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# Computational Structures in Data Science

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## Lecture 5: Recursion



February 25, 2019

<http://inst.eecs.berkeley.edu/~cs88>



# Administrative Issues

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- Where is Lecture 4? See Last slides.
- Labs are to help you learn the materials, so please make full use of them
- Materials for midterm go through March 4th Lecture.



# Computational Concepts Toolbox

- Data type: values, literals, operations,
  - e.g., int, float, string
- Expressions, Call expression
- Variables
- Assignment Statement
- Sequences: tuple, list
  - indexing
- Data structures
- Tuple assignment
- Call Expressions
- Function Definition Statement
- Conditional Statement
- Iteration:
  - data-driven (list comprehension)
  - control-driven (for statement)
  - while statement
- Higher Order Functions
  - Functions as Values
  - Functions with functions as argument
  - Assignment of function values
- Higher order function patterns
  - Map, Filter, Reduce
- Function factories – create and return functions





# Today: Recursion

## re·cur·sion

/ri'kərZHən/

*noun*

MATHEMATICS

LINGUISTICS

the repeated application of a recursive procedure or definition.

- a recursive definition.  
plural noun: **recursions**

## re·cur·sive

/ri'kərsiv/

*adjective*

characterized by recurrence or repetition, in particular.

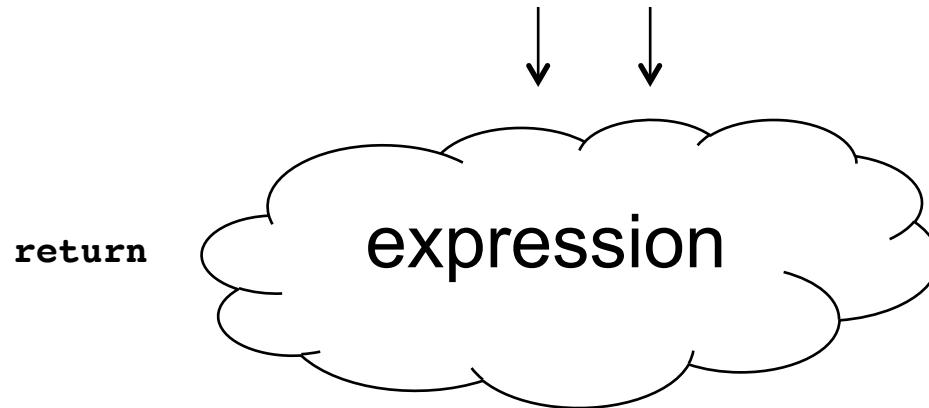
- MATHEMATICS LINGUISTICS  
relating to or involving the repeated application of a rule, definition, or procedure to successive results.
- COMPUTING  
relating to or involving a program or routine of which a part requires the application of the whole, so that its explicit interpretation requires in general many successive executions.

- **Recursive function calls itself, directly or indirectly**



# Review: Functions

```
def <function name> (<argument list>) :
```



```
def concat(str1, str2):  
    return str1+str2;  
  
concat("Hello", "World")
```

- **Generalizes an expression or set of statements to apply to lots of instances of the problem**
- **A function should *do one thing well***



# Review: Higher Order Functions

- Functions that operate on functions
- A function

```
def odd(x):  
    return x%2  
  
>>> odd(3)  
1
```

Why is this  
not 'odd' ?

- A function that takes a function arg

```
def filter(fun, s):  
    return [x for x in s if fun(x)]  
  
>>> filter(odd, [0,1,2,3,4,5,6,7])  
[1, 3, 5, 7]
```



# Review Higher Order Functions (cont)

- A function that returns (makes) a function

```
def leq_maker(c):
    def leq(val):
        return val <= c
    return leq
```

```
>>> leq_maker(3)
<function leq_maker.<locals>.leq at 0x1019d8c80>
```

```
>>> leq_maker(3)(4)
False
```

```
>>> filter(leq_maker(3), [0,1,2,3,4,5,6,7])
[0, 1, 2, 3]
>>>
```



# Review: One more example

- What does this function do?

```
def split_fun(p, s):
    """ Returns <you fill this in>."""
    return [i for i in s if p(i)], [i for i in s if not p(i)]
```

```
>>> split_fun(lambda x: x <= 3, [0,1,2,3,4,5,6])
([0, 1, 2, 3], [4, 5, 6])
```



# Function Review

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- A function cannot...
  - A) have a function as argument
  - B) define a function within itself
  - C) return a function
  - D) call itself
  - E) None of the above.



