CS 4110

Programming Languages & Logics

Lecture 2
Introduction to Semantics

Semantics

Question: What is the meaning of a program?

Semantics

Question: What is the meaning of a program?

Answer: We could execute the program using an interpreter or a compiler, or we could consult a manual...



A6.7 Void

The (nonexistent) value of a void object may not be used in any way, and neither explicit nor implicit conversion to any non-void type may be applied. Because a void expression denotes a nonexistent value, such an expression may be used only where the value is not required, for example as an expression statement (\$A9.2.) or as the left operand of a commo operator (\$A7.18).

An expression may be converted to type void by a cast. For example, a void cast documents the discarding of the value of a function call used as an expression statement.

void did not appear in the first edition of this book, but has become common since.

...but none of these is a satisfactory solution.

Formal Semantics

Three Approaches

Operational

$$\langle \sigma, \mathbf{e} \rangle \longrightarrow \langle \sigma', \mathbf{e}' \rangle$$

- Model program by execution on abstract machine
- Useful for implementing compilers and interpreters
- Denotational:

 $\llbracket e \rrbracket$

- ► Model program as mathematical objects
- Useful for theoretical foundations
- Axiomatic

$$\vdash \{\phi\} \, \mathsf{e} \, \{\psi\}$$

- Model program by the logical formulas it obeys
- Useful for proving program correctness

Arithmetic Expressions

Syntax

A language of integer arithmetic expressions with assignment.

Syntax

A language of integer arithmetic expressions with assignment.

Metavariables:

evariables:

$$x,y,z \in Var = \{\alpha_1, \beta_1, C_1, \dots, \beta_n\}$$

 $n,m \in Int$
 $e \in Exp$
 $e \in Exp$

Syntax

A language of integer arithmetic expressions with assignment.

Metavariables:

$$x,y,z \in Var$$
 $n,m \in Int$
 $e \in Exp$

BNF Grammar:
$$e := x$$

$$|n$$

$$|e_1 + e_2$$

$$|x := e_1; e_2$$

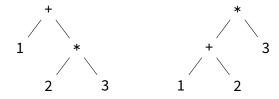
Ambiguity

What expression does the string "1 (2*3)" describe?

Ambiguity

What expression does the string "1 + 2 * 3" describe?

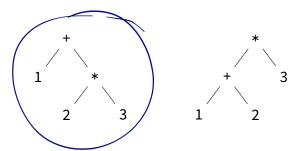
There are two possible parse trees:



Ambiguity

What expression does the string "1 + 2 * 3" describe?

There are two possible parse trees:



In this course, we will distinguish abstract syntax from concrete syntax, and focus primarily on abstract syntax (using conventions or parentheses at the concrete level to disambiguate as needed).

Representing Expressions

BNF Grammar:

```
e := x
| n 
| e_1 + e_2 
| e_1 * e_2 
| x := e_1 ; e_2
```

Representing Expressions

BNF Grammar:

```
e := X
| n 
| e_1 + e_2 
| e_1 * e_2 
| X := e_1 ; e_2
```

OCaml:

```
type exp = Var of string
| Int of int
| Add of exp * exp
| Mul of exp * exp
| Assgn of string * exp * exp
```

Example: Mul(Int 2, Add(Var "foo", Int 1))

Representing Expressions

BNF Grammar:

```
e := x
| n 
| e_1 + e_2 
| e_1 * e_2 
| x := e_1 ; e_2
```

Java:

```
abstract class Expr { }
class Var extends Expr { String name; ... }
class Int extends Expr { int val; ... }
class Add extends Expr { Expr exp1, exp2; ... }
class Mul extends Expr { Expr exp1, exp2; ... }
class Assgn extends Expr { String var, Expr exp1, exp2; ... }
```

Example: new Mul(new Int(2), new Add(new Var("foo"), new Int(1)))

• 7 + (4 * 2) evaluates to ...?

• 7 + (4 * 2) evaluates to 15

- 7 + (4 * 2) evaluates to 15
 i := 6 + 1; (2 * (3 * i)) evaluates to ...?

- 7 + (4 * 2) evaluates to 15
- i := 6 + 1; 2 * 3 * i evaluates to 42

- 7 + (4 * 2) evaluates to 15
- i := 6 + 1; 2 * 3 * i evaluates to 42
- *x* + 1 evaluates to ...?

- 7 + (4 * 2) evaluates to 15
- i := 6 + 1; 2 * 3 * i evaluates to 42
- *x* + 1 evaluates to error?

