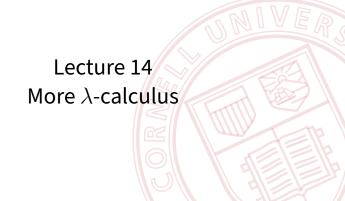
CS 4110

Programming Languages & Logics



Review: λ -calculus

Syntax

$$e ::= x \mid e_1 e_2 \mid \lambda x. e$$

 $v ::= \lambda x. e$

Semantics (call by value)

$$\frac{e_1 \to e_1'}{e_1 e_2 \to e_1' e_2} \qquad \frac{e \to e'}{v e \to v e'}$$
$$\overline{(\lambda x. e) v \to e \{v/x\}}^{\beta}$$

2

Consider the function defined by double x = x + x.

Consider the function defined by double x = x + x.

Now suppose we want to apply double multiple times:

Consider the function defined by double x = x + x.

Now suppose we want to apply double multiple times:

quadruple x = double (double x)

Consider the function defined by double x = x + x.

Now suppose we want to apply double multiple times:

```
quadruple x = double (double x) 
 hexadecatuple x = quadruple (quadruple x)
```

Consider the function defined by double x = x + x.

Now suppose we want to apply double multiple times:

```
quadruple x = double (double x)
hexadecatuple x = quadruple (quadruple x)
256uple x = hexadecatuple (hexadecatuple x)
```

Consider the function defined by double x = x + x.

Now suppose we want to apply double multiple times:

```
quadruple x = double (double x)

hexadecatuple x = quadruple (quadruple x)

256uple x = hexadecatuple (hexadecatuple x)
```

We can abstract this pattern using a generic function:

$$twice \triangleq \lambda f. \ \lambda x. \ f(fx)$$

Consider the function defined by double x = x + x.

Now suppose we want to apply double multiple times:

```
quadruple x = double (double x)

hexadecatuple x = quadruple (quadruple x)

256uple x = hexadecatuple (hexadecatuple x)
```

We can abstract this pattern using a generic function:

$$twice \triangleq \lambda f. \ \lambda x. \ f(fx)$$

Now the functions above can be written as

```
\begin{array}{rcl} quadruple & = & twice \ double \\ hexadecatuple & = & twice \ quadruple \\ 256uple & = & twice \ hexadecatuple \\ & & (\texttt{or} \ (twice \ (\lambda \textbf{x}. \ twice \, \textbf{x})) \ double) \end{array}
```

Evaluation

The essence of λ -calculus evaluation is the β -reduction rule, which says how to apply a function to an argument.

$$\overline{(\lambda x.\,e)\, v
ightarrow e \{v/x\}} \,\,\, eta$$
-reduction

But there are many different evaluation strategies, each corresponding to particular ways of using β -reduction:

- Call-by-value
- Call-by-name
- "Full" β -reduction
- ...

Call by value

$$\frac{e_1 \to e_1'}{e_1 \, e_2 \to e_1' \, e_2} \qquad \frac{e_2 \to e_2'}{v_1 \, e_2 \to v_1 \, e_2'}$$

$$\frac{}{(\lambda x.\,e_1)\,v_2\to e_1\{v_2/x\}}\,\beta$$

Key characteristics:

- Arguments evaluated fully before they are supplied to functions
- Evaluation goes from left to right (in this presentation)
- We don't evaluate "under a λ"

E

Call by name

$$\frac{e_1 \rightarrow e_1'}{e_1\,e_2 \rightarrow e_1'\,e_2}$$

$$\overline{(\lambda x. e_1) e_2 \rightarrow e_1 \{e_2/x\}} \beta$$

Key characteristics:

- Arguments supplied immediately to functions
- Evaluation still goes from left to right (in this presentation)
- We still don't evaluate "under a λ"

Full β reduction

$$\begin{split} \frac{e_1 \rightarrow e_1'}{e_1 \, e_2 \rightarrow e_1' \, e_2} &\quad \frac{e_2 \rightarrow e_2'}{e_1 \, e_2 \rightarrow e_1 \, e_2'} \\ \frac{e \rightarrow e'}{\lambda x. \, e \rightarrow \lambda x. \, e'} \end{split}$$

$$\frac{1}{(\lambda x. e_1) e_2 \rightarrow e_1 \{e_2/x\}} \beta$$

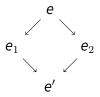
Key characteristics:

- Use the β rule anywhere...
- ...including "under a λ "...
- …nondeterministically.

