# Chapter 1

# Speech coding - general introduction

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### 1.1 Introduction

Speech is the primary form of communication between humans. For this reason, even nowadays one of the most important applications of the telecommunication networks is the transmission of speech. During this laboratory measurement we will deal with the basics of speech transmission and speech coding. The goal of the laboratory measurement is to introduce speech coding as part of the telecommunication technologies.

The first part of this guide is a brief introduction about human speech and speech production. The importance of this is that modern speech coders make use of the properties of speech, and thus can achieve lower bitrates without significant quality loss. The second part presents the basics of speech coding: building blocks of encoders and decoders, and the evaluation criteria of speech coders. The third part is about linear prediction. Almost every speech coder today (e.g. GSM, 3G or 4G codecs) is based on linear prediction. If you understand the essence of this, than you can have an overview of the principles of most speech coders. The laboratory measurement can be implemented in Matlab / Octave / Python.

## 1.1.1 Human speech

Speech is an *acoustic wave*, being the vibration of air molecules. In case of acoustic waves, the source of the wave changes the pressure level of the air, and this pressure change spreads in the air as a form of a longitudinal wave. Fig. 1.2 shows the waveform of a section of speech. The figure presents the change of pressure level as a function of time.

<sup>&</sup>lt;sup>1</sup>Originally written in Hungarian by Tamás Bőhm, Sep 27, 2006. Translated to English by Tamás G. Csapó <csapot@tmit.bme.hu>, Sep 23, 2016.

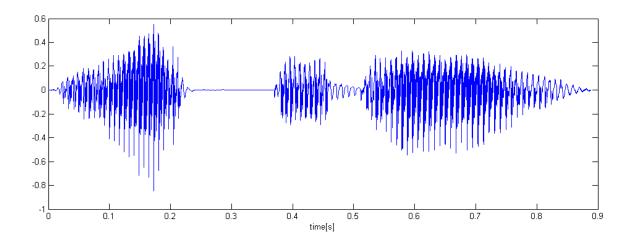


Figure 1.1: Speech waveform (the word 'lábpedál' from a male speaker).

## 1.1.2 Speech production

Speech production can be split to two main parts: phonation and modulation. During phonation, with the help of the air coming from the lungs, we create a emphyoice source. During modulation, we change this voice source and shape the *speech sounds*.

The source can be *voiced*, *noise*, or *both*. Voice can be prodiced with the periodic vibration of the vocal folds. We can create the voiced speech sounds by modulation the voiced source. This means that the waveform corresponding to the voiced speech sounds is periodic (see Fig. 1.2). In reality, it is only roughly periodic, because the vibration properties of the vocal folds change continuously. The periods of the speech sounds are called fundamental period, and the reciprocal of the time period is called fundamental frequency  $(F_0)$ :  $F_0 = \frac{1}{T_0}$  where  $T_0$  is the length of the fundamental period. The perceptual correlate of fundamental frequency is the pitch (or intonation contour). The fundamental frequency of males is usually between 80–150 Hz, the  $F_0$  of females is mostly between 170–250 Hz, whereas for children it is between 300–500 Hz.

If there is a constriction in the way of the air during speech production, than unvoiced source will be produced. An example for this is the 's' sound (constriction between the dentils). We can also produce unvoiced speech sounds if we close the way of the air and there will be a burst caused by the increased pressure behind the closure. An example is the 'p' sound (closure between the lips). The speech sounds that are produced without periodic vibration, just by noise, are called unvoiced sounds. The noise is an aperiodic wave, therefore it can be clearly distinguished from the voiced sounds on the speech waveforms (see Fig. 1.2).

