



UC Berkeley EECS  
Lecturer  
Michael Ball

# Computational Structures in Data Science

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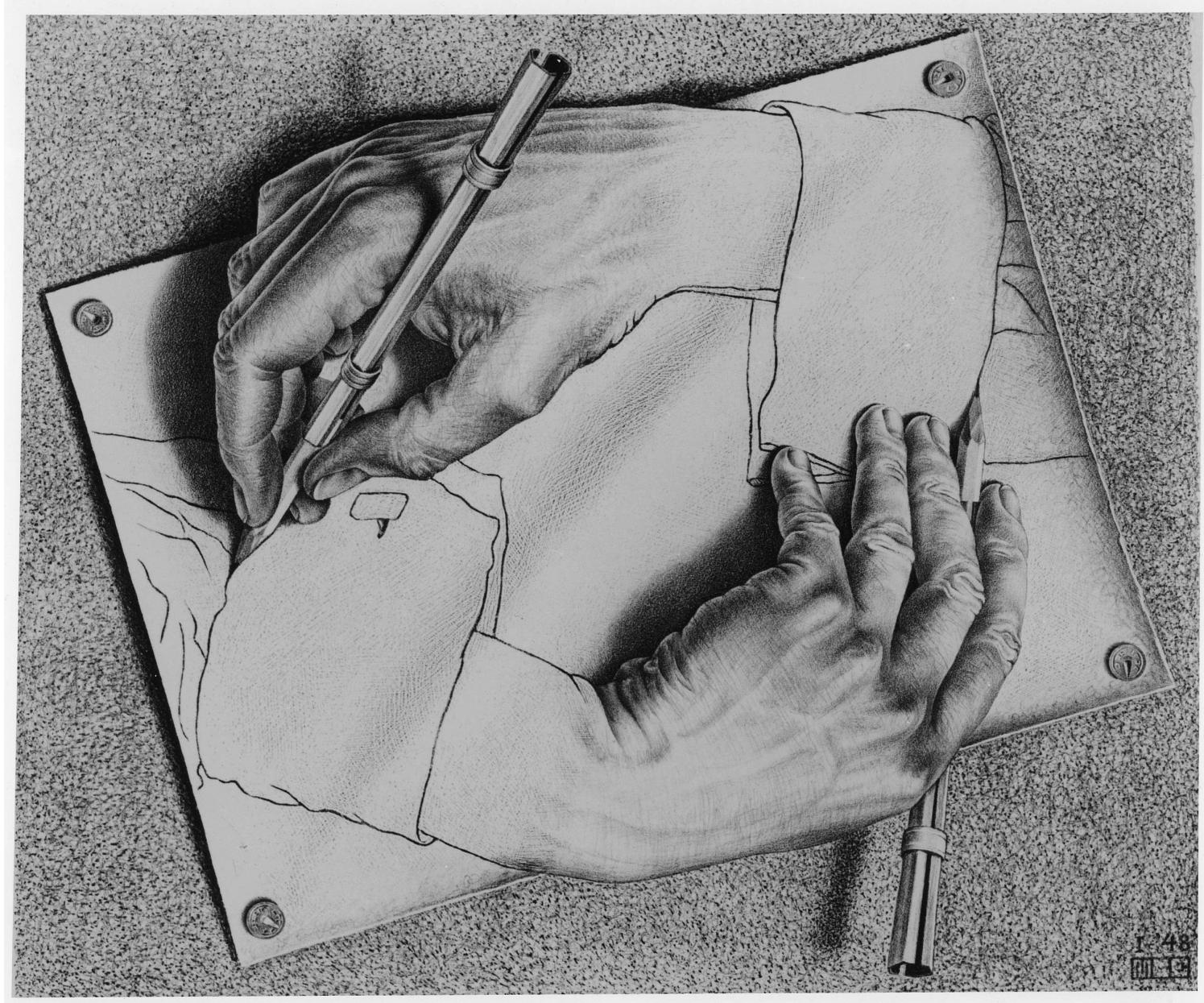


## Lecture 5: Recursion





# MC Escher “Drawing Hands” 1948





# Administrative Issues

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- Midterm Next Week!
- 7-9pm, Dwinelle 155



