CS 4110

Programming Languages & Logics

Lecture 16
Fixed-Point Combinators

Termination in the λ -calculus

We have encoded lots of useful programming functionality that produces values.

Does every closed λ -term eventually terminate under CBN evaluation?

 \forall closed term e. $\exists e'$. $e \rightarrow^* e' \land e' \not\rightarrow$?

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No!

$$\Omega \triangleq (\lambda x. x x) (\lambda x. x x)$$

2

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No!

$$\Omega \triangleq (\lambda x. xx) (\lambda x. xx)
\rightarrow (xx) \{(\lambda x. xx)/x\}
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= \Omega$$

Recursive Functions

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We'd like to write it like this...

$$FACT \triangleq \lambda n$$
. IF (ISZERO n) 1 (TIMES n (FACT (PRED n)))

In slightly more readable notation this is...

$$\mathsf{FACT} \triangleq \lambda n. \ \mathsf{if} \ n = 0 \ \mathsf{then} \ 1 \ \mathsf{else} \ n \times \mathsf{FACT} \ (n-1)$$

...but this is an equation, not a definition!

Recursion removal trick

We can perform a "trick" to define a function FACT that satisfies the recursive equation on the previous slide.

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Define a new function FACT' that takes a function f as an argument. Then, for "recursive" calls, it uses f f:

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Then define FACT as FACT' applied to itself:

$$\mathsf{FACT} \triangleq \mathsf{FACT'} \, \mathsf{FACT'}$$

Let's try evaluating FACT on 3...

FACT 3

$$FACT 3 = (FACT' FACT') 3$$

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=
$$((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times ((ff)(n-1))) \text{ FACT'}) 3$$

FACT 3 = (FACT' FACT') 3
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 $\rightarrow (\lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times ((\text{FACT' FACT'})(n-1))) 3$

FACT 3 = (FACT' FACT') 3
=
$$((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times ((ff)(n-1))) \text{ FACT'}) 3$$

 $\rightarrow (\lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times ((\text{FACT' FACT'})(n-1))) 3$
 $\rightarrow \text{ if } 3 = 0 \text{ then } 1 \text{ else } 3 \times ((\text{FACT' FACT'})(3-1))$

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=
$$((\lambda f. \lambda n. \mathbf{if} \ n = 0 \mathbf{then} \ 1 \mathbf{else} \ n \times ((ff) \ (n-1))) \mathbf{FACT'}) \ 3$$

 $\rightarrow (\lambda n. \mathbf{if} \ n = 0 \mathbf{then} \ 1 \mathbf{else} \ n \times ((\mathbf{FACT'} \mathbf{FACT'}) \ (n-1))) \ 3$
 $\rightarrow \mathbf{if} \ 3 = 0 \mathbf{then} \ 1 \mathbf{else} \ 3 \times ((\mathbf{FACT'} \mathbf{FACT'}) \ (3-1))$
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```
\begin{split} \mathsf{FACT} \, 3 &= \big(\mathsf{FACT'}\,\mathsf{FACT'}\big) \, 3 \\ &= \big(\big(\lambda f.\,\lambda n.\,\mathsf{if}\,\, n = 0\,\mathsf{then}\,\, 1\,\mathsf{else}\,\, n \times \big(\big(ff\big)\,(n-1)\big)\big)\,\,\mathsf{FACT'}\big) \, 3 \\ &\to \big(\lambda n.\,\mathsf{if}\,\, n = 0\,\mathsf{then}\,\, 1\,\mathsf{else}\,\, n \times \big(\big(\mathsf{FACT'}\,\,\mathsf{FACT'}\big)\,(n-1)\big)\big) \, 3 \\ &\to \mathsf{if}\,\, 3 = 0\,\mathsf{then}\,\, 1\,\mathsf{else}\,\, 3 \times \big(\big(\mathsf{FACT'}\,\,\mathsf{FACT'}\big)\,(3-1)\big) \\ &\to 3 \times \big(\big(\mathsf{FACT'}\,\,\mathsf{FACT'}\big)\,(3-1)\big) \\ &= 3 \times \big(\mathsf{FACT}\,(3-1)\big) \end{split}
```

5

$$\begin{split} \mathsf{FACT} \, 3 &= \big(\mathsf{FACT'} \, \mathsf{FACT'} \big) \, 3 \\ &= \big(\big(\lambda f. \, \lambda n. \, \mathsf{if} \, n = 0 \, \mathsf{then} \, 1 \, \mathsf{else} \, n \times \big((ff) \, (n-1) \big) \big) \, \mathsf{FACT'} \big) \, 3 \\ &\to \big(\lambda n. \, \mathsf{if} \, n = 0 \, \mathsf{then} \, 1 \, \mathsf{else} \, n \times \big((\mathsf{FACT'} \, \mathsf{FACT'}) \, (n-1) \big) \big) \, 3 \\ &\to \mathsf{if} \, 3 = 0 \, \mathsf{then} \, 1 \, \mathsf{else} \, 3 \times \big((\mathsf{FACT'} \, \mathsf{FACT'}) \, (3-1) \big) \\ &\to 3 \times \big((\mathsf{FACT'} \, \mathsf{FACT'}) \, (3-1) \big) \\ &= 3 \times \big(\mathsf{FACT} \, (3-1) \big) \\ &\to \dots \\ &\to 3 \times 2 \times 1 \times 1 \end{split}$$

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Our "trick" requires following human-readable instructions. Write a different function f that takes itself as an argument and uses self-application for recursive calls, and then define f as f f.

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Consider factorial again. It is a fixed point of the following:

$$G \triangleq \lambda f. \ \lambda n. \ \text{if} \ n = 0 \ \text{then} \ 1 \ \text{else} \ n \times (f(n-1))$$

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Recall that if g if a fixed point of G, then G g = g. To see that any fixed point g is a real factorial function, try evaluating it:

$$g5 = (Gg)5$$

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$$g 5 = (G g) 5$$

 $\rightarrow^* 5 \times (g 4)$
 $= 5 \times ((G g) 4)$

How can we generate the fixed point of *G*?

In denotational semantics, finding fixed points took a lot of math. In the λ -calculus, we just need a suitable combinator...

Y Combinator

The (infamous) Y combinator is defined as

$$Y \triangleq \lambda f. (\lambda x. f(xx)) (\lambda x. f(xx))$$

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What happens when we evaluate Y G under CBV?

Z Combinator

To avoid this issue, we'll use a slight variant of the Y combinator, called Z, which is easier to use under CBV.

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$$Z \triangleq \lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))$$

Let's see Z in action, on our function G.

FACT

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FACT

= ZG

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G
```

```
FACT

= ZG

= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G

\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
```