## 1.2 Speech coding

The goal of speech coding is to substitute the transmission medium that is necessary for the spreading of the acoustic waveform, and to bridge the temporal and geographical

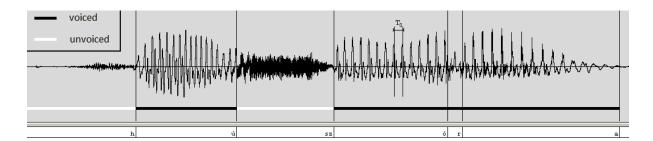


Figure 1.2: The sentence 'Húsz óra' from a male speaker. Vertical lines show the boundaries of speech sounds. The black and white sections in the bottom denote the voiced and unvoiced speech sounds. One pitch cycle is indicated in the vowel 'a' at the end of the sentence.

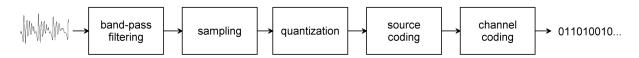


Figure 1.3: Block diagram of speech coding.

distances between speaker and listener of the human speech communication chain. This way people who are not each other physically at the same time will also be able to communicate using speech. The temporal distances can be bridged by making recordings and playing them back later. The geographical distances can be bridged using telecommunication technologies. Although the two applications are highly different, from the point of view of speech technology we have to solve the same problem: assign a bit sequence to the speech signal (encoding) and reconstruct it (decoding). The encoding-decoding method is called codec.

## 1.2.1 Speech and audio coding

In case of *speech coding* it is known that the input is a speech signal, therefore we need to deal with only a part of the possible acoustic waves. If the input can be any acoustic signal (most typically music), it is the field of *audio coding*.

The question arises: if we have a good audio coder, why do we need a speech coder as well? The answer is that the speech coders make use of the specific properties of speech, and thus can achieve significantly lower bitrates (and higher compression) than audio coders, without perceivable quality loss.

The process of speech coding is shown in Fig. 1.3.

# 1.2.2 Band-pass filtering and sampling

The goal of *sampling* is to convert the continuous time speech signal to a discrete time signal. This can be achieved by storing the current value of the continuous signal from time to time – so we take samples from the signal. The reciprocal of the time between each

sampling is the sampling frequency  $(f_s)$ . During decoding, we reconstruct the continuous time signal by interpolating between these samples.

Sampling theorem: The input signal can be reconstructed from the samples, if the highest frequency component (bandwidth) of the input signal is smaller than half of the sampling frequency.

In practice this can be imagined in the following way. In order to unambiguously reconstruct a sinusoidal, we need to take at least two samples from each period. The input signal can be seen as the sum of several different sinusoids (see Fourier methods). In order to reconstruct the sinusoid with the highest frequency, the sampling frequency should be at least twice this frequency.

If the sampling theorem does not hold, than *aliasing* will occur during reconstruction. This can be very annoying, as the speech will be sonorous / unpleasant-sounding, and there is no way to filter this out later.

In order to surely avoid aliasing, the input signal is band-pass filtered before sampling. This can 'erase' the frequency range above the sampling frequency, and thus the condition of the sampling theorem will hold.

### 1.2.3 Quantization

As a result of sampling, the input signal will be discrete in time, but the values of the samples are still continuous. In order to handle the signal later in digital systems, it should be converted to have discrete values. This is the *quantization* step.

Bit depth is the number of bits a sample is stored. The number of quantization levels depends on the bit depth. For example, if 16 bit quantization is applied, than  $2^{16} = 65\,536$  quantization levels are available – we can differentiate 65k discrete values.

The continuous values are always mapped to the closest quantization level. The difference between the continuous and quantized value is the quantization error or quantization noise.

The quantization technique specifies where the quantization levels are mapped in the continuous range. The simplest quantization technique is linear quantization: the quantization levels are linearly divided in the full range. In case of non-linear (e.g. logarithmic) quantization we take advantage of some amplitude values are more frequent. Therefore, it is worth to define more quantization levels around these; and to have less levels elsewhere. This way the quantization noise can be decreased. The noise can be further decreased if we quantize several consecutive samples – this is called vector quantization.