# Computational Concepts Toolbox

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- **Data type: values, literals, operations,**
  - e.g., int, float, string
- **Expressions, Call expression**
- **Variables**
- **Assignment Statement**
- **Sequences: tuple, list**
  - indexing
- **Data structures**
- **Tuple assignment**
- **Call Expressions**
- **Function Definition Statement**
- **Conditional Statement**
- **Iteration:**
  - **data-driven (list comprehension)**
  - **control-driven (for statement)**
  - **while statement**
- **Higher Order Functions**
  - **Functions as Values**
  - **Functions with functions as argument**
  - **Assignment of function values**
- **Higher order function patterns**
  - **Map, Filter, Reduce**
- **Recursion**



# Today: Recursion

## re·cur·sion

/ri'kərZHən/

*noun* MATHEMATICS LINGUISTICS

the repeated application of a recursive procedure or definition.

- a recursive definition.  
plural noun: **recursions**

## re·cur·sive

/ri'kərsiv/

*adjective*

characterized by recurrence or repetition, in particular.

• MATHEMATICS LINGUISTICS

relating to or involving the repeated application of a rule, definition, or procedure to successive results.

• COMPUTING

relating to or involving a program or routine of which a part requires the application of the whole, so that its explicit interpretation requires in general many successive executions.

- **Recursive function calls itself, directly or indirectly**



# Why Recursion?

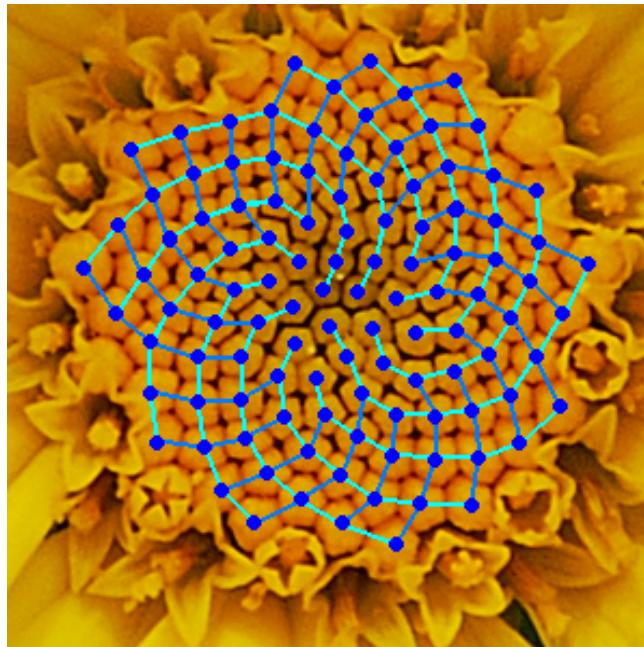
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- “After Abstraction, Recursion is probably the 2<sup>nd</sup> biggest idea in this course”
- “It’s tremendously useful when the problem is self-similar”
- “It’s no more powerful than iteration, but often leads to more concise & better code”
- “It embodies the beauty and joy of computing”



# Why Recursion? More Reasons

- **Recursive structures exist (sometimes hidden) in nature and therefore in data!**
- **It's mentally and sometimes computationally more efficient to process recursive structures using recursion.**





# Function Review

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- A function cannot...
  - A) have a function as argument
  - B) define a function within itself
  - C) return a function
  - D) call itself
  - E) None of the above.



**Solution:**

**E) A, B, C, D are all possible!**



# Recall: Iteration

```
def sum_of_squares(n):
    accum = 0
    for i in range(1, n+1):
        accum = accum + i*i
    return accum
```

1. Initialize the “base” case of no iterations

2. Starting value

3. Ending value

4. New loop variable value



# Demo Time

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- Vee a randomly recursive fractal



# Recursion Key concepts – by example

1. Test for simple “base” case

2. Solution in simple “base” case

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n**2
```

3. Assume recursive solution  
to simpler problem

4. Transform soln of simpler  
problem into full soln



## In words

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- The sum of no numbers is zero
- The sum of  $1^2$  through  $n^2$  is the
  - sum of  $1^2$  through  $(n-1)^2$
  - plus  $n^2$

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```



# Why does it work

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```
sum_of_squares(3)
```

```
# sum_of_squares(3) => sum_of_squares(2) + 3**2
#                   => sum_of_squares(1) + 2**2 + 3**2
#                   => sum_of_squares(0) + 1**2 + 2**2 + 3**2
#                   => 0 + 1**2 + 2**2 + 3**2 = 14
```