7

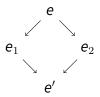
Confluence

Full β reduction has this property:



Confluence

Full β reduction has this property:



Theorem (Confluence)

If $e \rightarrow^* e_1$ and $e \rightarrow^* e_2$ then $e_1 \rightarrow^* e'$ and $e_2 \rightarrow^* e'$ for some e'.

8

The main workhorse in the β rule is substitution, which replaces free occurrences of a variable x with a term e.

However, defining substitution $e_1\{e_2/x\}$ correctly is tricky...

S

As a first attempt, consider:

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

As a first attempt, consider:

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$
$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

As a first attempt, consider:

$$\begin{array}{rcl} y\{e/x\} &=& \begin{cases} e & \text{if } y=x \\ y & \text{otherwise} \end{cases} \\ (e_1\,e_2)\{e/x\} &=& (e_1\{e/x\})\,(e_2\{e/x\}) \\ (\lambda y.e_1)\{e/x\} &=& \lambda y.e_1\{e/x\} \end{array}$$

As a first attempt, consider:

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\}$$

What's wrong with this definition?

As a first attempt, consider:

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\}$$

What's wrong with this definition?

It substitutes bound variables too!

$$(\lambda y.y)\{3/y\}$$

As a first attempt, consider:

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\}$$

What's wrong with this definition?

It substitutes bound variables too!

$$(\lambda y.y)\{3/y\} = (\lambda y.3)$$

Okay... let's avoid rewriting bound variables by relying on α -equivalence. We'll require that abstractions don't use x, the variable we're substituting.

Okay... let's avoid rewriting bound variables by relying on α -equivalence. We'll require that abstractions don't use x, the variable we're substituting.

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$
$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

Okay... let's avoid rewriting bound variables by relying on α -equivalence. We'll require that abstractions don't use x, the variable we're substituting.

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\} \quad \text{where } y \neq x$$

We assume away abstractions over x. (Thanks, α -equivalence!)

Okay... let's avoid rewriting bound variables by relying on α -equivalence. We'll require that abstractions don't use x, the variable we're substituting.

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\} \quad \text{where } y \neq x$$

We assume away abstractions over x. (Thanks, α -equivalence!)

What's wrong with this definition?

Okay... let's avoid rewriting bound variables by relying on α -equivalence. We'll require that abstractions don't use x, the variable we're substituting.

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\} \quad \text{where } y \neq x$$

We assume away abstractions over x. (Thanks, α -equivalence!)

What's wrong with this definition?

It leads to variable capture!

$$(\lambda y.x)\{y/x\}$$

Okay... let's avoid rewriting bound variables by relying on α -equivalence. We'll require that abstractions don't use x, the variable we're substituting.

$$y\{e/x\} = \begin{cases} e & \text{if } y = x \\ y & \text{otherwise} \end{cases}$$

$$(e_1 e_2)\{e/x\} = (e_1\{e/x\}) (e_2\{e/x\})$$

$$(\lambda y.e_1)\{e/x\} = \lambda y.e_1\{e/x\} \quad \text{where } y \neq x$$

We assume away abstractions over x. (Thanks, α -equivalence!)

What's wrong with this definition?

It leads to variable capture!

$$(\lambda y.x)\{y/x\} = (\lambda y.y)$$

Real Substitution

The correct definition is *capture-avoiding substitution*:

$$\begin{array}{rcl} y\{e/x\} &=& \left\{ \begin{array}{ll} e & \text{if } y=x \\ y & \text{otherwise} \end{array} \right. \\ (e_1\,e_2)\{e/x\} &=& \left(e_1\{e/x\}\right)\left(e_2\{e/x\}\right) \\ (\lambda y.e_1)\{e/x\} &=& \lambda y.(e_1\{e/x\}) & \text{where } y\neq x \text{ and } y\not\in \mathit{fv}(e) \end{array}$$

where fv(e) is the *free variables* of a term e.