## 1.2.4 Source and channel coding

The goal of source coding is to convert the sampled and quantized speech signal efficiently to a bit sequence (with as few bits as possible). After this, channel coding is used to construct frames or packages that can be sent through the telecommunication network.

### 1.2.5 Evaluation of speech coders

A speech coder can be evaluated or compared to other speech coders according to several criteria, e.g.:

**Speech quality:** Different speech coders distort the speech in several ways. The goal is that the encoded-decoded speech remains as intelligible and as natural as possible. The speech quality is a subjective measure: can be estimated by listening tests.

Bit rate: It shows the necessary bit rate of a connection in order to transmit the speech stream (e.g. during a phone call). In case we would like to bridge a temporal distance (and not a geographical distance), than we can calculate the necessary storage capacity to store the encoded file. So the bit rate is a measure of compression as well.

Frequency range: The sampling frequency specifies the frequency range that the codec can transmit (see the sampling theorem). In general, the higher the value of  $f_s$ , the more natural the speech is.

Computational requirement (complexity): The computational requirement can be expressed in MIPS (million instructions per second) and gives the complexity of the encoding-decoding algorithms.

**Delay:** A lot of the speech coders do not encode the speech signal sample by sample, but instead they process longer speech segments (frames). On the receiver side, a frame can only be decoded if all of its bits have arrived. This way, such codecs have larger delay.

**Robustness:** Robustness means the sensitivity to bit errors and background noise.

Of course, these criteria and aspects are not independent from each other. For example, lowering the bitrate lowers the speech quality, or increases the computational requirement. It is always the target application that determines which of the above aspects are important. In case of speech coders on mobile phones the key questions are the speech quality, the delay and the complexity. The higher the complexity, the higher the power consumption of the cell phone (and the faster the battery runs down). On the other hand, in case of military applications the robustness is a primary criteria instead of speech quality. Here, it is not a problem if the speaker cannot be recognized (they identify themselves at the beginning of every message), but it would be a problem if a helicopter or the battlefield distorts the speech.

## 1.2.6 PCM (Pulse Code Modulation) codec

PCM is the current reference coded in telecommunications. It uses an 8 kHz sampling frequency and 8 bit logarithmic quantization. The source coding is extremely simple, as

there is no compression at all. The quality of encoded-decoded speech is excellent, the delay and computational requirement are low (the latter is 0.01 MIPS).

# 1.3 LPC (Linear Predictive Coding) codec

#### 1.3.1 Basics

It can be observed that the consecutive samples of the speech signal are not independent: from the several previous samples we can estimate the next sample. Linear prediction is a method which aims to predict the next sample based on the previous samples. It is called linear, because the predicted value is the linear combination (weighted sum) of the prevous samples.

If we denote the nth with s(n) and p previous samples are used for the prediction, than the predicted sample is:

$$\tilde{s}(n) = \sum_{i=1}^{p} \alpha_i s(n-i)$$

The  $\alpha_i$  weights are called *linear prediction coefficients*, whereas p is the *order of prediction*. The error of the prediction is the difference between the original and predicted signal:

$$e(n) = s(n) - \tilde{s}(n)$$

This is called the *residual signal*. Fig. 1.4 shows the predicted signal and the residual signal corresponding to a short speech segment. By rearranging the above equation we see that the original signal can be losslessly reconstructed if we know the coefficients and the error signal. Therefore, speech can be efficiently coded using linear prediction. If we choose the linear prediction coefficients well, than the residual signal will be small.

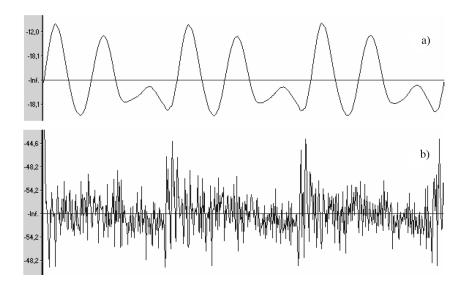


Figure 1.4: a) predicted signal and b) residual signal corresponding to a speech signal. (the Y axis has different amplitudes on the two subfigures).

