# CS 4110

# Programming Languages & Logics

Lecture 15
De Bruijn, Combinators, Encodings

### Review: $\lambda$ -calculus

#### **Syntax**

$$e ::= x \mid e_1 e_2 \mid \lambda x. e$$
  
 $v ::= \lambda x. e$ 

#### **Semantics**

$$\frac{e_1 \to e_1'}{e_1 e_2 \to e_1' e_2} \qquad \frac{e \to e'}{v e \to v e'}$$
$$\overline{(\lambda x. e) v \to e \{v/x\}}^{\beta}$$

# Rewind: Currying

This is just a function that returns a function:

$$\mathsf{ADD} \triangleq \lambda x.\, \lambda y.\, x + y$$

ADD 38 
$$\rightarrow \lambda y$$
. 38 +  $y$ 

ADD 38 4 = (ADD 38) 4 
$$\rightarrow$$
 42

**Informally,** you can think of it as a *curried* function that takes two arguments, one after the other.

But that's just a way to get intuition. The  $\lambda$ -calculus only has one-argument functions.

# de Bruijn Notation

Another way to avoid the tricky issues with substitution is to use a *nameless* representation of terms.

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Abstractions have lost their variables!

Variables are replaced with numerical indices!

Here are some terms written in standard and de Bruijn notation:

Standard	de Bruijn
λx. x	$\lambda$ . 0
λz. z	

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$\lambda x. \ \lambda y. \ x$	

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Standard	de Bruijn
λx. x	λ. 0
$\lambda z$ . $z$	λ. 0
λx. λy. x	λ. λ. 1
$\lambda x. \ \lambda y. \ \lambda s. \ \lambda z. \ x s (y s z)$	

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$(\lambda x. xx)(\lambda x. xx)$	$(\lambda. \ 0 \ 0) (\lambda. \ 0 \ 0)$
$(\lambda x. \ \lambda x. \ x) (\lambda y. \ y)$	$(\lambda. \ \lambda. \ 0) (\lambda. \ 0)$

### Free variables

To represent a  $\lambda$ -expression that contains free variables in de Bruijn notation, we need a way to map the free variables to integers.

We will work with respect to a map  $\Gamma$  from variables to integers called a *context*.

#### Examples:

Suppose that  $\Gamma$  maps x to 0 and y to 1.

- Representation of x y is 0 1
- Representation of  $\lambda z$ .  $x y z \lambda$ . 120

# Shifting

To define substitution, we will need an operation that shifts by *i* the variables above a cutoff *c*:

$$\uparrow_{c}^{i}(n) = \begin{cases} n & \text{if } n < c \\ n+i & \text{otherwise} \end{cases}$$

$$\uparrow_{c}^{i}(\lambda.e) = \lambda.(\uparrow_{c+1}^{i}e)$$

$$\uparrow_{c}^{i}(e_{1}e_{2}) = (\uparrow_{c}^{i}e_{1})(\uparrow_{c}^{i}e_{2})$$

The cutoff *c* keeps track of the variables that were bound in the original expression and so should not be shifted.

The cutoff is 0 initially.

#### Substitution

Now we can define substitution as follows:

$$\begin{array}{rcl} n\{e/m\} & = & \left\{ \begin{array}{ll} e & \text{if } n = m \\ n & \text{otherwise} \end{array} \right. \\ (\lambda.e_1)\{e/m\} & = & \lambda.e_1\{(\uparrow_0^1 e)/m + 1\})) \\ (e_1 \, e_2)\{e/m\} & = & \left(e_1\{e/m\}\right)(e_1\{e/m\}) \end{array}$$

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The  $\beta$  rule for terms in de Bruijn notation is just:

$$\overline{\left(\lambda.e_1\right)e_2 \ \rightarrow \ \uparrow_0^{-1}\left(e_1\{\uparrow_0^1e_2/0\}\right)}^{\ \beta}$$

Consider the term  $(\lambda u.\lambda v.u.x)$  y with respect to a context where  $\Gamma(x) = 0$  and  $\Gamma(y) = 1$ .

Consider the term  $(\lambda u.\lambda v.u x) y$  with respect to a context where  $\Gamma(x) = 0$  and  $\Gamma(y) = 1$ .

$$(\lambda.\lambda.12)1$$

Consider the term  $(\lambda u.\lambda v.u x) y$  with respect to a context where  $\Gamma(x) = 0$  and  $\Gamma(y) = 1$ .

$$\begin{array}{c} (\lambda.\lambda.1\,2)\,1 \\ \rightarrow \ \uparrow_0^{-1} \left((\lambda.1\,2)\{(\uparrow_0^1\,1)/0\}\right) \end{array}$$

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which, in standard notation (with respect to  $\Gamma$ ), is the same as  $\lambda v.y.x$ .

#### Combinators

Another way to avoid the issues having to do with free and bound variable names in the  $\lambda$ -calculus is to work with closed expressions or *combinators*.

With just three combinators, we can encode the entire  $\lambda$ -calculus.

#### **Combinators**

Another way to avoid the issues having to do with free and bound variable names in the  $\lambda$ -calculus is to work with closed expressions or *combinators*.

With just three combinators, we can encode the entire  $\lambda$ -calculus.

$$K = \lambda x. \lambda y. x$$
  

$$S = \lambda x. \lambda y. \lambda z. x z (y z)$$
  

$$I = \lambda x. x$$

### Combinators

We can even define independent evaluation rules that don't depend on the  $\lambda$ -calculus at all.

