CS 4110

Programming Languages & Logics

Lecture 16 Programming in the λ -calculus

Review: Church Booleans

We can encode TRUE, FALSE, and IF, as:

TRUE
$$\triangleq \lambda x. \lambda y. x$$

FALSE $\triangleq \lambda x. \lambda y. y$
IF $\triangleq \lambda b. \lambda t. \lambda f. b t f$

This way, IF behaves how it ought to:

IF TRUE
$$v_t v_f \rightarrow^* v_t$$
IF FALSE $v_t v_f \rightarrow^* v_f$

Church numerals encode a number n as a function that takes f and x, and applies f to x n times.

$$\begin{array}{ccc}
\overline{0} & \triangleq & \lambda f. \ \lambda x. \ x \\
\overline{1} & \triangleq & \lambda f. \ \lambda x. \ f \ x \\
\overline{2} & \triangleq & \lambda f. \ \lambda x. \ f \ (f \ x)
\end{array}$$

We can define other functions on integers:

SUCC
$$\triangleq \lambda n. \lambda f. \lambda x. f(n f x)$$

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PLUS $\triangleq \lambda n_1. \lambda n_2. n_1$ SUCC n_2

(3)

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TIMES $\triangleq \lambda n_1. \lambda n_2. n_1$ (PLUS n_2) $\overline{0}$

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PLUS $\triangleq \lambda n_1. \lambda n_2. n_1$ SUCC n_2
TIMES $\triangleq \lambda n_1. \lambda n_2. n_1$ (PLUS n_2) $\overline{0}$
ISZERO $\triangleq \lambda n. n (\lambda z. \text{ FALSE})$ TRUE

Recursive Functions

How would we write recursive functions like factorial?

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We'd like to write it like this...

$$FACT \triangleq \lambda n$$
. IF (ISZERO n) 1 (TIMES n (FACT (PRED n)))

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$$FACT \triangleq \lambda n$$
. IF (ISZERO n) 1 (TIMES n (FACT (PRED n)))

In slightly more readable notation this is...

$$\mathsf{FACT} \triangleq \lambda n. \ \mathsf{if} \ n = 0 \ \mathsf{then} \ 1 \ \mathsf{else} \ n \times \mathsf{FACT} \ (n-1)$$

...but this is an equation, not a definition!

Recursion removal trick

We can perform a "trick" to define a function FACT that satisfies the recursive equation on the previous slide.

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Define a new function FACT':

$$\mathsf{FACT}' \triangleq \lambda f. \, \lambda n. \, \mathsf{if} \, n = 0 \, \mathsf{then} \, 1 \, \mathsf{else} \, n \times (ff(n-1))$$

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We can perform a "trick" to define a function FACT that satisfies the recursive equation on the previous slide.

Define a new function FACT':

$$FACT' \triangleq \lambda f. \ \lambda n. \ \text{if} \ n = 0 \ \text{then} \ 1 \ \text{else} \ n \times (ff(n-1))$$

Then define FACT as FACT' applied to itself:

$$FACT \triangleq FACT' FACT'$$

Let's try evaluating FACT on 3...

FACT 3

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 $\mathsf{FACT}\, 3 = \left(\mathsf{FACT'}\,\mathsf{FACT'}\right) 3$

FACT 3 = (FACT' FACT') 3
=
$$((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (ff(n-1))) \text{ FACT'}) 3$$

FACT 3 = (FACT' FACT') 3
=
$$((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (ff(n-1))) \text{ FACT'}) 3$$

 $\rightarrow (\lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (\text{FACT' FACT'}(n-1))) 3$

FACT 3 = (FACT' FACT') 3
=
$$((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (ff(n-1))) \text{ FACT'}) 3$$

 $\rightarrow (\lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (\text{FACT' FACT' } (n-1))) 3$
 $\rightarrow \text{ if } 3 = 0 \text{ then } 1 \text{ else } 3 \times (\text{FACT' FACT' } (3-1))$