# How does it work?

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- **Each recursive call gets its own local variables**
  - Just like any other function call
- **Computes its result (possibly using additional calls)**
  - Just like any other function call
- **Returns its result and returns control to its caller**
  - Just like any other function call
- **The function that is called happens to be itself**
  - Called on a simpler problem
  - Eventually bottoms out on the simple base case
- **Reason about correctness “by induction”**
  - Solve a base case
  - Assuming a solution to a smaller problem, extend it



# Questions

- In what order do we sum the squares ?
- How does this compare to iterative approach ?

```
def sum_of_squares(n):
    accum = 0
    for i in range(1,n+1):
        accum = accum + i*i
    return accum
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return sum_of_squares(n-1) + n**2
```

```
def sum_of_squares(n):
    if n < 1:
        return 0
    else:
        return n**2 + sum_of_squares(n-1)
```



# Tail Recursion

- All the work happens on the way down the recursion
- On the way back up, just return

```
def sum_up_squares(i, n, accum):  
    """Sum the squares from i to n in incr. order"""\n    if i > n:  
        Base Case  
    else:  
        Tail Recursive Case  
  
>>> sum_up_squares(1,3,0)  
14
```



# Local variables

```
def sum_of_squares(n):
    n_squared = n**2
    if n < 1:
        return 0
    else:
        return n_squared + sum_of_squares(n-1)
```

- Each call has its own “frame” of local variables
- What about globals?

<https://goo.gl/CiFaUJ>



# Iteration vs Recursion

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## For loop:

```
def sum(n):  
    s=0  
    for i in range(0,n+1):  
        s=s+i  
    return s
```



# Iteration vs Recursion

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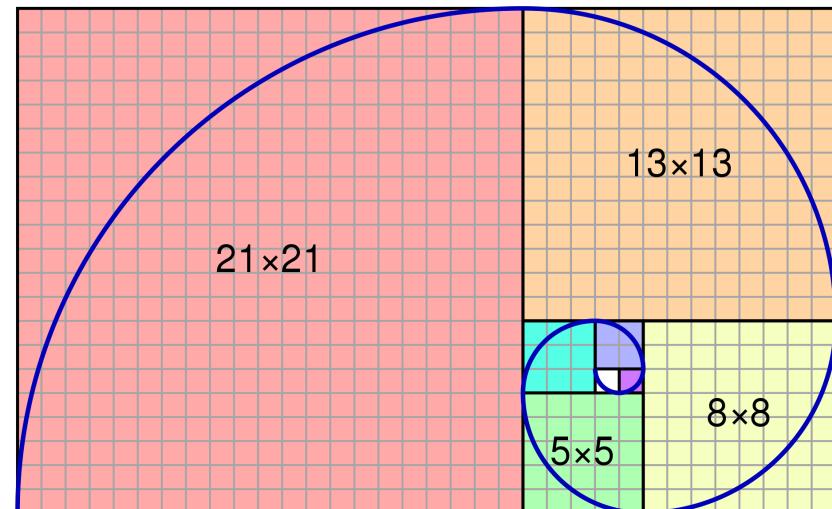
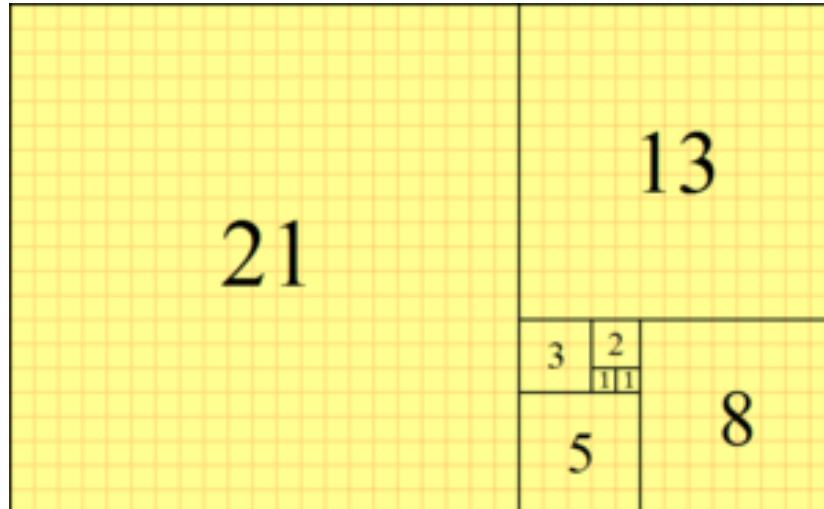
## Recursion:

```
def sum(n):  
    if n==0:  
        return 0  
    return n+sum(n-1)
```



