# Sequential Consistency & Linearizability

CS4405 – Analysis of Concurrent and Distributed Programs

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## Correctness for concurrent objects

## Problem setting (distributed databases):

- Database consists of objects that can be read/written by transactions
  - An object is defined by the set of operations on it and by the behavior of the object when these operations are invoked sequentially (sequential specifications\*)
- Clients interact with databases using transactions
- We capture what happens in a database by a history
- A history is partial order over the operations of a set of transactions
- In this lecture: Each transaction consists of a single operation
- In the next lecture, transactions with atomic visibility of a set of operations

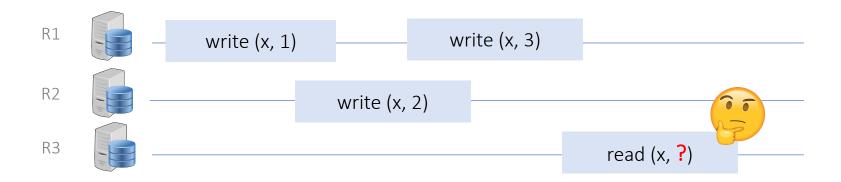


# Correctness for concurrent objects

Concurrent accesses to multiple copies of the object

Consistency condition defines which concurrent operation executions are considered correct

Consistency model is a contract between programmer and replicated system, i.e., it specifies the consistency between replicas and what can be observed as possible results of operations.



# Strong consistency models

 Sequential consistency (SC) (or serializability for transactional accesses) used in 1970s in the database context

Linearizability: Stronger consistency condition (SC + real time requirements)

Next lecture: Weak consistency models



# Sequential Consistency (SC)

LESLIE LAMPORT IEEE, 1979

- Operations appear to take place in some total order:
  - All servers execute all ops in some identical sequential order
  - The order preserves each client's own local ordering

```
R1 — write (x, 0)

R2 — write (x, 1)

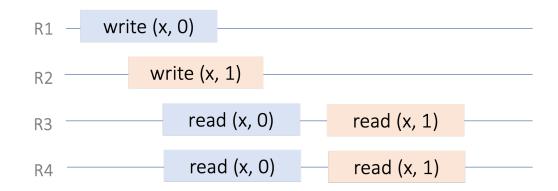
R3 — read (x, 0) — read (x, 1)

R4 — read (x, 0) — read (x, 1)
```

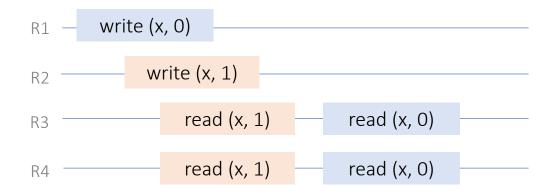


# Sequential Consistency

#### Sequentially consistent



## Also sequentially consistent



### Not sequentially consistent

```
R1 — write (x, 0)

R2 — write (x, 1)

R3 — read (x, 0) — read (x, 1)

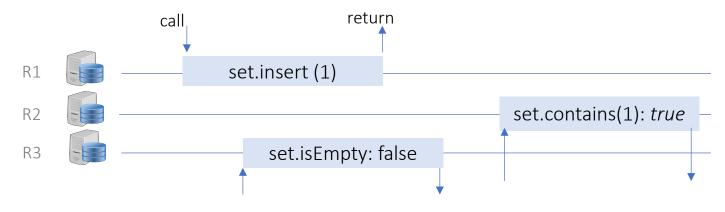
R4 — read (x, 1) — read (x, 0)
```



