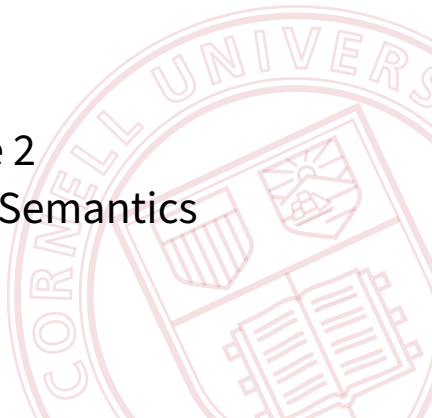


CS 4110

Programming Languages & Logics

Lecture 2 Introduction to Semantics



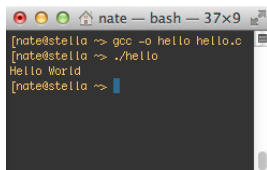
Semantics

Question: What is the meaning of a program?

Semantics

Question: What is the meaning of a program?

Answer: We could execute the program using an interpreter or a compiler, or we could consult a manual...



```
nate — bash — 37x9
[nate@stella ~] gcc -o hello hello.c
[nate@stella ~] ./hello
Hello World
[nate@stella ~]
```

A6.7 Void

The (nonexistent) value of a void object may not be used in any way, and neither explicit nor implicit conversion to any non-void type may be applied. Because a void expression denotes a nonexistent value, such an expression may be used only where the value is not required, for example as an expression statement (§A9.2) or as the left operand of a comma operator (§A7.18).

An expression may be converted to type void by a cast. For example, a void cast documents the discarding of the value of a function call used as an expression statement.

void did not appear in the first edition of this book, but has become common since.

...but none of these is a satisfactory solution.

Formal Semantics

Three Approaches

- Operational $\langle \sigma, e \rangle \longrightarrow \langle \sigma', e' \rangle$
 - ▶ Model program by execution on abstract machine
 - ▶ Useful for implementing compilers and interpreters
- Denotational: $\llbracket e \rrbracket$
 - ▶ Model program as mathematical objects
 - ▶ Useful for theoretical foundations
- Axiomatic $\vdash \{ \phi \} e \{ \psi \}$
 - ▶ Model program by the logical formulas it obeys
 - ▶ Useful for proving program correctness

Arithmetic Expressions

Syntax

A language of integer arithmetic expressions with assignment.

Syntax

A language of integer arithmetic expressions with assignment.

Metavariables:

$$\begin{array}{lll} x, y, z & \in & \mathbf{Var} \\ n, m & \in & \mathbf{Int} \\ e & \in & \mathbf{Exp} \end{array}$$

Syntax

A language of integer arithmetic expressions with assignment.

Metavariables:

$$\begin{array}{ll} x, y, z & \in \mathbf{Var} \\ n, m & \in \mathbf{Int} \\ e & \in \mathbf{Exp} \end{array}$$

BNF Grammar:

$$\begin{array}{l} e ::= x \\ \quad | n \\ \quad | e_1 + e_2 \\ \quad | e_1 * e_2 \\ \quad | x := e_1 ; e_2 \end{array}$$

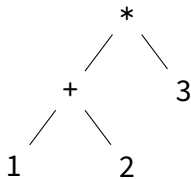
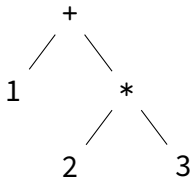
Ambiguity

What expression does the string “ $1 + 2 * 3$ ” describe?

Ambiguity

What expression does the string “1 + 2 * 3” describe?

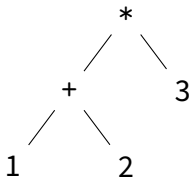
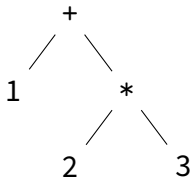
There are two possible parse trees:



Ambiguity

What expression does the string “1 + 2 * 3” describe?

There are two possible parse trees:



In this course, we will distinguish **abstract syntax** from **concrete syntax**, and focus primarily on abstract syntax (using conventions or parentheses at the concrete level to disambiguate as needed).