- 7 + (4 * 2) evaluates to 15
- i := 6 + 1; 2 * 3 * i evaluates to 42
- x + 1 evaluates to error?

The rest of this lecture will make these intuitions precise...

Mathematical Preliminaries

The *product* of two sets *A* and *B*, written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

$$A \times B = \{(a,b) \mid a \in A \}$$

10

The *product* of two sets *A* and *B*, written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

A binary relation on A and B is just a subset $R \subseteq A \times B$.

7

SUBSET

The *product* of two sets *A* and *B*, written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

A binary relation on A and B is just a subset $R \subseteq A \times B$.

Given a binary relation $R \subseteq A \times B$, the set A is called the *domain* of R and B is called the *range* (or *codomain*) of R.

The *product* of two sets *A* and *B*, written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

A binary relation on A and B is just a subset $R \subseteq A \times B$.

Given a binary relation $R \subseteq A \times B$, the set A is called the *domain* of R and B is called the *range* (or *codomain*) of R.

Some Important Relations

- empty ∅
- total $A \times B$
- identity on $A \{(a, a) \mid a \in A\}$.
- composition R; $S \{(a,c) \mid \exists b. (a,b) \in R \land (b,c) \in S\}$



A (total) function f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$

A (total) function f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$

When f is a function, we usually write $f: A \rightarrow B$ instead of $f \subset A \times B$

A (total) function f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$

When f is a function, we usually write $f: A \rightarrow B$ instead of $f \subseteq A \times B$

The *domain* and *range* of *f* are defined the same way as for relations

A (total) function f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$

When f is a function, we usually write $f:A\to B$ instead of $f\subseteq A\times B$

The *domain* and *range* of *f* are defined the same way as for relations

The *image* of f is the set of elements $b \in B$ that are mapped to by at least one $a \in A$. More formally: image $(f) \triangleq \{f(a) \mid a \in A\}$

Given two functions $f: A \to B$ and $g: B \to C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

Given two functions $f: A \to B$ and $g: B \to C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

A partial function $f: A \rightarrow B$ is a total function $f: A' \rightarrow B$ on a set $A' \subseteq A$. The notation dom(f) refers to A'.

Given two functions $f: A \to B$ and $g: B \to C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

A partial function $f: A \rightarrow B$ is a total function $f: A' \rightarrow B$ on a set $A' \subseteq A$. The notation dom(f) refers to A'.

A function $f: A \to B$ is said to be *injective* (or *one-to-one*) if and only if $a_1 \neq a_2$ implies $f(a_1) \neq f(a_2)$.

Given two functions $f: A \to B$ and $g: B \to C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

A partial function $f: A \longrightarrow B$ is a total function $f: A' \to B$ on a set $A' \subseteq A$. The notation dom(f) refers to A'.

A function $f: A \to B$ is said to be *injective* (or *one-to-one*) if and only if $a_1 \neq a_2$ implies $f(a_1) \neq f(a_2)$.

A function $f: A \to B$ is said to be *surjective* (or *onto*) if and only if the image of f is B.

Operational Semantics

Overview

An operational semantics describes how a program executes on some (typically idealized) abstract machine.

Overview

An operational semantics describes how a program executes on some (typically idealized) abstract machine.

A small-step semantics describes how such an execution proceeds in terms of successive reductions: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

Overview

An operational semantics describes how a program executes on some (typically idealized) abstract machine.

A small-step semantics describes how such an execution proceeds in terms of successive reductions: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

For our language, a configuration $\langle \sigma, e \rangle$ has two components:

- a store σ that records the values of variables
- and the expression e being evaluated

Overview

An operational semantics describes how a program executes on some (typically idealized) abstract machine.

A small-step semantics describes how such an execution proceeds in terms of successive reductions: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

For our language, a configuration $\langle \sigma, e \rangle$ has two components:

- a store σ that records the values of variables
- and the expression e being evaluated

More formally,

Store
$$\triangleq$$
 Var \rightharpoonup Int Config \triangleq Store \times Exp

Note that a store is a *partial* function from variables to integers.

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

Question: How should we define this relation?

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

Question: How should we define this relation? Note that there are an infinite number of configurations and possible steps!

The small-step operational semantics itself is a relation on configurations—i.e., a subset of $\textbf{Config} \times \textbf{Config}$.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

Question: How should we define this relation? Note that there are an infinite number of configurations and possible steps!