**Solution:**

**E) A, B, C, D are all possible!**



# Function Review

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- A Python function can...
  - A) not return a value
  - B) return different values for the same input
  - C) halt the entire program
  - D) change global variables
  - E) All of the above.



**Solution:**

**E) A, B, C, D are all possible!**



# Recall: Iteration

```
def sum_of_squares(n):
    accum = 0
    for i in range(1,n+1):
        accum = accum + i*i
    return accum
```

1. Initialize the “base” case of no iterations

2. Starting value

3. Ending value

4. New loop variable value



# Recursion Key concepts – by example

1. Test for simple “base” case
2. Solution in simple “base” case

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```

3. Assume recursive solution to simpler problem
4. Transform soln of simpler problem into full soln



## In words

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- The sum of no numbers is zero
- The sum of  $1^2$  through  $n^2$  is the
  - sum of  $1^2$  through  $(n-1)^2$
  - plus  $n^2$

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```



# Why does it work

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```
sum_of_squares(3)
```

```
# sum_of_squares(3) => sum_of_squares(2) + 3**2
#                   => sum_of_squares(1) + 2**2 + 3**2
#                   => sum_of_squares(0) + 1**2 + 2**2 + 3**2
#                   => 0 + 1**2 + 2**2 + 3**2 = 14
```



# How does it work?

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- **Each recursive call gets its own local variables**
  - Just like any other function call
- **Computes its result (possibly using additional calls)**
  - Just like any other function call
- **Returns its result and returns control to its caller**
  - Just like any other function call
- **The function that is called happens to be itself**
  - Called on a simpler problem
  - Eventually bottoms out on the simple base case
- **Reason about correctness “by induction”**
  - Solve a base case
  - Assuming a solution to a smaller problem, extend it



# Questions

- In what order do we sum the squares ?
- How does this compare to iterative approach ?

```
def sum_of_squares(n):
    accum = 0
    for i in range(1,n+1):
        accum = accum + i*i
    return accum
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n**2
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return n**2 + sum_of_squares(n-1)
```



# Tail Recursion

- All the work happens on the way down the recursion
- On the way back up, just return

```
def sum_up_squares(i, n, accum):
    """Sum the squares from i to n in incr. order"""
    if i > n:
        Base Case
    else:
        Tail Recursive Case

>>> sum_up_squares(1,3,0)
14
```



# Local variables

```
def sum_of_squares(n):
    n_squared = n**2
    if n < 1:
        return 0
    else:
        return n_squared + sum_of_squares(n-1)
```

- Each call has its own “frame” of local variables
- What about globals?
- Let’s see the environment diagrams (next lecture)

<https://goo.gl/CiFaUJ>



# Iteration vs Recursion

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## For loop:

```
def sum(n) :  
    s=0  
    for i in range(0,n+1) :  
        s=s+i  
    return s
```



# Iteration vs Recursion

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## While loop:

```
def sum(n):  
    s=0  
    i=0  
    while i<n:  
        i=i+1  
        s=s+i  
    return s
```



# Iteration vs Recursion

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## Recursion:

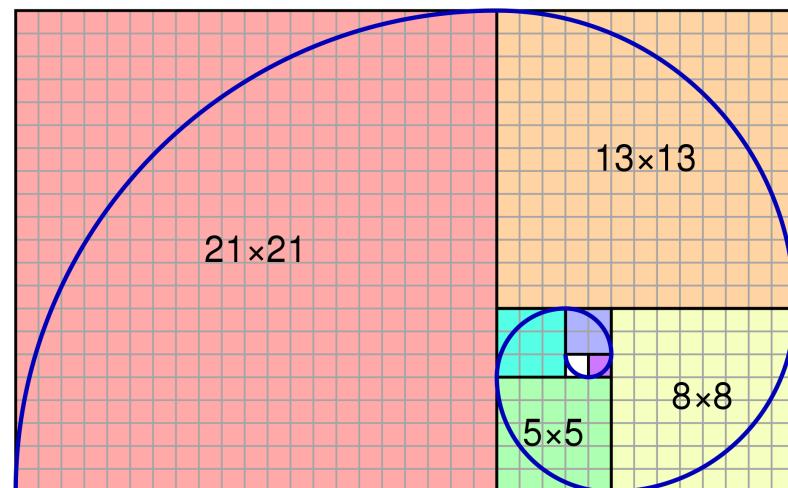
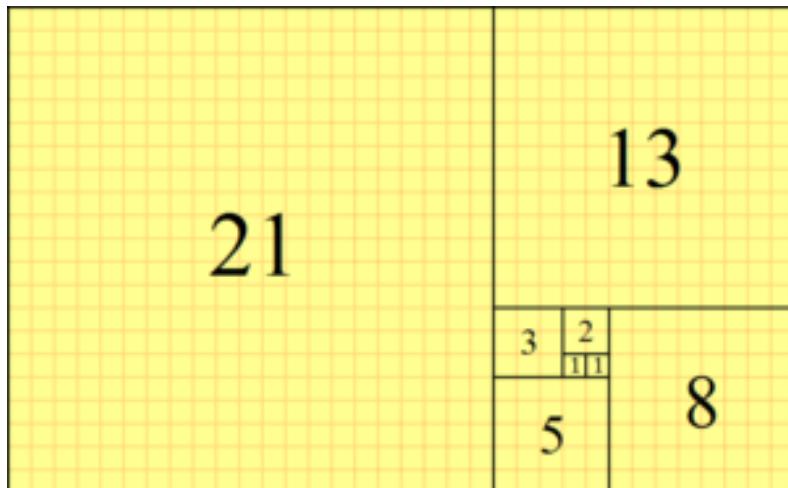
```
def sum(n):  
    if n==0:  
        return 0  
    return n+sum(n-1)
```



# For Homework

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```
fibonacci(n) = fibonacci(n-1) + fibonacci(n-2)
where fibonacci(1) == fibonacci(0) == 1
```





# Another Example

indexing an element of a sequence

```
def first(s):  
    """Return the first element in a sequence."""  
    return s[0]  
def rest(s):  
    """Return all elements in a sequence after the first"""  
    return s[1:]
```

Slicing a sequence of elements

```
def min_r(s):  
    """Return minimum value in a sequence."""
```

if Base Case

else:

Recursive Case

- Recursion over sequence length, rather than number magnitude



# Visualize its behavior (print)

```
In [104]: def min_r(s):
    print('min_r:', s)
    if len(s) == 1:
        return first(s)
    else:
        result = min(first(s), min_r(rest(s)))
        print('min_r:', s, " => ", result)
        return result
```

```
In [105]: min_r([3,4,2,5,11])

min_r: [3, 4, 2, 5, 11]
min_r: [4, 2, 5, 11]
min_r: [2, 5, 11]
min_r: [5, 11]
min_r: [11]
min_r: [5, 11]  =>  5
min_r: [2, 5, 11]  =>  2
min_r: [4, 2, 5, 11]  =>  2
min_r: [3, 4, 2, 5, 11]  =>  2
```

- What about sum?
- Don't confuse print with return value



# Trust ...

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- The recursive “leap of faith” works as long as we hit the base case eventually

What happens if we don’t?



