CS 4110

Programming Languages & Logics

Lecture 7
Denotational Semantics

Recap

So far, we've:

- Formalized the operational semantics of an imperative language
- Developed the theory of inductive sets
- Used this theory to prove formal properties:
 - Determinism
 - Soundness (via Progress and Preservation)
 - Termination
 - Equivalence of small-step and large-step semantics
- Extended to IMP, a more complete imperative language

Today, we'll develop a denotational semantics for IMP.

Denotational Semantics

An operational semantics, like an interpreter, describes *how* to evaluate a program:

$$\langle \sigma, \mathbf{e} \rangle \rightarrow \langle \sigma', \mathbf{e}' \rangle$$

$$\langle \sigma, e \rangle \Downarrow \langle \sigma', n \rangle$$

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A denotational semantics, like a compiler, describes a translation into a *different language with known semantics*—namely, math.

A denotational semantics defines what a program means as a mathematical function:

$$\mathcal{C}[\![c]\!] \in \mathsf{Store} \rightharpoonup \mathsf{Store}$$

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IMP

Syntax

$$a \in \mathbf{Aexp}$$
 $a ::= x \mid n \mid a_1 + a_2 \mid a_1 \times a_2$
 $b \in \mathbf{Bexp}$ $b ::= \mathbf{true} \mid \mathbf{false} \mid a_1 < a_2$
 $c \in \mathbf{Com}$ $c ::= \mathbf{skip} \mid x := a \mid c_1; c_2$
 $\mid \mathbf{if} \ b \ \mathbf{then} \ c_1 \ \mathbf{else} \ c_2 \mid \mathbf{while} \ b \ \mathbf{do} \ c$

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IMP

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Semantic Domains

$$\mathcal{C}[\![c]\!] \in \mathsf{Store}
ightharpoonup \mathsf{Store}$$

 $\mathcal{A}[\![a]\!] \in \mathsf{Store}
ightharpoonup \mathsf{Int}$
 $\mathcal{B}[\![b]\!] \in \mathsf{Store}
ightharpoonup \mathsf{Bool}$

Why partial functions?

Notational Conventions

Convention #1: Represent functions $f: A \rightarrow B$ as sets of pairs:

$$S = \{(a,b) \mid a \in A \text{ and } b = f(a) \in B\}$$

Such that $(a, b) \in S$ if and only if f(a) = b.

(For each $a \in A$, there is at most one pair $(a, \underline{\ })$ in S.)

Convention #2: Define functions point-wise.

Where $\mathcal{C}[\![\cdot]\!]$ is the denotation function, the equation $\mathcal{C}[\![c]\!] = S$ gives its definition for the command c.

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Applying this notation twice, $C[\![c]\!]\sigma = \sigma'$ gives the value for the $C[\![c]\!]$ function at σ .

Arithmetic expressions:

$$\mathcal{A}[\![n]\!] \triangleq \{(\sigma, n)\}$$

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```
\mathcal{A}[\![n]\!] \triangleq \{(\sigma, n)\}\mathcal{A}[\![x]\!] \triangleq \{(\sigma, \sigma(x))\}
```

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```
\mathcal{A}\llbracket n \rrbracket \triangleq
           \{(\sigma, n)\}
\mathcal{A}[x] \triangleq
            \{(\sigma,\sigma(x))\}
A[a_1 + a_2] \triangleq
            \{(\sigma, n) \mid (\sigma, n_1) \in \mathcal{A}\llbracket a_1 \rrbracket \land (\sigma, n_2) \in \mathcal{A}\llbracket a_2 \rrbracket \land n = n_1 + n_2 \}
\mathcal{A}\llbracket a_1 \times a_2 \rrbracket \triangleq
            \{(\sigma, n) \mid (\sigma, n_1) \in \mathcal{A}[a_1] \land (\sigma, n_2) \in \mathcal{A}[a_2] \land n = n_1 \times n_2\}
```

Boolean expressions:

```
\mathcal{B}[[\mathsf{true}]] \triangleq \{(\sigma, \mathsf{true})\}
```

Boolean expressions:

```
\mathcal{B}[\![\mathsf{true}]\!] \triangleq \\ \{(\sigma, \mathsf{true})\}\mathcal{B}[\![\mathsf{false}]\!] \triangleq \\ \{(\sigma, \mathsf{false})\}
```

Boolean expressions:

```
\mathcal{B}[\![\mathsf{true}]\!] \triangleq \\ \{(\sigma, \mathsf{true})\}
\mathcal{B}[\![\mathsf{false}]\!] \triangleq \\ \{(\sigma, \mathsf{false})\}
\mathcal{B}[\![a_1 < a_2]\!] \triangleq \\ \{(\sigma, \mathsf{true}) \mid (\sigma, n_1) \in \mathcal{A}[\![a_1]\!] \land (\sigma, n_2) \in \mathcal{A}[\![a_2]\!] \land n_1 < n_2\} \cup \\ \{(\sigma, \mathsf{false}) \mid (\sigma, n_1) \in \mathcal{A}[\![a_1]\!] \land (\sigma, n_2) \in \mathcal{A}[\![a_2]\!] \land n_1 > n_2\}
```