Representing Expressions

BNF Grammar:

$$\begin{array}{l} e ::= x \\ \quad | n \\ \quad | e_1 + e_2 \\ \quad | e_1 * e_2 \\ \quad | x := e_1 ; e_2 \end{array}$$

Representing Expressions

BNF Grammar:

$$\begin{array}{l} e ::= x \\ \quad | n \\ \quad | e_1 + e_2 \\ \quad | e_1 * e_2 \\ \quad | x := e_1 ; e_2 \end{array}$$

OCaml:

```
type exp = Var of string
         | Int of int
         | Add of exp * exp
         | Mul of exp * exp
         | Assgn of string * exp * exp
```

Example: `Mul(Int 2, Add(Var "foo", Int 1))`

Representing Expressions

BNF Grammar:

$$\begin{array}{l} e ::= x \\ \quad | n \\ \quad | e_1 + e_2 \\ \quad | e_1 * e_2 \\ \quad | x := e_1 ; e_2 \end{array}$$

Java:

```
abstract class Expr { }  
class Var extends Expr { String name; ... }  
class Int extends Expr { int val; ... }  
class Add extends Expr { Expr exp1, exp2; ... }  
class Mul extends Expr { Expr exp1, exp2; ... }  
class Assgn extends Expr { String var, Expr exp1, exp2; ... }
```

Example: `new Mul(new Int(2), new Add(new Var("foo"), new Int(1)))`

Quiz

- $7 + (4 * 2)$ evaluates to ...?

Quiz

- $7 + (4 * 2)$ evaluates to 15

Quiz

- $7 + (4 * 2)$ evaluates to 15
- $i := 6 + 1 ; 2 * 3 * i$ evaluates to ...?

Quiz

- $7 + (4 * 2)$ evaluates to 15
- $i := 6 + 1 ; 2 * 3 * i$ evaluates to 42

Quiz

- $7 + (4 * 2)$ evaluates to 15
- $i := 6 + 1 ; 2 * 3 * i$ evaluates to 42
- $x + 1$ evaluates to ...?

Quiz

- $7 + (4 * 2)$ evaluates to 15
- $i := 6 + 1 ; 2 * 3 * i$ evaluates to 42
- $x + 1$ evaluates to error?

Quiz

- $7 + (4 * 2)$ evaluates to 15
- $i := 6 + 1 ; 2 * 3 * i$ evaluates to 42
- $x + 1$ evaluates to error?

The rest of this lecture will make these intuitions precise...

Mathematical Preliminaries

Binary Relations

The *product* of two sets A and B , written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

Binary Relations

The *product* of two sets A and B , written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

A *binary relation* on A and B is just a subset $R \subseteq A \times B$.

Binary Relations

The *product* of two sets A and B , written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

A *binary relation* on A and B is just a subset $R \subseteq A \times B$.

Given a binary relation $R \subseteq A \times B$, the set A is called the *domain* of R and B is called the *range* (or *codomain*) of R .

Binary Relations

The *product* of two sets A and B , written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

A *binary relation* on A and B is just a subset $R \subseteq A \times B$.

Given a binary relation $R \subseteq A \times B$, the set A is called the *domain* of R and B is called the *range* (or *codomain*) of R .

Some Important Relations

- empty: \emptyset
- total: $A \times B$
- identity on A : $\{(a, a) \mid a \in A\}$.
- composition $R; S$: $\{(a, c) \mid \exists b. (a, b) \in R \wedge (b, c) \in S\}$

Functions

A *(total) function* f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$.

Functions

A (total) function f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$.

When f is a function, we usually write $f : A \rightarrow B$ instead of $f \subseteq A \times B$.

Functions

A *(total) function* f is a binary relation $f \subseteq A \times B$ with the property that every $a \in A$ is related to exactly one $b \in B$.

When f is a function, we usually write $f : A \rightarrow B$ instead of $f \subseteq A \times B$.