Behold the "SKI-calculus":

$$egin{aligned} \mathsf{K}\,e_1\,e_2 &
ightarrow e_1 \ \mathsf{S}\,e_1\,e_2\,e_3 &
ightarrow e_1\,e_3\,(e_2\,e_3) \ \mathsf{I}\,e &
ightarrow e \end{aligned}$$

You would never want to program in this language—it doesn't even have variables!—but it's exactly as powerful as the  $\lambda$ -calculus.

### **Bracket Abstraction**

The function [x] that takes a combinator term M and builds another term that behaves like  $\lambda x.M$ :

The idea is that ([x] M)  $N \to M\{N/x\}$  for every term N.

### **Bracket Abstraction**

We then define a function (e)\* that maps a  $\lambda$ -calculus expression to a combinator term:

$$(x)* = x$$
  
 $(e_1 e_2)* = (e_1)* (e_2)*$   
 $(\lambda x.e)* = [x] (e)*$ 

As an example, the expression  $\lambda x. \lambda y. x$  is translated as follows:

$$(\lambda x. \lambda y. x)*$$
  
=  $[x] (\lambda y. x)*$   
=  $[x] ([y] x)$   
=  $[x] (K x)$   
=  $(S ([x] K) ([x] x))$   
=  $S (K K) I$ 

No variables in the final combinator term!

We can check that this behaves the same as our original  $\lambda$ -expression by seeing how it evaluates when applied to arbitrary expressions  $e_1$  and  $e_2$ .

$$(\lambda x.\lambda y. x) e_1 e_2$$

$$= (\lambda y. e_1) e_2$$

$$= e_1$$

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$$(\lambda x.\lambda y. x) e_1 e_2$$

$$= (\lambda y. e_1) e_2$$

$$= e_1$$

and

$$(S(KK)I)e_1e_2 = (KKe_1)(Ie_1)e_2 = Ke_1e_2 = e_1$$

#### SKI Without I

Looking back at our definitions...

$$egin{aligned} \mathsf{K}\,e_1\,e_2 &
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... I isn't strictly necessary. It equals S K K.

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Our example becomes:

## Encodings

The pure  $\lambda$ -calculus contains only functions as values. It is not exactly easy to write large or interesting programs in the pure  $\lambda$ -calculus. We can however encode objects, such as booleans, and integers.

We need to define functions TRUE, FALSE, AND, NOT, IF, and other operators that behave as follows:

AND TRUE FALSE = FALSE NOT FALSE = TRUE IF TRUE  $e_1 e_2 = e_1$ IF FALSE  $e_1 e_2 = e_2$ 

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AND TRUE FALSE 
$$=$$
 FALSE NOT FALSE  $=$  TRUE IF TRUE  $e_1 \ e_2 = e_1$  IF FALSE  $e_1 \ e_2 = e_2$ 

Let's start by defining TRUE and FALSE:

TRUE 
$$\triangleq \lambda x. \lambda y. x$$
  
FALSE  $\triangleq \lambda x. \lambda y. y$ 

We want the function IF to behave like

 $\lambda b$ .  $\lambda t$ .  $\lambda f$ . if b = TRUE then t else f.

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The definitions for TRUE and FALSE make this very easy.

$$\mathsf{IF} \triangleq \lambda b.\,\lambda t.\,\lambda f.\,b\,t\,f$$

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IF 
$$\triangleq \lambda b. \lambda t. \lambda f. b t f$$

We can also write the standard Boolean operators.

$$\begin{aligned} &\mathsf{NOT} \triangleq \lambda b.\, b \, \mathsf{FALSE} \, \mathsf{TRUE} \\ &\mathsf{AND} \triangleq \lambda b_1.\, \lambda b_2.\, b_1\, b_2 \, \mathsf{FALSE} \\ &\mathsf{OR} \triangleq \lambda b_1.\, \lambda b_2.\, b_1 \, \mathsf{TRUE}\, b_2 \end{aligned}$$

#### Church Numerals

Let's encode the natural numbers!

We'll write  $\overline{n}$  for the encoding of the number n. The central function we'll need is a *successor* operation:

SUCC 
$$\overline{n} = \overline{n+1}$$

#### Church Numerals

Church numerals encode a number n as a function that takes f and x, and applies f to x n times.

$$\begin{array}{ccc} \overline{0} & \triangleq & \lambda f. \ \lambda x. \ x \\ \overline{1} & \triangleq & \lambda f. \ \lambda x. \ f \ x \\ \overline{2} & \triangleq & \lambda f. \ \lambda x. \ f \ (f \ x) \end{array}$$

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\overline{1} & \triangleq & \lambda f. \, \lambda x. \, f \, x \\
\overline{2} & \triangleq & \lambda f. \, \lambda x. \, f \, (f \, x)
\end{array}$$

This makes it easy to write the successor function:

$$SUCC \triangleq \lambda n. \, \lambda f. \, \lambda x. \, f(n \, f \, x)$$

#### Addition

Given the definition of SUCC, we can define addition. Intuitively, the natural number  $n_1 + n_2$  is the result of applying the successor function  $n_1$  times to  $n_2$ .

 $PLUS \triangleq \lambda n_1. \, \lambda n_2. \, n_1 \, SUCC \, n_2$