# Fibonacci Sequence

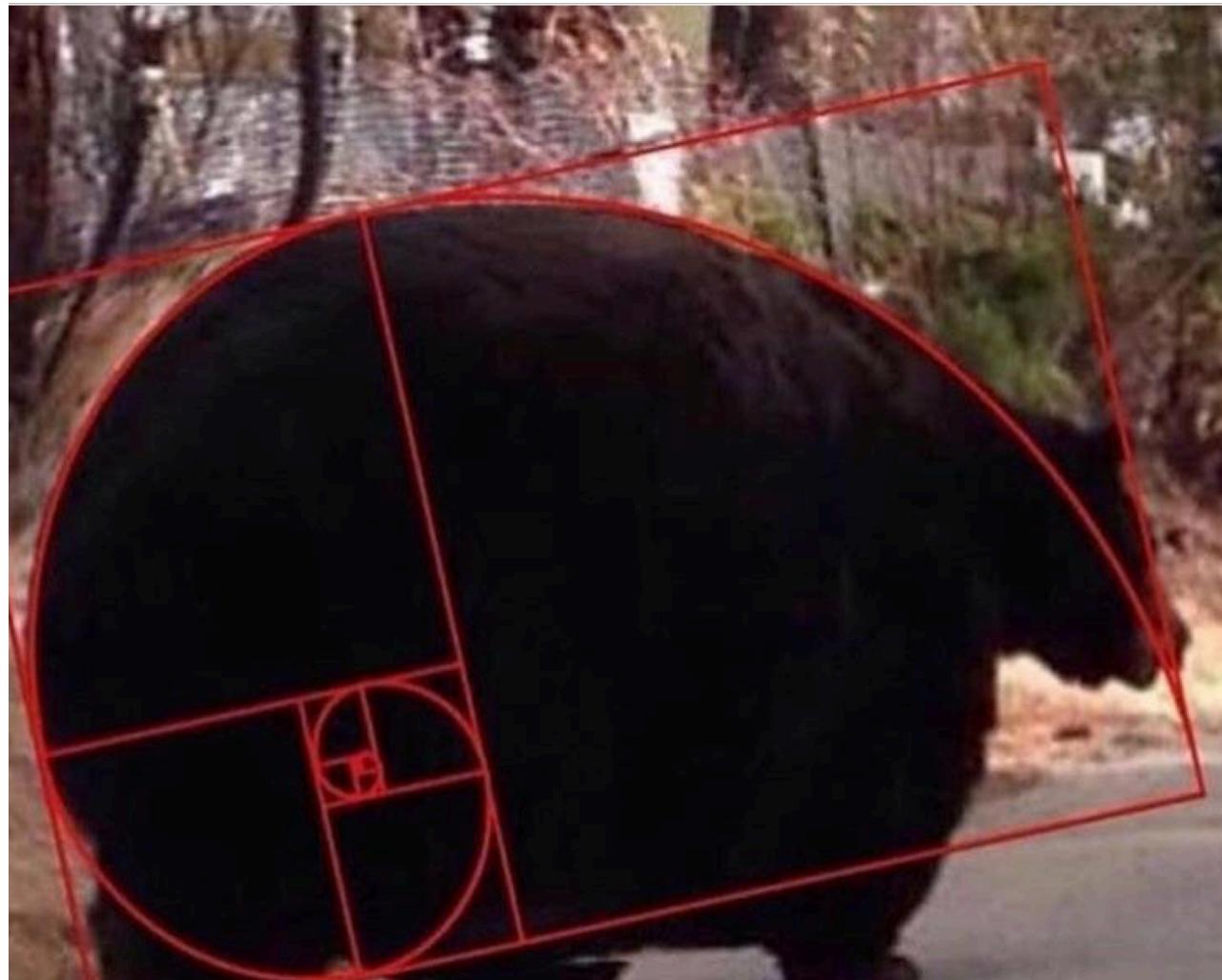
```
fibonacci(n) = fibonacci(n-1) + fibonacci(n-2)  
where fibonacci(1) == fibonacci(0) == 1
```





