# CS 4110

# Programming Languages & Logics

Lecture 2
Introduction to Semantics

# **Semantics**

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Answer: We could execute the program using an interpreter or a compiler, or we could consult a manual...



#### A6.7 Void

The (nonexistent) value of a void object may not be used in any way, and neither explicit nor implicit conversion to any non-void type may be applied. Because a void expression denotes a nonexistent value, such an expression may be used only where the value is not required, for example as an expression statement (\$A9.2.) or as the left operand of a commo operator (\$A7.18).

An expression may be converted to type void by a cast. For example, a void cast documents the discarding of the value of a function call used as an expression statement.

void did not appear in the first edition of this book, but has become common since.

...but none of these is a satisfactory solution.

## Formal Semantics

## **Three Approaches**

Operational

$$\langle \sigma, \mathbf{e} \rangle \longrightarrow \langle \sigma', \mathbf{e}' \rangle$$

- Model program by execution on abstract machine
- Useful for implementing compilers and interpreters
- Denotational:

[e]

- ► Model program as mathematical objects
- Useful for theoretical foundations
- Axiomatic

$$\vdash \{\phi\} \, \mathsf{e} \, \{\psi\}$$

- Model program by the logical formulas it obeys
- Useful for proving program correctness

# Arithmetic Expressions

# **Syntax**

A language of integer arithmetic expressions with assignment.

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### Metavariables:

$$\begin{array}{rcl}
 x,y,z & \in & \mathbf{Var} \\
 n,m & \in & \mathbf{Int} \\
 e & \in & \mathbf{Exp}
 \end{array}$$

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#### **BNF Grammar:**

$$e := x$$
 $\mid n$ 
 $\mid e_1 + e_2$ 
 $\mid e_1 * e_2$ 
 $\mid x := e_1 ; e_2$ 

Ē

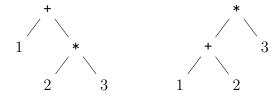
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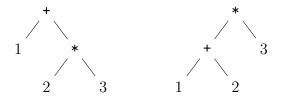
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In this course, we will distinguish abstract syntax from concrete syntax, and focus primarily on abstract syntax (using conventions or parentheses at the concrete level to disambiguate as needed).

# **Representing Expressions**

## **BNF Grammar:**

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# Representing Expressions

## **BNF Grammar:**

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| x := e_1 ; e_2
```

### OCaml:

```
type exp = Var of string
| Int of int
| Add of exp * exp
| Mul of exp * exp
| Assgn of string * exp * exp
```

Example: Mul(Int 2, Add(Var "foo", Int 1))

# **Representing Expressions**

### **BNF Grammar:**

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#### Java:

```
abstract class Expr { }
class Var extends Expr { String name; ... }
class Int extends Expr { int val; ... }
class Add extends Expr { Expr exp1, exp2; ... }
class Mul extends Expr { Expr exp1, exp2; ... }
class Assgn extends Expr { String var, Expr exp1, exp2; ... }
```

Example: new Mul(new Int(2), new Add(new Var("foo"), new Int(1)))

• 7 + (4 \* 2) evaluates to ...?

• 7 + (4 \* 2) evaluates to 15

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- i := 6 + 1; 2 \* 3 \* i evaluates to 42
- x+1 evaluates to error?

The rest of this lecture will make these intuitions precise...

# Mathematical Preliminaries

The *product* of two sets *A* and *B*, written  $A \times B$ , contains all ordered pairs (a, b) with  $a \in A$  and  $b \in B$ .

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## Some Important Relations

- empty: ∅
- total: *A* × *B*
- identity on A:  $\{(a, a) \mid a \in A\}$ .
- composition R; S:  $\{(a,c) \mid \exists b. (a,b) \in R \land (b,c) \in S\}$

## **Functions**

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The *image* of f is the set of elements  $b \in B$  that are mapped to by at least one  $a \in A$ . Formally:

$$image(f) \triangleq \{f(a) \mid a \in A\}$$

Given two functions  $f: A \to B$  and  $g: B \to C$ , the composition of f and g is defined by:  $(g \circ f)(x) = g(f(x))$  Note order!

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A function  $f: A \to B$  is said to be *injective* (or *one-to-one*) if and only if  $a_1 \neq a_2$  implies  $f(a_1) \neq f(a_2)$ .

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A function  $f: A \to B$  is said to be *surjective* (or *onto*) if and only if the image of f is B.

# Operational Semantics

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- a store  $\sigma$  that records the values of variables,
- and the expression e being evaluated.

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- and the expression e being evaluated.

#### More formally:

$$\begin{array}{ccc} \textbf{Store} & \triangleq & \textbf{Var} \rightharpoonup \textbf{Int} \\ \textbf{Config} & \triangleq & \textbf{Store} \times \textbf{Exp} \end{array}$$

(A store is a partial function from variables to integers.)

The small-step operational semantics itself is a relation on configurations—i.e., a subset of  $Config \times Config$ .

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 which means  $(\langle \sigma, e \rangle, \langle \sigma', e' \rangle) \in "\rightarrow"$ .

Question: How should we define this relation? Remember that there are an infinite number of configurations and possible steps!

### Inference Rules

Answer: Define it inductively, using inference rules:

 $\frac{\mathsf{premise}_1 \qquad \mathsf{premise}_2 \qquad \cdots}{\mathsf{conclusion}} \; \mathsf{Name}$ 

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An inference rule defines an implication: if all the premises hold, then the conclusion also holds.

Formally, " $\rightarrow$ " is the smallest relation that is closed under all the inference rules.

## **Variables**

$$rac{n=\sigma({\it x})}{\langle\sigma,{\it x}
angle
ightarrow\langle\sigma,{\it n}
angle} \; {
m Var}$$

### Addition

$$rac{p=m+n}{\langle \sigma, n+m
angle 
ightarrow \langle \sigma, p
angle}$$
 Add

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$$\begin{split} \frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ Add} \\ \frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e_1' + e_2 \rangle} \text{ LAdd} \end{split}$$

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# Multiplication

$$rac{p=m imes n}{\langle \sigma, m*n
angle 
ightarrow \langle \sigma, p
angle}$$
 MUL

# Multiplication

$$\begin{split} \frac{\rho = m \times n}{\langle \sigma, m*n \rangle \to \langle \sigma, \rho \rangle} \, \text{Mul} \\ \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \, \text{LMul} \\ \frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n*e_2 \rangle \to \langle \sigma', n*e_2' \rangle} \, \text{RMul} \end{split}$$

## Assignment

$$\frac{\sigma' = \sigma[\mathbf{x} \mapsto \mathbf{n}]}{\langle \sigma, x := \mathbf{n} \: ; \: \mathbf{e}_2 \rangle \to \langle \sigma', \mathbf{e}_2 \rangle} \text{ Assgn}$$

Notation:  $\sigma[x \mapsto n]$  is a *new* function that mostly behaves like  $\sigma$ , except that it maps x to n.

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$$\frac{\langle \sigma, \mathbf{e}_1 \rangle \rightarrow \langle \sigma', \mathbf{e}_1' \rangle}{\langle \sigma, x := \mathbf{e}_1 \; ; \; \mathbf{e}_2 \rangle \rightarrow \langle \sigma', x := \mathbf{e}_1' \; ; \; \mathbf{e}_2 \rangle} \; \mathsf{Assgn1}$$

$$\frac{n = \sigma(x)}{\langle \sigma, x \rangle \to \langle \sigma, n \rangle} \, \mathsf{VAR} \qquad \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \to \langle \sigma', e_1' + e_2 \rangle} \, \mathsf{LADD}$$
 
$$\frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n + e_2 \rangle \to \langle \sigma', n + e_2' \rangle} \, \mathsf{RADD} \qquad \frac{p = m + n}{\langle \sigma, n + m \rangle \to \langle \sigma, p \rangle} \, \mathsf{ADD}$$
 
$$\frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \, \mathsf{LMUL} \qquad \frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n * e_2 \rangle \to \langle \sigma', n * e_2' \rangle} \, \mathsf{RMUL}$$
 
$$\frac{p = m \times n}{\langle \sigma, m * n \rangle \to \langle \sigma, p \rangle} \, \mathsf{MUL} \qquad \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, x := e_1 \; ; \; e_2 \rangle \to \langle \sigma', x := e_1' \; ; \; e_2 \rangle} \, \mathsf{ASSGN1}$$
 
$$\frac{\sigma' = \sigma[x \mapsto n]}{\langle \sigma, x := n \; ; \; e_2 \rangle \to \langle \sigma', e_2 \rangle} \, \mathsf{ASSGN}$$

## Multi-Step Evaluation

We can define the multi-step evaluation relation, written  $\rightarrow$ \*, as the reflexive and transitive closure of the small-step evaluation relation.

$$\begin{split} \frac{\langle \sigma, e \rangle \to^* \langle \sigma, e \rangle}{\langle \sigma, e \rangle \to^* \langle \sigma, e \rangle} \text{ Step} \\ \frac{\langle \sigma, e \rangle \to^* \langle \sigma, e \rangle}{\langle \sigma, e \rangle \to^* \langle \sigma', e' \rangle \langle \sigma', e' \rangle} \to \frac{\langle \sigma, e \rangle \to^* \langle \sigma, e \rangle}{\langle \sigma, e \rangle \to^* \langle \sigma'', e'' \rangle} \text{ Trans} \end{split}$$