The *image* of f is the set of elements $b \in B$ that are mapped to by at least one $a \in A$. Formally:

$$\text{image}(f) \triangleq \{f(a) \mid a \in A\}$$

Some Important Functions

Given two functions $f : A \rightarrow B$ and $g : B \rightarrow C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ **Note order!**

Some Important Functions

Given two functions $f : A \rightarrow B$ and $g : B \rightarrow C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ **Note order!**

A partial function $f : A \rightharpoonup B$ is a total function $f : A' \rightarrow B$ on a set $A' \subseteq A$. The notation $\text{dom}(f)$ refers to A' .

Some Important Functions

Given two functions $f : A \rightarrow B$ and $g : B \rightarrow C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

A partial function $f : A \rightharpoonup B$ is a total function $f : A' \rightarrow B$ on a set $A' \subseteq A$. The notation $\text{dom}(f)$ refers to A' .

A function $f : A \rightarrow B$ is said to be *injective* (or *one-to-one*) if and only if $a_1 \neq a_2$ implies $f(a_1) \neq f(a_2)$.

Some Important Functions

Given two functions $f : A \rightarrow B$ and $g : B \rightarrow C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

A partial function $f : A \rightharpoonup B$ is a total function $f : A' \rightarrow B$ on a set $A' \subseteq A$. The notation $\text{dom}(f)$ refers to A' .

A function $f : A \rightarrow B$ is said to be *injective* (or *one-to-one*) if and only if $a_1 \neq a_2$ implies $f(a_1) \neq f(a_2)$.

A function $f : A \rightarrow B$ is said to be *surjective* (or *onto*) if and only if the image of f is B .

Operational Semantics

Overview

An **operational semantics** describes how a program executes on some abstract (imaginary) machine.

Overview

An **operational semantics** describes how a program executes on some abstract (imaginary) machine.

A **small-step** operational semantics describes how such an execution proceeds from configuration to configuration:

$$\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$$

Overview

An **operational semantics** describes how a program executes on some abstract (imaginary) machine.

A **small-step** operational semantics describes how such an execution proceeds from configuration to configuration:

$$\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$$

For our language, a **configuration** $\langle \sigma, e \rangle$ is a pair of:

- a **store** σ that records the values of variables,
- and the **expression** e being evaluated.

Overview

An **operational semantics** describes how a program executes on some abstract (imaginary) machine.

A **small-step** operational semantics describes how such an execution proceeds from configuration to configuration:

$$\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$$

For our language, a **configuration** $\langle \sigma, e \rangle$ is a pair of:

- a **store** σ that records the values of variables,
- and the **expression** e being evaluated.

More formally:

$$\begin{aligned} \mathbf{Store} &\triangleq \mathbf{Var} \rightarrow \mathbf{Int} \\ \mathbf{Config} &\triangleq \mathbf{Store} \times \mathbf{Exp} \end{aligned}$$

(A store is a *partial* function from variables to integers.)

Operational Semantics

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Operational Semantics

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

which means $(\langle \sigma, e \rangle, \langle \sigma', e' \rangle) \in \text{“}\rightarrow\text{”}$.

Operational Semantics

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

which means $(\langle \sigma, e \rangle, \langle \sigma', e' \rangle) \in \text{“}\rightarrow\text{”}$.

Question: How should we define this relation?

Operational Semantics

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

Notation: $\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$

which means $(\langle \sigma, e \rangle, \langle \sigma', e' \rangle) \in \rightarrow$.

Question: How should we define this relation? Remember that there are an infinite number of configurations and possible steps!

Inference Rules

Answer: Define it inductively, using inference rules:

$$\frac{\text{premise}_1 \quad \text{premise}_2 \quad \dots}{\text{conclusion}} \text{ NAME}$$

Inference Rules

Answer: Define it inductively, using inference rules:

$$\frac{\text{premise}_1 \quad \text{premise}_2 \quad \dots}{\text{conclusion}} \text{ NAME}$$

An inference rule defines an implication: if all the **premises** hold, then the **conclusion** also holds.

Formally, “ \rightarrow ” is the smallest relation that is closed under all the inference rules.