Or, using the function-style notation:

$$\mathcal{A}\llbracket n \rrbracket \sigma \ \triangleq \ n$$

$$\mathcal{A}\llbracket x \rrbracket \sigma \ \triangleq \ \sigma(x)$$

$$\mathcal{A}\llbracket a_1 + a_2 \rrbracket \sigma \ \triangleq \ \mathcal{A}\llbracket a_1 \rrbracket \sigma + \mathcal{A}\llbracket a_2 \rrbracket \sigma$$

$$\mathcal{A}\llbracket a_1 \times a_2 \rrbracket \sigma \ \triangleq \ \mathcal{A}\llbracket a_1 \rrbracket \sigma \times \mathcal{A}\llbracket a_2 \rrbracket \sigma$$

$$\mathcal{B}\llbracket \mathbf{true} \rrbracket \sigma \ \triangleq \ \mathbf{true}$$

$$\mathcal{B}\llbracket \mathbf{false} \rrbracket \sigma \ \triangleq \ \mathbf{false}$$

$$\mathcal{B}\llbracket a_1 < a_2 \rrbracket \sigma \ \triangleq \ \begin{cases} \mathbf{true} & \text{if } \mathcal{A}\llbracket a_1 \rrbracket \sigma < \mathcal{A}\llbracket a_2 \rrbracket \sigma \\ \mathbf{false} & \text{otherwise} \end{cases}$$

Commands:

$$\mathcal{C}[\![\mathbf{skip}]\!] \triangleq \{(\sigma, \sigma)\}$$

Commands:

```
 \mathcal{C}[\![\mathbf{skip}]\!] \triangleq \\ \{(\sigma, \sigma)\}   \mathcal{C}[\![x := a]\!] \triangleq \\ \{(\sigma, \sigma[x \mapsto n]) \mid (\sigma, n) \in \mathcal{A}[\![a]\!] \}
```

Commands:

```
 \begin{split} & \mathcal{C} \llbracket \mathbf{skip} \rrbracket \triangleq \\ & \{ (\sigma, \sigma) \} \\ & \mathcal{C} \llbracket x := a \rrbracket \triangleq \\ & \{ (\sigma, \sigma[x \mapsto n]) \mid (\sigma, n) \in \mathcal{A} \llbracket a \rrbracket \} \\ & \mathcal{C} \llbracket c_1; c_2 \rrbracket \triangleq \\ & \{ (\sigma, \sigma') \mid \exists \sigma''. \; ((\sigma, \sigma'') \in \mathcal{C} \llbracket c_1 \rrbracket \land (\sigma'', \sigma') \in \mathcal{C} \llbracket c_2 \rrbracket ) \} \end{split}
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Commands:

```
\mathcal{C}[\![\mathsf{skip}]\!] \triangleq
              \{(\sigma,\sigma)\}
C[x := a] \triangleq
              \{(\sigma, \sigma[x \mapsto n]) \mid (\sigma, n) \in \mathcal{A}\llbracket a \rrbracket\}
C[[c_1; c_2]] \triangleq
              \{(\sigma,\sigma')\mid \exists \sigma''.\ ((\sigma,\sigma'')\in \mathcal{C}\llbracket c_1\rrbracket \land (\sigma'',\sigma')\in \mathcal{C}\llbracket c_2\rrbracket)\}
C[[f b then c_1 else c_2]] \triangleq
              \{(\sigma,\sigma')\mid (\sigma,\mathsf{true})\in\mathcal{B}\llbracket b\rrbracket\wedge(\sigma,\sigma')\in\mathcal{C}\llbracket c_1\rrbracket\}\ \cup
              \{(\sigma, \sigma') \mid (\sigma, \mathsf{false}) \in \mathcal{B}\llbracket b \rrbracket \land (\sigma, \sigma') \in \mathcal{C}\llbracket c_2 \rrbracket \}
```

In function notation:

$$\mathcal{C}[\![\mathbf{skip}]\!]\sigma \triangleq \sigma$$

$$\mathcal{C}[\![x := a]\!]\sigma \triangleq \sigma[x \mapsto (\mathcal{A}[\![a]\!]\sigma)]$$

$$\mathcal{C}[\![c_1; c_2]\!] \triangleq \mathcal{C}[\![c_2]\!] \circ \mathcal{C}[\![c_1]\!]$$

$$\mathcal{C}[\![\mathbf{if}\ b\ \mathbf{then}\ c_1\ \mathbf{else}\ c_2]\!]\sigma \triangleq \begin{cases} \mathcal{C}[\![c_1]\!]\sigma & \text{if}\ \mathcal{B}[\![b]\!]\sigma = \mathbf{true} \\ \mathcal{C}[\![c_2]\!]\sigma & \text{if}\ \mathcal{B}[\![b]\!]\sigma = \mathbf{false} \end{cases}$$

Commands:

```
 \mathcal{C}[\![ \textbf{while } b \textbf{ do } c ]\!] \triangleq \\ \{(\sigma, \sigma) \mid (\sigma, \textbf{false}) \in \mathcal{B}[\![b]\!] \} \quad \cup \\ \{(\sigma, \sigma') \mid (\sigma, \textbf{true}) \in \mathcal{B}[\![b]\!] \land \exists \sigma''. \ ((\sigma, \sigma'') \in \mathcal{C}[\![c]\!] \land \\ (\sigma'', \sigma') \in \mathcal{C}[\![ \textbf{while } b \textbf{ do } c ]\!] ) \}
```

Recursive Definitions

Problem: the last "definition" in our semantics is not really a definition!