# Go Bears!

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# Another Example

indexing an element of a sequence

```
def first(s):  
    """Return the first element in a sequence."""  
    return s[0]  
  
def rest(s):  
    """Return all elements in a sequence after the first"""  
    return s[1:]
```

Slicing a sequence of elements

```
def min_r(s):  
    """Return minimum value in a sequence."""
```

if      Base Case

else:

Recursive Case

- Recursion over sequence length, rather than number magnitude



# Visualize its behavior (print)

```
In [104]: def min_r(s):
    print('min_r:', s)
    if len(s) == 1:
        return first(s)
    else:
        result = min(first(s), min_r(rest(s)))
        print('min_r:', s, "=>", result)
        return result
```

```
In [105]: min_r([3,4,2,5,11])

min_r: [3, 4, 2, 5, 11]
min_r: [4, 2, 5, 11]
min_r: [2, 5, 11]
min_r: [5, 11]
min_r: [11]
min_r: [5, 11] => 5
min_r: [2, 5, 11] => 2
min_r: [4, 2, 5, 11] => 2
min_r: [3, 4, 2, 5, 11] => 2
```

- What about sum?
- Don't confuse print with return value



# Trust ...

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- The recursive “leap of faith” works as long as we hit the base case eventually

What happens if we don’t?



# Recursion

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- Recursion is...

- A) Less powerful than a for loop
- B) As powerful as a for loop
- C) As powerful as a while loop
- D) More powerful than a while loop
- E) Just different all together