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
```

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
= (\lambda f. \lambda n. \mathbf{if} n = 0 \mathbf{then} 1 \mathbf{else} n \times (f(n-1)))
(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
```

```
FACT
= ZG
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
(\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y)
\rightarrow \lambda n. \text{ if } n = 0 \text{ then } 1
\text{else } n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
```

```
FACT
       7 G
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy))(\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y.(\lambda x.G(\lambda y.xxy))(\lambda x.G(\lambda y.xxy))y)
= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
                (\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) v)
\rightarrow \lambda n, if n=0 then 1
              else n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
=_{\beta} \lambda n. if n=0 then 1 else n \times (\lambda y. (ZG) y) (n-1)
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FACT
       7 G
 = (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy))(\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y.(\lambda x.G(\lambda y.xxy))(\lambda x.G(\lambda y.xxy))y)
 = (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
                (\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) v)
\rightarrow \lambda n, if n=0 then 1
              else n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
=_{\beta} \lambda n. if n=0 then 1 else n \times (\lambda y. (ZG) y) (n-1)
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FACT
       7 G
= (\lambda f. (\lambda x. f(\lambda y. xxy)) (\lambda x. f(\lambda y. xxy))) G
\rightarrow (\lambda x. G(\lambda y. xxy))(\lambda x. G(\lambda y. xxy))
\rightarrow G(\lambda y.(\lambda x.G(\lambda y.xxy))(\lambda x.G(\lambda y.xxy))y)
= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))
               (\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) v)
\rightarrow \lambda n, if n=0 then 1
             else n \times ((\lambda y. (\lambda x. G(\lambda y. xxy)) (\lambda x. G(\lambda y. xxy)) y) (n-1))
=_{\beta} \lambda n. if n=0 then 1 else n \times (\lambda y. (ZG)y)(n-1)
=_{\beta} \lambda n. if n=0 then 1 else n\times ((ZG)(n-1))
=\lambda n. if n=0 then 1 else n\times (FACT(n-1))
```

Other fixed point combinators

There are many (indeed infinitely many) fixed-point combinators. Here's a cute one:

where

```
L \triangleq \lambda abcdefghijklmnopqstuvwxyzr.  (r(thisisafixedpointcombinator))
```

To gain some more intuition for fixed point combinators, let's derive a combinator Θ originally discovered by Turing.

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$$\Theta f = f(\Theta f).$$

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We can write the following recursive equation:

$$\Theta = \lambda f. f(\Theta f)$$

Now use the recursion removal trick:

$$\Theta' \triangleq \lambda t. \lambda f. f(t t f)
\Theta \triangleq \Theta' \Theta'$$

$$\mathsf{FACT} = \Theta \, \mathit{G}$$

$$FACT = \Theta G$$
= $((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G$

$$FACT = \Theta G$$

$$= ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G$$

$$\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G$$

```
FACT = \Theta G
= ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G
\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G
\rightarrow G ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) G)
```

```
FACT = \Theta G
= ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G
\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G
\rightarrow G ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) G)
= G (\Theta G)
```

```
FACT = \Theta G
          = ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G
          \rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G
          \rightarrow G ((\lambda t. \lambda f. f(ttf)) (\lambda t. \lambda f. f(ttf)) G)
          = G(\Theta G)
          = (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1))) (\Theta G)
          \rightarrow \lambda n. if n=0 then 1 else n \times ((\Theta G)(n-1))
          =\lambda n. if n=0 then 1 else n\times (\text{FACT}(n-1))
```