# Recursion

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- Recursion is...
  - A) Less powerful than a for loop
  - B) As powerful as a for loop
  - C) As powerful as a while loop
  - D) More powerful than a while loop
  - E) Just different all together



**Solution:**

**C) Any recursion can be formulated as a while loop and any while loop can be formulated as a recursion (with a global variable).**



# Why Recursion?

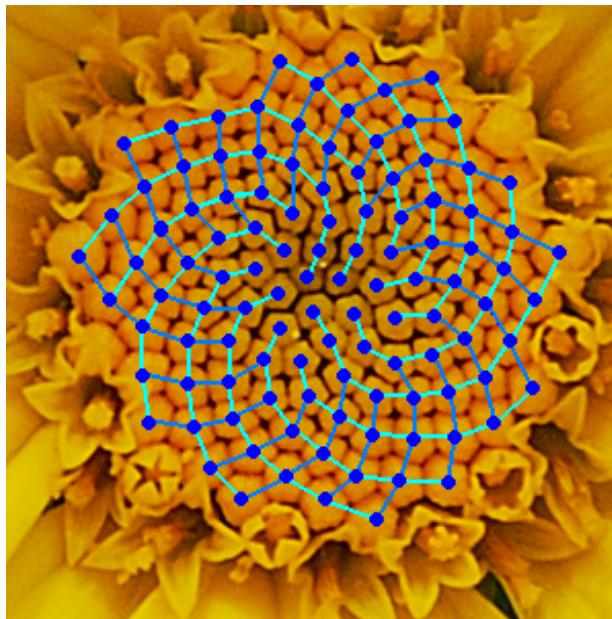
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- “After Abstraction, Recursion is probably the 2<sup>nd</sup> biggest idea in this course”
- “It’s tremendously useful when the problem is self-similar”
- “It’s no more powerful than iteration, but often leads to more concise & better code”
- “It’s more ‘mathematical’”
- “It embodies the beauty and joy of computing”
- ...