```
 \begin{split} \mathcal{C}[\![ \textbf{while } b \textbf{ do } c]\!] &\triangleq \\ & \{(\sigma,\sigma) \mid (\sigma,\textbf{false}) \in \mathcal{B}[\![b]\!]\} \quad \cup \\ & \{(\sigma,\sigma') \mid (\sigma,\textbf{true}) \in \mathcal{B}[\![b]\!] \land \exists \sigma''. \ ((\sigma,\sigma'') \in \mathcal{C}[\![c]\!] \land \\ & (\sigma'',\sigma') \in \mathcal{C}[\![ \textbf{while } b \textbf{ do } c]\!]) \} \end{split}
```

Why?

Recursive Definitions

Problem: the last "definition" in our semantics is not really a definition!

$$\begin{split} \mathcal{C} \llbracket \textbf{while } b \textbf{ do } c \rrbracket &\triangleq \\ & \{ (\sigma, \sigma) \mid (\sigma, \textbf{false}) \in \mathcal{B} \llbracket b \rrbracket \} \ \cup \\ & \{ (\sigma, \sigma') \mid (\sigma, \textbf{true}) \in \mathcal{B} \llbracket b \rrbracket \land \exists \sigma''. \ ((\sigma, \sigma'') \in \mathcal{C} \llbracket c \rrbracket \land \\ & (\sigma'', \sigma') \in \mathcal{C} \llbracket \textbf{while } b \textbf{ do } c \rrbracket) \} \end{split}$$

Why?

It expresses $C[[\mathbf{while}\ b\ \mathbf{do}\ c]]$ in terms of itself.

So this is not a definition but a recursive equation.

What we want is the solution to this equation.

Example:

$$f(x) = \begin{cases} 0 & \text{if } x = 0\\ f(x-1) + 2x - 1 & \text{otherwise} \end{cases}$$

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Question: What functions satisfy this equation?

Answer: $f(x) = x^2$

Example:

$$g(x)=g(x)+1$$

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Question: Which functions satisfy this equation?

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$$g(x)=g(x)+1$$

Question: Which functions satisfy this equation?

Answer: None!

Example:

$$h(x) = 4 \times h\left(\frac{x}{2}\right)$$

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Question: Which functions satisfy this equation?

Answer: There are multiple solutions.

Returning the first example...

$$f(x) = \begin{cases} 0 & \text{if } x = 0\\ f(x-1) + 2x - 1 & \text{otherwise} \end{cases}$$

$$f_0 = \emptyset$$

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 $f_1 = \begin{cases} 0 & \text{if } x = 0 \\ f_0(x-1) + 2x - 1 & \text{otherwise} \end{cases}$
 $= \{(0,0)\}$
 $f_2 = \begin{cases} 0 & \text{if } x = 0 \\ f_1(x-1) + 2x - 1 & \text{otherwise} \end{cases}$
 $= \{(0,0), (1,1)\}$
 $f_3 = \begin{cases} 0 & \text{if } x = 0 \\ f_2(x-1) + 2x - 1 & \text{otherwise} \end{cases}$
 $= \{(0,0), (1,1), (2,4)\}$

We can model this process using a higher-order function F that takes one approximation f_k and returns the next approximation f_{k+1} :

$$F: (\mathbb{N} \rightharpoonup \mathbb{N}) \to (\mathbb{N} \rightharpoonup \mathbb{N})$$

where

$$(F(f))(x) = \begin{cases} 0 & \text{if } x = 0\\ f(x-1) + 2x - 1 & \text{otherwise} \end{cases}$$

Fixed Points

A solution to the recursive equation is an f such that f = F(f).

Definition: Given a function $F: A \to A$, we say that $a \in A$ is a fixed point of F if and only if F(a) = a.

Notation: Write a = fix(F) to indicate that a is a fixed point of F.

Idea: Compute fixed points iteratively, starting from the completely undefined function. The fixed point is the limit of this process:

$$f = fix(F)$$

$$= f_0 \cup f_1 \cup f_2 \cup f_3 \cup \dots$$

$$= \emptyset \cup F(\emptyset) \cup F(F(\emptyset)) \cup F(F(F(\emptyset))) \cup \dots$$

$$= \bigcup_{i=1}^{\infty} F^i(\emptyset)$$

Denotational Semantics for while

Now we can complete our denotational semantics:

$$\mathcal{C}[\![\mathbf{while}\ b\ \mathbf{do}\ c]\!] \triangleq \mathsf{fix}(F)$$

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