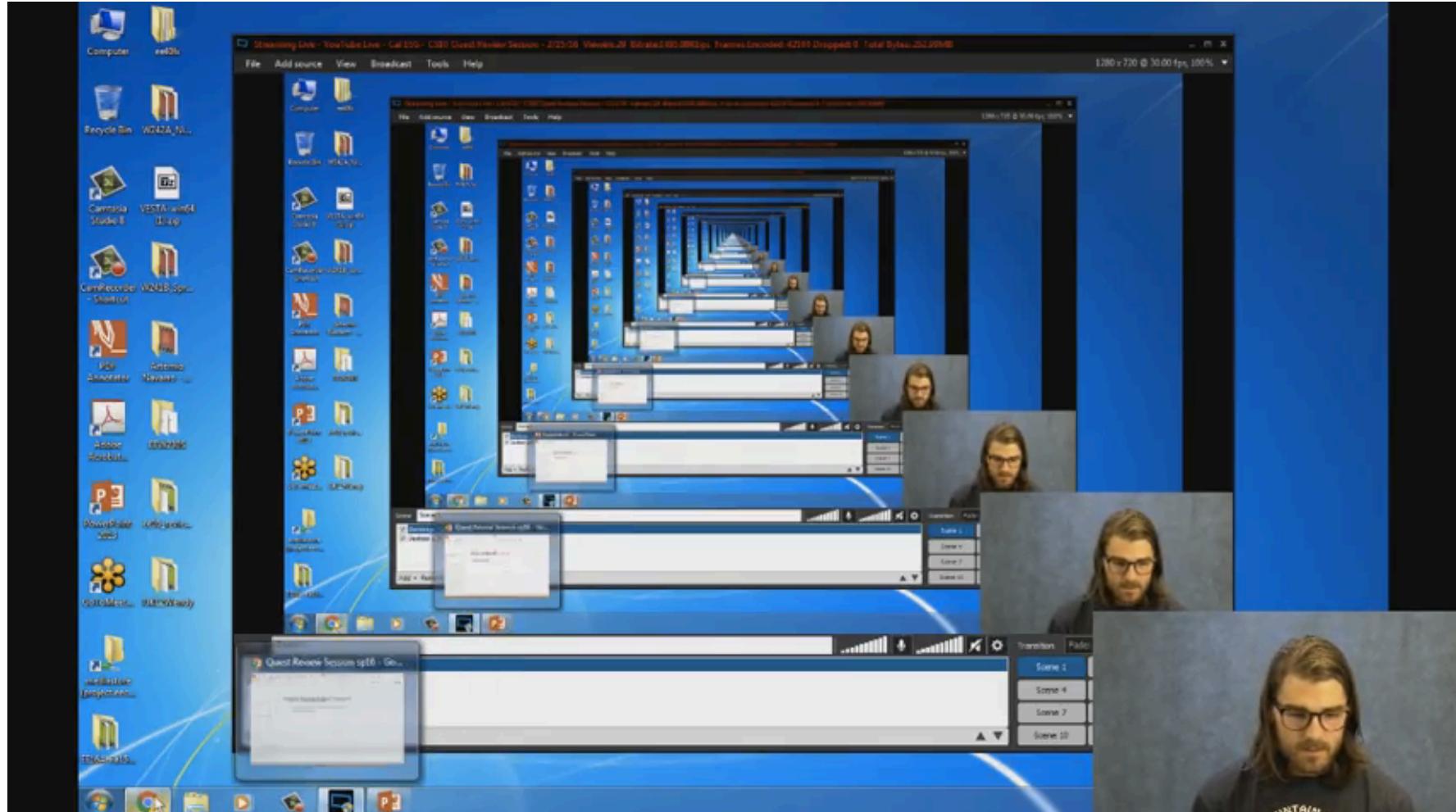


**Solution:**

**C) Any recursion can be formulated as a while loop  
and any while loop can be formulated as a recursion  
(with a global variable).**



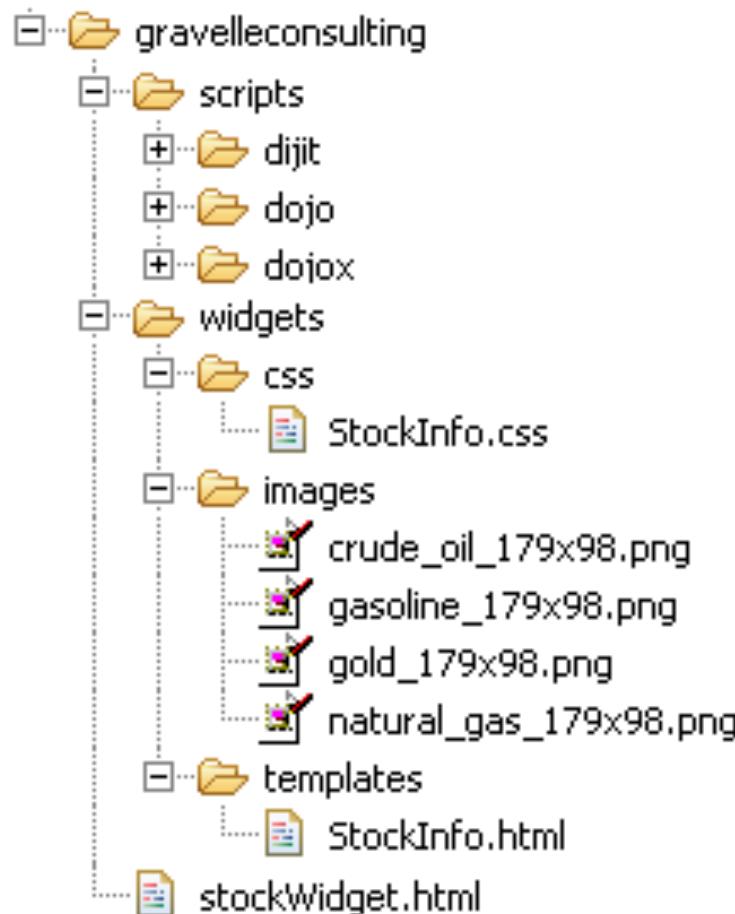
# Recursion (unwanted)