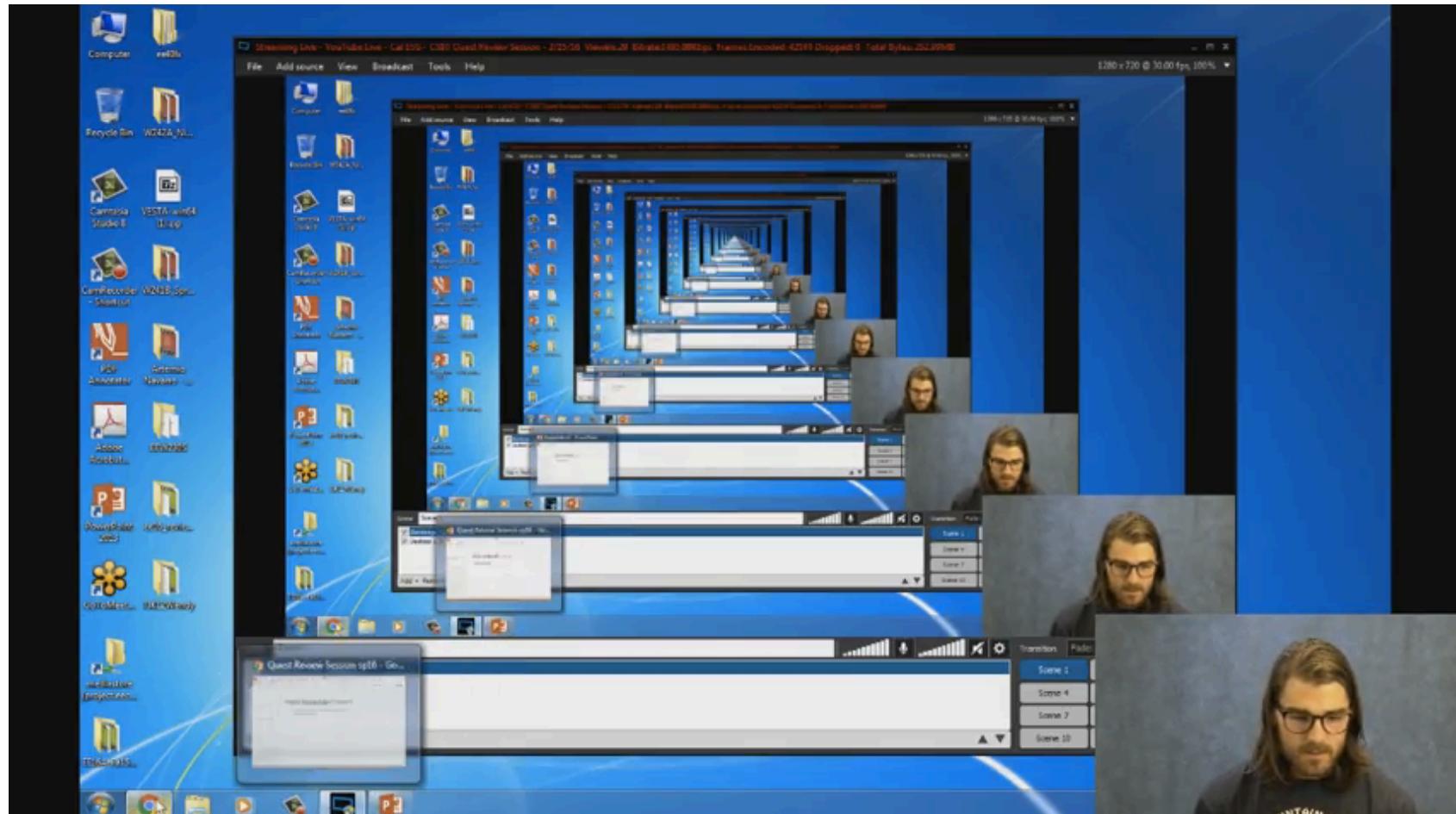
# Why Recursion? More Reasons

- **Recursive structures exist (sometimes hidden) in nature and therefore in data!**
- **It's mentally and sometimes computationally more efficient to process recursive structures using recursion.**





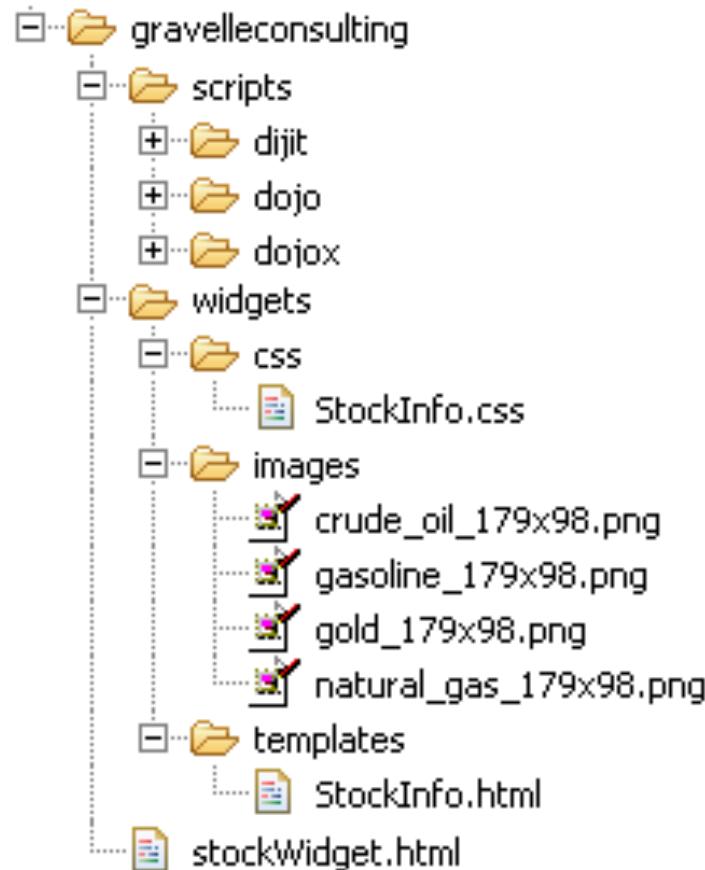
# Recursion (unwanted)