$$\begin{aligned} \mathsf{FACT} \, 3 &= \big(\mathsf{FACT'}\,\mathsf{FACT'}\big) \, 3 \\ &= \big(\big(\lambda f.\,\lambda n.\,\mathsf{if}\,\, n = 0\,\mathsf{then}\,\, 1\,\mathsf{else}\,\, n \times \big(ff\big(n-1\big)\big)\big)\,\mathsf{FACT'}\big) \, 3 \\ &\to \big(\lambda n.\,\mathsf{if}\,\, n = 0\,\mathsf{then}\,\, 1\,\mathsf{else}\,\, n \times \big(\mathsf{FACT'}\,\,\mathsf{FACT'}\,\big(n-1\big)\big)\big) \, 3 \\ &\to \mathsf{if}\,\, 3 = 0\,\mathsf{then}\,\, 1\,\mathsf{else}\,\, 3 \times \big(\mathsf{FACT'}\,\,\mathsf{FACT'}\,\big(3-1\big)\big) \\ &\to 3 \times \big(\mathsf{FACT'}\,\,\mathsf{FACT'}\,\big(3-1\big)\big) \end{aligned}$$

$$\begin{split} \mathsf{FACT} \, 3 &= \left(\mathsf{FACT'}\,\mathsf{FACT'}\right) \, 3 \\ &= \left(\left(\lambda f.\,\lambda n.\,\mathsf{if}\,\, n = 0\,\,\mathsf{then}\,\, 1\,\,\mathsf{else}\,\, n \times \left(ff\left(n-1\right)\right)\right)\,\mathsf{FACT'}\right) \, 3 \\ &\to \left(\lambda n.\,\mathsf{if}\,\, n = 0\,\,\mathsf{then}\,\, 1\,\,\mathsf{else}\,\, n \times \left(\mathsf{FACT'}\,\,\mathsf{FACT'}\,\left(n-1\right)\right)\right) \, 3 \\ &\to \mathsf{if}\,\, 3 = 0\,\,\mathsf{then}\,\, 1\,\,\mathsf{else}\,\, 3 \times \left(\mathsf{FACT'}\,\,\mathsf{FACT'}\,\left(3-1\right)\right) \\ &\to 3 \times \left(\mathsf{FACT'}\,\,\mathsf{FACT'}\,\left(3-1\right)\right) \\ &= 3 \times \left(\mathsf{FACT}\,\left(3-1\right)\right) \end{split}$$

$$\begin{aligned} \mathsf{FACT}\,3 &= \big(\mathsf{FACT'}\,\mathsf{FACT'}\big)\,3 \\ &= \big((\lambda f.\,\lambda n.\,\mathsf{if}\,n = 0\,\mathsf{then}\,1\,\mathsf{else}\,n \times (ff(n-1)))\,\mathsf{FACT'}\big)\,3 \\ &\to (\lambda n.\,\mathsf{if}\,n = 0\,\mathsf{then}\,1\,\mathsf{else}\,n \times (\mathsf{FACT'}\,\mathsf{FACT'}\,(n-1)))\,3 \\ &\to \mathsf{if}\,3 = 0\,\mathsf{then}\,1\,\mathsf{else}\,3 \times (\mathsf{FACT'}\,\mathsf{FACT'}\,(3-1)) \\ &\to 3 \times (\mathsf{FACT'}\,\mathsf{FACT'}\,(3-1)) \\ &= 3 \times (\mathsf{FACT}\,(3-1)) \\ &\to \dots \\ &\to 3 \times 2 \times 1 \times 1 \end{aligned}$$

FACT
$$3 = (FACT' FACT') 3$$

 $= ((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (ff(n-1))) \text{ FACT'}) 3$
 $\rightarrow (\lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (FACT' FACT' (n-1))) 3$
 $\rightarrow \text{ if } 3 = 0 \text{ then } 1 \text{ else } 3 \times (FACT' FACT' (3-1))$
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 $\rightarrow \dots$
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 $\rightarrow^* 6$

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 $\to (\lambda n.\,\mathbf{if}\,n = 0\,\mathbf{then}\,1\,\mathbf{else}\,n \times (\mathsf{FACT'}\,\mathsf{FACT'}\,(n-1)))\,3$
 $\to \mathbf{if}\,3 = 0\,\mathbf{then}\,1\,\mathbf{else}\,3 \times (\mathsf{FACT'}\,\mathsf{FACT'}\,(3-1))$
 $\to 3 \times (\mathsf{FACT'}\,\mathsf{FACT'}\,(3-1))$
 $= 3 \times (\mathsf{FACT}\,(3-1))$
 $\to \dots$
 $\to 3 \times 2 \times 1 \times 1$
 $\to^*\,6$

So we have a technique for writing recursive functions: write a function f that takes itself as an argument and define f as f f.