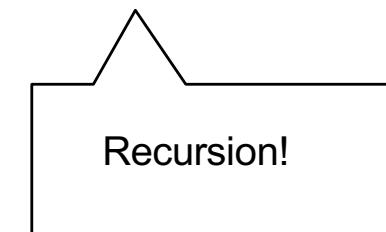


# Example I

List all items on your hard disk



- **Files**
- **Folders contain**
  - **Files**
  - **Folders**





# List Files in Python

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```
def listfiles(directory):
    content = [os.path.join(directory, x) for x in os.listdir(directory)]

    dirs = sorted([x for x in content if os.path.isdir(x)])
    files = sorted([x for x in content if os.path.isfile(x)])

    for d in dirs:
        print d
        listfiles(d)

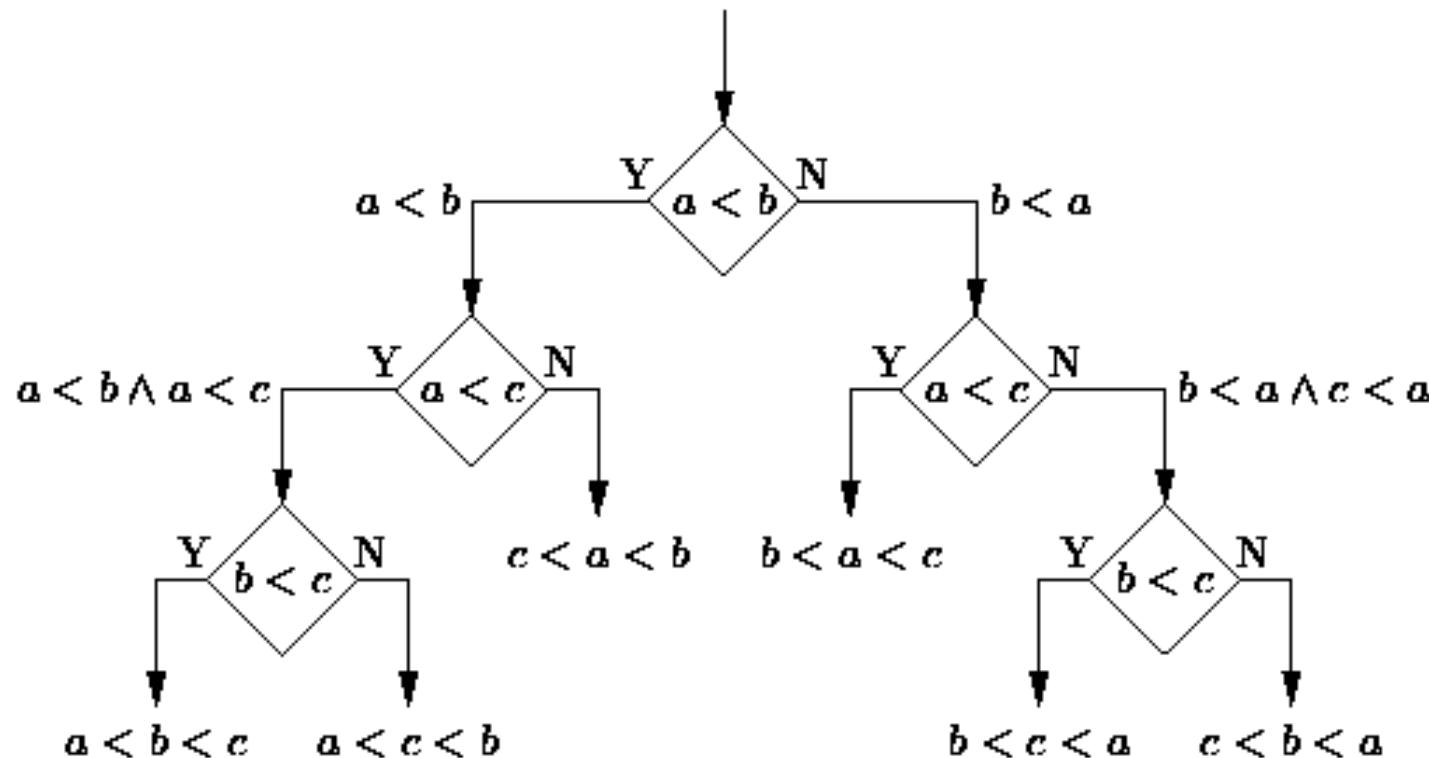
    for f in files:
        print f
```

**Iterative version about twice as much code  
and much harder to think about.**



## Example II

# Sort the numbers in a list.



# Hidden recursive structure: Decision tree!