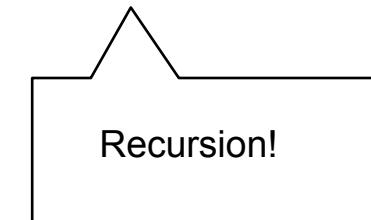


# Example I

List all items on your hard disk



- **Files**
- **Folders contain**
  - **Files**
  - **Folders**





# List Files in Python

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```
def listfiles(directory):
    content = [os.path.join(directory, x) for x in os.listdir(directory)]

    dirs = sorted([x for x in content if os.path.isdir(x)])
    files = sorted([x for x in content if os.path.isfile(x)])

    for d in dirs:
        print d
        listfiles(d)

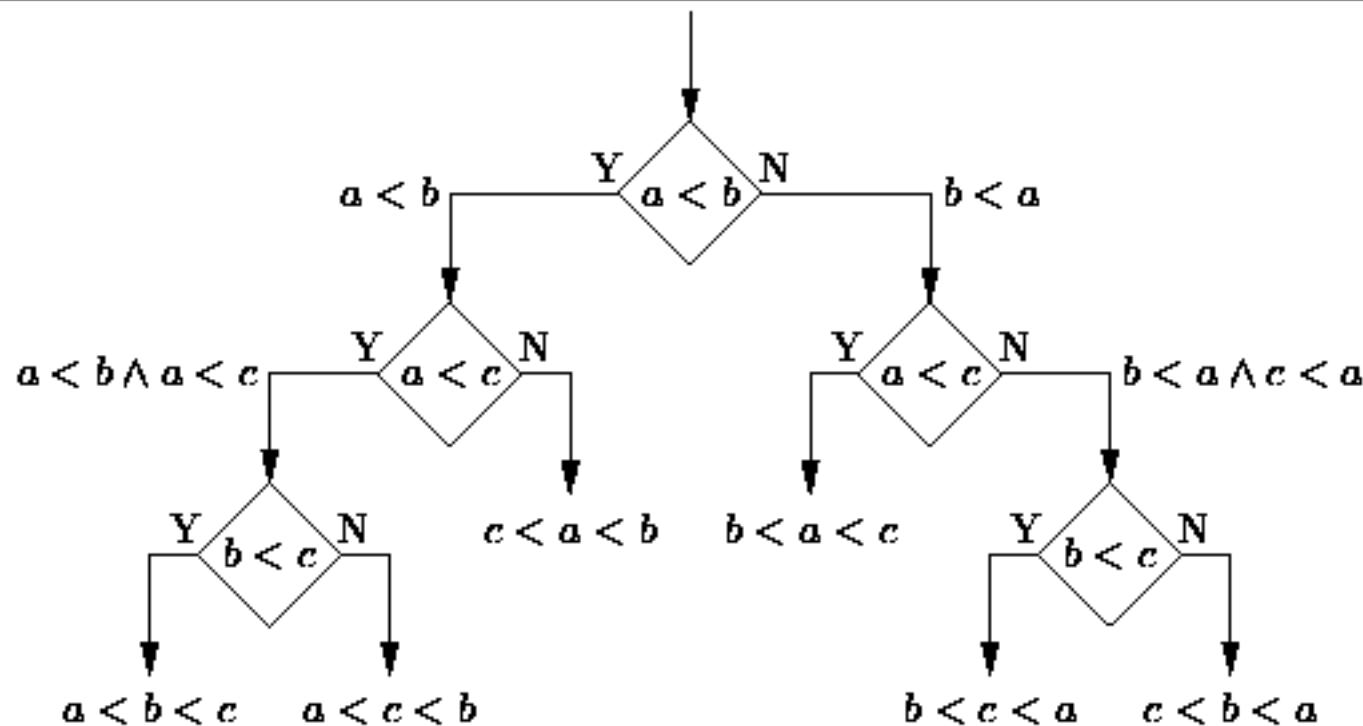
    for f in files:
        print f
```

**Iterative version about twice as much code  
and much harder to think about.**



## Example II

Sort the numbers in a list.

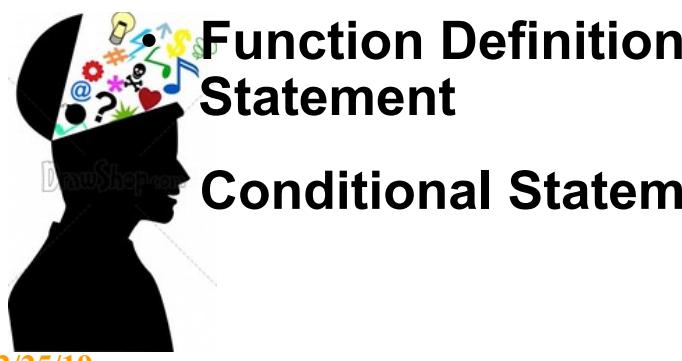


Hidden recursive structure: Decision tree!



# Computational Concepts Toolbox

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- Data structures
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- Iteration:
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- Recursion



Conditional Statement

# Answers for the Wandering Mind (Holiday Edition)



- How many answers can be maximally responded to by 20 questions (how much data do I need on my game device)?

Assume a number of answer possibilities  $b$ . This gives  $b^{20}$  possible answer paths.

In below device:  $b=4$  ("unknown", "no", "yes", "sometimes") and  $4^{20}=1,099,511,627,776$ .

Even if each question and each answer was only 1 byte long, the device would have to have peta bytes of memory.



# Answers for the Wandering Mind (Holiday Edition)



- **How can a 20-questions game get away with less?**