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Consider factorial again. It is a fixed point of the following:

$$G \triangleq \lambda f. \ \lambda n. \ \text{if} \ n = 0 \ \text{then} \ 1 \ \text{else} \ n \times (f(n-1))$$

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Recall that if g if a fixed point of G, then G g = g. To see that any fixed point g is a real factorial function, try evaluating it:

g 5

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$$\rightarrow^* 5 \times (g 4)$$

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$$g 5 = (G g) 5$$

 $\rightarrow^* 5 \times (g 4)$
 $= 5 \times ((G g) 4)$

How can we generate the fixed point of *G*?

In denotational semantics, finding fixed points took a lot of math. In the λ -calculus, we just need a suitable combinator...

Y Combinator

The (infamous) Y combinator is defined as

$$Y \triangleq \lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))$$

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What happens when we evaluate Y G under CBV?

Z Combinator

To avoid this issue, we'll use a slight variant of the Y combinator, called Z, which is easier to use under CBV.

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Let's see Z in action, on our function G.

FACT

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FACT

= ZG

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G
```

```
FACT

= ZG

= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G

\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
```

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
```

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
= (\lambda f. \lambda n. \mathbf{if} n = 0 \mathbf{then} 1 \mathbf{else} n \times (f(n-1)))
(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
```

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
\rightarrow \lambda n. \text{ if } n = 0 \text{ then } 1
\text{else } n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
```

```
FACT
       7 G
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy))(\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y.(\lambda x.G(\lambda y.xxy))(\lambda x.G(\lambda y.xxy))y)
= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
                (\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) v)
\rightarrow \lambda n, if n=0 then 1
              else n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
=_{\beta} \lambda n. if n=0 then 1 else n \times (\lambda y. (ZG) y) (n-1)
```

```
FACT
       7 G
 = (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy))(\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y.(\lambda x.G(\lambda y.xxy))(\lambda x.G(\lambda y.xxy))y)
 = (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
                (\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) v)
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=_{\beta} \lambda n. if n=0 then 1 else n \times (\lambda y. (ZG)y) (n-1)
=_{\beta} \lambda n. if n=0 then 1 else n\times (ZG(n-1))
```

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FACT
       7 G
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy))(\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y.(\lambda x.G(\lambda y.xxy))(\lambda x.G(\lambda y.xxy))v)
= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
               (\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) v)
\rightarrow \lambda n, if n=0 then 1
              else n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
=_{\beta} \lambda n. if n=0 then 1 else n \times (\lambda y. (ZG)y) (n-1)
=_{\beta} \lambda n. if n=0 then 1 else n\times (ZG(n-1))
=\lambda n. if n=0 then 1 else n\times (FACT(n-1))
```

Other fixed point combinators

There are many (indeed infinitely many) fixed-point combinators. Here's a cute one:

where

```
L \triangleq \lambda abcdefghijklmnopqstuvwxyzr.  (r(thisisafixedpointcombinator))
```

To gain some more intuition for fixed point combinators, let's derive a combinator Θ originally discovered by Turing.

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We know that Θ *f* is a fixed point of *f*, so we have

$$\Theta f = f(\Theta f).$$

We can write the following recursive equation:

$$\Theta = \lambda f. f(\Theta f).$$

Now use the recursion removal trick:

$$\Theta' \triangleq \lambda t. \lambda f. f(t t f)
\Theta \triangleq \Theta' \Theta'$$

 $\mathsf{FACT} = \Theta \, \mathit{G}$

$$FACT = \Theta G$$
= $((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G$

$$FACT = \Theta G$$

$$= ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G$$

$$\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G$$

```
FACT = \Theta G
= ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G
\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G
\rightarrow G ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) G)
```

```
FACT = \Theta G
= ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G
\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G
\rightarrow G ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) G)
= G (\Theta G)
```