### 1.3.2 Linear prediction in practice

The residual signal will be small if the original signal is stationary (by this we mean that the statistical properties of the signal do not change a lot). This is not true for the speech in general, but it is more or less true for smaller speech segments. Within 30 ms the speech signal does not change too much, therefore we can consider speech segments of such lengths as stationary signals.

As a first step of linear prediction, we cut the speech signal to short (around 30 ms long) frames. For each frame, we estimate the optimal coefficients, and after that we estimate the residual signal of that speech section. Therefore, the frame can be represented by the coefficients and the residual signal.

#### 1.3.3 Estimation of coefficients

The coefficients of linear prediction are optimal, if the predicted signal is as close to the original signal as possible. This can formulated as a requirement that the residual signal should be as small as possible. The coefficients are optimal if the energy of the residual signal is minimal. This minimization task means that it is necessary to solve p equations with p variables, for this several solutions exist (e.g. covariance method, PARCOR, Burg). We do not deal with the estimation of these parameters, instead we will use built-in functions for them.

## 1.3.4 Types of Linear Prediction codecs

Every speech codec that we use today in telecommunications – e.g. GSM Half Rate, Full Rate, Enhanced Full Rate, 3G, etc. – are applying linear prediction. All of these methods split the speech signal to short frames. After that the coefficients and the residual signal of all frames are sent through the network. The codecs mainly differ in the way how they compress the residual signal.

#### Residual Excited Linear Prediction (RELP)

In case of RELP, the residual signal is quantized and sent together with the coefficients of the frame. The quality of the reconstructed signal is similar to that of PCM, but the computational requirement and the delay are much higher (due to the estimation of the coefficients and due to splitting the signal to frames). Why is RELP better than PCM? Because the residual has a smaller energy than the original signal, therefore can be quantized more efficiently, fewer bits are enough. This way, the speech can be compressed, i.e. the bit rate is smaller than in case of PCM.

#### LPC-10

LPC-10 is sometimes just called 'the linear prediction codec'. The number 10 means the order of prediction. The codec was developed in the 1970s in the United States

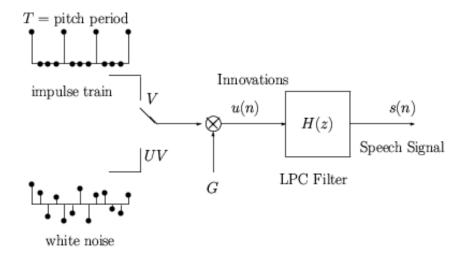


Figure 1.5: Block diagram of the LPC-10 decoder.

Department of Defense for military aims. During this laboratory measurement, you will write the source code for an LPC-10 codec (but with slightly higher order of prediction).

This codec will represent the residual signal in an extremely compressed way, which results in significant quality loss (see Sec. 1.2.5 about the connection between several properties of codecs). For this reason, LPC-10 is not used in its original form in commercial telecommunications.

The central element of the codec is the *pitch detector*. This is an algorithm that can decide from each frame whether it is voiced, and if voiced, it estimates the fundamental frequency.

The LPC-10 encoder first cuts the input speech signal to frames. After that all frames are encoded. For each frame, the LP coefficients and the residual signal is calculated. After that, the pitch detector decides about voicing and estimates the  $F_0$  based on the residual signal. For each frame, the following parameters are calculated and transmitted: one fundamental frequency value  $(F_0)$ , one gain value (energy of the residual signal) and p LP coefficients.

The LPC-10 decoder generates first an artificial residual signal (see Fig. 1.5). If the frame is voiced, this will be an impulse sequence. If the frame is unvoiced, this will be white noise. The distances of the impulses are based on the reciprocal of the pitch. The energy of the artificial residual signal is set according to the gain of the original residual signal. After that, the speech is reconstructed with the LP synthesis filter.