Different answers lead to the same path (redundancy). For example, making  $b$  effectively 2 (*instead of 4*) results in only  $2^{20} = 1,048,576$  concepts. The 20-volume Oxford English Dictionary only describes 171,476 (< $4^9$ ) words. Typically, in our every-day life we deal with about 2000-4000 concepts (< $4^6$ ).

- **How can you make a 20 questions game fail?**

Pick a new concept “data science” or chose a specialized one from the dictionary!

**Q30. I am guessing that it is math?**  
**Right, Wrong, Close**

29. I guessed that it was trigonometry (study of triangles)? **Close**.
28. Do you use it with a computer? **Yes**.
27. Is it used in a sport? **No**.
26. Do you use it at night? **No**.
25. Is it healthy? **No**.
24. I guessed that it was a philosophy? **Close**.
23. Was it invented? **Yes**.
22. I guessed that it was science? **Close**.
21. Do you love it? **Yes**.
20. I guessed that it was witchcraft? **Wrong**.
19. Is it spontaneous? **No**.
18. Do you look at it? **No**.
17. I guessed that it was physics? **Close**.
16. Would you use it daily? **Yes**.
15. Does it contain words? **Yes**.
14. Does it have cash value? **No**.
13. Can it change size? **No**.
12. Does it require specific knowledge to use it? **Yes**.
11. Do you know any songs about it? **No**.
10. Is it a feeling? **No**.
9. Is it an emotion? **No**.
8. Is it used for communications? **Yes**.
7. Is it round? **No**.
6. Is it a nocturnal animal? **No**.
5. Could you send it in the mail? **No**.
4. Would you find it in an office? **No**.
3. Does it make noise? **No**.
2. Does it get wet? **No**.
1. It is classified as **Concept**.



# Thoughts for the Wandering Mind

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The computer chooses a random element  $x$  of the list generated by `range(0,n)`. What is the smallest amount of iteration/recursion steps the best ever algorithms needs to guess  $x$ ?

How would the algorithm look like?