```
FACT = \Theta G
          = ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G
          \rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G
          \rightarrow G ((\lambda t. \lambda f. f(ttf)) (\lambda t. \lambda f. f(ttf)) G)
          = G(\Theta G)
          = (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1))) (\Theta G)
          \rightarrow \lambda n. if n=0 then 1 else n \times ((\Theta G)(n-1))
          =\lambda n. if n=0 then 1 else n\times (\text{FACT}(n-1))
```

We know how to encode Booleans, conditionals, natural numbers, and recursion in λ -calculus.

Can we define a *real* programming language by translating everything in it into the λ -calculus?

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In definitional translation, we define a denotational semantics where the target is a simpler programming language instead of mathematical objects.

Review: Call-by-Value

Here are the syntax and CBV semantics of λ -calculus:

$$e ::= x \mid \lambda x. e \mid e_1 e_2$$

 $v ::= \lambda x. e$

$$\frac{e_1 \rightarrow e_1'}{e_1 e_2 \rightarrow e_1' e_2} \qquad \frac{e \rightarrow e'}{v e \rightarrow v e'}$$

$$\frac{}{(\lambda x.\,e)\,v\to e\{v/x\}}\,^{\beta}$$

There are two kinds of rules: *congruence rules* that specify evaluation order and *computation rules* that specify the "interesting" reductions.

Evaluation Contexts

Evaluation contexts let us separate out these two kinds of rules.

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An evaluation context E is an expression with a "hole" in it: a single occurrence of the special symbol $[\cdot]$ in place of a subexpression.

$$E ::= [\cdot] \mid E e \mid v E$$

We write E[e] to mean the evaluation context E where the hole has been replaced with the expression e.

$$E_1 = [\cdot] (\lambda x. x)$$

$$E_1[\lambda y. y y] = (\lambda y. y y) \lambda x. x$$

$$E_{1} = [\cdot] (\lambda x. x)$$

$$E_{1}[\lambda y. y y] = (\lambda y. y y) \lambda x. x$$

$$E_{2} = (\lambda z. z z) [\cdot]$$

$$E_{2}[\lambda x. \lambda y. x] = (\lambda z. z z) (\lambda x. \lambda y. x)$$

$$E_{1} = [\cdot] (\lambda x. x)$$

$$E_{1}[\lambda y. yy] = (\lambda y. yy) \lambda x. x$$

$$E_{2} = (\lambda z. zz) [\cdot]$$

$$E_{2}[\lambda x. \lambda y. x] = (\lambda z. zz) (\lambda x. \lambda y. x)$$

$$E_{3} = ([\cdot] \lambda x. xx) ((\lambda y. y) (\lambda y. y))$$

$$E_{3}[\lambda f. \lambda g. fg] = ((\lambda f. \lambda g. fg) \lambda x. xx) ((\lambda y. y) (\lambda y. y))$$

CBV With Evaluation Contexts

With evaluation contexts, we can define the evaluation semantics for the CBV λ -calculus with just two rules: one for evaluation contexts, and one for β -reduction.

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With this syntax:

$$E ::= [\cdot] \mid Ee \mid vE$$

The small-step rules are:

$$\frac{e \to e'}{E[e] \to E[e']}$$

$$\overline{(\lambda x. e) v \to e\{v/x\}}^{\beta}$$

CBN With Evaluation Contexts

We can also define the semantics of CBN λ -calculus with evaluation contexts.

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But the small-step rules are the same:

$$\frac{e \to e'}{E[e] \to E[e']}$$

$$\overline{(\lambda x. e) e' \rightarrow e\{e'/x\}}^{\beta}$$

Multi-Argument λ -calculus

Let's define a version of the λ -calculus that allows functions to take multiple arguments.

$$e ::= x \mid \lambda x_1, \ldots, x_n. e \mid e_0 e_1 \ldots e_n$$

Multi-Argument λ -calculus

We can define a CBV operational semantics:

$$E ::= [\cdot] | v_0 \dots v_{i-1} E e_{i+1} \dots e_n$$

$$\frac{e \to e'}{E[e] \to E[e']}$$

$$\frac{}{(\lambda x_1,\ldots,x_n.e_0)\,v_1\,\ldots\,v_n\to e_0\{v_1/x_1\}\{v_2/x_2\}\ldots\{v_n/x_n\}}\,^{\beta}$$

The evaluation contexts ensure that we evaluate multi-argument applications $e_0 e_1 \dots e_n$ from left to right.

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$$\mathcal{T}\llbracket x \rrbracket = x$$

$$\mathcal{T}\llbracket \lambda x_1, \dots, x_n. e \rrbracket = \lambda x_1. \dots \lambda x_n. \mathcal{T}\llbracket e \rrbracket$$

$$\mathcal{T}\llbracket e_0 e_1 e_2 \dots e_n \rrbracket = (\dots((\mathcal{T}\llbracket e_0 \rrbracket \mathcal{T}\llbracket e_1 \rrbracket) \mathcal{T}\llbracket e_2 \rrbracket) \dots \mathcal{T}\llbracket e_n \rrbracket)$$

This translation *curries* the multi-argument λ -calculus.