Answer: define it inductively, using inference rules:

$$rac{p=m+n}{\langle \sigma, n+m
angle
ightarrow \langle \sigma, p
angle}$$
 ADD

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

Question: How should we define this relation? Note that there are an infinite number of configurations and possible steps!

Answer: define it inductively, using inference rules:

$$rac{p=m+n}{\langle \sigma, n+m
angle
ightarrow \langle \sigma, p
angle}$$
 Add

Intuitively, if facts above the line hold, then facts below the line hold. More formally, " \rightarrow " is the smallest relation "closed" under the inference rules.

Variables

$$rac{n=\sigma(extbf{x})}{\langle \sigma, extbf{x}
angle
ightarrow \langle \sigma, n
angle} \; extsf{Var}$$

Addition

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e_1' + e_2 \rangle} \text{ LADD}$$

Addition

$$\begin{split} &\frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \to \langle \sigma', e_1' + e_2 \rangle} \text{ LADD} \\ &\frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n + e_2 \rangle \to \langle \sigma', n + e_2' \rangle} \text{ RADD} \end{split}$$

Addition

$$\begin{split} \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \to \langle \sigma', e_1' + e_2 \rangle} \text{ LADD} \\ \frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n + e_2 \rangle \to \langle \sigma', n + e_2' \rangle} \text{ RADD} \\ \frac{p = m + n}{\langle \sigma, n + m \rangle \to \langle \sigma, p \rangle} \text{ ADD} \end{split}$$

Multiplication

$$\frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \text{ LMUL}$$

Multiplication

$$\begin{split} &\frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \text{ LMUL} \\ &\frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n * e_2 \rangle \to \langle \sigma', n * e_2' \rangle} \text{ RMUL} \end{split}$$

Multiplication

$$\begin{split} &\frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \text{ LMUL} \\ &\frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n * e_2 \rangle \to \langle \sigma', n * e_2' \rangle} \text{ RMUL} \\ &\frac{p = m \times n}{\langle \sigma, m * n \rangle \to \langle \sigma, p \rangle} \text{ MUL} \end{split}$$

Assignment

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, \mathbf{X} := e_1 \; ; \; e_2 \rangle \rightarrow \langle \sigma', \mathbf{X} := e_1' \; ; \; e_2 \rangle} \; \mathsf{Assgn1}$$

Assignment

$$\begin{split} \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, x := e_1 \; ; \; e_2 \rangle \to \langle \sigma', x := e_1' \; ; \; e_2 \rangle} \; \text{Assgn1} \\ \frac{\sigma' = \sigma[x \mapsto n]}{\langle \sigma, x := n \; ; \; e_2 \rangle \to \langle \sigma', e_2 \rangle} \; \text{Assgn} \end{split}$$

Notation: $\sigma[x \mapsto n]$ maps x to n and otherwise behaves like σ

$$\frac{n=\sigma(x)}{\langle \sigma,x\rangle \to \langle \sigma,n\rangle} \, \text{Var} \qquad \frac{\langle \sigma,e_1\rangle \to \langle \sigma',e_1'\rangle}{\langle \sigma,e_1+e_2\rangle \to \langle \sigma',e_1'+e_2\rangle} \, \text{LAdd}$$

$$\frac{\langle \sigma,e_2\rangle \to \langle \sigma',e_2'\rangle}{\langle \sigma,n+e_2\rangle \to \langle \sigma',n+e_2'\rangle} \, \text{RAdd} \qquad \frac{p=m+n}{\langle \sigma,n+m\rangle \to \langle \sigma,p\rangle} \, \text{Add}$$

$$\frac{\langle \sigma,e_1\rangle \to \langle \sigma',e_1'\rangle}{\langle \sigma,e_1*e_2\rangle \to \langle \sigma',e_1'\rangle} \, \text{LMul} \qquad \frac{\langle \sigma,e_2\rangle \to \langle \sigma',e_2'\rangle}{\langle \sigma,n*e_2\rangle \to \langle \sigma',n*e_2'\rangle} \, \text{RMul}$$

$$\frac{p=m\times n}{\langle \sigma,m*n\rangle \to \langle \sigma,p\rangle} \, \text{Mul}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, \textbf{\textit{x}} := e_1 \: ; \: e_2 \rangle \rightarrow \langle \sigma', \textbf{\textit{x}} := e_1' \: ; \: e_2 \rangle} \text{ Assgn1}$$