(50), joinedAt: DATETIME, PRIMARY KEY (userID, groupID))
- 4. Tickers(symbol: VARCHAR(10) [PK], name: VARCHAR(255), assetType: VARCHAR(50))
- 5. Price-Bars(ticker: VARCHAR(10) [FK to Tickers.symbol], time: DATETIME, open: DECIMAL(12,2), high: DECIMAL(12,2), low: DECIMAL(12,2), close: DECIMAL(12,2), volume: BIGINT, source: VARCHAR(20), PRIMARY KEY (ticker, time))
- News-Articles(articleID: INT [PK], publishedAt: DATETIME, source: VARCHAR(100), title: VARCHAR(255), URL: VARCHAR(500), sentiment: VARCHAR(20), impactTags: VARCHAR(255))
- Users-News-Feed(userID: INT [FK to Users.userID], articleID: INT [FK to News-Articles.articleID], seenAt: DATETIME, isRead: BOOLEAN, PRIMARY KEY (userID, articleID))
- 8. User-Watchlist(userID: INT [FK to Users.userID], ticker: VARCHAR(10) [FK to Tickers.symbol], addedAt: DATETIME, PRIMARY KEY (userID, ticker))
- Transactions(transactionID: INT [PK], accountID: INT, groupID: INT [FK to Groups.groupID], ticker: VARCHAR(10) [FK to Tickers.symbol], time: DATETIME, side: VARCHAR(10), quantity: DECIMAL(12,4), price: DECIMAL(12,2), kind: VARCHAR(20), status: VARCHAR(20), requestedBy: INT [FK to Users.userID], approvedBy: INT [FK to Users.userID])