CS 4110

Programming Languages & Logics

Lecture 15
De Bruijn, Combinators, Encodings

Review: λ -calculus

Syntax

$$e ::= x \mid e_1 e_2 \mid \lambda x. e$$

 $v ::= \lambda x. e$

Semantics

$$\frac{e_1 \to e_1'}{e_1 e_2 \to e_1' e_2} \qquad \frac{e \to e'}{v e \to v e'}$$
$$\overline{(\lambda x. e) v \to e \{v/x\}}^{\beta}$$

Rewind: Currying

This is just a function that returns a function:

$$\mathsf{ADD} \triangleq \lambda x.\, \lambda y.\, x + y$$

ADD 38
$$\rightarrow \lambda y$$
. 38 + y

ADD 38 4 = (ADD 38) 4
$$\rightarrow$$
 42

Informally, you can think of it as a *curried* function that takes two arguments, one after the other.

But that's just a way to get intuition. The λ -calculus only has one-argument functions.

de Bruijn Notation

Another way to avoid the tricky issues with substitution is to use a *nameless* representation of terms.

$$e ::= n \mid \lambda . e \mid e e$$

de Bruijn Notation

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$$e ::= n \mid \lambda . e \mid e e$$

Abstractions have lost their variables!

Variables are replaced with numerical indices!

Standard	de Bruijn
λx.x	λ.0

Here are some terms written in standard and de Bruijn notation:

Standard	de Bruijn
$\lambda x.x$	λ .0
$\lambda z.z$	λ .0

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Standard	de Bruijn
$\lambda x.x$	λ .0
$\lambda z.z$	$\lambda.0$
$\lambda x.\lambda y.x$	$\lambda.\lambda.1$
	'

Standard	de Bruijn
$\lambda x.x$	λ .0
$\lambda z.z$	λ .0
$\lambda x. \lambda y. x$	$\lambda.\lambda.1$
$\lambda x.\lambda y.\lambda s.\lambda z.x s (y s z)$	$\lambda.\lambda.\lambda.\lambda.31(210)$

Standard	de Bruijn
$\lambda x.x$	λ .0
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$\lambda x.\lambda y.\lambda s.\lambda z.x s (y s z)$	$\lambda.\lambda.\lambda.\lambda.31(210)$
$(\lambda x.xx)(\lambda x.xx)$	$\lambda.\lambda.\lambda.\lambda.31(210)$ $(\lambda.00)(\lambda.00)$

Standard	de Bruijn
$\lambda x.x$	λ .0
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$(\lambda x.xx)(\lambda x.xx)$	$(\lambda.00)(\lambda.00)$
$(\lambda x.\lambda x.x)(\lambda y.y)$	$(\lambda.\lambda.0)(\lambda.0)$

Free variables

To represent a λ -expression that contains free variables in de Bruijn notation, we need a way to map the free variables to integers.

We will work with respect to a map Γ from variables to integers called a *context*.

Examples:

Suppose that Γ maps x to 0 and y to 1.

- Representation of x y is 0 1
- Representation of λz . $x y z \lambda$. 120

Shifting

To define substitution, we will need an operation that shifts by *i* the variables above a cutoff *c*:

$$\uparrow_{c}^{i}(n) = \begin{cases} n & \text{if } n < c \\ n+i & \text{otherwise} \end{cases}$$

$$\uparrow_{c}^{i}(\lambda.e) = \lambda.(\uparrow_{c+1}^{i}e)$$

$$\uparrow_{c}^{i}(e_{1}e_{2}) = (\uparrow_{c}^{i}e_{1})(\uparrow_{c}^{i}e_{2})$$

The cutoff *c* keeps track of the variables that were bound in the original expression and so should not be shifted.

The cutoff is 0 initially.

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Substitution

Now we can define substitution as follows:

$$\begin{array}{rcl} n\{e/m\} & = & \left\{ \begin{array}{ll} e & \text{if } n = m \\ n & \text{otherwise} \end{array} \right. \\ (\lambda.e_1)\{e/m\} & = & \lambda.e_1\{(\uparrow_0^1 e)/m + 1\})) \\ (e_1 \, e_2)\{e/m\} & = & \left(e_1\{e/m\}\right)(e_1\{e/m\}) \end{array}$$

Substitution

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The β rule for terms in de Bruijn notation is just:

$$\overline{\left(\lambda.e_1\right)e_2 \ \rightarrow \ \uparrow_0^{-1}\left(e_1\{\uparrow_0^1e_2/0\}\right)}^{\ \beta}$$

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Consider the term $(\lambda u.\lambda v.u.x)$ y with respect to a context where $\Gamma(x) = 0$ and $\Gamma(y) = 1$.

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$$(\lambda.\lambda.12)1$$

Consider the term $(\lambda u.\lambda v.u x) y$ with respect to a context where $\Gamma(x) = 0$ and $\Gamma(y) = 1$.

$$\begin{array}{c} (\lambda.\lambda.1\,2)\,1 \\ \rightarrow \ \uparrow_0^{-1} \left((\lambda.1\,2)\{(\uparrow_0^1\,1)/0\}\right) \end{array}$$

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Consider the term $(\lambda u.\lambda v.u x) y$ with respect to a context where $\Gamma(x) = 0$ and $\Gamma(y) = 1$.

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which, in standard notation (with respect to Γ), is the same as $\lambda v.y.x$.

Combinators

Another way to avoid the issues having to do with free and bound variable names in the λ -calculus is to work with closed expressions or *combinators*.

With just three combinators, we can encode the entire λ -calculus.