Variables

$$\frac{n = \sigma(x)}{\langle \sigma, x \rangle \rightarrow \langle \sigma, n \rangle} \text{VAR}$$

Addition

$$\frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ADD}$$

Addition

$$\frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ ADD}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e'_1 + e_2 \rangle} \text{ LADD}$$

Addition

$$\frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ADD}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e'_1 + e_2 \rangle} \text{LADD}$$

$$\frac{\langle \sigma, e_2 \rangle \rightarrow \langle \sigma', e'_2 \rangle}{\langle \sigma, n + e_2 \rangle \rightarrow \langle \sigma', n + e'_2 \rangle} \text{RADD}$$

Multiplication

$$\frac{p = m \times n}{\langle \sigma, m * n \rangle \rightarrow \langle \sigma, p \rangle} \text{MUL}$$

Multiplication

$$\frac{p = m \times n}{\langle \sigma, m * n \rangle \rightarrow \langle \sigma, p \rangle} \text{MUL}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, e_1 * e_2 \rangle \rightarrow \langle \sigma', e'_1 * e_2 \rangle} \text{LMUL}$$

$$\frac{\langle \sigma, e_2 \rangle \rightarrow \langle \sigma', e'_2 \rangle}{\langle \sigma, n * e_2 \rangle \rightarrow \langle \sigma', n * e'_2 \rangle} \text{RMUL}$$

Assignment

$$\frac{\sigma' = \sigma[x \mapsto n]}{\langle \sigma, x := n; e_2 \rangle \rightarrow \langle \sigma', e_2 \rangle} \text{ ASSGN}$$

Notation: $\sigma[x \mapsto n]$ is a *new* (partial) function that mostly behaves like σ , except that it maps x to n .

Assignment

$$\frac{\sigma' = \sigma[x \mapsto n]}{\langle \sigma, x := n; e_2 \rangle \rightarrow \langle \sigma', e_2 \rangle} \text{ASSGN}$$

Notation: $\sigma[x \mapsto n]$ is a *new* (partial) function that mostly behaves like σ , except that it maps x to n .

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, x := e_1; e_2 \rangle \rightarrow \langle \sigma', x := e'_1; e_2 \rangle} \text{ASSGN1}$$

Operational Semantics

$$\frac{n = \sigma(x)}{\langle \sigma, x \rangle \rightarrow \langle \sigma, n \rangle} \text{VAR}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e'_1 + e_2 \rangle} \text{LADD}$$

$$\frac{\langle \sigma, e_2 \rangle \rightarrow \langle \sigma', e'_2 \rangle}{\langle \sigma, n + e_2 \rangle \rightarrow \langle \sigma', n + e'_2 \rangle} \text{RADD}$$

$$\frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ADD}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, e_1 * e_2 \rangle \rightarrow \langle \sigma', e'_1 * e_2 \rangle} \text{LMUL}$$

$$\frac{\langle \sigma, e_2 \rangle \rightarrow \langle \sigma', e'_2 \rangle}{\langle \sigma, n * e_2 \rangle \rightarrow \langle \sigma', n * e'_2 \rangle} \text{RMUL}$$

$$\frac{p = m \times n}{\langle \sigma, m * n \rangle \rightarrow \langle \sigma, p \rangle} \text{MUL}$$

$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e'_1 \rangle}{\langle \sigma, x := e_1 ; e_2 \rangle \rightarrow \langle \sigma', x := e'_1 ; e_2 \rangle} \text{ASSGN1}$$

$$\frac{\sigma' = \sigma[x \mapsto n]}{\langle \sigma, x := n ; e_2 \rangle \rightarrow \langle \sigma', e_2 \rangle} \text{ASSGN}$$

Multi-Step Evaluation

We can define the multi-step evaluation relation, written \rightarrow^* , as the reflexive and transitive closure of the small-step evaluation relation.

$$\frac{}{\langle \sigma, e \rangle \rightarrow^* \langle \sigma, e \rangle} \text{REFL}$$

$$\frac{\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle \quad \langle \sigma', e' \rangle \rightarrow^* \langle \sigma'', e'' \rangle}{\langle \sigma, e \rangle \rightarrow^* \langle \sigma'', e'' \rangle} \text{TRANS}$$