MAURICE P. HERLIHY and JEANNETTE M. WING Carnegie Mellon University

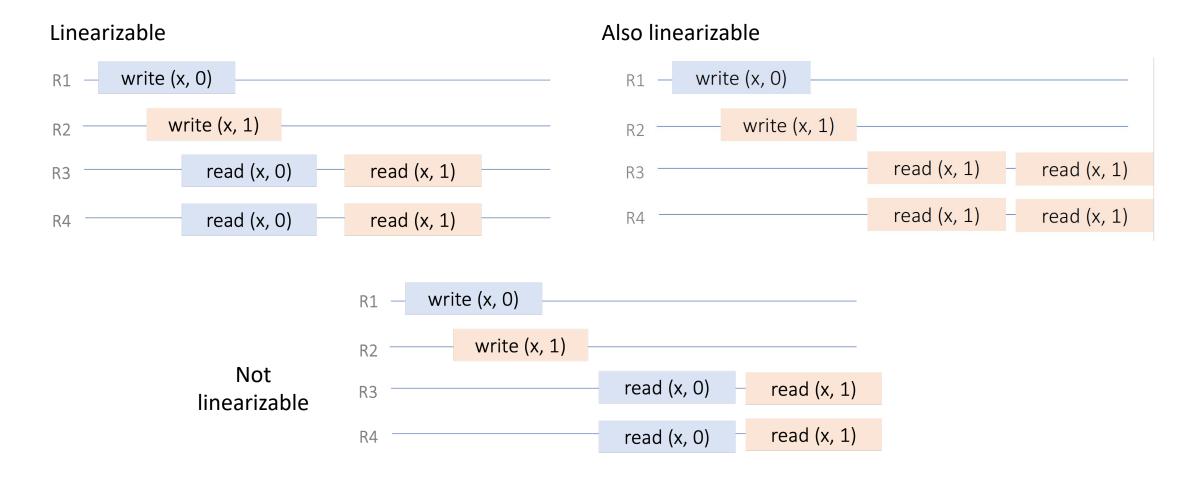
TOPLAS, 1990

- SC + preserve real-time order
  - All servers execute all ops in some identical sequential order
  - The order preserves each client's own local ordering
  - The order preserves real-time guarantee
- Operation calls appear to take effect one at a time, each operation takes effect between the point at which it is called and when it returns
  - As soon as writes complete successfully, the result is immediately replicated to all nodes atomically and is made available to reads



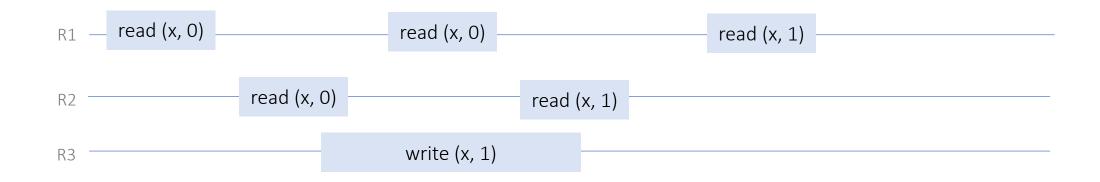


# Linearizability





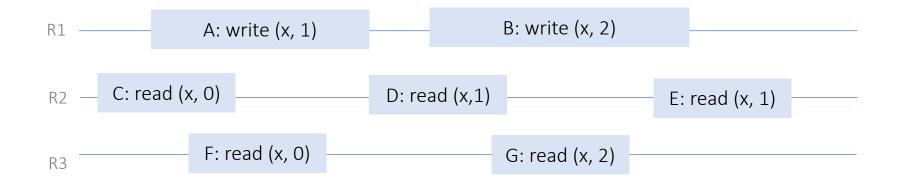
# Linearizability: Example



Q: Is the execution above linearizable?

A: Yes, there is a *total order* of operations *that conform to their real time order* and *satisfy the specification* of the data variable x.

# Linearizability: Example



Q: Is the execution above linearizable?

A: No, in a linearizable system, it is not possible the read operation E to read the value 1.

# Testing for Linearizability



A concurrent datatype C is linearizable wrt S if every history h of C is linearizable wrt S.

## Test setting:

- Distributed database X claims to provide linearizable consistency
- Testing database X:
  - Run some client transactions on the database
  - (Optional) Insert network or process faults during the execution
  - Collect execution histories of the test executions (with call and return events)
- Checking the linearizability of histories:
  - Check each history whether it satisfies linearizability or it violates linearizability
  - E.g., using <u>Knossos</u> linearizability checker



# How to check linearizability of histories?

- Checking linearizability is an NP-complete problem (Gibbons & Korach, 1997)
  - Inherently difficult to implement a checker
- Automated model checking techniques apply exhaustive state space exploration
  - Beware of state space explosion problem
  - The problem is to find ways of pruning a huge search space: in the worst case, its size is O(N!) where N is the length of the run of a concurrent system.



# How to check linearizability of histories?

Wing & Gong's linearizability algorithm

**Input:** A complete history h and a sequential specification object S with an undo operation. **Returns:** true iff h is linearizable.

```
def isLinearizable(h, S) : Boolean = {
     if (h is empty) return true
     else{
3
       for each minimal operation op {
                                                                         an op is minimal if no return is before the call of op,
         // try linearizing op first
                                                                         i.e., it could be linearized first
         let res be the result of op in h
         run op on S
         if (S gives result res &&| isLinearizable(h - op, S)) return true
         else undo op on S
10
       return false
11
12
13
```

Lowe's algorithm applies optimizations on top of WG algorithm



# How to check linearizability of histories?

- Knossos linearizability checking tool (used in the Jepsen test system)
  - An implementation of the Wing and Gong linearizability checker, plus Lowe's extensions
  - Used for checking linearizability of many in-production distributed systems
  - Bugs found in several distributed key/value stores



1 Knossos tablet Co 907, listing sheep, goats, pigs and cattle

https://github.com/jepsen-io/knossos



# Some references for further reading

- Testing and verifying concurrent objects.
  - J.M. Wing, C. Gong, C. J. Parallel Distrib. Comput. 1993
- Experience with Model Checking Linearizability.
  - M. T. Vechev, E. Yahav, G. Yorsh. SPIN 2009
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- Automatically Proving Linearizability.
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- Faster Linearizability Checking via P-Compositionality.
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- Testing for linearizability
  - G. Lowe. Concurrency and Computation: Practice and Experience. 2017