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With just three combinators, we can encode the entire λ -calculus.

$$K = \lambda x. \lambda y. x$$

$$S = \lambda x. \lambda y. \lambda z. x z (y z)$$

$$I = \lambda x. x$$

Combinators

We can even define independent evaluation rules that don't depend on the λ -calculus at all.

Behold the "SKI-calculus":

$$egin{aligned} \mathsf{K}\,e_1\,e_2 &
ightarrow e_1 \ \mathsf{S}\,e_1\,e_2\,e_3 &
ightarrow e_1\,e_3\,(e_2\,e_3) \ \mathsf{I}\,e &
ightarrow e \end{aligned}$$

You would never want to program in this language—it doesn't even have variables!—but it's exactly as powerful as the λ -calculus.

Bracket Abstraction

The function [x] that takes a combinator term M and builds another term that behaves like $\lambda x.M$:

The idea is that ([x] M) $N \to M\{N/x\}$ for every term N.

Bracket Abstraction

We then define a function (e)* that maps a λ -calculus expression to a combinator term:

$$(x)* = x$$

 $(e_1 e_2)* = (e_1)* (e_2)*$
 $(\lambda x.e)* = [x] (e)*$

As an example, the expression $\lambda x. \lambda y. x$ is translated as follows:

$$(\lambda x. \lambda y. x)*$$

= $[x] (\lambda y. x)*$
= $[x] ([y] x)$
= $[x] (K x)$
= $(S ([x] K) ([x] x))$
= $S (K K) I$

No variables in the final combinator term!

We can check that this behaves the same as our original λ -expression by seeing how it evaluates when applied to arbitrary expressions e_1 and e_2 .

$$(\lambda x.\lambda y. x) e_1 e_2$$

$$= (\lambda y. e_1) e_2$$

$$= e_1$$

We can check that this behaves the same as our original λ -expression by seeing how it evaluates when applied to arbitrary expressions e_1 and e_2 .

$$(\lambda x.\lambda y. x) e_1 e_2$$

$$= (\lambda y. e_1) e_2$$

$$= e_1$$

and

$$(S(KK)I)e_1e_2 = (KKe_1)(Ie_1)e_2 = Ke_1e_2 = e_1$$

SKI Without I

Looking back at our definitions...

$$egin{aligned} \mathsf{K}\,e_1\,e_2 &
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ightarrow e \end{aligned}$$

... I isn't strictly necessary. It equals S K K.

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ightarrow e \end{aligned}$$

... I isn't strictly necessary. It equals S K K.

Our example becomes:

Encodings

The pure λ -calculus contains only functions as values. It is not exactly easy to write large or interesting programs in the pure λ -calculus. We can however encode objects, such as booleans, and integers.

We need to define functions TRUE, FALSE, AND, NOT, IF, and other operators that behave as follows:

AND TRUE FALSE = FALSE NOT FALSE = TRUE IF TRUE $e_1 e_2 = e_1$ IF FALSE $e_1 e_2 = e_2$

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AND TRUE FALSE
$$=$$
 FALSE NOT FALSE $=$ TRUE IF TRUE $e_1 \ e_2 = e_1$ IF FALSE $e_1 \ e_2 = e_2$

Let's start by defining TRUE and FALSE:

TRUE
$$\triangleq \lambda x. \lambda y. x$$

FALSE $\triangleq \lambda x. \lambda y. y$

We want the function IF to behave like

 λb . λt . λf . if b = TRUE then t else f.

We want the function IF to behave like

$$\lambda b$$
. λt . λf . if $b = \text{TRUE}$ then t else f .

The definitions for TRUE and FALSE make this very easy.

$$\mathsf{IF} \triangleq \lambda b.\,\lambda t.\,\lambda f.\,b\,t\,f$$

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The definitions for TRUE and FALSE make this very easy.

IF
$$\triangleq \lambda b. \lambda t. \lambda f. b t f$$

We can also write the standard Boolean operators.

$$\begin{aligned} &\mathsf{NOT} \triangleq \lambda b.\, b \, \mathsf{FALSE} \, \mathsf{TRUE} \\ &\mathsf{AND} \triangleq \lambda b_1.\, \lambda b_2.\, b_1\, b_2 \, \mathsf{FALSE} \\ &\mathsf{OR} \triangleq \lambda b_1.\, \lambda b_2.\, b_1 \, \mathsf{TRUE}\, b_2 \end{aligned}$$

Church Numerals

Let's encode the natural numbers!

We'll write \overline{n} for the encoding of the number n. The central function we'll need is a *successor* operation:

SUCC
$$\overline{n} = \overline{n+1}$$

Church Numerals

Church numerals encode a number n as a function that takes f and x, and applies f to x n times.

$$\begin{array}{ccc} \overline{0} & \triangleq & \lambda f. \ \lambda x. \ x \\ \overline{1} & \triangleq & \lambda f. \ \lambda x. \ f \ x \\ \overline{2} & \triangleq & \lambda f. \ \lambda x. \ f \ (f \ x) \end{array}$$

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$$\begin{array}{ccc}
\overline{0} & \triangleq & \lambda f. \, \lambda x. \, x \\
\overline{1} & \triangleq & \lambda f. \, \lambda x. \, f \, x \\
\overline{2} & \triangleq & \lambda f. \, \lambda x. \, f \, (f \, x)
\end{array}$$

This makes it easy to write the successor function:

$$SUCC \triangleq \lambda n. \, \lambda f. \, \lambda x. \, f(n \, f \, x)$$

Addition

Given the definition of SUCC, we can define addition. Intuitively, the natural number $n_1 + n_2$ is the result of applying the successor function n_1 times to n_2 .

 $PLUS \triangleq \lambda n_1. \, \lambda n_2. \, n_1 \, SUCC \, n_2$